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Detailed Evaluation of Five 3D Speckle Tracking Algorithms using Synthetic Echocardiographic Recordings

Martino Alessandrini*, Brecht Heyde, Sandro Queirós, Szymon Cygan, Maria Zontak, Oudom Somphone, Olivier Bernard, Maxime Sermesant, Hervé Delingette, Daniel Barbosa, Mathieu De Craene, Matthew O'Donnell and Jan D'hooge

Abstract—A plethora of techniques for cardiac deformation imaging with 3D ultrasound, typically referred to as 3D speckle tracking techniques, are available from academia and industry. Although the benefits of single methods over alternative ones have been reported in separate publications, the intrinsic differences in the data and definitions used makes it hard to compare the relative performance of different solutions. To address this issue, we have recently proposed a framework to simulate realistic 3D echocardiographic recordings and used it to generate a common set of ground-truth data for 3D speckle tracking algorithms, which was made available online.

The aim of this study was therefore to use the newly developed database to contrast non-commercial speckle tracking solutions from research groups with leading expertise in the field. The five techniques involved cover the most representative families of existing approaches, namely block-matching, radio-frequency tracking, optical flow and elastic image registration. The techniques were contrasted in terms of tracking and strain accuracy. The feasibility of the obtained strain measurements to diagnose pathology was also tested for ischemia and dyssynchrony.

Index Terms—3D echocardiography, speckle tracking, cardiac strain, standardization, quality assurance, synthetic datasets.

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I. INTRODUCTION

A. Echocardiographic Strain Imaging

Echocardiographic strain imaging has emerged as a powerful tool to quantify local cardiac mechanics non-invasively [1]. Although strain heavily depends on extrinsic conditions (size, preload, and afterload), it decreases when contractility is affected [2]. Strain is less sensitive to tethering from surrounding segments than velocity or displacement measurements, and is therefore preferred for detecting abnormal segments [2].

Clinical feasibility of echocardiographic strain has been shown in a multitude of studies: strain has been used to diagnose myocardial ischaemia; it has been proposed as a tool to predict infarct size after coronary reperfusion; it is recommended as routine measurement in patients undergoing chemotherapy; it has been proposed as predictor of risk of ventricular arrhythmias; it may be applied to guide placement of the pacing lead in patients receiving cardiac resynchronization therapy [3]. Strain is more sensitive than ejection fraction as a measure of systolic function [3] and has better prognostic value [4]. Although strain imaging still remains a technology under development whose employment is limited to centers with expertise in deformation imaging, there are strong ongoing efforts aimed to standardize its use and foster its adoption in the clinical routine [5].

Recently, real time 3D ultrasound has become technically feasible. Volumetric imaging solves intrinsic shortcomings of the standard 2D modality, such as the presence of out-of-plane motion, problems in the optimal slice selection and the need of geometrical assumptions. This has motivated natural attempts from academia and industry to translate the strain imaging technology to 3D. Yet, the reduced temporal resolution of today's 3D equipment and the increased data size make accurate and time effective estimation of cardiac strain in 3D still challenging. As such, 3D strain technology remains so far mainly confined to the research arena [6], [7].

B. 3D Speckle Tracking

To prepare for the clinical translation of the 3D strain technology, a plethora of solutions have been constantly proposed, generally referred to as 3D speckle tracking (3D STE). Based on the way the displacement is computed from the image sequence, they can be grouped in three main categories.

One possibility is to extend the original *block matching* technique, initially developed for 2D ultrasound [8] to 3D [9], [10]. Hereto, a set of 3D image patches are tracked independently from one another over the cardiac cycle. Their position in the following frame is updated by looking for the most similar patch over a predefined search window. Similarity can be defined in several ways, *e.g.* by normalized cross correlation [9]. Smoothness of the displacement field is typically imposed at a post-processing stage by interpolation and filtering. Block matching represents the conventional implementation on many commercial systems. The same concept, with appropriate modifications, can be applied to raw radio-frequency (RF) data (*RF tracking*). RF tracking is receiving an increased interest given the proven benefits when assessing small deformations [11], [12]. Nonetheless, due to the low temporal resolution of commercial systems and therefore the associated large deformations between subsequent frames, the application of RF tracking to 3D echocardiographic clinical data remains an issue. Although an initialization technique can be employed in this case, *e.g.* based on the B-mode [11], the bias introduced by the latter might overbalance the theoretical benefits of the RF-based estimation. Thus, it still remains unclear whether RF tracking has to be preferred to B-mode tracking for this particular task.

One alternative is to use the *optical flow* principle, which assumes conservation of pixel brightness over time [13]. Optical flow is typically coupled with the assumption of small displacements and either solved locally on independent image patches [14] or globally by adding a smoothing term [13]. Large deformations can be coped with by recursively applying the estimator in a pyramidal refinement scheme [14]. The Demons algorithm represents a computationally efficient simplification of the optical flow problem [15], [16]. Unlike block matching, spatial smoothness can be more naturally included as a constraint term in the optimization problem.

Finally, *elastic image registration* provides an alternative framework to compute a smooth dense deformation field. Hereto, cardiac deformation is parameterized by using its decomposition into a set of basis functions, typically B-splines [17], [18]. Several kinds of constraints can be easily included as additive penalty terms to the global cost function, such as smoothness [18] and incompressibility [19], [20].

C. Motivation

With the number of available solutions rapidly growing, assessing and comparing their performance in a reliable and reproducible way becomes of primary importance. For what concerns cardiac deformation imaging, magnetic resonance imaging (MRI) is commonly considered as the “gold standard” [6]. Nonetheless, comparison against a different modality introduces additional difficulties to the benchmarking process, such as the need to co-register the two datasets in space and time. One alternative is to make use of physical phantoms or animal preparations with sonomicrometry as a reference measurement [6]. As a drawback, all aforementioned setups are costly, complex to implement and scarcely reproducible between different research groups, thus making the reported

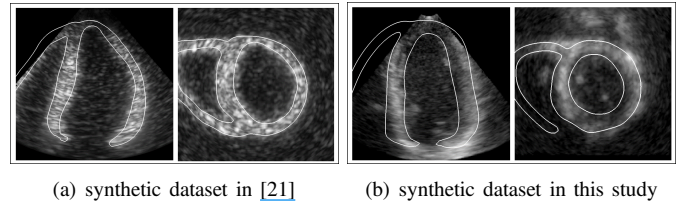


Fig. 1. Comparison between the synthetic datasets used in [21] and the present study.

performance hard to compare. In this regard, the use of synthetic datasets represents a more feasible alternative for a preliminary evaluation [6], [17], [21] since *i)* it is a simple setup requiring only a personal computer and *ii)* testing data can be shared electronically. Nonetheless, the poor realism of the current generation of synthetic datasets represents a limited application scope for the evaluation studies reported so far (cf. Fig. 1(a)).

In this context, we have been actively investing in the development of more realistic synthetic datasets for benchmarking 3D STE algorithms. In particular, our efforts went on combining an accurate cardiac motion model [21] with a realistic ultrasound speckle texture [22]. As such, in our most recent contribution [23], state-of-the-art solutions in the fields of electromechanical modeling (E/M) [24] and ultrasound simulation [25] were integrated in an original framework that exploits a real ultrasound recording to learn and simulate realistic speckle textures. The obtained synthetic sequences are visually realistic, *i.e.* fairly reproduce all major elements that make motion tracking challenging, yet fully synthetic, in particular the reference values of deformation and strain are available voxelwise from the electromechanical model. The pipeline was used to generate an online testing set for 3D STE techniques¹. The aim of this study was therefore to use this pipeline to contrast the performance of non-commercial 3D STE solutions.

