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Feed Temperature Effects on Organic Fouling of Reverse Osmosis Membranes: Competition of Interfacial and Transport Properties

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47 Abstract

We investigated the effect of feed temperature on organic fouling of reverse osmosis (RO) 48 membranes. Experiments were conducted over the range 27 < T < 40 °C, relevant to feed 49 50 temperatures in arid, near-equatorial latitudes. Fouling by alginate, a major component of 51 extracellular polymeric substances, was investigated at the nanoscale by means of AFM-based temperature-controlled colloidal-probe force spectroscopy (CPFS). The CPFS results, 52 53 complemented by interfacial property characterisation (contact angle, surface roughness and charge) conducted under temperature-controlled conditions, enabled us to rationalize the observed 54 55 fouling kinetics in cross-flow fouling experiments. We observed less severe flux loss at 35 °C (J/J_0 = 75%, t = 24 hr) compared to 27 °C ($J/J_0 = 65\%$), which is due to weaker adhesion forces with 56 57 rising temperature. The observed variation in the magnitude of adhesion forces is consistent with the temperature dependence of hydrophobic interactions. At 40 °C, the observed flux loss ($J/J_0 =$ 58 59 68%) was similar to that at 27 °C, despite the fact that adhesion forces are relatively weak (and similar to those at 35 °C). Analysis using a series-resistance model shows that the foulant layer 60 hydraulic resistance is equal at 35 and 40 °C, consistent with the CPFS results. More severe fouling 61 was observed at 40 °C compared to 35 °C, however, due to the higher water permeance at 40 °C, 62 63 which resulted in a greater flux of foulant to the membrane. Our experiments further show that the 64 fouling layer develops within ~2 hours, during which the flux sharply decreases by 26% at 27 °C, 19% at 35 °C, and 22% at 40 °C; thereafter, flux losses are small and temperature independent. 65 CPFS experiments show that this behaviour is due to the foulant layer, which results in weak, often 66 67 repulsive, and T-independent foulant-foulant interactions, which hinder further foulant deposition. 68 Keywords: reverse osmosis, hydrophobic interactions, fouling, wastewater reuse

69 **1. Introduction**

Population growth and climate change are exerting enormous pressure on the world's water 71 resources.¹⁻³ Over 2.4 billion people inhabit highly water stressed areas (defined as those with a 72 73 water scarcity index > 0.4), many of which are in densely populated urban agglomerations in which water demand exceeds the watershed capacity.⁴ In addition to increased population, urbanization, 74 and industrialization⁵, climate change is expected to increase water stress through prolonged 75 heatwaves that diminish surface and groundwater supplies.⁶ There is thus an urgent need to tap 76 77 into unconventional water sources (e.g., brackish water, seawater, and wastewater) to expand the water inventory.^{2,7–9} Water recovered from secondary and tertiary municipal wastewater effluents 78 can supplement water resources¹⁰ through indirect use in agricultural and urban irrigation, cooling 79 towers, and recharge of groundwater aquifers.¹¹ Desalination and advanced wastewater treatment 80 81 by reverse osmosis (RO) have been instrumental in sustainably extracting potable water from 82 unconventional water sources. Nonetheless, membrane fouling in its various forms (organic, inorganic, colloidal, biological) remains a key obstacle¹²⁻¹⁴, resulting in lower permeability and 83 contaminant rejection^{1,12,14,15}, ultimately increasing energy comsumption.¹² 84

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86 Research over the past two decades has improved our understanding of the link between fouling 87 propensity and RO membrane interfacial properties. Within the context of organic fouling of polyamide RO membranes, low roughness¹⁶, more hydrophilic^{17,18}, and more negatively charged¹⁹ 88 membranes exhibit less pronounced flux losses.^{16–19} Studies on the effect of feed water quality 89 have shown that Ca²⁺ causes more severe organic fouling (compared to Mg²⁺ and Na⁺) with 90 proteins (bovine serum albumin) and alginate^{20,21}, likely due to calcium-mediated gelation of 91 foulants^{21–23} and Ca²⁺ bridging of carboxyl groups on the membrane and foulant²⁰. Higher ionic 92 strength results in compression of the electrical double layer and shielding of surface charge of 93

94 both the surface of the membrane and the foulants, resulting in higher fouling rate due to reduced electrostatic repulsion.^{3,20,21} The effect of pH is more pronounced around the isoelectric point (IEP) 95 96 of the foulant, such that foulant-membrane electrostatic repulsion is reduced and fouling rate increases at a pH equal or less than the IEP of the foulant.^{3,20,24} The presence of organic matter in 97 98 water contributes to the formation of an organic fouling layer on the surface of the membrane, which can provide nutrients to bacteria and facilitate bacterial adhesion to the surface.²⁵⁻²⁸ 99 Therefore, minimising organic fouling can help delay biofouling by mitigating initial bacterial 100 adhesion to the surface of RO membranes.^{29,30} 101

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103 The influence of feed water temperature on membrane performance and fouling has attracted far less attention. Only a few studies have been devoted to this subject^{20,31–33}, despite the increasing 104 use of membrane-based desalination and wastewater reuse in arid, near-equatorial latitudes³⁴ 105 where water temperatures can reach 35.5 °C.35 Previous work on the connection between RO 106 membrane transport properties and feed temperature has shown that water permeability^{32,36} 107 increases with increasing temperature, due to lower water viscosity^{37,38} and higher water 108 109 diffusivity.^{31,32} As a result of increasing temperature, permeate recovery increases^{36,38–40} and energy consumption decreases due to lower pressure requirements.³⁹⁻⁴¹ Similarly, the salt 110 111 permeability coefficient, B_s , is directly proportional to the solute diffusivity, D_s , and partition (solubility) coefficient K_{s} , ^{31,42,43} both of which increase with temperature, leading to a higher salt 112 flux and lower salt rejection.^{36,39,40,44} In one study, Goosen *et al.*³² observed an increase in permeate 113 114 flux at a fixed applied pressure as temperature was increased from 20 °C to 40 °C for NaCl concentrations ranging from 0 to 5 % w/v NaCl, suggesting that the membrane undergoes 115 morphological changes such as an increase in the polymer free void volume³². Sharma and 116

