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Interactions of self-localized optical wavepackets in reorientational soft matter

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Abstract: The interaction of optical solitary waves in nematic liquid crystals, nematicons and vortices, with other nematicons and localised structures, such as refractive index changes, is reviewed. Such interactions are shown to enable simple routing schemes as a basis for all-optical guided wave signal manipulation.

Keywords: nematic liquid crystals; nematicon; soliton; modulation theory

1. Introduction

The solitary wave is a ubiquitous nonlinear dispersive wave form, originally arising in water waves [1–3], but subsequently found to exist in a wide range of areas, including nonlinear optics [4–8], plasma physics [9] and biology [10,11]. A solitary wave is an isolated, most often hump-shaped wavepacket, which generally emerges as the infinite wavelength limit of a (nonlinear) periodic wave solution [2]. A special case of a solitary wave is a soliton, which is a solitary wave solution of a nonlinear dispersive wave equation which is integrable in a Hamiltonian sense through the method of inverse scattering [2,3]. Solitons exhibit “clean” interactions in that N of them interact with no change of shape or velocity, other than a phase shift. Conversely, solitary waves, in general, do not show “clean” interactions, with dispersive radiation generated upon collisions. The compact, hump-shaped form of solitary waves allow them to be modelled as particles, especially in their collisions and particularly for the integrable case of solitons for which no radiation is generated on interaction [12].

Solitary waves stem from a balance between self-phase modulation (self-focusing) and dispersion (diffraction). As such, they can be generated in nonlinear optical media, such as optical fibres [4,6] and soft matter [7,13], for which nonlinear self-effects owing to an intensity dependent refractive index or self-phase modulation balances diffraction or dispersion. Nematic liquid crystals (NLC), a family of organic soft matter encompassing optical birefringence and positive uniaxiality in a fluid state with a large degree of orientational order, are an ideal medium in which to excite solitary waves due to the “huge” nonlinear response to optical forcing, many orders of magnitude larger than, e.g., in glass fibres [7,13,14]. This results in all-optical effects which can be observed at mW powers over millimetre distances [14], rather than the kilometers typical of communication fibres [4]. Since their indisputable demonstration in 2000 [14] there have been extensive studies, both experimental and theoretical/numerical, of nematicons (i.e., solitary waves in NLC) and other optical solitary-type waves in NLC, such as optical vortices, see [7,8,15–19] for reviews of this work. The present paper is a synopsis on the interaction of nematicons and optical vortices with each other and with regions of

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35 refractive index variations in NLC samples. The motivation behind such studies is the all-
36 optical control of optical solitary wave trajectories towards applications, such as signal
37 processing and all-optical waveguiding/routing [20–30]. Before describing specific cases
38 of solitary wave interactions in planar NLC samples, the equations governing nonlinear
39 optical wavepacket propagation will be summarised to set the examples in context.

40 One of the main difficulties in the theoretical modelling of nematicon propagation
41 and dynamics is the lack of any exact general solitary waves solutions of the NLC
42 equations. The only exact solutions which exist are isolated ones for fixed parameter
43 values [31], not suitable for modelling the general evolution of light beams in nematic
44 liquid crystals. While the NLC equations can be solved using computational methods,
45 analytical solutions give insights into the dynamics which are not available from numer-
46 ical solutions. One powerful analytical tool is modulation theory [2], originally based
47 on assuming a slowly varying wavetrain that is an exact solution of the underlying
48 nonlinear dispersive wave equation, but with slowly evolving parameters. The basic as-
49 sumption is that the wavetrain evolves on a slower scale than its wavelength; for slowly
50 varying solitary waves, modulation theory (MT) essentially treats them as particles in
51 a potential [12]. To extend MT to nonlinear dispersive wave equations without exact
52 general solitary wave solutions, variational methods have proved to be useful, see [32]
53 for an overview of these. The idea is that the unknown beam profile is approximated
54 by some functional form, often a Gaussian in the case of light beams as the input laser
55 beam has a Gaussian profile. The MT equations describing the evolution of a slowly
56 varying beam can then be derived either from a Lagrangian formulation of the governing
57 equations or from conservation equations [32]. In this Paper theoretical results derived
58 using this extension of MT will be presented with pertinent experimental and numerical
59 results, where appropriate.

60 2. NLC Equations

61 Let us consider the propagation of a linearly, extraordinarily polarized, coherent
62 light beam of wavenumber k_0 , wavelength $\lambda_0 = 2\pi/k_0$, through a planar cell filled with
63 fully oriented nematic liquid crystals. The beam is assumed to propagate down the cell
64 in the Z direction, with its electric field E initially oscillating in the Y direction. The
65 coordinate X then completes the coordinate triad. The refractive indices of the medium
66 are n_{\parallel} for light polarized along the molecular director \hat{n} (long axis of the molecules)
67 and n_{\perp} for fields polarized orthogonal to it. The molecular director \hat{n} corresponds to
68 the optic axis of the positive uniaxial medium with $n_{\parallel} > n_{\perp}$. A fundamental property
69 of oriented NLC is the Fréedericksz transition, whereby a threshold optical power (or
70 electric voltage) is needed to rotate the NLC molecules and thus increase the refractive
71 index when the initial \hat{n} is orthogonally aligned to the electric field [13]. Since large
72 optical powers are never desirable as they could lead to heating, two main approaches
73 can be adopted to overcome the optical Fréedericksz threshold and adjust (maximize)
74 the nonlinear reorientation. One is to pre-tilt the NLC molecules in the (Y, Z) plane at an
75 angle θ_0 with respect to the Z direction by the application of an external low-frequency
76 electric field E_{LF} , so that milliwatt power beams can rotate the molecular director \hat{n} and
77 induce self-focusing [14]. A typical planar glass cell for the study of NLC solitary waves
78 in the presence of an external voltage bias is sketched in Fig. 1(a); in this case a small
79 pre-orientation $\delta\theta_0$ of the order of 1° – 2° is ensured when the planar surfaces are treated
80 for molecular anchoring in order to overcome the electric Fréedericksz transition. The
81 alternative method is to chemically treat or mechanically rub the planar walls of the
82 cell (parallel to the (Y, Z) plane in Fig. 1) so that the NLC molecules are anchored at a
83 given orientation θ_0 with respect to Z . Elastic forces then transfer the rotation into the
84 bulk of the fluid dielectric, providing the homogeneous orientation of the sample. A
85 beam propagating through the NLC and polarized with electric field in the principal
86 (Y, Z) plane can then reorient the molecules by an additional angle ϕ from the pre-tilt,
87 so that the total orientation of the molecular director to Z becomes $\theta = \theta_0 + \phi$. With