D. Statement of the Contribution

We asked groups with leading expertise in the field of 3D US deformation imaging to contribute to this comparison study. Our principal effort was ensuring a maximal methodological diversity of the techniques represented. For practical reasons, the call was restricted to groups within the pre-existing network of our laboratory. Ultimately, five techniques from the following centers were contrasted: University of Leuven, University of Minho, Philips Research, Warsaw University of Technology and University of Washington. At least one technique from each of the methodological families previously identified was present, namely: one technique based on elastic registration, one implementation of RF tracking, one implementation of B-mode block matching and two techniques based on optical flow. The comparison was focused on both displacement and strain accuracy.

Of note, with respect to the comparison study between 3D STE solutions recently reported in [21], the work presented here has substantial differences:

¹ <https://team.inria.fr/asclepios/data/stras/>

- The synthetic dataset employed here is considerably more realistic (hence representative of a real clinical setting) than the one in [21], both in terms of image properties and motion model (cf. Fig. 1). Most importantly, the new sequences embed the major elements making wall motion estimation challenging, such as *i*) the motion of surrounding structures like papillary muscles, valves and trabeculations which may hinder/bias the tracking accuracy near endo- and epicardium [26] and *ii*) the spatially variant contrast-to-noise-ratio along the the myocardial wall [19]. Moreover, the E/M simulations were improved as compared to [21] in order to obtain more representative motion patterns, with particular attention to ejection fraction and global longitudinal, circumferential and radial strain values [23];
- This study offers a more comprehensive and representative view of the state of the research in the field of 3D US cardiac deformation imaging. Specifically, in [21], all considered techniques were based on elastic registration or optical flow. Differently, we aimed to include at least one technique from each of the main families that cluster the current research scenario. In particular, including block matching is especially relevant given that several commercial implementations of this method are available [6], [27], [28]. Moreover, as mentioned, although RF tracking is gaining popularity, a thorough comparison against B-mode based techniques is currently still missing.

A very preliminary version of this report was presented in [29]. Nonetheless *i*) elastic registration and block matching were considered only, *ii*) the implementations of the two algorithms were different (*i.e.* less optimized) from the ones considered here, *iii*) 5 synthetic sequences were considered only and *iv*) the performance analysis was less thorough. The paper proceeds as follows. The setup of the comparison study is described in Sect. II. Sect. III briefly describes the considered algorithms, while the results are presented in Sect. IV. Discussion and limitations of the study are presented in Sect. V and Sect. VI, respectively. Conclusions are left to Sect. VII.

II. SETUP OF THE COMPARISON STUDY

A. Synthetic Data

The synthetic evaluation database was generated with the pipeline described in [23] and is available online at¹. The synthetic sequences appear similar to real ultrasound recordings, yet, the myocardial motion is fully controlled by the E/M model in [24]. By varying the parameters of the E/M model, we generated 8 sequences corresponding to different pathophysiological conditions, namely: one healthy sequence; four ischemic cases, corresponding to occlusion of the proximal or distal parts of the left anterior descending coronary artery (LADprox and LADdist, respectively), of the left circumflex coronary artery (LCX) and of the right coronary artery (RCA); three simulations of dilated cardiomyopathy, of which one with a synchronous activation pattern (sync) and two dyssynchronous due to left branch bundle block (LBBBsmall and

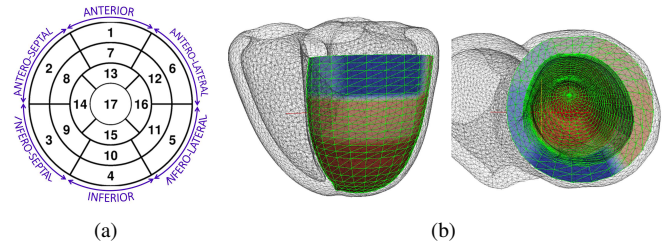


Fig. 2. (a) AHA segments. (b) In black the tetrahedral used by the E/M simulator, in colors the anatomical mesh used to compute displacement and strain indices. Colors denote different AHA segments.

LBBBlarge), characterized by a progressively longer delay in the activation of septum and lateral wall.

Each E/M simulation returned a time series of tetrahedral meshes defining the instantaneous position of the myocardium over the full simulated cycle. Indices of cardiac deformation/strain are more conveniently expressed in anatomical coordinates, namely radial (R), longitudinal (L) and circumferential (C) [6]. The anatomical coordinates were therefore used to re-sample the original tetrahedral meshes regularly along L (30 points), C (25 points) and R (3 points). All details are provided in [30]. The final result of the re-meshing operation is illustrated in Fig. 2(b). For regional analysis, the LV was split into 17 segments according to the standard AHA subdivision (cf. Fig. 2). The re-sampled meshes were used as ground-truth in the comparison study, as explained in Sect. II-C, therefore being referred to as “ground truth meshes”.

The simulated ultrasound volumes were obtained by applying the fast US simulator COLE [25]. Parameters were tuned so to match as close as possible to the current state-of-the-art 3D ultrasound systems in clinical practice. In particular, we implemented a phased array with center frequency of 3.3MHz with a relative bandwidth at -6dB of 65%. Sampling frequency was 50MHz. The US pyramid consisted of 107 beams in azimuth and 80 beams in elevation direction over an angle of 76 degrees in both directions. As such, the size of RF volumes was $107 \times 13637 \times 80$ voxels. After scan conversion, the size of B-mode volumes was $224 \times 176 \times 208$ voxels, for a voxel size of $0.7 \times 0.9 \times 0.6$ mm³. For all datasets, time resolution was 34 frames/s. For a dynamic visualization of the synthetic recordings, we refer to our project’s web page¹ and to the interactive web interface².

B. Processing done by the participants

For each of the 8 simulations the following was available:

- the raw RF images before envelope detection and scan conversion;
- the B-mode voxel images, *i.e.* after envelope detection, log compression and scan conversion;
- the “ground truth meshes”, *i.e.* after the re-sampling of Fig. 2(b);

Additionally, a set of MATLAB scripts to compute tracking and strain errors, hence to be used for parameters tuning, were also distributed. The dataset was split between a training and a

²<https://desk.creatis.insa-lyon.fr/traus/>

TABLE I
CONSIDERED ALGORITHMS. REFER TO THE TEXT FOR A DETAILED DESCRIPTION.

Algorithm	Family	Image Data Processed	Global/Local	Cost function	Spatial Regularization	Temporal Regularization
AFFD [20]	Elastic Image Registration	B-mode	Global	Sum of Squared Differences	Bending + Incompressibility	Forward + backward tracking
AAOF	Optical flow	B-mode	Local	Brightness constancy	Local Affine model fitting	Forward + backward tracking
S-Demons [16]	Optical flow	B-mode	Global, sparse	Sum of Squared Differences	Gaussian Smoothing	Forward + backward tracking
BM	Block Matching	B-mode	Local	Normalized cross correlation	None	Pointwise Gaussian smoothing
RFBM	Block Matching	RF	Local	Complex Normalized cross correlation	local NCC averaging + spatial smoothing	None

testing set. The training set consisted of the full data package (*i.e.* RF data, B-mode images and ground truth meshes) for the LADprox and LBBBlarge sequences. For the remaining sequences (*i.e.* the testing set) we provided all simulated ultrasound data (*i.e.* RF data and B-mode images) plus the ground truth mesh for the first frame only. The remaining ground truth meshes were kept by the organizers³.

