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## Antimicrobial resistance spectrum conferred by pRErm46 of emerging macrolide (multidrug)-resistant *Rhodococcus equi*

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**Running Title:** Resistance profile of pRErm46 from MDR *R. equi*

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47 **ABSTRACT**

48

49 Clonal multidrug resistance recently emerged in *Rhodococcus equi*, complicating the  
50 therapeutic management of this difficult-to-treat animal and human pathogenic  
51 actinomycete. The currently spreading multidrug-resistant (MDR) “2287” clone arose in  
52 equine farms upon acquisition, and co-selection by mass macrolide-rifampin therapy, of  
53 the pRErm46 plasmid carrying the *erm(46)* macrolides-lincosamides-streptogramins  
54 resistance determinant, and an *rpoB*<sup>S531F</sup> mutation. Here, we screened a collection of  
55 susceptible and macrolide-rifampin-resistant *R. equi* from equine clinical cases using a  
56 panel of 15 antimicrobials against rapidly growing mycobacteria (RGM), nocardiae and  
57 other aerobic actinomycetes (NAA). *R. equi*—including MDR isolates— was generally  
58 susceptible to linezolid, minocycline, tigecycline, amikacin and tobramycin according to  
59 *Staphylococcus aureus* interpretive criteria, plus imipenem, ceftioxin and ceftriaxone  
60 based on Clinical & Laboratory Standards Institute (CLSI) guidelines for RGM/NAA.  
61 Ciprofloxacin and moxifloxacin were in the borderline category according to European  
62 Committee on Antimicrobial Susceptibility Testing (EUCAST) criteria. Molecular analyses  
63 linked pRErm46 to significantly increased MICs for trimethoprim-sulfamethoxazole and  
64 doxycycline in addition to clarithromycin within the RGM/NAA panel, and to streptomycin,  
65 spectinomycin and tetracycline resistance. pRErm46 variants with spontaneous deletions  
66 in the class 1 integron (C1I) region, observed in ≈30% of *erm(46)*-positive isolates,  
67 indicated that the newly identified resistances were attributable to C1I’s sulfonamide  
68 (*sul1*) and aminoglycoside (*aaA9*) resistance cassettes and adjacent *tetRA(33)*  
69 determinant. Most MDR isolates carried the *rpoB*<sup>S531F</sup> mutation of the 2287 clone, while  
70 different *rpoB* mutations (S531L, S531Y) detected in two cases suggest the emergence  
71 of novel MDR *R. equi* strains.  
72

73 *Rhodococcus equi* is a soil-borne facultative intracellular actinomycete that causes chronic  
74 pyogranulomatous infections in animals and immunocompromised people (1-3). Young foals  
75 are particularly susceptible to *R. equi* carrying the equine-specific virulence plasmid pVAPA  
76 (4-7) and develop a life-threatening purulent bronchopneumonia with frequent  
77 extrapulmonary involvement (1, 8). No effective vaccine is currently available and control of  
78 the disease largely relies on prolonged courses of antimicrobial therapy (9, 10).

79 Many antimicrobials are active against *R. equi in vitro* but their clinical efficacy or  
80 applicability is compromised for a variety of reasons, such as poor intracellular penetration,  
81 reduced oral bioavailability, inadequate pulmonary pharmacokinetics, undesirable effects in  
82 foals, or lack of randomized efficacy studies (11). Intrinsic and mutational resistance,  
83 compounded by the permeability barrier afforded by a mycolic acid-containing cell envelope  
84 similar to that of *Mycobacterium* and other actinomycetes, may also result in inconsistent  
85 drug susceptibility, as reported for chloramphenicol,  $\beta$ -lactams or quinolones (12-22).  
86 Consequently, co-administration of a macrolide (erythromycin, later clarithromycin or  
87 azithromycin) and rifampin has remained the mainstay therapy against foal rhodococcosis  
88 since clinical experience in the 1980's (23, 24), further supported by *in vitro* (15, 25, 26) and  
89 *in vivo* (27) experimental data, demonstrated the efficacy of this drug combination (11).

90 While rifampin resistance caused by *rpoB* mutations has been regularly reported in *R.*  
91 *equi* (15, 20, 21, 28), resistance to macrolides only recently emerged, interestingly, always  
92 associated with rifampin resistance (29, 30). Dual resistance to macrolides and rifampin was  
93 first detected in the late 1990's in equine farms where mass macrolide-rifampin  
94 antibioprophyllaxis was systematically practiced (31, 32), and is more frequent among foals  
95 exposed to the macrolide-rifampin combination (33, 34). Macrolide resistance was found to  
96 be mediated by *erm(46)*, a novel self-transmissible rRNA methylase determinant conferring  
97 cross-resistance to lincosamides and streptogramins B (MLSB) (30). *erm(46)* is carried on a  
98 conjugative plasmid, pRErm46 (35), as part of a 6.9-kb transposon, TnRErm46. The latter is

99 highly mobile and becomes stabilized in *R. equi* by actively transposing onto the host genome  
100 and the pVAPA virulence plasmid (35). Despite pRErm46's high conjugal transferability,  
101 *erm(46)* remains largely restricted to a clonal *R. equi* subpopulation characterized by a unique  
102 *rpoB*<sup>S531F</sup> mutation, presumably as a result of strong co-selection driven by the combination  
103 therapy (35, 36). pRErm46 has recently been shown to also confer tetracycline resistance via  
104 a *tetRA(33)* determinant associated to a class 1 integron (C1I) (35) virtually identical to those  
105 found in the corynebacterial plasmid pTET3 (37).