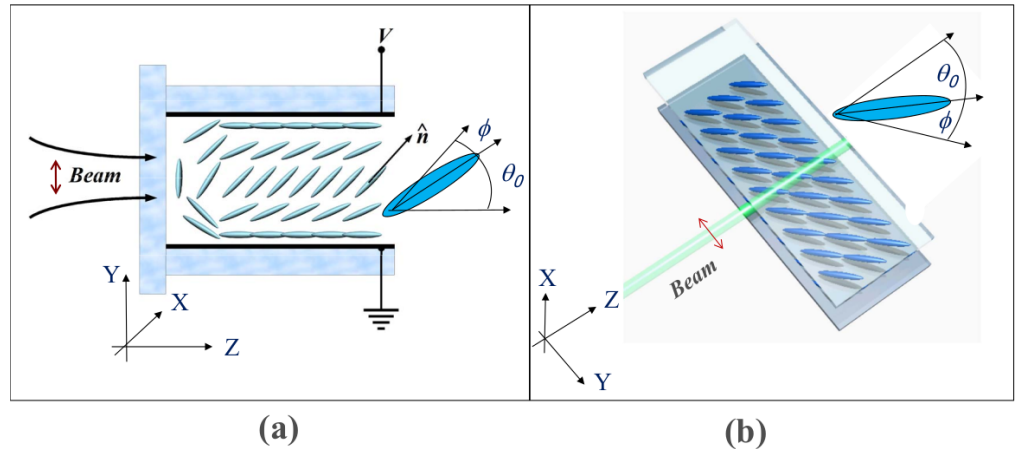


Figure 1. Sketch of planar NLC glass cells in the two main configurations. (a) Biased cell: The orientation angle θ_0 is the pre-tilt induced by the external voltage V across the cell thickness and ϕ is the all-optical rotation of the induced dipoles (ellipses) in the plane of propagation (Y, Z). \hat{n} is the molecular director (optic axis) parallel to the long axis of the elongated NLC molecules. (b) Bias-free cell: the background orientation θ_0 is obtained by anchoring the molecular director at the planar boundaries parallel to (Y, Z). In both arrangements (a) and (b) the input light beam undergoing nonlinear reorientation is an extraordinary-wave launched from the left with electric field (double red arrow) polarized in the principal plane (Y, Z).

88 these assumptions and in the paraxial, slowly varying envelope approximation, the
 89 dimensional equations governing the propagation of an extraordinarily polarized light
 90 beam (electric field in the (Y, Z) plane) in a biased NLC sample (Fig. 1(a)) can be cast as
 91 [7,8,33]

$$2ik_0n_e \frac{\partial E}{\partial Z} + 2ik_0n_e \Delta \frac{\partial E}{\partial Y} + \nabla^2 E + k_0^2 \left[n_{\perp}^2 \cos^2 \theta + n_{\parallel}^2 \sin^2 \theta - n_{\perp}^2 \cos^2 \theta_0 - n_{\parallel}^2 \sin^2 \theta_0 \right] E = 0, \quad (1)$$

for the electric field of the beam and

$$K \nabla^2 \phi + \left[\frac{1}{4} \epsilon_0 \Delta \epsilon |E|^2 + \frac{1}{2} \Delta \epsilon_{LF} E_{LF}^2 \right] \sin 2(\theta_0 + \phi) = 0, \quad (2)$$

for the reorientational nonlinearity [7,8,16,33]. Here, the θ -dependent extraordinary refractive index of the NLC is

$$n_e = \left[\frac{n_{\perp}^2 n_{\parallel}^2}{n_{\parallel}^2 \cos^2 \theta + n_{\perp}^2 \sin^2 \theta} \right]^{1/2}. \quad (3)$$

An extraordinary wave undergoes walkoff of the Poynting vector, so that the energy flux propagates in the (Y, Z) plane at an angle $\delta = \tan^{-1} \Delta$ to the wavevector (i. e., the Z direction in Fig. 1), where Δ is given by

$$\Delta = \frac{\Delta \epsilon \sin 2\theta}{\Delta \epsilon + 2n_{\perp}^2 + \Delta \epsilon \cos 2\theta}. \quad (4)$$

92 In the above equations, $\Delta \epsilon = n_{\parallel}^2 - n_{\perp}^2$ is the optical anisotropy, $\Delta \epsilon_{LF}$ is the low-frequency
 93 dielectric anisotropy and ϵ_0 is the electrical permittivity of free space. In addition, K is
 94 the elastic (Frank) constant in the scalar approximation for which the strengths of bend,
 95 twist and splay deformations are taken equal [7,13]. Finally, the Laplacians $\nabla^2 E$ and
 96 $\nabla^2 \phi$ are in the transverse coordinates (X, Y).

The NLC equations (1) and (2) are highly nonlinear and so difficult to analyse. However, for continuous-wave milliwatt power beams the light-induced response ϕ is small, $|\phi| \ll |\theta_0|$, so that the model can be expanded in Taylor series around θ_0 . In addition, these equations can be recast in a dimensionless form to reduce the number of parameters involved by using typical length scales L_Z and W down and across the cell, respectively, as well as an amplitude scale A_b for the electric field of the beam. Then

$$Z = L_Z z, \quad X = Wx, \quad Y = Wy, \quad E = A_b u. \quad (5)$$

Here, (x, y, z) is the non-dimensional coordinate system and u is the non-dimensional electric field of the beam. Suitable scales are [8,34]

$$L_Z = \frac{4n_e}{k_0 \Delta \epsilon \sin 2\theta_0}, \quad W = \frac{2}{k_0 \sqrt{\Delta \epsilon} \sin 2\theta_0}, \quad A_b^2 = \frac{2P_b}{\pi \Gamma W_b^2}, \quad \Gamma = \frac{1}{2} \epsilon_0 c n_e \quad (6)$$

97 based on a Gaussian input wavepacket of power P_b , amplitude A_b and width W_b .
98 The simplified non-dimensional equations governing beam propagation and the NLC
99 response become

$$i \frac{\partial u}{\partial z} + i \gamma \Delta (\theta_0 + \phi) \frac{\partial u}{\partial y} + \frac{1}{2} \nabla^2 u + 2\phi u = 0, \quad (7)$$

$$\nu \nabla^2 \phi - 2q\phi = -2|u|^2. \quad (8)$$

Here, the dimensionless elasticity ν and pre-tilt parameter q (when present) are given by

$$\nu = \frac{8K}{\epsilon_0 \Delta \epsilon A_b^2 W^2 \sin 2\theta_0} = \frac{\pi k_0^2 K \Gamma W_b^2}{\epsilon_0 P_b}, \quad q = \frac{4\Delta \epsilon_{LF} E_{LF}^2 \cos 2\theta_0}{\epsilon_0 \Delta \epsilon A_b^2 \sin 2\theta_0}. \quad (9)$$

Finally, the non-dimensional walkoff factor γ is

$$\gamma = \frac{2n_e}{\sqrt{\Delta \epsilon} \sin 2\theta_0}. \quad (10)$$

100 Note that the bias-free case (Fig. 1(b)) corresponds to $q = 0$. The model (7) and (8) is a
101 focusing nonlocal, nonlinear Schrödinger (NLS) equation-type system with a refractive
102 index increasing with the intensity $|u|^2$. Typical (reference) experimental values are a
103 beam with power $P_b = 2mW$, half-width $W_b = 1.5\mu m$ and wavelength $\lambda_0 = 1.064\mu m$
104 in the near infrared for which Rayleigh scattering (intrinsic to NLC, [13]) is lower [7,8].
105 For the standard NLC mixture E7, the elastic constant is $K = 1.2 \times 10^{-11} N$. These
106 parameters give an elasticity $\nu = O(100)$, as in previous studies [8,35,36]. The high
107 value of ν indicates that the medium is operating in the highly nonlocal regime, in that
108 the elastic response of the NLC to light extends far beyond the beam waist [7,16,33].
109 Noteworthy, beams governed in $(2 + 1)$ dimensions by local NLS models are unstable
110 and undergo catastrophic collapse above a critical power [6]. However, a nonlocal
111 response with a large ν can stabilize $(2 + 1)$ -dimensional light beams [7,8,16,33] because
112 the NLC equation (2) is elliptic and so its solution depends on u in the entire domain.
113 This mathematical argument pairs with the physical concept of nonlocality due to the
114 elastic response of soft matter.

