

1 **Physiological stress responses to non-mimetic model brood parasite eggs: leukocyte**
2 **profiles and heat-shock protein Hsp70 levels**

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26 **Abstract**

27 Obligate avian brood parasites lay their eggs in the nest of other bird species (hosts).
28 Brood parasitism often imposes severe fitness costs on hosts, which selects for the
29 evolution of effective anti-parasitic defences, such as recognition and rejection of brood
30 parasite eggs. Glucocorticoids have been recently found to mediate host physiological
31 and behavioural adjustments in response to brood parasite eggs; however, it remains
32 unclear whether brood parasitism triggers a general response involving multiple
33 physiological elements. In this study, we experimentally investigated whether a salient
34 brood parasitic stimulus (the presence of a non-mimetic model egg in the nest) causes
35 physiological adjustments in adult Eurasian blackbirds (*Turdus merula*) at immune
36 (leukocyte profiles) and cellular (heat-shock protein Hsp70 synthesis) level. Also, we
37 explored whether these physiological changes are mediated by variations in
38 corticosterone levels. We found that experimental brood parasitism caused an increase in
39 heterophils and a decrease in lymphocytes, leading to higher H/L ratios in parasitized
40 birds. Nevertheless, we did not find trade-offs between immune function and
41 corticosterone levels. Hsp70 synthesis was not affected by our experimental
42 manipulation. Our findings provide evidence that brood parasite eggs trigger a general
43 stress response in egg-rejecter hosts, including changes in cellular immune profiles.

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45 **Keywords:** Avian brood parasitism, corticosterone, Eurasian blackbird, H/L ratio.

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54 INTRODUCTION

55 Obligate avian brood parasites, which account for approximately 1% of bird species
56 (Mann, 2017), lay their eggs in the nests of heterospecific birds (hosts), taking advantage
57 of the parental care that hosts provide to their young (Payne, 1977). Interspecific brood
58 parasitism imposes significant fitness costs on hosts, which selects for the evolution of
59 anti-parasitic host defences (e.g., the recognition and rejection of parasitic eggs; Feeney
60 et al., 2014; Soler, 2014) and ultimately can lead to co-evolutionary arms races between
61 brood parasites and hosts (Rothstein, 1990). Over the past decades, much research has
62 focused on the ecological and behavioural aspects of avian brood parasite-host
63 interactions (Soler, 2017). However, the physiological mechanisms underlying host
64 responses to brood parasitism have received comparatively little attention despite the fact
65 that brood parasitism may potentially trigger significant adjustments in host physiology,
66 which can have important consequences for the expression and evolution of key anti-
67 parasitic defences such as egg rejection (Abolins-Abols and Hauber, 2018; Avilés, 2018;
68 Ruiz-Raya, 2021).

69 Previous studies on host physiological responses to brood parasitism have focused
70 primarily on the endocrinology of stress. Glucocorticoid hormones are known to mediate
71 allostasis in vertebrates, triggering physiological and behavioural changes that help
72 individuals to cope with environmental challenges (Breuner et al., 2008; Wingfield et al.,
73 1998), including avian brood parasitism (Abolins-Abols and Hauber, 2020). Brood
74 parasitism stimuli is known to increase corticosterone (CORT) levels in adult hosts during
75 incubation (Ruiz-Raya *et al.* 2018; but see Scharf *et al.* 2021) and nestling stages
76 (Antonson et al., 2020). Parasitized birds also show elevated CORT responsiveness to
77 stressors during the fledgling period, which can lead to detrimental long-term
78 consequences (Mark and Rubenstein, 2013). Theory predicts that physiological responses
79 to stress will operate at different levels and include multiple physiological systems
80 working together (Wingfield and Romero, 2015). Thus, characterizing the stress response
81 to avian brood parasitism will require assessing different physiological biomarkers that
82 provide supplementary information on the nature of these physiological adjustments
83 (Breuner et al., 2013; MacDougall-Shackleton et al., 2019; O'dell et al., 2014).

