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# Assessing the impact of different penalty factors of the Bayesian reconstruction algorithm Q.Clear on in vivo low count kinetic analysis of [11C]PHNO brain PET-MR studies

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2	Bayesian reconstruction algorithm Q.Clear on in vivo low
3	count kinetic analysis of [ <sup>11</sup> C]PHNO brain PET-MR
4	studies
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Assessing the impact of different penalty factors of the

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#### 1 Abstract

2 Introduction: Q.Clear is a Bayesian penalised likelihood (BPL) reconstruction algorithm available on General 3 Electric (GE) Positron Emission Tomography (PET)-Computed Tomography (CT) and PET-Magnetic Resonance 4 (MR) scanners. This algorithm is regulated by a  $\beta$  value which acts as a noise penalisation factor and yields 5 improvements in signal to noise ratio (SNR) in clinical scans, and in contrast recovery and spatial resolution in 6 phantom studies. However, its performance in human brain imaging studies remains to be evaluated in depth. This 7 pilot study aims to investigate the impact of Q.Clear reconstruction methods using different  $\beta$  value versus ordered 8 subset expectation maximization (OSEM) on brain kinetic modelling analysis of low count brain images acquired 9 in the PET-MR.

10 *Methods:* Six [<sup>11</sup>C]PHNO PET-MR brain datasets were reconstructed with Q.Clear with  $\beta$ 100 to 1000 (in 11 increments of 100) and OSEM. The binding potential relative to non-displaceable volume (*BP<sub>ND</sub>*) were obtained 12 for the Substantia Nigra (SN), Striatum (St), Globus Pallidus (GP), Thalamus (Th), Caudate (Cd) and Putamen 13 (Pt), using the MIAKAT <sup>TM</sup> software. Intraclass correlation coefficients (ICC), repeatability coefficients (RC), 14 coefficients of variation (CV) and bias from Bland-Altman plots were reported. Statistical analysis was conducted 15 using a 2-way ANOVA model with correction for multiple comparisons.

16 *Results:* When comparing a standard OSEM reconstruction of 6 iterations/16 subsets and 5mm filter with Q.Clear 17 with different  $\beta$  values under low counts, the bias and RC were lower for Q.Clear with  $\beta$ 100 for the SN (RC=2.17), 18 Th (RC=0.08) and GP (RC = 0.22) and with  $\beta$ 200 for the St (RC=0.14), Cd (RC=0.18) and Pt (RC=0.10). The p-19 values in the 2-way ANOVA model corroborate these findings. ICC values obtained for Th, St, GP, Pt and Cd 20 demonstrate good reliability (0.87, 0.99, 0.96, 0.99 and 0.96, respectively). For the SN, ICC values demonstrate 21 poor reliability (0.43).

22 *Conclusion:*  $BP_{ND}$  results obtained from quantitative low count brain PET studies using [<sup>11</sup>C]PHNO and 23 reconstructed with Q.Clear with  $\beta$ <400, which is the value used for clinical [<sup>18</sup>F]FDG whole-body studies, 24 demonstrate the lowest bias versus the typical iterative reconstruction method OSEM.

25 Keywords: PET-MR, [<sup>11</sup>C]PHNO, reconstruction, Bayesian, neuroimaging

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#### **1 1. Introduction**

2 Positron Emission Tomography (PET) is an imaging technique that allows for non-invasive quantitative 3 measurement of biological processes in vivo. Filtered Back Projection (FBP) has been used as the preferred 4 reconstruction method in dynamic quantitative brain PET imaging research due its linearity, robustness and 5 reliable results however Ordered Subset Expectation Maximisation (OSEM) is often used for semi-quantitative 6 clinical whole-body and brain imaging due to its ability to provide better image quality [1]. FBP is not available 7 in recently developed scanners, including the General Electric (GE) Signa PET- Magnetic Resonance (MR) 8 scanners and therefore other alternatives have been devised. Current reconstruction algorithms such as OSEM 9 and Block Sequential Regularised Expectation Maximisation (BSREM) are considered iterative reconstruction 10 algorithms and can be used in images acquired in PET-Computed Tomography (CT) and in PET-MR scanners 11 [2]. Previous studies [3–5] conducted in PET-CT scanners have demonstrated that OSEM presents better image 12 quality and signal to noise ratio than FBP, therefore making it a suitable alternative to be used in clinical brain 13 studies acquired in the PET-MR scanner. The suitability of BSREM algorithms in this setting has however not 14 been extensively explored. Moreover, it has been shown that results obtained from OSEM reconstructions are 15 biased in low statistics and it is unclear if BSREM algorithms perform in the same way [1].

16 The BSREM algorithm is a Bayesian penalised likelihood (BPL) reconstruction algorithm that uses prior 17 knowledge as a penalty term in the iterative process. The  $\beta$  value (editable parameter in the algorithm) regulates 18 the strength of the penalty term, acting as a noise penalisation factor and improves the Signal to Noise ratio (SNR). 19 GE Healthcare has released the BSREM penalised likelihood reconstruction algorithm with the denomination of 20 Q.Clear [6,7]. PET images can be analysed with qualitative methods, which are based on visual assessments, and 21 semi-quantitative or quantitative methods, such as standard uptake values or volumetric measurements, 22 respectively [8]. The literature regarding the use of Q.Clear as a reconstruction algorithm for quantification is 23 limited, with some manuscripts investigating the effect of the algorithm in phantom images [7,9,10]. Most of the 24 available literature is primarily focused on fluorinated tracers, with some publications investigating the effect of 25 the algorithm in semi-quantification of whole-body scans and/or small structures imaging [11–14]. Furthermore, 26 there is limited knowledge on the quantitative accuracy of Q.Clear when reconstructing brain PET-MR images 27 with low counts and high noise.

