

Article

EA/G-GA for Single Machine Scheduling Problems with Earliness/Tardiness Costs

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Abstract: An Estimation of Distribution Algorithm (EDA), which depends on explicitly sampling mechanisms based on probabilistic models with information extracted from the parental solutions to generate new solutions, has constituted one of the major research areas in the field of evolutionary computation. The fact that no genetic operators are used in EDAs is a major characteristic differentiating EDAs from other genetic algorithms (GAs). This advantage, however, could lead to premature convergence of EDAs as the probabilistic models are no longer generating diversified solutions. In our previous research [1], we have presented the evidences that EDAs suffer from the drawback of premature convergency, thus several important guidelines are provided for the design of effective EDAs. In this paper, we validated one guideline for incorporating other meta-heuristics into the EDAs. An algorithm named “EA/G-GA” is proposed by selecting a well-known EDA, EA/G, to work with GAs. The proposed algorithm was tested on the NP-Hard single machine scheduling problems with the total weighted earliness/tardiness cost in a just-in-time environment. The experimental results indicated that the EA/G-GA outperforms the compared algorithms statistically significantly across different stopping criteria and demonstrated the robustness of the proposed algorithm. Consequently, this paper is of interest and importance in the field of EDAs.

Keywords: Estimation of Distribution Algorithms; probability estimation; statistical learning problem; EA/G; diversity; single machine scheduling problems

1. Introduction

In recent years, Estimation of Distribution Algorithms (EDAs) have received numerous attention [2–6]. In the procedures of research, explicitly learning and building a probabilistic model from the parental distribution, and then sampling new solutions according to the probabilistic model [7] has been a key focus of researchers. In addition, building the probabilistic model is a statistical learning problem which is a probability estimation in terms of a generalized relative entropy [8]. Sampling from probabilistic models avoids the disturbance of some of the salient genes represented by the model, which is contrary to what could occur when genetic operators such as crossover and mutation are applied [9]. The most important characteristic to distinguish between EDAs and Standard Genetic Algorithms (SGA) is the use of probability learning and sampling from the probabilistic model.

As claimed by Zhang and Muhlenbein [10], EDAs might be a promising method capable of capturing and manipulating the building blocks of chromosomes and hence have become efficient tools for solving hard optimization problems. Some well-known EDAs include cGA [3], UMDA [4], GA-EDA [11], Guided Mutation (EA/G) [6], Model-Based Evolutionary Algorithm (EA) [12], Artificial Chromosomes with Genetic Algorithms (ACGA) [13], Self-Guided GA [14], and VNS-EDAs [9], *etc.* For the detailed review, please refer to [7].

If we lack the prior knowledge of concepts necessary to address problems, EDAs would be regarded as good techniques at solving hard problems. EDAs also can be used to characterize the search space of the changing environment [15]. According to Santana *et al.* [9], however, EDAs may have the overfitting problem in the search space and the probabilistic models can no longer represent the general information. In addition, due to premature convergence of EDAs, the probabilistic models can not provide diversified solutions, which has also resulted in poor performance [1,16]. To conquer the aforementioned difficulties facing EDAs, our previous paper [1] proposed some important guidelines for designing effective EDAs. These proposed guidelines are:

1. Gradually increasing diversity among the populations.
2. Using other meta-heuristics algorithms as alternatives to EDAs.
3. Replacing the procedures of sampling new solutions.
4. Incorporating EDAs with other heuristics.

The first guideline proposes to use a diversification technique in EDAs. Since EA/G can converge to local optimal solutions quickly or diverge altogether, we added this concept to EA/G by using the adaptive strategy and named the new version EA/G “Adaptive EA/G”. In order to evaluate the performance of Adaptive EA/G, we compared the original EA/G and the ACGA on the NP-Hard single machine scheduling problems considering both the earliness and tardiness costs. When three different

stopping criteria were applied (CPU time was divided into short-term, medium-term and long-term scheduling), the result indicated that the Adaptive EA/G statistically significantly outperformed the EA/G and ACGA [1]. It is interesting to see the Adaptive EA/G under different CPU usages has consistent performances.

In this paper, we illustrate that the second guideline is much more effective in designing EDAs. The well-known EDA, EA/G, was selected again to work with other meta-heuristic methods. Because genetic algorithms (GAs) are widely used in the engineering applications, we blended the concept of GAs with the EA/G for the second guideline. The proposed algorithm is named the “EA/G-GA”, which is used to solve the NP-Hard single machine scheduling problems concerning the weighted earliness and tardiness costs.

The rest of the paper is organized as follows. In Section 2, we review the single machine scheduling problems, related works of alternating EDAs with GAs, and the problem statements. After that, Section 3 discusses how implementation of EA/G alternates with GAs. Section 4 reveals the experimental results whereas the EA/G, Adaptive EA/G, EA/G-GA, and ACGA are evaluated by using the single machine scheduling problems. Finally, the conclusions of this paper are drawn in Section 5.