84 A crucial aspect of host physiology that could be affected by brood parasitism is immune
85 function. Environmental stressors are known to cause changes in the relative proportion

86 of white blood cell types (i.e. leukocytes; Davis et al., 2008), a highly conserved
87 physiological response in vertebrates. This parameter has become a widely applied tool
88 in ecophysiology to assess individual responses to stress (Davis et al., 2008; O'dell et al.,
89 2014). The relative proportion of heterophils and lymphocytes (H/L ratio), the two most
90 abundant white cell types in birds, is known to increase in response to external stressors
91 such as climatic conditions, parasites or social challenges (Davis et al., 2008; Minias,
92 2019; Minias et al., 2018). These stress-induced changes in leukocyte number are
93 typically slower and last longer (from one hour to days) than rapid CORT responses,
94 making leukocyte biomarkers particularly informative for obtaining measures of chronic
95 environmental stress (Davis and Maney, 2018; O'dell et al., 2014). Importantly, short-
96 term changes in H/L ratios may be mediated by glucocorticoids (Sapolsky et al., 2000),
97 although stress hormones and leukocyte profiles are not always correlated (Davis and
98 Maney, 2018). Previous studies have shown that rearing brood parasitic nestlings may
99 cause reduced humoral immune responses in hosts (Antonson et al., 2020), yet the effects
100 of brood parasitism on the components of cell-mediated immunity are still unknown.

101 Other biomarkers, such as heat-shock proteins (Hsp), in particular the Hsp60 and Hsp70
102 families, have been widely used to assess long-term chronic stress in wild bird
103 populations (Herring and Gawlik, 2007; O'dell et al., 2014). Hsp are molecular
104 chaperones involved in cellular 'house-keeping' functions, whose expression is induced
105 to protect cells from damage caused by a wide range of stressors associated with parasites,
106 environmental or social challenges (Martínez-Padilla et al., 2004; O'dell et al., 2014;
107 Sørensen et al., 2003). This provides valuable supplementary information to hormonal
108 and immune indicators (O'dell et al., 2014). Hsp expression is thought to be part of a
109 general stress response (Asea and Kaur, 2018), and may be associated with glucocorticoid
110 levels (Asea and Kaur, 2018; Mahmoud et al., 2004). The combined use of different
111 biomarkers may therefore help to elucidate the nature and timing of host stress responses;
112 however, there is still little information on the effect of avian brood parasitism on
113 leukocyte profiles and stress protein expression in adult hosts.

114 Here, we investigate whether a salient brood parasitism stimulus (the presence of one
115 parasitic egg in the nest) triggers significant adjustments in host physiology. Specifically,
116 we evaluated different biomarkers of physiological stress at the immune (leukocyte
117 profile) and cellular level (Hsp expression) in experimentally parasitized and non-
118 parasitized adult hosts. We predict that if the presence of a non-mimetic brood parasite

119 egg induces a general stress response in adult hosts, then we will find elevated H/L ratios
120 and increased Hsp70 expression caused by experimental parasitism. Additionally, we take
121 advantage of our own data on the glucocorticoid response to experimental brood
122 parasitism (from the same individuals, Ruiz-Raya et al., 2018) to explore, through
123 structural equation modelling, whether the effects of experimental brood parasitism on
124 H/L ratios and Hsp70 expression are mediated indirectly by variations in plasma CORT.

125

126 MATERIAL AND METHODS

127 *Study system*

128 Our study was conducted in a Eurasian blackbird (*Turdus merula*) population located in
129 the Valley of Lecrín, Spain, from March to May 2015. The Eurasian blackbird (*Turdus*
130 *merula*, hereafter blackbird) is an occasional common cuckoo (*Cuculus canorus*) host
131 frequently used in brood parasitism studies (see e.g., Grim et al., 2011; Roncalli et al.,
132 2019; Ruiz-Raya et al., 2015; Samas et al., 2011; Soler et al., 2015; Soler et al., 2017).
133 Female blackbirds, the sex responsible for egg rejection in this species (Ruiz-Raya et al.,
134 2019), show fine-tuned egg-recognition abilities (see references above).

