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Ana Cristina Kochem Vendramin, Anelise Munaretto, Myriam Regattieri Delgado, Aline Carneiro Viana, Mauro Fonseca. A Social-aware Routing Protocol for Opportunistic Networks. Expert Systems with Applications, Elsevier, 2016, 34, pp.351-363. 10.1016/j.eswa.2016.01.039 . hal-01369964

HAL Id: hal-01369964 https://hal.archives-ouvertes.fr/hal-01369964

Submitted on 17 Oct 2016

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A Social-Aware Routing Protocol For Opportunistic Networks

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Abstract

This work proposes the Cultural Greedy Ant (CGrAnt) protocol to solve the problem of data delivery in opportunistic and intermittently connected networks referred to as Delay Tolerant Networks (DTNs). CGrAnt is a hybrid Swarm Intelligence-based forwarding protocol designed to address the dynamic and complex environment of DTNs. CGrAnt is based on: (1) Cultural Algorithms (CA) and Ant Colony Optimization (ACO) and (2) operational metrics that characterize the opportunistic social connectivity between wireless users. The most promising message forwarders are selected via a greedy transition rule based on local and global information captured from the DTN environment. Using simulations, we first analyze the influence of the ACO operators and CA knowledge on the CGrAnt performance. We then compare the performance of CGrAnt with the PROPHET and Epidemic protocols under varying networking parameters. The results show that CGrAnt achieves the highest delivery ratio (gains of 99.12% compared with PROPHET and 40.21% compared with Epidemic) and the lowest message replication (63.60\%) lower than PROPHET and 60.84% lower than Epidemic).

Keywords: cultural algorithms, ant colony, social analysis, forwarding protocol, intermittently connected networks.

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1 1. Introduction

The pervasiveness of computing devices and the emergence of new applications and cloud services are factors emphasizing the increasing need for adaptive networking solutions. In most cases, this adaptation requires the design of interdisciplinary approaches as those inspired by nature, social structures, games, and control systems. The approach presented in this paper brings together solutions from different, yet complementary domains, i.e., networking, artificial intelligence, and complex networks, and is aimed at addressing the problem of efficient data delivery in intermittently connected networks.

As mobile devices become increasingly powerful in terms of communi-11 cation capabilities, the appearance of opportunistic and intermittently con-12 nected networks referred to as Delay Tolerant Networks (DTNs) is becoming 13 a reality (Khabbaz et al., 2012; Chaintreau et al., 2007; Tournoux et al., 14 2011). In such networks, contacts occur opportunistically in corporate en-15 vironments such as conferences sites, urban areas, or university campuses. 16 Understanding node mobility is of fundamental importance in DTNs when 17 designing new communication protocols that consider opportunistic encoun-18 ters among nodes. In fact, it is well known in the literature that the move-19 ment of nodes in such networks is not random and is a manifestation of 20 their routine behavior and intentions (Gonzalez et al., 2008). Together with 21 contact-based interactions among nodes, this movement generates a mobile 22 social network. The analysis of such mobility patterns and the understanding 23 of how mobile nodes interact (i.e., wirelessly encounter) play a critical role 24 in the design of solutions for DTNs. 25

On the other hand, given that adaptation in nature is a permanent and 26 continuous process, we note that the dynamic and complex environment of 27 DTNs favors the application of Swarm Intelligence (SI) methods, including 28 approaches based on Ant Colony Optimization (ACO) (Dorigo et al., 1996) 29 and Cultural Algorithms (CAs) (Reynolds, 1994). In fact, the environment 30 of opportunistic DTNs presents certain features in the mobility patterns of 31 the network nodes that can be sufficiently explored by joining CA and ACO 32 (e.g., knowledge stored in the belief space can guide the swarms through new 33 or already constructed paths depending on the node behavior). 34

³⁵ Motivated by those issues, this paper proposes the use of a Cultural

Greedy Ant routing protocol, known as CGrAnt, to identify the most promis-36 ing social-aware forwarders in DTNs, while profiting from SI-based paradigms. 37 For this approach, the opportunistic and complex information (such as fre-38 quency and duration, centrality metrics, or mobility features) with respect 39 to physical encounters between mobile nodes is gathered and favorable paths 40 along which to forward each message are determined, while limiting data 41 redundancy. Hence, the forwarding approach implemented by CGrAnt is 42 adaptive and designed to match forwarding decisions to different mobility 43 and operating conditions. Using a simulation environment, we evaluate the 44 performance of CGrAnt under varying parameters, i.e., movement models, 45 buffer sizes, message TTLs, simulation times, communication ranges, and 46 transmission rates. The results confirm the satisfactory behavior of our rout-47 ing protocol in key performance metrics, such as: message delivery ratio, 48 message redundancy ratio, and message delivery delay. 40

The remainder of this paper is structured as follows. Section 2 provides 50 an overview of the principles that drive our approach and its application 51 environment. Section 3 describes the CGrAnt routing protocol in detail, 52 and Section 4 presents the simulation environment. Sections 5 and 6 in-53 vestigate how the proposed operational metrics and components affect the 54 CGrAnt's performance. Section 7 compares the performance of CGrAnt with 55 two known DTN forwarding protocols under varying networking parameters, 56 and finally, Section 8 summarizes the concluding remarks and future direc-57 tions. 58

⁵⁹ 2. Rationale and Background

This section begins with an overview of the addressed problem. The main innovations and contributions are further discussed, and the state-of-the-art of forwarding in DTN environment is described, with particular attention given to approaches based on SI.

64 2.1. Problem overview

In DTNs, a fully connected multi-hop path may not exist between a sender and a receiver due to either mobility issues or varying conditions of wireless communications, thus requiring the use of specific mechanisms to ensure robustness in the data communication among nodes. The information exchange must be performed in an opportunistic fashion through so-called carry-and-forward routing techniques (Cerf et al., 2007). The nodes may

need to store messages from other nodes in their buffers for long periods of 71 time and carry these messages until a forwarding opportunity arises (Cerf 72 et al., 2007). Additionally, message replication may be necessary to increase 73 the probability of successfully delivered messages. However, certain problems 74 exist in a limited resource scenario: replications are undesirable because they 75 compete with valid data messages in the paths toward a destination, and the 76 storage of neighbors' data messages can be a problem due to limited buffer 77 sizes. 78

The problem of routing in DTNs can thus, be modeled as a multimodal 79 optimization problem attempting to find not just one solution but a set of 80 solutions (i.e., multiple paths between two nodes). The finite set of possible 81 solutions (i.e., paths formed by a sequence of nodes in which each node 82 permutation generates a new solution) characterizes the routing in DTNs as 83 a combinatorial problem. The problem can be also modeled as a dynamic 84 state because the search space characteristics and the location and value of 85 the solutions will change over time. The problem of routing in DTNs presents, 86 therefore, a complex challenge, with several aspects still unexplored by most 87 approaches described in the literature. Therefore, an updated consideration 88 of the DTN dynamics is necessary and can be accomplished by periodically 89 analyzing the neighbor information and selecting more than one path along 90 which to forward each message while limiting message redundancy. The 91 dynamicity and complex premises of DTNs characterize it as an environment 92 favorable for the application of SI algorithms, including ACO and CA (Dorigo 93 et al., 1996; Reynolds, 1994). 94

95 2.2. CGrAnt in a Nutshell

In view of the problem discussed in the previous session, we propose the CGrAnt protocol as a solution to the problem of identifying a set of good nodes along which to route each message in DTNs. To increase the reliability in such dynamic networks, choosing the best path for routing of messages should not be the main goal of a routing protocol. Indeed, it is equally important to maintain a diversity of paths and avoid convergence to only one or a few solutions.

CGrAnt can be defined as a hybrid SI system based on (1) CA and ACO and (2) operational metrics that characterize the opportunistic social connectivity between nodes. To adapt to the large topology variations encountered by a DTN and to reduce latency in message delivery, the following modifications are incorporated into CGrAnt that differentiate it from traditional

SI-based protocols. (1) The SI control messages named Forward Ants (FAs), 108 responsible for the path construction, are encapsulated into the data mes-109 sages. (2) The number of FAs created and forwarded is dynamically defined 110 according to the knowledge stored in the nodes. (3) CGrAnt adopts a greedy 111 ACO transition rule that is similar to the deterministic transition rule pro-112 posed in the Ant Colony System (ACS) (Dorigo and Gambardella, 1997). 113 Nevertheless, instead of using both probabilistic and deterministic rules as 114 in ACS, CGrAnt uses only the greedy transition rule, which considers the 115 heuristic function and/or pheromone concentration, to forward messages to 116 the most promising node(s), and/or to exploit previously found good solu-117 tions. The search space exploration is still provided by the DTNs dynamics. 118 (4) Instead of using time-based pheromone evaporation, CGrAnt performs 119 an event-driven evaporation, which only occurs if a node detects that a new 120 path toward a destination has been found. Thus, allowing redundant paths 121 becomes more important than converging to the best path. (5) Because 122 there is no central element in DTNs, the knowledge stored in the CA belief 123 space is distributed among network nodes. (6) The information exchanged 124 between the belief and population spaces always occurs in a distributed man-125 ner intermediated by the CGrAnt operational metrics. The ACO and CA 126 modifications seem more adapted to intermittently connected networks such 127 as DTNs, yet a subset may allow CGrAnt to operate in different dynamic 128 scenarios. 129

130 2.3. Related work

We go through the related work in the area, discussing the most representative results on both DTN forwarding protocol and swarm intelligence methods.

134 2.3.1. DTN Forwarding protocols

The most common solutions in the literature take a controlled flooding ap-135 proach. For instance, epidemic routing provides an optimal solution in terms 136 of message delivery and latency, when no buffer constraint is present (Vahdat 137 and Becker, 2000). In Epidemic routing, a node buffers a message and passes 138 it on to all encountered nodes that have not received it before. No good 139 message forwarders prediction is performed. To limit resource utilization, a 140 hop-count field can be set in each message. Epidemic routing is simple and 141 provides high reliability and adaptability, but it might generate too many 142

redundant messages, wasting communication and battery resources. To reduce this overhead, the Spray and Wait approach (Spyropoulos et al., 2005)
sprays messages over different contacts and then wait for these contacts to
eventually deliver the message to the destination.