Chellam⁴⁵ observed that the network pore size of nanofiltration (NF) membranes increased with 117 increasing temperature (5 – 41 °C). In another study, Goosen *et al.*³³ found that correcting for 118 119 viscosity changes of water with increasing temperature did not totally account for the increase in 120 water permeance with increasing temperature. The researchers suggested an interplay between 121 feed temperature and applied pressure that affected the membrane void volume. Francis and Pashly³⁸ observed that water recovery and permeate flow increased, while salt rejection decreased, 122 with increasing temperature (20 to 30 °C) when treating seawater (0.5 M NaCl) and brackish water 123 (0.2 M NaCl) with thin-film composite (TFC) RO membranes. Jin et al.³¹ attributed the lower 124 125 rejection of humic acid as total organic carbon (TOC) with increasing temperature (T = 15 to 35 °C) to increased swelling of the polymer network voids. The rate of fouling was similar at 25 °C 126 and 35 °C while the highest flux decline occurred at 15 °C. The higher applied pressure and the 127 128 larger size of humic acid aggregates at lower temperatures resulted in a higher resistance of the fouling layer at these temperatures.³¹ On the other hand, Mo et al.²⁰ reported an increased rate of 129 protein fouling (50 mg L⁻¹ bovine serum albumin) of RO membranes at higher temperatures (18 130 to 35 °C) and for pH values 4.9, and 7. Baghdadi et al.⁴⁶ simulated the performance of two TFC 131 RO membranes with increasing temperature (15 - 45 °C) and observed an increase in salt mass 132 transfer coefficient and a decrease in salt rejection when treating a 35 g L⁻¹ NaCl feed water at a 133 134 constant hydraulic pressure (800 psi).

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Current investigations of the effect of feed temperature on membrane performance are limited to bench-scale experiments, which describe thermal effects on membrane transport parameters and flux loss, but offer little mechanistic insight.^{20,31–33,46} To explain the connection between feed temperature and the observed fouling kinetics, it is necessary to understand the thermal response

140 of interfacial properties such as membrane hydrophobicity, roughness, and charge. As a step in 141 this direction, we used colloidal probe atomic force microscopy (AFM) measurements to probe 142 the effects of temperature on membrane-foulant and foulant-foulant interactions. We then explored 143 how the temperature dependence of the interfacial properties manifests itself in RO membrane fouling experiments using alginate, a polysaccharide that is abundant in wastewater^{47,48} and in 144 bacterial biofilms^{49,50}, as a model foulant. Our results show that weaker hydrophobic interactions 145 with increasing feed temperature (from 27 to 35 °C) initially decrease membrane fouling, but 146 147 further increases in feed temperature exacerbate fouling due to an increase in the water permeance 148 of the membrane. Consequently, variation of the feed temperature reveals that fouling is 149 determined by a competition between membrane interfacial and transport properties.

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This paper is structured as follows. In section 2, we describe the experimental protocols for the colloidal-probe AFM and dynamic fouling experiments. We discuss our results in section 3, beginning with the effect of temperature (T) on interfacial properties (section 3.1); the effect of Ton foulant-membrane and foulant-foulant interactions, as determined by AFM, is discussed in section 3.2; sections 3.3 and 3.4 present the results of membrane transport and fouling experiments, drawing connections to the interfacial and nanoscale adhesion properties. Concluding remarks are given in section 4.

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162 2. Materials and Methods

164 **2.1. Reverse osmosis (RO) membrane.**

165 All experiments were carried out with ESPA2-LD membranes (Hydranautics, Oceanside, CA), a 166 low pressure aromatic polyamide RO membrane commonly employed in wastewater 167 recycling.^{10,51} Membrane coupons (~ 15×9 cm²) were cut out from a 10-cm diameter spiral wound element (membrane area 7.43 m²), rinsed in ultrapure water (UP) (18.2 MΩ-cm, Barnstead), and 168 169 stored at 5 °C in UP water. The hydraulic resistance and water permeance of the membranes were 170 determined with a UP water feed at 25 °C. For quality assurance purposes, only membranes with A values within the range specified by the manufacturer $(3.5 - 5.1 \text{ Lm}^{-2} \text{ h}^{-1} \text{ bar}^{-1})$ were used for 171 dynamic fouling experiments. Further details on the determination of membrane transport 172 173 properties are provided in section S.1 of the Supporting Information (SI).

174

175 The hydrophilicity and roughness of ESPA2-LD membranes were characterized at T = 27, 35, and 40 °C. Hydrophilicity was quantified in terms of water contact angle measurements in a 176 177 temperature-controlled goniometer (DSA30S, Krüss). Both the temperature-controlled goniometer chamber and liquid dispenser were set to the same temperature, so that the droplet and substrate 178 were in thermal equilibrium throughout the measurement. Root-mean-squared roughness (R_{RMS}) 179 180 was measured in a temperature-controlled fluid cell using an atomic force microscope (MFP-3D-181 Bio, Asylum Research) in tapping mode. The zeta potential of the membrane was determined from 182 streaming potential measurements using an electrokinetic analyzer (SurPass, Anton Paar). 183 Streaming potential measurements were performed at 27 °C and 35 °C only (40 °C exceeded the maximum operating temperature of the instrument). Further details on membrane surface 184 185 characterization are found in section S.2 of the SI.

