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7	Genomic interrogation of Candida
8	albicans with relation to reproductive
9	health and fertility
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17 Summary

Candida albicans is a commensal yeast that can colonize a variety of host-associated niches including the human urogenital tract. It is the most common cause of fungal infections both superficial and systemic. Fungal infections, including vulvovaginal candidiasis, have been heavily implicated as a multifaceted cause in human infertility with host immune effects and microbiome alterations being other influencing factors. Previous work investigated the prevalence and diversity of a number of Candida albicans isolates sourced from individuals with differing fertility statuses using MLST-based methods. This current study aimed to use comparative genomic methods to investigate at whole genome level the previously described isolates in combination with database genomes to identify if genes or genetic variants display an association with the ability to colonize certain niches. Pangenome construction and enrichment analysis of database C. albicans assemblies showed an enrichment of virulence genes with the core genome. A genome wide association study of the Swansea isolates and a large dataset originating from NCBI's sequence read archive (SRA) identified 35 variants significantly associated with isolation from the female reproductive tract which. These variants presented enrichment for functions related to antifungal resistance and hyphal growth. Together, these variants may influence the ability for a strain to persist within the female reproductive tract and to be capable of causing recurring vulvovaginal candidiasis thus potentially influencing fertility. These results offer ideal targets for further study from a genomic perspective to explore their ecological presence within the organism's natural environment and further as targets for phenotypic investigations. The outcomes of which can be used to better our understanding of how C. albicans can influence reproductive health and wellbeing.

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225 Chapter 1 – General Introduction

226 <u>1.1 - Candida Biology</u>

227 1.1.1 - Cell Morphology

- 228 *Candida albicans* is often described as a dimorphic fungus due to its ability to grow as both as
- 229 unicellular yeast and multicellular hyphal or filamentous cells, however it does have several further
- 230 morphological phenotypes including opaque, gastrointestinally-induced transition (GUT) and pse

udohyphal (1, 2). Differences between these morphologies are described in table 1.

; ;	Morphological Phenotype	Cell Shape	Unicellular / Multicellular	Special Morphological Features	Special Functions	Host Interactions
					Conventional	Bloodstream
	Veast	Round-to-	Unicellular	N/A	Biofilm	Vagina Skin and
	i oubt	Oval		1.0/2.1	Formation	Gastrointestinal Tract
						Commensalism
						Induced Endocytosis,
					Thigmotropism,	Penetration of Host
	Hypha	Tube	Multicellular	N/A	Conventional	Epithelial Cells,
	пурна	Tube	Witheenulai	11/14	Biofilm	Mouth, Vagina and
					Formation	Bloodstream
						Virulence
		Flongated		Indented Cell-	Conventional	Mouth, Vagina and
	Pseudohypha	Filipsoid	Multicellular	Cell Junctions	Biofilm	Bloodstream
		Linpsoid		Cell Junetions	Formation	Virulence
				Surface	Parasexual	High Fitness in
	Opaque	Ellipsoid	Unicellular	Pimples	Reproduction	Neonatal Mouse Skin
					Competent	Colonization Models
						High Fitness in
						Mouse
	GUT	Ellipsoid	Unicellular	N/A	Unknown	Gastrointestinal
						Commensalism
						Models

Table 1: Description of the main *Candida albicans* morphological phenotypes, adapted from Noble, 2016.

248

The three primary *Candida albicans* morphologies: yeast, pseduohypha and hypha have all been
 implicated in pathogenesis however, morphogenesis to the hyphal form is required for disseminated
 infections (1).

252 GUT cells are specially adapted for survival in the gastrointestinal tract, living at a relatively high 253 abundance compared to other unicellular Candida albicans morphologies without harming the host 254 and outcompeting other morphologies (1). Transition to the GUT phenotype is driven by increased 255 expression of WOR1, which is induced by transition through the gastrointestinal tract (1). The distal 256 gastrointestinal tract is depleted of glucose which is absorbed in the proximal small bowel (3). This 257 requires changes to Candida albicans metabolism to optimise utilization of the nutrients available 258 (1). GUT cells achieve this by altering their metabolism, with downregulation of the glucose 259 utilization pathway, and an upregulation of the N-acetylglucosamine metabolism, a glucose 260 derivative found in host mucin, and short chain fatty acid metabolism, which are produced by gut 261 bacteria following fermentation of indigestible carbohydrates, both of which are more common in 262 the distal human gastrointestinal tract (3-5). A decrease in iron uptake is also seen as the high 263 availability of iron throughout the large bowel and restriction helps defend against iron-related 264 toxicity (6).

265 <u>**1.1.2**</u> - White-Opaque Switching and Parasexual Cycle

266 Candida albicans is usually heterozygous at the mating type locus (MTL) but through mitotic 267 recombination or through loss of one copy of chromosome 5 and duplication of the other, can yield 268 homozygous as either MTLa/a or MTL α/α (7). This can cause a switch from the normal 'white' yeast 269 morphology to the elongated 'opaque' morphology (7). White cells are seen to be spherical and have 270 bright, raised colonies while opaque cells are more elongated and have darker and flatter colonies 271 (8). Switching between the white and opaque phenotypes is a rare event however it can be induced 272 by environmental signals (9). Opaque cells are capable of parasexual reproduction with other 273 opaque cells and shows differential expression of genes compared with white cells which alters their 274 ability to colonize different niches, virulence and ability to evade the immune system (10-12). During 275 oropharyngeal candidiasis opaque cells are seen to be either cleared from the oropharynx or switch 276 to the white phenotype due to the opaque phenotypes inability to invade the oral epithelial cells 277 (13). This is potentially because of reduced expression of ALS3, an invasin that is required for 278 Candida albicans to actively penetrate epithelial cells (13). 279 Parasexual reproduction involves conjugation of two cells resulting in a tetraploid zygote that

280 undergoes chromosomal loss until reaching a near diploid state. This often results in high levels of

- homozygosity and aneuploidy (14). This loss of chromosomes in a random process that produces a
- variety of aneuploid intermediates. In some cases this can lead to complex genome architectures
- and karyotypes, with simultaneous occurrence of disomic, trisomic and tetratsomic chromosomes
- 284 (15). This process enables diversification of the genome when subjected to stress by revealing new
- 285 combinations of recessive traits through loss of heterozygosity events, while this can be adverse to
- the individual the diversification may enable survival through the stressful conditions (16).

287 **1.1.3 - Symbiotic Relationships**

- 288 *Candida albicans* is an opportunistic fungus, capable of existing as a commensal or a pathogenic
- 289 organism within a human host (2). Within a healthy human microbiome *C. albicans* exists as a
- 290 member of a complex community of microorganisms, however when dysbiosis occurs, and C
- albicans becomes dominant, infection occurs (17). Host factors are an important component in
- 292 establishing the relationship between *Candida albicans* and its host, with a host genetic
- 293 susceptibility to *Candida* playing an important role (18). Defects in the innate immune response and
- 294 cellular immunity can cause susceptibility to infection with different mutations giving susceptibility
- to infection in different sites (17). Defects in the STAT1 (Signal transducer and activator of
- transcription 1) gene which codes for a transcription factor involved in mediating the cellular
- 297 response to interferons, cytokines and growth factors can be linked to chronic mucocutaneous
- 298 candidiasis (19). Of particular importance is a defect in IL-17 signalling which is essential in control of
- 299 Candida albicans in the oropharynx and this defect is complicit in increased susceptibility to
- 300 oropharyngeal candidiasis (20).
- 301 While host factors are an important component in this relationship a number of fungal factors, such
- 302 as morphology, adhesin expression, cell wall proteins candidalysin expression can also influence the
- 303 role of *C. albicans* within the host (17).

304 **<u>1.2</u>** - *Candida albicans* Niches

305 **<u>1.2.1 - Female Reproductive Tract</u>**

The upper female reproductive tract consist of the fallopian tubes, uterus and endocervix while thelower reproductive tract comprises the ectocervix and the vagina (21).

- 308 The healthy female genital microbiome is a dynamic environment consisting of a range of
- 309 microorganisms, mostly consisting of a significant number of *Lactobacillus* species (22). The
- 310 protective role these *lactobacilli* provide is vital for sexual and reproductive health (23). The most
- 311 common cause of change in this environment is bacterial vaginosis (BV), a condition in which
- 312 Lactobacillus sp. are no longer dominate within the microbial community but instead a variety of
- 313 obligate anaerobic bacteria usually found in small quantities become more established (24). The

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- 314 second most common of infectious vaginitis is vulvovaginal candidiasis (VVC), a similar alteration in
- 315 the microbiome caused by *Candida* species overgrowth and inflammation (25). Thus, destabilisation
- 316 of eubiosis by means of reduced *Lactobacilli* species results in increases of local pH and reduction of
- 317 antimicrobial products produced by Lactobacilli
- 318 Of course, eubiosis maintenance is not limited to the microbes present, to ensure the female
- 319 reproductive tract can maintain a healthy microenvironment capable of allowing endogenous
- 320 vaginal floral growth while preventing invasion by harmful pathogens cyclic hormone levels control
- 321 the vaginal mucosa (*26, 27*). The presence of neutrophils also helps to protect against pathogenic
- 322 microorganisms (26).

323 1.2.2 - Male Urogenital Tract

- 324 The male urogenital tract is formed by the combination of the male urinary and reproductive
- 325 systems. The urinary system consists of the kidneys, bladder, ureters and urethra while the
- 326 reproductive tract consists of the testicles, epididymis, vas deferens, seminal vesicles, prostate
- 327 glands and penis.
- 328 Seminal fluid provides ideal conditions for survival and transport of bacteria, viruses, parasites and
- 329 fungi (28-30). Fungi within the urogenital tract can cause a variety of infections such as urethritis and
- 330 ulcers by species such as *Tinea corporis* and *Malassezia* species (*31, 32*). Of the fungal infections,
- 331 only candidiasis is considered to be sexually transmitted, while the infection is more common in
- 332 women, men can act as a reservoir for infection through transmission of pathogenic or AMR
- 333 resistant strains (2, 30).
- 334 While the lower male urogenital tract shows a diverse range of microorganisms the upper male
- 335 urogenital tract is typically absent of microorganisms, except in cases of infection. Sexually
- transmitted diseases and circumcision can both impact the makeup of the lower genital tract
- 337 microbiome offering the environment for opportunist pathogens to cause infection (33).

338 **<u>1.2.3 - Human Gastro-intestinal Tract</u>**

- 339 The human gastro-intestinal tract is made up of the upper tract, the mouth, oesophagus, stomach
- 340 and duodenum while the lower tract consists of cecum, colon, rectum and anus.
- 341 Microbiota in the gastro-intestinal tract benefit the host through a range of physiological functions
- 342 such as shaping the intestinal epithelium, metabolism of short chain fatty acids and protection
- 343 against pathogens (34-36). They are known to have major effect on host health either causing or
- 344 perpetuating diseases such as....
- 345 The majority of microorganisms in the gastro-intestinal tract belong to the *Proteobacteria*,
- 346 Firmicutes, Actinobacteria and Bacteroidetes phyla (37). Candida albicans is also present, often as a

- 347 commensal organism and its ability to colonize is impacted by the host immune system, bacterial
- 348 competitors, and their own gene expression (*38, 39*).
- 349 The gastro-intestinal mycobiome is less stable than the microbiome in general, however *Candida*
- 350 species are found to be one of the more commonly detected fungi probably due to the *Candida*
- 351 *albicans* GUT morphology being specially adapted to survival in the gastro-intestinal tract by
- increasing expression of genes associated with fatty acid metabolism and N-acetylglucosamine (5,
- 353 40). However, many microbiome studies highlight the impact of bacterial communities on gut health
- with the description of the role of fungi such as Candida lest often described.
- 355 **<u>1.3 Candida albicans and Human Health</u>**

356 **<u>1.3.1 - Role as a Commensal</u>**

357 Candida albicans are a common member of the human mycobiome, acquired at or near birth, across 358 a range of body sites (41). As an opportunistic pathogen, infection can be common, but they are also 359 documented to provide some essential benefits, particularly in development of the immune system 360 and protection from pathogens (42, 43). In order to successfully colonize a human host Candida 361 albicans must be able to adhere to epithelial and mucosal surfaces, prevent strong immune 362 responses and outcompete or co-inhabit with other members of the host's microbiome (41). The 363 morphology of Candida albicans can be an important factor in determining whether it acts as a 364 commensal or a pathogen, with yeast cells usually being commensal and hyphal cell types often 365 being pathogenic, however this is not always the case (1). For instance, commensalism in the gut is 366 promoted by SFU1, a gene part of a unique iron utilization system that restricts uptake of iron, which 367 in the gut can reach toxic levels for Candida albicans (6). This highlights the complex network of 368 phenotypes and of course genotypes that may determine the ability for colonisation and indeed 369 pathogenic ability.

370 The role of adhesins in colonization by *Candida albicans* is still not well known, however the

371 agglutinin-like sequence (ALS) gene family, a group of GPI-anchored proteins with adhesive

properties, is the best studied (41, 44). The gene family has eight members (ALS1-7 and ALS9) that

373 are expressed in both the yeast and hyphal morphologies (41). These adhesins have been seen to

have a complex role with deletion of ALS2 and ALS3 causing a decrease in adhesion while deletion of

- 375 ALS5-7 caused increased adhesion (45-47). Alongside the ALS family a hypha specific adhesin, Hyphal
- 376 Wall Protein 1 (*HWP1*), is also seen to be highly expressed during colonization and its deletion
- 377 causes a significant decrease in virulence (48). Adhesive properties can be altered by changes to
- 378 Candida albican's morphological state, with the hyphal form being both more adhesive and more
- 379 virulent (41).

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- 380 *Candida albicans* is believed to play a role in providing protection for the host against bacterial
- 381 pathogens with *Candida albicans* colonised mice being more likely to survive infection by *Clostridium*
- 382 *difficile* than those without *C. albicans* (43). However, the exact reason for this is not fully
- 383 understood. *Candida albicans* has also been seen to inhibit *Pseudomonas aeruginosa* pathogenicity
- despite not hindering its ability to colonize. It has been hypothesised that this is achieved through
- 385 supressing expression of siderophore encoding genes (49).
- 386

387 1.3.2 – Candida albicans as a Pathogen

388 Despite displaying protective functions against bacterial pathogenesis, *C. albicans* itself can cause

389 infections. Infections are in two main categories, superficial infections of mucosal surfaces and life-

390 threatening systemic infections (50). The latter highlighted by documented rates of mortality as a

- 391 result of C albicans infection as high as 40% in those who are immunocompromised and those
- 392 receiving immunosuppressants (51).
- Infection can often be elicited as a consequentially to the use of invasive medical devices such as
 intravenous lines and catheters with approximately 50% of catheters used representing a site of
- infection. These allow for many of the human defences such as the mucosal surfaces to be bypassed
- and facilitates systemic infections through the blood stream (51, 52).
- 397 Morphology is an important factor in the ability of *Candida albicans* to act as a pathogen with
- 398 different morphologies showing different interactions with the host and different expression of
- 399 virulence factors (1). Hyphae in particular show unique expression of several adhesins, tissue-
- 400 degrading enzymes and antioxidant defence proteins (53-56). The increased virulence of hyphal
- 401 morphology compared to other morphologies make them the dominant cell type seen in superficial
- 402 *Candida albicans* infections such as vulvovaginal and oropharyngeal candidiasis (*57, 58*). Hyphae can
- 403 also penetrate epithelial cells using a combination of physical pressure and secreted enzymes while
- 404 the yeast morphotype can only colonize the surface of the epithelium (57, 59)
- 405 While differences in morphology are a major factor in the ability of *Candida albicans* to infect the
- 406 surface tissues the main three morphologies are all present in cases of disseminated candidiasis and
- 407 the ability to switch between these cell types is vital for virulence in bloodstream models (60, 61).
- 408 Biofilms are communities of microorganisms that often form on solid surfaces or at liquid-air
- 409 interfaces in a range of environments, including within humans. These communities display different
- 410 characteristics to planktonic cells (52). The Candida albicans biofilm consists of the yeast,

- 411 psuedohyphal and hyphal cell types with an extracellular matrix (62, 63). One of the most important
- 412 roles of a biofilm is to protect cells against environmental damage, both physical and chemical.
- 413 Within a host-pathogen context these biofilms can often be as a shield against the offensive immune
- 414 system (52, 64). Resistance to antimicrobial agents within a biofilm is another survival and
- 415 persistence route. Primarily due to the upregulation of two major classes efflux pumps within the
- 416 extracellular matrix, the ATP-binding cassette superfamily and the major facilitator class (65, 66), the
- 417 extracellular matrix also acts as a physical barrier to contribute to drug resistance (67). Thus, the
- 418 afore mentioned medically implanted devices provide ideal environments for persistence through
- 419 surface biofilm formation (52).
- 420 Candida albicans can utilise two methods to invade host cells, induced endocytosis and active
- 421 penetration (68). Induced endocytosis involves the expression of invasins that mediate binding to
- 422 cadherins on host cells triggering engulfment of the fungal cell by the host cell. This process has
- 423 been seen in multiple cell types including dead cells indicating this is a passive process (69, 70).
- 424 Active penetration is unique to hyphal cells and can occur either by invasion of the epithelial cell or
- 425 by passing through intercellular junctions between the epithelial cells (70, 71). Once through this
- 426 barrier systemic infection becomes a possibility.