106 The *erm(46)*-carrying multidrug-resistant (MDR) *R. equi* clone, designated 2287 (35,  
107 36), is increasingly prevalent across equine farms in the USA, is likely to spread  
108 internationally (36), and poses a substantial threat because of the lack of clinically-proven  
109 alternative antimicrobials to treat affected foals. The aim of this study was (i) to determine the  
110 genetic basis of macrolide resistance in *R. equi* equine isolates from Kentucky, USA, where  
111 foal rhodococcosis is endemic and MDR 2287 was first identified (31); and (ii) to assess the  
112 activity against MDR *R. equi* of a panel of antimicrobials used for susceptibility testing of  
113 closely related rapidly growing mycobacteria (RGM) and nocardiae/other aerobic  
114 actinomycetes (NAA).

115

## 116 MATERIALS AND METHODS

117 **Bacteria.** The *R. equi* isolates analyzed in this study were recovered from 70  
118 necropsied foals with severe rhodococcal infection diagnosed between 1989 to 2019 at the  
119 University of Kentucky Veterinary Diagnostic Laboratory (UKVDL). Necropsy specimens  
120 typically included lung, liver, small intestine, colon and any other organ/tissues with *R. equi*-  
121 compatible lesions. Isolation was performed on blood agar, Columbia (colistin/nalidix acid)  
122 agar and eosin methylene blue agar plates incubated at 37 °C microaerophilically for 24 h  
123 followed by a minimum additional 24 h aerobically. *R. equi* identification was based on  
124 standard criteria including colony morphology, gram staining, biochemical tests, CAMP-like

125 co-operative hemolysis with sphingomyelinase C-producing indicator bacteria (*S. aureus* or  
126 *Listeria ivanovii*) (38, 39), and PCR detection of the *R. equi*-specific *choE* and *vapA* gene  
127 markers (40, 41). *R. equi* isolates were stored at -80 °C until used.

128 ***In vitro* susceptibility testing.** Inocula containing  $\approx 1 \times 10^5$  CFU as verified by plate  
129 counting were prepared by the direct colony suspension method according to CLSI  
130 guidelines. Minimal inhibitory concentrations (MICs) for erythromycin and rifampin (and  
131 confirmatory determinations for trimethoprim-sulfamethazole [TMP-SMX] and tetracycline)  
132 were performed using gradient concentration Etest® strips as per the manufacturer's  
133 instructions (BioMérieux, Durham, NC and Basingstoke, Hampshire, UK) using  
134 *Staphylococcus aureus* ATCC 29213 and *Enterococcus faecalis* ATCC 29212 as controls.  
135 Since there are currently no approved breakpoint criteria for susceptibility testing of *R. equi* in  
136 horses, interpretation was extrapolated from MIC-based human interpretative criteria  
137 (erythromycin: S  $\leq 0.5$   $\mu\text{g/ml}$ , I = 1-4  $\mu\text{g/ml}$ , R  $\geq 8$   $\mu\text{g/ml}$ ; rifampin: S  $\leq 1$   $\mu\text{g/ml}$ , I = 2  $\mu\text{g/ml}$ , R  
138  $\geq 4$   $\mu\text{g/ml}$ ) (42). Susceptibility testing for antimicrobials against RGM and NAA was  
139 performed by the broth microdilution method using Sensititre™ RapMyco AST Plates (Trek  
140 diagnostics, Thermo Fisher Scientific, Grand Island, NY), comprising the following 15  
141 antimicrobials: amikacin, amoxicillin/clavulanic acid, cefepime, cefoxitin, ceftriaxone,  
142 ciprofloxacin, clarithromycin, doxycycline, imipenem, linezolid, minocycline, moxifloxacin,  
143 tigecycline, tobramycin, and TMP-SMX. *S. aureus* ATCC 29213 and *Mycobacterium*  
144 *peregrinum* ATCC 700686 were used as controls as per CLSI guidelines (43). Susceptibility  
145 to different aminoglycosides was determined by the diffusion method using the following  
146 disks (Oxoid, Basingstoke, Hampshire, UK): streptomycin (S, 25 $\mu\text{g}$ ), spectinomycin (SH, 25  
147  $\mu\text{g}$ ), gentamicin (CN, 50  $\mu\text{g}$ ), kanamycin (K, 30  $\mu\text{g}$ ) and apramycin (APR, 15  $\mu\text{g}$ ).

148 **Molecular characterization of MDR *R. equi* and pRErm46.** Total bacterial DNA  
149 was prepared by heating isolated colonies at 100 °C in 100  $\mu\text{l}$  of ultrapure water and  
150 centrifugation for 90 s at 16,000  $\times g$ . PCR reactions were carried out using Quick-load 2 $\times$  Taq

151 master mix (New England Biolabs) as previously described (30). Oligonucleotide primers  
152 used are listed in Table S1. RpoB substitutions were determined by sequencing an 827-bp  
153 *rpoB* region amplified by PCR from *R. equi* genomic DNA using previously reported  
154 oligonucleotide primers (20) and Kapa HiFi HotStart ReadyMix (Roche). PCR amplicons  
155 were purified from agarose gels using QIAquick kit (Qiagen, Manchester, UK) and sequenced  
156 by the Sanger method at Source BioScience (Nottingham, UK). The deduced amino acid  
157 sequence was aligned to the RpoB sequence from the *R. equi* 103S reference genome  
158 (accession no. FN563149) (13) using Clustal Omega  
159 (<https://www.ebi.ac.uk/Tools/msa/clustalo/>).

160 **Statistical analysis.** MIC<sub>50</sub>/MIC<sub>90</sub> values were determined as previously  
161 reported (44). Data were also analyzed by descriptive statistics including modal MICs (the  
162 most common MIC), average and range when appropriate. The statistical significance of the  
163 differences in the MICs was calculated using Mann-Whitney, Kruskal-Wallis or Fisher's  
164 exact test. Data were analyzed using GraphPad Prism version 9.1.0 software for Mac,  
165 GraphPad Software, San Diego, California USA ([www.graphpad.com](http://www.graphpad.com)).