2. Literature Review

We review the single machine scheduling problems in the first sub-section, particularly the objective of minimizing the earliness/tardiness cost. Since some similar works related to this paper have been done by other researchers, the relevant results are presented in Section 2.2. Last but not least, we give the problem definition of the NP-Hard scheduling problems in Section 2.3.

2.1. Surveys of the Earliness/Tardiness Single Machine Scheduling Problems

As a generalization, the single-machine scheduling problem to minimize the total weighted earliness and tardiness costs is strongly NP-hard [17]. The earlier works on this problem were due to [18–20]. Belouadah *et al.* [21] dealt with a similar problem with the objective of minimizing the total weighted completion time. Later on, Alves and Almeida [22] developed various dominance rules to solve the problem. In [23,24], they presented branch-and-bound algorithms by decomposing the problem into weighted earliness and weighted tardiness sub-problems. Two lower bound procedures were used for each sub-problem. The lower bound for the original problem was the sum of the lower bounds for the two sub-problems. Valente and Alves [24] analyzed the performance of various heuristic procedures, including dispatching rules, a greedy procedure, and a theory-based decision heuristic.

Several authors have mentioned the earliness/tardiness scheduling problem with equal release dates and no idle time and proposed both exact and heuristic approaches in their literature to solve the problem. They presented branch-and-bound algorithms for the exact approaches [25–27]. The lower bounding procedure of Abdul-Razaq and Potts [25] applied sub-gradient optimization and the dynamic programming state-space relaxation technique, whereas [26] and [27] used Lagrangian relaxation and the multiplier adjustment method. Among these heuristics, Morton [28] developed several dispatching rules and a filtered beam search procedure to solve the problem. Valente and Alves [23] presented an additional dispatching rule and a greedy procedure. They also considered the use of dominance rules to

further improve the schedule obtained through the heuristic method. Li [26] presented a neighborhood search algorithm.

In [29], they developed a new algorithm called the “Electromagnetism-like algorithm” to deal with the single-machine scheduling problem concerning earliness/tardiness penalties. The electromagnetism-like algorithm was originally devised by Birbil *et al.* [30], which can solve continuous problems but need to utilize the random key method in solving discrete problems like the scheduling problems. Therefore, the electromagnetism-like algorithm increases the diversity of inferior solutions, whereas the genetic algorithm operator, *i.e.*, the crossover operator, recombines solutions to construct better performing algorithms. Experimental results show that the performance of hybrid algorithms is far superior to using the electromagnetism-like algorithm alone.

2.2. Relative Works of Alternating EDAs with GAs

Since the EDAs may not generate diversified solutions in the long run and cause the problem of premature convergency, hybridizing with other metaheuristics, the genetic algorithm in particular, is possibly a good approach to increase diversity among populations. Some researchers have conducted similar studies in their publications [11–13]. Their key idea is to use the EDA as an alternative to the genetic algorithms so as to further enhance the quality of the solution by implementing the searching strategy of intensification using the EDAs and diversification via GAs.

In addition to generating a population of chromosomes by probabilistic models or genetic operators in a single generation, there are other possible ways that include sampling from a probabilistic model to obtain a proportional solution and using crossover and mutation operators to generate the rest of solutions. MGSPGA [31] samples 20% solutions from a probability matrix and the remaining chromosomes are generated by the genetic operators. Consequently, the goal to create a balance between intensification and diversification has been achieved. For the future research project, both approaches mentioned above should be examined because it is still unknown about which approach is better (samples new solutions entirely or partially).

Finally, choosing not to take a sample of the solution from probabilistic models can be advantageous. When we look for solutions to sequential problems, the time complexity of the proportional algorithm is $O(n^2)$. It is particularly time-consuming when we handle larger size problems. As a result, it is an efficient approach when the EDAs alternate with other metaheuristics.

2.3. Problem Statements

In this paper, we tackle a deterministic single machine scheduling problem without release dates in an attempt to minimize the total sum of earliness and tardiness penalties. A detailed formulation of the problem is described as follows: A set of n independent jobs $\{J_1, J_2, \dots, J_n\}$ has to be scheduled without preemptions on a single machine that can handle at most one job at a time. It is assumed that the machine will be continuously available from time zero onwards and unforced machine idle time is not allowed. Job $J_j, j = 1, 2, \dots, n$ becomes available for the processing at the beginning, requires a processing time p_j and should be completed on its due date d_j . For any given schedule, the earliness and

tardiness of J_j can be defined as $E_j = \max(0, d_j - C_j)$ and $T_j = \max(0, C_j - d_j)$ respectively, where C_j is the completion time of J_j .