Predicted-based approaches try to reduce the message overhead by se-147 lecting a few good relays. In this context and more related to CGrAnt. 148 several approaches estimate a delivery likelihood based on the frequency or 149 similarities of meeting with contacts like PROPHET (Lindgren et al., 2003), 150 Delegation Forwarding (Erramilli et al., 2008), and Spray and Focus (Spy-151 ropoulos et al., 2007). In particular, in PROPHET, vectors are exchanged 152 that indicate the predictability of each node in delivering their messages. This 153 predictability increases every time two nodes come into contact and reduces 154 if they fail to meet frequently. When a node A establishes a contact with 155 a node B, a message will be sent to B if its message delivery's prediction 156 is higher as compared to A. The delivery predictability also has a transi-157 tive property. All these approaches, however, might be too conservative and 158 lose good forwarding opportunities in environments with scarce connectivity. 159 Most importantly, the majority of the approaches assume infinite buffers and 160 bandwidth. 161

Other approaches study the effect of social networking on data forwarding. BubbleRap (Hui et al., 2008) and SimBet (Daly and Haahr, 2007) use information about social community structures and popularity within a community to choose good relays. (Zhang et al., 2012) introduce four social-aware data diffusion schemes based on the social relationship and data similarity of the contacts.

Differing from such protocols, CGrAnt conducts local and global searches and gathers relevant information from the DTN nodes. CGrAnt can thus analyze the utility of each node as a message forwarder and limit message replications.

172 2.3.2. Swarm intelligence methods

Though ACO has been extensively used in network environments, especially in MANETs (Liu and Feng, 2005; Rosati et al., 2008), routing in DTNs is challenging and few ACO protocols have been proposed. DAR (Rosati et al., 2008) does not consider local information from neighboring nodes and uses only the pheromone global information, which is not always available in DTNs. ABMF (La and Ranjan, 2009) only aims to estimate the extra capacity of each node as a message forwarder depending on its buffer dy-

namics. ACRP (Zhang et al., 2010b) uses the Epidemic protocol to flood 180 the network with control messages associated with Forward Ants (FAs) and 181 Backward Ants (BAs). None of the mentioned approaches consider the fol-182 lowing important aspects of sparse and opportunistic networks: (i) analyzing 183 social metrics of nodes, including their degree and betweenness centralities 184 to aid select message forwarders; (ii) preventing the loss of previously found 185 good paths caused by pheromone evaporation processes that are periodically 186 performed (i.e., based on time, as in ABMF and ACRP) or the overuse of 187 those paths due to the absence of an evaporation process (as in DAR); and 188 (iii) dynamically limiting the number of control and data messages forwarded 189 in the network. 190

¹⁹¹ (Ma et al., 2008; Zhang et al., 2010a) use CA for performing routing in ¹⁹² a static topology with service quality constraints. They, however, operate in ¹⁹³ a static environment and do not analyze the dynamics of the contacts in a ¹⁹⁴ social network to determine opportunities. Moreover, they search for a single ¹⁹⁵ optimal path with a set of constraints and use Situational and Normative ¹⁹⁶ knowledge only to increase the convergence speed. Finally, they use a single ¹⁹⁷ and centralized belief space.

Considering these issues, we proposed a first version of the SI-based routing protocol for DTNs that used only ACO (Vendramin et al., 2012b). Guided by pheromone concentration, heuristic functions, and social metrics, the Greedy Ant (GrAnt) protocol performed better than the well-known DTN routing protocols (Vendramin et al., 2012b).

CGrAnt encompasses CA and ACO metaheuristics and can be considered as an extension of our previous method (Vendramin et al., 2012b). CGrAnt improves the learning process and the gathering, during evolution, of highlevel information to be stored in the CA belief space.

207 3. The CGrAnt Routing Protocol

As previously mentioned, the CGrAnt routing protocol is based on CA and ACO meta-heuristics. CA is comprised of two spaces: (1) **Belief Space**, which represents the knowledge (i.e., set of information) gathered during the search for a set of paths and (2) **Population Space**, which is composed of individuals (i.e., ants) looking for solutions (i.e., forwarding paths) in an ACO framework.

In DTN, due to the lack of central element capable of storing and publishing all gathered information, the CGrAnt components are distributed among

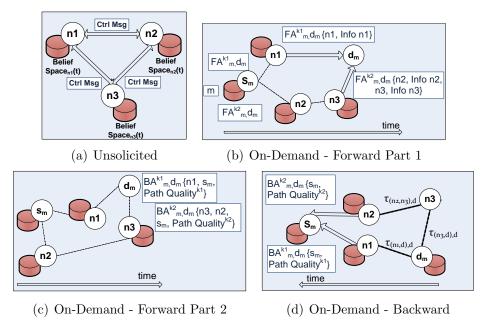


Figure 1: Operation Modes of the CGrAnt Protocol.

different belief spaces, each stored in a network node; each node knows only a subset of the population space. The exchange of information between the belief and population spaces always occurs in a distributed manner.

CGrAnt operates in two modes: **unsolicited** and **on-demand** (Fig-219 ure 1). In the **unsolicited mode** (Figure 1(a)), control messages (Ctrl Msg) 220 are always exchanged among neighboring nodes to update the information 221 stored in each belief space. If it is necessary to establish a data session be-222 tween the source of a data message $m(s_m)$ and its destination (d_m) , CGrAnt 223 switches to the **on-demand mode** (Figures 1(b)- 1(d)). In each node that 224 contains a data message m to be forwarded, one or more Forward Ants (FA) 225 k, are forwarded toward d_m along with m via one or more neighboring nodes. 226 During the path construction, an ant k collects information (Info) on each 227 node n that composes the path toward d_m . The node n can be either a 228 node i that contains a buffered message m to be forwarded or a neighboring 229 node *j*. A subset of this information is used by CGrAnt for the belief space 230 update of each node. The other part is carried by the FA until it reaches 231 d_m (Figure 1(b)). In d_m , the quality of the constructed path is calculated 232 based on the information gathered by the FA. A Backward Ant (BA) is sub-233

sequently created with the information obtained by the corresponding FA 234 (Figure 1(c)), the FA is deleted, and the BA is sent back through the reverse 235 path followed by the FA. In its path toward the source (s_m) of the FA, the 236 BA updates the ACO pheromone concentration operator (τ) in each link be-237 tween the nodes that compose the reverse path (Figure 1(d)) according to the 238 constructed path quality. At each visited node, its identification is removed 239 from the BA's record. In subsequent message forwarding, the ACO operators 240 (Pheromone Concentration and Heuristic Function) and the CA belief space 241 (along with other CGrAnt components) dictate the routing decision in each 242 node and infer the best forwarders for each message. 243

The next sections describe the CGrAnt routing protocol in detail. Sections 3.1 to 3.3 describe the components used by CGrAnt that influence the search for paths. Sections 3.4 and 3.5 describe the routing phases of CGrAnt, which determine the route(s) a message must follow to reach its destination.

248 3.1. Metrics and Indicators

The communication between the belief and population spaces is mediated 249 by specific metrics and indicators. The metrics incorporated into CGrAnt are 250 classified as **basic** (obtained directly from the population space or nodes) or 251 composite (obtained from manipulating basic metrics). The basic metrics 252 are classified into **local** (associated with each node and its neighboring nodes) 253 and **global** (associated with complete paths constructed by ants) categories. 254 Figure 2 illustrates the metrics and their relationships with the belief space 255 stored in each node. The Situational and History knowledge influence the 256 population space and the population space update the global metrics. 257

Table 1 provides a brief description of the metrics and variables used throughout this paper.

²⁶⁰ The Local Basic Metrics used by CGrAnt include the following:

- Frequency of Encounters $(FE_{n,d})$ between a pair of nodes n and d;
- **Duration of an Encounter** $(DE_{n,d})$ between n and d;
- Average Pause Time $(\overline{PT_n})$ in the places visited by n;
- Average Movement Speed $(\overline{MS_n})$ of a node n;
- **Degree Centrality** (DC_n) of a node n (Freeman, 1979). As n encounters more nodes in the network and increments its degree centrality, it has more opportunities to choose the best message forwarders;

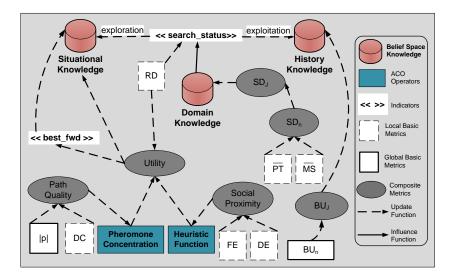


Figure 2: Belief Space of a Node.