Participants ran their specific tracking solution on each simulated dataset and used it to propagate the nodes of the first ground truth mesh over the full cycle. Performance was then assessed by comparing the ground truth positions against the tracking result, as explained in Sect. II-C.

C. Performance Assessment

1) *Tracking Accuracy*: Tracking error vector was defined as $\mathbf{e}^i(k) = \mathbf{x}^i(k) - \hat{\mathbf{x}}^i(k)$, with $\mathbf{x}^i(k)$ representing the 3D position of the i -th node of the ground-truth mesh at frame k and $\hat{\mathbf{x}}^i(k)$ the position of the same node when using the tracking result. Besides error amplitude, we also evaluated separately its projections along the R , L and C anatomical directions.

2) *Strain Accuracy*: Radial, longitudinal and circumferential strains (ϵ_R , ϵ_L and ϵ_C , respectively) were measured by the relative change in distance between two neighboring mesh nodes. Namely, $\epsilon_n(k) = \ell_n(k)/\ell_n(0) - 1$ with $\ell_n(k)$ the distance between two consecutive nodes along direction $n \in \{R, L, C\}$ at time k . Segmental strain values were obtained by averaging point measurements per cardiac segment.

For the ischemic dataset, we focused the error analysis to end-systolic (ES) strain, given its clinical interest as a “techno-marker” for ischemic heart disease [6]. Hereto, we measured the Pearson’s correlation coefficient ρ and the slope α of the regression line, as well as the bias μ and the limits of agreement ($\text{LOA}=1.96\sigma$). Moreover, we tested the accuracy of the computed ES strain values in detecting ischemia by measuring the area under the curve (AUC) of the receiver operating characteristic (ROC) curves. The ROC curves were computed

from a progressive threshold by assuming ES (absolute) strain values below the threshold as indicative of ischemia. Segmental strain values (*i.e.* point estimates averaged per segment) were considered in the analysis.

For the dyssynchronous dataset, the analysis of the full strain profiles was considered. Accuracy in matching full strain profiles was therefore measured by the relative error $\sum_t |\text{estimated_strain}(t) - \text{reference_strain}(t)| / \sum_t |\text{reference_strain}(t)|$. The error measurement was restricted to the septum (segments # 8 and 9) and lateral wall (segments # 11 and 12), cf. Fig. 2(a). In particular, time-to-peak measurements were extracted as clinical markers to quantify a contraction delay. Time-to-peak values were computed using a continuous B-spline interpolation of the strain profiles. As such, non-integer values were allowed. For all the experiments, only the mesh nodes falling inside the field of view were considered.

3) *Statistical Tests*: For each reported ρ value, Fisher’s transformation was used to test the hypothesis of no correlation. The slope of the regression line was assessed with a F-test. Strain bias μ was instead evaluated with a t-test. The statistical significance of the reported AUC values (*i.e.* of $\text{AUC} > 0.5$) was tested with the non-parametric technique described in [31]. We also tested the statistical significance of differences between all possible pairs of algorithms. Hereto, the Fisher’s transformation was used for correlation coefficients, a paired t-test was employed for regression slopes and biases while limits of agreement were compared with an F-test. All values were considered statistically significant when $p < 0.05$.

III. CONSIDERED ALGORITHMS

The main features of the algorithms considered in the comparison are summarized in Table I. A detailed description follows.

A. Anatomical Free Form Deformation (AFFD)

The group from KU Leuven contributed with an anatomical free-form deformation technique (AFFD). In this model, the

³Note that public release of the ground truth data on our web-page was postponed until the completion of this study.

displacement field is represented using a tensor-product B-spline and the control points' grid is locally oriented along the cardiac R , L and C directions of the endocardial surface. The nodes's weights are optimized with a limited memory Broyden-Fletcher-Goldfarb-Shanno optimizer with bound constraints (L-BFGS-B). The initial 3D AFFD technique was presented by Heyde *et al.* in [32], and uses an energy consisting of a sum-of-squared difference image metric representing the data term and a bending energy to enforce smoothness. The implementation used in this comparison evolves from the initial one by further including a penalty for deformations deviating from myocardial volume conservation [20]. The hyper parameters balancing bending energy and volume conservation were optimized in the training phase.

The AFFD technique and all intermediate steps leading to it have been thoroughly evaluated in-silico, in-vitro and in-vivo (cf. [20] and the references therein). In particular, AFFD was the technique of choice for strain imaging in a recent open challenge [21].

B. Anatomical Affine Optical Flow (AAOF)

The group from the University of Minho contributed with a localized anatomically-constrained affine optical flow (AAOF) algorithm. Their algorithm is the 3D extension of the one proposed in Queirós *et al.* [33] for fast left ventricle tracking in cine cardiac MRI datasets. The principle is to estimate the motion between adjacent cardiac phases using optical flow. In order to reduce its computational burden and avoid the influence of surrounding tissues, the motion estimation step is anatomically-constrained by only considering a region of interest around the tracked surface. Subsequently, the estimated motion is integrated into a local affine motion model, in which each surface point considers the motion of its neighbor points and their relative distances. By including an iterative displacement refinement scheme, the algorithm is able to accurately capture large displacements. In the present case, the reference mesh is initially divided into three surfaces, namely endo-, mid- and epicardial surfaces. Each surface is then propagated independently by estimating its motion throughout the cardiac sequence.

The AAOF algorithm extends the recent B-spline Explicit Active Surfaces (BEAS) framework for LV endocardial tracking [34]. BEAS was evaluated clinically and showed competitive against non-commercial solutions (cf. [34] and the references therein). Moreover, it was among the most accurate techniques in a recent open challenge on 3D LV segmentation [35]. Although this is the first application of AAOF to 3D US, its global counterpart for cine MRI was evaluated extensively [36].

C. Sparse Demons (S-Demons)

Philips contributed with a sparse implementation of the Demons algorithm [15], called Sparse-Demons (S-Demons), which was previously presented in Somphone *et al.* [16]. Briefly, the idea is to find a dense, non-rigid displacement field by minimizing an energy functional defined only on a finite number of points of interest. A fluid-like regularization

of the displacement is adopted, which can be approximated by Gaussian filtering [16]. The crucial parameters to be adjusted are the width of the Gaussian kernel σ (*i.e.* the larger sigma, the smoother the estimated displacement field) and the number and location of the points of interest. For more details we refer to [16].

S-Demons was previously evaluated on synthetic 3D recordings in [16] and found competitive with the best performing algorithms considered in [21].

D. B-mode Block Matching (BM)

The group from the Warsaw University of Technology contributed with an implementation of B-mode block matching. Three dimensional normalized cross correlation (NCC) was used as a similarity function. Prior to localizing its maximum, NCC was interpolated in 3D by using cubic B-splines in order to achieve a resolution of 1/16 of a voxel. The search range for each block was set to cover a maximum physiological velocity of 12 cm/s. Kernel size was chosen experimentally by assessing the average and median displacement errors on the training set. As such, final estimations were carried out using a kernel of $10.7 \times 13.6 \times 9.3$ mm (*i.e.* $16 \times 16 \times 16$ voxels). All the mesh nodes were tracked through the whole deformation cycle. The method implemented spatial smoothing based on gaussian filtering. This smoothing was executed at each frame, before estimating positions of mesh nodes at next time step. Temporal smoothing for each point trajectory was also implemented. This was carried out after the whole cycle of displacements was estimated. Assessment of the method's performance showed that, due to the sparsity of tracking points, spatial smoothing caused estimation errors to increase. For this reason spatial smoothing was omitted. For temporal smoothing, Gaussian filter with size of 7 time points was chosen.

