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4	Beyond BMI: Personality Traits' Associations With Adiposity and Metabolic Rate
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Abstract

20 Various personality traits are known to correlate with body mass index (BMI). However, this index of 21 adiposity conflates fat mass with lean body mass and may therefore lead to biased estimates of 22 correlations. Yet, rarely have studies looked beyond BMI to understand how adiposity and other 23 physiological characteristics relate to these psychological traits. Using previously validated formulas, we 24 calculated an improved measure of adiposity (relative fat mass, RFM), as well as basal metabolic rate 25 (BMR); explored their associations with various personality traits; and assessed how personality traits' 26 associations with RFM differ from their associations with BMI. In a subsample of the Estonian Biobank (N 27 = 3,535), we compared how the five domains and 30 facets of NEO Personality Inventory-3 correlated 28 with RFM, BMI, and BMR. Various traits, notably Openness to Experience and its facets, were associated 29 with RFM above and beyond BMI; these traits may relate to lower adiposity through eating habits. 30 Assertiveness, a facet of Extraversion, correlated more strongly with BMI than with RFM and also 31 correlated with BMR. These correlations mirror associations of metabolic rate with conceptually similar 32 traits in non-human animals and are consistent with Assertiveness being based on biological processes. 33 Finally, BMI-personality trait correlations appeared to conflate personality traits' associations with fat 34 mass and lean mass; the use of BMI as an indicator of adiposity can lead to both attenuated and inflated 35 estimates of personality trait-adiposity associations.

36

Keywords: personality traits, obesity, basal metabolic rate, BMI, body composition

37

Beyond BMI: Personality Traits' Associations With Adiposity and Metabolic Rate

38 **1. Introduction**

39 Health is among the most important factors shaping our everyday experiences—how we feel, 40 what we think about, what we do and avoid doing. It is then unsurprising that various indices of physical 41 health correlate with personality traits, defined as individuals' tendencies to think, feel, and behave in 42 certain ways that make them differ from one another. Among the various health outcomes known to 43 correlate with personality traits is body mass index (BMI), an indicator of adiposity that is simple to 44 calculate from height and weight and is commonly used in studies when direct measures of body fat are 45 unavailable. BMI is relevant for health outcomes beyond adiposity, also being a risk factor for various 46 health conditions such as diabetes and cancer (Boles et al., 2017; Cercato & Fonseca, 2019). As many 47 studies have shown, BMI correlates consistently with various personality traits (Sutin et al., 2011; 48 Terracciano et al., 2009; Vainik et al., 2019), most prominently with the broad Conscientiousness domain 49 and some of its sub-components, facets (Sutin et al., 2018). Yet, how exactly these associations arise 50 remains unclear.

51 BMI and personality traits are sometimes thought to be causally associated (Sutin & Terracciano, 52 2017). For instance, personality traits may influence BMI (Friedman, 2019), perhaps through health 53 behaviours such as diet and physical activity (Bogg & Roberts, 2004; Kim, 2016). But the causal 54 associations may also run in reverse, as suggested by longitudinal studies (Lahti et al., 2013), or be 55 bidirectional, as supported by genetic analyses (Arumäe et al., 2021). Therefore, although the 56 correlations might also arise from common causes (Sutin et al., 2013; Nagel et al., 2018; Vainik et al., 57 2018), the longitudinal and genetic analyses suggest that causal associations may instead (or 58 additionally) exist. If so, understanding personality traits' associations with BMI may not only explain 59 health conditions like obesity but also shed some light on the elusive sources of differences in and

development of personality traits. Further disentangling personality traits' associations with BMI and the
health outcomes it is a proxy for is therefore of broad interest. However, there are other indices besides
BMI that quantify related physiological characteristics that are simple to calculate but have received little
attention in relation to personality traits so far.

64 **1.1. Alternatives to BMI**

65 Whatever mechanisms give rise to the BMI-personality trait associations, attempts to explain 66 these links should begin with their accurate descriptions. However, as is well known, BMI is imprecise as 67 an indicator of adiposity as it can conflate fat mass and lean mass. Not only does BMI overestimate fat 68 mass in individuals with high muscle mass (Rothman, 2008), it may even correlate more strongly with 69 lean body mass (i.e., the mass of skeletal muscle and organs, or fat-free mass) than with fat mass in men 70 (Romero-Corral et al., 2008). Ignoring this limitation leaves researchers open to misinterpreting BMI's 71 associations with personality traits. On one hand, some of the associations may be driven by lean mass 72 rather than fat mass; for instance, BMI correlates with Assertiveness (Vainik et al., 2019), which may well 73 be due to more muscular individuals being able to act dominantly rather than those with higher fat mass 74 being able to assert themselves. On the other hand, if BMI tends to misrepresent adiposity, the use of 75 BMI as its proxy may result in attenuated estimates of personality trait-adiposity correlations or in 76 missing such links altogether. Using a more accurate measure of adiposity could clarify this issue. 77 Although adiposity is most accurately measured using methods like dual-energy X-ray 78 absorptiometry (DEXA), computed tomography, and magnetic resonance imaging (MRI) (Borga et al., 79 2018; Denton & Karpe, 2016), research on the relations between adiposity and psychological 80 characteristics rarely employs objective measures of body fat, relying instead on BMI, the most 81 convenient-to-use index of adiposity. Although personality traits' correlations with BMI track their 82 correlations with adiposity as measured via skinfold thickness fairly closely (Sutin et al., 2011), few

studies have made such comparisons and the accuracy of BMI in assessing personality trait–adiposity
 correlations is still uncertain. Because of this, additional studies are warranted.