115 It is of interest to note that equations of the same form as (7) and (8) arise in
116 other areas in nonlinear optics and physics. Beam propagation in thermo-optic media
117 is governed by the nematic system with $q = 0$ [37], e.g., in self-focusing lead glass
118 [38,39] and colloidal suspensions with nano-particles to enhance light absorption [40].
119 Finally, systems of equations resembling the NLC model (7) and (8) apply to astrophysics.
120 Such systems include the Schrödinger-Newton equations, which are a simple model
121 of quantum gravitation [41,42]. Solitary wave solutions of the Schrödinger-Poisson
122 system, i. e., the NLC model with $q = 0$ in the director equation (8), have been used

123 to describe dark matter [43–45], the interaction between ordinary and dark galactic
 124 matter [46,47], N body systems of identical bosons with nonlocal interactions and dilute
 125 cold atom Bose-Einstein condensates, plasmas, electrons in semiconductors or metallic
 126 structures and water wave theory [43,48–50]. It should be noted that the NLC model (7)
 127 and (8) with $q \neq 0$ applies when the effect of boundary conditions in a finite thermo-
 128 optic cell is accounted for through the incorporation of a screened potential [43]. The
 129 Schrödinger-Newton equations also play a role in quantum hydrodynamics [43].

130 3. Interacting Beams

131 A simple manner in which to control a nematicon and its trajectory is to use a second
 132 one as a control beam, the interaction between the two being mediated by the nonlinear
 133 NLC response. Owing to the high nonlocality characterizing the medium, in fact, the
 134 wavepackets can “sense” one another without an apparent collision [51,52]. Let us first
 135 consider the interaction of two incoherent nematicons, with two non-interfering beams
 136 of electric fields u and v in relative proximity; neglecting walkoff, the NLC equations (7)
 137 and (8) can be extended to [53]

$$i \frac{\partial u}{\partial z} + \frac{1}{2} D_u \nabla^2 u + 2A_u \phi u = 0, \quad (11)$$

$$i \frac{\partial v}{\partial z} + \frac{1}{2} D_v \nabla^2 v + 2A_v \phi v = 0, \quad (12)$$

$$v \nabla^2 \phi - 2q\phi = -2A_u |u|^2 - 2A_v |v|^2. \quad (13)$$

138 If the two beams share the same wavelength, then the diffraction coefficients can be
 139 scaled to $D_u = D_v = 1$, as can the coupling coefficients $A_u = A_v = 1$ [51,53].

140 The simplest collisional case is in-plane interactions [51,52,54–60], with solitary
 141 waves attracting and periodically interleaving as they propagate in the principal plane.
 142 While stable, steady multipole vector solitary waves can exist if the nonlocality ν is
 143 large enough [55,56], in most other cases the long range attraction mediated by the
 144 medium results in the beams oscillating about each other in the plane, irrespective of
 145 their relative phase, at variance with NLS solitary waves [52,54,61]. In the local limit
 146 $\nu \rightarrow 0$, the coupled NLC equations (11)–(13) reduce to coupled NLS equations. In-phase
 147 NLS solitary waves attract and out-of-phase NLS solitary waves repel [6], so the high
 148 nonlocality of NLC results in a significantly modified behaviour.

149 The in-plane interaction of nematicons can be modelled using modulation theory,
 150 with good agreement with full numerical solutions of the coupled nematic equations (11)–
 151 (13), a notable exception being the period of oscillation of the beams about each other [51,
 152 57]. This period difference greatly affects the beam phase for increasing z . A major result
 153 of this modelling is that nematicon trajectories are essentially determined by momentum
 154 conservation. Moreover, in the highly nonlocal limit, nematicons shed minimal radiation
 155 as they evolve [62], contributing minimal momentum to diffractive radiation. Although
 156 standard MT approximates solitary waves as point particles interacting under a potential
 157 [12], this was also extended to account for the non-point form of solitary waves [63],
 158 resulting in improved agreement with numerical solutions, albeit at the cost of increased
 159 complexity in the derivation of the MT equations and the equations themselves.

As nematicons propagate in the bulk of a thick planar cell, typically with $100\mu\text{m}$
 between parallel glass plates, two interacting nematicons of equal power and size can
 spiral about each other and form a rotating cluster in three dimensions, exhibiting
 angular momentum as a whole due to their nonlocal interaction via the NLC [54,64–66].
 Spiralling nematicons can be generated by the initial conditions

$$u = a_u f(\rho_u) e^{i\psi_u}, \quad v = a_v f(\rho_v) e^{i\psi_v}, \quad (14)$$

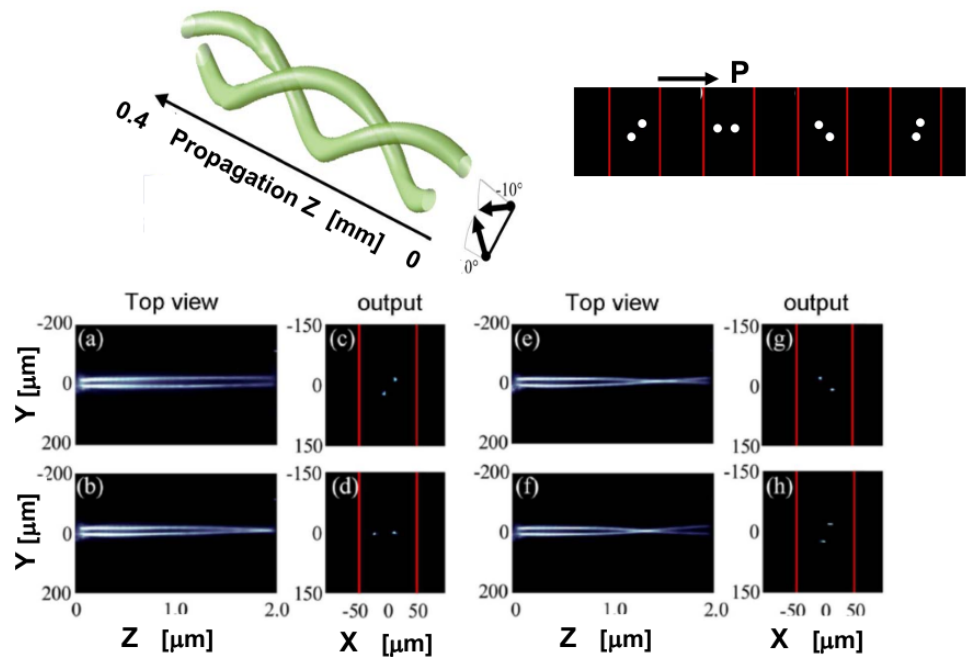


Figure 2. Spiralling nematicons. The NLC planar cell is realized as in Fig. 1(b). Top left: Numerical solution of nematic model (11)–(13) illustrating the mutual spiralling of two identical skew nematicons launched out-of-plane (Y, Z). Top right: Artist’s rendering of the beam spots rotating about one another at the end of the sample for increasing excitation. (a)–(h): Experimental results; (a)–(b)–(e)–(f) Acquired images of beam evolution in (Y, Z) and (c)–(d)–(g)–(h) of the solitary wave cluster in the transverse plane (X, Y) at the sample output, for input powers 2.1, 2.7, 3.3 and 3.9 mW, respectively.

