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Citation for published version:

Zhang, H, Thygesen, JH, Shi, T, Gkoutos, GV, Hemingway, H, Guthrie, B & Wu, H 2022, 'Increased COVID-19 mortality rate in rare disease patients: a retrospective cohort study in participants of the Genomics England 100,000 Genomes project', *Orphanet journal of rare diseases*, vol. 17, no. 1. https://doi.org/10.1186/s13023-022-02312-x

Digital Object Identifier (DOI):

10.1186/s13023-022-02312-x

Link:

Link to publication record in Edinburgh Research Explorer

Document Version: Peer reviewed version

Published In: Orphanet journal of rare diseases

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RESEARCH

Increased COVID-19 mortality rate in rare disease patients: a retrospective cohort study in participants of the Genomics England 100,000 Genomes project

Huayu Zhang¹, Johan H. Thygesen², Ting Shi³, Georgios Gkoutos⁴, Harry Hemingway², Bruce Guthrie¹, Honghan Wu^{2*} and Genomics England Research Consortium

*Correspondence: honghan.wu@ucl.ac.uk ²Institute of Health Informatics, University College London, London, UK Full list of author information is available at the end of the article

Abstract

Background: Several common conditions have been widely recognised as risk factors for COVID-19 related death, but risks borne by people with rare diseases are largely unknown. Therefore, we aim to estimate the difference of risk for people with rare diseases comparing to the unaffected.

Methods: To estimate the correlation between rare diseases and COVID-19 related death, we performed a retrospective cohort study in Genomics England 100k Genomes participants, who tested positive for Sars-Cov-2 during the first wave (16-03-2020 until 31-July-2020) of COVID-19 pandemic in the UK (n=283). COVID-19 related mortality rates were calculated in two groups: rare disease patients (n=158) and unaffected relatives (n=125). Fisher's exact test and logistic regression was used for univariable and multivariable analysis, respectively.

Findings: People with rare diseases had increased risk of COVID19-related deaths compared to the unaffected relatives (OR[95%CI]=3.47[1.21-12.2]). Although, the effect was insignificant after adjusting for age and number of comorbidities (OR[95%CI]=1.94[0.65-5.80)). Neurology and neurodevelopmental diseases was significantly associated with COVID19-related death in both univariable (OR[95%CI]=4.07[1.61-10.38]) and multivariable analysis (OR[95%CI]=4.22[1.60-11.08]).

Interpretation: Our results showed that rare disease patients, especially ones affected by neurology and neurodevelopmental disorders, in the Genomics England cohort had increased risk of COVID-19 related death during the first wave of the pandemic in UK. The high risk is likely associated with rare diseases themselves, while we cannot rule out possible mediators due to the small sample size. We would like to raise the awareness that rare disease patients may face increased risk for COVID-19 related death. Proper considerations for rare disease patients should be taken when relevant policies (e.g., returning to workplace) are made.

Keywords: rare diseases; COVID-19 mortality

Introduction

1

- $_{4}$ The ongoing SARS-CoV-2 pandemic has resulted in more than 307 million cases
- and 5.49 million deaths worldwide [1] by 08-Sep-2021. There is considerable policy,

clinical and public interest in who should be prioritised for vaccination, in the face of uncertainty about the benefits and risks of COVID-19 vaccines, and concerns about the ongoing limited supplies of vaccines in many areas and countries. Several common pre-existing medical conditions have been identified as risk factors for severe COVID-19 [2, 3]. However, the risk of severe COVID-19 in people with rare diseases 10 is uncertain. Although individually rare, rare diseases are cumulatively common, af-11 fecting approximately 1 in 17 people in the UK, which means over 3.5 million people 12 are affected [4]. They can be both life-limiting and life-threatening, resulting in a 13 substantial impact on the education, financial status, mobility and mental health. 14 Therefore, it is important to consider rare disease patients when developing rele-15 vant policy. Better knowledge regarding risk factors for severe COVID-19 could help 16 guide decisions on mitigating exposure, inform risk management and in targeting 17 vaccines to those most at risk^[5]. 18

Studies have been done on the indirect influence of SARS-CoV-2 pandemic on people with rare diseases, including patient's health status (non-COVID-19 related), health service use patterns, mental health, daily living, social life, and financial status. [6–8].