85 When objective measurements of adiposity or body composition are not available, there may be 86 alternatives to BMI that are not only more accurate but, crucially, also available in large datasets or can 87 realistically be incorporated in large research projects. For instance, relative fat mass (RFM) is a new 88 formula that estimates whole-body adiposity via height, waist circumference, and sex, and tracks 89 adiposity more accurately than BMI does (Woolcott & Bergman, 2018). Granted, any index of body 90 composition indirectly estimated with a formula is unlikely to be as accurate as objective measurements 91 via, for instance, MRI or DEXA. But if different formulas with differing degrees of accuracy are available, 92 those that estimate adiposity more closely should also describe personality trait-adiposity associations 93 more accurately.

94 **1.2. Beyond Adiposity**

95 While personality traits' correlations with adiposity (as approximated by BMI) have been the 96 subject of extensive research, other physiological characteristics have received less attention in relation 97 to personality traits. Yet, given the interest in understanding the causes and consequences of personality 98 traits as well as health outcomes, attention to such relations is justified. As personality traits are 99 sometimes thought to be in substantial part based on biology (McCrae & Sutin, 2018), associations 100 between physiology and behavioural traits in non-human animal species may hint at similar associations 101 in humans. For instance, studies in behavioural ecology have repeatedly shown correlations between 102 basal metabolic rate (BMR)—the amount of energy needed to power life-sustaining functions—and 103 behavioural traits like dominance, aggressiveness, exploration, and activity in various species (Careau & 104 Garland, 2012). If metabolism and behaviour are genetically or functionally linked (Careau et al., 2011)

or causally associated (Biro & Stamps, 2010; Réale et al., 2010), such associations may clarify where
 individual differences in these traits originate.

107 To our knowledge, two studies have so far explored the associations between BMR and 108 personality traits in humans. The first of the two found metabolic rate to be largely uncorrelated with 109 personality traits (Terracciano et al., 2013), and the second found it to negatively correlate with 110 Extraversion (Bergeron et al., 2021). However, the sample sizes of these studies may not have allowed to 111 accurately estimate the associations as they likely have small effect sizes—for comparison, the 112 correlation between BMI and Conscientiousness, robust as it appears to be, has been meta-analytically 113 estimated to be r = -.04 (Vainik et al., 2019). And yet, given the ongoing discussion surrounding energy 114 metabolism and personality in non-human species (Biro et al., 2020) fueled by the many empirical 115 findings on such associations, a new look at the correlations in humans seems warranted. 116 Just like adiposity, BMR is laborious to measure objectively, but can be estimated using existing 117 formulas through height, weight, age, and sex (e.g., Mifflin et al., 1990). As with adiposity, there is a 118 trade-off between measurement accuracy of BMR and sample size—datasets with large samples are 119 unlikely to have objectively measured BMR available. But indirect estimation of BMR does enable the 120 use of BMR in the large samples required to assess personality-physiology correlations. Importantly, 121 because BMR is tightly linked with lean body mass (Dulloo et al., 2010; Weinsier et al., 1992), personality 122 traits' correlations with BMR are also expected to reflect their associations with lean mass. 123 **1.3. The Current Study** 124 In this study, we assessed personality traits' correlations with three physiological 125 characteristics—RFM, BMI, and BMR—and tested whether the correlations between BMI and

126 personality traits likely reflect associations with adiposity or, instead, lean body mass. Although

127 numerous studies have attempted to characterize personality traits' associations with adiposity relying

128 on BMI, we aimed to provide an alternative and potentially less biased description of the associations 129 using RFM. Additionally, we aimed to describe personality traits' associations with BMR. We assumed 130 that BMI, a composite measure of fat mass and lean mass, is not as accurate as RFM as an index of 131 adiposity and its correlations with personality traits conflate the associations of the traits with fat mass 132 and lean mass.

133 First, we estimated RFM, BMI, and BMR, and assessed their correlations with the five personality 134 domains of the Five-Factor Model (FFM) and their 30 facets. Second, we aimed to assess whether the 135 associations between BMI and personality traits are likely driven by adiposity (as commonly assumed in 136 studies where BMI is used) or with lean mass instead. To this end, we compared the traits' correlations 137 with RFM and BMI: because BMI is a composite of adiposity and lean mass, traits that more strongly 138 correlate with RFM are more likely to associate with adiposity than with lean mass; traits that more 139 strongly correlate with BMI may instead have stronger correlations with lean mass than with adiposity. 140 Third, to further clarify to what extent personality traits' associations with BMI resemble their 141 associations with adiposity and lean mass, we assessed the overlap between the personality profiles of 142 the three physiological characteristics: RFM, BMI, and BMR. And finally, beyond these descriptive 143 analyses, we tested how strongly the three physiological characteristics can be predicted from a linear 144 composite of the facets of the FFM personality traits. Altogether, these analyses not only describe 145 personality traits' associations with adiposity and BMR but also clarify how accurately studies using BMI 146 have represented personality traits' associations with adiposity.