427 <u>1.3.3 – Host immune response</u>

428 The relationship between a host and *Candida albicans* is most significantly influenced by the host's 429 innate and adaptive immune responses. Healthy immune systems can control the growth of Candida 430 albicans, however when the immune system is compromised these restrictions are removed 431 allowing for uncontrolled growth. This can lead to invasion of the mucosal surface by the hyphae 432 leading to infection, causing damage to the underlying tissue and potentially dissemination 433 throughout the entire host (51). A key component of the host immune-microbe relationship in a site-434 specific manner is the gut microbiome. As previously documented, dysbiosis of the gut microbiome 435 as a result of external environmental changes (antibiotic use) or host immune changes offers 436 capacity for *C. albicans* as well as other microbes to proliferate resulting in community changes (72). 437 In consequence, the conventionally immune modulating microbiome, now suffering dysbiosis, 438 further perpetuates an altered immune response which in term augments pathogenesis (72). 439

- Dendritic cells act as a link between the innate and adaptive immune responses and initiate the
- 440 adaptive immune response against *Candida albicans*. This is done by the presentation of antigens to
- 441 immature T-cells by the dendritic cells through the use of T-cell receptors (51). Antigens are
- 442 obtained after immature dendritic cells are recruited to the site of an infection by chemokines and
- 443 anti-microbial peptides (73, 74). Candida albicans is then recognised through interactions between

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444 pattern recognition receptors (PRRs) on the dendritic cell surface and pathogen-associated 445 molecular patterns (PAMPs) present on the fungal cell wall (75). These PRRs recognise highly 446 conserved structures that are part of the fungal cell wall such as N-linked and O-linked mannans and 447 β -glucans (76, 77). After detection, the fungal cells are phagocytosed and surface proteins are 448 processed into antigenic proteins which are assembled onto major histocompatibility complex 449 (MHC) class II molecules (51, 78). These antigens are then both presented to memory T-cells at the 450 site of infection and to naïve T-cells in the lymph nodes. T-cell receptors can interact with the MHC 451 class II and through the secretion of cytokines lead to activation and differentiation of the naïve T-452 cells into specialised T-cells (51). Different dendritic cell subsets have been seen to cause different 453 T-cell responses with Langerhans cells promoting a Th17 response but not a CD8⁺ T-cell response, 454 while Langerin⁺ cells cause a Th1 and CD8⁺ response while inhibiting a Th17 response, resulting in 455 mixed dendritic cell populations giving a non-redundant response (51, 79).

456 CD4⁺ and CD8⁺ T-cells are both involved in the immune response to Candida albicans after activation 457 by dendritic cells (51). While CD8⁺T-cells are shown to inhibit *Candida albicans* hyphal growth the 458 main mechanism in which the adaptive immune system responds is through CD4⁺T-cells generating 459 a T-helper response (51, 80). The importance of this response is seen in HIV/AIDS patients commonly 460 develop oropharyngeal candidiasis because of the lack of CD4⁺T-cell protection (81). There are four 461 subsets of T-helper cells, Th1, Th2, Th17 and Treg, and the subset is dictated by the 462 microenvironment and the cytokines present when dendritic cells interact with the naïve CD4⁺T-463 cells. Th1 and Th17 responses are the most important in fungal clearance at the mucosal surfaces 464 while the Th2 response is more associated with increased growth and dissemination of Candida 465 albicans (51).

466 **<u>1.4 - Infertility</u>**

Infertility is the inability to establish a clinical pregnancy after 12 months of regular, unprotected
sexual intercourse or the impairment of an individual to reproduce. Infertility is defined as occurring
over a restricted time period while sterility is a permeant state of infertility. Infertility can be
primary, an individual who has never been involved in a clinical pregnancy and is classified as
infertile, and secondary, where the individual meets the criteria of infertility but has previously been
involved in a clinical pregnancy (82).

473 Infertility effects approximately 8-12% of couples worldwide with rates varying based on gender and

474 geographical region (83). Infertility prevalence varies around the world with rates varying from 14%

in developed western countries to 30% in some developing regions in women (84). Men contribute

476 to 50% of infertility cases and are wholly responsible for 20-30% of infertility cases (*85*). Secondary

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- 477 infertility is the most common form of infertility, particularly in the developing world where there
- 478 are high rates of unsafe abortions and poor maternity care which result in reproductive tract
- 479 infections (*86, 87*).
- 480 Infertility is effected by three major factors, time of unwanted non-conception, the age of the
- 481 female partner and disease-related infertility (88). Disease related infertility can affect either gender
- 482 or be gender specific, a list of the diseases that affect fertility is shown in table 2.

Table 2: Lists of diseases that effect fertility and the gender they effect. Adapted from Borght and Wyns, 2018.

Disease related infertility in both genders	Disease related infertility in women	Disease related infertility in men
Hypogonadotrophic hypogonadism	Premature ovarian insufficiency	Testicular deficiency
Hyperprolactinemia	Polycystic ovary syndrome	Post-testicular impairment
Disorders of ciliary function	Endometriosis	
Cystic fibrosis	Uterine fibroids	
Infection	Endometrial polyps	
Systemic conditions		
Lifestyle related factors		

483

484 **<u>1.4.1 - Female Genital Tract Infections and Infertility</u>**

485 The healthy vaginal microbiome is primarily dominated by Lactobacillus species however when the 486 vaginal microbiome is altered it can lead to a range of adverse conditions including infertility (89). 487 The most common cause of change in this environment is bacterial vaginosis (BV), a condition in 488 which Lactobacillius sp. are no longer dominate but instead a number of anaerobic bacteria usually 489 found in small quantities become more established (24). The second most common of infectious 490 vaginitis is vulvovaginal candidiasis (VVC), a similar alteration in the microbiome caused by Candida 491 species overgrowth and inflammation (25). Previous research has already established a link between 492 abnormal vaginal microflora, BV and infertility (90, 91). A possible correlation between the absence 493 of BV infections and pregnancy was also observed. It was theorised that this could be due to 494 pregnancy acting as a protective factor due to hormonal changes favouring *Lactobacilli* colonization, 495 or that rate of conception is higher in the absence of infection (90), potentially a combination of the 496 two. Further, it has been demonstrated that a non-Lactobacillus dominated vaginal microbiome is 497 associated with significant decreases in both pregnancy chance and healthy pregnancies (92).

Female mice models have shown that *Candida albicans* present in the reproductive tract caused
sperm agglutination and immobilization while also not causing any signs of histopathological
changes to the reproductive organs (*93*).

501 **1.4.2 - Male Genital Tract Infections and Infertility**

502 Microbes have been shown to have a major effect on sperm function through a range of 503 mechanisms including sperm-bacteria cellular interactions leading to agglutination, secretion of 504 bacterial membrane proteins that alter motility and sperm ultrastructure and in the production of 505 reactive oxygen species (94-96). Candida infection of the urogenital tracts has been shown to cause 506 male infertility through a decrease in spermatozoa motility and azoospermia (97, 98). Male fertility 507 has also been negatively affected by C. albicans specifically through morphological damage to 508 spermatozoa, most commonly through breakdown of the acrosome leading to its complete loss (99, 509 100). Aggregation of spermatozoa have also been observed when incubated with *C. albicans in vitro* 510 (30). This is possibly due to mannan on the surface of many Candida species that mainly consists of 511 mannose residues. Spermatozoa have a corresponding receptor for this carbohydrate offering a 512 binding ability which negatively affects seminal parameters (30). Infections of the male reproductive 513 tract are shown to be associated with a decreased reproductive capacity and the severity of this 514 impact is often variable as the reproductive microbiome is dynamic (101). This highlights the role of 515 both partners in fertility and brings to focus how the reproductive tract microbiota could be 516 visioned.

517 **1.5 - Vulvovaginal Candidiasis**

518 **<u>1.5.1 - Prevalence and Symptoms</u>**

519 Approximately 70% of women will suffer from VVC in their lifetimes with 50% suffering from a 520 second infection after anti-fungal treatment, usually by the same strain as the original infection 521 (102). There have also been cases of recurrent episodes of VVC separated by asymptomatic periods 522 known as recurrent vulvovaginal candidiasis (RVVC) which effects approximately 138 million women 523 worldwide each year (18, 103). Candida albicans is the most common species seen in VVC being 524 responsible for over 90% of acute cases of VVC and 85-90% of cases of RVVC (25, 102). To be defined 525 as recurrent vulvovaginal candidiasis an individual must have 3 or more acute episodes of VVC within 526 a 12 month period (18). In some women asymptomatic colonization of Candida can occur for years 527 while symbiosis with the vaginal microbiome is maintained. Only on a breakdown of this 528 relationships will acute symptomatic VVC occur (18), the reasoning for the dysbiosis can be varied.

- 529 Symptoms of VVC can include an odourless white vaginal discharge, itching and irritation around the
- 530 vagina and soreness or stinging during urination and sexual intercourse (104). These symptoms are
- 531 often most prominent just before the menstrual period and often a history of similar symptoms.
- 532 These symptoms can often overlap with the symptoms of other common reproductive tract
- 533 infections so additional testing, such as pH testing or a whiff test, are required to confirm a diagnosis
- 534 (*105, 106*).

535 **1.5.2 - Predisposing Factors of VVC and RVVC**

- 536 Candida blastospores will migrate into the vestibule and vagina from the lower gastrointestinal tract
- and adhere to the vaginal epithelial cells. While this usually happens in low numbers and the
- 538 Candida exist in symbiosis with the vaginal microbiota, a breakdown in this relationship results in
- 539 acute VVC (18). Increased Candida colonization is one factor in increased susceptibility to RVVC with
- 540 a number of host factors, as shown in Figure 1 also influencing the risk of developing RVVC (18).



Figure 1 - Host, behavioural and genetic factors that increase the risk of developing recurrent vulvovaginal candidasis. Image obtained from Sobel, 2016.

- 557 RVVC can be categorised as either primary or secondary RVVC. Primary RVVC refers to cases of RVVC
- 558 where secondary factors are not apparent and genetic factors are believed to be the most dominant
- 559 causal factor. Secondary RVVC can be linked to other triggerable factors however in most cases
- 560 there will still be a link to genetic influences (18). These genetic influences are seen with the links

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- 561 between increased RVVC susceptibility and ethnicity and blood group (107, 108). Links have also
- been seen between several genes and RVVC such as dectin and *TLR2* although the reason for
- 563 increased susceptibility is unknown and probably due to the high level of confounding factors (18,
- 564 109).

565 **<u>1.5.3 - Treatment Options</u>**

While VVC can be treated there is no guaranteed permanent cure due to the influence of geneticfactors causing increased susceptibility to recurrent infection. The current method for reducing the

- 568 risk of RVVC where there is no secondary stimuli triggering infections is a long-term course of
- 569 suppressive anti-fungal agents, usually a regime of fluconazole (18, 110, 111). Where other treatable
- 570 risk factors are identified, such as use of an oral contraceptive, this can be treated in tandem with
- 571 antifungal agents however, the efficacy of these additional steps is mostly anecdotal (112).
- 572 Currently the RVVC fluconazole treatment involves 150mg every 72 hours over 3 doses followed by a
- 573 weekly 150mg dose for a period of at least 6 months. This therapy has shown to be safe, affordable
- and effective, with episodes of symptomatic vaginitis being approximately 5%. Ending this regime
- 575 will result in a return of RVVC in the first 4 months in up to 50% of individuals (110, 111). Of course, a
- 576 major factor in the success of AM treatment is the sensitivity profile of the infection causing *Candida*
- 577 species whereby resistance and the mechanism of which is currently well known.

578 **<u>1.6 - Antimicrobial Resistance</u>**

579 **<u>1.6.1 - Triazole Action and Resistance</u>**

- 580 Triazoles are heterocyclic compounds consisting of a five membered ring of two carbon atoms and 581 three nitrogen atoms, the most commonly used in anti-fungal treatments is fluconazole (113) 582 Fluconazole is a first-generation triazole derived from ketoconazole, another prominent anti-fungal 583 agent in animal models. Fluconazole was first synthesized as a replacement for imidazole which 584 would be better metabolized and water soluble (114). Fluconazole is one of the most commonly 585 used anti-fungal agents however it is limited in its range of action compared to other anti-fungals, 586 with it primarily being active against yeasts but inactive against filamentous fungi. It is very 587 commonly used to treat oropharyngeal, oesophageal and disseminates candidiasis (115). The 588 structure of fluconazole is shown in Figure 2.
- 589
- 590
- 591



- 601 Figure 2: The structure of fluconazole, a synthetic triazole consisting of two triazole groups at position 1 and 3 and by a diflufophenyl group at position 2. Image adapted from PubChem using Chemdraw.
- 602

603 Triazoles act by inhibiting cytochrome P450, a haemoprotein involved in drug metabolism. Within

604 fungi, 14-α sterol demethylase (CYP51) is the main target for triazole action, preventing synthesis of

605 ergosterol, an important component in the fungal cell membrane (*116, 117*). This leads to an

accumulation of methylsterols in the fungal cell membrane resulting in either cell death or inhibitionof growth (*115, 118*).

608 Resistance to triazoles can occur due to modifications to the CYP51 gene or by independent 609 mechanisms (119). Changes to the CYP51 gene usually involve point mutations that cause amino 610 acid substitutions, resulting in reducing access by the triazoles, such as substitutions to M220 and 611 G54, or reducing the ability of the triazole to bind to the haem, as seen in the G448S substitution 612 (120, 121). The CYP51 promoter can also contain variant sites resulting in increased expression, this 613 is usually accompanied by point mutations within the coding region of the gene itself. Both a 34bp 614 tandem repeat in the promoter and a L98H amino acid substitution and a 46bp tandem repeat with 615 multiple point mutations resulting in multiple amino acid substitutions (Y121F and T289A) (122, 616 123). CYP51-independent mechanisms to resistance have also been seen with upregulation of ABC 617 transporters showing an association with azole resistance (124). In Candida albicans upregulation of 618 the CDR1 and CDR2 genes by TAC1 showed an association with resistance to multiple antifungal 619 drugs including azole resistance (124).

620 **1.6.2** - Amphotericin B Action and Resistance

Amphotericin B (AmB) is a polyene antimicrobial synthesized in the polyketide biosynthetic pathway
 in bacteria naturally but it can also be produced synthetically (*125*). Its structure is shown in Figure 3.

623



AmB is used in treating invasive and systemic fungal infections including those of *Candida* as well as cases of leishmaniases (125). AmB has two effects on fungal cells, binding to sterols, primarily ergosterol, and induction of oxidative damage (126). AmB binds to sterols in the fungal cell wall through its hydrophobic domain allowing multimeric pores to be formed. These pores allow the movement of small cations into the fungal cell causing a depletion of intracellular ions, leading to

- 639 cell death (126). AmB also has an effect simply by sequestering ergestorol and preventing it from
- 640 being used in its various processes (endocytosis, vacuole fusion and cell membrane protein
- 641 stabilisation), enhancing its fungicidal activity through this multi-mode of action (127, 128).
- 642 AmB has also shown to have fungicidal activity when its ability to form pores is impaired suggesting
- 643 a different mechanism for killing fungal cells (129). This is through the induction of oxidative damage
- 644 through the direct production of free radicals (130). The mechanism by which AmB oxidative
- 645 damage causes an antifungal effect is unknown however it has been shown that AmB can act both as
- 646 an auto oxidizer, but it can also act as an antioxidant (131, 132).
- 647 Resistance to AmB can come about due to decreases in ergosterol content and a build-up of sterol
- 648 intermediates as well as changes to the fungal cell wall of cells in biofilms (133, 134). This can cause
- 649 cross resistance with azoles as well as they both act on ergosterol (135). Resistance can also come
- 650 about after exposure to fluconazole that gives resistance to oxidative stress (136). This resistance is
- 651 associated with increased expression of *ERG* genes, stress genes and decreased expression of
- 652 mitochondrial enzymes, indicating that AmB resistance could be associated with decreased
- 653 mitochondrial activity and reactive oxygen species production (137).