The objective is then to find a schedule that minimizes the sum of the earliness and tardiness penalties of all jobs $\sum_{j=1}^n (\alpha_j E_j + \beta_j T_j)$ where α_j and β_j are the earliness and tardiness penalties of job J_j . Considering the inclusion of both earliness and tardiness costs in the objective function is compatible with the philosophy of just-in-time production, which emphasizes producing goods only when they are needed. The early costs may represent the cost of completing a job early, the deterioration cost of perishable goods or a holding (stock) cost for finished goods. The tardy costs can represent the cost of rush shipping, lost sales and loss of goodwill. We assume that no unforced machine idle time is allowed and let the machine idle only when no job is available for processing. This assumption reflects that the cost of machine idleness is higher than the early cost stemming from completing any job before its due date in a production setting or the capacity of the machine is limited as compared with its demand so that the machine must remain in operation all the time. In [20] and [28], they provided some specific examples of production arrangements with these characteristics. A characteristic of the deterministic problem is an assumption that the set of jobs is ready to process jobs in the beginning.

3. EA/G-GA: The Framework of the EDAs with Meta-Heuristic

According to our prior research [1], EDAs converge faster whereas GAs evolves slowly because meta-heuristics can perform global search in the evolutionary progress. However, meta-heuristics might provide better diversity among populations. Based on these characteristics, we could take advantages on both sides. We employ the EDAs to characterize the parental solutions and then search around the current solution space. After that, meta-heuristics might introduce new solutions into the population to maintain the diversity, which can avoid the premature convergency of EDAs. As an example, we proposed a hybrid framework combining the well-known EDAs, EA/G and Standard Genetic Algorithm (SGA). The proposed algorithm is named “EA/G-GA”.

Alternating the EA/G and GAs in the evolutionary progress is a main characteristic of the EA/G-GA. Once the current generation is done by EA/G, GAs carries out the evolution progress in the next generation. Through the alternation of the EA/G and GAs, the researchers would expect to reduce the computing efforts and avoid the premature convergency of EA/G because the diversity has been improved. The pseudo code of the EA/G-GA is shown as the following.

Algorithm 1: EA/G-GA Main Procedure

Population: The whole population.

Parentset: the set of parent solutions selected from the current population.

t: Current Generation

$P(t)$: Probabilistic matrix at generation t .

- 1: $t \leftarrow 0$
- 2: Initialize *Population* and $P(t)$
- 3: Evaluate(*Population*)

```

4: while  $t < \text{generations}$  do
5:    $\text{Parentset} \leftarrow \text{Select}(\text{Population})$ 
6:   if  $t \% 2 == 0$  then
7:      $P(t + 1) \leftarrow \text{modelUpdate}(\text{Parentset}, P(t))$ 
8:      $\text{Population} \leftarrow \text{EAG}(\text{Parentset}, P(t+1))$ 
9:   else
10:     $\text{Population} \leftarrow \text{GAOperators}(\text{Parentset})$ .
11:   end if
12:    $\text{Evaluate}(\text{Population})$ 
13:    $t \leftarrow t + 1$ 
14: end while

```

In Step 2, we initialize the population and the probabilistic model $P(t)$ before the evolutionary progress begins. The detailed definition and the initialization method of the probabilistic model are discussed in Section 3.1. When the evolutionary progress starts, we select good solutions from the current population. In principle, any selection method such as proportional selection and tournament selection can be used for this purpose. For the sake of simplicity, the 2-tournament selection is adopted in our experiments. It randomly draws two members from *Population* and selects the fitter one to become a member in *Parentset*.

After the selection procedure is done, the while-loop controls the stopping criterion determined by the maximum number of generations. Step 6 decides what kinds of algorithm can be used in the current generation t . This research conducted some experiments to obtain this parameter setting in Section 4.1. In this research, when t can be divided by 2, this generation updates the probabilistic model first (Step 7) and then executes the EA/G (Step 8). The details of the two steps are shown in Section 3.1 and Section 3.2 respectively. On the other hand, the GAs is run at the next generation of EA/G. The two algorithms are implemented alternatively. Within this scheme, EA/G-GA keeps the simplicity for the researchers to solve their own specific problems. The following sub-sections explain the details of the proposed algorithm.

3.1. Updating the Probabilistic Model

The probability estimation is a generalized relative entropy [8], which measures the strength of the variable in EDAs. Probability $P(t)$ is of the form:

$$P(t) = \begin{pmatrix} P_{11}(t) & \cdots & P_{1n}(t) \\ \vdots & \ddots & \vdots \\ P_{n1}(t) & \cdots & P_{nn}(t) \end{pmatrix} \quad (1)$$

where $P_{ij}(t)$ is the probability of job i in position j in a promising solution in the generation t . $P(t)$ summarizes the global statistical information about promising solutions obtained from the previous search.

In Step 2 of the EA/G-GA main procedure, each $P_{ij}(t)$ is initialized to be $\frac{1}{n}$, where n is the number of jobs. This initialization means that all the solutions have the same likelihood to be an optimal solution.

The reason for such an initialization is that we have no information about the location of promising solutions. A better initialization procedure can be refer to [5].