147

2. Materials and Methods

2.1. Participants

149The sample for this study consisted of a subsample of the Estonian Biobank—a cohort of150Estonian residents recruited by medical personnel throughout the country (Leitsalu et al., 2015) from

whom various medical data have been collected. All participants have given informed consent. Analyses
included data from 3,535 individuals in total (2,110 women) for whom NEO–PI–3 personality data were
available along with their height and weight. The participants were on average 46.75 years old (SD =
16.98) with mean BMI 25.97 kg/m ² (SD = 4.88) and mean BMR 1,505.68 kcal/day (SD = 262.72). Analyses
including RFM were done on a subsample of 2,547 persons (1,447 women) for whom waist
circumference data were additionally available; mean RFM was 30.25% (SD = 7.60) among the
individuals for whom it could be calculated. Characteristics of the full sample, as well as this subsample,
are detailed in Supplementary Table 1.
2.2. Materials
2.2.1. Personality traits . Personality traits were assessed using the Estonian version of the NEO
Personality Inventory-3 (NEO–PI–3; McCrae et al., 2005), a slightly modified version of the NEO–PI–R
(Kallasmaa et al., 2000). The NEO–PI–3 is a 240-item personality inventory covering the five domains and
30 facets of the FFM. This inventory assesses the domains of Neuroticism, Extraversion, Openness,
Agreeableness, and Conscientiousness, as well as six narrower facets within each domain. The answers

152 included data from

- 154 16.98) with mean B
- 155 including RFM were
- 156 circumference data
- 157 individuals for whore
- 158 are detailed in Supp

159 2.2. Materials

151

153

160 2.2.1. Personality to

161 Personality Inventor

162 (Kallasmaa et al., 20

163 30 facets of the FFN

164 Agreeableness, and

165 were provided on a 5-point Likert scale ranging from 0 (strongly disagree) to 4 (strongly agree).

166 **2.2.2.** Physiological variables. RFM was calculated using the formula 64 – (20 × height/waist

167 circumference) + (12 × sex), where sex = 0 for men and sex = 1 for women (Woolcott & Bergman, 2018).

168 The formula used to calculate RFM has been validated using DEXA, shown to be a more accurate

- 169 measure of adiposity, and reduce obesity misclassification compared to BMI (Woolcott & Bergman,
- 170 2018). BMI was calculated as body mass in kilograms divided by height in meters squared (kg/m^2) .
- 171 Finally, BMR was calculated using the Mifflin–St Jeor formula: 9.99 × weight + 6.25 × height – 4.92 × age
- 172 + 166 × sex – 161, where sex = 1 for men and sex = 0 for women (Mifflin et al., 1990). Participants'

- 173 height, weight, and waist circumference used in the calculations were measured directly in the Estonian
- 174 Biobank sample.
- 175 **2.3. Statistical Analyses**

To test the associations of the physiological variables and personality traits (the five domains and 30 facets), we first residualized each personality trait as well as RFM, BMI, and BMR for age, age², and sex. We then calculated Spearman's *rhos* between the residualized physiological variables and personality traits. We compared RFM's and BMI's associations with each individual personality trait with Williams' test. These comparisons were done with the R package "cocor" using dependent groups with overlapping correlations (Diedenhofen & Musch, 2015).

Because adiposity and BMR are correlated (i.e., people with higher fat mass also tend to have higher BMR as they also have higher lean mass), we ran an additional set of correlation analyses on personality traits on one hand and RFM or BMR on the other where, in addition to age, age², and sex, RFM was also residualized BMR and BMR for RFM. This would give "cleaner" estimates of correlations with adiposity and BMR that are presumably less dependent on or confounded with each other.

187 Next, we estimated the personality trait correlation profiles (henceforth called "personality 188 profiles" for simplicity) for RFM, BMI, and BMR, and assessed their similarity. Personality profiles of the 189 physiological variables reflect the configurations of personality traits that tend to accompany higher 190 values on the respective physiological variables. Each physiological variable's correlations with the 30 191 personality facets served as its personality profile (all variables were first residualized for age, age², and 192 sex as in previous analyses). For instance, the personality profile of BMI consisted of BMI's correlations 193 with the 30 facets after BMI and each facet had been residualized for the covariates. To estimate the 194 correlations between the profiles of RFM, BMI, and BMR, the correlations (rhos) were first transformed 195 to z scores using Fisher's transformation, and Pearson's correlations as well as Euclidean distances were

10

then found between the transformed scores to assess the similarity between the profiles' shapes as well
as their distances from each other. Prior to calculating the profile correlations, scores of the six
Neuroticism facets were reversed to avoid inflation of the estimates. Further details on personality
profile analysis can be found in Vainik et al. (2019).

200 Additionally, we tested how well RFM, BMI, and BMR can be predicted from the personality 201 facets of the FFM. Because narrower personality traits (e.g., facets) are known to have considerable 202 incremental predictive accuracy over domains in predicting many outcomes (including BMI; Seeboth & 203 Mõttus, 2018; Vainik et al., 2019), we used the 30 facets instead of the five domains to predict the three 204 physiological characteristics. For each person in the sample, a "polyfacet score" was calculated for each 205 RFM, BMI, and BMR to summarize their personality-based propensity for higher values in the respective 206 phenotypes. Each polyfacet score was calculated as the sum of the 30 facets' weighted correlations with 207 the physiological characteristic. The weights for the polyfacet scores were calculated using LASSO, a 208 regression-based procedure that estimates an outcome's (physiological characteristic's) correlations with 209 each predictor (facet), shrinking some of the predictors' weights towards zero based on their 210 intercorrelations to counteract overfitting of the models (Yarkoni & Westfall, 2017). Prior to calculating 211 the polyfacet scores, each facet and physiological variable was residualized for age, age², and sex. 212 Importantly, to further avoid overfitting, the sample was randomly divided into 10 parts and the 213 polyfacet scores were calculated separately for participants in each partition of the sample (10%) using 214 the weights calculated in the rest of the sample (90%). To quantify the polyfacet scores' predictive 215 accuracy, their correlations with their respective target phenotypes (RFM, BMI, or BMR) were calculated. 216 We additionally compared whether predictive accuracies of the three indicators were different with the 217 "cocor" package, using dependent groups with nonoverlapping correlations.