160 where

$$\rho_u = \frac{\sqrt{(x - \xi_u)^2 + (y - \eta_u)^2}}{w_u}, \quad \rho_v = \frac{\sqrt{(x - \xi_v)^2 + (y - \eta_v)^2}}{w_v},$$

$$\psi_u = \sigma_u + U_u(x - \xi_u) + V_u(y - \eta_u), \quad \psi_v = \sigma_v + U_v(x - \xi_v) + V_v(y - \eta_v) \quad (15)$$

161 at $z = 0$ in the two colour NLC equations (11)–(13), where f is the electric field profile
 162 of the beams, noting that the input wavepackets are usually Gaussian. The variables
 163 (U_u, V_u) and (U_v, V_v) are related to the angles at which the beams are inputted into the
 164 nematic cell and can be considered the input (x, y) “velocities” of the beams, with (ξ_u, η_u)
 165 and (ξ_v, η_v) the input positions of the beams. The spiralling of two skew nematicons
 166 about each other due to nonlocal, nonlinear attraction is illustrated in Fig. 2. This figure
 167 shows experimental results for two identical solitary waves launched and evolving
 168 out-of-plane and spiralling about one another for various input powers. The interacting
 169 beams are imaged in the observation plane (Y, Z), their output spots in the (X, Y) plane
 170 (Figs. 2(c), (d), (g) and (h)) at the end of the sample after they evolved in the down
 171 cell Z direction. The output spots appear to rotate as the beam power goes up and the
 172 effective angular momentum of the “two nematicon molecule” cluster increases, with an
 173 augmented angular velocity [64].

174 Nematicon spiralling was theoretically investigated using MT based on the input
 175 beams (14) [66]. Both beam profiles were either Gaussian, $f(\rho) = \exp(-\rho^2)$, or sech,
 176 $f(\rho) = \text{sech } \rho$. Good to excellent agreement was obtained between full numerical solu-
 177 tions of the NLC equations (11)–(13) and MT for the trajectories, with the Gaussian and
 178 sech profiles providing comparable results in the highly nonlocal limit, the agreement
 179 improving for increasing angular momentum of the input cluster [66].

These findings confirmed that, in the highly nonlocal limit, nematicon trajectories are weakly dependent on the initial wavepacket profile [51,67]. It is important to underline that besides those based on the full nonlocal response of NLC, some studies rely on simplified models as the NLC equation (13) can be solved in terms of a Green's function G as

$$\phi = -2 \int \int_{\text{cell}} G(x - x', y - y') \left[A_u |u(x', y', z)|^2 + A_v |v(x', y', z)|^2 \right] dx' dy'. \quad (16)$$

Since in $(2 + 1)$ dimensions the Green's function G is the modified Bessel function of order 0, K_0 , in general the solution (16) is not useful for analytical studies. For this reason, much work on light beam propagation in nonlocal, nonlinear media has adopted simplified responses for G , the most common being Gaussian and exponential. Of particular relevance to the present review, the in-plane interaction of two solitary waves was investigated by means of a Gaussian [68,69] and an exponential response [70], and their spiralling with a Gaussian [71]; such studies were in qualitative agreement with those based on the actual NLC response.

At the end of this section, we deem appropriate to mention that a range of experimental and numerical studies were undertaken of self-guided beams interacting in other nonlocal media [72], particularly those with a self-focusing thermo-optic response [39,73]. The understanding and intuitive perception of nonlinear phenomena in reorientational soft matter, in fact, may benefit from the insight afforded by solitary waves in thermal media. For the latter scenario the governing equations are the Schrödinger-Poisson model (7) and (8), where ϕ denotes the temperature, with the walk-off factor and the pre-tilt set to zero, $\gamma = 0$ and $q = 0$. Employing lead-glass, it was reported in [39] that in $(1 + 1)$ D two propagating solitary waves attract each other; in $(2 + 1)$ D spiralling can occur, with orbits dependent on the nonlocality. In local media the orbits of two interacting beams are elliptical, whereas in nonlocal media circular orbits, with tangential velocity independent of separation, are possible. The final comment is that the trajectories of the interacting nematicons can be controlled and varied by adjusting the separation and power of the beams.

4. Refraction and Reflection of Self-Guided Beams at Interfaces

A basic topic in optics is the refraction of plane waves of light at the interface between two dielectrics of different refractive indices, governed by Snell's Law in the linear regime. Nematicons can be similarly refracted at interfaces which delineate NLC regions with different background orientations of the optic axis, resulting in unequal refractive indices. However, in spite of their particle-like behaviour resembling plane waves with a principal wavevector, since nematicons are extended nonlinear wavepackets in a nonlinear medium, their refraction and reflection can depart from those of linear light beams [74].

Let us assume that two external low frequency electric fields (voltages) are applied across two regions of a planar NLC cell, as in the experiments of [28], and as sketched in the top panel of Figure 3. Two sets of electrodes apply the two bias voltages and are separated along the line $y = \mu_1 z + \mu_2$, so that the beams refract in the (y, z) plane. The two biases generate a background director orientation ϕ_b which consists of the angle ϕ_{bl} to the left and ϕ_{br} to the right of the interface, respectively. The non-dimensional NLC equations (7) and (8) are then modified to [28,75]

$$i \frac{\partial u}{\partial z} + i\gamma \Delta(\phi_b) \frac{\partial u}{\partial y} + \frac{1}{2} \nabla^2 u + \sin(2\phi_b) \phi u = 0 \quad (17)$$

$$v \nabla^2 \phi - 2q\phi = -\sin(2\phi_b) |u|^2. \quad (18)$$

Here,

$$\phi_b = \begin{cases} \phi_{bl}, & \mu_1 z + \mu_2 < y, \\ \phi_{br}, & y < \mu_1 z + \mu_2 \end{cases} \quad (19)$$