187 **2.2. Organic foulant and feed solution chemistry.**

We used alginate, a polysaccharide⁵², as a model foulant representative of extracellular polymeric 188 substances (EPS)⁵³⁻⁵⁶ in secondary wastewater effluent⁵⁵⁻⁵⁷. A 6 g L⁻¹ sodium alginate (SA) 189 190 (A2033, Millipore Sigma, St Louis, MO) stock solution was prepared in UP water before each 191 dynamic fouling experiment by stirring the solution for 24 hours. Alginate was dosed at a concentration of 250 mg L⁻¹ to a feed solution containing 0.45 mM KH₂PO₄, 0.935 mM NH₄Cl, 192 193 0.5 mM CaCl₂, 0.5 mM NaHCO₃, 9.20 mM NaCl, and 0.61 mM MgSO₄ at pH 7.4. The inorganic 194 composition of the synthetic wastewater used in all fouling experiments is representative of the 195 inorganic fraction of secondary wastewater effluent from certain wastewater treatment plants in California.^{50,58} The ionic strength of foulant-free synthetic wastewater was 14.7 mM (MinTEO 196 3.1). The alginate concentration used (250 mg L^{-1}) is much higher than concentrations in real 197 systems (in which TOC concentrations are in the 5-20 mg L⁻¹ range⁵⁹⁻⁶²). An elevated 198 199 concentration was used to accelerate fouling and ensure fouling can be observed within 24 hours. 200

201 **2.3. Dynamic fouling experiments.**

A bench-scale crossflow system (see section S.1) was used for fouling, with each experiment comprising the following stages: 1) Membrane compaction at 500-580 psi with UP water at 25 °C until a steady-state permeate flux was achieved. 2) Stabilization of the permeate flux at $J = 20 \pm 1$ L m⁻² h⁻¹ (LMH) for 1 hour at 25 °C to validate the water permeance of the membrane (the manufacturer-specified water permeance for ESPA2-LD membranes is 3.5 - 5.1 LMH bar⁻¹). 3) Adjustment of the temperature of the UP water feed to 27, 35, or 40 °C by means of a heater/chiller (6500 series, Polyscience) followed by overnight stabilization of the permeate flux at $J = 20 \pm 1$

LMH (this stage was used to calculate the A at each temperature). 4) Dosing of alginate-free 209 210 synthetic wastewater into the feed tank, followed by system stabilisation at the desired temperature (27, 35, or 40 °C) at $J = 20 \pm 1$ LMH; this stage typically required stabilisation for 4-6 days, and 211 212 included sampling of the feed and permeate conductivity to determine conductivity rejection 213 before fouling. 5) Dosing of 250 mg L⁻¹ alginate into the synthetic wastewater feed and initiation of dynamic fouling at an initial flux $J_0 = 20$ L m⁻² h⁻¹; the flux loss during fouling was measured 214 215 over 24 hours accompanied by sampling of the feed and permeate conductivity and TOC content 216 (2 and 24 hours after initiation of fouling) to calculate conductivity and TOC rejection, 217 respectively. The permeate flow rate was recorded every 0.2 seconds at all phases (except compaction) with a digital flow meter (SLI-2000, Sensirion, Stäfa, Switzerland) and logged to a 218 219 computer. Further details on the experimental apparatus and fouling experiments can be found in 220 the SI.

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222 **2.4. Colloidal Probe AFM Force Spectroscopy.**

223

224 2.4.1. Colloidal probes.

225 Carboxyl-modified latex (CML) colloidal particles with a nominal diameter of 4 μm were used in 226 all AFM measurements. These polystyrene microspheres have a surface rich in carboxylic acid 227 functional groups^{56,63}, which are commonly found in alginate and other foulants.^{56,64} According to 228 the product specifications (ThermoFisher Scientific, C37253), the CML particles are hydrophobic 229 at low pH and somewhat hydrophilic at high pH. However, other studies have characterized similar 230 CML particles as hydrophobic.⁶⁵ CML particles were received as a 4% w/v suspension in 231 deionized water and were stored at 5 °C until use.

- 233
- 234 *2.4.2. Preparation of colloidal probes.*

The protocol for preparing the colloidal probes was adapted from that reported by others.^{3,63,66} A 235 20- μ L aliquot of CML particle suspension (2500× dilution) was deposited on a UV/O₃-cleaned ⁶⁷ 236 237 glass slide and dried overnight in a desiccator. An inverted optical microscope (Zeiss Axio 238 Observer A.1) integrated into the AFM was used to guide a tipless AFM cantilever (MLCT-O10 cantilever "A", nominal k = 0.07 N/m, Bruker) first towards a small amount of UV-curable glue 239 240 (Norland 86, Norland optical, Cranbury, NJ) deposited on the glass slide and then towards the 241 CML to be adhered to the cantilever. The prepared AFM colloidal probes were then cured in a 242 solar simulator (Xenon lamp, wavelength > 290 nm, 350 W/m^2) for 30 minutes.

243

244 2.4.3. Experimental conditions.

Measurement of interfacial interactions between the CML probes and the surface of pristine and 245 246 alginate-fouled ESPA2-LD membranes was performed using an atomic force microscope (MFP-247 3D-Bio, Asylum Research) equipped with a temperature-controlled fluid cell. Force measurements were conducted at T = 27 °C, 35 °C, and 40 °C in two different systems: pristine membranes in 248 20 mg L⁻¹ alginate in synthetic wastewater (a concentration representative of the TOC levels of 5-249 20 mg L^{-1 55,59} in wastewater effluent); and alginate-fouled membranes in synthetic wastewater 250 supplemented with 20 mg L⁻¹ alginate. The former investigates the temperature dependence of 251 252 foulant-membrane interactions, which determine the initial adhesion of foulant at the early stages 253 of fouling, while the latter measurements investigate foulant-foulant interactions in the subsequent stages, once a foulant layer has formed on the membrane surface.^{3,63,68} Synthetic wastewater 254

supplemented with 20 mg L⁻¹ alginate was freshly prepared prior to each experiment as described 255 256 in section 2.2. The alginate-fouled membrane substrate was prepared as described in the SI (see 257 section S.1). To distinguish between real CML microsphere adhesion and artifacts resulting from 258 particles contaminated with glue, control measurements were performed using a particle-free 259 cantilever on which we deposited a small amount of cured glue. These measurements (performed 260 in phosphate buffered saline at pH 7.4 on pristine membranes) resulted in distinctly sharp adhesion 261 peaks compared to those of clean CML particles. Probes suspected of glue contamination were 262 discarded. Only data collected with CML particles unaffected by glue artifacts are presented and 263 discussed.