Understanding and directly measuring the risk of COVID-19 related mortality 23 in people with rare diseases is important but difficult due to the relatively small 24 size of rare disease specific cohorts and poor coding of some rare diseases in larger 25 scale health records. To date, direct analysis on the risk of COVID-19 mortality 26 among people with rare diseases is still limited. In a Hong Kong study by Chung 27 et al. increased COVID-19 related mortality was observed in hospitalised patients 28 with rare diseases compared to the general population, but other COVID-19 related 29 commodities were not accounted for [9]. To address the above challenge, we utilised 30 data in the Genomics England 100k Genomes project, which has a specific focus on 31 recruiting rare-disease patients with clinical diagnosis available^[10]. 32

The current study sought to understand the direct impact of existing rare disease on COVID-19-related mortality rate. We adjusted for age and COVID-19 related comorbidities in the multivariable analysis, since these are known risk factors for COVID-19 related death [3].

37 Methods

38 Ethical approval

The study was approved by Genomics England under "Approval of GeCIP Project
 450".

41 Study design and setting

⁴² We performed a retrospective cohort study design to assess the difference in COVID-

⁴³ 19 associated mortality rate (outcome) between people with rare diseases and with-

44 out rare diseases (unaffected relatives) from the participants of the Genomics Eng-

⁴⁵ land 100k Genomes project tested positive for COVID-19. Our study question is

⁴⁶ whether pre-existing rare diseases independently increase the risk of COVID-19

47 related deaths.

48 Participants and recruitment criteria

Participants with at least one of 190 different rare diseases and relatives were re-49 cruited to the Genomics England 100k Genomes project, where participants had 50 a provisional diagnosis instead of a molecular diagnosis. In addition, there were 51 unaffected relatives who were invited to participate when rare disease participants 52 (probands) were recruited to the Genomics England 100k Genomes project. Full 53 recruitment criteria for the Genomics England 100k Genomes project can be found 54 at link (Please see the full link in $footnote^{[1]}$). The study cohort consists of 283 55 participants with at least one positive test during the first wave of pandemic in the 56 UK (from 16-03-2020 to 31-07-2020) (Figure 1). Individuals in the cohort were 57 followed up until 30-09-2020. The cohort was further divided by two groups, rare 58 disease participants and unaffected relatives, based on whether the participant was 59 affected by at least one rare disease (Figure 1). 60

61 Variables and Data source

Death records and associated underlying reasons were obtained from Office of Na-62 tional Statistics (ONS) until 30-09-2020. Records with International Statistical Clas-63 sification of Diseases (ICD-10) codes of U07.1 or U07.2 in any fields of causes of 64 death were defined as COVID-19-related death, although there were no records of 65 ICD-10:U07.2 in the cohort. The rare disease condition (disease groups and specific 66 diseases) was retrieved from the Genomics England 100k Genomes project. A bi-67 nary variable Affected by rare diseases was derived indicating if an individual was 68 affected by rare diseases. 69

We also included confounders such as demographic variables and common risk fac-70 tors for COVID-19. They were selected according to the risk stratification research 71 done by International Severe Acute Respiratory and emerging Infection Consortium 72 (ISARIC)[3]. Age was defined as the age by year on the day of first positive test 73 and was converted to a binary variable Age (≥ 60). COVID-19 related risk factors 74 (chronic cardiovascular disease, chronic renal disease, malignant neoplasm, moder-75 ate to severe liver disease, diabetes mellitus, clinician-defined obesity and chronic 76 respiratory disease) were included as potential mediators of effects based on the 77 ISARIC4C risk prediction model [3]. International Statistical Classification of Dis-78 eases 10 (ICD-10) codes corresponding to these conditions were obtained from the 79 HDR UK Phenotype Library [11] (Table S2). Participant level diagnosis (also in 80 ICD-10) was extracted and curated from Admitted Patient Care (HES-APC) and 81 Outpatients (HES-OP) data of Hospital Episode Statistics (HES). We counted how 82 many comorbidities each person had which had been identified by ISARIC as in-83 creasing the risk of COVID-19 serious disease or death. Numbers of comorbidities 84 were calculated as the counts of total comorbidities and were used to derive the 85 binary variable Number of comorbidities >2. 86

 $\label{eq:lightps://www.GenomicsEngland.co.uk/about-genomics-england/the-100000-genomes-project/information-for-gmc-staff/rare-disease-documents/rare-disease-eligibility-criteria/$