654 **<u>1.6.3 - 5-Flucytosine Action and Resistance</u>**

655 5-Flucytosine (5-FC) is a synthetic organofluorine with a 5 substituted fluorine that is metabolised in

656 the pyrimidine salvage pathway (*138*). Its structure is shown in Figure 4.





667

668 5-FC is the standard antifungal drug of use alongside amphotericin B in treatment of cryptococcal

669 meningitis and in invasive and life-threatening *Candida* infections (138). While 5-FC has no antifingal

670 activity itself when taken in by a cell and metabolised into 5-flurouracil (5-FU) which has two

671 mechanisms of antifungal activity (138, 139). The first of these mechanisms involves the conversion

672 of 5-FU into 5-fluorouridine triphosphate (FUTP) which then replaces uridylic acid within fungal tRNA

673 and inhibiting protein synthesis (138). The other mechanism involved the conversion of 5-FU into 5-

674 fluorodeoxyuridine monophosphate (FdUMP) by uridine monophosphate pyrophosphorylase.

675 FdUMP is an inhibitor of thymidylate synthetase, an important enzyme in the synthesis of thymidine,

676 leading to inhibition of DNA synthesis (139).

677 Two mechanism for 5-FC resistance have been identified, a decrease in uptake and metabolism of 5-

678 FU due to mutations causing deficiencies in the required enzymes and through increased production

679 of pyrimidines that compete with the 5-FC metabolites, reducing their effect (140, 141) Of these the

- 680 most commonly seen form of acquired resistance is due to defective uridine monophosphate
- 681 pyrophosphorylase preventing the conversion of 5-FU into FdUMP (142).

682 <u>1.7 - Candida albicans Genome</u>

683 <u>1.7.1 - Description of the Genome and Karyotype</u>

684 *Candida albicans* is a diploid organism with 8 pairs of chromosomes ranging in size from 1 to 3.5

685 megabases with an overall haploid genome size of approximately 15 Mb and average GC content of

- 686 approximately 33.5%, depending on strain (143, 144). The size, centromere location and percentage
- 687 of genome of each chromosome is shown in table 3. *Candida* is a member of the highly diverse
- 688 Saccharomycotina sub-phylum. Saccharomycotina consists of eight major clades of which C. albicans
- belongs to the CTG clade, a selection of organisms in which the CUG codon codes for serine in place
- 690 of leucine (145).

•		• • • • •	
Chromosome	Approximate Size (Mbases)	Centromere Location (bp)	Percentage of Genome
1	3.1	1,561,879	21
2	2.3	1,924,378	16
3	1.8	816,770	12
4	1.7	1,000,800	12
5	1.2	465,800	8
6	1.1	975,879	7
7	1	423,765	7
R	2.5	1,748,965	17

Table 3: Chromosome-specific information for Candida albicans. Adapted from (Jones, 2004; van het Hoog, 2007)

691 **<u>1.7.2 – Aneuploidy in** *C. albicans***</u>**

692 C. albicans shows a high degree of genome plasticity and karyotypic variability with chromosomal 693 rearrangements commonly occurring in response to a variety of stresses. Copy number variation 694 (CNV) has been detected for small chromosomal regions such as individual genes, or indeed the 695 entire chromosome, the latter of which is referred to as an euploidy (14, 143, 146). In C. albicans 696 aneuploidy has been detected in all eight chromosomes, arising due to errors in DNA replication or 697 in the replication machinery (14). While an uploidy is usually a disadvantage for an individual, under 698 cellular stress it can confer an advantage, as is commonly seen in response to anti-fungal agents in 699 which case trisomy of a chromosome is most described (Figure 3) (147). However, little has been 700 documented that relates to occurrence in any linage or niche. The rational for a selective advantage 701 caused by aneuploidy has been suggested to relate to gene copy number whereby an increase in 702 copy number of genes coding for products related to managing stress or resistance responses means 703 a proportional increase in expression of these genes (14)

Monosomy of chromosomes only causes a twofold decrease in gene transcription in approximately
 15% of genes while approximately 40% of genes are maintained at the disomic level indicating a

- 706 cellular mechanism for transcription homeostasis (148). A small number of genes can even be
- 707 excessively upregulated in monosomy. Similar regulation of transcription is also in trisomy with 25%
- 708 of trisomic genes only being expressed at the disomic levels (149).



717

Figure 5: Example of an euploidy and how it occurs in *Candida albicans*. (A) After sexual reproduction the organism is tetraploid and will undergo random chromosome loss until diploid. (B) In normal conditions this occurs successfully, and the organism will only have two copies of each chromosome. (C) Under stressful conditions or due to errors in the replication machinery some chromosomes will only lose one of the extra copies leaving the organism triploid for one chromosome. Image created with BioRender.com

720

721 **1.7.3 - Variants and Large-Scale Mutations**

722 Single nucleotide variants SNVs have been shown to occur at a rate of approximately 0.3% in the 723 standard laboratory strain SC5314 while other strains have showed frequencies ranging from 0.5% in 724 isolates from the same clade and 1.1% in isolates from different clades (150, 151). A total of 89% of 725 variants were located within intragenic regions with the capability to alter the protein sequence or 726 expression levels (152). Emergent SNPs and indels have also been shown to cluster together within 727 the C. albicans genome within 10bp of each other suggesting recombination is at play (151). A 2:1 728 transition to transversion (Ti/Tv) ratio has been observed within the reference strain (153) 729 highlighting no abnormal ratio compared to other species and a selection for non-deleterious 730 transition mutations (single ring to single ring) that would less likely change an encoded amino acid. 731 **1.7.4 - Population Structure** 732 MLST analysis of Candida albicans has identified 18 major and minor clades. These clades showed a 733 significant statistical association with anatomical source and ABC (ATP binding cassette) genotype,

- rank emphasising a relationship between clades and virulence factors within *C. albicans*, as well as with
- 735 geographic location(154, 155). The full list of clades and their specific features is shown in table 3.
- 736 Studies have shown that clade 8 is enriched with isolates sourced from other animals while clade 1
- does not show any non-primate sourced isolated (156, 157). Clade 1 isolates are the most commonly

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- sourced from humans suggesting they are specially adapted for human colonization and infection
- 739 (156) however little has been documented to explain the nature of these adaptations for either
- genotype or phenotype. All the currently known clades are listed in table 4.

Table 4: List of identified clades within the *Candida albicans* population as well as primary isolation source, primary geographic locations, ABC genotype and known anti-fungal resistances. Adapted from McManus, 2014.

Clade	Origin of Isolates	ABC Genotype	Geographical Enrichment	Reduced Susceptibility
	Superficial			
	Infections,			Fluconazole, 5-
1	Vaginal	А	Worldwide	Flurocytosine,
	Infections, Oral			Terbafine
	Tract			
2	Bloodstream	А	UK	
3	Oral Tract	В	USA	Fluconazole
4	Blood Stream	B/C	Middle East, Africa	Amphotericin B
5	Oral Tract	B/C	Europe	
6	Oral Tract	В	UK	Fluconazole
7	NA	А	South America	
8	Bloodstream, Animals	A/B	Europe	
9	NA	A	Europe	
10	NA	В	Europe	
11	NA	A/C	Europe	
12	NA	B/C	Europe	
13	Vaginal	А	Africa	
14	NA	В	Asia	
15	NA	B/C	Asia	
16	NA	В	Asia	
17	NA	A/B	Asia	
18	Dyspeptic Patients	NA	Asia	

741

742 While approximately 4% of the *C. albicans* genome is heterozygous a range of adverse 743 environmental conditions (antifungal agent exposure, ultraviolet light and oxidative stress) can 744 trigger loss of heterozygosity (LOH) events (158, 159). Host-associated isolates are shown to be a 745 much more heterogenous population compared to clonal *in vitro* isolates. This is possibly due to the 746 effects of commensal carriage or due to the wide range of genotoxic stress presented to host-747 associated isolates, such as environmental changes or interaction with immune cells (160). Within an 748 individual a genetically heterogenous C. albicans population can be identified with the isolates 749 differing due to LOH events. However, MLST is insufficient to identify this heterogeneity except in 750 occurrences where the LOH event effects one of the MLST loci. MLST is also insufficient in identifying 751 the level of diversity between samples isolated from the same host due to its low discriminatory 752 power. Instead a genome wide analysis is required to fully analyse any potential diversity (160). 753 Specifically, this would require substantial resources to sequence and identify, to strain level, the 754 mycobiome. A feat that will, with the advance of sequencing technologies and informatic tools,

755 become far mor accessible in the not-too-distant future.

756 **1.7.5 - Reference sequence**

- 757 *Candida albicans* SC5314 is the commonly used wild-type control strain from which most of the
- other common laboratory strains are derived from. The strain was originally isolated from a patient
- 759 with a generalised *Candida* infection (*161*). The first whole genome assembly of *Candida albicans*
- 760 SC5314 (Assembly 19) was carried out using shotgun sequencing of the heterozygous diploid
- 761 genome. This consisted of 412 supercontigs with 266 representing a haploid set, representing 86.5%
- 762 coverage of the genome and gave an overall haploid genome size of 14.855 megabases.
- 763 Chromosome size and coverage was determined using the number and distribution of markers on a764 physical map (*153*).
- 765 183 supercontigs from assembly 19 were organised into 8 chromosomes using pulse-field gel
- relectrophoresis and hybridization to DNA microarrays to construct assembly 21. Assembly 21 is a
- haploid assembly with a size of 15.845 megabases in size. In regions where heterozygosity was
- 768 present in assembly 19 two allelic contigs were assembled (*159*). Centromeres were able to be
- 769 located in this assembly using sequences identified in Sanyal *et al.* and showed that chromosomes 2
- and 6 were acrocentric while the other chromosomes were metacentric (159, 162).
- 771 Muzzey *et al* assembled a phased diploid assembly of the SC5314 strain using next-generation
- sequencing. Variants were identified by mapping reads to assembly 21 and allowing for 3
- mismatches using Bowtie while INDELS were aligned using a window method and BWA. A phased
- assembly allowed for better investigation of variants and INDELs as well as seeing allele-specific

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effects, such as allele-specific mRNA expression (150). The current reference sequence has been
assembled using short reads and no long read sequencing has been used for the reference genome.

777 **1.7.6 – Genome Assemblies**

Most available assemblies on NCBI are haploid with diploid assemblies only available for the reference sequence. The current diploid assemblies held were sequenced using Illumina and used a reference guided assembly method for a chromosome level assembly. Mitochondrial DNA was also sequenced for these assemblies.

782 Variant phasing is an in silico approach that allows for identification of alleles on homologous 783 chromosomes, rather than having a single consensus sequence; combining sequences of the two 784 chromosomes into a single representative sequence. An approach that loses this information, while 785 maintaining a haploid genome. Phased genomes can be assembled in a number of ways including 786 sequencing of an individual's parental genomes and observing which of the parent's alleles are 787 inherited, using a reference population's haplotype information and computational methods that 788 extract physical linkage information (163). However, by far the most recent approaches use the high-789 quality short read sequencing data such as Illumina, while combined with long read third generation 790 platforms such as PacBio or Oxford Nanopore (ONP) to yield chromosomal scale phased dipoid 791 representatives of the genome (164). These genomes remove the ambiguity that can be generated 792 with the use of IUPAC codes within assemblies. Indeed, a phasing diploid assembly approach 793 remains currently limited in application with ~ 50 entries from long read platforms publicly held 794 within NCBI's SRA. This compares to over 1000 C. albicans based Illumina datasets (website accessed 795 Jan 2020).

796 **<u>1.7.7 – Investigation of the Genome</u>**

797 In addition to the genome sequence of an organism, how the genome is shaped, protected and 798 expressed also provides valid information on the function of an organism at any given time or within 799 any given environment. Indeed, epigenetic traits have been postulated to have a role in adaptation 800 and pathogenicity even at the mitochondrial genome level (165). Epigenetic modifications are 801 important regulators in altering the phenotype of Candida albicans, these can be studied using next-802 generation sequencing methods to examine base methylation and ChIP-seq to study histone 803 modifications (166, 167). A prime example of chromosomal epigenetic regulation in Candida 804 albicans is regulation of the white-opaque system which involves 8 transcription factors that 805 regulate their own expression and each other's (168, 169). Histone modification in C. albicans is also 806 an important factor in determining persistence during the host's immune response, with histone

- 807 acetylation being involved in the response to oxidative stress. ChIP-Seq was used to investigate
- 808 *Ada2*, a histone acetyltransferase, which was associated with over 200 stress response genes and its
- 809 loss caused increased sensitivity to oxidative stressors (170, 171)
- 810 Assay for transposase-accessible chromatin during sequencing (ATAC-seq), a method of assessing
- 811 genome-wide chromatin accessibility using transposases and next-generation sequencing, has been
- 812 used to investigate chromatin availability and gene expression in response to oxidative stress in *C*.
- 813 *albicans*. This showed changes to chromatin accessibility in regulatory regions upstream of coding
- 814 sequences thus changes to transcriptional activity of downstream genes during oxidative stress was
- 815 observed (172). Again, publicly available databases such as the SRA contain predominantly
- 816 transcriptomic experiments with only few that explore epigenomic methods.

817 **<u>1.8 - Pangenomes</u>**

818 **1.8.1 - Pangenome Concept**

819 The pangenome concept was first described by Tettelin *et al* to describe the genome of a taxa, with 820 genes initially being classified as core genes or accessory genes(173, 174) and initially used to species 821 level. Core genes are those present in the majority of members of a pangenome and often encode 822 products that are essential for function, accessory genes are present in a small number of members 823 of the taxa and are genes that encode for supplementary functions that contribute to diversity and 824 confer selective advantages, such as genes involved in antimicrobial resistance (174). The 825 combination of these core and accessory genes across the taxa of interest make up the pangenome 826 (173). The overall aim of this approach is to better understand genome dynamics of an organism, 827 identifying associations between a gene and a phenotype and how genes may spread within a 828 population.

- 829 The first reported pangenome was created using 8 *Streptococcus agalactiae* draft genomes (174).
- 830 Since then, the number and quality of bacterial genomes has increased significantly further insights
- 831 into species level pangenomes. Pangenomes have also been studied at higher levels of taxonomy
- such as the super kingdom *Eubacteria* pangenome which used 573 bacterial genomes which
- 833 determined that bacteria have an open pangenome, that bacteria as a whole have extremely large
- 834 gene pool encoding a large number of proteins (175).
- 835 While prokaryote pangenomes have been well studied, eukaryotic pangenomes are less common
- 836 due to increased costs for whole genome sequencing and the resources required to analyse
- 837 eukaryotic genomes (176) Eukaryotic genomes show less intraspecies variation due to decreased
- rates of horizontal gene transfer (177). Eukaryotic pangenome studies have shown some diversity

- 839 however with *Glycine soja* showing an 80:20 core/accessory genome split and the fungi
- 840 Zymoseptoria tritici having an accessory genome accounting for 40% of the total pangenome (178,
- 841 *179*).

842 **1.8.2 - Pangenome Applications**

Pangenomes can be used in genome alignment instead of using a single reference sequence. This
has many benefits over single reference sequence methods including removal of bias towards highly
conserved sequences in the reference and improving mapping results by including known
polymorphisms in mapping (*180*).

- 847 Another benefit of pangenome construction is the ability to reconstruct a phylogeny specific to the
- 848 group of isolates understudy using core genes only. Traditional phylogeny construction methods
- have historically used a small number of highly conserved genes for analyses, however, when
- 850 dealing with more complex population structures this approach can be less accurate (181). Further,
- 851 aligning a pangenome with phylogenetic reconstruction allows for a better understanding of
- bacterial genome relationships in which there is a high rate of DNA exchange (181, 182).
- 853 Successful application of pangenomic analysis using a multitude of construction techniques has
- driven this largely prokaryote to be employed in the study of eukaryotes. Whilst early examples have
- been based on eukaryotes with smaller genomes (such as yeast), recent advances in both
- 856 sequencing and computational support have led to its use to study plants (183) and insects (184).
- 857 Further, recent endeavours have led to the formation of Human pangenome project
- 858 (https://humanpangenome.org) which aims to create a unified genomic representation of the
- human genome at a *Homo sapiens* species level employing a pangenome approach. Just like in
- 860 prokaryotes, this approach to genomics has already enabled better formation of genome references
- 861 so that they can be used to study human evolution (185) and disease (186, 187).