- Relationship Degree $(RD_{i,m})$ of a node *i* with respect to each of its data messages *m*. $RD_{i,m}$ defines *i* as the source (s_m) or an intermediate node of *m*.
- ²⁷¹ The **Global Basic Metrics** of CGrAnt include:
- Number of Hops (|p|) in a complete path p. If there are few hops in a path, fewer resources are consumed, and less interference is generated;
- Betweenness Utility of a node $(BU_{n,d})$ *n* relative to a destination node *d*. To obtain a high betweenness utility relative to *d*, a node *n* must appear with a high frequency in paths between any source node and *d*. Each time a node *n* receives a BA indicating that *n* is a component of a complete path (global solution) to *d*, its betweenness utility is updated, $BU_{n,d}(t) = BU_{n,d}(t-1) + 1$.
- ²⁸⁰ The **Composite Metrics** of CGrAnt include the following:
- Social Proximity $(SP_{n,d})$ between n and d is directly associated with the ACO local operator Heuristic Function (η) and is defined as $SP_{n,d} = FE_{n,d} \times DE_{n,d};$

• Path Quality $(Q_{p_{s_m,d_m}^k})$, which measures the quality of a path p constructed by an FA k between nodes s_m and d_m . It encompasses the number of hops (|p|) and the average degree centrality of nodes n (DC_n) that compose a path to d_m :

$$Q_{p_{s_m,d_m}^k}(t) = \frac{\sum_{\forall n \in p_{s_m,d_m}^k} DC_n(t)}{|p|_{s_m,d_m}^k} + \frac{1}{|p|_{s_m,d_m}^k}$$
(1)

The Path Quality metric is directly associated with the ACO global operator **Pheromone Concentration** (τ) ;

• Utility of a node n in relation to $d(U_{n,d})$, which describes how well n can perform as a message forwarder to d. The $U_{n,d}$ is subsequently determined according to the basic metric $RD_{i,m}$:

$$U_{n,d}(t) = \begin{cases} \eta_{n,d}(t) & \text{if } RD_{i,m} = s_m \\ \eta_{n,d}(t) + \tau_{(i,y),d}(t) & \text{otherwise,} \end{cases}$$
(2)

The $U_{n,d}(t)$ can thus consider only local or both local and global information. The local information $\eta_{n,d}(t)$ is the heuristic function of ACO measured by $SP_{n,d}$, and the global information $\tau_{(i,y),d}(t)$ is the pheromone concentration on each link (i, y) belonging to a path to d, and y is defined as:

$$y = \begin{cases} d_m & \text{if } n = i \\ j & \text{if } n = j, \end{cases}$$
(3)

• Stagnation Degree (SD_n) of a node n, which allows the identification of the most mobile nodes in a dynamic scenario (e.g., buses or vehicles) and consequently, adapts CGrAnt to heterogeneous networking encounters on the fly. For this, SD_n considers the node average pause time (\overline{PT}_n) and average movement speed (\overline{MS}_n) :

$$SD_n(t) = \left(\frac{\overline{PT}_n}{\overline{MS}_n}\right)$$
 (4)

• Stagnation Degree of the social network (SD_J^i) of a node *i*, which is based on the SD_n metric:

$$SD_J^i(t) = \frac{1}{|J|} \sum_{j \in J} SD_j(t), \qquad (5)$$

where J is the set of nodes encountered by node i;

• Betweenness Utility of the social network $(BU_{J,d}^i)$ of a node *i* in relation to *d*, which considers the basic metric $BU_{n,d}$. The $BU_{J,d}^i$ is initialized differently depending on the origin of the BA that announces to *i* that a complete path to *d* has been constructed:

$$BU_{j,d}^{i}(0) = \begin{cases} BU_{i,d}(t) & \text{if BA came from } d \\ BU_{j,d}(t) & \text{if BA came from } j \end{cases}$$
(6)

The $BU_{J,d}^i$ metric is updated with $BU_{i,d}$ whenever *i* receives a BA. When the BA comes from a neighboring node *j* (not from *d*), $BU_{J,d}^i$ is also updated with $BU_{j,d}$:

$$BU_{J,d}^{i}(t) = \begin{cases} Z \\ \text{if BA came from } d \\ \frac{1}{2} \left(Z + BU_{j,d}(t) \right) \\ \text{if BA came from } j, \end{cases}$$
(7)

where
$$Z = \frac{1}{2} \left(BU_{J,d}^{i}(t-1) + BU_{i,d}(t) \right)$$
.

More details on the metrics definitions are discussed in [23].

In addition to the basic and composite metrics, CGrAnt uses two indicators: (1) **best_fwd**_m, which stores the current best forwarder for a specific message m and (2) **search_status**, which decides whether FAs must *explore* or *exploit* the network while seeking solutions for the DTN forwarding problem.

320 3.2. Population Space

The population space is composed of FAs and BAs messages. The FAs 321 look for sets of possible paths, i.e., one set P_m for each pair (s_m, d_m) . As-322 summing a total of M messages to be forwarded in the entire network, there 323 will be several paths sets $\{P_1, \dots, P_m, \dots, P_M\}$ constructed simultaneously. 324 Every paths set P_m represents a group of solutions generated whenever a 325 message m originated in s_m must be sent to d_m . Each complete path p in P_m 326 composed of a sequence of nodes is established when ant k reaches d_m and 327 can be defined as: 328

$$p_{s_m,d_m}^k = \{s_m, \cdots, n, \cdots, d_m\}, k = 1, \cdots, K_m.$$

For each node i with a message m, each time a better forwarder j for m329 appears, a new FA k is generated to begin its path construction, and a copy 330 of m is sent to j. The partially constructed path from s_m to j remains the 331 same. However, from j, the FA k is free to find d_m passing through different 332 nodes n and can provide a different path into the population space. The 333 K_m defines the number of FAs generated to find solutions for m. In general, 334 $|P_m| \ll K_m$ because a subset of ants cannot find d_m . The parameter K_m 335 is dynamically defined according to the belief space knowledge and message 336 delivery success. Ant generation is thus auto-adaptive, as is the number of 337 constructed paths, and both depend on the DTN dynamics. 338

339 3.3. Belief Space

In CGrAnt protocol, there is no element to centralize and share the gathered knowledge. The belief spaces are thus distributed over the network. Each belief space in a node encompasses three types of knowledge: Domain, History, and Situational, as detailed hereafter.

344 3.3.1. Domain Knowledge

introduced to support the analysis of local and specific DTN dynamics. Domain knowledge keeps CGrAnt updated on the relative local dynamics of each node i, which is evaluated in this paper based on the relationship between SD_i and SD_J^i . The knowledge is distributed among nodes, Dom_i , for i = 1, ..., N, where N is the number of nodes available in the network and Dom_i assists CGrAnt in characterizing i into three classes: a node with high, medium or low stagnation degree.

Based on this information and the specific heuristics of a DTN forwarding problem, the Domain knowledge can set the status of the path search (i.e., exploration or exploitation). The *Acceptance* and *Update* functions of the Domain knowledge occur more frequently than its *Influence Function* because they are called during the node encounters. The *Influence Function* acts only during the message forwarding phase.

The Acceptance Function accepts information on the local dynamic of each neighboring node j, only if $SD_j \in (0, \infty)$. After accepting a new solution, the Update Function is called. It considers the event window W(t) containing a list of local events of i, such as an encounter between i and a non-stationary node j.

The Update Function adds the pair $(SD_j(t), j)$ to the list and updates SD^i_J according to Eq. 5.

The Influence Function evaluates the stagnation degree of i with respect to its social network:

• High stagnation degree: A node *i* is characterized as a highly stagnated node when the following relations apply: $SD_i > SD_J^i + V_s$ and $SD_J^i \in$ (0, ∞). In this case, its improvement stagnation direction dr_i is set to $dr_i = +1$;

• Low stagnation degree: A node *i* has a low stagnation degree when the following relations apply: $SD_i < SD_J^i - V_i$ and $SD_J^i \in (0, \infty)$ hold. In this case, $dr_i = -1$;

• Medium stagnation degree: A node *i* is characterized as a medium stagnation node when the previously described relations do not apply. In this case, $dr_i = 0$.

The V_i and V_s respectively define the decrement and increment in SD_J^i used to define the range of nodes with medium stagnation degree.

Based on dr_i , the Influence Function changes the value of the search_status indicator, which aids in defining whether an FA in node *i* must be sent to exploit or explore during the search for a solution of the DTN forwarding problem.

383 3.3.2. History Knowledge

introduced to adapt CGrAnt to changes in the network, thus increasing 384 the ability to reflect the global network dynamics. This knowledge stores a 385 history of important past events (in this case, the information that complete 386 paths to d have been found). Due to the lack of a central component, the 387 complete paths cannot be stored in the History knowledge. The betweenness 388 utility metric (representing the partial information of a complete solution) 389 is subsequently used and may subsequently influence the path search. The 390 History Knowledge is distributed among the network, His_i , for i = 1, ..., N. 391 In each node *i*, this knowledge is divided in a total of D_i sub-knowledge: 392 $His_i(t) = \{His_{i,1}(t), His_{i,2}(t), \dots, His_{i,D_i}(t)\}, \text{ where } D_i \leq N \text{ represents}$ 393 the number of destination nodes for which node *i* originated or intermediated 394 a path. 395

The Acceptance and Update Functions of the History knowledge are called in the backward phase, and its Influence Function acts in the message forwarding phase.

The Acceptance Function is called after node *i* receives a BA from a neighboring node (*j* or *d*), indicating that a complete path to *d* has just been found. The betweenness utility of *i* with respect to *d* ($BU_{i,d}$) is always accepted to update the belief space of *i*. The betweenness utility of the neighboring node *j* ($BU_{j,d}$) is also accepted to update the belief space of *i* if and only if the BA did not come from *d*. After the acceptance phase, the Update Function is called to calculate $BU_{I,d}^i$.

The $BU_{j,d}$ and $BU_{J,d}^{i}$ dynamics are considered by the Influence Function when evaluating the improvement directions of each neighboring node j as a candidate forwarder for message m to d: $dr_{j} = +1$, if $BU_{j,d} > BU_{J,d}^{i}$; $dr_{j} = -1$, if $BU_{j,d} < BU_{J,d}^{i}$; or $dr_{j} = 0$, if $BU_{j,d} = BU_{J,d}^{i}$. Based on these directions and the search_status indicator, the history knowledge influences the message forwarding by deciding whether an FA should be sent through a previously found path and thus exploit the path search.

413 3.3.3. Situational Knowledge

introduced in CGrAnt to provide a memory of the best solutions, and to influence the search process for a set of paths through these solutions. Its memory is partial instead of complete and is represented by the best forwarder of each message. The Situational Knowledge is distributed among the network nodes: Sit_i , for i = 1, ..., N. In each node i, the Situational knowledge is divided in a total of M_i sub-knowledge:

420 $Sit_i(t) = \{Sit_{i,1}(t), ..., Sit_{i,m}(t), ..., Sit_{i,M_i}(t)\},\$

where M_i represents the number of data message m stored in node i's buffer. The Acceptance, Update, and Influence functions of the Situational knowledge are called during the message forwarding phase.