87 Statistical analyses

Clinical characteristics were reported as count(percentage in group) and me-88 dian[interquartile range] for binary and continuous variables, respectively. Differ-89 ence of clinical characteristics between individuals affected by rare diseases and 90 unaffected relatives were determined by Fisher's exact test and Student's t-test for 91 binary and continuous variables, respectively. P-values were reported for variables 92 that were significantly different between groups. Univariable analysis on the asso-93 ciations between Affected by rare diseases and COVID-19 related death was carried 94 out using Fisher's exact test. Univariable odds ratios (uOR) and their corresponding 95 95% Confidence Interval (95%CI) were reported. In case of zero outcome frequency 96 in one group, we reported the frequencies directly. Adjusted odds ratios were calcu-97 lated with multivariable logistic regression model. Multivariable odds ratios (mOR) 98 with 95% CI were reported. Multivariable ORs for Age (>60) and Number of comor-99 bidities ≥ 2 were calculated in logistic regression analysis with Age (≥ 60), Number 100 of comorbidities >2 and Affected by rare diseases as independent variables. For 101 calculation of multivariable ORs for the variables of interest (Affected by rare dis-102 eases or individual rare diseases), Age (≥ 60) and Number of comorbidities ≥ 2 were 103 adjusted. Multivariable ORs of each of the variables of interest were calculated in 104 individual logistic regression analyses. 105

106 **Results**

Our cohort included 283 participants from the 71.597 participants in the rare disease 107 programme of the Genomics England 100k Genomes project, who tested positive 108 for SARS-CoV-2 in the first wave of COVID-19 pandemic in the UK (defined by 16-109 03-2020 to 31-July-2020). Baseline clinical characteristics were illustrated in Table 110 **1**. The fraction of participants with age ≥ 60 was larger in rare disease participants. 111 Proportion of male participants was higher in rare disease participants. In addition, 112 frequencies of chronic cardiovascular disease, chronic kidney disease, malignant neo-113 plasm and chronic pulmonary disease were statistically significantly higher in rare 114 disease participants. Numbers of COVID-19 related comorbidities was higher in 115 rare disease participants. For existing rare diseases, the most prevalent groups were 116 neurology and neurodevelopment disorders, renal and urinary tract disorders, car-117 diovascular disorders and ophthalmological disorders. 118

In a univariable analysis, rare disease condition was strongly associated with death from COVID-19 (univariable odds ratio (uOR)=3.47, 95%CI 1.21-12.2)(**Table 1**). After adjustment for $Age (\geq 60 \ years)$ and COVID-19 related comorbidities (*Number* of commorbidities ≥ 2), the estimated multivariable odds ratio (mOR) of death in people with rare diseases was 1.94, although this was not statistically significant (95%CI 0.65 to 5.48) (**Table 2**).

Neurology and neurodevelopmental disorders were significantly associated with COVID19-related death in both univariable (uOR=4.07, 95%CI 1.61-10.38) and multivariable analysis (mOR=4.22, 95%CI 1.60-11.08]). Further analysis with specific rare diseases revealed that Early onset dystonia (mOR=26.64, 95%CI 2.01-352.67), Early onset and familial Parkinson's Disease (mOR=11.99, 95%CI 1.25-114.71) and Intellectual disability were significantly associated with (mOR=8.10, ¹³¹ 95%CI 1.11-59.00), all of which belong to neurology and neurodevelopment disor-

¹³² ders. Odds ratios of all analyses with rare disease groups and specific disease can

¹³³ be found in **Table S1**.

134 Discussion

Our results showed that rare disease participants who tested positive for SARS-135 CoV-2 in the Genomics England 100k Genomes projects had increased risk of 136 COVID-19 related death compared to their unaffected relatives during the first wave 137 of the pandemic in UK, although the increase was not significant after accounting for 138 age and number of COVID-19 related comorbidities. This is probably because rare 139 disease patients had significantly higher frequencies of certain comorbidities and a 140 higher number of comorbidities, which is known to affect the COVID-19 related 141 mortality. Moreover, the sample size of this study limits our ability to establish 142 the significant association. Majority of the increase was attributed to neurology 143 and neurodevelopment disorders, which was significantly associated with COVID19 144 related death in both univariable and multivariable analysis. 145

Our results are in line with early reports by the Hong Kong study done by 146 Chung et al., in which increased COVID-19-related hospital mortality was observed 147 (mOR=3.4, 95%CI 1.24-9.41) in rare disease patients compared with the general 148 population, after adjusting for admission age [9]. There are two differences in the 149 settings in our study: 1) our cohort includes individuals with positive Sars-CoV-2 150 tests, while the cohort of the Hong Kong study only considered hospitalised patients. 151 2) The Hong Kong study did not account for COVID-19 related comorbidities in the 152 multivariable analysis, many of which are commonly found in rare disease patients 153 and affecting the COVID-19 related mortality. In addition, our study had a slightly 154 larger sample size for people with rare diseases (125 in our study compared to 77 155 in Hong Kong study). 156