Let ϕ_{ij} be the number of the solutions in *Parentset* in which job i is in position j and $|Parentset|$ be the size of *Parentset*. $P_{ij}(t + 1)$ in Line 7 is updated as follows:

$$P_{ij}(t + 1) = (1 - \lambda)P_{ij}(t) + \lambda \frac{\phi_{ij} + 1}{|Parentset| + n} \quad (2)$$

As in PBIL [32], we update $P(t + 1)$ in an incremental learning way. $\lambda \in (0, 1)$ is the learning rate. The larger λ is, the more *Parentset* contributes to $P(t + 1)$. In the above equation, we use the Laplace correction $\frac{\phi_{ij} + 1}{|Parentset| + n}$, instead of $\frac{\phi_{ij}}{|Parentset|}$. The Laplace correction [33–35] can prevent $P_{ij}(t + 1)$ from becoming too small.

As soon as the probabilistic matrix $P(t + 1)$ is built, jobs are assigned onto each position. The approach of how to utilize the probabilistic model to generate a sequence is illustrated in the next section.

3.2. The Detailed Procedures of EA/G

We use the proportional selection to assign jobs onto each position. Through this proportional selection [10], it is demonstrated that if the distribution of the new elements matches that of the parent set, a global optimal solution will be found and a factorized distribution algorithm converges globally. The assignment sequence for each position is assigned in random order. A specific parameter of EA/G is β , which determines the proportion of elite genes copied from elite solution directly. The assignment procedure is determined as follows:

Notation:

S : A set of shuffled sequence determining the sequence of each position to be assigned a job.

Ω : The set of un-arranged jobs.

J : The set of arranged jobs. J is empty in the beginning.

θ : A random probability is drawn from $U(0, 1)$.

i : A selected job by proportional selection

k : The element index of the set S

β : The EA/G parameter controls the proportion of elite genes copied to offspring

Algorithm 2: EA/G Operator

- 1: $S \leftarrow$ shuffled the job number $[1 \dots n]$
- 2: $J \leftarrow \Phi$
- 3: EA/G Operator $y = EAG(Parentset, P(t + 1))$.
- 4: Output: $y = (y_1, \dots, y_n)$.
- 5: **while** $x_i \in Parentset$ **do**
- 6: **while** $k \neq \Phi$ **do**
- 7: Flip a coin with head probability β ;
- 8: **if** The head turns up **then**
- 9: Select a job i satisfies $\theta \leq P_{ik} / \sum_{i \in \Omega} P(i, k)$
- 10: $J(k) \leftarrow i$

```

11:   else
12:      $y_i := x_i.$ 
13:   end if
14:    $\Omega \leftarrow \Omega \setminus i$ 
15:    $S \leftarrow S \setminus k$ 
16: end while
17: end while
    
```

From the above EA/G operator, y_i is directly copied from the parent x_i or randomly sampled from the probability vector p . The larger β is, the more elements of y are sampled from the probability vector p . In other words, β , similar to the mutation rate in conventional mutation, controls the similarity between offspring and the parent, while the parent can be chosen from the best solutions found so far. After the generation runs the EA/G, the next generation executes the genetic operators which is shown in the next sub-section.

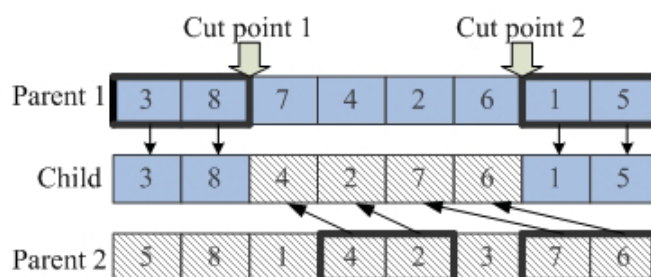
3.3. GAs Operators

When GAs receive the *Parentset*, genetic operators including the crossover and mutation will deal with it. Two chromosomes are randomly selected to mate in the crossover procedure. There are several crossover methods available for solving the combinatorial problem. The two-point center crossover [36] is employed in this study with below procedures:

1. Select two chromosomes and name them as parent 1 and parent 2.
2. Determine the two cut points, suppose they are at position i and j , copy the genes which are outside the range from i to j to the offspring in the same position.
3. Copy the remaining genes which are inside the range of parent 1 in the order of relative gene position of parent 2.

The pictorial representation of this crossover operator is shown in Figure 1:

Figure 1. Two-point center crossover.



Finally, the purpose of the mutation operator is to generate a new chromosome with a better fitness by changing the gene position of the current chromosome. We adopt the swap mutation here because it is easy to implement by setting two positions and exchanging the two values of these positions.

Summary: In the proposed framework, EA/G and GAs are employed in turn. This approach may combine the benefits of providing better convergence brought by the EA/G while maintaining better population diversity by applying the genetic operators. Although EA/G and GAs are used in this framework, it is not limited to these two algorithms. Other EDAs may have the problem of premature convergence, which can be improved when they alternate with other meta-heuristics. This section illustrates the potential implications of the same class of EDAs as well as the meta-heuristic.

In order to validate the performance of the EA/G-GA, we compare it with other algorithms and show the experimental result for solving the single machine scheduling problems under different stopping criteria in Section 4.