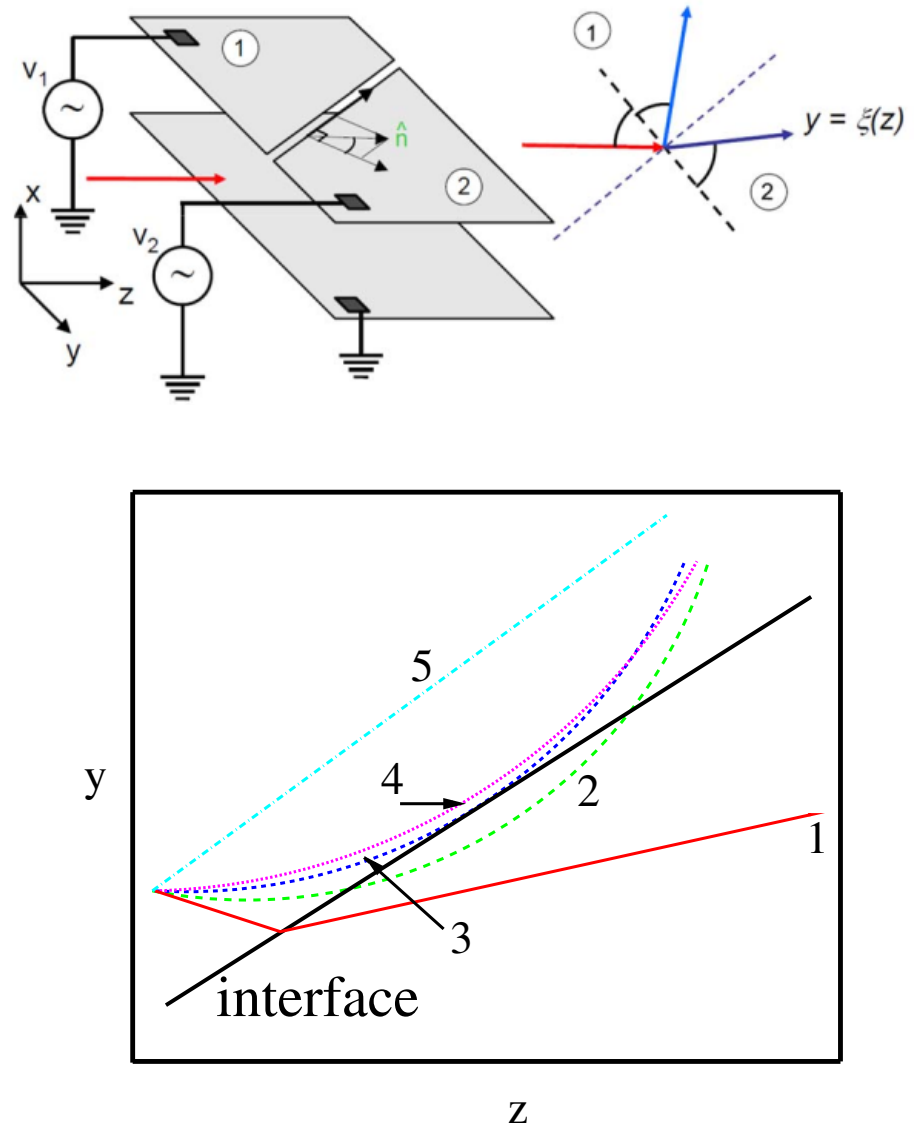


Figure 3. Top: Sketch of nematic cell with dielectric interface. The two regions of different refractive indices are generated by two external voltages V_1 and V_2 applied across isolated sections of the cell. The right sketch shows the incident (red), refracted (purple) and reflected (light blue) nematicons at the interface. The beam path in the (y, z) plane is $y = \xi(z)$. Bottom: Refraction/reflection of a nematicon at a dielectric interface in NLC. The beam propagates from a more to a less optically dense NLC region. 1. refraction: solid red line, 2. Goos-Hänchen type reflection: long-dash green line, 3. total internal reflection with beam axis tangential at the interface: short-dash dark-blue line, 4. total internal reflection with beam axis in the denser medium: dotted pink line, 5. straight beam path: dash-dot light-blue line. The interface is indicated by the thick straight solid line in black.

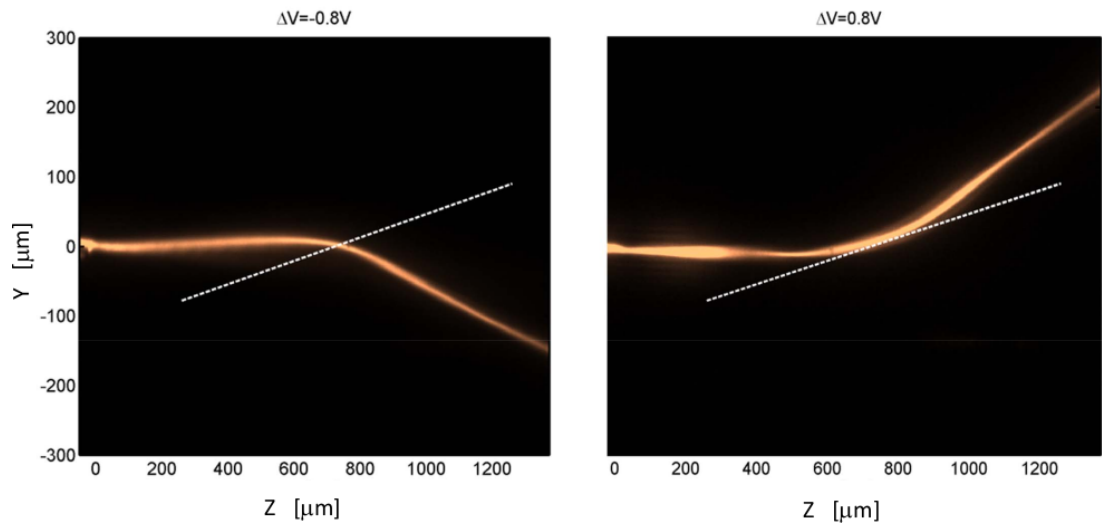


Figure 4. Observation of a near-infrared 4.5 mW nematicon interacting with a voltage controlled dielectric interface in NLC as it travels from the left to the right. Left: Refraction of the nematicon going towards a denser region; Right: total internal reflection of the nematicon propagating towards a less dense region at an incidence angle exceeding the critical value. The opposite values of the applied voltage difference ΔV marked above the panels are consistent with the opposite refractive index contrast imposed between the two dielectric regions in the two cases. Reproduced with permission from Springer Nature. All rights reserved. [28], doi: <https://doi.org/10.1038/nphys427>

and the non-dimensional bias q is

$$q = \begin{cases} q_l, & \mu_1 z + \mu_2 < y, \\ q_r, & y < \mu_1 z + \mu_2, \end{cases} \quad (20)$$

see (9) and the top panel of Figure 3. Note that the basic nematic equations (7) and (8) were modified as the background director orientation in the absence of the optical beam is now non-uniform, resulting in the $\sin 2\phi_b$ coefficients which cannot be scaled out.

For linear propagation from a more to a less optically dense medium, a light beam either refracts or undergoes total internal reflection (TIR). The refraction of a nematicon travelling from higher to lower refractive index regions shows a similar behaviour, but has to account for the nonlinear, extended profile of a self-guided solitary wave. Various cases of nematicons at the interface are illustrated in the bottom panel of Fig. 3 with the lines plotting the center-of-mass of the propagating wavepacket (see [75]). The usual Snell's Law refraction is type 1. For angles of incidence larger than a critical value the nematicon undergoes TIR, types 2, 3 and 4. Type 3 is equivalent to linear TIR, with the beam reflected with its centre tangential at the interface. However, since the nematicon transverse profile can exist on both sides of the interface, the beam can penetrate the less optically dense region, turn around and re-enter the denser NLC: this is denoted as Goos-Hänchen TIR [76] in Fig. 3. Reflection type 4 illustrates TIR for which the nematicon bends towards the incidence region without its centre 'touching' the interface, whilst its tail enters the less dense region. Figure 4 shows experimental results for the refraction from a less to a more optically dense medium and total internal reflection from a less to a more optically dense medium of a nematicon at an interface to illustrate these refraction and reflection regimes. The refraction of a nematicon going from a less to a more optically dense NLC region resembles that of linear waves [28,75].

Modulation theory was developed to model nematicon refraction at interfaces, as governed by the NLC equations (17) and (18) [75,77]. This model essentially treats the nematicon as an equivalent particle in a varying medium (mechanical potential) [12,78], the essential concept behind the term "soliton." This work [75,77] found excellent

agreement between these MT solutions and full numerical solutions of (17) and (18), including the incidence angles separating the various refraction and reflection types illustrated in Fig. 3. The pertinent initial conditions for this MT model are

$$u = a \operatorname{sech} \frac{\sqrt{x^2 + (y - \zeta)^2}}{w} e^{i\sigma + iU(y - \zeta)}, \quad \phi = \alpha \operatorname{sech}^2 \frac{\sqrt{x^2 + (y - \zeta)^2}}{\beta}. \quad (21)$$

As for the initial condition (14) for spiralling nematicons, U is related to the input angle of the beam in the (y, z) plane and can be considered the input y “velocity” of the beam which is inputted at $x = 0$ and $y = \zeta$ at $z = 0$.