1 <sup>[11</sup>C]PHNO is a PET radiotracer that binds to both D2 and D3 dopamine receptors which are part of the 2 D2-like dopaminergic receptors (DARs) family [15,16]. Unlike antagonist radiopharmaceuticals, agonist 3 radiotracers such as [<sup>11</sup>C]PHNO have the potential to produce pharmacologic effects [17,18]. In practice, a 4 compromise between mass and activity must be reached before the scan, in order to avoid side effects, and it is 5 sometimes necessary to administer an activity much lower than the target activity [18]. The restricted injected 6 dose limits may result in noisy imaging data with low counts. Moreover, for studies that require multiple scans, 7 for example for longitudinal follow-up or to investigate the effects of drug challenges [19], it is necessary to limit 8 the injected dose to ensure the total radiation dose remains within an acceptable range for research. In these 9 circumstances it is particularly important to use a reconstruction algorithm that maximises the SNR.

10 Image reconstruction algorithms may have an impact on measured binding potential relative to non-11 displaceable volume measurements (BP<sub>ND</sub>) calculated when using a simplified reference tissue model (SRTM), 12 although this has not been fully assessed with the latest reconstruction methods, such as Q.Clear [20]. Hence, we 13 aim to investigate the impact of Q.Clear reconstruction methods on brain kinetic modelling analysis, which will 14 provide new knowledge compared with previously conducted studies focused on characterising simplified 15 outcome measure bias (e.g. standard uptake value (SUV)) introduced by Q.Clear reconstruction methods. The 16 primary objective of this pilot study is to investigate the performance of Q.Clear, against the performance of the established OSEM algorithm, in low activity [11C]PHNO PET brain images acquired on a PET-MR system. We 17 18 also investigate which Q.Clear  $\beta$  values provides similar quantitative results for low count brain scans, to those 19 observed with a OSEM 6 iteration, 16 subset and 5mm filter reconstruction (which is a routinely used clinical 20 standard reconstruction for brain PET-MR scans, including within our department). This will provide important 21 evidence to the field, given that previous work has been predominantly focused on the use of Q.Clear methods for 22 reconstruction of whole-body PET data and routine non-kinetic modelling studies.

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#### 2. Materials and Methods

## 25 2.1 [<sup>11</sup>C]PHNO PET-MR human data acquisition and reconstruction

The original study adhered to the principles outlined in the National Health Service (NHS) Research
 Governance Framework for Health and Social Care (2<sup>nd</sup> edition), the Declaration of Helsinki and Good Clinical

Practice (GCP). It was also conducted in compliance with the Protocol, the Data Protection Act and other
 regulatory requirements, and Standard Operating Procedures (SOPs), as appropriate. The data that were used in
 this project were acquired after the participant's consent was obtained for the original study (REC reference
 12/LO/1955, IRAS Project ID: 103938). Use of this data was covered in the original consent form, which stated
 that the data acquired could be used in future related research.

Seven *in vivo* [<sup>11</sup>C]PHNO PET datasets, corresponding to seven different healthy normal participants,
were reconstructed retrospectively using Q.Clear and OSEM algorithms, for this pilot study. The average age of
the participants was 23 years with the female to male ratio being 3:4. The mean administered dose was 145.8±15.8
MBq (mean±SD, n=7).

An MRI-based attenuation correction (MRAC) sequence (MRI sequence with flip angle of 5 degrees,
 echo time (TE) 1.674ms, repetition time (TR) 4.048ms, 50x38 cm FOV with 256x128 matrix), which was obtained
 during scan acquisition, was used for attenuation correction of the PET data.

13 Typical [<sup>11</sup>C]PHNO PET-MR scans were binned into the following frames  $10 \times 15s$ ,  $3 \times 60s$ ,  $5 \times 120s$ , 14 15×300s, with a total duration of 90 minutes and 30 seconds. The dataset was processed once with the above 15 frames and with a reconstruction of OSEM 6iterations, 16subsets and a 5mm Gaussian filter, with time of flight 16 (TOF) information. This was entitled "26 OSEM 6i16s5mm normal". In order to mimic a low count acquisition, 17 the dynamic PET-MR scans were reprocessed with a pre-frame delay thereby decreasing the time per frame by a 18 factor of 3. Each *in vivo* dataset was reconstructed 11 times (10 TOF Q.Clear reconstructions [with  $\beta$  between 100 19 and 1000, in increments of 100], and 1 TOF OSEM reconstruction [6iterations, 16subsets and a 5mm Gaussian 20 filter]), with the pre-frame delay and named with the suffix "low". Normal [11C]PHNO scans present an average 21 count level of  $4.9 \times 10^7$  counts at the 15-minute frame,  $1.1 \times 10^7$  counts at the 45-minute frame and  $2.6 \times 10^6$  counts 22 at the 90-minute frame. When simulating a low dose acquisition, the 15-minute frame presented an average count 23 level of  $1.5 \times 10^7$  counts, the 45-minute frame  $3.3 \times 10^6$  counts and the 90-minute frame  $8.3 \times 10^5$  counts. 24 Supplementary file 1 contains a graphic of the prompt events over time, for the normal and low count datasets, 25 for one participant. For ease of comparison, the European Association of Nuclear Medicine advises that, for static 26 brain [<sup>18</sup>F]FDG scans, 100 million events should be detected for a duration of 10 to 20 minutes [21]. The scan 27 reconstructed with OSEM 6iterations, 16subsets and a 5mm Gaussian filter under normal counts was only used 28 for the comparison with its counterpart under low counts, to establish the extent of the variability when using the 29 same reconstruction parameters and different count statistics. Point Spread Function (PSF) modelling was not used for the OSEM or Q.Clear reconstructions (PSF modelling is included in Q.Clear by default) and all datasets
 were reconstructed using time of flight information.