4. Experiment Results of EA/G-GA for Single Machine Scheduling Problems

We first explain the environment settings and then demonstrate the experiment results including the problem instances, parameter configurations, and analysis methods. In order to show the performance of the EA/G-GA, we compare the proposed algorithm with the original version of EA/G [6], ACGA [13], and Adaptive EA/G [1].

4.1. Settings of the Experiments and the Background of Design-of-Experiment

We analyze all the algorithms by running the instances of single machine scheduling problems with minimized earliness/tardiness costs and take the just-in-time production environment into consideration. There are numerous data sets published in the literature [37] for the single machine scheduling problems, including 20, 30, 40, 50, 60, and 90 jobs. Each data set of 20 jobs up to 50 jobs contains 49 instances (problems) whereas there are 9 instances in the data set of 60 jobs and 90 jobs. We carry out our experiments on these total 214 instances. Each algorithm will replicate every instance 30 times.

In order to show the robustness of the algorithms, we set the stopping criteria based on the number of examined solutions, which are 50,000, 75,000, 100,000, and 125,000 solutions, identical to our previous research [1]. The four solutions stand for the different implementation environments allowing lower, medium, high, and higher level of CPU time with the same default parameter settings: the population size is 100, the crossover rate is 0.9, and mutation rate is 0.5 across all experiments. Moreover, using 50,000 solutions means the algorithms stop at generation 500.

An important parameter in the proposed algorithm determines when to use the EDA operator. To set this parameter, we validate this setting for EDA and GA combination in the following experiment. Different combinations for EDA and GA are shown in the following table.

The above experiments are conducted with a different number of generations respectively, *i.e.*, 500, 750, 1000, and 1250. The experimental results are shown in Figure 2, which have clearly indicated that 1:1 is the best among the five combinations. The 1:1 combination consistently performs better than the others by a different number of generations. In addition, “the higher the ratio of EDA operator, the lower the solution quality” is another interesting aspect we want to point out.

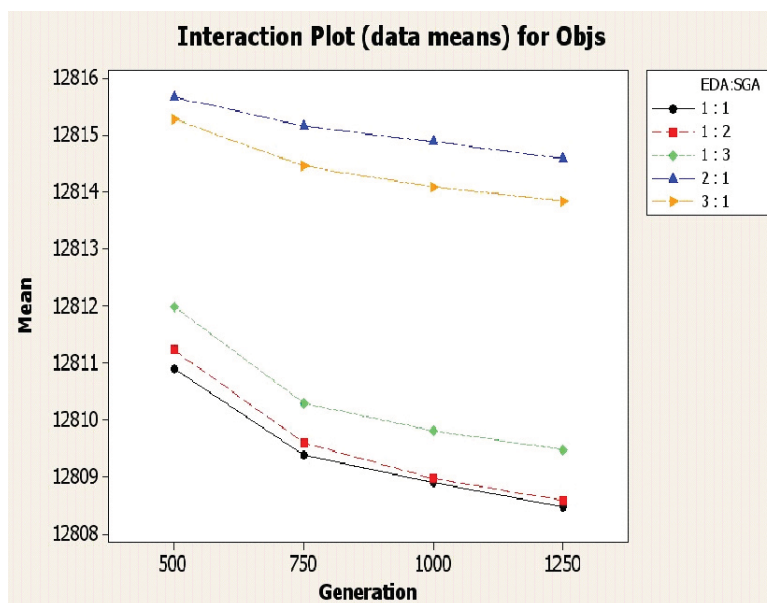
This result is very consistent with our previous research in [1] where we advocated that the EDA operator may cause the dramatic loss of diversity and lead to poor solution quality. A rule of thumb in the problem solving process is to balance the combination of EDA and GA.

The combination could be problem-dependent and this parameter has to be revalidated for other applications. The detailed experiment results for this parameter is available at our website (<http://peterchenweb.appspot.com/publications/sourceCodes/Entropy2011/index.htm>).

Table 1. The combinations of EDA and GA.

EDA:GA	
1:1	The original setting (t % 2)
1:2	GA operator is used for 2/3 occasions and EDA runs for the 1/3 occasions in the total generations.
1:3	GA operator is employed for 75% of total generations and EDA runs in the remaining 25% of the entire generations.
2:1	EDA operator is run in 2/3 of the number of total generations and GA runs for the 1/3 occasions in the whole period.
3:1	EDA operator is applied for 3/4 occasions and GA is executed in the remaining 1/4 generations.

Figure 2. Performance evaluation for different combinations of EDA and GA.



We first explain the parameter used in the proposed algorithm and then introduce the Design-of-Experiment because the analysis is primarily done by Design-of-Experiment to distinguish the differences of these algorithms. ANOVA is adopted as the comparison method where the source indicates factors and combinations of factors in the ANOVA table. In our case, *Instance* and *Method* are factors, *DF* represents the degree of freedom and *SS* is the sum of squares. The mean square is equal to *SS* divided by *DF*. If the *P*-value of a factor (source) is less than 0.05, it means that there is a significant difference in this factor [38]. Because the ANOVA table does not provide the comparisons between/among the levels, we further conduct the Duncan grouping test to differentiate the performances of these algorithms.