166

## 167 RESULTS

168 **Resistance to macrolides and rifampin.** We analyzed a selection of 70 *R. equi*  
169 clinical strains recovered post-mortem from severe cases of foal rhodococcosis, including 15  
170 macrolide-resistant isolates identified through erythromycin susceptibility screening (modal  
171 MIC 24/32 µg/ml, range 8-96 µg/ml) (Table 1). All erythromycin-resistant (Erm<sup>R</sup>) isolates  
172 also showed high rifampin MICs (≥32 µg/ml), consistent with the previously reported dual  
173 Erm<sup>R</sup>/rifampin-resistant (Rif<sup>R</sup>) phenotype of the *R. equi* 2287 clone (35, 36). The remaining  
174 strains were erythromycin susceptible (Erm<sup>S</sup>, MIC<sub>90</sub> 0.75/1 µg/ml, range 0.016-6 µg/ml) and  
175 included 21 Rif<sup>R</sup> isolates (MIC<sub>90</sub> ≥32 µg/ml) (Table 1).

176           **Molecular characterization.** Using a previously described PCR test (30), *erm(46)*  
177 was detected in 14 of the 15 Erm<sup>R</sup>/Rif<sup>R</sup> isolates (Table 1). Sequencing of the *rpoB* gene  
178 determined that 13 of the 14 *erm(46)*-positive Erm<sup>R</sup>/Rif<sup>R</sup> isolates carried the Ser531Phe  
179 substitution (TCG→TTC transversion) characteristic (and so far unique) (35, 36) to the MDR  
180 2287 clone (35). The other *erm(46)*-positive isolate carried a distinct RpoB substitution also at  
181 position 531 within the rifampin resistance determining region (RRDR-1), Ser531Leu,  
182 previously described in *R. equi* several times (20, 21, 45).

183           The only *erm(46)*-negative Erm<sup>R</sup>/Rif<sup>R</sup> isolate also carried a distinct *rpoB* mutation,  
184 again at position 531, resulting in a Ser→Tyr substitution not described before in clinical  
185 isolates of *R. equi*. An *rpoB*<sup>S531Y</sup> substitution was recently reported by Huber et al. in an Erm<sup>R</sup>  
186 clonal *R. equi* population apparently restricted to the environment and which carried a variant  
187 *erm(46)* gene, designated *erm(51)* (46). Attempts to detect *erm(51)* in our *erm(46)*-negative  
188 Erm<sup>R</sup> isolate (and all other Erm<sup>R</sup> strains plus a selection of Erm<sup>S</sup> isolates) using different  
189 primer sets (Table S1) were unsuccessful. Moreover, the *rpoB* Tyr531 codon in the  
190 *erm(46)/erm(51)*-negative isolate (TAC) is different from that found in the *erm(51)*-positive  
191 clonal isolates (TAT) (46), indicating that both correspond to genetically distinct Erm<sup>R</sup>/Rif<sup>R</sup>  
192 *R. equi* subpopulations.

193           *erm(46)* was not detected in any of the 21 Erm<sup>S</sup>/Rif<sup>R</sup> isolates nor the 34 susceptible *R.*  
194 *equi* clinical isolates (Table 1). Analysis of the *rpoB* sequences from the Erm<sup>S</sup>/Rif<sup>R</sup> isolates  
195 identified different RpoB substitutions (to be described elsewhere). As expected, no *rpoB*  
196 mutations were found in a random selection of the Rif<sup>S</sup> isolates.

197           **Screening against antimicrobial panel for related pathogenic actinomycetes.** Most  
198 of the 15 RapMyco antimicrobials for RGM/NAA susceptibility testing were active against *R.*  
199 *equi* irrespective of the Erm/Rif phenotype. MIC<sub>90</sub>'s were ≤1 µg/ml for linezolid, minocycline  
200 and tigecycline, 1 µg/ml for ciprofloxacin and moxifloxacin, 1 to 2 µg/ml for doxycycline and  
201 tobramycin, 2 µg/ml for amikacin, ≤2 µg/ml for imipenem, and ≤4 µg/ml for ceftriaxone.



202 Higher MIC<sub>90</sub>'s were observed for cefoxitin, cefepime and amoxicillin-clavulanic (16 µg/ml)  
203 (Table 2).

204 Significant MIC differences between Erm<sup>R</sup> and Erm<sup>S</sup> isolates were observed, as  
205 expected, for the macrolide clarithromycin (8 to ≥16 µg/ml vs 0.06-0.5 µg/ml, respectively; *P*  
206 <0.0001), but interestingly also TMP-SMX (>8 and 2 µg/ml, respectively; *P* = 0.0025) (Table  
207 2). The differential TMP-SMX susceptibility was confirmed using gradient MIC Etest strips  
208 (≥32 µg/ml for Erm<sup>R</sup>, 0.5-1 µg/ml for Erm<sup>S</sup>; Table 3). Diffusion essays with sulfamethoxazole  
209 disks showed it was linked to sulfonamide resistance (no halo for most Erm<sup>R</sup> isolates,  
210 27.3±2.4 mm mean diameter for Erm<sup>S</sup> ones).

211 **pRErm46-mediated sulfonamide resistance.** pRErm46's CII carries a *sulI* gene  
212 (35) (Fig. 1) that could explain the association between macrolide and TMP-SMX resistances  
213 in MDR *R. equi* (47). Using specific PCR primers (Table S1), we confirmed that all isolates  
214 displaying sulfamethoxazole resistance (Smx<sup>R</sup>) possessed *sulI* and associated CII genes,  
215 whereas all Smx<sup>S</sup> strains were negative (Table 3).