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#### 4 *2.2 Data analysis*

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6 All reconstructed [<sup>11</sup>C]PHNO dynamic human brain PET scans were run through the MIAKAT<sup>™</sup> 7 (www.miakat.org) pipeline in order to obtain  $BP_{ND}$  results for the Substantia Nigra (SN), Striatum (St), Globus 8 Pallidus (GP), Thalamus (Th), Caudate (Cd) and Putamen (Pt). The pipeline in MIAKAT<sup>TM</sup> follows a sequence 9 of steps namely, Brain Extraction, Brain Tissue Segmentation, Motion Correction, Region of interest (ROI) 10 definition, ROI tracer kinetic modelling and Parametric imaging. Motion correction and ROIs were applied to all 11 reconstructions for the same subject. No image processing was performed prior to the datasets being run through 12 MIAKAT<sup>TM</sup>, however the outputs from the steps described above were reviewed and manually accepted by the 13 investigator. The data analysis steps required limited interaction from the investigator and the data analysis process 14 for all images datasets was conducted by the same investigator, hence reducing intra-operator and inter-operator 15 variability. Since a region devoid of receptors was available, i.e. the cerebellum, it was possible to use a SRTM 16 approach to estimate BP<sub>ND</sub>, which is a product of the receptor density and affinity and provides information 17 regarding non-specific and free radioligand concentrations [22].

18 Intraclass Correlation Coefficient (ICC) estimates and 95% confident intervals (CI) were calculated using statistical package version 26 (SPSS Inc, USA) based on 11 reconstruction items 19 SPSS 20 (TOF\_OSEM\_6i16s5mm\_low, TOF\_QClear\_B100\_low, TOF\_QClear\_B200\_low, TOF\_QClear\_B300\_low, 21 TOF\_QClear\_B500\_low, TOF OClear B400 low, TOF\_QClear\_B600\_low, TOF OClear B700 low, 22 TOF\_QClear\_B800\_low, TOF\_QClear\_B900\_low and TOF\_QClear\_B1000\_low), absolute-agreement, 2-way 23 mixed-effects model.

Bland-Altman plots were obtained with GraphPad Prism version 8.0.0 for Windows (GraphPad Software, USA). Bias and the Repeatability Coefficient (RC) between the OSEM algorithm (6iterations, 16subsets, 5mm filter reconstruction under low counts, defined as standard reconstruction for the purposes of this study) and the Q.Clear reconstructions (n=10, with differing  $\beta$  values), were produced using MedCalc® version 18 (MedCalc Software, Belgium), computing the standard deviation of the BP<sub>ND</sub> results obtained for the healthy subjects. The 2-way ANOVA results and multi comparisons using the Bonferroni test were used to determine
 group differences among *BP<sub>ND</sub>* results for the SN, St, GP, Th, Cd and Pt groups for the *in vivo* data. For this
 purpose, for determining the Coefficients of Variation (CV) and for graphical demonstration, GraphPad Prism
 version 8.0.0 for Windows (GraphPad Software, USA) was used.

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#### 6 **3. Results**

7 Out of the seven initial *in vivo* datasets only six were used for the statistical analysis due to one dataset presenting 8 excessive movement that could not be corrected during image processing. It was noted that the first frames of the 9 Q.Clear reconstructions presented spurious counts that did not correspond to the radiopharmaceutical injection, 10 interfering with the time activity curves (TACs) and the kinetic modelling analysis. As the injection was only 11 administered 30 seconds after the start of the acquisition, these frames were devoid of radioactivity. After 12 removing the first three frames from the reconstructed images, the curves obtained presented the expected 13 behaviour. An example of the model fitting for the Globus Pallidus and Cerebellum, for the same subject, when 14 the brain images were reconstructed with Q.Clear with  $\beta$ 100 and OSEM can be found in Fig. 1. The graphics 15 entitled "original data" (A) and (C) demonstrate the fit obtained with all the frames included. The graphic entitled 16 "cropped data" (B) and (D) demonstrate the fit obtained when the 3 first frames were removed hence removing 17 the background counts that did not correspond to the radiopharmaceutical injection. Graphics (A) and (B) 18 correspond to the data reconstructed with Q.Clear  $\beta 100$  whereas graphics (C) and (D) correspond to the data 19 reconstructed with OSEM.

Example images of the [<sup>11</sup>C]PHNO  $BP_{ND}$  obtained for one participant from the in vivo dataset and reconstructed with standard OSEM and Q.Clear with  $\beta$ 100-1000 are present in Fig. 2.

For large brain regions, such as the thalamus and the striatum, the intraclass correlation coefficient analysis demonstrates that there is a good reliability, with the ICC obtained for the  $BP_{ND}$  results being 0.87 (95% CI, 0.70-0.98) for the thalamus and 0.99 (95% CI, 0.97-0.99) for the striatum.

For the Thalamus, when comparing with the standard reconstruction, the Q.Clear with  $\beta$ 100 reconstruction presented the lowest bias (0.002) and RC (0.08). The full bias and RC results are present in Supplementary File 2. In the Striatum, the Q.Clear with  $\beta$ 200 reconstruction presents the lowest bias (0.046) and RC (0.14), when compared to the standard reconstruction. This is demonstrated in the Bland-Altman plots of Fig. 3 and Fig. 4. A graphic layout of the *BP<sub>ND</sub>* obtained for the Substantia Nigra (A), Striatum (B), Globus Pallidus (C) and
 Thalamus (D), per reconstruction method is presented in Fig. 5.