E. RF block matching (RFBM)

The group from the University of Washington contributed with a RF block matching implementation based on Chen *et al.* [12]. Block matching was applied to RF data in polar coordinates, where the axial dimension was sub-sampled with a factor 4 for computational efficiency. Block size was $21 \times 7 \times 3$ voxels and computed based on the expected speckle size, as measured by the average width of the auto-correlation function of the RF signal. The search region was $51 \times 3 \times 3$ voxels and chosen based on the average displacement measured from the ground truth. Sub-pixel axial displacements were computed with the phase zero-crossing method and were totally unconstrained based on any model assumptions of expected displacement/strain values. A second-order polynomial approximation was employed in the lateral and elevational directions. A tracking quality check was performed based on comparing the result of incremental (*i.e.* between time $t - 1$ and t) and direct (*i.e.* between time 0 and t) tracking. Motion patterns of adjacent speckles in the myocardium have innate coherence due to their physical proximity. Spatial coherence was achieved by averaging the similarity (NCC) maps of adjacent voxels. Moreover, each voxel participates in multiple

TABLE II
GLOBAL TRACKING ERROR IN MM [5-TH PERCENTILE, MEDIAN, 95-TH PERCENTILE]

Algorithm	Full database	Normal Geometry	Dilated Geometry
AAOF	[0.09; 0.38 ; 1.51]	[0.09; 0.38 ; 1.35]	[0.08; 0.39 ; 1.88]
AFFD	[0.14; 0.47 ; 1.28]	[0.14; 0.48 ; 1.30]	[0.13; 0.44 ; 1.23]
S-Demons	[0.14; 0.49 ; 1.41]	[0.15; 0.49 ; 1.41]	[0.13; 0.50 ; 1.41]
BM	[0.26; 0.90 ; 2.46]	[0.26; 0.86 ; 2.11]	[0.25; 0.99 ; 3.19]
RFBM	[0.09; 0.72 ; 4.33]	[0.10; 0.72 ; 4.40]	[0.07; 0.73 ; 4.23]

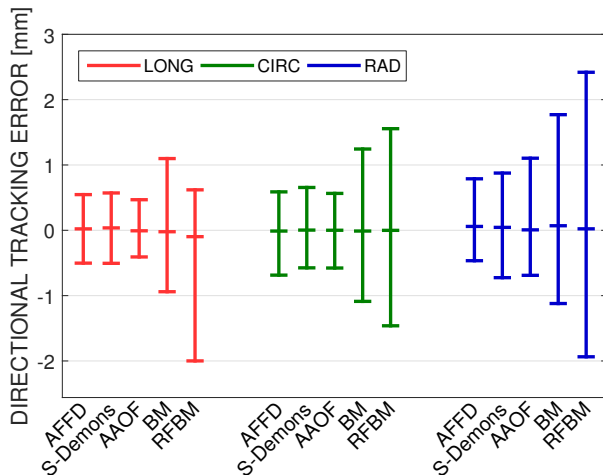


Fig. 3. Box-plot of tracking error along the 3 anatomical directions. The three markers represents 5-th, 50-th and 95-th percentile, respectively.

blocks had several estimates, which were further averaged to reject non-consistent estimates. The algorithm was used to find a dense displacement field on the whole volume. The mesh nodes were then propagated by linearly interpolating the displacement field.

RFBM was previously evaluated in-vitro on cardiac phantoms and in-vivo on open chest animal preparations (cf. [37] and the references therein).

IV. RESULTS

For synthesis, we provide in this report only a, yet representative, subset of the obtained results. A supporting document is made available containing the full set of error plots. For reproducibility, the ground-truth meshes and the associated tracking result from all participants are made available at our project web-page¹, along with the MATLAB scripts used to compute tracking and strain errors. Of note, all algorithms (with a slight difference for RFBM, as mentioned in Sect. III) performed the tracking by accumulating incremental estimates between couples of consecutive frames. Moreover, in order to minimize error accumulation, AFFD, S-Demons and AAOF implemented a bi-directional tracking strategy combining forward and backward estimates (cf. Table I).

A. Tracking Accuracy

1) *Global analysis*: Global tracking errors (*i.e.* inclusive of all nodes, time instants and sequences) are summarized in Table II. To evaluate the influence of the geometry on the

tracking quality, error statistics are also reported separately for sequences obtained from a healthy geometry (*i.e.* the healthy simulation and the four ischemic ones) and sequences obtained from a dilated geometry. AAOF returned the smallest mean error on both ischemic and dilated datasets. AFFD and S-Demons returned slightly larger average errors while error dispersion was instead smaller as compared to AAOF. BM and RFBM had a larger error bias and wider dispersion ranges as compared to non-block matching solutions. As a reference, the average ground-truth displacement at end-of-systole was 4.64 mm.

Fig. 3(b) presents the 3 anatomical components of the tracking error. For all algorithms, radial errors had the largest variance and a slight positive bias (*i.e.* tendency to underestimate radial motion). Among non-block matching solutions, the relative loss in accuracy in the radial direction was particularly relevant for AAOF. Longitudinal and circumferential errors did not exhibit clear biases, except for RFBM, which tended to underestimate longitudinal displacements. Such longitudinal errors are explained by the difficulty of RF tracking in coping with large motions. As an example, note that, with the US parameters employed, a displacement of 1 mm leads to a shift of ~ 65 RF samples.

2) *Regional analysis*: Error dependency on the myocardial layer was analyzed first (cf. Fig. 4(a)). All algorithms returned more accurate estimates at the mid-myocardial level, *i.e.* where boundary effects are less important. Moreover, errors were generally higher on the epicardial surface. This is a consequence of the poor delineation of the epicardial border typical of cardiac ultrasound [38]. As expected, error increase was the highest for the solutions which did not apply an explicit constraint in the radial direction (AAOF and RFBM in particular). This effect was particularly important for RFBM, as also illustrated by the bull's eye plot in Fig. 5.

Error variations per left ventricular level (*i.e.* basal vs. mid vs. apical segments) and per functional region (*i.e.* normal vs. ischemic segments) were also analyzed, cf. Fig. 4(b, c). The first experiment showed a progressive error increase when moving from apex to base, cf. Fig. 4(b). This is partly explained by the lower image quality when moving away from the probe and by the progressively larger absolute displacements in the apex to base direction. This effect was particularly important for RFBM. Again, this can be due to the difficulty in coping with large displacements. Errors were in contrast more uniformly distributed with respect to variations in the mechanical function of the segments. Yet, except for S-Demons and AFFD, there was a tendency in returning more accurate tracking on normal segments as compared to ischemic ones, Fig. 4(c). This might be a consequence of the tuning strategy chosen. In particular, tuning with respect to global error will naturally privilege normal regions due to their larger relative extent.