216 A notable exception was the prototype strain of the MDR 2287 clone, PAM 2287 (35),  
217 which we tested as a control. Despite carrying the *sulI* gene (35), PAM 2287 was susceptible  
218 to TMP-SMX (MIC 1 µg/ml) unlike most members of the 2287 clonal population (MIC >32  
219 µg/ml, determined by Etest). This is likely because, in PAM 2287's pRErm46, a copy of the  
220 TnRErm46 transposon is inserted within the CII's *aadA9* gene (35), preventing read-through  
221 transcription of the downstream *sulI* cassette from the integron's promoter (Fig 1).

222 While there was 100% correlation between the presence of a functional *sulI* and  
223 sulfonamide resistance, not all *erm(46)*-positive Erm<sup>R</sup> isolates exhibited an Smx<sup>R</sup> phenotype.  
224 Specifically, six (43%) of the 14 *erm(46)*-positive isolates showed low TMP-SMX MICs  
225 similar to those of the Erm<sup>S</sup> group (1 - 2 µg/ml vs >8 µg/ml for Erm<sup>R</sup> strains, *P* = 0.69) (Table  
226 3). This profile would be expected in case of pRErm46 plasmid loss with retention of the

227 *erm(46)* (TnRErm46) element by transposition onto the host genome, observed in a  
228 proportion of MDR *R. equi* isolates (35, 36).

229 To examine the above possibility, we assessed the presence of pRErm46 indirectly by  
230 using the CII-associated *tetRA(33)* tetracycline resistance determinant (36) as a phenotypic  
231 marker using Etest strips, and directly by PCR mapping with primers targeting the plasmid  
232 backbone and the CII-*tetRA(33)* region (Table S1). This analysis showed that three of the six  
233 *erm(46)*-positive/Smx<sup>S</sup> isolates were indeed negative to all pRErm46 markers. However, the  
234 three others retained the pRErm46 backbone but were negative to CII and *tetRA(33)*,  
235 consistent with the deletion of this region, previously observed in a subset of MDR 2287  
236 isolates (36). The data also revealed a perfect correlation between the sulfonamide and  
237 tetracycline susceptibility phenotypes (Table 3), except in one case. This single Smx<sup>R</sup> and  
238 tetracycline susceptible isolate was positive to all pRErm46 markers except *tetRA(33)* (Table  
239 3), suggesting a specific deletion of the latter. The pRErm46 CII/*tetRA(33)* deletions were  
240 confirmed by PCR mapping using external primers (Fig. 1, Table S1).

241 Collectively, our results indicate that pRErm46 also confers resistance to sulfonamides  
242 in addition to macrolides and tetracycline in *R. equi*, and that complete or partial deletions of  
243 the CII-*tetRA(33)* region (Fig 1) take place in a proportion of the plasmid population (4 of 14  
244 plasmids analyzed), resulting in corresponding loss of sulfonamide and/or tetracycline  
245 resistance.

246 **Other pRErm46-associated antimicrobial resistances.** pRErm46's CII also codes  
247 for an aminoglycoside-modifying enzyme identical to the ANT(3'')-Ia family  
248 adenylyltransferase encoded by the *aadA9* cassette from the homologous CII of the pTET3  
249 plasmid from *Corynebacterium glutamicum* LP-6 (37). Consistent with the substrate range of  
250 the corynebacterial AadA9 enzyme (37), disk diffusion assays showed that all *R. equi* isolates  
251 carrying a pRErm46 plasmid with intact CII ( $n = 8$ ) were resistant to streptomycin and  
252 spectinomycin but susceptible to a range of other aminoglycosides (gentamicin, kanamycin

253 and apramycin in addition to amikacin and tobramycin), whereas those carrying CII-deleted  
254 plasmids (and pRErm46-negative isolates) were susceptible (Table 4). Of note, the prototype  
255 MDR 2287 strain, PAM 2287, which carries a TnRErm46-disrupted *aadA9* cassette (see  
256 above and Fig. 1), was also susceptible to streptomycin and spectinomycin.

257 Finally, while there were no significant differences in doxycycline susceptibility  
258 between *erm(46)*-positive (Erm<sup>R</sup>) and -negative (Erm<sup>S</sup>) isolates in the global analysis (Table  
259 2), consistent with previous reports (34, 35), there was a small but significant increase in the  
260 MIC for the *erm(46)*-positive Erm<sup>R</sup> isolates carrying *tetRA(33)* compared to those lacking the  
261 tetracycline resistance determinant (modal MIC 2 µg/ml, range 1-2 µg/ml vs 1 µg/ml, range  
262 0.12-1 µg/ml; *P* <0.0001). These data indicate that the TetA(33) efflux pump system encoded  
263 in pRErm46 confers some degree of cross-resistance to the semisynthetic tetracycline,  
264 doxycycline.

265

## 266 DISCUSSION

267 The emergence of MDR *R. equi* renders ineffective the macrolide-rifampin  
268 combination used as mainstay therapy against foal rhodococcosis (11) and, often, in the  
269 treatment of human rhodococcal infections (2, 19). To aid in the identification of alternative  
270 drugs, we used a panel of antimicrobials for susceptibility testing of RGM and NAA, to which  
271 *R. equi* is phylogenetically, pathogenically and physiologically closely related. We found that  
272 the pRErm46 MLSB resistance plasmid of MDR *R. equi* (35) also confers resistance to  
273 sulfonamides and, at low level, doxycycline (see below), both recognized as potential  
274 therapeutic options against *R. equi* (3, 9, 11, 23, 48, 49).

275 Sulfonamide resistance is linked to the *sulI* cassette of pRErm46's CII. Horizontally  
276 acquired *sulI* genes encode alternative dihydropteroate synthase (DHPS) enzymes that  
277 functionally complement the core bacterial DHPS, allowing to bypass the inhibitory effect of  
278 sulfonamides (47). Doxycycline resistance is conferred by the *tetRA(33)* element adjacent to

279 the CII (Fig 1), previously linked to pRErm46-specified tetracycline resistance (35), which  
280 we confirm here. We also report that pRErm46 additionally encodes streptomycin and  
281 spectinomycin resistance via pRErm46's CII *aadA9* cassette (37) (Fig 1).