3 For medium size brain regions, such as the Globus Pallidus, Putamen and Caudate the intraclass correlation 4 coefficient analysis demonstrates that there is a good reliability (with the ICC obtained for the BP<sub>ND</sub> results being 5 0.96 (95% CI, 0.89-0.99), 0.99 (95% CI, 0.98-0.99) and 0.96 (95% CI, 0.90-0.0.99) respectively. In the Globus 6 Pallidus,  $BP_{ND}$  data shows that Q.Clear with  $\beta$ 100 reconstruction presented the lowest bias (-0.087) and RC (0.22), 7 when compared to the standard reconstruction. This is demonstrated in the Bland-Altman plots for the Globus 8 Pallidus in the Supplementary File 3. The results for the Caudate and Putamen demonstrate a similar pattern to 9 what was observed for the structures in graphs A, B and C in Fig. 5. When compared to the standard reconstruction, 10 Q.Clear with  $\beta$ 200 reconstruction presented the lowest bias and RC for both the Cd and Pt (bias of -0.041 and 11 0.015 and RC of 0.18 and 0.10, respectively).

For small size brain regions, namely the Substantia Nigra, the intraclass correlation coefficient analysis demonstrated poor intra-rater reliability (the ICC obtained for the  $BP_{ND}$  results for the SN was 0.43 (95% CI, 0.17-0.83). The Q.Clear reconstruction with  $\beta$ 100 presented the lowest bias (0.979) and RC (2.17), when compared to the standard OSEM reconstruction. This is demonstrated in the Bland-Altman plots for the Substantia Nigra in the Supplementary File 4.

17 The  $BP_{ND}$  results in the Substantia Nigra for the OSEM 6 iterations, 16 subsets and filter of 5 mm reconstruction 18 mimicking low counts were more dispersed (CV=45.42%) than the results for the same reconstruction with a 19 normal number of counts (CV=28.61%) and the comparison between both datasets provided a bias of 0.469 and 20 RC of 1.49. For all other brain regions, the dispersion was similar for both the normal counts and the reduced 21 counts reconstructions. The full list of percentage CV is present in Supplementary File 5.

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When comparing the  $BP_{ND}$  results from the standard iterative reconstruction, OSEM with 6 iterations, 16 subsets and a filter with 5mm kernel under low counts, with the Q.Clear reconstructions with different  $\beta$  values under low counts, for the Substantia Nigra, Globus Pallidus and Thalamus, there is no comparison that provides a p-value that is statistically significant. Conversely, the Q.Clear with a  $\beta$ 300, 600, 800 and 900 showed statistically significant differences, when compared to OSEM with 6 iterations, 16 subsets and a filter with 5mm kernel, for the Striatum and Putamen (Fig. 6). 1

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#### 4. Discussion

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4 The aim of this study was to investigate the impact of Q.Clear reconstruction methods on brain kinetic
5 modelling analysis by evaluating the performance of Q.Clear, against the performance of OSEM in the presence
6 of a small number of counts, in brain images acquired in a PET-MR system. To our knowledge, we are the first
7 to investigate Q.Clear reconstruction performance for brain kinetic modelling analysis rather than simplified
8 quantification methods like standard uptake value (SUV). We also report here that, for low count brain scans in
9 comparison to whole-body PET imaging, much lower *β* levels (between 100 and 200) are required to achieve the
10 same quantitative results to those obtained with a OSEM method.

11 The results for all structures, apart from the Substantia Nigra, appeared to be unaffected by the 12 reconstruction method as the changes in the CV were minimal. The Substantia Nigra however appeared to be 13 vulnerable to the reconstruction method under a low count scenario as not only the results appeared more 14 dispersed, but it was also observed an increase of almost 12% for the CV calculation. This finding demonstrates 15 that, when conducting kinetic modelling with an SRTM, the reconstruction algorithm used may have a different 16 impact on different brain structures. This project did not consider partial volume effect correction which is 17 important for small structures such as the Substantia Nigra. Even though Q.Clear improves spatial resolution 18 versus OSEM due to PSF corrections, this is still limited and a consequence of it is the partial volume effect which 19 can affect the PET images quantitatively [23]. Therefore, the results for the Substantia Nigra could be 20 underestimated by a spill-over effect from the white matter located in the midbrain [24].

The penalisation factor in Q.Clear performs as a noise suppression term with higher  $\beta$  values resulting in stronger noise reduction, whilst preserving edges [2,25]. This explains the decrease in the mean  $BP_{ND}$  results with the increase in  $\beta$  value, for the SN, St, and GP. The exception to this can be observed in the thalamus as there is a slight increase in the mean  $BP_{ND}$  results with the increase in  $\beta$  value, possibly due to the low target density in that region (with  $BP_{ND}$  values approximately 10 times lower than high density and large regions, such as the striatum).

The  $BP_{ND}$  obtained from the *in vivo* data demonstrates that, in a low count scenario, Q.Clear with  $\beta$ 100 has the lowest bias when compared to the standard low count OSEM reconstruction for the SN, GP and Th. For the same metric in the Striatum, Q.Clear with  $\beta$ 200 has the lowest bias. Furthermore, when the  $BP_{ND}$  for the Cd and Pt are investigated individually it is also noted that Q.Clear with  $\beta$ 200 presents the lowest bias for both structures. These results are further substantiated by the multi comparison results which demonstrate that Q.Clear with  $\beta$ 100, 200 and 400 are the only reconstructions across all structures that do not present statistically significant (0.01 ), very statistically significant (<math>0.001 ) or extremely statistically significant (<math>0.0001 ) differences when compared to the standard reconstruction.