862 **<u>1.9 - Recent Postgraduate Research into Candida albicans</u></u>**

- 863 Previous postgraduate research (N Alharbi. (2019)) investigated the prevalence and diversity of
- 864 *Candida* species isolated from 20 couples of differing fertility status with the overall aim to
- 865 investigate *Candida* colonisation and its effect on fertility status. The study detected that that mainly
- 866 *C. albicans* were recovered (by cultural methods) from infertile females in this study. Further most
- 867 women reporting VVC or RVVC had the same diploid strain type (DST) colonising both reproductive
- 868 tract and oral cavity. Whilst this research found that the DSTs identified were specific at participant
- and a couple level, low level diversity across isolates was observed per couple and indeed per host,
- 870 highlighting potential diversity within the *C. albicans* population at a partnership level. Further

- 871 phenotypic analyses were conducted to profile anti-fungal resistance and immune responses per
- 872 host using the reference *C. albicans* SC5314 strain. Cytokine response of participants was explored *in*
- 873 *vitro* using the SC5314 strain to identify that IL-6 and IL-17 were reduced within the infertile female
- 874 participants.

Despite some very interesting phenotypic observations, sequence-based analysis was limited to an
MLST approach. With whole genome sequence reads available for the entire collection of isolates
presented within this study, high-resolution genome comparisons have yet to be conducted. In light
of this, comparisons of these isolates at a whole genome level may offer further insight into the
relationship between *Candida albicans* carriage and fertility status.

880 <u>1.10 – Genome Wide Association Studies</u>

881 Genome wide association studies involve the testing of variants across a genome to identify 882 genotype-phenotype associations (V et al., 2019). Variants across the genome can be 883 associated with a range of phenotypes such as drug susceptibility, niche colonisation and 884 disease susceptibility (V et al., 2019). After identification of these associated variants lab-885 based methods are used to validate these relationships and can provide biomarkers, drug 886 targets and sites for genetic manipulation (E and G, 2020). Population stratification can 887 cause false positive associations in GWAS studies, this can be corrected for using principal 888 component analysis (PCA) (AL et al., 2006). In GWAS PCA models ancestry differences across 889 continuous axes of variation and corrects for false positives arising due to ancestral 890 populations (AL et al., 2006). In highly structured populations where genetic ancestry itself 891 can correct for any population effects can be used to compute any association statistics. 892 More complex models use mixed models where multiple covariate principal components to 893 contribute to the overall statistical power (AL et al., 2010). The power and resolution of 894 GWAS results is largely influenced by linkage disequilibrium. Linkage disequilibrium is the 895 non-random association of alleles at two loci and can be affected by mutation, 896 recombination, genetic drift and other factors (MA et al., 2011).

897 **<u>1.11 - Aims and Hypotheses</u>**

898 Within this project the aim is to expand upon the previous post graduate study exploring the link

- 899 between *Candida albicans* colonization and reproductive health leveraging higher resolution
- 900 genome analyses and making comparisons with sequence information held within publicly accessible
- 901 databases. Broadly, the hypothesis that will be explored within this research is

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902	that Candida	albicans	possesses	genetic	features	that are	adaptive	for
<i></i>	chat canalaa	andreams	possesses	SCHELIC	i cutui co	that are	uuupuve	

903 colonisation, persistence, and pathogenicity within the reproductive tracts of humans. Further that

904 colonisation of the reproductive tract by *Candida albicans* possessing pathogenic genetic traits may

905 negatively affect fertility.

- 906 In order to explore the hypothesis outlined above several finer aims have been identified that will907 allow this exploration.
- 908 Aim 1: Extend Phenotypic Analysis of Isolates *in vitro* 909 Generation of a sensitivity profile of all collected strains exposed to hydrogen
 910 peroxide stress and to calcofluor.
- Aim 2: Generation of Chromosome Scale Phased Diploid Genome Assemblies
- 912•Extraction of high molecular weight DNA (HMW-DNA for the purpose913of generating long sequence reads using an Oxford Nanopore minION. Long914reads will be combined with short Illumina reads already produced to create915hybrid assemblies allowing for finer scaled genomic analyses specifically in for916large scale chromosomal rearrangement approaches.
- Aim 3: Generation of a *Candida albicans* Pangenome
- 918•Pangenome construction using genome assemblies generated here will919allow presence/absence analysis of gene level differences within the isolates920presented within this research. Further comparisons to database921held assemblies of *C. albicans* will also be conducted subsequent to the quality922control of database held assemblies.
- Aim 4: Identification of variants associated with colonisation of the reproductive tract
- 924 o Using a genome wide association study (GWAS) approach, isolates documented
 925 here along Illumina sequence reads of isolates deposited within
 926 NCBI's public SRA database will be analysed to identify if any
 927 genetic elements are associated with isolation source/niche, which in this case
 928 is anatomical body site.
- 929 However, research presented within was highly disrupted by the COVID-19 global

pandemic. As such, aims 1 and 2 were partially completed and thus results are not presented within.

Aim 3 was refined since no hybrid genome assemblies were completed, instead focus was switched

to database held genome assemblies. Little impact on aim 4 was observed.

933 2.0 - Material and Methods

934 **2.1 - Computational analysis**

- 935 Computational analysis was carried out using a CLIMB VM with 8 cores and 64Gb of RAM, more
- 936 intensive operations, such as pangenome construction, were performed using Super Computing
- 937 Wales (SCW) Sunbird cluster with a single node (40 CPUs with jobs submitted using SLURM).

938 2.2 - Quality Control of Candida albicans database genomes

- 939 51 Candida albicans assembly accession numbers were retrieved from the NCBI genome database
- 940 using the wget unix command and the ftp link to each genome assembly in fasta format
- 941 (Supplementary data table 1). Metadata for each assembly was downloaded using NCBI batch entrez
- 942 with the BioSample name as query. All methods described from 2.2 to 2.7 used these 51 assembly
- 943 isolates. An example command for genome assembly download is as follows:

wget https://ftp.ncbi.nlm.nih.gov/genomes/all/GCF/000/182/965/GCF_000182965.3_ASM18296v3/GCF_000182965.3_ASM18296 v3_genomic.fna.gz

944

945 **2.3 - Meta analysis**

- 946 Analysis and plotting of genome size, GC content, isolation source and geographical location were
- 947 carried out using **ggplot2**, **maps** and **scatterpie** packages within R studio using customised
- 948 markdown scripts. Data displaying the number of isolates present in a geographical location and
- 949 relative percentages of niche habitation were displayed using pie charts on a world map.

950 **2.4 - Chromosomal dotplots and genomic features**

- 951 Chromosomal alignments were generated using the DNAdiff command as part of the MUMmer 4
- package using *Candida albicans* SC5314 as a reference sequence (*188*). A for loop was constructed to
- analyse and filter the delta files and then to order the query sequence contigs into the optimal order
- 954 according to the SC5314 chromosome order. Genomic features were also assessed using the Nucmer
- 955 command. Graphical representations were created using Rstudio and the packages ggplot2, tidyr,
- 956 **dplyr**, **knitr**, **magrittr** and **Genomic Rangers**. Example commands are included below:

dnadiff Candida_albicans_SC5314.fna Candida_albicans_NCYC_4144.fna -p NCYC_4144 nucmer Candida_albicans_SC5314.fna Candida_albicans_NCYC_4144.fna -p NCYC_4144

957

958 **2.5 – Assembly Sequence similarity assessment**

- 959 Assembly isolate sequence similarity was compared with sourmash (189) using the compute and
- 960 compare commands with k-mers set at 31. 4 non-albicans candida (tropicalis, orthopsilosis,
- 961 *hispaniensis* and *dubliniensis*) and 3 Saccharomyces (2 cerevisiae and paradoxus) were included in

- 962 the analysis to act as outgroups. A and *1 Naumovozyma dairenens* sequence was also included as
- 963 previous literature suggested it may me misclassified and it may be a *Candida albicans* sequence.

sourmash compute -k 31 --scaled 1000 *.fastq sourmash compare *.sig -o Sourmash_reads_matrix_31 sourmash plot Sourmash_reads_matrix_31 --pdf --labels

964 Commands are included below:

965

966 **2.6 - Pangenome construction**

- 967 Pangenome analysis of database *C. albicans* genome assemblies was performed using the Pangloss
- 968 pipeline(190) to perform gene prediction, analysis of sequence similarity and construction of a
- 969 syntenic pangenome. The config file was altered to give correct pathways for all programmes used
- 970 and data supplied as well as altering the number of cores used (8 during testing on CLIMB VM, 40
- 971 when used on the SCW sunbird cluster). Full pangenome construction took approximately 1 week on
- 972 the CLIMB VM while construction took 68 hours on the SCW sunbird cluster. The SLURM script used
- 973 to run pangloss is shown below:
- 974
- 975

python /home/ubuntu/Pangloss-master/Pangloss.py --pred --ips --plots --karyo /home/ubuntu/Work/candida_pangloss/pan_config.ini

976

977 **2.7 – Annotation of Pangenome Clusters**

978 Core and accessory sequences were functionally annotated using BlastKoala (191). Sequences were

979 given KEGG orthology assignments to all sequences determining their molecular function using

980 BLAST and GHOSTX and comparing the results to KEGG genes. Taxonomy id was set as 5476 for

981 Candida albicans. The genes identified were used in a gene ontology enrichment analysis, using

982 PANTHER version 14 (192), to determine enrichment in biological processes between the core and

983 accessory sequences.

984

985 **2.8 - Obtaining SRA sequences**

986 A metadata evaluation of the Sequence Read Archive (SRA) was carried out to identify *Candida*

987 *albicans* isolated from humans. A total of 320 SRA records hit inclusion criteria and these were batch

- 988 downloaded using a customised prefetch command from the SRA toolkit. This command is shown
- 989 below. These reads along with the 48 Swansea isolates were used for variant calling and analysis.
- 990 The Swansea isolates were obtained from three couples from previous studies, 10 from couple 3, 32
- 991 from couple 5 and 6 from couple 6. All analyses from this point used all 368 Swansea isolates and
- 992 SRA sequences.

prefetch --option-file sralist.txt

993

994

995

996 **2.9 - Variant calling**

997	Reads were aligned to the reference get	ome using BWA MEM optimised to use all available cores	5.
-----	---	--	----

- 998 Produced SAM files were converted into the BAM format using GATK RevertSam command. Read
- 999 group information was added to the BAM file using the GATK AddOrReplaceReadGroups command
- 1000 then sorted into query name order using GATK SortSam. The BAM and SAM files were merged using
- 1001 GATK MergeBamAlignment to produce a merged BAM file with read group information. Duplicates
- 1002 were marked with GATK MarkDuplicates and then sorted into coordinate order. Nm, Md and Uq tags
- 1003 were calculated using GATK SetNmMdAndUqTags using the coordinate ordered BAM file then SNPs
- 1004 and INDELs were called using BCFtools mpileup and bcftools call. All VCF files were combined using
- 1005 BCFtools merge (193-196). The full workflow is shown in Figure 6. All of the commands used in this
- 1006 workflow are shown below.

1007	Read alignment
1008	bwa mem -t 1 Candida_albicans_SC5314.fna <i>read1</i> .fastq.gz <i>read2</i> .fastq.gz > <i>sam file</i> .sam SAM to BAM conversion
1009	gatk RevertSam -I <i>sam file</i> .sam -O <i>bam file</i> .bam;
1010	assign reads to a single read group gatk AddOrReplaceReadGroups -I bam file.bam -O bam file rg.bam -LB bam file -PL ILLUMINA -PU
1011	one -SM <i>bam file</i> ;
1012	sort SAM file by query name gatk SortSam -I bam filerg.bam -O *bam file* srt.bam -SO queryname;
	Merge alignment data
1013	gatk MergeBamAlignment -O bam filem.bam -UNMAPPED bam filesrt.bam -R
1014	Candida_albicans_SC5314.fna -ALIGNED <i>sam file</i> .sam;
1015	gatk MarkDuplicates -I <i>bam filem.bam -O bam file</i> mdup.bam -M <i>bam file</i> _mdup.bam.txt;
1016	Sort SAM file by coordinates gatk SortSam -I bam filemdup.bam -O bam filemdsrt.bam -SO coordinate;
1017	Calculate NM, MD and UQ tags
1017	gatk SetNmMdAndUqTags -I <i>bam filemdsrt.bam -O bam file</i> fix.bam -R Candida_albicans_SC5314.fna - -CREATE_INDEX true:
1010	Generate VCFs with genotype likelihoods
1019	bcftools mpileupredo-BAQ -a DP,AD -f Candida_albicans_SC5314.fna <i>bam file_</i> fix.bam -o
1020	outputpileup.mpileup
1021	bcftools call -A -m -Ov -o output.vcf input.mpileup
1022	Merge VCF files
1022	bertools merge -othreads 8 -o combine.vci -m none -i vci_list.txt
1023	

1024

- 1025
- 1026
- 1027
- 1028
- 1029



Figure 6 – Customised BWA, GATK and BCFtools workflow used to align SRA and Swansea reads to SC5314 reference genome and then in variant calling.

1030 **2.10 - SNP-based phylogeny construction**

- 1031 Maximum likelihood phylogenetic trees using the combined VCF file were generated with SNPhylo
- 1032 (197). Minor allele frequency and missing rate were set at 0.1, linkage disequilibrium was at at 0.75.
- 1033 The command used is shown below:

bash /home/ubuntu/SNPhylo-master/snphylo.sh -v combined vcf.vcf -l 0.75 -m 0.1 -M 0.1 -P Merged_reads

1034

1035 **2.11 - Functional variant annotation**

- 1036 Variant annotation and prediction of the effects of these variants was performed using snpEff using
- 1037 SC5314 as a reference (198). A custom database for *Candida albicans* was constructed from the
- 1038 Candida albicans SC5314 reference genome using the build command. VCF files for individual
- $1039 \qquad \text{isolates were split into separate chromosomes and each chromosome was functionally annotates.}$
- 1040 $\,$ Default parameters were used for the analysis. The commands used are shown below:

java -jar snpEff.jar build -genbank -v SC5314Ref java -Xmx8G -jar /home/ubuntu/snpEff/snpSift.jar split *vcf file* java -Xmx8G -jar /home/ubuntu/snpEff/snpEff.jar eff -v -c /home/ubuntu/snpEff/snpEff.config SC5314Ref *vcf file*

1042

1043 **2.12 - Genome wide association study**

- 1044 Whole genome association analysis was carried out with PLINK2 (199). PL
- 1045 INK2 binary files were produced from the combined VCF. Filtering steps included a linkage
- 1046 disequilibrium window size of at 10 KBases with a r² of 0.75. Hardy-Weinberg threshold of $6*10^{-6}$ and
- 1047 a minor allele frequency of 0.1. Phenotype files with isolation source and aneuploidy information
- 1048 were used in construction of the PLINK2 binary files. Population stratification was accounted for
- 1049 using a principal component analysis and included in the association analysis. A general linear model
- 1050 was used. The output file was plotted as a manhattan plot in RStudio using ggplot2 with a
- 1051 significance threshold of 5 * 10^{-8} . The commands used are shown below:

/home/ubuntu/plink2 --vcf combined vcf.vcf --double-id --make-pgen --allow-extra-chr --out merged_reads -pheno phenotypes.txt --hwe 0.000006 --maf 0.1 --set-all-var-ids @#[ca]\$r,\$a --indeppairwise 10 0.75 --fa Candida_albicans_SC5314.fasta --new-id-max-allele-len 15 missing /home/ubuntu/plink2 --pfile merged_reads --pca --allow-extra-chr /home/ubuntu/plink2 --pfile merged_reads --glm --covar plink2.eigenvec --allow-extra-chr

1052

1053 **2.13 - Genome coverage**

- 1054 Evaluation and basic statistics of alignment data was generated with BamQC, part of the qualimap
- 1055 tool, using processed bam files from the GATK workflow (200). Output files were manually assessed
- 1056 looking at genome coverage across the isolates. Chromosomes with an average coverage 50%
- 1057 greater than other chromosomes from the same isolate were determined to be aneuploidy for that
- 1058 chromosome. The commands used are shown below:

1059	
1060	bamqc -bam bam file -c -nt 8 -outfile bam file.pdf -oc bam file_coverage
1061	
1062	
1063	
1064	
1065	
1066	

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1067 **3.1 Quality Control of Candida albicans genomes hosted on NCBI**