Duncan Grouping test is used to further distinguish the performance of the levels belonged to the factor. In Duncan Group test, when the algorithms share the same alphabet, it means they are in the same group so that there is no difference between/among these algorithms. On the other hand, as

soon as they are not in the same group (or to share the same alphabet), there is significant difference between/among them. The detailed explanations of statistics terms are available in [38]. Finally, the following subsections are the empirical results of solving the single machine scheduling problems.

4.2. The Empirical Results

We compare the proposed algorithms with the EA/G, ACGA, and Adaptive EA/G discussed in other literature. These algorithms are tested under various stopping criteria where 50000, 75000, 100000, and 125000 solutions are evaluated respectively. The ANOVA Table 2 to Table 5 implies that these compared algorithms have strong significance because the P-Value is less than 0.0001. The ANOVA results show there is difference among the compared algorithms. To distinguish the differences among the algorithms, a famous statistic method Duncan grouping analysis is used to compare the significance of these algorithms.

Table 2. ANOVA results at the stopping criterion of 50,000 examined solutions.

Source	DF	SS	Mean Square	F Value	P Value
instances	213	5.32E+12	24966564338	1.41E+07	<.0001
method	3	990458.8414	330152.9471	186.73	<.0001
instances*method	639	21834746.74	34170.1827	19.33	<.0001
Error	24824	43891753.69	1768.117696		
Corrected Total	25679	5.32E+12			

Table 3. ANOVA results at the stopping criterion of 75,000 examined solutions.

Source	DF	SS	Mean Square	F Value	P Value
instances	213	5.31E+12	24941096330	1.64E+07	<.0001
method	3	107158.5288	35719.50961	23.43	<.0001
instances*method	639	2569676.571	4021.403085	2.64	<.0001
Error	24824	37840343.96	1524.345148		
Corrected Total	25679	5.31E+12			

Table 4. ANOVA results at the stopping criterion of 100,000 examined solutions.

Source	DF	SS	Mean Square	F Value	P Value
instances	213	5.31E+12	24936063497	1.74E+07	<.0001
method	3	92494.17975	30831.39325	21.5	<.0001
instances*method	639	1350141.22	2112.897058	1.47	<.0001
Error	24824	35594800.28	1433.886573		
Corrected Total	25679	5.31E+12			

Table 5. ANOVA results at the stopping criterion of 125,000 examined solutions.

Source	DF	SS	Mean Square	F Value	P Value
instances	213	5.31E+12	24931260598	1.93E+07	<.0001
method	3	63931.9233	21310.6411	16.5	<.0001
instances*method	639	1460446.674	2285.519051	1.77	<.0001
Error	24824	32070591.19	1291.918756		
Corrected Total	25679	5.31E+12			

Tables 6–9 present the results of the Duncan post-hoc comparisons. As we have mentioned earlier in this paper, when the algorithms share the same alphabet, there is no difference between/among the algorithms in Duncan analysis. On the other hand, if the algorithms do not share the same alphabet, they are different to each other. Take Table 6 for instance, the four algorithms have different alphabets. We have known the objective is to minimize the earliness and tardiness cost. The lower the mean value, the better the algorithm. EA/G-GA is thus the best algorithm when the stopping criterion is set as 50000 examined solutions.

Across all the different stopping criteria (*i.e.*, Tables 6–9), EA/G-GA consistently outperforms others when we solve the single machine scheduling problems. EA/G-GA is not only the best algorithm but also the most robust one under different stopping criteria.

Table 6. Duncan grouping at the stopping criterion of 50000 examined solutions.

Duncan Grouping	Mean	N	Methods
A	12826.724	6420	ACGA
B	12814.85	6420	EA/G
C	12812.509	6420	Adaptive EA/G
D	12810.899	6420	EA/G-GA

Table 7. Duncan grouping at the stopping criterion of 75000 examined solutions.

Duncan Grouping	Mean	N	Methods
A	12814.902	6420	ACGA
B	12813.531	6420	EA/G
C	12812.059	6420	Adaptive EA/G
D	12809.391	6420	EA/G-GA

Table 8. Duncan grouping at the stopping criterion of 100000 examined solutions.

Duncan Grouping	Mean	N	Methods
A	12813.66	6420	EA/G
A			
A	12813.276	6420	ACGA
B	12811.168	6420	Adaptive EA/G
C	12808.906	6420	EA/G-GA

Table 9. Duncan grouping at the stopping criterion of 125000 examined solutions.

Duncan Grouping	Mean	N	Methods
A	12812.898	6420	EA/G
B	12810.827	6420	Adaptive EA/G
B			
B	12810.149	6420	ACGA
C	12808.48	6420	EA/G-GA

Except using 125000 solutions, Adaptive EA/G is better than ACGA through 50000 to 100000 examined solutions (because they share the same alphabet). Adaptive EA/G and ACGA works equally well when 125000 solutions are used. The reason why the Adaptive EA/G does not outperform ACGA is that the ACGA keeps better population diversity so that this algorithm has a better chance to find out a better solution in the longer computational time.