218	Finally, as further tests of robustness, the analyses were repeated after additionally residualizing
219	the personality traits and physiological variables for education. This covariate was included because,
220	similarly with age and gender, it may influence personality traits as well as the physiological variables;
221	however, should the variables of interest influence educational attainment (for instance, personality
222	traits might; Mõttus et al. 2017), including this covariate would lead to an underestimation of the
223	correlations due to statistical overcontrol (Kim, 2016). Including education therefore is likely to lead to
224	more conservative estimates. Including this covariate also controls for other socioeconomic or lifestyle
225	factors in addition to academic attainment to the extent that people with different levels of education
226	are exposed to different environmental circumstances and lead different lives in general. Information on
227	education was available for 3,530 individuals (including 2,544 individuals with waist circumference data
228	available).
229	All statistical procedures were conducted in the RStudio environment using R version 3.6.1. All p

230 values were adjusted using false discovery rate.

231

3. Results

Prior to calculating their correlations with personality traits, we assessed the extent to which the three physiological characteristics correlated with each other. BMI correlated with RFM at r = .60/.86/.84(total sample/females/males), and with BMR at r = .41/.51/.53; RFM correlated with BMR at r = -

.37/.23/.16. This suggests a high degree of overlap between BMI and RFM, a moderate overlap between
BMI and BMR, and a relatively modest overlap between RFM and BMR. Further, this confirms that RFM
was distinguished from BMR fairly well and better than BMI was. Crucially, however, these correlations
also suggest that the similarity of RFM and BMR was not inflated due to using a partially overlapping set
of anthropometric measurements to calculate them. Specifically, fat mass has been reported to explain
7% or less of the variation in BMR (Dulloo et al., 2010; Johnstone et al., 2005) which translates to a

242 both men and women was lower than .26, this suggests that the formulas can appropriately distinguish 243 fat mass and metabolic rate/fat-free mass. 244 **3.1.** Personality Traits' Correlations With Physiological Variables 245 Table 1 shows the personality traits' correlations with RFM, BMI, and BMR, as well as the 246 comparison of their correlations with RFM and BMI. Of statistically significant associations, 247 Conscientiousness correlated negatively with all three physiological variables, Neuroticism correlated 248 positively with RFM and BMI, Openness correlated negatively with RFM, and Extraversion correlated 249 positively with BMR and BMI. All of the correlations were relatively weak $(|r| = .04 \dots .08)$. Each

maximum expected correlation of r = .26 between RFM and BMR. Because their observed correlation in

 $250 \qquad \text{physiological variable also correlated with at least one facet within each domain. Additionally adjusting}$

251 for education tended to attenuate the correlations (Supplementary Table 2), but many associations

remained significant. These results indicate that even if the individual associations are modest in size,

the three physiological characteristics correlate with distinguishable sets of personality traits.

254 The comparison of correlations indicated that RFM had a stronger correlation than BMI with the

domains Neuroticism and Openness as well as with various facets. In contrast, the facets E3:

Assertiveness, E5: Excitement-Seeking, and N6: Vulnerability had stronger correlations with BMI than

257 RFM (although the correlations of E5 and N6 with BMI were nonsignificant). The median absolute

258 correlation across all facets was .04 (M = .05) with RFM, whereas the median absolute correlation was

259 .03 (*M* = .04) with BMI. Together, these results suggest that BMI–personality trait correlations are mostly

260 driven by fat mass, but the correlation with E3: Assertiveness may instead be attributable to lean mass.

261 BMI appears to conflate the associations of personality traits with fat mass and lean body mass, and can

both underestimate and overestimate personality traits' links with adiposity to some degree.

263

241

264 **Table 1**

265 Personality Traits' Associations With Physiological Characteristics

266

	C	orrelations		Comparison of correlations with RFM			
Trait		correlations			and BMI		
Irait	RFM	BMI ¹	BMR	<i>t</i> ²	Stronger correlation		
Neuroticism	.07***	.04*	.01	2.82*	RFM		
Extraversion	.02	.04*	.05*	-2.04			
Openness	08***	02	.01	-4.96***	RFM		
Agreeableness	.01	01	03	1.79			
Conscientiousness	08***	06**	05**	-1.83			
N1: Anxiety	.04	.02	01	1.88			
N2: Angry Hostility	.06*	.03	.01	2.59*	RFM		
N3: Depression	.04	.01	01	2.72*	RFM		
N4: Self-Consciousness	.04	.03	.00	1.47			
N5: Impulsiveness	.15***	.14***	.12***	0.21			
N6: Vulnerability	.00	04	05*	3.17**	BMI		
E1: Warmth	.05*	.05**	.04*	-0.27			
E2: Gregariousness	.02	.02	.01	0.23			
E3: Assertiveness	.02	.06**	.10***	-2.83*	BMI		

E4: Activity	04	02	02	-1.2	
E5: Excitement-Seeking	.00	.03	.04	-2.61*	BMI
E6: Positive Emotions	.03	.06**	.04*	-2.12	
O1: Fantasy	.01	.04*	.05*	-2.21	
O2: Aesthetics	04	01	01	-2.55*	RFM
O3: Feelings	04	02	02	-1.17	
O4: Actions	10***	04*	.00	-4.86***	RFM
O5: Ideas	07**	01	.02	-5.23***	RFM
O6: Values	11***	06**	01	-4.81***	RFM
A1: Trust	07**	04*	01	-2.39*	RFM
A2: Straightforwardness	01	03	02	1.19	
A3: Altruism	.02	.00	01	1.05	
A4: Compliance	01	03	05*	1.99	
A5: Modesty	.04	.02	.00	1.59	
A6: Tender-Mindedness	.09***	.05**	.00	3.36**	RFM
C1: Competence	03	.00	.01	-2.39*	RFM
C2: Order	09***	08***	08***	-0.33	
C3: Dutifulness	06**	06**	06**	-0.36	
C4: Achievement Striving	05*	03	04	-1.26	
C5: Self-Discipline	06*	05*	04*	-0.92	