1068 All results described in this section used the 51 database assemblies described in 2.2.

1069 **3.1.1 Genome Assembly Metrics of Database Sequences**

1070 A total of 51 Candida albicans assemblies were obtained from the NCBI genome database (correct at 1071 access date 2019). Genome size of these isolates ranged from 12.4 Mb to 28.6 Mb with a mean 1072 genome size of 15.2 Mb. The GC content ranged from 29.8% to 34.1% with an average of 33.4%. Two 1073 strains were identified with genome assembly sizes which represent a diploid genome, namely 1074 Candida albicans SC5314-PO and Candida albicans SC5314-GTH12 (Table 4 and Figure 7A). Only the 1075 SC5314-PO strain had metadata held within NCBI's BioSample database (accession SAMN08098130) 1076 which highlights this was isolated from human blood in a case of candidemia. Metadata for the 1077 GTH12 strains is unfortunately missing other than it was isolated from a human, with no specific 1078 body site given (BioSample SAMN08098151). What should be noted is that these assemblies are 1079 described as being Illumina only with an assembly method of "reference guided" using the C. 1080 albicans SC5314 A22-s07-m01-r18 assembly as a reference. With read coverage described as 24X for 1081 the SC5314-PO strain and 18X for the GTH12 strain, the usefulness and trustworthiness of these 1082 assemblies can be questioned since true telomere to telomere genomes have only started to 1083 become achievable with the use of library preparation techniques such as proximity ligation and the 1084 use of third generation sequencing technologies. Several low GC content isolates were also 1085 identified with GC content below 31.5% and as low as 29.8%. Interestingly, all of which were isolated 1086 from the reproductive tract. Further a mix of host disease state was noted. The UAB040-W3D3 strain 1087 (BioSample: SAMN06854471) and UAB090-W2D7 strain (BioSample: SAMN06854477) were of 1088 vaginal origin with a host disease of vulvovaginal candidiasis, while the UAB012-W3D5, UAB012-1089 W7D4 strains (BioSamples: SAMN06854254 and SAMN06854404 respectively) were both also 1090 vaginal in isolation source but were from asymptomatic hosts with relation to VVC. The reason for 1091 the lower GC content is currently undocumented. The other 45 isolates all had similar assembly sizes 1092 of around 14 Mb and GC content between 33.5% and 34.1%. Other isolation sources include the 1093 oropharyngeal tract, blood, faeces, and environmental sources. Metadata for all assemblies can be 1094 found in supplementary table 1

Organism Name	Strain	BioSample	BioProject	Assembly	Size(Mb)	GC%	Niche
Candida albicans	12C	SAMN00767974	PRJNA75209	GCA_000773845.1	14.890	34.10	Oral
Candida albicans	19F	SAMN01048008	PRJNA75221	GCA_000775445.1	14.57	33.70	Vaginal
Candida albicans	3153A	SAMN00974104	PRJNA165021	GCA_000447595.1	14.89	33.70	N/A
Candida albicans	A123	SAMN00974110	PRJNA165033	GCA_000447455.1	14.64	33.50	N/A
Candida albicans	A155	SAMN00974111	PRJNA165035	GCA_000447615.1	14.47	33.50	N/A
Candida albicans	A20	SAMN00974106	PRJNA165025	GCA_000447575.1	14.55	33.60	N/A
Candida albicans	A203	SAMN00974113	PRJNA165039	GCA_000447495.1	14.79	33.80	N/A
Candida albicans	A48	SAMN00974107	PRJNA165027	GCA_000447535.1	14.70	33.60	N/A
Candida albicans	A67	SAMN00974108	PRJNA165029	GCA_000447515.1	14.69	33.70	N/A
Candida albicans	A84	SAMN00974112	PRJNA165037	GCA_000447635.1	14.69	33.70	N/A
Candida albicans	A92	SAMN00974109	PRJNA165031	GCA_000447475.1	14.61	33.60	N/A
Candida albicans	ATCC 12031	SAMN04324314	PRJNA305340	GCA_002276455.1	17.07	33.70	Lungs(Bronchitis)
Candida albicans	Ca529L	SAMN02058435	PRJNA200311	GCA_000691765.2	14.67	34.00	Oral mucosa
Candida albicans	Ca6	SAMN03164130	PRJNA120431	GCA_000784695.1	14.72	33.60	N/A
Candida albicans	CHN1	SAMN00974105	PRJNA165023	GCA_000447555.1	14.73	33.60	N/A
Candida albicans	GC75	SAMN00767984	PRJNA75223	GCA_000773735.1	14.70	33.70	Oral
Candida albicans	L26	SAMN01048004	PRJNA75211	GCA_000775455.1	14.52	33.60	Vaginal
Candida albicans	NCYC 4144	SAMN11464299	PRJNA543141	GCA_005890765.1	14.70	33.61	Quercus petraea
Candida albicans	NCYC 4144_2	SAMN11464299	PRJNA543257	GCA_005890695.1	12.51	33.50	Quercus petraea
Candida albicans	NCYC 4145	SAMN11464300	PRJNA543142	GCA_005890775.1	15.45	33.67	Quercus petraea
Candida albicans	NCYC 4145_2	SAMN11464300	PRJNA543275	GCA_005890685.1	13.77	33.50	Quercus petraea
Candida albicans	NCYC 4146	SAMN11464301	PRJNA543143	GCA_005890745.1	15.48	33.59	Quercus robur
Candida albicans	NCYC 4146_2	SAMN11464301	PRJNA543276	GCA_005890705.1	13.06	33.50	Quercus robur
Candida albicans	P34048	SAMN01048010	PRJNA75229	GCA_000775465.1	14.54	33.70	Blood
Candida albicans	P37005	SAMN01048006	PRJNA75217	GCA_000773745.1	14.47	33.80	Oral
Candida albicans	P37037	SAMN01048011	PRJNA75231	GCA_000773825.1	14.48	33.60	Oral

Candida albicans	P37039	SAMN00767975	PRJNA75233	GCA_000784515.1	14.52	33.70	Blood
Candida albicans	P57055	SAMN01048013	PRJNA75239	GCA_000775505.1	14.59	33.70	Blood
Candida albicans	P57072	SAMN00767978	PRJNA75227	GCA_000773805.1	14.51	33.70	Blood
Candida albicans	P60002	SAMN01048007	PRJNA75219	GCA_000784525.1	14.79	34.00	Blood
Candida albicans	P75010	SAMN00769059	PRJNA75235	GCA_000784575.1	14.86	34.10	Blood
Candida albicans	P75016	SAMN01048012	PRJNA75237	GCA_000784595.1	14.68	34.00	Blood
Candida albicans	P75063	SAMN01048014	PRJNA75241	GCA_000775525.1	14.45	33.60	Blood
Candida albicans	P76055	SAMN01048016	PRJNA75243	GCA_000784505.1	14.45	33.70	Blood
Candida albicans	P76067	SAMN01048017	PRJNA75245	GCA_000784495.1	14.62	33.80	Blood
Candida albicans	P78042	SAMN01048015	PRJNA75247	GCA_000784615.1	14.68	33.70	Blood
Candida albicans	P78048	SAMN01048009	PRJNA75225	GCA_000773725.1	14.50	33.70	Blood
Candida albicans	P87	SAMN00767982	PRJNA75215	GCA_000774085.1	14.46	33.60	Oral
Candida albicans	P94015	SAMN01048005	PRJNA75213	GCA_000773755.1	14.74	33.90	Blood
Candida albicans	SC5314	SAMN02953594	PRJNA10701	GCA_000182965.3	14.28	33.48	Blood
Candida albicans	SC5314_2	SAMN01041717	PRJNA191536	GCA_000784655.1	14.70	33.60	N/A
Candida albicans	SC5314_3	SAMN01041717	PRJNA120009	GCA_000784635.1	15.21	34.00	N/A
Candida albicans	SC5314 GTH12	SAMN08098151	PRJNA395439	GCA_002835845.1	28.59	33.57	N/A
Candida albicans	SC5314 P0	SAMN08098130	PRJNA395439	GCA_002837675.1	28.60	33.48	Blood(Candidemia)
Candida albicans	SP CRL 000G1	SAMN09217378	PRJNA471744	GCA_004026255.1	12.56	33.70	Faeces
Candida albicans	TIMM 1768	SAMN09204982	PRJNA471195	GCA_003454735.1	14.43	33.63	Faeces(Candidiasis)
Candida albicans	UAB012 W3D5	SAMN06854254	PRJNA384935	GCA_002259805.1	15.26	29.80	Vaginal(Epithelium)
Candida albicans	WAB012 W7D4	SAMN06854404	PRJNA384935	GCA_002259875.1	15.64	30.00	Vaginal
Candida albicans							Vulvovaginal
	UAB040 W3D3	SAMN06854471	PRJNA384935	GCA_002259885.1	14.98	31.50	candidiasis
Candida albicans		SANNING 854477	DD INIA 29/025	GCA 002250865 1	15 52	20.10	Vulvovagınal
Candida albicans	WAB030 W2D7	SAIVIINU08344//	PRJINA384933	GCA_002239805.1	13.32	30.10	
Cunuluu ulbicuns	WO 1	SAMN02953609	PKJNA16373	GCA_000149445.2	14.47	33.51	Blood





Figure 7 – A. Genome size (Mb) against GC content (%) of GenBank assemblies. Blue boxes indicate assemblies with outlying GC contents and genome sizes. High genome size isolates are diploid assemblies. Low GC content isolates were sourced in the vagina. B, Geographical and anatomical distribution of database genomes. Figures generated using ggplot2

1096 <u>3.1.2 - Taxonomic Confirmation of *C. albicans* Genome Assemblies</u>

1097 In order to confirm that all assemblies described within the database were correctly classified, a coarse 1098 scale clustering analysis using Sourmash (189) was employed to identify species level taxonomic 1099 assignment. Sourmash signatures were generated for each of the Candida albicans genomes in 1100 addition to eight other non-albicans genomes. These included the representative genome for four 1101 other Candida species (C. tropicalis, C. orthopsilosis, C. hispaniensis and C. dubliniensis) and two 1102 Saccharomyces species (2 cerevisiae and paradoxus). In addition, a Naumovozyma dairenensis 1103 genome assembly was included since it was documented within the literature (201) as having been 1104 incorrectly classified as Naumovozyma dairenensis, when in fact it was likely to belong to the C. 1105 albicans species. Genome information for these isolates is supplied in table 6.

Organism Name	Strain	BioProject	BioSample	Assembly	Size(Mb)	GC%	Niche
Candida dubliniensis	CD36	PRJEA34697	SAMEA2272258	GCA_000026945.1	14.05	33.10	N/A
Candida hispainiensis	CBS 9996	PRJEB18079	SAMEA4837037	GCA_900535975.1	10.66	41.60	N/A
Candida orthopsilosis	CO 90- 125	PRJEA83665	SAMEA2272376	GCA_000315875.1	13.00	37.40	N/A
Candida tropicalis	MYA- 3404	PRJNA13675	SAMN02953608	GCA_000006335.3	14.70	33.30	N/A
Saccharomyces cerevisiae	S288C	PRJNA43747	N/A	GCA_000146045.2	11.80	38.20	N/A
Saccharomyces cerevisiae	BY4742	PRJNA429985	SAMN08364553	GCA_003086655.1	12.10	38.20	Laboratory
Saccharomyces paradoxus	UFRJ50816	PRJEB7245	SAMEA4461731	GCA_002079145.1	12.00	38.50	N/A
Naumovozyma dairenensis	CBS 421	PRJEA70961	SAMEA2272418	GCA_000227115.2	13.50	33.80	N/A

Table 6: Non-Candida albicans assemblies included in sourmash analysis as outgroups.

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1108 In a pairwise comparison of signatures in an all against all manner, all Candida albicans assemblies 1109 clustered together with an average sequence similarity of 71% and a minimum sequence similarity of 1110 55%. The Naumovozyma dairenensis sequence, highlighted by the blue box in Figure 8, clustered with 1111 the Candida albicans sequences indicating that it has indeed been misclassified as suggested. All other 1112 non-Candida albicans outgroup sequences clustered as expected, separately from the Candida 1113 albicans sequences. Of interest, is the fact that within the outgroups, Saccharomyces paradoxus 1114 appears grouped within the Candida sp. assemblies, whilst outside of the scope of the research 1115 presented here, it does offer an avenue for further exploration and could highlight additional 1116 taxonomic inconsistencies in database held genomes.



Figure 8: All *C. albicans* assemblies were contained within a single clade, also containing a *Naumovozyma* sequence (green clade). Outgroups remain separate (red clade). Scale indicates sequence similarity. Blue box highlights the *Naumovozyma* sequence

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1119 **3.1.3 - Genomic Features at Chromosomal Resolution**

- 1120 A comparative analysis of the genome assemblies was performed by comparing each against the *C*.
- 1121 *albicans* SC5314 reference genome (ASM18296v3) with use of the MUMmer package. The dnadiff
- 1122 wrapper script was used to output alignment statistics including insertions, relocations, and
- 1123 translocations. The nucmer generated delta files were processed to generate dotplots (Figure 9) for
- each assembly versus the reference for visual inspection. In the case of diploid assemblies two dot
- 1125 plots were presented to highlight each chromosome in the pair. No large-scale insertions or
- 1126 deletions were detected in any isolate although the size of the largest feature was an insertion just
- 1127 under 2000 bp.







Figure 9: Chromosomal dotplots produced using delta files produced using MUMmer 4 in R using the GGplot2, tidyr, dplyr, knitr, magrittr and Genomic Rangers packages. Blue lines indicate the sequence was matched with the forward strand while red lines indicate the sequence matched with the negative strand.

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Quantification of distinct features identified via nucmer was carried out by two means. Firstly, a general quantification and qualification comparison across all isolates for insertions, inversions, relocations and translocations. Secondly to quantify if there is a differential abundance in a genomic feature according to the niche from which the isolate was obtained. The high number of insertions highlighted in Figure 10 were attributed to the two diploid assemblies. Furthermore, these higher numbers of insertions were associated with a larger insertion size (>1500).

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Pairwise comparisons were made between all isolation sources to identify if significant differences in genomic features were present with a specific emphasis on identifying if vaginal isolates contained altered numbers of genomic features compared to other niches with respect to the reference sequence used. In order to achieve this, a pairwise Wilcox test with corrections for multiple testing highlighted how only three significant differences were detected. These are a difference in numbers of relocations between plant isolates compared to blood isolates (P=0.02). In this instance there are a greater number of relocations in blood isolates. A further differentiation within the plant isolates were the numbers of translocations which were significantly higher in plant isolates than those from a vaginal source and those in which the isolation source was unknown. The genomic feature counts can be found within supplementary table 1, whilst the adjusted P-values are documented in table 7.

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Adjusted P-value of Genomic Features Compared Between Niches							
Groups	Breakpoints	Inversions	Relocations	Translocations	Total SNPs	Insertions	Insertion average size
Faeces/Blood	0.983	0.774	0.941	0.645	1.000	1.000	0.945
Oral/Blood	0.989	0.774	0.497	0.568	0.952	1.000	0.989
Oral/Faeces	1.000	0.774	0.714	1.000	0.952	1.000	0.989
Other/Blood	0.278	0.275	0.078	0.103	0.344	0.543	0.152
Other/Faeces	0.648	0.554	0.442	0.419	0.952	0.905	0.459
Other/Oral	0.278	0.774	0.235	0.402	0.740	0.543	0.230
Plant/Blood	0.648	0.774	0.020	0.645	0.249	1.000	0.989
Plant/Faeces	0.964	0.774	0.148	0.402	0.952	1.000	1.000
Plant/Oral	0.648	0.774	0.148	0.419	0.387	1.000	0.989
Plant/Other	0.648	0.349	0.148	0.030	0.065	1.000	0.459
Vaginal/Blood	0.949	0.774	0.450	0.402	0.249	1.000	0.973
Vaginal/Faeces	0.989	0.774	0.714	0.643	0.952	1.000	0.714
Vaginal/Oral	1.000	0.774	0.442	0.661	0.344	1.000	1.000
Vaginal/Other	0.278	0.774	0.148	0.645	0.740	0.543	0.152
Vaginal/Plant	0.739	0.774	0.099	0.037	0.065	0.674	1.000

Table 7: Adjusted p-values from Wilcox tests of differences between genomic features between assembly isolates from different isolation sources. Adjusted P-values < 0.05 are highlighted in red.