The Acceptance Function operates with the understanding that if a new 424 neighboring node j (partial solution) is found, it is accepted to update the 425 belief space of i only if $U_{j,d} > U_{best_fwd_m,d}$, where $U_{best_fwd_m,d}$ is the current 426 best forwarder utility for m stored in the sub-knowledge $Sit_{i.m}$. The accep-427 tance condition can be relaxed to accept nodes with the same utility of the 428 best forwarder if the corresponding *search_status* exploration is true. Only 429 one solution is accepted in each update. After accepting a new solution, the 430 Update and Influence functions are called. The new solution thus replaces 431 the previous solution $(best_fwd_m = j)$, and the new solution quality $(U_{j,d})$ 432

⁴³³ updates $U_{best_fwd_m,d}$ (i.e., $U_{best_fwd_m,d} = U_{j,d}$). The Influence Function creates ⁴³⁴ a new FA and forwards it along with m to the new solution j. The Influence ⁴³⁵ function thus dictates the future of each path built for a pair $s_m - d_m$ and ⁴³⁶ the number of generated ants.

In the following sections, we describe in more detail the two phases that dictate the main functioning of the GrAnt routing protocol: (1) Message Forwarding or Path Search, which is represented by FAs looking for a set of paths and the updating of selected knowledge and metrics. In this phase occurs the data message forwarding; and (2) Backward, which is represented by BAs updating the knowledge and metrics stored in the nodes.

443 3.4. Message Forwarding Phase

The message forwarding phase in CGrAnt is initialized on-demand when a message m stored in a node i must be delivered to d_m , as described by Algorithm 1. The FAs are subsequently created, encapsulated into m, and sent toward d_m via one or more neighboring nodes j.

⁴⁴⁸ During message forwarding, an FA at a node i decides whether to for-⁴⁴⁹ ward m to a new neighbor j according to the influence of the three types of ⁴⁵⁰ knowledge stored in its belief space: Domain, History, and Situational.

Because node i is the first candidate solution for forwarding message m, 451 its identification and utility initialize the Situational knowledge (lines 9 and 452 10). The decision on forwarding m to j may be to explore (i.e., it is not 453 required that j has previously participated in a path to d_m) or exploit (i.e., j 454 has previously participated in a path toward d_m). The decision is guided by 455 the information on i in terms of $RD_{i,m}$ and SD_i stored in Dom_i . The status 456 is initialized, enabling both exploitation and exploration of the path search 457 space (lines 12 and 13). These conditions can change in two situations: (i) 458 i is an intermediate node with a medium stagnation degree (in this case, 459 the exploration stops (line 16)), or (ii) i is an intermediate node with a low 460 stagnation degree (because i is a highly mobile node, it does not forward m, 461 thus, both exploration and exploitation are stopped (lines 19 and 20)). 462

Aside from Domain knowledge, the History and Situational knowledge also influence the CGrAnt message forwarding. The History knowledge influences the forwarding (line 33) when the decision is to exploit the solutions already found: *i* forwards *m* to a solution *j* if node j's betweenness utility $(BU_{j,d})$ is higher than the betweenness utility of node i's social network $(BU_{j,d}^{i})$ stored in $His_{i,d}$, as observed in line 47). The Situational knowledge influences CGrAnt (line 44) when the decision is to explore the network or when

better solutions appear. Node i only forwards m to a new solution j if one of 470 the following two conditions is satisfied: (i) The utility of j ($U_{i,d}$) is higher 471 than the utility of the best forwarder previously found for m ($U_{best_fwd_m,d}$, 472 which is stored in $Sit_{i,m}$, as observed in lines 53 and 54). This condition at-473 tempts to locate a new best forwarder for m among the current neighboring 474 nodes of i; (ii) At the beginning of the exploration (i.e., m was not forwarded 475 to any other node and $best_fwd_m = i$ and $U_{j,d} = U_{i,d}$, as in lines 26-27, 36-476 38). The Situational and History knowledge are important contributions of 477 CGrAnt because they dynamically control the number of created ants and 478 the data message redundancy by setting each new best message forwarder 479 or forwarding m to already known good nodes, thus differing from the pure 480 ACO algorithms proposed for DTNs. 481

After analyzing the utility of every current neighbor j and inferring the best choice, CGrAnt sends m to the designated forwarder (lines 33 and/or 484 44).

In addition to the data message forwarding, control messages are periodically and locally exchanged between i and its neighboring nodes j to update Dom_i .

The search for new paths toward d_m continues until *i* performs one of the following actions: (1) encounters d_m , (2) becomes aware of the successful delivery of the corresponding data message to d_m , or (3) detects that the Time to Live (TTL) field of the data message has expired.

Throughout its path search, an FA carries the following information: the 492 ID of s_m , the ID of d_m , the node IDs through which it passes (between s_m and 493 d_m), and the degree centrality of each visited node j (DC_i). The individual 494 qualities update the partial quality of the path under construction by the FA. 495 When an FA (along with m) reaches d_m , the final quality of the constructed 496 path $(Q_{p_{s_m,d_m}^k})$ is calculated as in Eq. 1. After calculating the quality of each 497 new and complete path p, a new control message, the BA, is created from 498 the information obtained by the FA, and the FA is deleted. 499

500 3.5. Backward Phase

During the backward phase, the BA returns to the node that originated the message *m* through the reverse path selected by the FA. The concept of using a reverse path in DTNs is motivated by wireless social networks in which (i) individuals are often linked by a short chain of acquaintances, (ii) certain encounters show repetitive behavior, and (iii) nodes have routines that result in frequently visited locations and encounters. In the reverse path, receiving a BA sent from node y to each neighboring node i produces three effects: (1) increasing the $BU_{i,d}$ value by one; (2) initializing or updating the $BU_{J,d}^{i}$ value according to Eq. 6 and 7, an effect that corresponds to the *Update Function* of the History Knowledge; and (3) updating the pheromone concentration toward d according to:

$$\tau_{(i,y),d}(t) = (1-\rho) \times \tau_{(i,y),d}(t-1) + Q_{p_{s_m,d_m}^k}(t), \tag{8}$$

where $\tau_{(i,y),d}(t-1)$ is the pheromone on link (i, y) that was last updated at time (t-1). The evaporation process $(1-\rho)$ is necessary for the ants "to forget" the previous pheromone values deposited on a link to a specific d. This evaporation reduces the influence of the path search history. When the pheromone is updated, all concentrations that belong to d are evaporated in i.

Even if the BA does not reach the node that originated the message (due to connection partitions), the message forwarding phase of the CGrAnt protocol is guided by a local path search provided by the heuristic function information. Differing from other protocols that use only global (pheromone concentration) or local (heuristic function) information, CGrAnt contains additional flexibility, because the decision is based on all available information (both ACO operators and knowledge stored in the CA belief space).

Additionally, the BA serves as an acknowledgment that m has achieved d_m , allowing the nodes that still maintain m to delete it and its associated variables. A node that encounters another node that has already received a BA for a given data message also deletes the corresponding message and its associated variables. When the source node receives it, the BA is deleted. Full paths are thus constructed for each destination using the information gathered by the ants during the path search phase.

532 4. Evaluation Methodology

This section describes the numerical analysis we conducted using the Opportunistic Network Environment (ONE) Simulator (Keränen et al., 2010) to investigate the benefits of the metrics and components incorporated into our proposal. Using ONE we can also assess both performance and accuracy of the CGrAnt protocol in simulation scenarios that consider two different movement models: Working Day (WD) (Ekman et al., 2008) and Points of Interest (PoI) (Keränen et al., 2010), both proposed by default in the ONE simulator. The ONE default parameters were kept unchanged (whenever
possible) in order to confirm the results when compared with the others two
evaluated protocols (Epidemic and Prophet). Moreover, using the default
parameters, we intend to facilitate the dissemination of our proposal in the
simulation platform.

The WD movement model represents an activity-based environment that 545 simulates the daily lives (activities) of people who go to work in the morning, 546 spend the day working, may go to a public place for leisure activities with 547 friends at the end of day, and return to their houses at night. In WD, the 548 total area $(10,000 \times 8,000 \ m^2)$ encompasses meeting points, buses, houses, 549 offices, and roads. The area is divided into four regions denoted by R_A to 550 R_D . Eight groups of nodes, denoted by A to H, are created to represent the 551 node movements into specific regions. Groups A to D simulate only intra-552 region movements (e.g., group A simulates the node movements into region 553 R_A). Groups E, F, and G simulate node movements between R_A and other 554 regions, and H simulates movements among all regions. The assignment of 555 nodes per group is as follows: A has 258 nodes, B has 119, C has 154, D has 556 154, E has 102 (i.e., $E = A \cap B$), F has 122 (i.e., $F = A \cap C$), G has 122 557 (i.e., $G = A \cap D$), and H has 70 (i.e., $H = A \cap B \cap C \cap D$). 558

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In the PoI movement model, the total area $(8,800 \times 7,800 m^2)$ is divided into five points of interest that simulate several communities of people who eventually meet each other and exchange data. There are eight groups of nodes (W1, X1, Y1, Z1, W2, X2, Y2, and Z2), each with different destination selection probabilities. The POIs movement model is similar to the ⁵⁷⁸ community model used by A. Lindgren et al., 2003).