	C6: Deliberation	07**	04*	04*	-2.32*	RFM
267	Note. Coefficients are Spearma	an's <i>rho</i> s betw	een the pe	rsonality tra	ait and RFM, BM	l, or BMR. The
268	physiological characteristics as	well as perso	nality traits	are residua	lized for age, ag	e ² , and sex. The
269	comparison of correlations is b	based on Willia	ams' test. C	olumn "Stro	onger correlatior	า" indicates whether
270	RFM or BMI correlated with th	e trait significa	antly more	strongly that	an the other; if b	lank, then the
271	comparison indicated no statis	tically significa	ant differen	ce. Analyse	s with RFM and	comparison of
272	correlations with RFM and BM	I were done o	n the subsa	mple for w	hich we were ab	le to calculate RFM (<i>N</i>
273	= 2,547). RFM = relative fat ma	ass, BMI = bod	y mass inde	ex, BMR = b	asal metabolic ra	ate. All <i>p</i> -values are
274	adjusted for false discovery rat	te.				
275	¹ Correlations with BMI in an ov	verlapping san	nple have p	reviously b	een published by	y Vainik et al. (2015)
276	and used in the meta-analysis	by Vainik et al	. (2019), alt	hough thei	r objectives and	analytic strategy were
277	different from those of the cur	rent study.				
278	$^{2}df = 2,544.$					
279	*** p < .001, ** p < .01, * p < .	05.				
280						
281	3.2. Correlations With Adjuste	ed RFM and BI	MR			
282	Table 2 indicates the p	ersonality trai	ts' correlati	ons with RI	M (adjusted for	BMR) and BMR
283	(adjusted for RFM); results afte	er also adjustir	ng for educa	ation are re	ported in Supple	ementary Table 3.
284	Most of the significant associa	tions between	n RFM and p	personality	traits found in pr	revious analyses
285	remained significant, suggestir	ng that adiposi	ity associate	es with a va	riety of persona	lity traits even after
286	accounting for BMR. However,	the associatio	ons with the	e facets E1:	Warmth and C3-	-C6 were no longer

- 287 significant, suggesting that these traits largely associate with the common variance of RFM and BMR. At
- 288 the same time, an additional association emerged with N4: Self-Consciousness.
- 289
- 290 Table 2
- 291 Differential Associations of RFM and BMR With Personality Traits
- 292

Trait	RFM	BMR
Neuroticism	.07**	01
Extraversion	01	.03
Openness	07**	.03
Agreeableness	.03	03
Conscientiousness	05*	03
N1: Anxiety	.04	01
N2: Angry Hostility	.05	.01
N3: Depression	.04	.01
N4: Self-Consciousness	.05*	03
N5: Impulsiveness	.09***	.05
N6: Vulnerability	.03	04
E1: Warmth	.03	.02
E2: Gregariousness	.02	01
E3: Assertiveness	05	.10***
E4: Activity	03	02

02	.02
.02	.00
.00	.03
03	.01
03	01
09***	.02
08***	.04
10***	.03
06*	.01
01	01
.03	03
.02	05
.04	.00
.10***	05
03	.01
05*	04
03	03
03	03
04	02
04	03
	02 .02 .00 03 03 09*** 09*** 08*** 08*** 06* 01 .03 .02 .04 .10**** 03 05* 03 03 03 03 04 04

293 *Note.* Coefficients are Spearman's *rhos* between the personality trait and RFM or BMR. The physiological

variables as well as personality traits are residualized for age, age², and sex. Analyses were done on the

subsample for which we were able to calculate RFM (N = 2,547). RFM = relative fat mass, BMR = basal metabolic rate. All *p*-values are adjusted for false discovery rate.

297 *** *p* < .001, ** *p* < .01, * *p* < .05.

298

299 A different pattern emerged for BMR. The only association of BMR that remained significant 300 after controlling for RFM was with E3: Assertiveness. This could suggest that either a) many of the 301 correlations between BMR and personality traits can be attributed to the variance BMR shares with RFM, 302 or b) both RFM and BMR may independently associate with the traits, but the effects of the latter are 303 smaller than can be detected with our sample. However, it should be noted that the analyses reported in 304 Table 2 were run on a subsample of those involving BMR in Table 1. Therefore, some of the associations 305 may have become nonsignificant due to decreased sample size. To test this possibility, we reran the 306 regressions of BMR reported in Table 1 on the smaller subsample (N = 2,547). Indeed, most of the BMR-307 personality trait correlations became statistically nonsignificant (specifically, the correlations with the 308 Extraversion domain and the facets N6, E1, E6, O1, A4, C5, and C6). These results suggest that the p-309 values of these correlations were elevated because of the smaller sample size, while other formerly-310 significant associations (with the Conscientiousness domain, as well as the facets N5, C2, and C3) were 311 no longer significant due to accounting for RFM. Therefore, BMR may still associate with other traits 312 beyond Assertiveness, but detecting these associations may require larger sample sizes. 313 **3.3.** Personality Profiles

The personality profiles of RFM, BMI, and BMR are depicted in Figure 1. BMI's profile correlated with RFM's and BMR's equally: r = .89 (p < .001), suggesting that the shape of BMI's personality profile was highly similar with both. BMI's profile was also at roughly equal distances from RFM's and BMR's profiles (Euclidean distances of .16 and .12, respectively). Further, the profiles of RFM and BMR were less