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For individual coupons, force measurements were collected at 27 °C, then 35 °C, and finally at 40 265 °C by ramping up the temperature at a rate of 1 °C/min. After allowing 30 minutes for the 266 267 cantilever to reach thermal equilibrium, at each set-point temperature the inverse optical lever 268 sensitivity and spring constant were determined (the latter according to the thermal noise method⁶⁹). Measurements at the three temperatures were repeated in triplicate (i.e., with three 269 270 different membrane coupons) with three independently functionalized AFM cantilevers. A total of 271 \geq 105 force curves were collected at each temperature. To account for membrane surface heterogeneity⁷⁰, adhesion forces were measured at each temperature over at least 11 randomly 272 273 selected spots (collecting 3 force curves per spot) located at least 6 µm apart from one another. 274 The AFM probe was checked at the end of every experiment to verify that the CML particle was 275 not dislocated and that it had remained at its original position during force measurements.

277 Force curves were recorded at 200 nm/s approach-retraction speed, a cycle speed that results in negligible dissipative friction on the CML particle.⁷¹ The CML probe engaged the membrane 278 substrate with a trigger force (F_{trigger} ; defined in Figure S3 of the SI) of 2 nN, while remaining in 279 280 contact with the surface of the pristine or fouled membrane for a dwell time of 5 seconds. A 281 constant force was maintained between the CML particle and membrane surface during the dwell 282 time by setting the feedback channel to deflection. AFM experiments were performed in open loop 283 to minimize noise in the collected forces. The choice of trigger force was based on calculations of 284 the permeation drag force exerted on a 4-µm diameter particle experiencing a flux of 20 LMH, 285 typical of RO operation (see section S.3). For data analysis, the minimum measurable force -30286 pN – was determined by measuring the noise in the free end of several force curves at each 287 temperature. Parameters collected from force curves are identified in Figure S3. From the 288 extension force curve: snap-in force (F_{snap}) is defined as the adhesion force observed as the colloidal probe approaches the membrane substrate;^{72–74} snap-in separation (R_{snap}) is identified as 289 the distance at which the snap-in event occurs.^{75,76} From the retraction force curve: peak adhesion 290 291 force (F_{peak}) is defined as the maximum adhesion force observed as the colloidal probe is pulled away from the membrane; rupture separation (R) is the distance at which interactions between the 292 probe and the membrane surface vanish.⁷⁷ 293

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295 **2.5. Statistical analysis.**

- 296 Unpaired two-sided homoscedastic (equal variance) *t*-tests were used to determine statistical297 significance of the results.
- 298 **3. Results and Discussion**
- 299

300 3.1. Characterization of RO membrane.

The contact angle of sessile water droplets (θ_w) reflects membrane hydrophilicity^{78–80} and depends 301 on membrane properties (surface roughness, surface charge, and surface functional groups)^{78,80–82} 302 as well as on external conditions such as water temperature^{78,83} and salt concentration^{78,84}. The 303 304 effect of temperature on θ_w and root-mean-squared roughness (R_{RMS}) of pristine RO membranes is shown in Figure 1. The measured θ_w at 27 °C (53.5 ± 2.5°) is similar to that reported by other 305 306 studies (43°-55°)^{10,85} on ESPA2 membranes at room temperature. The contact angle at 27 °C was significantly higher than that at 35 °C (38.5 \pm 2.8°; p < 0.01) and at 40 °C (36.7 \pm 3.5°; p < 0.01) 307 308 but θ_w at 35 °C and 40 °C were similar (p = 0.078). The decrease in contact angle with increasing temperature is a manifestation of a general surface phenomenon: as first postulated by Zisman⁸⁶ 309 and Petke and Ray⁸⁷, θ_w decreases with rising T for common liquids whose surface tension 310 311 decreases with increasing T. It is expected that the membrane would swell more at higher temperatures, as has been observed with polyamide membranes^{31,88}, due to the increasing 312 313 wettability of the membrane by water. Consistent with this expectation, we observed (Figure 1) an 314 increase in R_{RMS} of the pristine RO membrane with increasing temperature (representative AFM 315 scans at each T are given in Figure S1). R_{RMS} increased from 91.8 ± 12.3 nm at 27 °C to 113.7 ± 15.7 nm at 35 °C (p < 0.01) and 102.8 ± 14.5 nm at 40 °C (p < 0.05), while the R_{RMS} values at 35 316 317 °C and 40 °C were similar (p = 0.0562).





Figure 1: Sessile water drop contact angle (θ_w) and root-mean-squared roughness (R_{RMS}) measurements of pristine ESPA2-LD membranes at T = 27, 35, and 40 °C (* denotes a significant difference between the indicated samples, p < 0.05).

323 The zeta potential (ζ) of ESPA2-LD membranes at 27 °C (Figure S2) varied from -7 mV to -35 324 mV as pH was increased from 4 to 10 with $\zeta \approx -30$ mV at pH = 7.4. The negative charge of polyamide is due to the deprotonation of carboxylic acid groups on the membrane surface⁸⁴, and 325 326 presumably to the adsorption of hydroxide ions on uncharged hydrophobic regions on polyamide. 327 Hydroxide ion adsorption is posited as the cause of the negative charge of many hydrophobic surfaces.^{89,90} At 27 °C and 35 °C we observe similar charging behavior at pH < 7, while a less 328 negative ζ is observed at basic pH at 35 °C. We ascribe this behavior to a lower extent of adsorption 329 330 of hydroxide ions resulting from the decreasing hydrophobicity of the interface at 35 °C (cf. Figure 331 1).