The analysis of NLC self-confined beams refracting at an interface was further extended to optical vortex beams (i.e., propagating vortices rather than those discussed in [79]) based on the input wavepacket [80]

$$u = ar e^{-r/w} e^{i\sigma + iU(y - \zeta) + i\varphi}, \quad (22)$$

which is a vortex of (topological) charge one [6], with (r, φ) being plane polar coordinates and U and ζ having the same meaning as for the nematicon initial condition (21). In local media optical vortices are unstable to a mode 2 azimuthal instability, but they stabilize in sufficiently nonlocal media, such as nematic liquid crystals [81–83] and thermo-optic media [73], including dye-doped NLC [84]. Optical vortices share similar refraction/reflection at a NLC dielectric interface as nematicons, including Snell’s Law type and TIR. The major difference is that a vortex is less stable than a nematicon; hence, its deformation upon refraction can destabilise it, so that it easily breaks up into stable nematicons [80], as illustrated in Figure 5. This figure shows snapshot cross-sections in the (x, y) plane of the evolving vortex at various downcell distances z as it crosses the refractive index interface given by (19) and (20). The deformation of a vortex hitting the interface is clearly visible in Fig. 5(b), and increases as more of the vortex interacts with it, as in Fig. 5(c). This ultimately results in the destruction of the vortex, Figs. 5(d)–(f), and its break up into solitary waves. In later work it was found that the stability of an optical vortex propagating through a dielectric interface can be enhanced by a co-propagating coaxial nematicon as the latter acts as a waveguide and helps to keep the vortex together by confining a large amount of its high amplitude field distribution [85].

As we did earlier, we note that self-focusing thermal media have also been exploited for analytical, numerical and experimental studies of solitary wave-vortex interactions. In [86–88] the authors used orthogonally polarized beams in a cylindrical medium, illustrating the propagation of a coupled bell-shape beam with a higher-order ring or doughnut-like vortex. At a critical power ratio stationary vector solitary waves can be excited, as confirmed by experiments in lead glass [86–88].

5. Interaction of Localised Beams with Dielectric Perturbations

The trajectories of optical solitary waves in NLC can also be manipulated by localised perturbations—defects—of the refractive index, acting in a manner similar to a lens [7,89,90]. There are a number of techniques to generate refractive defects as the orientation of NLC molecules, and so the refractive index, can be controlled via numerous mechanisms, including applied electric fields [21,25,28,30,91–93], dye-doping [26,94–97], polymer dispersion/doping [98,99], external magnetic fields [100–102], disclinations and topological structures [103,104] and other (incoherent) light beams [22,24,26,89,105]. However, since a nematicon is a wavepacket with an extended profile, it can interact with the localised defect/lens even if its peak is somewhat distant from it, similar to the case of total internal reflection discussed in Section 4. As remarked before, NLC are a nonlocal, nonlinear medium for which the reorientation extends well beyond the beam waist forcing it. Let us consider a refractive perturbation of background director orientation $\phi_b(x, y, z)$ about the uniform pre-tilt θ_0 in a region denoted by Ω . As the director orientation is linked to the extraordinary refractive index n_e , see (3), a light beam

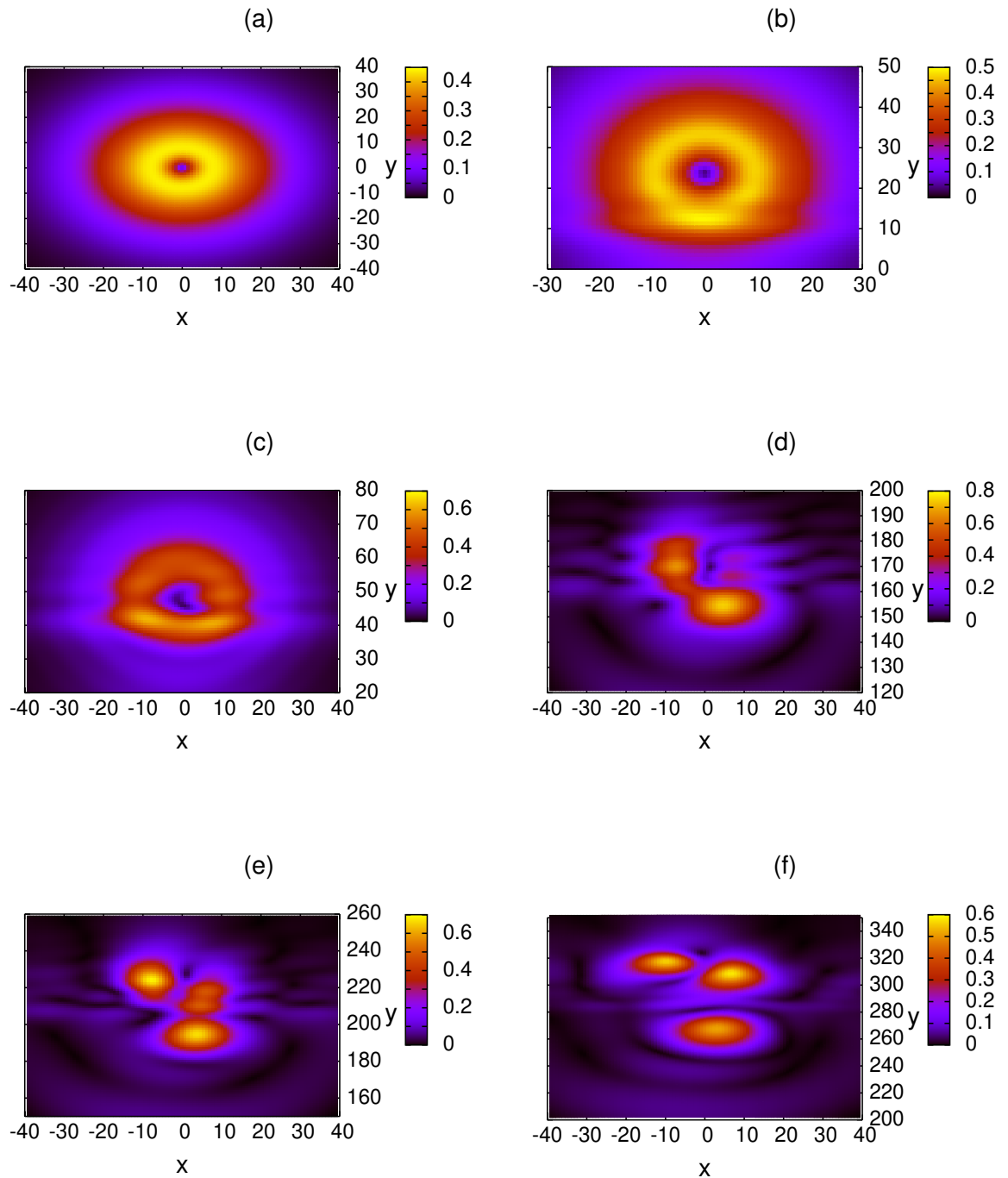


Figure 5. Transverse profiles of $|u|$ from the numerical solution of model (17) and (18) and the initial conditions (22) with $a = 0.15$, $w = 8.0$ and $V = 1.3$ at $z = 0$, with $\nu = 200$, $\psi_{bl} = 0.8$, $\psi_{br} = 0.4$, $q_l = 1.3$, $q_r = 1.0$, $\mu_1 = 1.5$ and $\mu_2 = -20$. (a) $z = 0$, (b) $z = 20$, (c) $z = 40$, (d) $z = 120$, (e) $z = 150$, (f) $z = 200$. The NLC cell is biased as in Fig. 1(a). ©IOP Publishing. Reproduced with permission. All rights reserved. [80], doi: <http://dx.doi.org/10.1088/0953-4075/45/16/165403>