318	similar to each other ($r = .62$, $p < .001$) than they were to BMI's profile; their distance from each other
319	was also somewhat higher (.26) than their distance from BMI's profile. It therefore seems that although
320	BMI correlated more strongly with RFM than BMR, its personality profile was nearly equally similar to
321	the profiles of RFM and BMR. Indeed, as Figure 1 illustrates, the profile of BMI tends to roughly
322	represent the average of the profiles of RFM and BMR. In sum, BMI's correlations with a range of
323	personality traits conflate the traits' correlations with RFM, a measure of fat mass, and BMR, a proxy for
324	lean body mass. It should be noted that the correlations between personality profiles tend to be higher
325	than the correlations between their respective phenotypes (e.g., physiological characteristics) because
326	different profiles are calculated based on the same trait ratings (see Revelle et al., 2021).
327	
328	Figure 1

329 Personality Profiles of the Physiological Variables



with the five domains and 30 facets of the NEO–PI–3. In contrast, correlations between the profiles were
 calculated based on only the pysiological variables' correlations with the thirty facets. RFM = relative fat

333 mass, BMI = body mass index, BMR = basal metabolic rate.

334

335 **3.4.** Facets as Predictors of the Physiological Variables

- 336 After adjusting for age, age², and sex, the polyfacet scores for RFM, BMI, and BMR correlated with their
- target phenotypes (i.e., formula-estimated RFM, BMI, or BMR) at *r* = .22, *r* = .20, and *r* = .18, respectively;
- 338 comparisons of these correlations indicated that the three predictive accuracies were statistically no
- 339 different, suggesting that the three indicators can be predicted from personality facets with
- 340 approximately equal accuracy (Table 3). After additionally accounting for education, the correlations
- 341 between the polyfacet scores and their target phenotypes were .18, .18, and .17 for RFM, BMI, and BMR,

- 342 respectively; again, there were no statistically significant differences between the predictive accuracies
- 343 of the three variables (Supplementary Table 4).
- 344
- 345 **Table 3**

346 Prediction of Physiological Characteristics from Polyfacet Scores

	Predictiv	Predictive accuracy ¹		edictive accuracies ²
Characteristic	r	95% CI	BMI (<i>z</i>)	BMR (z)
RFM	.22	.18; .26	1.12	1.34
BMI	.20	.17; .24		0.90
BMR	.18	.14; .21		

347 *Note.* Predictive accuracies are Pearson's correlations between the physiological characteristics and their

348 respective polyfacet scores after adjusting for age, age², and sex. Comparisons of predictive accuracies

349 indicate whether there were pairwise differences in the predictive accuracies of the three physiological

350 characteristics. Predictive accuracies of the three physiological characteristics were calculated and

351 compared in the subsample for which we were able to calculate RFM and RFM polyfacet scores (N =

352 2,539). RFM = relative fat mass, BMI = body mass index, BMR = basal metabolic rate.

¹All *p*-values are < .001 (adjusted for false discovery rate).

²All *p*-values are .369 (adjusted for false discovery rate).

355

4. Discussion

356 Various personality traits are hypothesized to be linked with adiposity, but the common practice

357 of using BMI to quantify adiposity may have led to a biased understanding of such associations.

358 Meanwhile, personality traits' possible associations with other physiological characteristics like BMR

359 have received little attention. The current study aimed to explore these correlations and to clarify 360 whether correlations between personality traits and BMI could be attributable to lean mass rather than 361 fat mass. Given that both adiposity and lean mass contribute to BMI (Romero-Corral et al., 2008), we 362 reasoned that some BMI-personality trait associations may be driven by lean mass, but be misattributed 363 to adiposity, while other, true associations with adiposity, may go undetected. 364 The results are broadly in line with these expectations. First, RFM—a more accurate index of 365 whole-body adiposity (Woolcott & Bergman, 2018)—correlated with various traits, notably with 366 Openness and a subset of its facets, more strongly and consistently than BMI did, suggesting that the use 367 of BMI can lead to underestimation of some personality-adiposity links. Second, Assertiveness 368 correlated more strongly with BMI than with RFM and also correlated consistently with BMR, suggesting 369 that this trait associates with lean mass and metabolic rate rather than fat mass. Third, comparisons of 370 personality profiles showed that people with high BMI are nearly equally similar in their personality 371 traits to those with high RFM and those with high BMR. Fourth and finally, personality facets were able 372 to predict each physiological characteristic above and beyond age, sex, and education. Collectively, 373 results also suggest that estimating additional physiological variables instead of solely relying on BMI 374 helps us better understand how psychological traits relate to physiology.

375 **4.1. Personality Traits' Associations With Adiposity**

We found BMI's associations with personality traits to be broadly similar to what past studies have found them to be (Gerlach et al., 2015; Jokela et al., 2013; Kim, 2016; Sutin et al., 2011; Sutin et al., 2018; Sutin & Terracciano, 2017): BMI most consistently associated with Conscientiousness and several of its facets, but also with Impulsiveness and certain facets of Extraversion (Warmth, Assertiveness, and Positive Emotions). BMI's association with Neuroticism was driven by its facet Impulsiveness which, as has been previously noted, includes two items related to excessive eating (Terracciano et al., 2009). BMI

also correlated with some facets of Openness, but, as also observed by Jokela et al. (2013), theseassociations tended to become nonsignificant after accounting for education.