1174 **3.1.4 Pangenome construction**

1175 To evaluate the total gene content across the collection of isolates, a pangenome approach was used 1176 to generate a dataset of syntenic ortholog clusters with the use of the Pangloss pipeline. Initial 1177 pangenome construction used the full set of 51 genomes described in section 3.1. This is inclusive of 1178 the diploid assemblies. The total pangenome assembly process took 165 hours on an 8 CPUs. This 1179 highlights how computationally intensive this particular analysis process is. The pangenome consisted 1180 of a total of 16,196 unique clusters. This total value is larger than expected and represents 1181 approximately 2.5 times the median protein count across all genomes. Further, the split between core 1182 and accessory genes was 10.99% core and 89.01% accessory, these equate to 1780 orthologous 1183 clusters (core) and 11,416 orthologous clusters respectively as accessory as shown in Figure 11A. 1184 These statistics are at odds with the general expectation of pangenome sizes and do not fit with 1185 previous descriptions of *Candida* specific pangenomes that are described to have an approximate 1186 90:10 split of core to accessory genes (190). Of the accessory genes, 5124 were represented as 1187 singleton clusters and 4339 with a cluster size of 2 as shown in Figure 11B. Combined, this accounted 1188 for 9463 (65.64%) of the accessory genome. Further exploration of these singleton and doubletons 1189 found that they were predominantly composed of sequences from the diploid strains (Candida 1190 albicans SC5314-PO and Candida albicans SC5314-GTH12).

1191As a result of this initial pangenome construction, it is clear that a mixture of assembly types (haploid1192and diploid representations) determines the distribution of the pangenome clusters rather than the

presence or absence and sequence content of the protein sequences themselves. As such, it is likely that an informative pangenome should be constructed from genome assemblies that are equivalent in their ploidy, that being it is built with either all diploid or all haploid assemblies only.

1196 A second pangenome was constructed without the diploid strains. Thus using 49 isolates were taken 1197 forward. With this approach, the pangenome contained a total of 7361 ortholog clusters with a split 1198 of 64% core (4711 clusters) and 36% accessory (2650 clusters) as seen in Figure 12A. That is 4711 1199 clusters were found in every single isolate. Of the accessory clusters, 1207 were singletons, 1200 highlighting the potential genetic diversity within this dataset. Cluster sizes are shown in Figure 12B. 1201 The Chao lower bound estimate for the total pangenome size of Candida albicans through analysis of 1202 this dataset was 12,091 clusters. This value accounts for clusters identified within this study together 1203 with an estimation of clusters not yet observed thus highlighting the potential for further sequence 1204 and functional diversity within the species. The fact that this estimator is likely to be conservative, i.e. 1205 it is more likely to be too small than it is to be too large, highlights that further exploration of C. 1206 albicans genomes through a pangenome context is warranted.





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Core G	enome	
Functional Category	Number of Matches	Percentage of Matches
Protein families: genetic information processing	664	24.41
Genetic Information Processing	579	21.29
Cellular Processes	195	7.20
Carbohydrate metabolism	180	6.62
Protein families: signalling and cellular		
processing	148	5.44
Environmental Information Processing	130	4.80
Lipid metabolism	110	4.04
Protein families: metabolism	101	3.71
Amino acid metabolism	93	3.42
Unclassified: metabolism	87	3.20
Energy metabolism	76	2.79
Metabolism of cofactors and vitamins	72	2.65
Organismal systems	57	2.10
Nucleotide metabolism	55	2.02
Human diseases	54	1.99
Glycan biosynthesis and metabolism	52	1.91
Unclassified	24	0.88
Metabolism of terpenoids and polyketides	16	0.59
Metabolism of other amino acids	12	0.44
Xenobiotics degradation and metabolism	7	0.26
Unclassified: signalling and cellular processes	7	0.26
Biosynthesis of other secondary metabolites	1	0.04

Table 8: Core genome clusters functional annotations from KoalaBLAST.

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Accessory	Accessory Genome					
Functional Category	Number of Matches	Percentage of Matches				
Protein families: genetic information processing	252	27.69				
Genetic Information Processing	173	19.01				
Carbohydrate metabolism	64	7.03				
Cellular Processes	55	6.04				
Protein families: signalling and cellular						
processing	52	5.71				
Environmental Information Processing	47	5.16				
Energy metabolism	45	4.95				
Protein families: metabolism	41	4.51				
Glycan biosynthesis and metabolism	26	2.86				
Lipid metabolism	26	2.86				
Metabolism of cofactors and vitamins	23	2.53				
Organismal systems	21	2.31				
Unclassified: metabolism	20	2.20				
Amino acid metabolism	19	2.09				
Nucleotide metabolism	12	1.32				
Metabolism of other amino acids	8	0.88				
Unclassified: signalling and cellular processes	8	0.88				
Human Diseases	7	0.77				
Unclassified: Genetic information processing	6	0.66				
Xenobiotics degredation and metabolism	2	0.22				
Metabolism of terpernoids and polyketides	2	0.22				
Biosynthesis of other secondary metabolites	1	0.11				

Table 9: Accessory genome clusters functional annotations from KoalaBLAST.

1263 Gene IDs obtained from KoalaBLAST were used to further differentiate the functional differences of

1264 the clusters between the core and accessory genomes by determining enrichment in biological

- 1265 processes, molecular function and cellular components. The enrichment results are available in
- 1266 supplementary file 4.

1267 Of particular interest within the core genome was a 10.31-fold enrichment in within the cytolysis of

1268 symbiont of host cells (GO:0001897) category. Cytolysis of symbiont of host cells is the ability of an

1269 organism to kill a cell of its host organism through cytolysis. In *Candida albicans* this has been

- 1270 associated with the cytolytic peptide toxin candidalysin (53). Orthologs identified in the enrichment
- 1271 analysis include SHE3, KEX1, ECE1 and KEX2. Within the accessory genome a 13.76-fold enrichment
- 1272 in dolichol-linked oligosaccharide biosynthetic process specifically (GO: 0006488), an essential
- 1273 component in protein modification. The orthologs identified were all part of the ALG family and
- 1274 included ALG5, 6, 7, 9, 11 and ALG13. A 13.38-fold enrichment was also observed in the chromatin
- 1275 DNA binding category (GO: 0031490) and the orthologs identified were KAE1, GON7, TBF1, SSN6 and
- 1276 RAP1.

1302 **3.2** - Genome wide association study of *Candida albicans* with relation to reproductive tract

1303 <u>colonization</u>

1304 All results described below used the 368 SRA and Swansea isolates described in 2.8.

1305 **3.2.1 - Read alignment and variant calling**

1306 In order to explore if any genetic markers are associated with the isolation source and potential 1307 pathogenicity of an isolate, the collection of Swansea isolates presented here were analysed in 1308 combination with sequence reads obtained from NCBI's Sequence Read Archive (SRA). To facilitate 1309 this, a dataset of sequence reads was first obtained from the SRA using the search term "Candida 1310 albicans", specifically selecting Candida albicans as the taxonomy. Only genomic SRAs were selected 1311 that were sequenced from a DNA source, in effect removing transcriptomic datasets (RNA). As a 1312 further filter, only Illumina generated datasets were used in order to fit with the analysis pipeline, 1313 however this had little impact on exclusion of datasets since this platform was represented the vast 1314 majority of SRA hits. Only paired-end sequence reads in FASTQ format were selected. Read sets that 1315 were related to the query "Candida albicans" but were not representative of a single isolate genome, 1316 for example metagenomes, were excluded from the analysis despite offering an interesting research 1317 avenue.

1318 320 relevant SRA datasets were obtained from the NCBI SRA database using the SRA toolkit.

1319 Metadata for each BioSample was also retrieved. A full table of all SRA accessions that were

downloaded and analysed can be found as Supplementary Table 2. Within this table are the raw

read metrics. The average number of reads across the samples (on a per sample basis) was 13.1

million. The smallest being 152674 (SRR7704197), and the largest being 50.7 million (SRR6710164).

1323 Average read lengths ranged between 68 and 301 bp. The breakdown of isolation source or niche is

1324 provided below (Table 10). Unfortunately, a large number of human derived isolates did not include

1325 an exact body site.

 Table 10: Isolation source and read information from downloaded SRAs. Standard deviations shown with averages

Isolation Source (Niche)	Number of Isolates	Average Read Length	Average Number of Reads (Million)	Average Read Quality
Vaginal	69	107.91 ± 13.74	15.90 ± 6.95	36.00 ± 0.68
GI Tract	3	93.33 ± 0.94	10.50 ± 1.66	36.00 ± 0.83
Sputum	6	119.50 ± 13.19	6.69 ± 2.35	36.00 ± 0.63
Blood	34	109.30 ± 1.49	10.8 ± 4.58	36.00 ± 1.49
Urine	26	94.10 ± 2.65	9.79 ± 2.82	36.00 ± 0.69

- 1326 The Swansea sampled isolates (Alharbi *et al*-currently unpublished) raw sequence metrics are
- 1327 presented below (Table 11).

Table 11: Isolation source and read information from Swansea isolates. Standard deviations shown with average

Isolation Source (Niche)	Number of Isolates	Average Read Length	Average Number of Reads (Million)	Average Read Quality
Vaginal	15	84.00 ± 10.04	33.10 ± 9.99	38.00 ± 0.64
Sputum	28	88.00 ± 17.51	19.60 ± 5.47	38.00 ± 0.87
Semen	4	86.00 ± 10.52	21.60 ± 2.17	38.00 ± 0.7

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1329 All metadata available for these sequences is available in supplementary table 2. These sequences, 1330 along with 48 Swansea sequences, were aligned to a single reference genome as described within 1331 the methods section (section 2.2.2). Alignments of each sample were merged into a single binary 1332 alignment map (BAM) file for downstream processing. Quality control of the alignments was carried 1333 out using BAMstats to generate overall alignment statistics, this information is available in 1334 supplementary 2. Nucleotide variants were called using BCFtools (202), which detail the positions in 1335 the reference that differ within any sample together with the change within the sample that is 1336 observed. Types of changes identified include single nucleotide variants, small insertions and 1337 deletions (INDELS). The variant call format files (VCF) were merged into a multi sample VCF.

1338 **3.2.2 Assessment of aneuploidy**

1339 In order to determine the prevalence of an uploidy within this dataset, an approach was used to

1340 utilise read coverage information. Coverage data was generated using BamQC and summary files

- 1341 were manually examined to identify an uploidy. Any chromosome that showed an approximate 50%
- 1342 increase in coverage compared to the average coverage across the entire genome was determined
- 1343 to have trisomy for that chromosome. This increase in sequence reads and thus an increase in
- 1344 coverage would be evidence that a proportional increase in DNA content for that chromosome was
- 1345 present at the stage of DNA extraction and is direct evidence of chromosome copy number variation.
- 1346 Out of the 368 isolates, chromosomal duplication was detected 85 times. However, this was spread
- 1347 across 69 isolates (18.75 % of isolates) and indicates that some isolates showed multiple cases of
- 1348 aneuploidy. Aneuploidy, by means of trisomy, was detected in at least 1 isolate for every
- 1349 chromosome. However, aneuploidy rate was highest for chromosome 7 (29 isolates). Interestingly, it
- 1350 was also observed that this chromosome also yielded the highest trisomy rate for isolates from the

1351 reproductive tract (present in 6 isolates). This represents the highest aneuploidy count for a single 1352 known isolation source within this dataset. On the other hand, for vaginal isolates, no aneuploidy 1353 was detected for chromosomes 3, 4, 6 and R. Across all SRAs and Swansea isolates the lowest 1354 observed aneuploidy rate was for chromosomes 1 and R. Unexpectedly, the GI-tract isolates showed 1355 no aneuploidy at all. Despite these findings, the missing isolation sources on many of the human 1356 derived samples make drawing conclusions difficult since this group (missing), contained the highest 1357 overall rate. It was the only group to display an uploidy for chromosomes 3, 4 and 6 and was also 1358 the highest for chromosomes 5 and 7. This, of course, clouds judgment on the association of 1359 aneuploidy with a body site. Further, it does not provide evidence for the root cause of aneuploidy 1360 since treatment data is also missing from the datasets. Stability of an euploidy detected can also not 1361 be determined without culture-based methods.

1362 Full BamQC reports are available at supplementary 5 and full aneuploidy information is present in

1363 supplementary 2.



Figure 13: Examples of coverage graphs generated by BamQC. (A) An example of a sequence not showing any aneuploidy. (B) An example of a sequence showing aneuploidy. Chromosomes 4 and 6 show an approximate genome coverage increase of 50% when compared to other chromosomes of the same isolate, indicating that these chromosomes are triploid.

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1402 **3.2.3 SNP-based Phylogeny Reconstruction**

1403 In order to reconstruct the phylogenetic relationship of all isolates understudy here, an approach 1404 was employed which uses shared variant sites across all isolates. A total of 19,050,775 variant 1405 positions were detected across this dataset. The smallest number of SNPs was detected in isolate 1406 SRR7704197 (15263 SNPs), while the most was detected in isolate SRR6001262 (23934472 SNPs). Of 1407 course, this shows only relatedness to the single reference genome. A multi-sample VCF file 1408 containing variant information representative for all 368 isolate genome sequences was used in the 1409 construction of a Maximum Likelihood SNP phylogenetic tree. After stringent filtering steps (see 1410 Methods section 2.2.3), a concatenated sequence of 21,682 high quality variants were used for tree 1411 construction using SNPylo applying a maximum likelihood method. The output Newick formatted file 1412 was rendered and annotated using ITOL (Figure 15) (203). The tree was annotated using the isolation 1413 source (niche).

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Figure 15: SNP-based maximum likelihood *Candida albicans* phylogeny tree constructed using 21,682 SNPs in SNPhylo and visualised in ITOL. Page 56 of 95

- 1420 This showed that sequences from similar sources or the same study were most likely to be closely
- 1421 related to each other. Swansea isolates seemed to share genotypes across body sites and were more
- 1422 closely related to each other than other isolates from the same body source. Swansea isolates from
- 1423 the same couple also seemed to cluster together. There was no obvious clustering based on isolation
- 1424 niche, indicating a good number of contrasting pairs were available to inform the GWAS analysis.

1425 **3.2.4 Functional Effects of Identified Variants**

- 1426 Functional effects of variants from all Swansea reads and SRAs were identified. The average
- 1427 mutation rate across all chromosomes for all isolates was 1 in 43, whereby there is a single variant
- 1428 position in 43 bases of the reference genome. Interestingly isolates sourced from sputum had a
- 1429 significantly lower variant rates of when compared to isolates from all other isolation sources as
- 1430 shown in Figure 15.
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Figure 16 – Rate of variants across all reads, separated by isolation source (X axis) and faceted by chromosome number (Y axis). Variant rate information generated by snpeff and plotted in R using ggplot. Black lines inside boxes show the median value, box borders indicate the upper (75th percentile) and lower (25th percentile) quartiles. Whiskers show the maximum (95th percentile) and minimum (5th percentile) data points. Additional dots show outliers.

Table 12: P-values from wilcox testing comparing isolate variant rates based on isolation source.

Group 1	Group 2	Variant rate P-value
Body	Blood	0.0171
Clinical	Blood	0.365
Clinical	Body	0.021
GI Tract	Blood	0.485
GI Tract	Body	0.043
GI Tract	Clinical	0.913
Oral	Blood	0.013
Oral	Body	0.485
Oral	Clinical	0.306
Oral	GI Tract	0.306
Penis	Blood	0.171
Penis	Body	0.193
Penis	Clinical	0.581
Penis	GI Tract	0.645
Penis	Oral	0.413
Sputum	Blood	0.000075
Sputum	Body	0.000434
Sputum	Clinical	0.000147
Sputum	GI Tract	0.0157
Sputum	Oral	0.0000004
Sputum	Penis	0.0000199
Vaginal	Blood	0.611
Vaginal	Body	0.611
Vaginal	Clinical	0.933
Vaginal	GI Tract	0.951
Vaginal	Oral	0.193
Vaginal	Penis	0.913

1439 Average transition/transversion (Ts/Tv) ratio across the isolates was 2.12 with isolates sourced from





Figure 16– Transition/transversion ratio across all Swansea reads and SRAs, separated by isolation source. Variant rate information generated by SNPeff and plotted in R using ggplot. Black lines inside boxes show the median value, box borders indicate the upper (75th percentile) and lower (25th percentile) quartiles. Whiskers show the maximum (95th percentile) and minimum (5th percentile) data points. Additional dots show outliers.

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1443 **3.2.5 - Genome Wide Association Study**

1444 To identify any association between phenotypes and the variants detected through the alignment and 1445 variant calling process, a genome wide association study (GWAS) was carried out. The total 19,050,775 1446 variants from the combined variant count of all samples was entered into a pre-processing or filtering 1447 stage leaving 90,827 variants to be used in the analysis stage. Two separate tests were performed to 1448 identify the presence of an association between the variants and two traits, those being a propensity 1449 to present chromosomal aneuploidy and secondly the isolation source (niche). From these tests, the 1450 only variants determined to have statistically significant association between variant and trait was the 1451 vaginal isolation source. A total of 35 variants had p-values above the threshold log2 P-value. These 1452 were spread across the genome with ten located on chromosome 1, three located on chromosome 2, 1453 six on chromosome 3 and 4 respectively, four on chromosome 5, two on chromosome 6, a single on 1454 chromosome 7 and three on chromosome R (8).