135 *Field procedure*

136 From the beginning of the breeding season, we located active blackbird nests, which were
137 visited every two days to obtain data on laying date and clutch size. The day after clutch
138 completion, breeding pairs were randomly selected to incubate clutches either with
139 (parasitized group, n = 18) or without non-mimetic parasitic model eggs (non-parasitized
140 control group, n = 16). Following a previously established methodology, parasitic models
141 eggs were painted red to simulate non-mimetic eggs (Avilés et al., 2004; Martín-Vivaldi
142 et al., 2012; Roncalli et al., 2017; Soler and Møller, 1990), which are easily detected by
143 blackbirds (Ruiz-Raya et al., 2019, 2015; Soler et al., 2015). As model eggs, we used
144 natural (commercial) common quail (*Coturnix coturnix*) eggs ($32.6 \pm 0.1 \times 25.3 \pm 0.1$
145 mm; n = 49) slightly larger than blackbird eggs ($30.4 \pm 0.2 \times 21.1 \pm 0.1$ mm; n = 40), a
146 type of model egg previously used to elicit egg recognition in blackbirds (Ruiz-Raya et
147 al., 2018; Soler et al., 2017). In our study population, blackbird clutch size varies from 2
148 to 5 eggs (Ibáñez-Álamo and Soler 2010), but we only used nests containing 2 or 3 eggs
149 to avoid exceeding the maximum natural clutch size after experimental parasitism. No

150 blackbird ejected the parasitic model egg or deserted the nests by the end of brood
151 parasitism trials.

152 72 hours after the introduction of the parasitic model egg, all focal females were captured
153 (6:00 – 8:00 am) by using a mist net placed near the focal nest (1 - 5 m). Such 72-hours
154 period has been proved to be a time frame suitable to assess sustained physiological
155 changes in response to experimental brood parasitism (Ruiz-Raya et al., 2018).
156 Immediately after capture (< 3 min), a blood sample (400-500 μ l) was collected from the
157 brachial vein with a 25-gauge needle and 80 μ l heparinized microhematocrit tubes.
158 Additionally, a drop of blood was transferred to a slide to make one-cell-layer blood
159 smears from both parasitized and control females. Smears were air-dried and stored in
160 darkness until methanol fixation. All females were marked with individual rings and
161 released near the nest 5-15 minutes after blood sampling. In all cases, experimental
162 females returned to the focal nest to resume incubation within the next hour (as revealed
163 by warm clutches). Blood samples were kept cold and, once in the lab, centrifuged at
164 4500 RCF for 3 min (max. 4 hours after collection). Plasma and red blood cells (RBC)
165 were separated and stored at -20 °C until laboratory assays. Blood smears were fixed in
166 methanol (Houwen, 2002; O'dell et al., 2014).

167 *Laboratory analyses*

168 Blood smears were stained by using the Giemsa method and scanned, blind to the
169 treatment, at 1000 \times magnification under a light microscope. Following a general protocol
170 for leukocyte characterization in slides (O'dell et al., 2014), we counted a random sample
171 of 100 leukocytes from each blood smear, and classified them into heterophils (H),
172 lymphocytes (L), and other leukocyte types (i.e., basophils, eosinophils and monocytes)
173 according to the criteria of Hawkey et al., (1989). Then, the H/L ratio was then calculated
174 for each individual by dividing the number of heterophils by the number of lymphocytes.
175 All blood smears were assessed by the same researcher (RV) to reduce variability.
176 Additionally, twenty-five randomly chosen smears were assessed twice to estimate
177 repeatability of H/L ratio measurements, confirming that leukocyte count was highly
178 repeatable (intra-class correlation coefficient, ICC = 0.86, $p < 0.001$). Hsp70 expression
179 was quantified from red blood cells at the Ecophysiology Laboratory of the Estación
180 Biológica de Doñana (Spanish National Research Council, Spain) using a commercial
181 ELISA kit (ADI-EKS-700B, ENZO Biochem Inc., Farmingdale, New York) by

182 following the manufacturer instructions. Total proteins were measured using the Bradford
183 method (Kruger, 1994) and Hsp70 values were corrected according to total protein
184 concentration in the samples. CORT levels were measured from plasma samples by
185 heterologous radioimmunoassay (RIA) following a protocol previously validated for
186 blackbirds (see Ruiz-Raya *et al.* 2018 for additional details on CORT assays).