Our study has contributed to UK evidence using specialised rare disease patient 157 cohort and quantified the strength of associations between rare diseases and COVID-158 19 related mortality accounting for age and COVID-19 related comorbidities. Cur-159 rent UK guideline for vulnerable groups for COVID-19 includes "conditions affect-160 ing the brain or nerves". Our observation on the increased COVID19 related death 161 in people with neurology and neurodevelopmental disorders adds evidence to the 162 above term in the context of rare diseases. This will help inform risk management 163 decisions and in targeting vaccines. With booster vaccines being administered or 164 planned internationally and nationally, policy makers will be able to use data from 165 our study to guide decisions on booster vaccination priorities among rare disease 166 patients, together with other public health surveillance data. 167

There were several limitations of our study. First, the population size is small 168 which could result in inaccurate estimation of contribution of different factors (as 169 reflected in the wide confidence intervals of our odds ratios). Also, the small sample 170 size did not allow us to carry out thorough subgroup analysis or draw conclusions 171 on one single rare disease. Second, mortality rate estimation could be biased by 172 different healthcare requirements, concerning the testing strategy in the 1st wave 173 of pandemic (high risk patients had better chance to get tested). If there were more 174 cases with mild COVID-19 who were not tested in one group, the mortality rate in 175

that group would be over-estimated. These limitations will be addressed in cohortswith larger sample size.

In conclusion, participants with rare diseases (especially ones with neurology and 178 neurodevelopmental diseases) in the Genomics England 100k Genomes project had 179 increased risks of COVID-19 related mortality during the first wave of the pandemic 180 in UK, but the findings should be interpreted cautiously as the sample size is small. 181 Further work is needed to replicate the findings in larger datasets and to better 182 account for confounders and mediators. Existing rules for defining those who are 183 clinically vulnerable to inform shielding decisions and vaccination prioritisation may 184 therefore fail to identify people at risk of serious COVID-19 due to rare diseases. 185 Based on the findings, we advocate for tailored protections for people with rare 186 diseases (e.g., prioritised (booster) vaccination scheduling and personalised policy 187 for returning to work). 188

189 Acknowledgements

- 190 This research was made possible through access to the data and findings generated by the 100,000 Genomes
- 191 Project. The 100,000 Genomes Project is managed by Genomics England Limited (a wholly owned company of the
- 192 Department of Health and Social Care). The 100,000 Genomes Project is funded by the National Institute for
- 193 Health Research and NHS England. The Wellcome Trust, Cancer Research UK and the Medical Research Council
- 194 have also funded research infrastructure. The 100,000 Genomes Project uses data provided by patients and collected
- 195 by the National Health Service as part of their care and support.

196 Funding

HZ is supported by Wellcome Trust ITPA funding (PIII026/013). HW is supported by Wellcome Trust ITPA
 (PIII0054/005).

199 Availability of data and materials

- 200 De-identified individual participant data underlie the results reported in this article can be shared together with the
- 201 study protocol, statistical analysis plan and analytic code, immediately following publication and ending 2 years
- 202 following article publication. Data will be shared with investigators whose proposed use of the data has been
- 203 approved by an independent review committee identified for individual participant data meta-analysis. Proposals
- 204 may be submitted up to 2 years following article publication to Genomics England data governance team
- 205 Information regarding submitting proposals and accessing data may be found at .

206 Ethics approval and consent to participate

207 The study was approved by Genomics England under "Approval of GeCIP Project 450".

208 Competing interests

209 The authors declare that they have no competing interests.

210 Authors' contributions

- 211 HW, HZ and JHT conceptualised the study. HW, HZ and JHT queried the data maintained and governed by the
- 212 Genomics England Research Consortium. HW, HZ, JHT and TS contributed to overall methodology of the study.
- 213 HZ and JHT performed the data analysis. HW, HZ, JHT and TS wrote the draft. The draft was reviewed and
- commented by GVG, HH and BG.