333 3.2. Effect of temperature on adhesion forces.

334 In this section, we investigate the T dependence of membrane surface forces using AFM-based 335 force spectroscopy measurements with a carboxylated colloidal probe (a mimic of alginate). We 336 considered pristine membranes as well as alginate-fouled membranes (prepared as explained in 337 the SI) to investigate the T dependence of foulant-membrane and foulant-foulant interactions. 338 Previous work has used AFM to relate foulant-membrane interactions to the rate of fouling, finding 339 a strong correlation between fouling propensity and the strength of adhesion forces determined by AFM.^{56,63} On the other hand, the effect of temperature, investigated below, has hitherto been 340 341 overlooked. We analyzed both the approach and retraction segments of the force curves. The 342 approach segment provides information about the mechanism of adhesion of foulant molecules as 343 they first encounter the membrane interface, i.e., whether foulants experience repulsive or 344 attractive forces during initial adhesion (and the strength of such interactions). The retraction 345 segment quantifies the force necessary to detach adhered foulants.

346

347 Before discussing the force spectroscopy data quantitatively (Figures 2-3, S6-S9), a few qualitative 348 features of the force curves are noteworthy. Figures S3 and S4 show representative force-distance 349 curves, including the approach segment as the inset, collected over pristine and alginate-fouled membranes, respectively. The CML microsphere experiences a small repulsive force ($F_{rep} \sim 43-50$ 350 351 pN, see Figure S3) as it approaches the surface of pristine membranes; this repulsion is likely steric 352 as it is observed at separations (8 - 9 nm) greater than the Debye length (2.5 nm at I = 14.7 mM). At shorter separations, the polystyrene chains on the microsphere surface eventually encounter the 353 354 surface, and the microsphere experiences a sudden attractive force known as a 'snap-in' or 'jumpto-contact' spring instability^{91,92}: at the snap-in point, the gradient of the particle-membrane force 355

356 exceeds the cantilever spring constant, the cantilever becomes unstable (i.e., the particle-surface 357 force and the cantilever elastic force are no longer in balance), and jump-to-contact occurs. This 358 jump-to-contact force has been attributed to the van der Waals attractive force between the tip and the surface.^{72–74} The snap-in force is not observed in the approach force curves recorded over 359 360 alginate-fouled membranes (inset in Figure S4(a), (b)); instead, the force is repulsive throughout 361 the contact region, but the gradual increase in the loading force is consistent with compression of the soft alginate layer by the colloidal microsphere.⁷⁶ The retraction force curves over pristine 362 363 membranes display sharp (often multiple) adhesion peaks (Figure S3), presumed to be due to the 364 stretching of polystyrene chains upon probe pull-off. In some cases, we observe tethering events (Figure S5 (a), (b)), which are likely due to detachment of alginate molecules bridging (with the 365 aid of Ca^{2+}) the CML probe and the membrane surface⁹³, or desorption⁹⁴ of alginate molecules 366 367 from the membrane. Over alginate-fouled membranes, we observe adhesion peaks, likely due to 368 alginate desorption (Figure S4(a)). In addition, a fraction of the force curves (quantified below) 369 are repulsive during retraction (Figure S4(b)) indicating that the alginate layer prevented the 370 adhesion events that are otherwise observed in pristine membranes.

371

Next, we discuss quantitatively the force spectroscopy data in terms of the distribution of peak adhesion, snap-in forces, and rupture separations (defined in Section 2.4.3 and in Figure S3). The data are plotted as histograms in Figures 2-3 and S6-S9. The distribution of snap-in forces (F_{snap}) and snap-in separations (R_{snap}) on pristine membranes is shown in Figures S6 and S7. As shown in Figure S6(d), the attraction is strongest at 27 °C when the membrane is least hydrophilic and smoothest (see Figure 1), with an average snap-in force ($\overline{F}_{\text{snap}}$) of 115 pN compared to 81 pN at 35 °C (p = 0.039) and 92 pN at 40 °C (p = 0.138). The force curves that do not display a snap-in force (i.e., purely repulsive approach curves tallied as the "NO" column in Figures S6(a-c)), representing between 31.4% and 45.7% of the forces were assigned $F_{\text{snap}} = 0$ when calculating the average in Figure S6(d). A similar trend – decreasing $\overline{F}_{\text{snap}}$ with rising T – is observed when the average excluded the non-adhesive approaches, Figure S6(e). The probability with which snap-in events occurred (ranging between 54.3% and 68.6%), and the distance at which snap-in is established (R_{snap} , Figure S7), ~8-9 nm on average, showed no discernible T dependence.

385

386 Figure 2(a-c) shows the peak adhesion force (F_{peak}) distribution (defined in Figure S3) of CML 387 probes collected over pristine membranes at T = 27, 35, and 40 °C. The distribution of F_{peak} at 27 388 °C shows more frequent strong adhesion events (-3 nN $< F_{peak} < -2$ nN) compared to higher 389 temperatures. Moreover, Figure 2(d) shows that the average adhesion force at 27 °C ($\bar{F}_{\text{peak}} = -1.51$ 390 \pm 0.78 nN) is stronger than that at 35 °C ($\bar{F}_{peak} = -1.18 \pm 0.68$ nN; p = 0.0015) and 40 °C ($\bar{F}_{peak} =$ 391 -1.27 ± 0.65 nN; p = 0.0174). Adhesion forces at 35 °C and 40 °C were similar (p = 0.339), which 392 is consistent with the invariant contact angle and surface roughness at these same temperatures (cf. Figure 1). We expect adhesion forces to decrease at T > 40 °C, as observed by other studies.^{95,96} 393 394 Such a range, however, is not environmentally relevant, and was therefore not studied in our work. 395 On the other hand, the distribution of rupture separations (R) over pristine membranes (Figure S8), 396 ranging between 120 and 150 nm, was not dependent on T.