282 Our data show that all the newly identified non-MLSB resistances can be lost by either  
283 (i) spontaneous deletion of the plasmid's CII-*tetRA*(33) region (36), presumably by  
284 homologous recombination between the flanking directly repeated *IS6100* copies (50, 51)  
285 (Fig 1); or (ii) pRErm46 curing after transposition of the *TnRErm46* element to the host  
286 genome (35). Although based on the analysis of a limited number of *Erm<sup>R</sup>/Smx<sup>S</sup>/Tet<sup>S</sup>* isolates,  
287 both events appear to occur at similar frequency in the MDR *R. equi* population. pRErm46  
288  $\Delta$ CII-*tetRA*(33) variants are increasingly observed among clonal MDR 2287 isolates (36)  
289 (29% in this study), possibly reflecting genetic dispensability due to lack of antibiotic  
290 selection, because neither sulfonamides nor streptomycin, spectinomycin or tetracycline (and  
291 doxycycline) are used in the mass *R. equi* antibioprophyllaxis at equine farms. We also show  
292 that deletion of the *tetRA*(33) locus alone, causing loss of tetracycline –but not the CII-  
293 specified sulfonamide (and streptomycin-spectinomycin)– resistance (Fig 1, Tables 3 and 4),  
294 can also occur, as detected in one of the *Erm<sup>R</sup>* isolates.

295 Consistent with the known substrate range of tetracycline resistance mechanisms (52),  
296 pRErm46's TetA(33) efflux pump seems inactive against minocycline and tigecycline. For  
297 doxycycline, a word of caution is in order because the *tetRA*(33) determinant was associated  
298 with a statistically significant increase in the MIC from 0.5-1  $\mu$ g/ml to 2  $\mu$ g/ml (Table 3).  
299 While perhaps not clinically relevant in humans, the poor oral bioavailability of doxycycline  
300 in adult horses (53, 54) makes MDR *R. equi* isolates carrying pRErm46 plasmids with an  
301 intact *tetRA*(33) locus to be classified as doxycycline resistant according to CLSI's criteria for  
302 this animal species (PK/PD breakpoint  $\geq$ 0.5  $\mu$ g/ml) (42). Although doxycycline's  
303 pharmacokinetic variables are more favorable in foals, maximum serum activity values ( $C_{max}$   
304 2.54 and 2.89  $\mu$ g/ml after intragastric administration of 10 and 20 mg/kg) (48) would remain

305 close to the MIC in MDR 2287 (2 µg/ml), meaning it might be difficult to achieve the two- to  
306 four-times over-MIC concentrations required for time-dependent antibacterial activity (55).  
307 Moreover, doxycycline may also contribute to pRErm46 selection, either in *R. equi* or in other  
308 members of the environmental microbiota in which it can be potentially maintained (56)

309 In the absence of specific interpretive guidelines, *S. aureus* breakpoints are tentatively  
310 applied to *R. equi* (43, 57). This may in certain cases be inapplicable because of lack of  
311 breakpoint criteria for some antimicrobials, and even be questionable given the significant  
312 drug susceptibility-relevant physiological differences between *S. aureus* and *R. equi*. For  
313 example, β-lactam susceptibility testing in *S. aureus* relies on the cephalosporin, cefoxitin, as  
314 a marker of *mecA/mecC*-mediated methicillin-resistance (MRSA) and predictor of resistance  
315 to all antibiotics within this group, including cepheems and carbapenems (58). With these  
316 criteria, *R. equi* would be resistant to cefoxitin and, by inference, generally to all β-lactams.  
317 This is at odds with an interpretation based on RGM and/or NAA criteria (43, 59), with which  
318 *R. equi* would be susceptible to imipenem (MIC<sub>90</sub> ≤2 µg/ml), ceftriaxone (MIC<sub>90</sub> ≤4 µg/ml)  
319 and cefoxitin (MIC<sub>90</sub> 16 µg/ml), and intermediate to cefepime and amoxicillin/clavulanate  
320 (MIC<sub>90</sub> 16 µg/ml). The RGM/NAA guidelines take into account that MICs tend to be higher  
321 in this bacterial group owing to their less permeable cell envelope or typical abundance of  
322 intrinsic resistance mechanisms (e.g. the *R. equi* 103S genome encodes 10 putative β-  
323 lactamase homologs and an array of 11 penicillin-binding proteins [13]).

324 To circumvent these problems, we interpreted the *R. equi* susceptibility data by  
325 integrating CLSI's criteria for *S. aureus* (57) and RGM/NAA (43, 59), and the EUCAST  
326 criteria for both *S. aureus* and corynebacteria (60). Based on MIC<sub>90</sub> values, *R. equi* clinical  
327 isolates, including Erm<sup>R</sup> (MDR) strains, can be considered to be generally susceptible to  
328 linezolid, minocycline, tigecycline, amikacin and tobramycin. Linezolid and tigecycline reach  
329 satisfactory plasma and pulmonary concentrations and would be adequate candidates,  
330 eventually in combination, to treat *R. equi* infections (61). However, both are listed as