384 Comparing personality traits' correlations with BMI and RFM, we found that both were largely 385 related to the same personality traits. The personality profiles of BMI and RFM also correlated highly—as 386 indicators of the same phenotype should. There were, however, some differences in which traits RFM 387 and BMI related to. Facets of Extraversion did not relate to RFM as consistently as they did to BMI, 388 suggesting that the BMI–Extraversion links may be driven by lean mass or body size in general: more 389 extraverted individuals are physically stronger, possibly due to their higher activity levels (Fink et al, 390 2016; Tolea et al., 2012), suggesting they should also have higher muscle mass. More notably, however, 391 RFM also correlated with Openness and several of its facets; these traits' associations with RFM were 392 stronger than with BMI and, despite some attenuation, correlations with Openness to Actions and 393 Openness to Values persisted after adjusting for education. Education therefore seems to partially, but 394 not entirely, account for the Openness-adiposity association. These results also offer a potential 395 resolution to the inconsistency that Openness relates to healthier eating habits, but does not reliably link 396 with BMI (Jokela et al., 2013; Lunn et al., 2014; Sutin & Terracciano, 2017): Openness did, after all, track 397 with lower body fat as estimated by RFM, but BMI was not able to reliably capture this association. One 398 could speculate that higher Openness may prevent excess adiposity through healthier eating habits; if 399 so, the facets Openness to Action and Openness to Values, both of which relate to decreased frequency 400 of consumption of traditional or convenience foods (Mõttus et al., 2012), largely seem to drive these 401 associations. These facets could therefore be relevant in dietary choices that promote healthy body 402 weight.

Finally, beyond the domains' and facets' correlations with adiposity, we were interested in
whether or to what extent adiposity as measured by RFM and BMI can be predicted from personality

405 traits. We found that the polyfacet scores were able to predict RFM and BMI with about equal accuracy:
406 the 30 facets explained 4.8% (.22²) of the variance in RFM and 4% (.20²) of the variance in BMI after
407 adjusting for age, age², and sex. To compare, the predictive accuracy for BMI was about as high as in a
408 previous study where 50 personality items explained 3.7% of the variance in BMI in a similar approach
409 based on penalized regression (Seeboth & Mõttus, 2018). In sum, the current results suggest that
410 adiposity can be predicted from the personality facets at least as accurately as BMI can.

411 **4.2.** Personality Traits and Metabolic Rate

412 BMR, similarly with RFM and BMI, also correlated with various personality traits. BMR was 413 similar to BMI in its correlations with personality traits: firstly, BMR and BMI shared correlations with 414 many of the individual traits, and secondly, the personality profile of BMI was as similar to the profile of 415 BMR as it was to the profile of RFM. Considering these results, BMI's correlations with personality traits 416 seem to reflect the contribution of lean mass about as strongly as that of fat mass. However, across all 417 analyses (i.e., after accounting for RFM and education), BMR was only associated consistently with one 418 facet of personality: Assertiveness. Given that Assertiveness also correlated more strongly with BMI than 419 with RFM, this trait appears to relate to lean mass/BMR rather than adiposity. Although previous studies 420 have found Assertiveness to correlate with both BMI and skinfold thickness (Terracciano et al., 2009; 421 Sutin et al., 2011), the current results suggest that such associations may have arisen due to shared 422 variance between fat mass and lean mass rather than a true correlation with adiposity in specific. 423 The current results differ from those of the two studies that have previously tested personality 424 traits' associations with BMR in humans. In the first of the two, energy expenditure at rest was mostly 425 uncorrelated to NEO–PI–R personality domains and facets in a sample of 441 individuals (Terracciano et 426 al., 2013); in the second, resting metabolic rate was negatively correlated with Extraversion as measured 427 with the Big Five Inventory in a sample of 40 college students (Bergeron et al., 2021). Although metabolic

428 rate was measured objectively in both studies, the sample size may have been insufficient to detect the 429 modestly sized association in the first study and was small enough to lead to spurious associations in the 430 second. In sum, both of the studies were likely unable to detect associations between metabolic rate and 431 personality traits as they are.

432 The current results are, however, in line with the associations between metabolic rate and 433 behaviour that various studies have found in non-human species. BMR's positive correlation with 434 Assertiveness, the facet of Extraversion reflecting dominance, forcefulness, and leadership tendencies, is 435 consistent with studies that have linked energy metabolism to behavioural traits like activity, dominance, 436 and aggressiveness in various other animal species (Careau & Garland, 2012). Because of the evident 437 conceptual similarity of these traits with Assertiveness, the current findings suggest that the association 438 present in various species from fish to mice to dogs (Careau & Garland, 2012) can also be found in 439 humans.

440 Although the specific mechanisms that link Assertiveness to metabolic rate cannot be 441 ascertained from cross-sectional associations, the result is consistent with the idea that higher metabolic 442 rate enables an individual to engage in energetically costly behaviours (Biro & Stamps, 2010)—that is, 443 metabolic rate could be a potential influence on interindividual variation in Assertiveness. If so, then the 444 association is also consistent with the personality theories that postulate a biological basis of personality 445 traits (e.g., the Five-Factor Theory; McCrae & Sutin, 2018). Indeed, the very fact that similar associations 446 are found across species suggests that the association may have biological underpinnings. It seems 447 plausible that the same genetic variants that underlie individual differences in metabolic rate might also 448 influence assertive or dominant behaviour: for instance, a positive genetic correlation has been found 449 between resting metabolic rate and exploratory behaviour, a trait that similarly represents activity and 450 risk-taking, in deer mice (Careau et al., 2011). Further, because adjusting for education had no effect on

451 the Assertiveness–BMR correlation, it also appears to be independent of certain socioeconomic or452 lifestyle factors.