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398 The decreasing adhesion force with increasing temperature observed during approach (F_{snap} ; 399 Figure S6) and retraction (F_{peak} ; Figure 2) followed the same trend with *T* as hydrophobic 400 interactions, suggesting that the *T* dependence of organic foulant adhesion shows close 401 resemblance to hydrophobic hydration phenomena. Weakening of hydrophobic adhesion forces

with rising T agree with previous force spectroscopic experiments.^{95,96} The decreasing magnitude 402 403 of adhesion forces is also consistent with theoretical investigations showing that macroscopic surfaces become less hydrophobic with rising $T^{.97}$ As first envisaged by Stillinger⁹⁸, hydration of 404 405 large hydrophobes requires the formation of a water-depleted interface around the solute, akin to 406 a liquid-vapor interface. Building on these ideas, Chandler and co-workers showed that the free energy of hydrophobic solvation scales with the liquid-vapor surface tension of water (γ) as $\Delta G \sim$ 407 $4\pi\gamma R^2$ (where R is the hydrophobic solute radius)⁹⁹. Accordingly, the temperature dependence of 408 409 ΔG approaches that of γ (i.e., decreases with rising temperature), with hydrophobic hydration becoming more energetically favorable at higher T.⁹⁷ 410

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412 While hydrophobic interactions appear to be the main driving force of foulant-membrane adhesion, 413 we cannot rule out the possibility that adhesion is aided by Ca^{2+} -mediated ^{13,63} bridging interactions 414 between the deprotonated carboxylic groups on the CML particle and the surface of the membrane. 415 Both the membrane (Figure S2) and alginate are negatively charged at pH > 6 because most of the 416 carboxylic groups are deprotonated⁹³ (pK_a = 3.5 - 4.7 ^{3,16,100}). The presence of deprotonated 417 carboxylic acid groups is suggested by the negative charge of both the membrane (Figure S2) and 418 alginate.^{16,93}



420 421 Figure 2: (a-c) Distribution of peak adhesion forces (F_{peak}) of CML colloidal probes on pristine ESPA2-422 LD membranes for each indicated temperature (given in the inset along with the number of force 423 measurements, n). (d) Average peak adhesion force (\bar{F}_{peak}) at each temperature calculated from (a-c) (* 424 denotes statistical significance with p < 0.05). Error bars denote one standard deviation. Data were collected 425 in synthetic wastewater supplemented with 20 mg L⁻¹ sodium alginate ($t_{contact} = 5$ s; $F_{trigger} = 2$ nN; pH 7.4; 426 I = 14.7 mM). 427

428 Substantially different surface forces dominate the interactions between the colloidal particle and 429 the alginate-fouled membrane. These results are presented in Figure 3(a-c) for T = 27, 35, and 40430 °C, respectively. As mentioned previously, snap-in events are absent in measurements with fouled 431 membranes; we observe instead repulsive forces during approach at any temperature (see Figure 432 S4). Repulsive forces are also observed in 25.9-33.3% of retraction force curves (denoted by the "NO" column in Figure 3 (a-c)). These repulsive forces can be attributed to strong electrostatic 433

repulsion between the CML particle and the more negative membrane surface in the presence of alginate fouling layer.¹⁰¹ Wang *et al.*⁶⁸ also attributed weaker alginate-alginate adhesion forces to electrostatic repulsive forces resulting from the more negative charge of alginate compared to other foulants (bovine serum albumin and effluent organic matter). In contrast to the pristine membrane, the average peak adhesion force (\bar{F}_{peak}) over fouled membranes is significantly weaker in magnitude and less sensitive to temperature (p > 0.05 for all pairwise comparisons) irrespective of whether repulsive forces curves are included in the average (Figure 3(d)) or not (Figure (3(e)).

The distribution of rupture separations (*R*) of CML particles over fouled membranes at T = 27, 35, and 40 °C is shown in Figure S9. Although \overline{R} is similar for all the temperatures investigated (p >0.05 for all pairwise comparisons), \overline{R} has a larger value ($\approx 0.6 \mu$ m) on the fouled membranes than on pristine membranes (compare Figure S9 with Figure S8). Longer rupture separations are likely due to desorption of alginate molecules from the membrane surface during probe retraction.





448 Figure 3: (a-c) Distribution of peak adhesion forces (F_{peak}) of CML colloidal probes on alginate-fouled 449 ESPA2-LD membranes for each indicated temperature (given in the inset along with the number of force measurements, n). Force curves in which $|F_{peak}| < 30$ pN are tallied as the "NO" column (30 pN is the 450 451 magnitude of the noise observed in the free end of force curves). (d) Average peak adhesion force (\bar{F}_{peak}) at each temperature calculated from (a-c) including the non-adhesive events as $\bar{F}_{peak} = 0$. (e) Average peak 452 453 adhesion force (\bar{F}_{peak}) at each temperature calculated from (a-c) excluding the non-adhesive events. Error 454 bars denote one standard deviation. Data were collected in synthetic wastewater supplemented with 20 mg L^{-1} sodium alginate ($t_{contact} = 5$ s; $F_{trigger} = 2$ nN; pH 7.4; I = 14.7 mM). 455

457 **3.3. Effect of temperature on membrane transport parameters.**

Having established the *T*-dependence of membrane adhesive properties, we next examine the impact of *T* on transport and selectivity during membrane filtration. The effect of temperature on the membrane permeance to water and conductivity rejection of ESPA2-LD thin-film composite membranes is shown in Figure 4.