331 critically important antibiotics in human medicine (62) and their use in animals is restricted.  
332 Minocycline can be administered orally with good bioavailability and offers potentially  
333 favorable pharmacokinetic characteristics to treat equine rhodococcosis (63, 64), although a  
334 caveat is that it was found to be inactive against *R. equi* in a nude mouse infection model (65).  
335 Amikacin was also found to be weakly effective against *R. equi* in nude mice, possibly related  
336 to a high frequency of resistant mutants and limited intracellular uptake (65), while the  
337 effectiveness window for tobramycin according to pharmacokinetic studies in horses (MIC of  
338 1 to 2 µg/ml) (66) may be too close to the *R. equi* MIC. However, both aminoglycosides may  
339 be useful in combination to other antimicrobials. Although assumed to be largely resistant to  
340 β-lactams based on the relatively high MICs (15, 19, 67-73), the application of the  
341 RGM/NAA breakpoints may enable a wider the use of these antibiotics against MDR *R. equi*,  
342 eventually in combination with β-lactamase inhibitors and other antimicrobials, as  
343 exemplified with the highly drug-resistant *Mycobacterium abscessus* (74). While β-lactams  
344 do not concentrate intracellularly, they permeate into mammalian cells and display  
345 intracellular activity (75, 76), as observed with ceftiofur and imipenem in equine monocyte-  
346 derived macrophages infected with *R. equi* (77). With a MIC<sub>90</sub> of 1 µg/ml (Table 2),  
347 ciprofloxacin and moxifloxacin would be also largely active against *R. equi*, consistent with  
348 previously reported data (2, 11, 17, 78). However, susceptibility to ciprofloxacin would be  
349 borderline while moxifloxacin would fall in the resistance category according to EUCAST  
350 criteria, more stringent based on PK/PD analyses and the potential impact of low-level  
351 resistance on clinical outcomes (resistance breakpoints for *S. aureus*/corynebacteria >1 µg/ml  
352 for ciprofloxacin, 0.25/0.5 µg/ml for moxifloxacin) (60). Of note, a poor response to  
353 fluoroquinolones has been invoked in human *R. equi* infections (14, 17, 69, 71, 79, 80).  
354 Quinolones are also associated with risks of arthropathy in foals (11).

355 Finally, our data further confirm the predominance of the MDR 2287 clonal  
356 population, characterized by the *rpoB* Ser531Phe mutation (35, 36), among Erm<sup>R</sup> equine

357 clinical isolates (90%). One pRErm46-harboring isolate carried a distinct *rpoB* substitution,  
358 Ser531Leu, indicative of spillover of pRErm46 to other *R. equi* genotypes and potential  
359 emergence of novel MDR clones carrying different *rpoB* mutations (36). We also identified  
360 an Erm<sup>R</sup>/Rif<sup>R</sup> isolate with a novel *rpoB* Ser531Tyr mutation (recently also found in an  
361 emerging MDR clone in Kentucky) (36) in which neither *erm(46)*/pRErm46 markers nor the  
362 *erm(51)* variant recently discovered in environmental isolates of *R. equi* (46), were detected.  
363 This strain warrants further investigation and indicates that diverse resistance mechanisms are  
364 being actively selected in *R. equi* in response to the antibiotic pressure imposed by the  
365 macrolide-rifampin combination therapy commonly used at equine farms.

366 In summary, this study adds to the known resistance spectrum of pRErm46  
367 (macrolides, lincosamides, streptogramins and tetracycline) four additional antimicrobials  
368 (sulfonamides/trimethoprim-sulfamethoxazol, doxycycline, streptomycin and spectinomycin),  
369 and identifies alternative drugs for potential consideration in the treatment of infections by  
370 MDR *R. equi*.

371

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378

## 379 **AUTHORS CONTRIBUTIONS**

380 EE: study design, research, data analysis and interpretation, manuscript drafting, critical  
381 revisions. MS: experimental design, molecular and susceptibility studies, data analysis and  
382 interpretation, manuscript writing, critical revisions. JF, MP: susceptibility determinations. JV-B:  
383 study design, conceptualization, data analysis and interpretation, writing of final manuscript.



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615

616 **FIGURE LEGEND**

617

618 **Fig. 1.** Schematic representation of the antimicrobial resistance elements of pRErm46 from  
619 *R. equi* MDR 2287. The TnRErm46 mobile element is represented in pale red with the  
620 ISRe46 transposase highlighted in deep red and the macrolides-lincosamides-streptogramins  
621 (MLS) resistance determinant *erm*(46) in black. TnRErm46 is highly mobile and can be  
622 present in several copies in the pRErm46 plasmid and the host genome, including the  
623 pVAPA virulence plasmid (35). The class 1 integron (C1I) with integrase gene (*intI1*) and  
624 aminoglycoside (*aadA9*) and sulfonamide (*sul1*) resistance cassettes are represented in  
625 yellow, the adjacent *tetRA*(33) tetracycline resistance element in green, and the flanking  
626 IS6100 copies in magenta. The C1I and *tetRA*(33) elements are found in a 100% identical  
627 (but reverse) arrangement in the pTET3 plasmid from *C. glutamicum* (35,37), suggesting  
628 that they can be mobilized en bloc via the IS6100s. Deletion of both elements, or only  
629 *tetRA*(33), presumably via homologous recombination between the directly repeated IS6100  
630 copies (35, 50, 51), are observed in a proportion of the MDR 2287 isolates and result in loss  
631 of the corresponding resistances (see text). The pRErm46 plasmid of the prototype strain of  
632 the MDR 2287 clone, PAM 2287, is unique in that it carries one of its three TnRErm46  
633 copies inserted within the C1I's *aadA9* (streptomycin/spectinoycin resistance) cassette (35).  
634 This insertion does not affect tetracycline resistance despite abolishing *sul1*-mediated  
635 sulfonamide resistance, indicating that the IS6100-flanked *tetRA*(33) determinant is  
636 expressed independently of the integron's promoter that drives the expression of C1I's  
637 *aadA9* and *sul1* cassettes (Pc). The gene markers for PCR detection of pRErm46's backbone  
638 (Table 3), and the position of the oligonucleotide primers for confirmation of the pRErm46  
639 deletions (Table S1), are indicated.