*Lindström et al.* (2017) investigated clinical whole-body scans which were acquired in a GE PET-CT
system and reconstructed with Q.Clear and TOF-OSEM. They found that in order to obtain a noise equivalence
to TOF-OSEM reconstructions with 3iterations, 16subsets and 5mm Gaussian filter, a Q.Clear reconstruction with
β600 should be performed for radiotracers such as <sup>68</sup>Ga-DOTATOC, <sup>18</sup>F-FDG and <sup>18</sup>F-Fluoride and a Q.Clear
reconstruction with β400 should be performed for <sup>11</sup>C-acetate [26].

11 Scott et al. (2019) aimed at optimising Q.Clear for <sup>90</sup>Y quantitative imaging by preparing a National 12 Electrical Manufacturers Association (NEMA) image quality phantom with an <sup>90</sup>Y solution and scanning it on a 13 GE Discovery 710 PET-CT scanner. Images were re-binned in the first instance into 15 minute frames and, at a 14 later stage, into 30 and 60 minute frames and reconstructed with Q.Clear with  $\beta$  values of 1, 400, 800, 1000, 1200, 15 1400, 1600, 1800, 2000, 3000, 4000 and 8000. They calculated activity recovery and found that the optimal value 16 for quantification was  $\beta$  1000, as the reduction in image noise provided by this reduction does not affect 17 quantification [27].

18 These reports demonstrate that the optimal  $\beta$  value is dependent on the tracer and the OSEM parameters 19 used for a given application (e.g. brain PET studies versus whole-body PET). Notably, brain PET imaging requires 20 more resolved images and this can be achieved with either an OSEM reconstruction with a high number of 21 iterations and subsets or a Q.Clear reconstruction with low  $\beta$  values. It is encouraging that our results are in line 22 with the report by Ross (2014) who reconstructed two <sup>18</sup>F-FDG brain image datasets with OSEM 3iterations, 32 23 subsets and 2.5mm filter and Q.Clear with  $\beta$ 150 and found that this  $\beta$  level produced excellent contrast and image 24 quality in both datasets [28]. Reynés-Llompart et al. (2018) evaluated phantom and brain and whole-body patient 25 images which had been acquired in a GE Discovery IQ PET-CT system and reconstructed with Q.Clear with  $\beta$ 26 from 50 to 500. They used various acquisition times to mimic different counts - the 15 second acquisition in their 27 study yielded  $19 \pm 4$  million counts, which represents the closest statistics to the ones mimicked in our study. At 28 a 15 second acquisition and using a lesion to background ratio of 2:1, a  $\beta$  value of 150 maximises the contrast to 29 noise ratio (CNR) for a sphere of 10mm, a  $\beta$  value of 200 maximises the CNR for a sphere of 13mm and a  $\beta$  value

1 of 250 maximises the CNR for a sphere of 17mm. Although in kinetic modelling spatial resolution is of more 2 importance than CNR, it is important to note that  $\beta$  values of this range yield good contrast for small structures. 3 Their results also demonstrated that for images of the torso, the optimal  $\beta$  value would be between 300 and 400, 4 whereas for the brain images, it would be between 100 and 200, which is in line with our observation [29]. This 5 suggests that, unlike diagnostic whole-body studies, using <sup>18</sup>F-MISO and/or <sup>18</sup>F-FAZA in hypoxic lung lesions 6 [13] and <sup>18</sup>F-FDG PET-CT in pulmonary nodules [10], where the optimal  $\beta$  value is 350 and 400 or studies using 7 <sup>68</sup>Ga-PSMA and <sup>18</sup>F-Fluciclovine in pelvic lesions [30,31] which found that the optimal  $\beta$  value was between 400 8 and 550 and 300, respectively, for brain studies the optimal  $\beta$  value is lower, particularly when accurate 9 quantification is paramount. In fact, phantom and clinical studies conducted with the aim of improving spatial 10 resolution rather than for diagnostic purposes have reported that Q.Clear with low beta values provides better 11 spatial resolution in small structures. Rogasch et al (2020) investigated image metrics such as spatial resolution, 12 contrast recovery and SNR in phantom images reconstructed with Q.Clear and OSEM with PSF modeling. The 13 team found that when using Q.Clear with  $\beta$  150 and a high signal to background ratio, the spatial resolution 14 obtained is superior to that obtained when reconstructing images with PSF modelling and/or time of flight [9]. 15 Similarly, a publication by Howard et al (2017) investigating Q.Clear in small pulmonary nodules reported that 16 O.Clear with a  $\beta$  value of 150 improved visual conspicuity of nodules of approximately 1cm [14].

- Our work follows a similar approach to that of *Teoh et al.* (2015), *Ter Voert et al.* (2018) and *Teoh et al.* (2018) [10,30,31]. However, whereas these investigations were performed in whole-body imaging and focusing on the effect of the algorithm on SUV metrics, our work was performed in quantitative dynamic brain imaging and demonstrates the effects on  $BP_{ND}$ . To our knowledge, this has not been attempted before. Moreover, our work further sustains the initial observations presented by *Reynés-Llompart et al.* (2018) [29].
- A limitation related with the use of Q.Clear that was noted in this study was that for frames with low counts, spurious high counts were seen in the reconstruction and three of the initial frames had to be removed (as was described in the Results section). This demonstrates the importance of the quality control stage in image analysis.
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#### 27 **5.** Conclusion

1	In [ <sup>11</sup> C]PHNO brain studies that require accurate quantification, Q.Clear with $\beta$ values between 100 and 200
2	provide the least bias, lower RC and no statistically significant differences when compared to a standard OSEM
3	reconstruction. Further investigations in this field are required to determine if $\beta$ values in the range mentioned
4	above provide the same results for other radiopharmaceuticals.
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#### **1** Declarations

#### 2 Ethics approval and consent to participate

- 3 The original study adhered to the principles outlined in the NHS Research Governance Framework for Health and
- 4 Social Care (2<sup>nd</sup> edition), the Declaration of Helsinki and Good Clinical Practice (GCP). It was also conducted in
- 5 compliance with the Protocol, the Data Protection Act and other regulatory requirements and Standard Operating
- 6 Procedures (SOPs), as appropriate. The data that was used in this project has been acquired after the participant's
- 7 consent was obtained for the original study (REC reference 12/LO/1955, IRAS Project ID: 103938). Use of this
- 8 data was covered in the original consent form, which stated that the data acquired could be used in future related
- 9 research, and therefore ethical approval was waived for this study.