Finally, Table 10 lists the basic statistic results of Adaptive EA/G and EA/G-SGA under different solution evaluations.

Table 10. Selected results of Adaptive EA/G and EA/G-SGA employ different examined solutions in single machine scheduling problems.

Examined Solutions: 50000						
Instance	Adaptive EA/G			EA/G-SGA		
	Min	Avg.	Max	Min	Avg.	Max
sks422a	25656	25662.8	25712	25656	25662.1	25704
sks455a	6405	6420.1	6545	6405	6424.8	6545
sks488a	16862	16865.5	16888	16862	16862.9	16888
sks522a	29309	29326.2	29398	29309	29315.5	29396
sks555a	10187	10213.9	10256	10187	10210.7	10299
sks588a	24844	24847.9	24861	24844	24844.3	24853
sks622a	43048	43100.5	43371	43048	43079.9	43244
sks655a	16158	16163.2	16180	16158	16235.2	16721
sks688a	33551	33592	33686	33551	33608.4	33665
sks922a	88842	88872.1	88994	88842	88876.4	89017
sks955a	30582	30647	30804	30582	30649.9	30795
sks988a	81984	81986.4	81991	81984	81989.4	82034
Examined Solutions: 75000						
Instance	Adaptive EA/G			EA/G-SGA		
	Min	Avg.	Max	Min	Avg.	Max
sks422a	25656	25659.7	25697	25656	25661.9	25704
sks455a	6405	6425.4	6545	6405	6424.8	6545
sks488a	16862	16862.9	16888	16862	16862.9	16888
sks522a	29309	29323.1	29398	29309	29312.6	29396
sks555a	10187	10224.2	10299	10187	10210.5	10299
sks588a	24844	24847.9	24861	24844	24844	24844
sks622a	43048	43078.7	43380	43048	43079.9	43244
sks655a	16158	16192.8	16616	16158	16234	16721
sks688a	33551	33624.4	33686	33551	33608.4	33665
sks922a	88841	88878.5	89100	88842	88869.4	89005
sks955a	30582	30674.8	31394	30582	30642.7	30785
sks988a	81984	81985.3	81989	81984	81985.7	82033
Examined Solutions: 100000						
Instance	Adaptive EA/G			EA/G-SGA		
	Min	Avg.	Max	Min	Avg.	Max
sks422a	25656	25665.1	25697	25656	25661.9	25704
sks455a	6405	6428.4	6545	6405	6424.8	6545
sks488a	16862	16863.7	16888	16862	16862.9	16888
sks522a	29309	29326.7	29398	29309	29312.5	29396
sks555a	10187	10219.4	10301	10187	10210.5	10299
sks588a	24844	24845.8	24853	24844	24844	24844
sks622a	43048	43081	43273	43048	43079.9	43244
sks655a	16158	16208.1	16640	16158	16234	16721
sks688a	33551	33610	33686	33551	33608.4	33665
sks922a	88842	88871.6	89005	88842	88869.4	89005
sks955a	30582	30639.8	30800	30582	30642.4	30785
sks988a	81984	81985.2	81989	81984	81985.6	82033

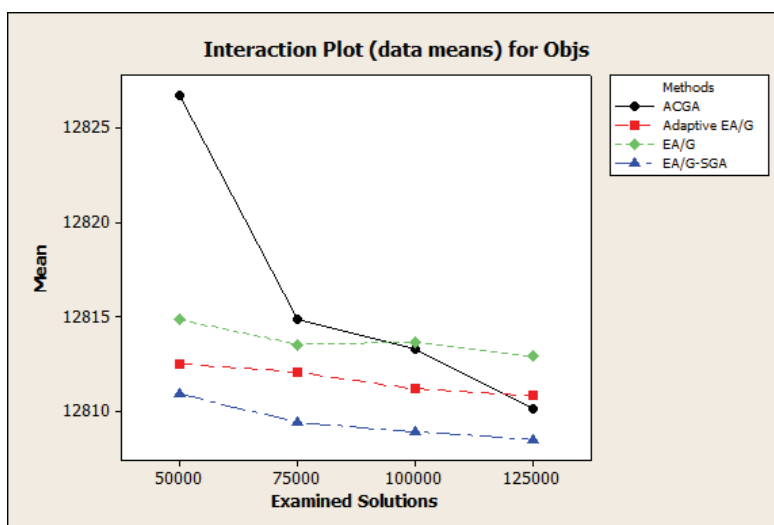
Table 10. Cont.