Fig. 6 displays the tracking error over time for the LADprox training sequence. The vertical blue lines denote aortic valve opening, aortic valve closure and opening of the mitral valve, respectively. All algorithms made the highest errors at ES, *i.e.* when the displacement is the largest. Techniques combining forward and backward tracking (AFFD, AAOF and S-Demons)

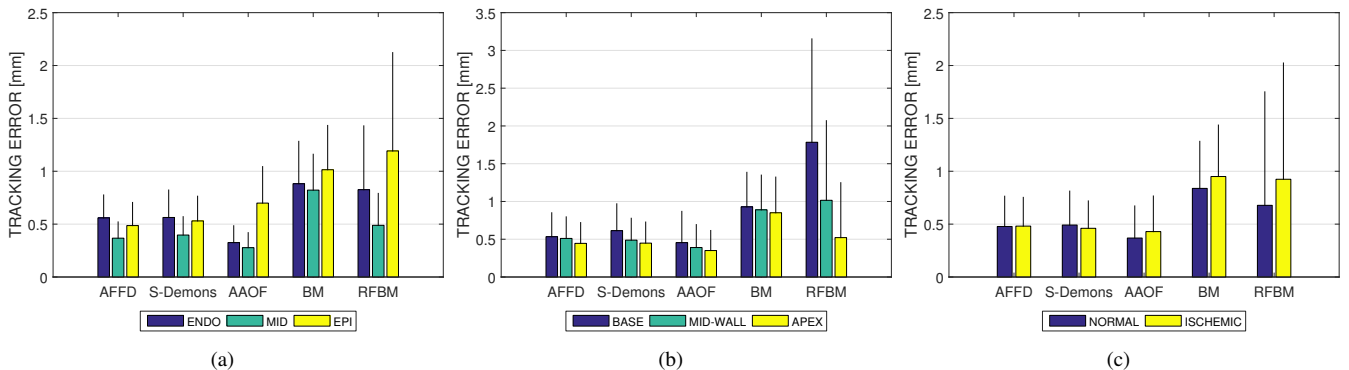


Fig. 4. Box-plots of tracking error per trans-mural layer (a), left ventricular level (b) per functional region (c). The main bar represents the median error while the black segment denotes the mean absolute deviation.

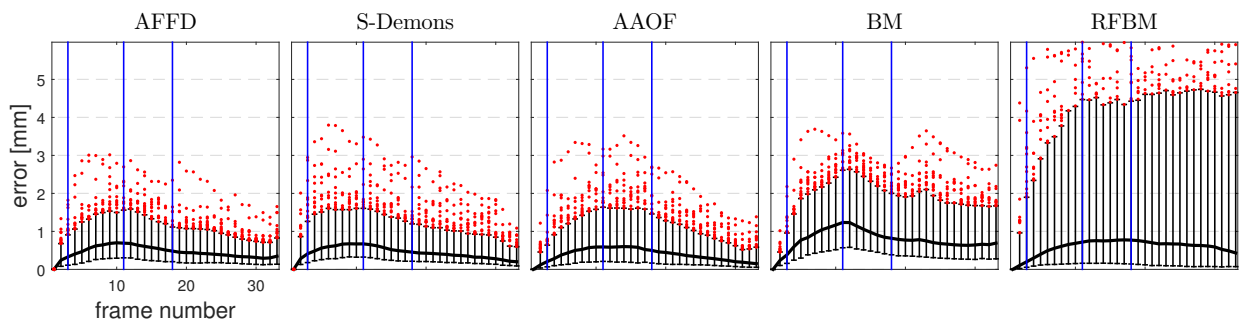


Fig. 6. Boxplots of tracking error over time on the LADprox training sequence (5-th, 50-th and 95-th percentile values, outliers as red dots). The vertical blue lines denote aortic valve opening, aortic valve closure and opening of the mitral valve, respectively. For all techniques, the population of outliers was decimated for better visualization. For the same reason, the error plot of the RFBM was partly cropped.

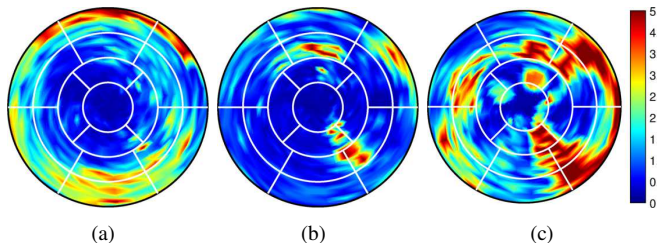


Fig. 5. Bull's eye plot of tracking error for the RFBM sequence evaluated at ES on the LADprox sequence independently on the 3 trans-mural layers.

effectively reduced error accumulation as compared to BM and RFBM, as evidenced by the lower end-diastolic error values. In this sense, AFFD had a slightly higher error drift at the end of the cardiac cycle as compared to AAOF and S-Demons. In general, median errors had comparable behavior and were mostly below 1 mm. Among the considered solutions, RFBM suffered the most from the presence of outliers, as shown by the larger error dispersion.

B. Strain Accuracy

1) *Detection of Ischemia*: The obtained numerical values of correlation coefficient ρ , regression slope α , bias μ and limits of agreement LOA for ES strain are summarized in Table III. For each metric, the best values are denoted in bold font. In these experiments, the 4 ischemic sequences were considered only.

AFFD was the only algorithm to preserve high accuracy irrespective of the strain direction. In particular, it was the only technique for which the bias was never statistically significant. Moreover, it had the highest ρ for C- and R-strain and the lowest μ and LOA for R-strain. Remaining algorithms had instead problems in retrieving R-strain accurately. AAOF returned highly accurate estimates of L-strain, with the highest ρ , the narrowest LOA and a non-significant bias. Compared to AFFD and S-Demons, AAOF had the slight tendency to underestimate C-strain, as shown by the lower α . Moreover, AAOF returned a statistically significant positive bias for R-strain. S-Demons had high accuracy for L- (highest α) and C-strain (highest ρ and narrowest LOA), while it had the tendency to underestimate R-strain substantially, as explained by the statistically significant negative μ and the low α .

Algorithms based on block-matching were in general less accurate than algorithms based on elastic registration or optical flow. In particular, they mainly suffered from the noisy displacement estimates, which explain the larger LOA. The gap in performance was the smallest for BM, in particular for L- and C-strain. Yet, in both cases, BM had the tendency to underestimate strain values as shown by the relatively low α . RFBM suffered the most from the presence of outliers as shown by the larger LOA.

For each accuracy metric and each possible pair of algorithms, we tested the statistical significance of the difference between the values obtained, as explained in Sect. II-C. The results of the test have been left out of this manuscript

TABLE III

CORRELATION COEFFICIENT ρ , SLOPE OF THE REGRESSION LINE α , BIAS μ , LIMITS OF AGREEMENT LOA, AND AREA UNDER THE ROC CURVE (AUC) FOR THE 5 ALGORITHMS. AN ASTERISK DENOTES STATISTICAL SIGNIFICANCE OF THE REPORTED MEASUREMENTS, NAMELY OF $\rho > 0$, $\alpha > 0$, $\mu > 0$ AND AUC > 0.5 . BEST VALUES ARE REPORTED IN BOLD FONT. VALUES WERE MEASURED ON THE ISCHEMIC DATASETS ONLY.