#### 10 Consent for publication

11 All patients consented to undergo the original study.

#### 12 Availability of data and material

13 The datasets generated and analysed during the current study are not publicly available due to proprietary 14 restrictions but are available from the corresponding author on reasonable request.

#### **15** Conflicts of interest/Competing interests

16 The authors declare that they have no competing interests.

#### 17 Funding

18 Original study funded by the Medical Research Council (MRC): MC-A656-5QD30.

#### **19** Code availability

20 All analysis was done using custom made code at inviCRO, a Konica Minolta Company and is subject to

proprietary restrictions on sharing. However, details on fundamentals of this software are described in themanuscript.

#### 23 Authors' contributions

DR, WH and AAST are responsible for study conception and design. OH, RM and MN are responsible for the
submission of the original protocol to relevant entities (ARSAC, REC, HRA) and day to day tasks and

1	management of the study	. DR was also responsible t	for data collection and analysis. All authors con	ntributed equally
		1		

2 to data interpretation and manuscript drafting. All authors read and approved the final manuscript.

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#### **1** List of abbreviations

- 2 BPL Bayesian penalised likelihood
- 3 BPND Binding Potential relative to non-displaceable volume
- 4 BSREM Block Sequential Regularised Expectation Maximisation
- 5 Cd Caudate
- 6 CI Confident Intervals
- 7 CT Computed Tomography
- 8 CV Coefficients of Variation
- 9 DARs D2-like dopaminergic receptors
- 10 FBP Filtered Back Projection
- 11 GCP Good Clinical Practice
- **12** GE General Electric
- **13** GP Globus Pallidus
- 14 ICC Intraclass Correlation Coefficient
- 15 MR Magnetic Resonance
- 16 MRAC MRI-based attenuation correction
- 17 NEMA National Electrical Manufacturers Association
- 18 NHS National Health Service
- 19 OSEM Ordered Subset Expectation Maximisation
- 20 PET Positron Emission Tomography
- 21 Pt Putamen
- 22 RC Repeatability Coefficient

1 ROI - Region of interes	st
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- 2 SN Substantia Nigra
- 3 SNR Signal to Noise Ratio
- 4 SOPs Standard Operating Procedures
- 5 SRTM Simplified Reference Tissue Model
- 6 St Striatum
- 7 TACs Time Activity Curves
- 8 TE Echo Time
- 9 Th Thalamus
- 10 ToF Time of Flight
- 11 TR Repetition Time

- \_\_\_\_





Fig. 1 Model fitting obtained for the Cerebellum and Globus Pallidus, one PET-MR brain dataset (same subject)
reconstructed with TOF Q.Clear β100 (top row) and OSEM (bottom row). Note the interference of the background
counts on the model fitting on the graphic entitled "Original Data" (A). The three initial frames that contained
background counts were removed on the graphic entitled "Cropped data" (B). Note the lack of interference from
the background counts, when OSEM is used, on the model fitting on graphic (C) and the similar model fitting
obtained when the initial frames are removed for the OSEM reconstructed, on graphic (D).



- 2 Fig. 2 Representative *BP<sub>ND</sub>* parametric brain images after [<sup>11</sup>C]PHNO administration, per reconstruction method
- 3 under low counts. Note the visual differences in image quality for the Q.Clear reconstructions as  $\beta$  increases.



LoA = Limits of Agreement

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Fig. 3 Bland-Altman plots of the *BP<sub>ND</sub>* obtained for the Striatum: (A) – TOF OSEM 6i16s5mm\_low vs TOF
Q.Clear β100\_low; (B) – TOF OSEM 6i16s5mm \_low vs TOF Q.Clear β200\_low; (C) – TOF OSEM
6i16s5mm\_low vs TOF Q.Clear β300\_low; (D) – TOF OSEM 6i16s5mm \_low vs TOF Q.Clear β400\_low; (E) –
TOF OSEM 6i16s5mm\_low vs TOF Q.Clear β500\_low; (F) – TOF OSEM 6i16s5mm\_low vs TOF Q.Clear
β600 low.



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2 Fig. 4 Bland-Altman plots of the  $BP_{ND}$  obtained for the Striatum: (G) – TOF OSEM 6i16s5mm \_low vs TOF

3 Q.Clear  $\beta700_{low}$ ; (H) – TOF OSEM 6i16s5mm \_low vs TOF Q.Clear  $\beta800_{low}$ ; (I) – TOF OSEM

4  $6i16s5mm_low vs TOF Q.Clear \beta900_low;$  (J) – TOF OSEM  $6i16s5mm_low vs TOF Q.Clear \beta1000_low;$  (K)

5 - TOF OSEM 6i16s5mm \_low vs TOF OSEM 6i16s5mm\_normal





Fig. 5 Graphic layout of the *BP<sub>ND</sub>* obtained for the Substantia Nigra (A), Striatum (B), Globus Pallidus (C) and
Thalamus (D), per reconstruction method. For the Substantia Nigra (A), Striatum (B) and Globus Pallidus (C)
as the β value for the Q.Clear reconstructions increases, the mean *BP<sub>ND</sub>* decreases. However, for the Thalamus
(D), as the β value for the Q.Clear reconstructions increases, the mean *BP<sub>ND</sub>* increases.