463 464 Figure 4: Effect of temperature on the permeance to water (A) and conductivity rejection (right y-axis) of ESPA2-LD membranes at T = 27, 35, and 40 °C. The error bars denote one standard deviation. Alginate-465 466 free synthetic wastewater feed was used to determine conductivity rejection (number of measurements n =6 at 27 °C, n = 6 at 35 °C, and n = 8 at 40 °C). All data determined at a permeate flux $J = 20 \pm 1$ LMH. 467 In agreement with previous experiments (5 °C < T < 60 °C)^{32,39,102}, A increases with feed 468 temperature (Figure 4) from 3.8 ± 0.3 LMH bar⁻¹ at 27 °C to 4.9 ± 0.4 and 6.7 ± 0.8 LMH bar⁻¹ at 469 470 35 °C and 40 °C, respectively. The change in permeance with temperature is due to the dependence of A on water viscosity and diffusivity^{31,39}: $A \propto \frac{D_{w,m}}{T}$ ($D_{w,m}$ is the water diffusivity in the 471 membrane) and $D_{w,m} \propto \frac{T}{\mu} (\mu$ is the dynamic water viscosity).³¹ As a result, A will be inversely 472 proportional to μ which, in turn, varies inversely with temperature.^{36,102,103} Another possible factor 473 contributing to the increase in A is the thermal expansion of the polyamide network³¹: the increase 474 475 in surface roughness with T (Figure 1) is presumably due to thermal expansion of the polyamide active layer.³¹ In addition, the increase in roughness observed between 27 °C and 35 °C (Figure 1) 476 - resulting in a larger effective permeable area¹⁰⁴ – may also be responsible for the increase in A^{105} 477 478 observed between 27 °C and 35 °C.

Conductivity rejection was found to be weakly dependent on *T*, ranging from 97.3 \pm 0.6% at 27 °C to 98.6 \pm 0.4% and 98.2 \pm 0.6% at 35 °C and 40 °C, respectively. While these observations are at odds with the expected temperature dependence of the solute diffusivity, *D_s*, and solubility, *K_s*, in the membrane (both *D_s* and *K_s* increase with increasing temperature)^{31,39,106}, the results in Figure 482 4 appear to be in agreement with other studies showing negligible temperature dependence of the reflection coefficient over a similar temperature range.³²

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488 **3.4. Effect of temperature on organic fouling.**

490 The effect of temperature on alginate fouling is investigated in Figure 5(a), showing the normalized 491 permeate flux, J/J_0 as a function of time. The time dependence of the permeate flux exhibits 492 common features at all temperatures, indicative of a transition of fouling dominated by foulantmembrane interactions to a regime determined by foulant-foulant interactions.¹⁰⁷ A steep flux loss 493 494 (26% at 27 °C, 19% at 35 °C, and 22% at 40 °C) within the first two hours is followed by slow flux 495 decline at longer times (Figure 5(a)). This behavior is consistent with our colloidal AFM data: at 496 short time scales, fouling is dominated by strong foulant-clean membrane interactions (Figures 2 497 and S6), leading to the rapid formation of a foulant layer and significant flux loss. At longer times 498 scales ($t \ge 2$ h), weakly adhesive or repulsive foulant-foulant interactions (Figure 3) cause J/J_0 to 499 decrease at a much slower rate. On the other hand, the extent of flux loss is different at each 500 temperature. Fouling is most severe at 27 °C, with a flux loss of 35% after 24 h compared to 25% at 35 °C and 32% at 40 °C. The more significant fouling at 27 °C is consistent with the stronger 501 hydrophobic interactions at this temperature (cf. Figure 2). However, at 35 and 40 °C different 502

fouling propensity does not reflect the similar adhesion forces observed in Figure 2. Thus,interfacial behavior alone does not explain the observed fouling behavior.

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To reconcile the fouling experiments in Figure 5(a) with the interfacial behavior presented in Figure 2, we quantified the resistance contributed by the foulant layer to water transport using a resistance-in-series model.^{108,109} Within this approach, the overall transport resistance of the fouled membrane is given by the sum of the individual hydraulic resistances of the polyamide (A^{-1}) and a (time-dependent) hydraulic resistance due to the foulant layer, $A_f(t)^{-1}$. The resulting expression for the time-dependent flux through the fouled membrane is,

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$$J(t) = \frac{1}{A^{-1} + A_f(t)^{-1}} (\Delta p - \Delta \pi)$$
(1)

where $\Delta \pi$ is the osmotic pressure difference between the feed and the permeate. Dividing Equation (1) by the steady-state water flux through the clean membrane ($J_0 = A(\Delta p - \Delta \pi)$) yields,

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517
$$\frac{J(t)}{J_0} = \frac{1}{1 + \frac{A}{A_f(t)}} = \frac{1}{1 + R_f(t)A}$$
(2)

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where the inverse of the permeability of the foulant layer is expressed as a hydraulic resistance, $R_f(t) = A_f(t)^{-1}$. Equation (1) shows that two mechanisms could contribute to flux loss: fouling, which increases $R_f(t)$ as the foulant layer develops; and increasing water permeance (e.g., due to *T*), which will also lower J/J_0 due to the increased convective flux of foulant to the membrane. Based on the characterization results, we speculate that the smaller flux loss at 35 °C compared to 27 °C is primarily due to the effect of the interfacial properties on the foulant layer: a lower R_f value at 35 ⁵²⁵ °C results from a thinner foulant layer due to a more hydrophilic membrane (Figure 1) and weaker ⁵²⁶ hydrophobic interactions (Figure 2) at 35 °C versus 27 °C. The smaller R_f mitigates the effect of a ⁵²⁷ larger value of A at 35 °C compared to 27 °C (Figure 4), with the net effect being a smaller flux ⁵²⁸ loss at 35 °C. Conversely, raising T from 35 to 40 °C brings about a negligible change in interfacial ⁵²⁹ properties and R_f (similar hydrophilicity and adhesion forces, cf. Figures 1 and 2), but a significant ⁵³⁰ increase in A (Figure 4) that results in more severe flux loss at 40 °C compared to 35 °C.