Base position

Figure 17 – Manhattan plot of the 90,827 filtered variants p-values when tested against isolation from a vaginal source. A cut off value of $5*10^{-8}$ was used to determine significance. 35 variants have a p-value greater than that of the cut off value. All p-values were standardized by performing a log2 conversion. Association data was generated using PLINK and plotted in R using ggplot2.

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Table 13– Location of all variants significantly associated with isolation from a vaginal source and th	е
gene in which each variant is located.	

Chromosome:Base Position	P-value	Gene
1:340102	3.68E-08	ESP1
1:481885	4.52E-08	PSY2
1:487815	4.43E-08	N/A
1:498748	1.39E-08	Arf family GTPase
1:1975851	4.73E-08	poly(A)-specific ribonuclease
1:2083270	4.23E-08	NUP188
1:2672052	2.40E-08	SNT1
1:2802301	4.69E-08	N/A
1:2815637	4.26E-08	N/A
1:2970390	4.04E-08	ssDNA endonuclease
2:1844	1.09E-08	N/A
2:1744236	4.03E-08	YWP1
2:1779845	4.54E-08	TIF4631

3:517086	3.95E-08	ALR1
3:870424	2.46E-08	KRE9
3:888024	3.49E-08	Ctp1p
3:949550	2.92E-08	ADE2
3:1063249	3.96E-08	HGT4
3:1220627	2.22E-08	N/A
4:693436	3.10E-08	N/A
4:803987	4.41E-08	NUP84
4:803991	4.41E-08	NUP84
4:920308	1.89E-08	COX11
4:994178	3.44E-08	N/A
4:1158844	4.57E-08	LYS1
5:270198	3.39E-08	VPS1
5:516168	3.25E-08	CST20
5:750488	1.29E-08	PCL1
5:1005308	2.67E-08	N/A
6:756510	3.48E-08	POX1
6:869278	4.42E-08	ALG2
7:944344	1.26E-09	TLO16
8:209981	1.68E-08	CCE1
8:1391298	2.22E-08	Rab family GTPase
8:2164505	4.66E-08	Cht3p

1460 To follow this analysis, the genes with significantly associated variants identified by GWAS (table 12)

1461 were analysed for enrichment using the gene ontology panther classification system (192), querying

1462 the list of genes against the *Candida albicans* specific reference list. Three separate annotation data

sets were used; GO biological process, GO molecular function and GO cellular component.

1464 Enrichment was detected in all annotation data sets and are presented in the tables that follow

1465 (Tables 14-16) which display only FDR P < 0.05. Whilst several broader GO hits have been identified,

1466 of interest is the > 100-fold enrichment within the multivesicular body assembly definition

1467 (GO:0036258) together with the 94.3-fold enrichment of protein localisation to endosome

1468 (GO:0036010). Both have roles in the assimilation of nutrients from the environment, a prerequisite

- 1469 to successful growth and in sustained membrane trafficking. Further to this, enrichment was
- 1470 detected within the GO cellular component set whereby 28.18-fold enrichment (FDR P-value 3.00E-
- 1471 03) was observed in the late endosome definition (GO:0005770). Within the molecular function set,
- 1472 two main categories were found to be enriched, GTPase activity (GO:0003924) showed 26.94-fold
- 1473 enrichment (FDR p-value 7.52E-10) and GTP binding (GO:0005525) showed 24.49-fold enrichment
- 1474 (FDR p-value 1.28E-08). These likely to be at least partially due to variants within the Arf family
- 1475 GTPase and Rab family GTPase genes among others listed within table 12.
- 1476

Table 14: Gene ontology results from genes with significant variants looking at biological process.

GO biological process	Number of reference genes in category	Number of genes in category input	Number of genes expected in input	Over/Under enrichment of category	Fold enrichment of genes observed	Raw P- Value	False discovery rate
multivesicular body assembly (GO:0036258)	2	2	.01	+	> 100	1.61E- 04	1.93E-02
multivesicular body organization (GO:0036257)	3	2	.02	+	> 100	2.67E- 04	2.95E-02
protein localization to endosome (GO:0036010)	4	2	.02	+	94.30	3.99E- 04	4.09E-02
protein localization to phagophore assembly site (GO:0034497)	14	3	.07	+	40.41	8.62E- 05	1.39E-02
protein localization to Golgi apparatus (GO:0034067)	17	3	.09	+	33.28	1.43E- 04	1.83E-02
vacuole inheritance (GO:0000011)	17	3	.09	+	33.28	1.43E- 04	1.79E-02
small GTPase mediated signal transduction (GO:0007264)	59	9	.31	+	28.77	3.70E- 11	2.09E-07
vacuole organization (GO:0007033)	76	7	.40	+	17.37	1.77E- 07	3.33E-04
cytosolic transport (GO:0016482)	73	6	.39	+	15.50	2.78E- 06	2.24E-03

macroautophagy (GO:0016236)	74	6	.39	+	15.29	3.00E- 06	1.54E-03
cellular response to heat (GO:0034605)	71	5	.38	+	13.28	4.18E- 05	8.41E-03
retrograde transport, endosome to Golgi (GO:0042147)	60	4	.32	+	12.57	3.24E- 04	3.45E-02
response to heat (GO:0009408)	82	5	.43	+	11.50	8.02E- 05	1.33E-02
endosomal transport (GO:0016197)	108	6	.57	+	10.48	2.35E- 05	5.29E-03
cytoplasm to vacuole transport by the Cvt pathway (GO:0032258)	90	5	.48	+	10.48	1.22E- 04	1.76E-02
response to temperature stimulus (GO:0009266)	92	5	.49	+	10.25	1.35E- 04	1.85E-02
endocytosis (GO:0006897)	93	5	.49	+	10.14	1.41E- 04	1.85E-02
nuclear transport (GO:0051169)	171	8	.91	+	8.82	2.82E- 06	1.99E-03
nucleocytoplasmic transport (GO:0006913)	171	8	.91	+	8.82	2.82E- 06	1.76E-03
organelle assembly (GO:0070925)	189	8	1.00	+	7.98	5.77E- 06	1.81E-03
intracellular signal transduction (GO:0035556)	234	9	1.24	+	7.25	2.86E- 06	1.61E-03
protein targeting to vacuole (GO:0006623)	225	8	1.19	+	6.71	1.98E- 05	4.66E-03
establishment of protein localization to vacuole (GO:0072666)	231	8	1.22	+	6.53	2.39E- 05	5.18E-03
protein localization to vacuole (GO:0072665)	236	8	1.25	+	6.39	2.77E- 05	5.79E-03

signal transduction (GO:0007165)	307	10	1.63	+	6.14	3.03E- 06	1.32E-03
signaling (GO:0023052)	312	10	1.65	+	6.04	3.50E- 06	1.41E-03
regulation of cell cycle (GO:0051726)	251	7	1.33	+	5.26	3.13E- 04	3.39E-02
intracellular protein transport (GO:0006886)	514	14	2.73	+	5.14	1.31E- 07	3.68E-04
protein transport (GO:0015031)	556	14	2.95	+	4.75	3.40E- 07	4.80E-04
establishment of protein localization (GO:0045184)	571	14	3.03	+	4.62	4.70E- 07	5.30E-04
protein localization to organelle (GO:0033365)	513	12	2.72	+	4.41	7.00E- 06	1.97E-03
establishment of protein localization to organelle (GO:0072594)	446	10	2.36	+	4.23	7.43E- 05	1.31E-02
cellular protein localization (GO:0034613)	651	14	3.45	+	4.06	2.26E- 06	2.12E-03
cellular macromolecule localization (GO:0070727)	667	14	3.54	+	3.96	3.01E- 06	1.41E-03
protein localization (GO:0008104)	685	14	3.63	+	3.85	4.12E- 06	1.55E-03
cell communication (GO:0007154)	546	11	2.90	+	3.80	7.60E- 05	1.30E-02
vesicle-mediated transport (GO:0016192)	499	10	2.65	+	3.78	1.87E- 04	2.16E-02
filamentous growth of a population of unicellular organisms (GO:0044182)	451	9	2.39	+	3.76	4.43E- 04	4.46E-02
filamentous growth (GO:0030447)	579	11	3.07	+	3.58	1.29E- 04	1.81E-02

nitrogen compound transport (GO:0071705)	740	14	3.92	+	3.57	1.01E- 05	2.72E-03
macromolecule localization (GO:0033036)	797	15	4.23	+	3.55	4.30E- 06	1.51E-03
growth (GO:0040007)	588	11	3.12	+	3.53	1.47E- 04	1.81E-02
cellular component assembly (GO:0022607)	653	11	3.46	+	3.18	3.69E- 04	3.86E-02
intracellular transport (GO:0046907)	842	14	4.46	+	3.14	4.42E- 05	8.59E-03
establishment of localization in cell (GO:0051649)	874	14	4.63	+	3.02	6.70E- 05	1.22E-02
organic substance transport (GO:0071702)	1182	18	6.27	+	2.87	5.25E- 06	1.74E-03
cellular response to stimulus (GO:0051716)	1204	18	6.38	+	2.82	6.89E- 06	2.04E-03
response to stimulus (GO:0050896)	1424	19	7.55	+	2.52	1.69E- 05	4.33E-03
regulation of cellular process (GO:0050794)	1375	18	7.29	+	2.47	4.65E- 05	8.73E-03
organelle organization (GO:0006996)	1314	17	6.97	+	2.44	1.08E- 04	1.68E-02
regulation of biological process (GO:0050789)	1471	18	7.80	+	2.31	1.19E- 04	1.76E-02
cellular component organization (GO:0016043)	1650	19	8.75	+	2.17	1.82E- 04	2.14E-02
biological regulation (GO:0065007)	1666	19	8.83	+	2.15	2.01E- 04	2.27E-02
transport (GO:0006810)	1764	20	9.35	+	2.14	1.14E- 04	1.74E-02

Table 15: Gene ontology results from genes with significant variants looking at molecular function.

GO molecular	Number of	Number of genes	Number of genes	Over/Under	Fold enrichment	Raw P-	False
function	reference	in	expected	enrichment	of genes	Value	discovery
complete	genes in	category	in input	of category	observed		rate
CTPace activity	category	mput					
(GO:0003924)	76	11	.40	+	27.30	2.78E-13	6.59E-10
GTP binding	77	10	.41	+	24,49	1.08F-11	1.28F-08
(GO:0005525)		10		·	21.15	1.001 11	1.202 00
guanyl							
ribonucleotide	78	10	.41	+	24.18	1.22E-11	9.59E-09
binding							
(GO:0032561)							
guanyl							
nucleotide	78	10	.41	+	24.18	1.22E-11	7.19E-09
triphosphatase							
activity	203	11	1.08	+	10.22	5.48E-09	2.59E-06
(GO:0017111)							
pyrophosphatase							
activity	231	11	1.22	+	8.98	1.99E-08	7.86E-06
, (GO:0016462)							
hydrolase							
activity, acting							
on acid							
anhydrides, in							
phosphorus-	232	11	1.23	+	8.94	2.08E-08	7.03E-06
containing							
anhydrides							
(GO:0016818)							

hydrolase							
activity, acting							
on acid	232	11	1.23	+	8.94	2.08E-08	6.15E-06
anhydrides							
(GO:0016817)							
purine							
ribonucleoside							
triphosphate	407	12	2.16	+	5.56	6.39E-07	1.68E-04
binding							
(GO:0035639)							
purine							
ribonucleotide	A1A	12	2 20	Ŧ	5 47	7 64E-07	1 81F-0/
binding	414	12	2.20	т	5.47	7.042-07	1.011-04
(GO:0032555)							
purine							
nucleotide	121	12	2 23	Ŧ	5 38	9 10F-07	1 96F-04
binding	421	12	2.25		5.56	5.102-07	1.306-04
(GO:0017076)							
ribonucleotide							
binding	437	12	2.32	+	5.18	1.34E-06	2.65E-04
(GO:0032553)							
carbohydrate							
derivative	112	12	2 3/	Ŧ	5 1 2	1 51F-06	2 75F-04
binding	442	12	2.54	•	5.12	1.512-00	2.736-04
(GO:0097367)							
anion binding	552	12	2 02		1 12	2 225 06	2 025 04
(GO:0043168)	222	15	2.95	Ŧ	4.45	2.322-00	5.92E-04
nucleotide							
binding	571	13	3.03	+	4.29	3.30E-06	5.21E-04
(GO:0000166)							
nucleoside							
phosphate	571	12	3 03	_	1 20	3 30F-06	1 80F-01
binding	5/1	1.5	5.05	ſ	4.23	3.30L-00	4.0JL-04
(GO:1901265)							

small molecule							
binding	633	13	3.36	+	3.87	1.02E-05	1.42E-03
(GO:0036094)							
hydrolase							
activity	773	13	4.10	+	3.17	8.50E-05	1.06E-02
(GO:0016787)							
ion binding	909	15	1 82	Ŧ	3 11	2 17E-05	2 85F-03
(GO:0043167)	505	15	7.02	I I	5.11	2.172-05	2.031-03

Table 16: Gene ontology results from genes with significant variants looking at cellular component.

GO cellular component complete	Number of reference genes in category	Number of genes in category input	Number of genes expected in input	Over/Under enrichment of category	Fold enrichment of genes observed	Raw P- Value	False discovery rate
late endosome (GO:0005770)	38	5	.20	+	24.81	2.46E- 06	2.67E-03
endosome (GO:0005768)	125	6	.66	+	9.05	5.16E- 05	1.87E-02
microtubule cytoskeleton (GO:0015630)	107	5	.57	+	8.81	2.65E- 04	3.60E-02
cytoplasmic vesicle (GO:0031410)	201	7	1.07	+	6.57	8.16E- 05	1.77E-02
intracellular vesicle (GO:0097708)	201	7	1.07	+	6.57	8.16E- 05	1.47E-02
vesicle (GO:0031982)	263	8	1.39	+	5.74	5.89E- 05	1.60E-02

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- 1499
- 1498
- 1497
- 1496
- 1495
- 1494
- 1493
- 1492
- 1491

endomembrane

system

(GO:0012505)

cell periphery

(GO:0071944)

cytoplasmic

vesicle

(GO:0031410)

intracellular

vesicle

(GO:0097708)

vesicle

(GO:0031982)

683

823

201

201

263

13

13

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7

8

3.62

4.36

1.07

1.07

1.39

+

+

+

+

+

2.31E-

05

1.62E-

04

8.16E-

05

8.16E-

05

5.89E-

05

1.25E-02

2.51E-02

1.77E-02

1.47E-02

1.60E-02

3.59

2.98

6.57

6.57

5.74

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1502 Chapter 4 – Discussion

1503 <u>4.1 – Quality control of *Candida albicans* database genome assemblies.</u>

1504 In order to investigate the role that Candida albicans plays in reproductive health and fertility, a 1505 genomics-based analysis was conducted leveraging the sequence and associated metadata held 1506 within publicly available databases. Prior to the use of sophisticated techniques for comparative 1507 genomics a thorough quality control procedure should also be applied. To this end, several 1508 exploratory metrics were produced to confirm the reliability of the dataset. Following this a 1509 pangenome was constructed to assess gene presence/absence within the database assemblies. 1510 Good pangenome construction was found to be influenced by consistent assembly type as well as 1511 identifying a number of genes associated with virulence with were enriched in both the core and 1512 accessory genomes.