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2 Fig. 6 Multicomparison analysis of the *BP<sub>ND</sub>* results obtained for all structures when images reconstructed the standard OSEM 6iterations 16subsets and 5mm filter and with

3 the Q.Clear reconstructions with different  $\beta$  values. Note the statistically significant results included on the graphs.

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Fig. 1 Graphic of the prompt events over time (time post-injection). Note the higher prompt counts for the plot
denominated "normal", which refers to the datasets with normal counts. The plot denominated "low" refers to
the datasets in which low counts were simulated. Both plots belong to the same participant.



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## 1 Supplementary File 2

2

## Table 1

3 Table 1 Bias, Standard deviation of Bias, Repeatability Coefficients (RC), Lower Limits of Agreement (LoA),

4 Higher LoA,, standard deviation of Bias and LoA obtained, per brain structure, when Q.Clear reconstructions

5 with pre-frame delay and OSEM reconstruction with normal frame length were compared to standard OSEM

6 *reconstruction with pre-frame delay.* 

		Bias	SD Bias	RC	Lower LoA	Higher LoA
SN					1	0
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 100_low	0.979	0.568	2.172	-0.135	2.093
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 200_low	1.376	0.867	3.110	-0.323	3.074
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 300_low	1.533	0.931	3.435	-0.293	3.358
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 400_low	1.634	1.000	3.668	-0.326	3.593
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 500_low	1.679	1.021	3.763	-0.322	3.679
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 600_low	1.699	1.027	3.804	-0.313	3.712
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 700_low	1.751	1.052	3.914	-0.310	3.812
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 800_low	1.756	1.040	3.914	-0.283	3.796
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 900_low	1.773	1.055	3.955	-0.293	3.840
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 1000_low	1.798	1.075	4.015	-0.308	3.904
	TOF_OSEM6i16s5mm_low vs TOF_OSEM6i16s5mm_normal	0.469	0.656	1.490	-0.817	1.754
St						
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 100_low	-0.093	0.063	0.213	-0.215	0.030
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 200_low	0.046	0.056	0.135	-0.062	0.155
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 300_low	0.162	0.036	0.324	0.092	0.232
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 400_low	0.213	0.065	0.432	0.085	0.340
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 500_low	0.285	0.044	0.565	0.198	0.372
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 600_low	0.344	0.036	0.678	0.274	0.414
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 700_low	0.378	0.044	0.745	0.293	0.464
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 800_low	0.432	0.045	0.851	0.343	0.521
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 900_low	0.468	0.054	0.921	0.361	0.574
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 1000_low	0.496	0.057	0.976	0.384	0.607
	TOF OSEM6i16s5mm low vs TOF OSEM6i16s5mm normal	0.020	0.019	0.053	-0.018	0.058
GP						
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 100_low	-0.087	0.077	0.220	-0.239	0.065
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 200_low	0.116	0.112	0.303	-0.103	0.335
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 300_low	0.295	0.202	0.682	-0.100	0.691
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 400_low	0.405	0.144	0.834	0.123	0.687
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 500_low	0.521	0.178	1.069	0.172	0.869
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 600_low	0.593	0.217	1.226	0.167	1.019

	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 700_low	0.690	0.205	1.401	0.288	1.091
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 800_low	0.741	0.242	1.515	0.268	1.215
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 900_low	0.808	0.241	1.641	0.336	1.279
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 1000_low	0.876	0.233	1.768	0.419	1.334
	TOF_OSEM6i16s5mm_low vs TOF_OSEM6i16s5mm_normal	0.090	0.030	0.185	0.031	0.149
Th		0.000				0.000
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 100_low	0.002	0.045	0.080	-0.086	0.090
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 200_low	-0.021	0.074	0.138	-0.166	0.124
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 300_low	-0.021	0.083	0.155	-0.184	0.143
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 400_low	-0.037	0.077	0.155	-0.187	0.114
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 500_low	-0.047	0.099	0.200	-0.241	0.148
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 600_low	-0.048	0.095	0.194	-0.234	0.138
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 700_low	-0.069	0.105	0.231	-0.274	0.136
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 800_low	-0.068	0.107	0.232	-0.277	0.141
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 900_low	-0.068	0.115	0.246	-0.294	0.158
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 1000_low	-0.079	0.122	0.268	-0.318	0.160
	TOF_OSEM6i16s5mm_low vs TOF_OSEM6i16s5mm_normal	0.032	0.044	0.101	-0.055	0.119
Cd		1				
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 100_low	-0.185	0.062	0.380	-0.307	-0.064
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 200_low	-0.041	0.090	0.180	-0.217	0.136
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 300_low	0.078	0.085	0.215	-0.088	0.244
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 400_low	0.120	0.115	0.313	-0.106	0.346
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 500_low	0.189	0.131	0.438	-0.068	0.445
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 600_low	0.275	0.062	0.549	0.154	0.396
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 700_low	0.266	0.150	0.585	-0.028	0.559
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 800_low	0.339	0.098	0.686	0.147	0.531
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 900_low	0.377	0.119	0.769	0.143	0.611
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 1000_low	0.372	0.174	0.792	0.031	0.712
	TOF_OSEM6i16s5mm_low vs TOF_OSEM6i16s5mm_normal	0.010	0.033	0.062	-0.054	0.074
Pt						
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 100_low	-0.090	0.060	0.208	-0.209	0.028
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 200_low	0.015	0.054	0.100	-0.090	0.120
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 300_low	0.119	0.021	0.236	0.077	0.160
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 400_low	0.151	0.053	0.310	0.048	0.254
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 500_low	0.226	0.037	0.447	0.153	0.298
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 600_low	0.278	0.037	0.549	0.206	0.351
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 700_low	0.315	0.045	0.622	0.226	0.404
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 800_low	0.356	0.031	0.701	0.295	0.418
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 900_low	0.399	0.036	0.784	0.329	0.469
	TOF_OSEM6i16s5mm_low vs TOF_Q.Clear 1000_low	0.435	0.051	0.858	0.336	0.534
	TOF OSEM6i16s5mm low vs TOF OSEM6i16s5mm normal	0.034	0.027	0.081	-0.018	0.086