187 *Statistical analyses*

188 All analyses and graphs were performed using R version 3.6.1 (R Core Team, 2019). We
189 used linear models (LMs) to assess between-groups differences in heterophil (Box-Cox
190 transformed), lymphocyte, H/L ratio (Box-Cox transformed) and Hsp70 levels. All
191 models included the brood parasitism treatment, the clutch size (two/three) and the two-
192 way interaction between these terms.

193 Structural equation modeling (SEM) was used to examine direct and indirect causal
194 relationships between our brood parasitism treatment, the main biomarker of the
195 leukocyte response to stress (the H/L ratio; O'dell *et al.*, 2014), and Hsp70 expression by
196 using the *piecewiseSEM* package (Lefcheck, 2016). First, we explored direct links
197 between experimental brood parasitism and heterophils, lymphocytes and Hsp70
198 expression, as well as indirect paths through the links with plasma corticosterone
199 concentration (full model). The final model was selected by using Shipley's extension for
200 the Akaike Information Criteria (AIC; Shipley, 2013) and evaluated its goodness of fit
201 using the Fisher's *C* statistic (Lefcheck, 2016). All models described above satisfied the
202 linearity and homoscedasticity criteria.

203

204 **RESULTS**

205 Experimentally parasitized females showed a higher number of heterophiles ($F_{1,30} = 8.25$,
206 $p = 0.007$, Fig. 1a), and a lower number of lymphocytes ($F_{1,30} = 10.60$, $p = 0.004$. Fig.
207 1b), compared to non-parasitized control females. As expected, parasitized females
208 showed a higher H/L ratio than non-parasitized control females ($F_{1,30} = 9.11$, $p = 0.005$,
209 Figure 1c). Neither the clutch size nor its interaction with the experimental treatment had
210 an effect on the components of the cellular immunity (i.e., heterophil and lymphocyte
211 counts) or the H/L ratio ($p > 0.27$ in all cases). Contrary to our prediction, Hsp70

212 expression was not affected by our brood parasitism manipulation ($F_{1,30} = 0.01$, $p = 0.84$,
213 Fig. 1d), independently of clutch size ($F_{1,30} = 0.25$, $p = 0.62$).

214 SEM analyses confirmed that experimental brood parasitism had a large direct positive
215 effect on the H/L ratio (Fig. 2, Table S1), but no indirect effects via CORT were detected
216 (Fig.2, Table S1). As expected, we found a direct positive effect of the brood parasite
217 stimulus (i.e., the presence of a non-mimetic egg in the nest) on plasma CORT
218 concentration (Fig. 2, Table S1).

219

220 **DISCUSSION**

221 We provide evidence that brood parasitic egg stimulus caused significant changes in host
222 leukocyte profiles and, as a result, experimentally parasitized birds showed a higher H/L
223 ratio compared to non-parasitized control individuals. Importantly, these effects were not
224 mediated by plasma glucocorticoid concentration. At the cellular level, our experimental
225 manipulation did not affect the expression of stress proteins. To our knowledge, this is
226 the first evidence of the effects of brood parasitism on the immune status of adult hosts
227 during the incubation phase.

228 We found that the presence of a non-mimetic model egg in the nest caused a significant
229 increase in heterophils and a decrease in lymphocytes, resulting in higher H/L ratios in
230 parasitized birds (Fig. 1). These physiological adjustments could be caused by different
231 factors related to the presence of parasitic eggs in the nest. First, given the high
232 recognition abilities shown by female blackbirds (see e.g., Samas et al., 2011; Ruiz-Raya
233 et al., 2019), changes in immune function could be part of a general stress response
234 triggered by the recognition of foreign eggs. Changes in host physiology in response to
235 brood parasitism may also include variations in glucocorticoid levels (Ruiz-Raya et al.,
236 2018), which can promote anti-parasitic responses (Abolins-Abols and Hauber, 2020).
237 Indeed, it has recently been shown that experimental brood parasitism either with mimetic
238 or non-mimetic eggs does not lead to changes in the physiology of the prothonotary
239 warbler (*Protonotaria citrea*), an egg-accepter host of the brown-headed cowbird
240 (*Molothrus ater*) (Scharf et al., 2021). This reinforces the idea that these physiological
241 adjustments are, at least partially, triggered by egg recognition.