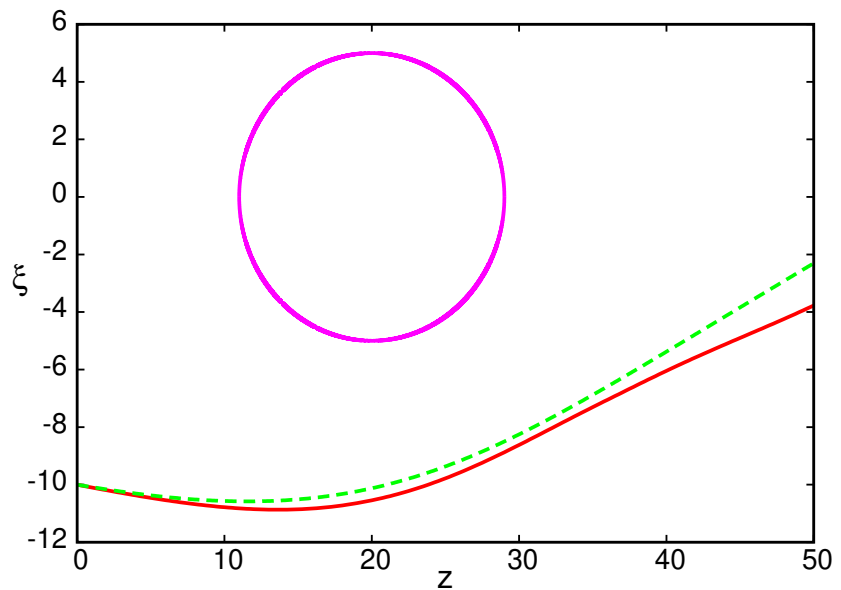


Figure 6. Comparison of nematicon trajectories $y = \zeta(z)$ nearing an elliptical region Ω of differing refractive index in an NLC cell prepared as in Fig. 1(b). The initial condition is (25). Numerical solution of (23) and (24): red line; solution of MT equations [27]: dashed green line. The initial condition is $f(\rho) = \text{sech } \rho$, $a = 2.5$, $w = 2.0$, $U = -0.1$, $\xi = -10.0$ with $\nu = 200$ and $q = 2$. The defect parameters are $u_0 = 1$, $Y_\Omega = 0$, $Z_\Omega = 20$ and $R_y = 5$, $R_z = 9$. The boundary of Ω is given by the pink line. Reproduced with permission from the American Physical Society. All rights reserved. [27], doi: <http://dx.doi.org/10.1103/PhysRevA.82.053843>

280 can be refracted when passing through, or near, such a localised defect in n_e and modify
281 its trajectory. On adding this index perturbation, the NLC model (7) and (8) becomes

$$i \frac{\partial u}{\partial z} + i\gamma \Delta(\theta_0 + \phi_b) \frac{\partial u}{\partial y} + \frac{1}{2} \nabla^2 u + 2\phi_b u + 2\phi u = 0, \quad (23)$$

$$\nu \nabla^2 \phi - 2q\phi = -2|u|^2. \quad (24)$$

282 Note that the nonlinear term in the electric field equation is of the form $\Delta n u$, where Δn
283 is the light-induced change in refractive index from its pre-tilt value.

As stated above, the dielectric defect acts as a lens to modify the beam trajectory. Nematicon refraction by localised index perturbations induced by an external electric field (of constant value u_0 inside the region Ω and 0 otherwise) was studied by full numerical solutions of (23) and (24) and MT [27] using circular, elliptical and rectangular domains Ω . The input beam was taken as

$$u = a f(\rho) e^{i\sigma + iU(y - \xi)}, \quad \rho = \frac{\sqrt{x^2 + (y - \xi)^2}}{w} \quad (25)$$

284 with profile $f(\rho)$ either Gaussian or sech, obtaining comparable results, as expected from
285 previous studies on the role of the beam profile on nematicon propagation [67].

The example of an elliptical refractive index change can illustrate the findings in [27]. The defect can be generated by an applied low frequency electric field of the form

$$u_b = \begin{cases} u_0, & \Gamma = \frac{(y - Y_\Omega)^2}{R_y^2} + \frac{(z - Z_\Omega)^2}{R_z^2} \leq 1, \\ 0, & \Gamma > 1, \end{cases} \quad (26)$$

286 providing the desired background orientation of the optic axis \hat{n} . Figure 6 compares the
287 nematicon trajectory $y = \zeta(z)$ as given by full numerical solutions of the NLC model (23)
288 and (24) with the initial condition (25) and by modulation theory. It can be seen that MT

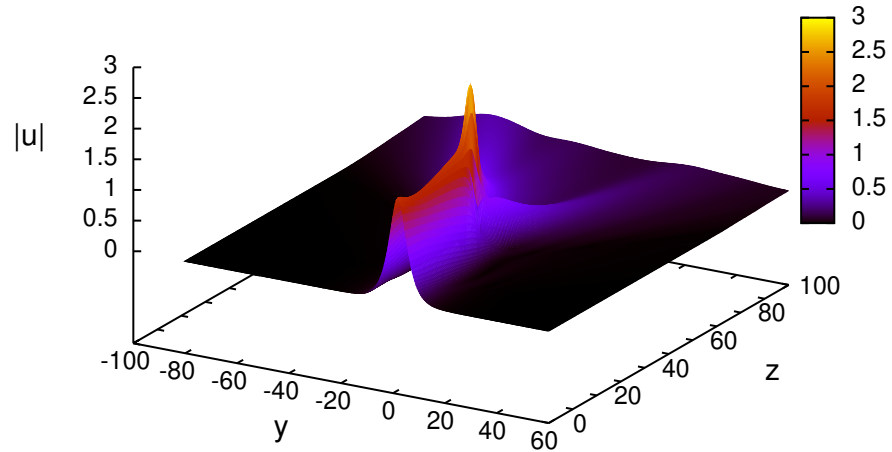


Figure 7. Evolution of $|u|$ in the plane $x = 0$ as given by numerical solution of equations (23) and (24) in a sample as in Fig. 1(b). The initial condition is (25) with $f(\rho) = \text{sech } \rho$, $w = 3.0$, $U = -0.05$, $\zeta = 2.0$ with $v = 400$ and $q = 2$. The defect parameters are $a_b = 0.5$, $w_b = 3.0$, $Y_b = 0$ and $Z_b = 30$. Reproduced with permission from the American Physical Society. All rights reserved. [106], doi: <http://dx.doi.org/10.1103/PhysRevA.85.013804>

289 gives a good prediction of the nematicon trajectory as it is refracted by the index change.
 290 These results show that the trajectory of a nematicon can be controlled by adjusting the
 291 strength of the dielectric defect, much as adjusting a lens controls the path of light.

The study of nematicon refraction in proximity to dielectric defects was extended to nematicon paths interacting with the perturbation itself [106], based on the assumed additional orientation

$$\theta_b = a_b e^{-[(y-Y_b)^2 + (z-Z_b)^2]/w_b^2} \quad (27)$$

292 and the input wavepacket (25). If the beam waist is small compared with the defect width,
 293 then self-localisation is preserved and the solitary wave refracts much as for the case of
 294 propagation around the defect [27]. However, if the beam and defect have comparable
 295 sizes, then a large enough refractive index contrast can pull apart the nematicon, as
 296 apparent in Fig. 7, where the latter breaks up into two beams. These two beams are the
 297 counterparts of the caustics forming in the linear regime [106].