**Table 1.** Erythromycin and rifampicin MICs and presence/absence of *erm(46)* in *R. equi* isolates.

Phenotype	n	<i>erm(46)</i>	MIC <sub>50</sub> /MIC <sub>90</sub> (range) µg/ml	
			Erm	Rif
Erm <sup>R</sup> / Rif <sup>R</sup>	14	+	24 / 64 (8-96) <sup>a</sup>	≥32 / ≥32 (≥32) <sup>b</sup>
	1	-	24	≥32
Erm <sup>S</sup> /	Rif <sup>S</sup>	-	0.5 / 0.75 (0.016-1) <sup>a</sup>	0.12 / 0.5 (0.032-0.75) <sup>b</sup>
	Rif <sup>R</sup>	-	0.5 / 1 (0.125-6) <sup>a</sup>	≥32 / ≥32 (8-≥32) <sup>b</sup>

<sup>a</sup>  $P < 0.0001$ , Mann-Whitney test; comparison of Erm<sup>R</sup> vs Erm<sup>S</sup>.

<sup>b</sup>  $P < 0.0001$ , Kruskal-Wallis test; comparison of Erm<sup>S</sup>/Rif<sup>S</sup> vs Erm<sup>R</sup>/Rif<sup>R</sup> or Erm<sup>S</sup>/Rif<sup>R</sup>.



**Table 2.** *In vitro* activity against *R. equi* of 15 antimicrobials used in susceptibility testing of rapidly growing mycobacteria, nocardiae and aerobic actinomycetes (RapMyco panel). Asterisks indicate statistically significant differences between Erm<sup>R</sup> (MDR) and Erm<sup>S</sup> isolates.

	MIC <sub>50</sub> / MIC <sub>90</sub> (range) µg/ml				
	Global	Erm <sup>R</sup> <sup>a</sup>	Erm <sup>S</sup>		
			All	Rif <sup>S</sup>	Rif <sup>R</sup>
Amikacin	≤1/2 (≤1-4)	≤1/2 (≤1-2) <sup>b</sup>	≤1/2 (≤1-4)	≤1/2 (≤1-2)	≤1/2 (≤1-4)
Amox/clavul. <sup>c</sup>	8/16 (4-32)	8/16 (8-16)	8/16 (4-32)	8/16 (4-32)	8/16 (8-16)
Cefepime	8/16 (2 to-32) <sup>d</sup>	4/8 (2-8)	8/16 (2->32)	8/8 (2-16)	8/16 (4->32)
Cefoxitin	16/16 (≤4-32) <sup>d</sup>	8/16 (8-16)	16/16 (≤4-32)	16/16 (≤4-16)	8/16 (8-32)
Ceftriaxone	≤4/≤4 (≤4) <sup>d</sup>	≤4/≤4 (≤4)	≤4/≤4 (≤4)	≤4/≤4 (≤4)	≤4/≤4 (≤4)
Ciprofloxacin	1/1 (0.5-2)	1/1 (0.5-1)	1/1 (0.25-2)	1/1 (0.25-1)	1/1 (0.5-2)
Clarithromycin	≤0.06/>16 (≤0.06->16)	>16/>16 (8->16) <sup>*e</sup>	≤0.06/≤0.06 (≤0.06-0.5) <sup>*e</sup>	≤0.06/≤0.06 (≤0.06-0.12)	≤0.06/≤0.06 (≤0.06-0.5)
Doxycycline <sup>f</sup>	1/1 (≤0.12-2)	1/2 (0.5-2) <sup>f</sup>	1/1 (≤0.12-1)	1/1 (≤0.12-1)	1/1 (0.5-1)
Imipenem	≤2/≤2 (≤2-16) <sup>d</sup>	≤2/≤2 (≤2-4)	≤2/≤2 (≤2-16)	≤2/≤2 (≤2-16)	≤2/≤2 (≤2-4)
Linezolid	≤1/≤1 (≤1)	≤1/≤1 (≤1)	≤1/≤1 (≤1)	≤1/≤1 (≤1)	≤1/≤1 (≤1)
Minocycline	≤1/≤1 (≤1) <sup>g</sup>	≤1/≤1 (≤1)	≤1/≤1 (≤1)	≤1/≤1 (≤1)	≤1/≤1 (≤1)
Moxifloxacin	0.5/1 (≤0.25-1)	0.5/1 (0.5-1)	0.5/1 (≤0.25-1)	0.5/1 (≤0.25-1)	0.5/1 (≤0.25-1)
Tigecycline	0.12/0.25 (≤0.015-0.25)	0.12/0.12 (0.06-0.12)	0.12/0.25 (≤0.015-0.25)	0.12/0.25 (≤0.015-0.25)	0.12/0.25 (0.06-0.25)
Tobramycin	≤1/2 (≤1-2)	≤1/≤1 (≤1) <sup>b</sup>	≤1/2 (≤1-2) <sup>h</sup>	≤1/≤1 (≤1-2)	≤1/2 (≤1-2)
TMP/SMX <sup>i</sup>	2/2 (1->8)	2/>8 (1->8) <sup>*j</sup>	2/2 (1-2) <sup>*j</sup>	2/2 (1-2)	2/2 (1-2)

<sup>a</sup> Erm<sup>R</sup> strains were also Rif<sup>R</sup>.

<sup>b</sup> See also Table 4.

<sup>c</sup> Amoxicillin/clavulanate (2:1) expressed as MIC values for amoxicillin.

<sup>d</sup> The most active β-lactams were imipenem (MIC<sub>90</sub> ≤2 µg/ml, RGM/NAA resistance breakpoints ≥32/≥16 µg/ml), ceftriaxone (MIC<sub>90</sub> ≤4 µg/ml, NAA resistance breakpoint ≥64 µg/ml) and cefoxitin (MIC<sub>90</sub> = 16 µg/ml, RGM resistance breakpoint ≥128 µg/ml); cefepime and amoxicillin/clavulanate (MIC<sub>90</sub> = 16 µg/ml) would be in the intermediate range for NAA.