242 However, it is also possible that changes in the H/L ratio are related to increased
243 incubation demands associated with increased clutch size (Davis and Maney, 2018;
244 Hanssen et al., 2005). In our study, the effects of experimental brood parasitism on
245 immune biomarkers were not dependent on clutch size, and previous studies have
246 reported that other indicators of physiological stress, such as CORT levels, remain
247 unaffected in hosts naturally parasitized with mimetic eggs (Mark and Rubenstein, 2013).
248 The results described above suggest that incubation demands associated with an
249 additional (parasitic) egg would cause negligible physiological changes in adult hosts *per*
250 *se*. On the other hand, physiological adjustments triggered by egg recognition and brood
251 enlargement would be expected to act simultaneously during natural brood parasitism
252 events, although some brood parasites may occasionally remove host eggs when visiting
253 target nests (Reboreda et al., 2017). Our study design was unable to assess the separate
254 effects of these factors, so future experimental designs will need to consider alternative
255 manipulations to elucidate the relative importance of egg recognition and brood
256 enlargement in triggering physiological stress responses to brood parasitism, especially
257 in egg-rejecter species with finely tuned egg-recognition abilities.

258 Regarding the link between immune function and glucocorticoids, our findings confirmed
259 previously published data on the direct positive effects of non-mimetic eggs on plasma
260 CORT of adult hosts (Ruiz-Raya et al., 2018). However, variation in plasma CORT did
261 not mediate an indirect effect of brood parasitism on leukocyte profiles (Fig. 2). This is
262 consistent with previous studies showing that glucocorticoid levels (CORT or cortisol)
263 and leukocyte profiles (H/L ratio) are not always correlated in wild vertebrates (reviewed
264 in Davis and Maney, 2018). Individual trade-offs between CORT and immune responses
265 (humoral immunity) also appear to be absent in cowbird hosts rearing parasite chicks
266 (Antonson et al., 2020). The lack of correlation between these two measures of
267 physiological stress may be due to differences in the timing of CORT and leukocyte
268 responses to chronic stressors (Davis and Maney, 2018). Thus, it may be plausible that,
269 while leukocyte responses to brood parasite model eggs may persist for relatively long
270 periods, CORT response decline over time in some individuals.

271 Finally, experimental brood parasitism did not elicit differential physiological responses
272 in terms of Hsp70 levels within three days, and Hsp concentration was not related to
273 variation in CORT levels. The short-term stress associated with brood parasite model
274 eggs during this period of time does not appear to cause rapid up-regulation of stress

275 proteins, whose synthesis is a reliable indicator of chronic stress (O'dell et al., 2014).
276 Nevertheless, we cannot rule out that the expression of Hsp proteins may be affected in
277 scenarios where brood parasitism is expected to involve sustained stress for adult hosts,
278 for example, during rearing of brood parasite nestlings or fledglings.

279 In conclusion, our results show that the presence of a non-mimetic brood parasite egg in
280 the nest causes significant changes in the cellular immune profiles of adult hosts. These
281 results, together with previous studies on the glucocorticoid response to brood parasite
282 eggs (Ruiz-Raya et al., 2018), as well as evidence from the nestling and fledgling periods
283 (Antonson et al., 2020; Mark and Rubenstein, 2013), indicate that parasitism triggers a
284 generalized stress response affecting multiple physiological components in adult hosts.
285 We encourage the use of different physiological biomarkers in order to gain a
286 comprehensive view of the host physiological response to avian brood parasitism.

287

288 **Ethical approval**

289 We performed the study following all relevant Spanish national (Decreto 105/2011, 19
290 de Abril) and regional guidelines. No female deserted their nest during the 3 days after to
291 our experimental manipulation and none exhibited any long-term effects of the study.

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299 **Conflict of interest**

300 The authors declare no competing interests.

301 **Author contribution**

302 FRR and MS conceived and designed the study. FRR and TA conducted the field work.
303 FRR and RV performed the laboratory work. FRR conducted the data analysis and wrote
304 the first draft. All authors critically contributed to drafts and gave final approval for
305 publication.