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532 These arguments are supported by the experimental data. Solving for R_f using Equation (2) with data from Figures 4 and 5 ($A_{35 \circ C} = 4.9$ LMH bar⁻¹, $A_{40 \circ C} = 6.7$ LMH bar⁻¹, ($J(t = 24 \text{ h})/J_0$) 35 °C = 533 0.75 and $(J(t = 24 \text{ h})/J_0)_{40 \circ \text{C}} = 0.68)$ yields $R_{f, 35 \circ \text{C}} = 0.07$ bar LMH⁻¹ = $R_{f, 40 \circ \text{C}}$, i.e., similar foulant 534 535 layer resistances consistent with the AFM results (Figure 2); thus, the greater flux loss at 40 °C 536 compared to 35 °C stems from $A_{40} \circ c > A_{35} \circ c$ (Figure 4). On the other hand, $R_{f, 27} \circ c = 0.14$ bar LMH⁻¹, a significantly higher resistance (due to stronger adhesion at 27 °C) that causes a more 537 538 pronounced flux loss compared to experiments at higher T. As we elaborate in section S.4 (SI), we 539 estimate the thickness of the foulant layer at $O(10 \ \mu m)$, and the fraction of foulant adhered to the 540 membrane at ca. 3% of the total mass of alginate. Thus, we can neglect the contribution to the 541 slow-down of the fouling rate resulting from a lower concentration of alginate in the feed.

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Finally, the results of conductivity and TOC rejection at each temperature are summarized in Figure 5(b). These data are derived from measurements at t = 2 h and 24 h after initiation of the fouling experiment and are reported as a single average as they were similar (within 1%) to one another at each temperature. Conductivity rejection remained approximately constant with increasing temperature, exhibiting values similar to those of the clean membrane (see Figure 4). Similarly, TOC rejection shown in Figure 5(b) is independent of temperature. Although increased passage of dissolved alginate could be expected with rising temperature on account of membrane swelling³¹, the high TOC rejection suggests that alginate (likely found as Ca⁺²-complexed aggregates) are large enough (> 1 nm¹¹⁰, compared to sub-nanometer voids in polyamide^{1,105}) as to deposit on the surface of the membrane as a fouling layer. The TOC passage observed (1.7-2.4%) is likely due to low molecular weight impurities in alginate (e.g., polyphenols and proteins¹¹¹). Similar TOC passage has been observed by previous studies with humic acid.³¹





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Figure 5: Effect of temperature on the performance of ESPA2-LD membranes during alginate fouling: (a) 558 flux decline of ESPA2-LD membranes over 24 hours during accelerated fouling with 250 mg L⁻¹ sodium 559 alginate for each indicated feed temperature given in the inset. Due to the noise underlying the permeate 560 flow rate measurements, flux data was smoothed using a locally estimated scatterplot smoothing algorithm 561 (loess) implemented in Origin 2018 (Northampton, MA). (b) Average conductivity and TOC rejection after 562 initiation of fouling. Error bars denote one standard deviation. Experimental conditions: initial permeate 563 flux $J_0 = 20$ LMH; feed solution 14.7 mM synthetic wastewater at pH = 7.4 supplemented with 250 mg L⁻ 564 ¹ sodium alginate; crossflow velocity = 15.8 cm/s.

566 4. Conclusion

567 We have shown that membrane interfacial and transport properties play competing roles during 568 alginate fouling of reverse osmosis membranes at different temperatures. Colloidal probe force 569 spectroscopy (CPFS) measurements show that foulant-membrane interactions are markedly 570 temperature-dependent (Figure 2). Rising temperature weakens foulant adhesion, given that 571 foulant-membrane hydrophobic interactions, which become weaker with increasing temperature, 572 drive adhesion onto clean membranes. Conversely, the monotonic increase in water permeance 573 with temperature (Figure 4) worsens fouling, which suggests that lower operating pressures (and 574 hence lower fluxes) will be needed during extreme temperature conditions (e.g., heat waves) to 575 avoid exposing the membrane to excessive fouling. Interestingly, our results suggest that 576 membrane hydrophilicity, a key interfacial property in membrane development, becomes less 577 relevant at high feed temperatures, since membranes become *ipso facto* less hydrophobic at higher 578 temperatures (Figure 1 and 2). CPFS measurements further show that the alginate layer is self-579 limiting: once an adlayer of a critical thickness is formed, deposition of additional foulant 580 molecules is hindered by weak (or repulsive) foulant-foulant interactions (Figure 3), which appear 581 to be temperature independent. Our results also suggest possible lines for future inquiry. 582 Understanding of the process conditions that lead to the formation of the critical foulant layer is 583 crucial for effective fouling management. Given the preeminent role of hydrophobic interactions 584 in alginate fouling, experiments at lower temperature (which strengthen foulant-membrane 585 hydrophobic interactions) should be conducted to understand fouling under feed conditions 586 relevant to temperate and cold climates. These experiments would also be useful in the formulation 587 of cleaning-in-place formulations tailored to specific feed temperatures.

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599 Supporting Information

600 The Supporting Information is available free of charge on the ACS Publications website at

601 <u>http://pubs.acs.org</u>.

602	• Additional materials and methods (sections S.1 and S.2). Calculation of the
603	permeate drag force exerted on a colloidal particle (section S.3). Estimation of the
604	foulant layer thickness and adsorbed mass (section S.4). Tapping-mode AFM
605	images of polyamide membranes at various temperatures (Figure S1). ζ-Potential
606	of polyamide membranes at various temperature and pH conditions (Figure S2).
607	Representative force curves over pristine and alginate-fouled membranes (Figures
608	S3-S5). Snap-in forces (Figure S6) and snap-in distances (Figure S7) on pristine
609	membranes. Rupture separations over pristine (Figure S8) and alginate-fouled
610	(Figure S9) membranes.

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