	ϵ_L					ϵ_C					ϵ_R				
	ρ	α	μ	LOA	AUC	ρ	α	μ	LOA	AUC	ρ	α	μ	LOA	AUC
AFFD	0.84*	0.89*	-0.25	3.95	0.90*	0.98*	0.88*	0.16	4.84	0.99*	0.93*	0.83*	-0.06	10.00	0.98*
S-Demons	0.88*	0.96*	0.42	3.65	0.93*	0.98*	0.89*	0.43	4.52	0.99*	0.83*	0.62*	-12.58*	15.55	0.95*
AAOF	0.95*	0.88*	-0.35	2.20	0.96*	0.98*	0.81*	-0.09	5.63	0.99*	0.83*	0.92*	9.25*	17.21	0.96*
BM	0.75*	0.61*	-0.06	4.53	0.89*	0.89*	0.59*	1.71	11.14	0.97*	0.47*	0.22*	-12.64*	24.25	0.81*
RFBM	0.36	1.43*	14.98	25.34	0.67	0.87*	0.98*	8.22*	11.95	0.95*	0.35*	0.46*	-5.04	36.36	0.80*

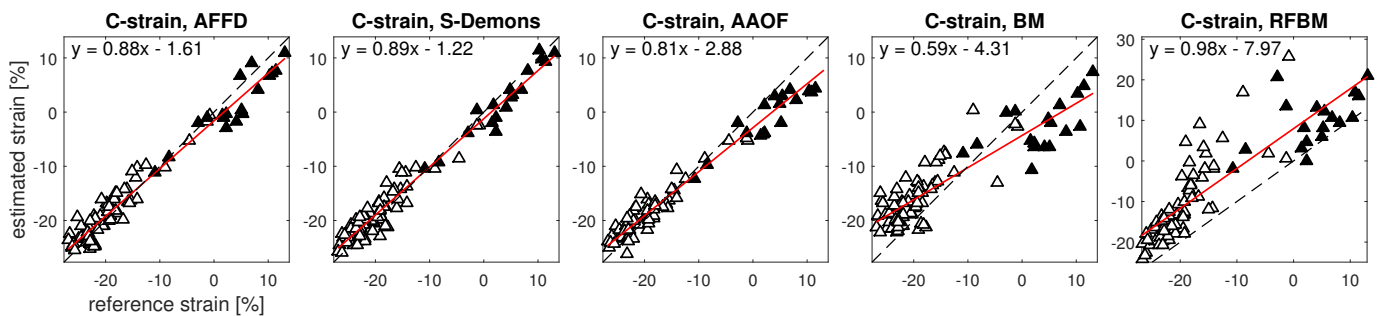


Fig. 7. Correlation plot for end-systolic circumferential strain. Empty and full markers denote healthy and ischemic segments respectively. The dashed line in represents $y = x$ (*i.e.* perfect correlation), while the regression line, whose equation is reported inside the plot, is displayed in red. Note the different error scale used in both plots for RFBM.

for the sake of brevity and are provided in the supporting document. The main points arising from the statistical test are the following.

For what concerns non block-matching algorithms (AFFD, AAOF and S-Demons), differences in L- and C-strain were, for most of the metrics, not statistically significant. The accuracy of AFFD in R-strain was statistically higher with respect to any competing solution. For what concerns block-matching solutions (BM and RFBM), BM accuracy was statistically higher with respect to all metrics for L- and C-strain. Differences in R-strain were instead not significant for most metrics.

When comparing the two groups of algorithms against each other, BM had non-significant differences to at least one of the non block-matching based solutions in L-strain (ρ , α , μ and LOA, *i.e.* all assessed metrics), C-strain (ρ , α , μ and LOA) and R-strain (μ). Instead, error differences between non-block-matching algorithms and RFBM were in most cases significant.

Correlation plots for ES C-strain are reported in Fig. 7, where empty and full markers denote healthy and ischemic segments, respectively. The plots in Fig. 7 allow to make several additional considerations. At first, BM and, to a lesser extent, AAOF seemed to be biased towards healthy segments. The overall lower α seemed therefore mainly explained by the tendency to underestimate strain in ischemic regions. This trend was instead less apparent for L- and R-strain. The plots also show that C-strain errors of RFBM were mainly localized in few segments, while L- and R-strain errors were instead more uniformly distributed.

The bull's eye plots in Fig. 9 allow to gain further insights on the algorithms' performance. In particular, they correspond

to C-strain estimates on the normal and LCX sequences. Ischemic segments, as available from the ground truth, are denoted by the bold black contours. To reduce boundary effects, strain was measured at the mid-myocardial layer. All algorithms returned strain maps qualitatively similar to the ground truth and ischemic segments were identified by lower (absolute) strain values. The plots confirm the tendency of BM and, to a lesser extent, AAOF to return low C-strain estimates. The bull's eyes also show that regularized solutions tended to smear the interface between healthy and ischemic regions out. Differently, block-matching algorithms returned strain maps that were overall more noisy but also more sensitive to rapid strain variations. This is particularly evident for RFBM.

To evaluate more quantitatively the capability to discriminate ischemic from normal segments, we measured, for each algorithm, the area (AUC) under the ROC curve. The obtained results are reported in Table III, while the ROC curves are plotted in Fig. 8. The AUC values measured on the ground-truth were AUC = 0.98 for R-strain, AUC = 0.95 for L-strain and AUC = 0.99 for C-strain. In Fig. 8, the ground-truth ROC curve is represented by the gray shaded region. All algorithms achieved a good separation (AUC > 0.9) when using C-strain, with S-Demons reaching the ground truth value. Interestingly, although less accurate than for the other components, R-strain estimates allowed a good discrimination for all algorithms. Ground truth values of L-strain were intrinsically the less discriminative and this explains the lower area values which were obtained in average. Yet, all algorithms achieved AUC ~ 0.9 , except for RFBM where L-strain was deteriorated due to the low SNR of the tracking results.

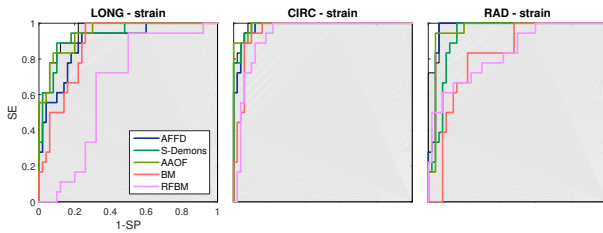


Fig. 8. ROC curves for detection of ischemic segments based on thresholding of ES strain. The grey-shaded region represents the ground-truth curve.

TABLE IV
RELATIVE ERROR (IN %) BETWEEN ESTIMATED AND REFERENCE STRAIN CURVES (MEAN \pm STANDARD DEVIATION OVER THE DYSSYNCHRONOUS DATASET).

	C-strain	L-strain	R-strain
AFFD	0.16 \pm 0.44	1.20 \pm 3.58	0.48 \pm 0.93
S-Demons	0.12 \pm 0.15	1.10 \pm 4.42	1.37 \pm 2.46
AAOF	0.18 \pm 0.76	1.26 \pm 5.60	2.04 \pm 1.96
BM	0.62 \pm 1.94	9.27 \pm 46.08	0.73 \pm 1.16
RFBM	0.45 \pm 0.38	15.00 \pm 89.40	2.54 \pm 4.01

2) *Detection of Dyssynchrony*: Table IV reports the relative errors between estimated and ground-truth strain curves, as defined in Sect. II-C, where the best performance is denoted by the bold font. S-Demons returned the most accurate L- and C-strain estimates while, as in the ischemic dataset, it tended to underestimate R-strain. AFFD estimates were instead more constant with respect to the strain direction. AAOF tended to overestimate R-strain. Fig. 10 compares longitudinal strain profiles measured from the septum and lateral wall. The higher error drift for BM and RFBM is partly explained by the fact that neither technique compensated for error accumulation. The time-to-peak values computed from the estimated strain profiles are represented by the markers in Fig. 10. Fig. 10 shows that, for all algorithms, the computed timing differences were accurate enough to detect a dyssynchronous contraction.