215 Author details

- ²¹⁶ ¹Advanced Care Research Centre, Usher Institute, University of Edinburgh, Edinburgh, UK. ²Institute of Health
- 217 Informatics, University College London, London, UK. ³Centre for Global Health, Usher Institute, University of
- Edinburgh, Edinburgh, UK. ⁴Institute of Cancer and Genomics, University of Birmingham, Birmingham, UK.

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259 Figures

Figure 1 Diagram for cohort participant selection

260 Tables

	All (n=283)	With rare	Unaffected	
		disease (n=158)	relatives (n=125)	
Demography				
Age (years)	45.0[36.0-58.0]	49.5[33.5-62.0]	42.0[37.0-57.0]	-
Age (≥60)*	64(22.6%)	48(30.4%)	16(12.8%)	p<0.001
Sex (male)*	128(45.2%)	80(50.6%)	48(38.4%)	p=0.042
Comorbidities				
Chronic cardiovascular disease*	55(19.4%)	46(29.1%)	9(7.2%)	p<0.001
Chronic kidney disease*	41(14.5%)	36(22.8%)	≤5(≤4.0%́)#	p<0.001
Malignant neoplasm*	30(10.6%)	23(14.6%)	7(5.6%)	p=0.019
Moderate or severe liver disease	7(2.5%)	≤5(́≤3.2%́)	≤5(≤4.0%)	-
Obesity (Clinician defined)	62(21.9%)	35(22.2%)	27(21.6%)	-
Chronic pulmonary disease*	49(17.3%)	35(22.2%)	14(11.2%)	p=0.018
Diabetes (Type 1 and 2)	33(11.7%)	19(12.0%)	14(11.2%)	-
Number of COVID-19 related co-	79(27.9%)	60(38.0%)	19(15.2%)	p<0.001
morbidities (≥2)*				
Rare disease groups				
Neurology and neurodevelopmen-	66(23.3%)	66(41.8%)	-	-
tal disorders				
Renal and urinary tract disorders	26(9.2%)	26(16.5%)	-	-
Cardiovascular disorders	20(7.1%)	20(12.7%)	-	-
Ophthalmological disorders	12(4.2%)	12(7.6%)	-	-
Other groups	34(12.0%)	34(21.5%)	-	-
Rare disease - Specific diseases				
Epilepsy plus other features	18(6.4%)	18(11.4%)	-	-
Cystic kidney disease	11(3.9%)	11(7.0%)	-	-
Intellectual disability	10(3.5%)	10(6.3%)	-	-
Hereditary ataxia	10(3.5%)	10(6.3%)	-	-
Unexplained kidney failure in	6(2.1%)	6(3.8%)	-	-
young people				
Ultra-rare undescribed monogenic	6(2.1%)	6(3.8%)	-	-
disorders				
Rod-cone dystrophy	6(2.1%)	6(3.8%)	-	-
Other diseases	23(14.6%)	20(12.7%)	-	-

 Table 1
 Clinical characteristics

Clinical characteristics were reported as count(percentage in group) and median[interquartile range]

for binary and continuous variables, respectively. * Statistically significant difference in the comparison between rare disease patients and unaffected relatives

Frequencies less than 5 are suppressed due to requirement of data governance

	Univariable OR[95%CI]	Multivariable OR[95%CI]
Age (≥60)	14.78[5.31-47.86]	9.95[3.52-28.17]*
No of comorbidities (≥ 2)	5.46[2.15-14.77]	2.10[0.79-5.58]**
Affected by rare diseases	3.47[1.21-12.18]	1.94[0.65-5.80]#
Neurology and neurodevelopmental disorders	4.07[1.61-10.38]	4.22[1.60-11.08]#
Early onset dystonia	5.28[0.09-104.83]	26.64[2.01-352.67]#
Early onset and familial Parkinson's Disease	10.92[0.76-157.41]	11.99[1.25-114.71]#
Intellectual disability	2.70[0.26-14.71]	8.10[1.11-59.00]#

Table 2 Odds ratio of COVID-19 mortality risk factors in univariable and multivariable analyses * Adjusted by *Number of comorbidities* ≥ 2 and *Affected by rare diseases* ** Adjusted by *Age* (≥ 60) and *Affected by rare diseases* # Adjusted by *Age* (≥ 60) and *Number of comorbidities* ≥ 2 in individual logistic regression analysis

Additional Files 261

262 Additional file 1 — table_s1.csv

Univariable and multivariable ORs for association between rare disease groups/specific diseases and COVID-19 263

264 related death

Additional file 2 — table_s2.csv 265

266 Lists of ICD-10 codes for comorbidities associated to COVID-19