Groups W1, X1, Y1, and Z1 contain 30 nodes each. Groups W2, X2, Y2, 579 and Z2 have five nodes. Every node has one home PoI that is more likely 580 to be visited than the other PoIs; there is a high probability that nodes 581 meet each other within their same home community and a low probability 582 that they go to PoIs outside their home community. The nodes select a 583 destination, move in this direction (with $\overline{MS} \in [0.5, 1.5]$ m/s), wait during 584 a pause time (\overline{PT}) ranging from 100 to 200 seconds (for groups W1-Z1) or 585 4,000 to 5,000 seconds (for W2-Z2), and select the next destination, among 586 other actions. Table 2 shows the settings and communication parameters 587 applied in all experiments for the three protocols under comparison. Unless 588 otherwise described, the parameters used in both scenarios are emphasized 589 in Table 2. 590

In Section 5, we evaluate the CGrAnt performance with variations in 591 the setting parameters. We analyze the CGrAnt performance in the PoI 592 scenario in terms of the percentage of messages delivered to destinations. 593 The setting parameters emphasized represent those that provide the best 594 results for the CGrAnt protocol. Next, in Section 6, we investigate a subset 595 of the CGrAnt components by evaluating the protocol performance for both 596 scenarios (WD and PoI). Section 7 compares CGrAnt with the Epidemic 597 and PROPHET protocols in both scenarios and considers different aspects 598 of the communication network context. In all experiments, each message has 599 a size of 500 KB representing its payload and includes an FA with 8 bytes 600 representing its path quality. The BA size is 100 bytes on average (including 601 the header, path quality, and path hops). 602

The results discussed in Sections 5, 6 and 7 are presented in terms of mean 603 values and confidence intervals (at a 95% confidence level) for 30 runs in each 604 scenario. Due to the normality characteristics of the data under consider-605 ation, we apply the ANOVA (ANalysis Of VAriance) parametric statistical 606 test together with its post hoc follow-up analysis over the independent groups 607 considered. The ANOVA statistical test returns a p-value > 0.05 indicating 608 (with 95% of confidence) that there are no statistical differences among the 609 groups and a p-value < 0.05 if there is at least one pair of groups with a 610 statistically significant difference. The intervals shown in the graphs for the 611 post hoc analysis are computed in such a way that (to a close approximation) 612 the two configurations compared are significantly different if their intervals 613 are disjoint and are not significantly different if their intervals overlap. In our 614 case, the delivery ratio interval associated with each group is represented by 615

a horizontal line (with a circle representing its mean value). For each graph,
we choose one group for emphasis (represented by a black horizontal line and
delimited by two vertical dashed lines).

⁶¹⁹ 5. Setting the Metrics of CGrAnt

This section investigates how selected metrics and ACO operators can improve the communication among swarms in the population space and thus, assist in obtaining better solutions. Sections 5.1 and 5.2 analyze the influence of certain metrics associated with the ACO operators of CGrAnt. Section 5.3 analyzes the influence of selected metrics in characterizing the utility of each node (solution) as a message forwarder.

626 5.1. Heuristic Function

We first analyze different sources of information in the ACO local operator or Heuristic Function $(\eta_{n,d}(t))$. Recall that a node n is selected from a set of candidates to forward a message m to its destination d. In this section, the CGrAnt performance is evaluated by considering each of the following metrics associated with $\eta_{n,d}(t)$: (1) $SP_{n,d}$, (2) DC_n , (3) $BU_{n,d}$, and (4) FB_n , which represents the free space available in the buffer of a node n.

The experimental results show that when CGrAnt uses the $SP_{n,d}$ metric, it delivers more messages $(58.93 \pm 0.19\%)$ than the DC_n $(47.21 \pm 0.17\%)$, the $BU_{n,d}$ $(53.92 \pm 0.17\%)$, or the FB_n $(49.72 \pm 0.28\%)$.

Figure 3 presents the *post hoc* analysis of ANOVA highlighting the $SP_{n,d}$ metric. The $SP_{n,d}$ metric guarantees the highest message delivery ratio. This associated to the fact that there are no overlaps among the intervals resulted from other metrics, lead us to conclude that $SP_{n,d}$ provides better performance than the other metrics.

The main reasons for the better performance of the $SP_{n,d}$ metric are 641 listed as follows: (1) $SP_{n,d}$ indicates the proximity of n relative to d because 642 it provides an estimation of the probability of future encounters between n643 and d. Moreover, $SP_{n,d}$ contains information available along all of the search 644 process. (2) DC_n indicates the popularity of n relative to all other nodes in 645 the network instead of specific information for the candidate forwarder and 646 d, as in $SP_{n,d}$. (3) $BU_{n,d}$ provides important information for the nodes that 647 successfully intermediated a communication to d; however, it is only available 648 for n after a complete path has been found (which includes n), and n has 649 received the visit of a BA (as seen in Section 3.5). (4) In highly connected 650

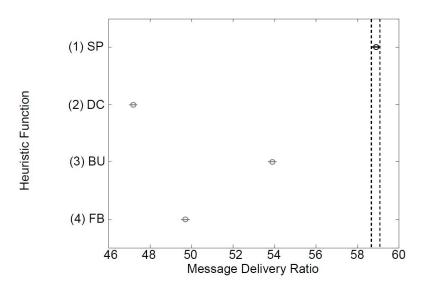


Figure 3: ANOVA results to Heuristic Function.

scenarios where the set of global solutions is always available and the same solution can be frequently selected, the percentage of available resources (e.g., the buffer) may be an important metric to consider; nevertheless, in an environment where the contacts are sparse (as in the DTNs), information on the social proximity between two nodes seems more important.

656 5.2. Pheromone Concentration

In this work, the pheromone concentration in the ACO global operator 657 is associated with the quality of a complete path constructed by an ant k658 between the s_m and d_m nodes (i.e., $\tau^k_{(i,y),d}(t) = Q_{p^k_{s_m,d_m}}(t)$). Next, after 659 defining the Heuristic function, we evaluate which type of information have 660 more influence on $Q_{p_{s_m,d_m}^k}(t)$. The CGrAnt performance is evaluated using 661 different metrics to predict the path quality: (1) the average Degree Central-662 ity (DC) of nodes n belonging to the path along with the reciprocal of the 663 existing number of hops (|p|) in the constructed path, i.e., as in Eq. 1; (2) only 664 the second term of Eq. 1; (3) only the first term of Eq. 1; and (4) the average 665 Betweenness Utility of node n relative to d (i.e., $Q_{p_{s_m,d_m}^k}(t) = \frac{\sum_n BU_{n,d}}{|p|}$). 666

The simulation results show that when using a composite metric encompassing DC and |p|, CGrAnt delivers more messages $(58.93 \pm 0, 19\%)$ than when it uses only the basic metrics |p| $(51.11 \pm 0.22\%)$, DC $(51.10 \pm 0.21\%)$, and BU $(49.89 \pm 0.22\%)$.

Figure 4 presents the *post hoc* analysis of ANOVA highlighting the com-671 posite metric (DC and |p|). As shown, the composite metric provides the 672 best message delivery ratio. In addition, because there are no overlaps among 673 the intervals of other metrics, we conclude that the composite metric pro-674 vides better performance than the basic metrics. This result indicates that 675 the node popularity is a good indicator of the node's ability to forward mes-676 sages. This is particularly true in scenarios with intermittent connections, as 677 in DTNs. Moreover, the importance of |p| is due to the fact that the smaller 678 is the path, the fewer are the network resources consumed and the less is the 670 communication interference that occurs. 680

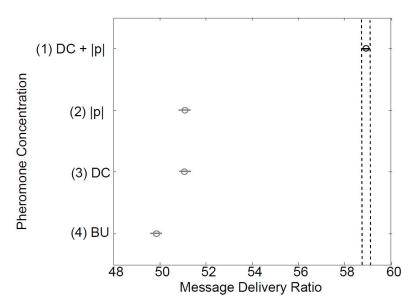


Figure 4: ANOVA results to Pheromone Concentration.

681 5.3. Node Utility

Finally, we analyze the utility $(U_{n,d})$ of each node n as a forwarder of a 682 message m to d. The CGrAnt performance is evaluated using four different 683 metrics to describe the utility of n related to a reference node i, which con-684 tains a message m to be delivered to d. The investigated metrics are the 685 following: (1) only local information represented by the *Heuristic Function* 686 $(U_{n,d} = \eta_{n,d}(t));$ (2) only global information represented by the *Pheromone* 687 Concentration $(U_{n,d} = \tau_{(i,y),d}(t); (3)$ the Heuristic Function and Pheromone 688 Concentration $(U_{n,d} = \eta_{n,d}(t) + \tau_{(i,y),d}(t))$; and (4) the Heuristic Function, 689

Pheromone Concentration, and the Relationship Degree $(RD_{i,m})$ metric (according to Eq. 2).

The results show that when both ACO operators (heuristic function and 692 pheromone concentration) and the $RD_{i,m}$ metric are considered (composition 693 4), the CGrAnt protocol delivers more messages $(58.93 \pm 0.19\%)$ compared 694 with composition (1) in which it uses only the heuristic function (55.95 \pm 695 (0.23%), composition (2) in which it uses only the pheromone concentration 696 $(49.29 \pm 0.18\%)$, and composition (3) in which it uses the heuristic function 697 and the pheromone concentration without the $RD_{i,m}$ metric $(58.02 \pm 0.20\%)$. 698 Figure 5 presents the *post hoc* analysis of ANOVA highlighting the best 699 composition (4). As depicted, there is no overlap among the intervals. This 700 behavior verifies that the use of both local and global information (heuristic 701 function and pheromone concentration) along with the $RD_{i,m}$ metric achieves 702 higher performance. 703

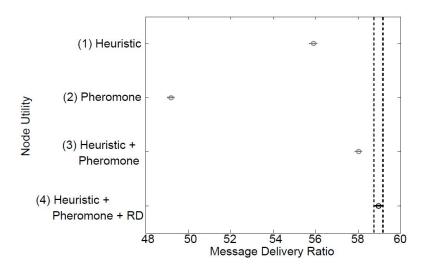


Figure 5: ANOVA results to Node's Utility Analysis.