C. Computation Time

Computation times cannot be compared directly because of *i)* the different implementations/hardware employed *ii)* conceptual differences between algorithms computing the displacement voxelwise on the full image domain (AFFD, RFBM) versus algorithms localizing the computation to a sparse set of points only (AAOF, S-Demons, BM) and *iii)* differences in the kind of data processed (*i.e.* RF tracking is intrinsically computationally more demanding given the bigger data size). Moreover, for the purpose of this comparison, algorithms were optimized for accuracy and not execution time. Nonetheless, computational complexity remains an important constraint towards clinical translation and is therefore reported here for indicative purposes.

AFFD was implemented in C++ and required \sim 10 min/frame on a Linux cluster (1.8GHz CPU, 256GB RAM). The AAOF algorithm was implemented in MATLAB and took 3.8 s/frame on a 4-core 3.60 GHz processor. The S-Demons algorithm required 0.08 s/frame on an Intel Core i7-4800MQ

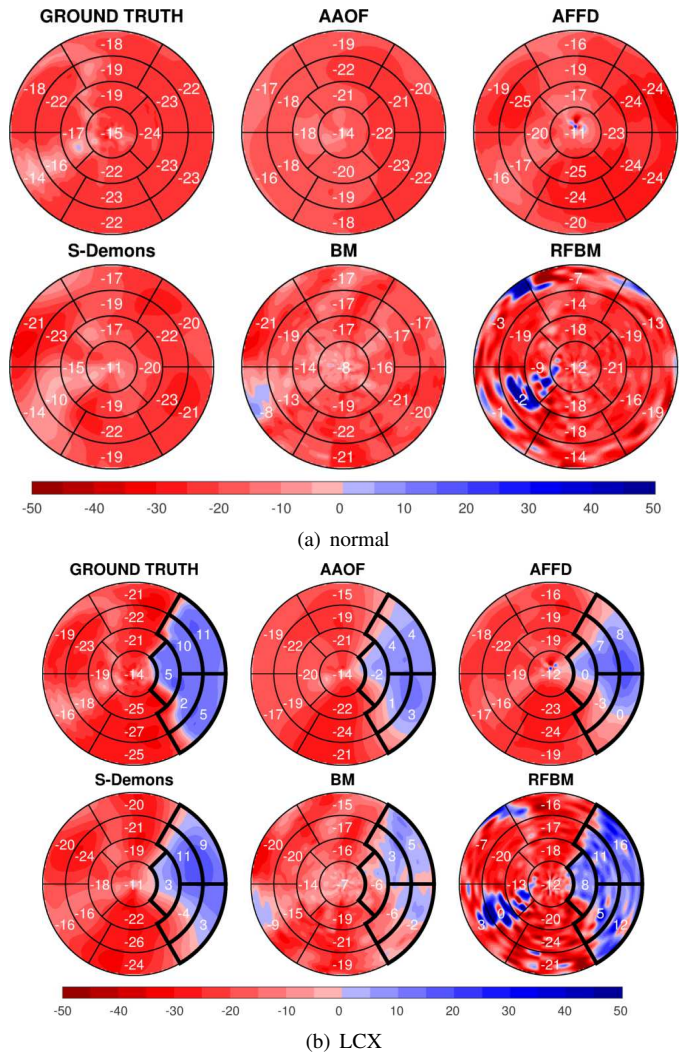


Fig. 9. Bull's eye plots of end-systolic circumferential strain for the normal (a) and LCX (b) sequence. The ground truth is at the top left. Ischemic segments are identified by the bold black contours. The text in white reports the average strain in %, rounded to the closest integer value, measured in the segment.

CPU @ 2.70GHz with 16GB RAM. The RFBM algorithm required 50 min/frame on a stand-alone Intel(R) Core i7 with 3.6GHz CPU and 32GB RAM. BM was coded in MATLAB and took 129 s/frame on a Intel i7, 6GB RAM notebook. For computational efficiency, a C implementation of the NCX function was wrapped in the MATLAB code.

Assuming a reference temporal resolution of 30 frames/s for 3D US, S-Demons and AAOF were therefore the best candidates for online processing. Moreover, although this was not the case for the considered implementation, we note that block-matching can be easily optimized for fast execution on parallel architectures such as GPU's. AFFD is instead based on iterative optimization solvers and is therefore typically less parallelizable. Improving computational efficiency of the AFFD technique is topic of active research within the developing team [39].

V. DISCUSSION

We contrasted five non-commercial solutions for 3D deformation imaging in cardiac ultrasound by using a simulation

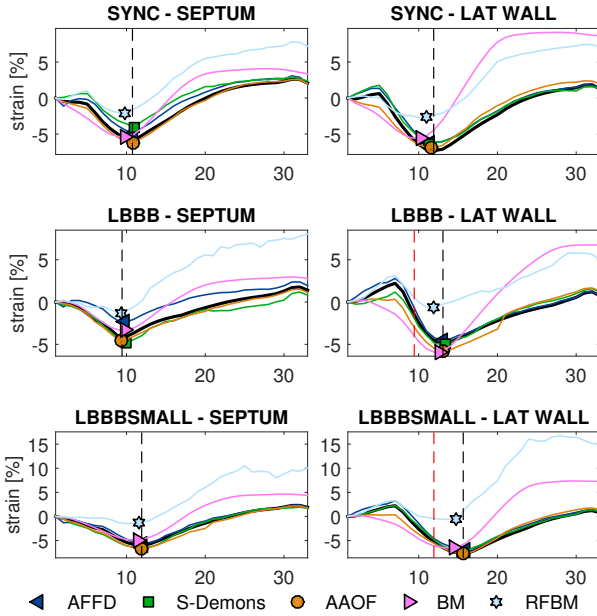


Fig. 10. L-strain profiles for septum and lateral wall measured on the dyssynchronous dataset. The bold black curve represents the ground truth. The markers indicate the time-to-peak values computed from the strain curves. Note that drift corrections was performed before extracting the time-to-peak values. The the black dashed vertical lines denote the reference time-to-peak value. The red dashed vertical line denotes the reference time-to-peak value in the septum.

pipeline we have recently developed [23].

Techniques based on elastic registration (AFFD) and optical flow (AAOF and S-Demons) had similar tracking accuracy. In particular, AAOF returned the smallest mean error while error dispersion was slightly larger as compared to AFFD and S-Demons. L-strain estimates were accurate for all three solutions with correlation coefficients close to 0.9 and non-significant biases. This is noteworthy given the relevance of L-strain in clinical diagnostics [6]. AFFD and S-Demons returned accurate C-strain estimates while AAOF had the slight tendency to underestimate circumferential deformations. Yet, C-strain error differences were found not to be statistically significant. AFFD was the only solution to preserve high accuracy for R-strain while performance dropped for AAOF and S-Demons. This is particularly relevant given that achieving accurate estimates of radial deformation appears to be an open challenge of the 3D STE technology [21], [40]. The higher accuracy of AFFD can be related to the presence of a volume conservation penalty, which constrains R-strain estimates to the, typically more robust, L- and C-strain estimates. R-strain errors for AAOF could be due to the fact that the three trans-mural surfaces were tracked independently. Hence, the technique could benefit from a spatial constraint in the radial direction.