#### 2 Supplementary File 3



LoA = Limits of Agreement

Fig. 1 Bland-Altman plots of the *BP<sub>ND</sub>* obtained for the Globus Pallidus: (A) – TOF\_OSEM 6i16s5mm\_low vs
TOF\_Q.Clear β100\_low; (B) - TOF\_OSEM 6i16s5mm\_low vs TOF\_Q.Clear β200\_low; (C) - TOF\_OSEM
6 6i16s5mm\_low vs TOF\_Q.Clear β300\_low; (D) - TOF\_OSEM 6i16s5mm\_low vs TOF\_Q.Clear β400\_low; (E)
7 - TOF\_OSEM 6i16s5mm\_low vs TOF\_Q.Clear β500\_low; (F) - TOF\_OSEM 6i16s5mm\_low vs TOF\_Q.Clear
8 β600\_low.



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2 Fig. 2 Bland-Altman plots of the *BP<sub>ND</sub>* obtained for the Globus Pallidus: (G) - TOF\_OSEM 6i16s5mm \_low vs

3 TOF Q.Clear β700 low; (H) - TOF OSEM 6i16s5mm low vs TOF Q.Clear β800 low; (I) - TOF\_OSEM

4 6i16s5mm\_low vs TOF\_Q.Clear β900\_low; (J) - TOF\_OSEM 6i16s5mm\_low vs TOF\_Q.Clear β1000\_low; (K)

5 - TOF\_OSEM 6i16s5mm\_low vs TOF\_OSEM 6i16s5mm\_normal.



2

Fig. 1 Bland-Altman plots of the *BP<sub>ND</sub>* obtained for the Substantia Nigra: (A) - TOF\_OSEM 6i16s5mm\_low vs
TOF\_Q.Clear β100\_low; (B) - TOF\_OSEM 6i16s5mm\_low vs TOF\_Q.Clear β200\_low; (C) - TOF\_OSEM
6i16s5mm\_low vs TOF\_Q.Clear β300\_low; (D) - TOF\_OSEM 6i16s5mm\_low vs TOF\_Q.Clear β400\_low; (E)
- TOF\_OSEM 6i16s5mm\_low vs TOF\_Q.Clear β500\_low; (F) - TOF\_OSEM 6i16s5mm\_low vs TOF\_Q.Clear
7 β600\_low.



н

LoA = Limits of Agreement

G

Fig. 2 Bland-Altman plots of the *BP<sub>ND</sub>* obtained for the Substantia Nigra: (G) - TOF\_OSEM 6i16s5mm \_low vs
TOF\_Q.Clear β700\_low; (H) - TOF\_OSEM 6i16s5mm \_low vs TOF\_Q.Clear β800\_low; (I) - TOF\_OSEM
6i16s5mm\_low vs TOF\_Q.Clear β900\_low; (J) - TOF\_OSEM 6i16s5mm\_low vs TOF\_Q.Clear β1000\_low; (K)

- 5 TOF\_OSEM 6i16s5mm\_low vs TOF\_OSEM 6i16s5mm\_normal.
- 6

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1 Supplementary File 5

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#### Table 1

3 Table 1 Coefficient of variation obtained for the Substantia Nigra, Striatum, Globus Pallidus, Thalamus, Caudate and Putamen, per reconstruction Method. Note the highest

4 percentages are observed for the Substantia Nigra and Thalamus.

Reconstruction Method	%CV Substantia Nigra	%CV Striatum	%CV Globus Pallidus	%CV Thalamus	%CV Caudate	%CV Putamen
1_TOF _OSEM_6i16s5mm_low	45.41832493	11.72287022	17.1263507	19.75959975	14.93303633	11.65408554
2_TOF _QClear_B100_low	38.17577226	12.84491396	17.89216232	14.17978715	15.58405133	12.61996998
3_TOF _QClear_B200_low	28.72130884	12.75007419	17.45920353	25.74974894	16.46186062	12.69296747
4_TOF _QClear_B300_low	30.28512922	12.33280212	16.05931007	30.63244265	15.28267088	12.15481101
5_TOF _QClear_B400_low	27.32815403	12.97046284	16.59931675	26.94987759	17.09950166	12.68228813
6_TOF _QClear_B500_low	29.60766734	13.00523057	16.30889312	31.5792602	17.01502152	12.77713343
7_TOF _QClear_B600_low	26.63014208	13.21577293	15.45279731	31.18768195	16.95801749	12.91191471
8_TOF _QClear_B700_low	29.61208195	13.36629606	16.21458635	31.22143308	17.23967698	13.14849772
9_TOF _QClear_B800_low	28.54199913	13.13942075	15.27243218	32.27131464	15.55622549	12.80121683
10_TOF _QClear_B900_low	29.02665991	13.15239998	15.75640103	33.87790044	16.49121518	13.07511373
11_TOF_QClear_B1000_low	30.17244768	13.45800577	16.1175274	34.42014471	18.24451088	13.31993369
12_TOF_OSEM_6i16s5mm_normal	28.61458868	11.49902568	17.99716144	20.76490227	14.57108139	11.84132012