704 6. CGrAnt Component Analysis

The influence of the CGrAnt's components on the message delivery ratio and message redundancy ratio are evaluated for the PoI and WD scenarios. The message redundancy ratio is expressed as $Redundancy = (B_{transm} - B_{delivery})/B_{delivery}$, in which B_{transm} represents the number of bytes transmitted to nodes, and $B_{delivery}$, which is the number of bytes delivered to their destination.

Initially, an additive methodology is adopted in which components are 711 added one by one to the previous protocol configuration until its final ver-712 sion (configuration 6) is reached (see Table 3). The first two configurations 713 represent the CGrAnt protocol that considers only the ACO metaheuris-714 tic. The pheromone concentration slightly increases the delivery ratio and 715 reduces the number of replicated messages. The influence of the new com-716 ponents incorporated into CGrAnt (i.e., CA's belief space) is analyzed for 717 configurations 3 to 6. 718

When comparing the results obtained from the pure ACO metaheuristic (configuration 2) with the final performance of CGrAnt (configuration 6), the following gains are achieved:

- in the POI scenario, the configuration 2 obtains 48.61% against 58.93%
 of message delivery in the configuration 6. This represents a percentage
 increase of message delivery in 21.23%;
- in the WD scenario, the configuration 2 obtains 54.97% against 63.19%
 of message delivery in the configuration 6. This represents a percentage
 increase of message delivery in 14.95%;
- in the POI scenario, the configuration 2 obtains 15.97% against 10.37%
 of message redundancy in the configuration 6. This represents a per centage decrease of message redundancy in 35.07%;
- in the WD scenario, the configuration 2 obtains 68.62% against 12.43%
 of message delivery in the configuration 6. This represents a percentage
 decrease of message redundancy in 81.86%.

The Situational knowledge (configuration 4) aims to dynamically restrict 734 the number of FAs (and, consequently, the number of messages replicated) to 735 only the most promising forwarders. The History knowledge (configuration 5) 736 provides the exploitation of already known good solutions and consequently 737 increases the message delivery ratio. The Domain knowledge 1 (configuration 738 3) privileges the exploration of the search space and the Domain knowledge 739 2 (configuration 6) favors the exploitation. According to the analysis of 740 configurations 3 and 6, the Domain knowledge aims to increase the message 741 delivery and reduce the redundancy ratio. 742

GrAnt, our previous ACO-based protocol presented in (Vendramin et al., 743 2012b), performs better than CGrAnt in PoI (i.e., GrAnt provides $62.10 \pm$ 744 0.2% of message delivery and 7.05 ± 0.1 of message redundancy). However, for 745 the WD scenario, CGrAnt outperforms GrAnt (the latter achieved $60.25 \pm$ 746 0.75% for delivery and 13.65 ± 0.14 for redundancy). CGrAnt presents better 747 performance in WD rather than in POI scenario because of the Domain 748 Knowledge. The Domain Knowledge considers the node mobility in order 749 to determinate if a node is a good message forwarder and, consequently, 750 if that node must exploit or explore the search space. In WD there are 751 several mobility patterns, i.e., buses and vagabonds nodes with high mobility. 752 Otherwise, in POI, the mobility of nodes is very similar and the information 753 of the Domain knowledge is less relevant. 754

Additionally, CGrAnt has the advantage of modeling at a higher abstrac-755 tion level that enables the elimination of any knowledge of the CA belief 756 space in a simple way (e.g., the history knowledge can be eliminated when 757 the environment is more connected and fewer messages need to be forwarded). 758 Table 4 represents the eliminatory analysis of the CA's belief space pro-759 posed by CGrAnt. The first configuration in Table 4 represents the CGrAnt 760 protocol including all components. In analyzing this table we conclude the 761 following: 762

- **Domain Knowledge** aims to increase the message delivery ratio and 763 reduces the message replication. This is particularly true in the WD 764 scenario in which the nodes generally have a stagnation degree (SD_n) 765 lower than the average stagnation of its social network (SD_J) , and 766 consequently, the Domain knowledge has greater influence. Without 767 this knowledge, the message delivery ratio is reduced by 1.77% (PoI) 768 and 1.40% (WD) and the message redundancy ratio increased by 0.77%769 (PoI) and 92.27% (WD); 770
- Situational Knowledge aims to dynamically restrict the FAs to only good forwarders, and its influence on the message redundancy ratio is therefore greater. Without this knowledge influence, we observe an increase of 51.78% (PoI) and 248.51% (WD) in the message redundancy ratio and a reduction in the message delivery ratio of 9.15% (PoI). In the WD scenario we have an increase of message delivery ratio of 1.65%;
- History Knowledge aims to enhance already known good solutions and thus increases the message delivery ratio. Without the influence of

this knowledge, there is a reduction of 3.78% (PoI) and 3.4% (WD) in
the message delivery ratio with a lowest cost incurred in the replication
of messages -33.85% (PoI) and -12.55% (WD).

It may be noted that the use of the multiple knowledge incorporated in CGrAnt improves its final performance. As the use of multiple techniques in ensemble systems, the combination of a set of techniques on an suitable consensus function provides better performance than each individual technique.

786 7. The CGrAnt Overall Performance

This section investigates how CGrAnt performs as a forwarding protocol when compared with the Epidemic and PROPHET protocols under varying networking parameters. We performed 30 runs, and the reported results represent the mean and confidence intervals (at a 95% confidence level) values. To evaluate the reliability and the cost of the three protocols, we considered the following three performance metrics: (1) message delivery ratio, (2) message redundancy ratio, and (3) average message delivery delay.

794 7.1. Analysis of Different Buffer Sizes

Figure 6 depicts the performance of the three protocols with variation of 795 the buffer sizes (from 4 MB to 16 MB) for the PoI scenario. The dashed 796 curves with empty points denotes the results for nodes that operate at a 797 communication range of 10 m and a transmission rate of 2 Mbps (repre-798 senting bluetooth devices). The solid curves with black points show the 799 results for nodes that operate at a 100 m range and a transmission rate of 800 10 Mbps (representing WiFi devices). Figures 6(a) and 6(b) show that with 801 the use of CGrAnt, more messages are delivered and less buffer space is de-802 voted to message replications. For instance, for a buffer size of 8MB and a 803 communication range of 100 m, CGrAnt delivers $93.27 \pm 0.10\%$ of messages 804 (versus $66.52 \pm 0.16\%$ for Epidemic and $46.84 \pm 0.15\%$ for PROPHET) with 805 a message replication of only $15.23 \pm 0.06\%$ (38.90 $\pm 0.13\%$ for Epidemic and 806 $41.85 \pm 0.19\%$ for PROPHET). Figure 6(c) shows that CGrAnt provides the 807 lowest delivery delay when using a higher buffer size (i.e., 10 MB to 16 MB). 808 Note that for buffer sizes lower than 8MB, PROPHET presents better 809 results in terms of delivery delay. This is due to its lowest Message Delivery 810 ratio, almost -50% than CGrAnt, only short route with short delivery delay 811 is used. 812

813 7.2. Analysis of Different Message TTLs

Figure 7 shows the performance of the CGrAnt. Epidemic, and PROPHET 814 protocols with variation of the message TTL (Time-To-Live, i.e., how long 815 the message lives in the network in minutes) for the PoI scenario. Figures 7(a)816 and 7(b) show that CGrAnt provides the best results in terms of message 817 delivery and redundancy ratios for all message TTLs and both communica-818 tion ranges. For instance, with a 10 m range and a TTL of 2,100 minutes, 819 CGrAnt delivers $61.09 \pm 0.23\%$ of messages (versus $35.39 \pm 0.17\%$ for Epi-820 demic, $30.50 \pm 0.14\%$ for PROPHET), with a message redundancy of only 821 $10.21 \pm 0.05\%$ (30.47 $\pm 0.18\%$ for Epidemic, $32.82 \pm 0.17\%$ for PROPHET). 822 These results show that a node with an efficient routing protocol such as 823 CGrAnt, with guidance from the CA knowledge and the ACO operators is 824 able to efficiently manage message forwarding and dynamically limit message 825 redundancy. Figure 7(c) shows that PROPHET provided the best results in 826 terms of delivery delay; this is the only metric for which CGrAnt cannot pro-827 vide the best results, a lack that is justified by its lowest Message Delivery 828 ratio, almost -40% than CGrAnt, only short route with short delivery delay 829 is used. 830

The performance of the three DTN protocols with variations in the buffer sizes and message TTLs in the WD scenario is presented in (Vendramin et al., 2012a). The results in (Vendramin et al., 2012a) show that CGrAnt achieves a higher message delivery ratio and a lower redundancy ratio than those of Epidemic and PROPHET.

836 7.3. Analysis of Different Simulation Times

We also perform an experiment to evaluate the number of messages delivered by the three protocols along the simulation time in the PoI (4MB of buffer size and message TTL of 600) and the WD scenarios (10MB of buffer size and message TTL of 1,800), both with a communication range of 10m. The aim in this section is to demonstrate that a better delivery ratio can be achieved as the time increases.

Figure 8 shows the message delivery ratio obtained by the three protocols over time in the PoI and WD scenarios with a 10 m range. In CGrAnt, the performance gain is greater mainly in the WD scenario. When the simulation time is increased from 400,000 to 2,800,000 seconds, CGrAnt delivers slightly better performance via an increase of 7.37% (PoI) and 17.45% (WD) in the message delivery ratio. This gain is justified by the fact that the more is the gathered information by CGrAnt over time, the better are the choices it can make concerning message forwarding candidates. In contrast, PROPHET
and Epidemic did not exhibit any significant variation of performance when
the simulation time was increased.

853 7.4. Analysis of Operation Costs

Another important consideration in protocol performance is the cost of initializing/updating and storing the state of the network and nodes. This cost covers the amount of the following types of information: (1) locally exchanged between every two nodes i and j during any contact opportunity, and (2) locally stored in each node i of the network. For this analysis, we considered the PoI scenario with the emphasized parameters presented in Table 2.