453 Even though BMR only correlated consistently with one personality facet of the FFM 454 (Assertiveness), the 30 facets were collectively able to predict BMR about as strongly as they could 455 predict RFM and BMI: the polyfacet score explained 3.2% ($.18^2$) of the variance in BMR. This suggests 456 that personality traits, at least as measured by the NEO–PI–3, may contain about as much information 457 relevant to metabolic rate or lean mass as they contain information relevant to fat mass—although this 458 predictive accuracy may be, in part, due to the variance shared between lean mass and fat mass. Still, 459 regardless of the interpretation of the associations, it appears that personality facets can predict 460 metabolic rate/lean mass. Future studies could attempt to further maximize predictive accuracy relying 461 on even more detailed measures of personality (e.g., Seeboth & Mõttus, 2018). 462 4.3. Implications for Estimating Physiological Characteristics With Formulas 463 Based on the associations we found, it appears that indirectly estimated indicators of 464 physiological variables beyond BMI can be useful for delineating the associations between personality 465 and physiology. However, the suitability of using RFM, BMI, and BMR as indicators of their target 466 phenotypes should also be explicitly discussed in order to better evaluate the results of the current 467 study, as well as for the purposes of possible future studies. In this final section, we therefore discuss the 468 advantages and limitations of using the three indirectly-estimated indicators. 469 Adiposity (RFM) and metabolic rate (BMR) as estimated from simple anthropometric 470 measurements are inevitably less accurate estimates of their target phenotypes than more objective 471 measurements would be—as is the case for BMI. However, although BMI may be a poor measure of 472 adiposity at the individual level, it tracks the average adiposity of populations fairly closely (Speakman et 473 al., 2018) and is therefore suitable for discovering sample-level associations. The same should apply to

RFM and BMR: despite less-than-ideal accuracy, they can be used to study statistical associations. Moreover, RFM and BMR both capture a larger proportion of the variance in their target phenotypes than BMI does (Mifflin et al., 1990; Woolcott & Bergman, 2018); any measure that exceeds the accuracy of the standard index (BMI) should be assumed to be at least as useful, if not more so. And finally, as exemplified by the BMR–Assertiveness link, our results suggest that personality–physiology associations similar to those that have been found in other species via observation and experiments can be discovered in humans using self-report personality inventories. This additionally supports the utility of using formulas to estimate physiological variables. It is worth reiterating that the current results also suggest that adiposity and metabolic rate assessed with the formulas can be distinguished appropriately from each other. Although the personality profiles of RFM and BMR correlated strongly and the two indicators shared correlations with various personality traits (e.g., facets of Conscientiousness), such overlap is to be expected because individuals with higher fat mass also have higher lean mass and metabolic rate on average (Hopkins et al., 2016; Johnstone et al., 2005). That the RFM and BMR formulas can capture their target phenotypes is also

seen in their personality profiles: if RFM represents adiposity, BMR reflects lean mass, and BMI is a
composite index of the two, we would expect personality traits' correlations with BMI to lie somewhere
between their correlations with RFM and BMR. This is indeed what the results show (as is evident in

491 Figure 1).

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Finally, something can also be said about the suitability of BMI as an indicator of adiposity based on the three indicators' correlations with personality traits. Despite correlating with a somewhat different set of traits, RFM and BMI broadly led to similar conclusions as to which personality traits correlate with adiposity. Still, assuming that RFM is indeed a more accurate index of adiposity than BMI is, the results suggest that the strength of some personality traits' correlations with adiposity can be

both over- and underestimated when using BMI. Because various personality traits associated with RFM

498 more strongly than with BMI, more correlations seemed to be underestimated than overestimated when 499 using BMI (this was most clearly the case for facets of Openness), although BMI correlated more strongly 500 with facets of Extraversion, suggesting that this index tends to overestimate the Extraversion-adiposity 501 correlation. Meanwhile, the difference between the correlations of the facets of Conscientiousness with 502 RFM and BMI was small, supporting the results of previous studies (mostly done using BMI) that have 503 suggested reliable associations between Conscientiousness and adiposity (e.g., Gerlach et al., 2015; 504 Jokela et al., 2013; Kim, 2016; Sutin & Terracciano, 2017). All in all the results underscore that when 505 interpreting BMI's correlations with personality traits, it should be kept in mind that these associations 506 reflect the contribution of not only fat mass but also lean mass to BMI.

507 **4.4. Limitations**

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508 In characterizing personality traits' associations with adiposity and BMR, the main limitation was 509 that these characteristics were estimated using formulas and therefore were likely less accurate than 510 objective measurements would have been. Still, as discussed above, the results suggest that it is possible 511 to gain additional insight into personality-physiology associations using RFM and BMR besides BMI. 512 When it comes to prediction, more nuanced analyses would likely contribute to better predictive 513 accuracy of the phenotypes—for instance, the items of a personality inventory could be used instead of 514 facets (Seeboth & Mõttus, 2018). Finally, although the current sample was relatively homogeneous in 515 terms of ethnic background, formulas that estimate physiological characteristics should be used 516 cautiously in more diverse samples because they may perform differently in different populations (e.g. 517 Hasson et al., 2011).

518	5. Conclusions
519	Altogether, we found that adiposity and BMR were associated independently with personality
520	traits. For instance, people with higher adiposity scored lower on Openness, and people with higher
521	BMR scored higher on Assertiveness; these associations were consistent with what might have been
522	theoretically expected. Despite the similarity of the personality profiles of BMI and RFM, we found that
523	the use of BMI led to over- and underestimation of the associations between adiposity and certain
524	personality traits. Facets of personality can be used to predict adiposity and metabolic rate with similar
525	accuracy. All in all, the results also suggest that assessing the correlations of personality traits with
526	different physiological characteristics, even if the latter are estimated with formulas, can advance
527	knowledge on the possible contributors to differences in personality traits as well as health outcomes.

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