<sup>e</sup> \*P < 0.0001, Mann-Whitney test; comparison of Erm<sup>R</sup> vs Erm<sup>S</sup>.

<sup>f</sup> See also Table 3.

<sup>g</sup> Minocycline MICs were all ≤1 µg/ml, i.e. the lower detection limit of RapMyco, which is above the susceptibility/resistance breakpoints for horses (≤0.12/≥0.5 µg/ml) but within the susceptible category for human use (≤4/≥16 µg/ml).

<sup>h</sup> 14% of *R. equi* isolates (all in the Erm<sup>S</sup> category) had tobramycin MICs of 2 µg/ml, which while within the CLSI susceptibility range for RGM and NAA (susceptibility/resistance breakpoints ≤2/≥8 µg/ml and ≤4/≥16 µg/ml, respectively), is above the EUCAST resistance breakpoint for *S. aureus* (>1 µg/ml).

<sup>i</sup> TMP/SMX: Trimethoprim/sulfamethoxazole (1:19), expressed as the MIC values of trimethoprim.

<sup>j</sup> \*P < 0.0025, Mann-Whitney test; comparison of Erm<sup>R</sup> vs Erm<sup>S</sup>.

**Table 3.** Sulfonamide (TMP/SMX), tetracycline (Tet) and doxycycline (Dox) susceptibility and relationship with pRErm46 components.

Phenotype	<i>n</i>	pRErm46 markers				MIC (range) $\mu\text{g/ml}^{\text{d}}$		
		TnRErm46 <sup>a</sup>	<i>sull</i> <sup>b</sup>	<i>tetRA</i> (33)	plasmid backbone <sup>c</sup>	TMP-SMX	Tet	Dox
Erm <sup>R</sup>	5	+	+	+	+	$\geq 32$ ( $\geq 32$ ) * <sup>e</sup>	16 (12-32) * <sup>f</sup>	2 (1-2) * <sup>g</sup>
	1	+	+	-	+	$\geq 32$	1	0.5
	3	+	-	-	+	0.5 (0.5-1)	0.25 (0.25-0.5)	0.5 (0.5)
	3	+	-	-	-	0.5 (0.5-1)	0.25 (0.25-0.38)	1 (0.5-1)
	1	-	-	-	-	0.5	0.25	0.5
Erm <sup>S</sup> <sup>h</sup>	6	-	-	-	-	0.5 (0.5-1.5)	0.5 (0.25-0.75)	1 (0.5-1)

<sup>a</sup> Determined by PCR detection of *erm*(46) and *ISRe46* transposase (35) (see Fig. 1).

<sup>b</sup> *sull* gene was always detected together with other CII gene markers (*IS6100*, *int1*, *addA9* and *qacE*) (see Fig. 1).

<sup>c</sup> Determined using gene markers *mobP*, *mobC*, *pRErm\_0200* and *pRErm\_0740* (35) (see Fig. 1).

<sup>d</sup> Determined by eTest for TMP/SMX and Tet, RapMycro microdilution plate for Dox. Data expressed as modal MIC.

<sup>e</sup> \**P* < 0.0001, Mann-Whitney test; comparison of *sull*-positive Erm<sup>R</sup> vs all *sull* negative.

<sup>f</sup> \**P* < 0.0001, Mann-Whitney test; comparison of *tetRA*(33)-positive Erm<sup>R</sup> vs all *tetRA*(33) negative.

<sup>g</sup> \**P* = 0.0004, Mann-Whitney test; comparison of *tetRA*(33)-positive Erm<sup>R</sup> vs all *tetRA*(33) negative.

<sup>h</sup> Erm<sup>S</sup> *R. equi* isolates (*n* =6 randomly selected) were included as controls.



**Table 4.** Susceptibility to aminoglycosides and presence of pRErm46's CII in Erm<sup>R</sup> (MDR) *R. equi* isolates

Phenotype	CII <sup>a</sup>	n	Mean diameter in mm (range) <sup>b</sup>					MIC µg/ml (range) <sup>c</sup>	
			Str	Spt	Gen	Kan	Apr	Amk	Tob
Erm <sup>R</sup>	+	8	1.5 * (0-12)	0 * (0)	28.2 (25-31.5)	18.1 (16-21.5)	27.9 (24.5-31)	≤1 (≤1-2)	≤1 (≤1)
	-	7	24.8 (21.5-28)	17.9 (15-20.5)	27.7 (24-31)	18 (16-22)	25.3 (19-30)	≤1 (≤1)	≤1 (≤1)
Erm <sup>S</sup> <sup>d</sup>	-	6	19.3 (22-26)	18.7 (15-24)	24.9 (26-30)	18.3 (16-21)	28.1 (28-30)	≤1 (≤1-2)	≤1 (≤1)

<sup>a</sup> Class 1 integron, +/- means positive/negative to PCR markers for *addA9*, *intl1*, *qacE*, *sul1* and IS6100 (35) (see Fig. 1).

<sup>b</sup> Determined by disk diffusion. Spt, spectinomycin; Stp, streptomycin; Gen, gentamicin; Kan, kanamycin; Apr, apramycin.

<sup>c</sup> Determined by RapMyco microdilution plates. Amk, amikacin; Tob, tobramycin. Data expressed as modal MIC.

<sup>d</sup> Erm<sup>S</sup> isolates (n = 6 randomly selected) included as a control.

\*P < 0.0001, Kruskal-Wallis test; comparison of Erm<sup>R</sup>/CII (+) vs Erm<sup>R</sup>/CII (-) or Erm<sup>S</sup>.