For the storage cost in terms of the total number of bytes exchanged be-861 tween nodes i and j during every contact opportunity, PROPHET displays a 862 higher cost due to the exchange of its delivery predictability list. Due to the 863 transitive property of PROPHET, the number of records in the predictabil-864 ity list of a node rapidly reaches the total number of network nodes (139, 865 excluding itself). Thus, the number of bytes exchanged in both directions 866 of the contact is 2,224 bytes (139 \times 16 bytes), as it is shown in Table 5. 867 For the CGrAnt protocol, during the message forwarding phase, 16 bytes 868 are sent by i to its neighboring node j, identifying a data message m to be 869 sent and the stagnation degree of i. At the same time, 29 bytes are sent 870 by i to i representing node j on how well it can perform as a forwarder for 871 m: including its stagnation degree, its degree centrality, its social proximity 872 with the destination d of the message m stored in node i, its betweenness 873 utility relative to d, and an indication (true or false) that it knows that m874 was already received by d. 875

For the cost in terms of the total number of bytes stored in each node i, because Epidemic relies on the message replications to eventually deliver its messages, its storage cost is null (i.e., it is a stateless protocol). Although CGrAnt generates a higher storage cost compared with PROPHET and Epidemic, in the worst case, that cost (11.28 KB) represents only 0.28% of the total capacity of a node buffer, if considering a limiting buffer size of 4 MB per node.

Finally, we investigate the operational cost provided by CGrAnt when considering only its control messages related to the ACO ants (FAs and BAs) in both PoI (*buffer* of 4 MB and message TTL of 600 minutes) and WD (*buffer* of 10 MB and message TTL of 1,800 minutes) scenarios. In the PoI

scenario, the control bytes corresponding to the FAs and BAs represent only 887 $0.0131 \pm 0,0009\%$ (communication range of 10 m) and $0.0186 \pm 0,0002\%$ (100 888 m range) of the total bytes generated by CGrAnt (counting the bytes related 889 to data messages, FAs, and BAs). In the WD scenario, the FAs and BAs 890 bytes represent, respectively, $0.0194 \pm 0,0003\%$ (10 m) and $0.079 \pm 0,0022\%$ 891 (100 m) of the total bytes generated. Nevertheless, even if accounting for the 892 extra cost of these control bytes (for the FAs and BAs) in the total amount (in 893 bytes) of replicated messages in the network, CGrAnt propagates fewer bytes 894 in the network compared with Epidemic and PROPHET due to the high 895 number of data messages replicated by the latter protocols. When compared 896 with Epidemic, CGrAnt provides a reduction of $39.99 \pm 0.16\%$ (in PoI with 897 a 10 m range), $59.60 \pm 0.11\%$ (PoI with a 100 m range), $83.60 \pm 0.19\%$ 898 (WD with a 10 m range), and $89.49 \pm 0.26\%$ (WD with a 100 m range) 899 in the total number of bytes generated in the network. Similarly, when 900 compared with PROPHET, these reductions are $34.86 \pm 0.18\%$ (PoI with 10 901 m), $43.37 \pm 0.15\%$ (PoI with 100 m), $74.59 \pm 0.34\%$ (WD with 10 m), and 902 $78.57 \pm 0.56\%$ (WD with 100 m) in total bytes generated in the network. 903 Therefore, we conclude that with the smallest generated overhead, CGrAnt 904 is able to choose the best message forwarders and reduce the total number 905 of data bytes replicated in the network. 906

It is important to highlight that the algorithmic complexity for the CGrAnt protocol is linear [O(n)] in the number of network nodes, as shown in Algorithm 1.

910 8. Conclusions

The importance of inferring the social behavior of nodes to efficiently 911 deliver data in mobile and intermittently connected networks has motivated 912 the development of the hybrid swarm intelligence-based CGrAnt protocol. 913 Using a greedy version of ACO and CA, CGrAnt characterizes the utility of 914 each node as a message forwarder by considering a set of social-aware met-915 rics. We performed a set of experiments to analyze the influence of selected 916 metrics associated with the ACO operators and the use of different metrics to 917 characterize the utility of each node as a message forwarder. Once the group 918 of metrics was set, we analyzed the influence of the ACO operators and CA 919 knowledge on the CGrAnt performance. Finally, we compared the perfor-920 mance of CGrAnt with the Epidemic and PROPHET protocols under varying 921 networking parameters. The simulation results showed that CGrAnt outper-922

formed PROPHET and Epidemic forwarding protocols in terms of message 923 delivery (gains of 99.12% compared with PROPHET and 40.21% compared 924 with Epidemic) and message replication (63.60%) lower than PROPHET and 925 60.84% lower than Epidemic). In addition, despite a higher storage cost com-926 pared to PROPHET and Epidemic (11.28 KB in the worst case), CGrAnt 927 propagates fewer bytes in the network due to the high number of data mes-928 sages replicated by the latter protocols (a reduction of 43.37% when compared 929 to PROPHET and 59.60% when compared to Epidemic). In future work, we 930 intend to study in more details the adaptive capabilities of CGrAnt, when 931 operating in a scenario with varying mobility conditions, i.e., from an almost 932 static to a completely mobile and disconnected networking environment. For 933 this work, we will investigate the self-adaptation of social-aware metrics, 934 which can be combined with and applied to each CGrAnt component. Fi-935 nally, the comparison of CGrAnt performance with other related social-based 936 forwarding protocols as well as the use of real data sets is such analysis will 937 be also let for future works. 938

939 9. Curriculum Vitae

Ana Cristina B. Kochem Vendramin received her graduate degree 940 in Computer Science from Paranaense University in 2000 and Ph.D. degree 941 in Computer Engineering from UTFPR in 2012. Currently, she is a Professor 942 in DAINF Department at UTFPR. Her research interests include distributed 943 systems, swarm intelligence, routing in cellular and mobile ad hoc networks. 944 Anelise Munaretto received her Dipl.-Ing. degree in Computer En-945 gineering from PUPCPR in 1994 and Ph.D. degree in Computer Networks 946 from the University of Paris VI in 2004. Currently, she is Associate Profes-947 sor at UTFPR. Her research interests include routing and quality of service 948 optimization in wireless networks. 949

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965 Acknowledgements

This work is partially supported (1) by the Brazilian National Research Council (CNPq), under research grants 309571/2014-6 to A. Munaretto and 309197/2014-7 to M.R. Delgado, and 479159/2013-0 to A. Munaretto and (2) by the STIC AmSud UCOOL project.

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	Network Variables			
m	A specific data message			
s	A general source node $(s_m: \text{ refers to the source of } m)$			
d	A general destination node $(d_m$: the destination node of m)			
i	Node with a data message to be forwarded			
j	Neighboring node of <i>i</i>			
n	A generic node, <i>i</i> or <i>j</i>			
y	A generic node, j or d in a link toward d			
N	The total number of nodes available in the network			
M	The total number of data messages to be forwarded			
J Set of nodes j encountered by the node i (social netw				
D_i The total number of destination nodes for which i				
	originated or intermediated a path			
	CGrAnt Variables			
FA/BA	A general Forward/Backward Ant			
k	A specific FA/BA			
K_m	The total number of FAs generated for a message m			
p	A complete path with a total of $ p $ hops			
P_m	Group of paths p constructed by ants for a message m			
W	Event window			
dr_n	Improvement direction of a node n			
V_i/V_s	Inferior/Superior limits which define the			
range of medium stagnation degree				
	CGrAnt Local Metrics			
$FE_{n,d}$	Frequency of Encounters between n and d			
$DE_{n,d}$	Duration of an Encounter between n and d			
PT_n	Average Pause Time in the places visited by a node n			
MS_n	Average Movement Speed of a node n			
DC_n	Degree Centrality of a node n			
$RD_{i,m}$	Relationship Degree of a node i with respect to a			
	specific buffered data message m			
	CGrAnt Global Metrics			
p	Number of hops in a complete path p			
$BU_{n,d}$	Betweenness Utility of a node n in relation to d			
	CGrAnt Composite Metrics			
$BU^i_{J,d}$	Betweenness Utility of the social network of i			
	in relation to a destination d			
$SP_{n,d}$	Social Proximity between nodes n and d			
$U_{n,d}$	Utility of a node n as a message forwarder to d			
$\eta_{n,d}$	Heuristic Function measured by $SP_{n,d}$			
$ au_{(i,y),d}$	Pheromone concentration on each link (i, y)			
	belonging to a path to node d			
SD_n	Stagnation Degree of a node n			
SD_J^i	Stagnation Degree of the social network of node i			
$Q_{p^k_{s_m,d_m}}$	Quality of a path p (from s_m to d_m) constructed by FA k			
	CGrAnt Knowledge			
Dom_i	Domain Knowledge of node <i>i</i>			
$His_{i,d}$	History Knowledge of i with respect to d			
$Sit_{i,m}$	Situational Knowledge of i with respect to m			

 Table 1: Summary of the metrics and variables used to describe the network and CGrAnt

 Network Variables

Algorithm 1 Pseudo-code of the CGrAnt Message Forwarding.