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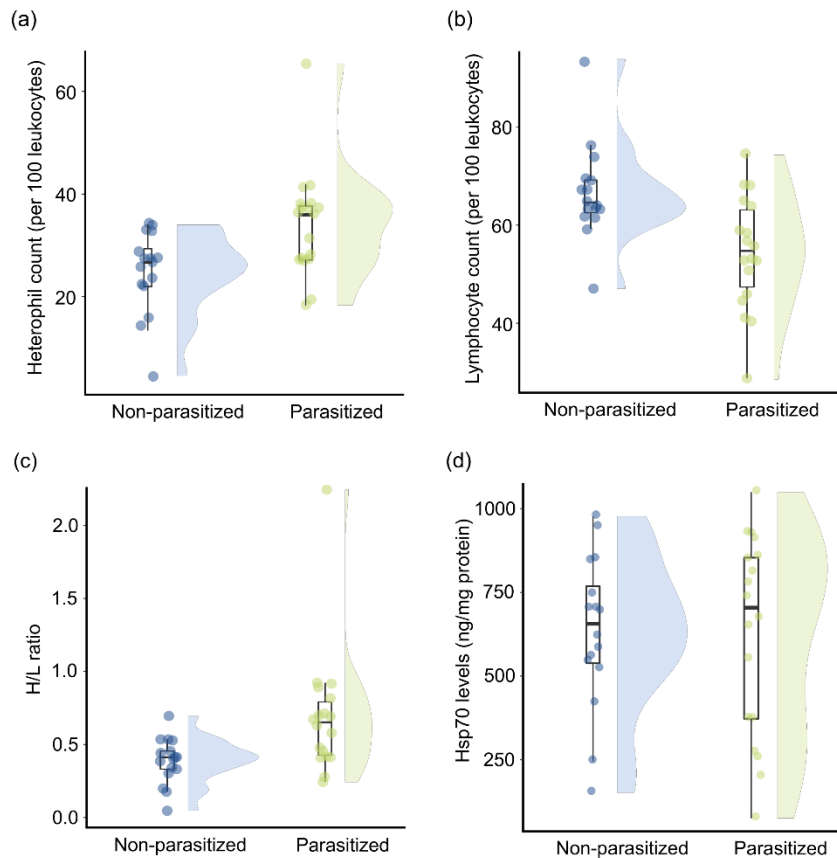
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463 **Figures**

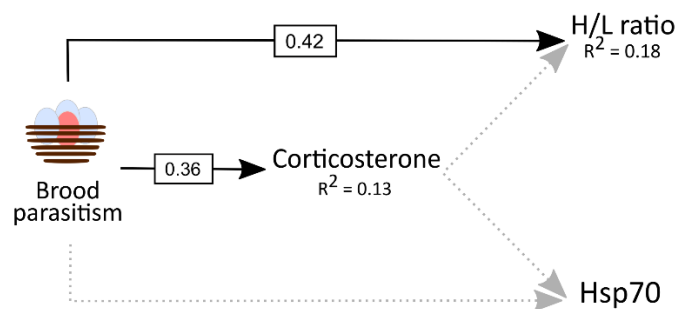


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465 **Figure 1.** Differences in (a) heterophils, (b) lymphocytes, (c) heterophils/lymphocytes ratio (H/L ratio) and
466 (d) heat-shock protein Hsp70 levels between parasitized and non-parasitized control females. Boxplots show
467 the median (bold line), and 25th and 75th percentiles (coloured boxes), with whiskers denoting the 5th and
468 95th percentiles. The violin plot outlines illustrate the probability density of data, i.e. the width of the shaded
469 area indicates the proportion of the data located there.

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473 **Figure 2.** Structural equation model assessing for direct and indirect paths between experimental
474 brood parasitism and the H/L ratio and Hsp70 levels. Plasma corticosterone concentration was included
475 as an indirect path. Grey dotted arrows represent those paths that were tested in the full model but not
476 included in the final model, of which paths are indicated by black arrows. Standardized effects are provided
477 for those paths included in the final model.

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