Techniques based on block-matching (BM and RFBM) were in general less accurate as due to the more noisy displacement (hence, strain) estimates. Overall, BM was more accurate than RFBM. In particular, its accuracy for L- and C-strain was not statistically different from the one of non block-matching solutions. RFBM suffered the most from the presence of outliers,

as due to the large inter-frame displacements in RF space and to the boundary effects at endo- and epicardium. In this regard, we note that RF-based tracking is typically coupled with high frame rate imaging where displacements are intrinsically smaller [41]. Hereto, an initialization based on B-mode images could help cope with large displacements [11]. Moreover, the high sensitivity of RF tracking can be preserved even for 3-D tracking at low frame rates when RFBM results are integrated with shape-based methods to constrain displacement values, especially near boundaries where RFBM methods exhibit high variance but shape-based methods perform well [42].

Despite the different levels of accuracy, the measured AUC values showed that all algorithms could detect ischemia with a good accuracy, especially when using C-strain ($AUC > 0.9$). This result is also partly explained by the large strain differences between healthy and ischemic segments. This point is addressed further in the following. Strain accuracy on the dilated dataset reflected qualitatively what observed on the ischemic one. Nonetheless, time-to-peak measurements were appropriate to detect dyssynchrony for all five algorithms. Along with the AUC value, this result points to the fact that absolute strain accuracy and the ability to derive clinically relevant features from the strain estimates are problems which deserve separate attention.

VI. LIMITATIONS OF THE STUDY

A. Synthetic ultrasound data

As discussed in [23], the synthetic sequences exhibit a sharp transition in the speckle properties, *i.e.* speckle motion is coherent in the myocardium, while it is fully random in the surrounding regions. This could make boundary effects more important as compared to real recordings, in particular for the un-regularized solutions. To account for this, all seed points considered in the evaluation were placed well inside the myocardium, *i.e.* far from the interface between the two regions (cf. Fig. 2).

Second, stationary ultrasound artifacts such as dropout and reverberations are not included in the evaluation dataset. Robustness to these effects is an important design criterion for effective STE solutions. Including such artifacts and evaluating their influence on the strain estimates is priority in our ongoing research.

B. Motion model

Concerning the healthy simulation, peak systolic L-strain remains low as compared to reported normality ranges [23]. This is a common limitation of existing heart models and improving this aspect is the topic of ongoing research.

Moreover, in the ischemic dataset, ischemic areas were large and characterized by a substantial strain reduction. This prevented from evaluating more systematically the compromise, intrinsic to regularized solutions, between the enforced smoothness of the displacement field, the spatial resolution of the strain estimates and the sensitivity to subtle strain changes. A sensitivity analysis for those methods fell beyond the scope of this study, nonetheless it remains a necessary further step towards the clinical translation of these techniques. In this

perspective, segmental strain values should be complemented by more detailed error maps. In this sense, the bull's eye plots in Fig. 9 showed that regularized solutions tended to over-smooth the interface of the ischemic region, while block matching estimates, although more noisy, identified the interface with better contrast. Enlarging the synthetic dataset by including more localized and progressive levels of ischemia is thus part of our ongoing research.

Moreover, post-systolic shortening is an important additional marker of ischemic dysfunction. To our knowledge, this effect is not reproduced by existing cardiac models and, in particular, it is not present in our synthetic dataset. For what concerns the detection of dyssynchrony, other strain markers, such as of septal flash, can be used to complement time-to-peak measurements [43]. Including these effects and evaluating the accuracy in their detection is therefore the topic of ongoing investigation.

A further point is that strain estimates are known to be dependent on the temporal resolution of the imaging system [44]. In this study, temporal resolution was equal to 34 frames/s for all dataset. The value was chosen as a representative average of what is available clinically. Modifying frame rate is a straightforward adjustment of the E/M model. Evaluating the impact of frame rate on tracking and strain accuracy fell beyond the scope of this paper. Yet, this represents one of our ongoing topics of research and a preliminary report can be found in [45].

Overall, the employment of a motion model, by definition, introduces simplifications and, therefore, a bias in the results. In particular, numerical stability aspects may favor (temporally and spatially) smooth deformation fields and, hence, regularized solutions. In this regard, we note that the bio-mechanical model employed is among the most advanced available: it is the output of a long-lasting research activity and several ongoing studies are preparing its clinical translation [46], [47]. Moreover, we also stress that none of the regularized solutions employed the bio-mechanical model as a constraint to the computed displacement estimates which, obviously, would introduce an unacceptable bias.

C. Sample Size

The small sample size, along with the limited diversity of the motion patterns and image qualities mentioned above, prevents from generalizing the obtained results as well as extrapolating them to the clinical practice. Enriching the synthetic database is therefore the topic of ongoing research.

D. Performance Metrics Used

For all participants, strain was computed in the same way from the tracked positions of the mesh nodes. Nonetheless, we note that different algorithms could benefit from different strain computation strategies: *e.g.* regularized solutions could compute strain analytically from the continuous deformation field, while, for block-matching solutions, robust parametric (*e.g.* affine) fitting of the displacement estimates could be used to improve robustness to outliers. We kept strain computation uniform in order to rule out additional sources of variability

in the comparison. Moreover, achieving a common set of definitions is crucial towards the standardization of the 3D STE technology [5], [6]. In particular, our strain definition is based on extending to 3D the recent recommendations for computation of global longitudinal strain with 2D US [5].

We also note that the definition of directional strain employed is insensitive to shear strain. In this perspective, the concept of *principal strain* could be adopted to compute deformation indices independent on the coordinate system used [37].

E. Algorithm implementation

This study considered an in-house implementation of block matching. As such, there is necessarily a disparity with respect to what is available in commercial packages. Although the block matching principle is in itself very well established, there are several possible degrees of freedom, most importantly in the way the displacement estimates are regularized, which can affect the final output considerably. Involving commercial packages is therefore our priority in the near future.

With these limitations in mind, this remains to our knowledge the first study where an heterogeneous set of well established non-commercial 3D STE techniques is directly contrasted on a set of realistic echocardiographic recordings. In silico testing is obviously not sufficient for clinical translation, nonetheless, it is recognized as a necessary preliminary step in this direction [48]. Extending the comparison to commercial solutions for 2D strain by using a 2D extension of the evaluation pipeline presented in this study [49] is the topic of ongoing research.

VII. CONCLUSIONS

We contrasted five 3D STE algorithms from leading teams in the field by using an evaluation pipeline we have recently developed. To our knowledge, this was the first time a diverse range of techniques were contrasted directly on a realistic set of ground-truth data.

Overall, non block matching based solutions returned closely accurate L- and C-strain estimates. AFFD was instead the only technique to preserve good accuracy for R-strain while performance dropped for competing solutions. Block matching algorithms (BM and RFBM) were in average less accurate. Yet, L- and C-strain errors for BM were mostly not statistically different from the ones of the previous three methods. RFBM suffered instead from the low temporal resolution (hence the large displacement) of the used dataset. Concerning computational complexity, S-Demons and AAOF were close to real-time processing while competing solutions (AFFD and RFBM in particular) were computationally more demanding. Moreover, BM could benefit from a substantial speed-up due to the intrinsic parallelizability.

Whether the reported findings can be extrapolated to the clinical practice remains to be established.

Our effort is to allow for a more solid and objective assessment of the state-of-the-art of the 3D STE technology, thereby promoting a more organized and effective development of the latter.

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