1: Algorithm Initialization 2: for each message m in the buffer of node i do 3: $best_fwd_m \leftarrow \emptyset$; {No forwarder is assigned to m} 4: end for 5: $\{BU, Pheromone concentration, and History knowledge are updated during the backward phase\}$ 6: for each message m in the buffer of node i do $U_{i,d} \leftarrow U_{n,d}$; {Updating node *i* utility, as in Eq. 2} 7: 8: if $(best_fwd_m = \emptyset)$ then 9: $best_fwd_m, d \leftarrow i$ {Initializing the Situational Knowledge}; 10: $U_{best_fwd_{m,d}} \leftarrow U_{i,d}$; {Utility of the best forwarder for m} 11: end if 12: $search_status exploration \leftarrow true;$ 13: $search_status exploitation \leftarrow true;$ 14:{Domain Knowledge Influence} if $((RD_{i,m} \neq s_m) \text{ AND } (dr_i = 0))$ { $Dom_i = \text{medium } SD_i$ } then 15:16: $search_status exploration \leftarrow false;$ 17:end if 18:if $((RD_{i,m} \neq s_m) \text{ AND } (dr_i = -1)) \{Dom_i = \text{low } SD_i\}$ then 19:search_status exploration \leftarrow false; 20: 21: search_status exploitation \leftarrow false; end if 22: 23: Message Forwarding 24:for all connections j do 25: $U_{j,d} \leftarrow U_{n,d}$ {Updating node j utility, as in Eq. 2} 26:if ((search_status exploration) AND (best_fwd_m = i) AND ($U_{i,d} = U_{j,d}$)) then 27: $initial_exploration \leftarrow true;$ 28:else 29: initial_exploration \leftarrow false; 30: end if 31: {Influence of History and Situational Knowledge} 32: if ((search_status exploitation) AND (History_Influence_Function())) then 33: Forward m to j {History Knowledge Influence} 34: else 35: if ((initial_exploration) OR (Situational_Acceptance_Function())) then 36: {Situational Knowledge Update} 37: $best_fwd_m \leftarrow j;$ $U_{best_fwd_m,d} \leftarrow U_{j,d};$ 38: 39: end if 40: end if 41: end for 42: end for 43: if $(best_fwd_m \neq i)$ then Forward m to j {Situational Knowledge Influence} 44: 45: end if 46: *History_Influence_Function()* 47: if $(BU_{j,d} > His_{i,d})$ then 48: **Return TRUE** $\{dr_j = +1\}$ 49: else 50:**Return FALSE** $\{dr_j = -1 \text{ or } dr_j = 0\}$ 51: end if 52: Situational_Acceptance_Function() 53: if $(U_{j,d} > Sit_{i,m})$ then **Return TRUE**{Accept the solution j} 54:55: else 56:Return FALSE 57: end if

Protocol	Setting Parameters	Both scenarios			
	Pheromone evaporation rate		0.1		
CGrAnt	Heuristic function	$\{\mathbf{SP}_{n,d}, DC_n, BU_{n,d}, FB_n\}$			
	Pheromone concentration	$\{ p , DC_n, BU_n, \mathbf{DC_n} + \mathbf{p} \}$			
	Utility of a node	{Heuristic, Pheromone, Heuristic+Phe	eromone, $Heuristic + Pheromone + RD_{i,m}$		
	Hop-count field (hops)	11			
	P_{Inic}	0.75			
PROPHET	γ	0.98			
	One time unit $Unit$ (s)	30			
	φ	0.25			
Epidemic	Hop-count field (hops)	11			
Protocol	Communication Parameters	PoI	WD		
	Number of nodes (N)	140	339		
	Area (m^2)	8,800 x 7,800	10,000 x 8,000		
	Nodes speed (m/s)	[0.5, 1.5]	[0.8,1.4] (pedestrian), [7.0,10.0] (car and bus)		
	Waiting time (s)	100-200 (W1-Z1), 4000-5000 (W2-Z2)	300-500 (H), 10-30 (bus)		
	Traffic generation rate (s)	50-90	100-150		
All	Message TTL (min)	$\{300, 600, 900, 1200, 1500, 1800, 2100\}$	$\{300, 600, 900, 1200, 1500, 1800, 2100\}$		
	Nodes buffer (MB)	$\{4, 6, 8, 10, 12, 14, 16\}$	$\{4, 6, 8, 10, 12, 14, 16\}$		
	Simulation time (s)	800,000			
	Warm up period (s)	5,000			
	Communication range (m)	10 (Bluetooth Devices), 100 (WiFi Devices)			
	Transmission rate (Mbps)	2 (Bluetooth Devices), 10 (WiFi Devices)			
	Number of simulations	30			

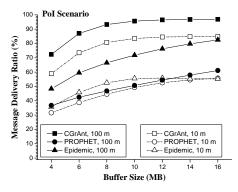
Table 2: Simulation parameters.

Table 3: Additive Analysis of the CGrAnt's Components

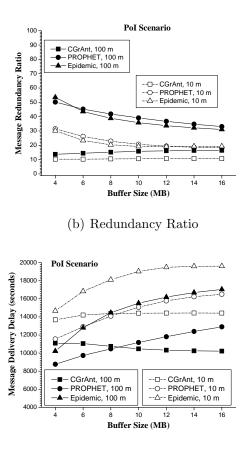
Configuration	(PoI \parallel WD) Message Delivery %	(PoI \parallel WD) Message Redundancy		
1. Heuristic Function	$46.26 \pm 0.18 \parallel 53.38 \pm 0.60$	$18.36 \pm 0.08 \parallel 85.87 \pm 0.94$		
2. Pheromone Concentration $+$ RD	$48.61 \pm 0.20 \parallel 54.97 \pm 0.61$	$15.97 \pm 0.08 \parallel 68.62 \pm 0.96$		
3. Domain Knowledge 1	$49.40 \pm 0.21 \parallel 64.26 \pm 0.63$	$15.11 \pm 0.09 \parallel 43.18 \pm 0.41$		
4. Situational Knowledge	$56.70 \pm 0.27 \parallel 61.04 \pm 0.68$	$6.86 \pm 0.04 \parallel 10.87 \pm 0.11$		
5. History Knowledge	$58.93 \pm 0.19 \parallel 63.70 \pm 0.69$	$10.37 \pm 0.05 \parallel 19.04 \pm 0.16$		
6. Domain Knowledge 2	$58.93 \pm 0.19 \parallel 63.19 \pm 0.72$	$10.37 \pm 0.05 \parallel 12.43 \pm 0.12$		

Table 4: Eliminatory Analysis of the CGrAnt's Components

CGrAnt	(PoI \parallel WD) Message Delivery %	(PoI WD) Message Redundancy		
All components	$58.93 \pm 0.19 \parallel 63.19 \pm 0.72$	$10.37 \pm 0.05 \parallel 12.43 \pm 0.12$		
Without Domain Knowledge	$57.90 \pm 0.22 \parallel 62.30 \pm 0.67$	$10.45 \pm 0.04 \parallel 23.90 \pm 0,28$		
Without Situational Knowledge	$53.54 \pm 0.22 \parallel 64.23 \pm 0.64$	$15.74 \pm 0.07 \parallel 43.32 \pm 0,43$		
Without History Knowledge	$56.70 \pm 0.27 \parallel 61.04 \pm 0.68$	$6.86 \pm 0.04 \parallel 10.87 \pm 0.11$		

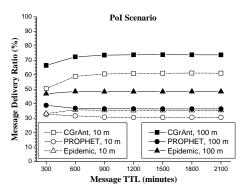


(a) Delivery Ratio

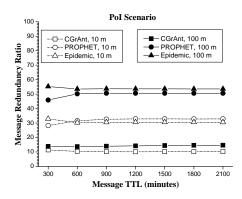


(c) Delivery Delay

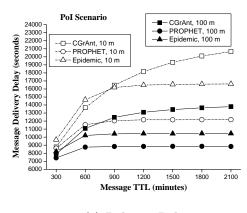
Figure 6: Protocols' performance over different buffer sizes - PoI scenario.



(a) Delivery Ratio

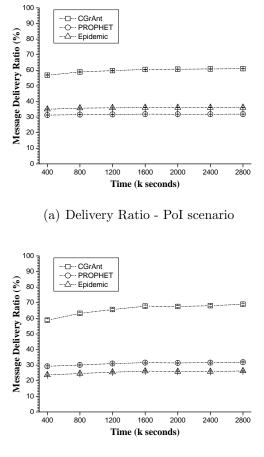


(b) Redundancy Ratio



(c) Delivery Delay

Figure 7: Protocols' performance over different message TTLs - PoI scenario.



(b) Delivery Ratio - WD scenario

Figure 8: Message Delivery Ratio over Different Simulation Time.

Protocols/	Registers/Bytes	Registers/Bytes	Registers/Bytes	Registers/Bytes	Registers/Bytes	Registers/Bytes	Registers/Bytes
Simulation Time	- , .		- , -	- , -		- , -	- , .
	(100k sec.)	(200k sec.)	(300k sec.)	(400k sec.)	(500k sec.)	(600k sec.)	(700k sec.)
CGrAnt							
$FE_{i,d}$	93.97/1, 127.65	116.01/1,392.17	126.73/1,520.74	131.67/1,580.06	134.79/1, 617.43	136.51/1,638.17	$137.54/1,\!650.51$
$DE_{i,d}$	93.97/2,255.31	116.01/2,784	126.73/3,041.49	131.67/3,160.11	134.79/3,234.86	136.51/3,276.34	137.54/3,301.03
DC_i	2/16	2/16	2/16	2/16	2/16	2/16	2/16
$\overline{PT_i}$ and $\overline{MS_i}$	2/16	2/16	2/16	2/16	2/16	2/16	2/16
SD_j	90.82/1,453.14	116.01/1,856.23	126.73/2,027.66	131.67/2,106.74	134.79/2, 156.57	136.51/2,184.23	137.54/2,200.69
$U_{best_fwd_m}$	22.55/360.8	43.64/698.29	62.99/1,007.89	81.72/1,307.54	98.99/1,583.77	115.63/1,850.06	131.94/2,111.09
Pheromone Table	9.56/229.54	17.76/426.34	23.94/574.63	28.76/690.17	32.56/781.54	35.96/862.97	38.74/929.66
$BU_{i,d}$	8.58/102.94	16.1/193.2	22.04/264.43	26.76/321.09	30.54/366.51	33.88/406.54	36.74/440.91
$BU^i_{J,d}$	9.56/153.03	17.76/284.23	23.94/383.09	28.76/460.11	32.56/521.03	35.96/575.31	38.74/619.77
PROPHET							
Delivery Predictability	139/2,224	139/2,224	139/2,224	139/2,224	139/2,224	139/2,224	139/2,224
List							

Table 5: Storage cost over different simulation time