Examined Solutions: 125000						
Instance	Adaptive EA/G			EA/G-SGA		
	Min	Avg.	Max	Min	Avg.	Max
sks422a	25656	25669.4	25793	25656	25661.9	25704
sks455a	6405	6415.7	6545	6405	6424.8	6545
sks488a	16862	16863.7	16888	16862	16862.9	16888
sks522a	29309	29326.2	29398	29309	29312.3	29396
sks555a	10187	10223.3	10351	10187	10209.9	10299
sks588a	24844	24849.8	24870	24844	24844	24844
sks622a	43048	43078.6	43244	43048	43079.9	43244
sks655a	16158	16192.8	16640	16158	16229	16640
sks688a	33551	33580.7	33686	33551	33608.4	33665
sks922a	88841	88858.7	88956	88842	88869.4	89005
sks955a	30582	30628.4	30740	30582	30641.9	30785
sks988a	81984	81985.3	81989	81984	81985.6	82033

4.3. Discussions

The result indicates that both the performances of EA/G-GA and Adaptive EA/G are better than those of ACGA and EA/G on a majority of stopping criteria because the former algorithms maintain better population diversity than the latter ones. Therefore, all algorithms outperform the original EA/G at the end of generations. In addition, the research outcome also demonstrates that EA/G-GA is significantly better than Adaptive EA/G because the genetic operators might produce more diversified chromosomes than the algorithms that merely change the parameters. The implication shows the greater the diversity of an algorithm, the better it can achieve performance, which is an important direction for researchers to conduct further studies.

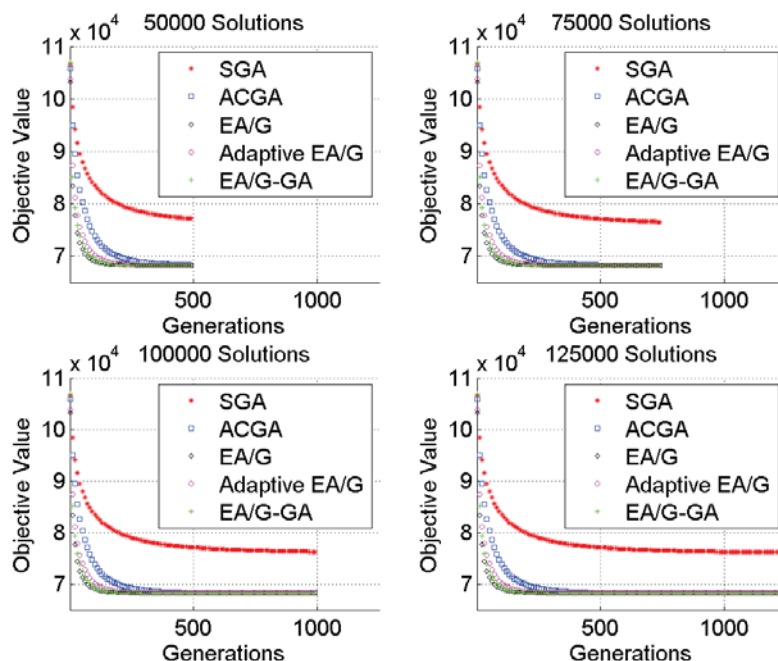
Figure 3. Average Objective values under different stopping criteria.



Two figures provided below support the evidence of the performance representation and they can display performance changes graphically. For example, in terms of the average objective value plot (See Figure 3), EA/G-GA performs well by all stopping criteria while the ACGA is greatly improved

when we employ more examined solutions. The convergence plot (See Figure 4) depicts that EA/G converges very fast before 150 generations. Other algorithms, nonetheless, gradually enhance the solution quality through the evolutionary progress. Thus, EA/G does not outperform either the EA/G-GA or Adaptive EA/G.

Figure 4. Compare the convergence of Adaptive EA/G and EA/G-SGA with other algorithms.



5. Conclusions

The feature of EDAs is to characterize the search space and then to sample new solutions from the probabilistic models. This feature has the benefit of not disrupting good gene structures; however, it causes the premature convergency of EDAs so that EDAs no longer generate diversified solutions. Even when we spend more computational efforts, EDAs can not improve the solution quality as indicated by our prior research [1]. Consequently, this paper utilizes an important guideline in [1], which hybridizes the EDAs with other meta-heuristics techniques. The advantage of the hybrid framework is to let EDAs gain more population diversity via the meta-heuristic. We use one of the famous EDAs, EA/G, to cooperate with GAs. The proposed algorithm is named “EA/G-GA”, which is used to solve the NP-Hard single machine scheduling problems.

Through the extensive experiments, we have compared EA/G-GA with some algorithms such as the ACGA, Adaptive EA/G, and EA/G described in other literature. In terms of statistical significance, EA/G-GA is found to have better performance than other algorithms by different stopping criteria. The results indicate that the EA/G-GA is not only superior to other algorithms but also proves to be a robust algorithm when different stopping criteria are applied (*i.e.*, various CPU times). When we compare the differences between the EA/G-GA and Adaptive EA/G, the former algorithm is much more powerful than the latter one. It is meaningful that meta-heuristics provide more diversified solutions than we just change the parameters of an algorithm, which is a very important implication for EDAs researchers.

Consequently, researchers could incorporate EDAs with other meta-heuristics in solving hard problems if they want to increase the population diversity to obtain better solution quality.

Finally, although we decide to alternate the EA/G with GAs in this research, there is no limitation on the selection of algorithms. For the future research, researchers may test different EDAs and meta-heuristics to solve various problems.

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