298 The work summarized above was extended to experimental and theoretical studies
 299 in unbiased planar samples with a background director orientation θ_0 varying across
 300 the transverse Y direction, but uniform in the down cell Z direction [107,108]. The NLC
 301 equations (23) and (24) govern such propagation with $q = 0$, since in the experiments
 302 [107] the NLC molecules were pre-tilted by the physical treatment of the glass plates
 303 (Fig. 1(b)). The modulation theory used to model the experiments was based on the
 304 beam and director distributions

$$\begin{aligned} u &= af(\rho)e^{i\sigma+iU(z)(y-\zeta(z))}, & \rho &= \frac{\sqrt{x^2 + (y - \zeta(z))^2}}{w} \\ \theta &= \alpha f^2(\mu), & \mu &= \frac{\sqrt{x^2 + (y - \zeta(z))^2}}{\beta}. \end{aligned} \quad (28)$$

305 Here, a and w are the amplitude and width of the beam and α and β are the amplitude
 306 and width of the director response to the optical forcing. The variable $\zeta(z)$ is the y
 307 position of the nematicon peak as it travels down the cell with z increasing, $y = \zeta(z)$ as

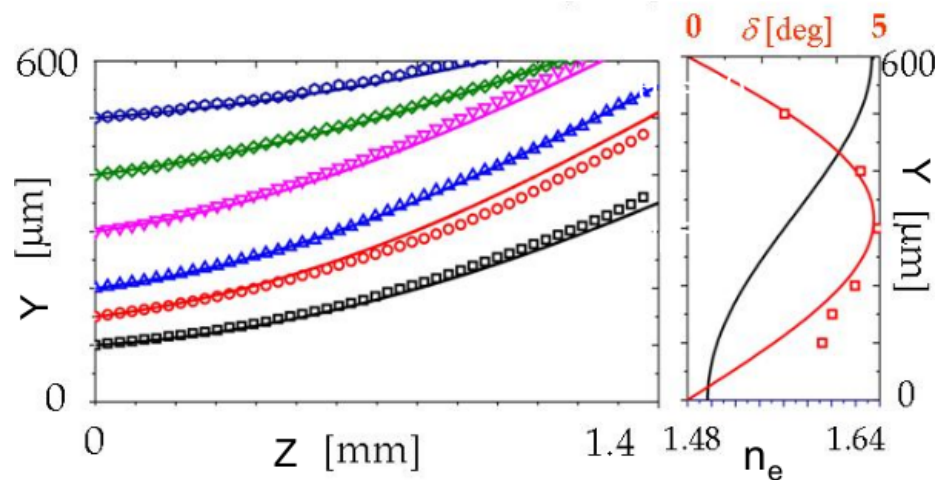


Figure 8. Left panel: Nematicon trajectories for an unbiased NLC sample (see Fig. 1(b)) with background angle θ_0 varying in Y from 0° at $Y = 0\mu m$ to 90° at $Y = 600\mu m$. Experimental data: symbols; MT results: solid lines. Right panel: Extraordinary refractive index n_e and walkoff angle $\delta = \tan^{-1} \Delta$ across nematic cell. The red squares are the experimentally measured walkoff. Reproduced with permission from Springer Nature. All rights reserved. [107], doi: 10.1038/s41598-017-12242-5

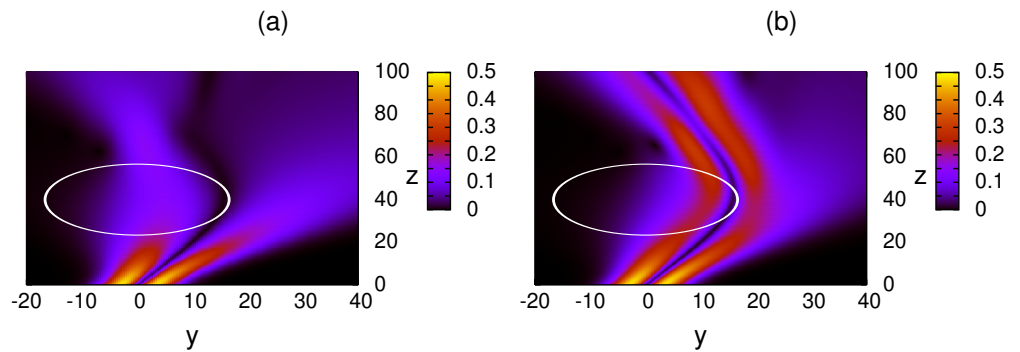


Figure 9. Evolution in $(x = 0, y, z)$ of coupled optical vortex and solitary wave near a Gaussian refractive defect (white ellipse) in an unbiased NLC sample. (a) Vortex beam alone and (b) vortex with co-propagating nematicon. Reprinted with permission from [113] ©The Optical Society.

308 it evolves in the (y, z) plane with fixed $x = 0$, and $U(z)$ is the nematicon y “velocity”,
 309 physically the angle it makes with the z direction in the (y, z) plane. We underline that the
 310 beam profile is not specified above. It was found that if the length scale of the refractive
 311 index change is larger than the beam width, then the MT results are independent of
 312 the profile and the MT equations reduce to momentum conservation equations for
 313 the wavepacket trajectory $\zeta(z)$, as for interacting nematicons [51]. This is important
 314 since, as stated in Section 4, there are no known exact nematicon solutions on which
 315 to base modulation theory. Fig. 8 compares experimental and MT results (momentum
 316 conservation) for the nematicon trajectory, with an excellent match. These investigations
 317 of nematicon refraction in a sample with a transverse varying background director
 318 orientation were also carried out in samples with background director distribution θ_0
 319 varying longitudinally (in the down cell direction Z), with perfect agreement between
 320 measurements and MT results [109].

As discussed in Section 4, an optical vortex beam is a much less stable entity than a nematicon, so when it interacts with a dielectric defect it can be destabilised and break up into individual beams [80]. A co-propagating coaxial nematicon can stabilise an

optical vortex in a uniform NLC sample, as demonstrated both experimentally [36] and theoretically [110,111], as was also found experimentally and theoretically for co-propagating solitary waves and optical vortices in thermal nonlinear optical media [86–88]. It was found that a refracting vortex can also be stabilised by a co-propagating co-polarized nematicon which acts as a graded-index waveguide and routes the vortex [112,113]. Fig. 9 illustrates the stabilising effect of such a coaxial nematicon on a vortex as the combined wavepackets propagate through an elliptically shaped dielectric defect of the form

$$\theta_b = a_b e^{-[(y-Y_b))^2 + (z-Z_b)^2] / w_b^2}. \quad (29)$$

321 Figure 9(a) shows the evolution of a vortex beam (charge 1) alone: its destruction by way
 322 of the index defect can be clearly appreciated. Fig. 9(b) displays the evolution of the same
 323 vortex co-propagating with a co-polarized nematicon, resulting in vortex stabilisation
 324 despite its interaction with the defect.

325 6. Conclusions

326 In this review we have tried to present a descriptive synopsis of recent results on the
 327 study of interacting self-confined optical solitary waves in reorientational nematic liquid
 328 crystals, outlining the main analytical approaches based on modulation theory. Despite
 329 the non-exhaustive character of the review, it is apparent that nematicons and other
 330 solitary waves in this specific type of soft matter have triggered a conspicuous amount
 331 of research interest and scientific effort, stimulating the development of theoretical,
 332 numerical and experimental approaches motivated by the sensitivity of liquid crystals
 333 to quite diverse perturbations, from light to voltage, magnetic fields, temperature,
 334 doping, etc. Beyond the specific topics summarized hereby, additional and recent
 335 endeavours have been carried out at the frontiers of self-localized light in nematic
 336 liquid crystals, including, e. g., Pancharatnam-Berry geometric phase and spin-orbit
 337 (spin-optical) solitary waves [114–117], thermo-reorientational nonlinear competition
 338 and multi-hump supermode solitary waves [118–126], to cite a few. Such phenomena
 339 involve the interaction of optical solitary waves in NLC with polarization evolution and
 340 scattering, competing self-focusing and defocusing responses, etc. Given the resultant
 341 complexity, they seem to deserve their own dedicated review, which is underway.

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