1513 The GC content and genome size throughout the database assemblies seemed to remain consistent, 1514 with values of approximately 34% (GC content) and 14 Mbases respectively. However, four 1515 assemblies (UAB040-W3D3, UAB090-W2D7, UAB012-W3D5 and UAB012-W7D4) all isolated from 1516 the female reproductive tract showed decreased GC content relative to the other assemblies. Kiktev 1517 et al studying altered GC content within Saccharomyces cerevisiae has identified a link between 1518 elevated GC content and mutation and recombination rates (204). Using an *in vitro* model, they 1519 found that an approximate doubling in GC content within a gene, whilst preserving the protein's 1520 primary sequence, could lead to a seven-fold increase in the rate of mutations compared to the gene 1521 with the lower GC content, with half of these mutations being single-base substitutions caused by 1522 DNA polymerase ζ , as well as deletions and duplications caused by polymerase slippage. The 1523 elevated GC content gene also showed increased rates of recombination relative to lower GC forms 1524 of the gene, this has previously been linked to an increased susceptibility to recombinogenic double-1525 strand breaks (205). This would indicate that up shifts in GC content is one of the driving forces in 1526 evolution because of its effect on mutation and recombination rates. The low GC content within the 1527 Candida albicans assemblies mentioned above could be a mechanism to protect against mutation 1528 caused by errors in DNA replication. Kiktev et al also theorised that in a diploid organism, 1529 heterozygous genes with a high-GC and low-GC form could counterbalance the high mutation and 1530 recombination rates through gene conversion. When double strand breaks occur in the high GC 1531 content gene it will most likely be replaced by the low GC content form of the gene. Due to the 1532 environment the afore mentioned Candida albicans isolates came from, reproductive tract in a case 1533 of vulvovaginal candidiasis, could lead to increased exposure to DNA damage, such as through 1534 exposure to reactive oxygen species, causing more double strand breaks and leading to the

replacement of higher GC content genes with their lower GC content versions. This is however, at odds with GC-biased gene conversion (gBGC) (*206*) however, the no exploration of the GC content between genic and intergenic regions was conducted.

1538 **4.2** – *Candida albicans* taxonomic assignments

1539 All Candida albicans assemblies clustered closely and showed high sequence similarity to each other 1540 indicating that they have all been correctly classified as Candida albicans. The Naumovozyma 1541 dairenensis included also clustered within the Candida albicans clade indicating that it has been 1542 incorrectly classified, as suggested in the previous literature (201), and that it should be relabelled as 1543 a Candida albicans strain instead. Also of interest was the location of Saccharomyces paradoxus 1544 which, while still showing low sequence similarity, grouped closer to the non-albicans Candida than 1545 the two Saccharomyces cerevisiae assemblies included in the analysis. This could also suggest 1546 misclassification of the Saccharomyces paradoxus assembly, although more robust methods would 1547 need to be used to explore this. However, previous phylogenetic studies in the literature would 1548 suggest that this is not the case and that the taxonomic classification of *S. paradoxus* is correct (207). 1549 These findings both highlight how quality control of database sequences is an unfortunate necessity 1550 prior to in depth and computationally intensive analysis techniques. It also highlights limitations to 1551 the use of non-curated databases.

1552 **<u>4.3 – Plant isolated** *Candida albicans* **assemblies show significant differences in genomic</u>**

1553 <u>features</u>

1554 Chromosomal dotplots did not indicate any major chromosomal alterations within the Candida 1555 albicans assemblies with only the diploid assemblies showing any significant differences due to both 1556 forms of each chromosome being represented on the dotplots. Differences between several types of 1557 genomic features (Insertions, insertion size, deletions, relocations, translocations, breakpoints, 1558 inversions and SNPs) were reported between assemblies of different isolation sources. Diploid 1559 assemblies showed increased numbers of insertions and average insertion size compared to the 1560 reference, as was expected due to the nature of the diploid assemblies. Statistical analyses of the 1561 genomic features detected between niches only showed a significant difference when the 1562 assemblies isolated from plants were included, with these being with relocation with blood isolates 1563 and translocations with the vaginal and other isolates. These differences can potentially be 1564 attributed to the high levels of genetic diversity seen in all three assemblies (164), with all three 1565 showing high levels of heterozygosity when compared to common clinical strains. However, all three 1566 strains showed to be genetically closer to clinical strains with similar heterozygosity levels than to 1567 each other suggesting they came from unique sources as opposed to being a contaminant from

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- 1568 humans or wild animals. Bensasson *et al* hypothesised that it is most likely that these levels of
- 1569 heterozygosity represent the ancestral levels for *Candida albicans* and that clinical strains showing
- 1570 lower levels have undergone loss-of-heterozygosity events over time. This is further supported by
- 1571 the high natural fitness of these strains when compared to other clinical strains.
- 1572

1573 <u>4.4 – Pangenome construction quality is based on genome assembly type</u>

1574 When pangenome construction was consistent across all assemblies a much more conventional split 1575 of core and accessory genes was obtained, more in line with previous Candida albicans pangenome 1576 construction (208). This suggests that consistent assembly type is important in good eukaryotic 1577 pangenome construction. As well as consistent assembly type aneuploidy must also be considered 1578 during pangenome construction as this could potentially skew the core and accessory genome split, 1579 a factor unusually discussed due to the vast majority of pangenome construction reported on 1580 bacterial species. Aneuploidy was detected within the Swansea isolated genomes, however with a 1581 lack of trusted genome assemblies due to the Illumina only sequencing technique, the effect that 1582 this has on pangenome construction has yet to be explored. Theoretically, the pangenome wide 1583 effect would be dependent on genome assembly quality and the nature of an euploidy (whole 1584 chromosomes vs partial chromosome loss/gain). The objective of pangenome construction is to 1585 essentially understand and explain phenotypes from a genotypic context. However, the presence of 1586 aneuploidy complicates this approach in its traditional sense. A link between core-accessory gene 1587 and chromosomal location has been detected in several pangenomes (179, 208) highlighting the 1588 accessory genes localise in regions of chromosomes more likely encounter structural variation. 1589 However, how this is affects the pangenome when an uploidy is present remains unknown. 1590 Computational resource intensity was also shown during pangenome construction, with pangenome

- 1591 construction taking three days using 40 CPUs through SCW, while it took over a week using 8 CPUs
- 1592 on a CLIMB VM. In order to further increase the number of strains added to a pangenome further
- 1593 processing power would be required to prevent excessive construction time.

1594 The difference between the number of clusters identified in this study and the Chao lower bound 1595 estimate would suggest that a large proportion of sequences are still to be identified within this 1596 pangenome. This number may be skewed by high numbers of singleton and doubleton sequences 1597 due to the presence of highly fragmented genomes, potentially like some of the assemblies used 1598 with high contig numbers (*190*). However, due to the conservative nature of the Chao lower bound it 1599 is still likely there are a high number of genes available to explore within this pangenome that may
- 1600 become apparent with the addition of more and higher quality genomes. This highlights the
- 1601 potential for further gene level diversity of *Candida albicans* generated through genetic variayopm
- 1602 events such as translocations, truncations and aneuploidy of chromosomes together with loss of
- 1603 heterozygosity (LOH) (209).
- 1604

1605 <u>4.5 – The pangenome of C. albicans displays enrichment of virulence genes</u>

1606 Enrichment analysis of core genes identified a 10.31-fold enrichment of genes with the ability to kill 1607 host cells. The orthologs identified were SHE3, KEX1, KEX2 and ECE1. SHE3 is a mRNA binding protein 1608 that is required for normal filamentation and epithelial cell damage (210). KEX1 is a 1609 carboxypeptidase involved in the maturation of candidalysin Ece1p (211) and KEX2 is a proprotein 1610 convertase involved in hyphal-growth, virulence and maturation of candidalysin Ece1p (211, 212). 1611 ECE1 codes for candidalysin, a cytolytic peptide toxin essential for mucosal infection, ECE1 is only 1612 expressed when Candida albicans is in the hyphal morphology (53). Usually core genes are those 1613 that are necessary for an individual's viability which would suggest that the ability for Candida 1614 albicans to damage or even kill a host cell even though these genes seem to be primarily associated 1615 with pathogenicity (213). This would support theories that *Candida albicans* infections are heavily 1616 influenced by host factors (14) given the known commensalism of *Candida albicans*. The pathobiont 1617 concept is affirmed by this, the presence of core genes that regulate and enhance pathogenicity, 1618 such as SHE3, KEX1, KEX2 and ECE1, does not necessarily mean that the organism is acting as a 1619 pathogen given that some isolates within this dataset were not isolated form an infected host. 1620 The accessory genome also showed interesting enrichments for proteins involved in dolichol-linked 1621 oligosaccharide biosynthesis and chromatin DNA binding. Those orthologs involved in dolichol-linked 1622 oligosaccharide biosynthesis were all members of the ALG family, The orthologs identified were all 1623 part of the ALG family and included ALG5, 6, 7, 9, 11 and ALG13. The ALG family are 1624 glycotransferases involved in the synthesis of cell wall mannan through protein glycosylation (214, 1625 215). While these genes are usually considered to encode an essential function in this pangenome 1626 they were determined to be accessory genes. This could be due to the previously mentioned 1627 fragmented genomes that could have removed these sequences from some assemblies causing 1628 them to be incorrectly classified. This is supported by previous research in which haploid strains with 1629 null mutations in ALG7 and ALG11 were found to be inviable (216, 217). Alternatively, it could point 1630 toward splitting of these sequences into multiple orthologous clusters based on sequence content. 1631 Thus, suggesting some form of divergence in sequence could lead to divergence in function.

1632 A limitation in this approach comes from the nature of the genome assembles held within publicly 1633 available databases. It has been described within, how the representation of the genomes as either 1634 true diploids (both sets of chromosomes present) or using the IUPAC codes to indicate 1635 heterozygosity affects the final pangenome metrics. Since many IUPAC containing C. albicans 1636 genomes are not phased, they represent a consensus or chimeric representation of the actual 1637 genome. It can be theorised that this allows potential for pseudo- diversity within the resulting 1638 genome assembly and thus pangenome. There may be two approaches that could solve this issue. 1639 The first is to produce fully phased telomere to telomere assemblies (T2T) with use of hybrid 1640 sequencing approaches which utilise both short and long read sequencing platforms to facilitate 1641 phasing of bases (218-220) However, this approach requires additional costs and indeed additional 1642 sequencing of isolates currently only sequenced using short read technologies. This also forgoes the 1643 use of currently sequenced strains held within databases. As presented here, a pangenome must be 1644 constructed of equal level assemblies. An alternative approach would be to use a map to 1645 pangenome strategy (221, 222) which can utilise a combined traditional approach of genome 1646 assembly with a read alignment approach identifying site of variation at gene level. This offers great 1647 opportunity to study the currently held short sequence reads held within public databases such as 1648 the SRA.

1649 **4.6 – Variant calling and SNP analyses**

1650 To further investigate the relationship between *Candida albicans* and reproductive health a genome 1651 wide association study was performed to identify variants that showed a significant association with 1652 isolation from different body sites. Prior to this 320 SRAs and 48 Swansea isolates were aligned, and 1653 variants called for later use. The quality of these reads were assessed and SNPs were used to 1654 examine aneuploidy of these isolates and the phylogenetic relationship between the isolates. A 1655 GWAS of the SNPs was then carried out to identify any variants showing significant association with 1656 a particular isolation source and the function of genes these variants were discovered in. In total 35 1657 variants showed a significant association with isolation from the female reproductive tract with 1658 genes that have previously been shown to play a role in *Candida albicans* virulence.

1659 <u>4.7 – Quality of read mapping</u>

Of the 320 SRAs used the source of the isolate was missing for 182 isolates (56% of the isolates). The
lack of data available caused difficulties later in associating any genes or mutations with a specific
isolation source and may have led to false negatives or false positive during the GWAS section of the
investigation. The most represented niche within the SRAs were the vaginal isolates (69 isolates, 21%
of the total isolates investigates) which may have led to overrepresentation of this group in later

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1665 experiments, skewing the results. Of the 320 SRAs used in this study none used were obtained as

1666 part of metagenome studies. While no metagenome data was included in this analysis it does offer

- 1667 interesting future research paths. Metagenome data would allow for *Candida albicans* to be
- 1668 investigated *in vivo* there by removing any risk of mutations being caused by culture-based methods
- 1669 and allowing for better investigation of isolates that show poor growth *in vitro*. This would also allow
- 1670 for the relative proportion of these mutations within the population at each isolation source to be
- 1671 quantified.

1673

1672 **4.8 – Aneuploidy detection**

1674 found in chromosomes 5 (17 isolates), 6 (15 isolates) and 7 (29 isolates) with chromosome 7 showing

85 cases of an uploidy were detected across 69 isolates with the highest numbers of an uploidy

- 1675 the most instances of an uploidy in isolates sourced from the reproductive tract (6 isolates).
- 1676 However, because sequencing of Swansea isolates was unable to occur stability of aneuploidy within
- 1677 these isolates was unable to be assessed. Due to the lack of data relating to the host disease state
- 1678 and any treatments applied these phenotypes could not be associated with increased aneuploidy.
- 1679 Aneuploidy within *Candida albicans* has previously been shown to be associated with increased
- 1680 resistance to antifungal agents and utilization of alternate carbon and nitrogen sources (223).
- 1681 Aneuploidy of the isochromosome 5L, two copes of the left arm of chromosome 5, has been
- 1682 frequently seen after exposure to fluconazole, which in turn has shown increased fitness in *Candida*
- 1683 *albicans* when exposed to fluconazole. This is most probably due to the presence of genes (*CYP51*)
- 1684 on chromosome 5 that are targeted by fluconazole and aneuploidy causing an increase in copy
- 1685 number and expression levels (224, 225). Chromosome 7 aneuploidy, the most prevalent
- 1686 chromosome with an euploidy for female reproductive tract located isolates and all isolates in
- 1687 general, has not been shown to give an increase in fitness under stress conditions. So far it has only
- 1688 been associated with increased susceptibility to medium-chain fatty acids (226).

1689 <u>4.9 – Candida albicans phylogeny construction</u>

- 1690 Swansea isolates primarily clustered based on the couple they were isolated from and not the
- 1691 anatomical site they were sourced from, however some intra-host diversity was still observed with
- 1692 the Swansea isolates. This is line with what has previously been recorded (*160*). Clustering of isolates
- 1693 based around the couple they were isolated from rather than the individual also suggests the
- 1694 movement and colonization of *Candida albicans* between individuals which has previously been
- 1695 suggested as a potential reason for increases in resistance to antifungals (227).

1696 There was also no obvious clustering throughout all isolates included based on isolation source,

1697 providing a good number of contrasting pairs for use in the later GWAS analysis. The lack of

1698 clustering suggests any variants identified in the later GWAS analysis are less likely to be false

1699 positives and that there may be a relationship between significant variants and phenotypes of

1700 interest.

1701 <u>4.10 – Variant Rates were Higher than Previously Reported Studies</u>

Mutation rates within the isolates studied had a mean value of 1 mutation per 43 bases. This is an increase on the previously reported figures that range from 1 in 500 to 1 in 100 (*150, 151*). This increase could be due to the quality of the database reads used, the Swansea isolates had a mean mutation rate of 1 in 96 bases, which was more in line with the previously reported figures. Isolates sourced from sputum showed significantly lower rates of mutations than those sourced from other body sites. This could potentially be due to being closely related to the reference sequence however this did not appear to be the case when the phylogenetic tree was studied.

1709 <u>4.11 – GWAS identified 35 variants significantly associated with isolation from the female</u> 1710 reproductive tract

1711 A 28.18-fold enrichment was observed in the late endosome definition. Trafficking through the 1712 endosome is a significant factor in the efficacy of antifungal agents, which is seen during treatment 1713 of Candida albicans with azoles (228). Azole treatment inhibits ergosterol biosynthesis and leads to 1714 the accumulation of toxic sterol intermediates that compromise the plasma membrane. Mutants 1715 that have impaired membrane trafficking through the late endosomal prevacuolar compartment 1716 showed significantly better growth when exposed to azoles than wild-type Candida albicans. These 1717 mutants also showed improved growth despite the reduction of ergosterol. However, these mutants 1718 were hypersensitive to antifungal agents that impaired other ergosterol synthesis pathways. This 1719 would suggest that common azole antifungal agents would be less effective against these mutants, 1720 necessitating the use of other antifungals. If these mutants are identified in patients suffering from 1721 vulvovaginal candidiasis it would allow for more effective treatment options to be planned, as well 1722 as potentially providing an avenue for removing strains causing recurrent vulvovaginal candidiasis. 1723 GTPase activity and GTP binding were also enriched with the ARF family GTPase and Rab family

1724 GTPase genes likely to be implicated. Hyphal growth is an important factor in *Candida* virulence and

1725 Arf family GTPases have been shown to be important regulators in hyphal growth and subsequent

1726 virulence (229). Arf GTPases are key regulators in membrane and protein trafficking to the plasma

1727 membrane (230). Loss of function mutations in these genes have been shown to cause impaired

hyphal growth compared to strains with the wild-type gene, leading to decreased virulence in
candidiasis (229). With an impaired hyphal morphology it would not be possible for these mutants to
disseminate throughout a host (1). ARF GTPases have also been implicated in azole resistance with
loss of function mutants showing an increased susceptibility to fluconazole (231). This would also
suggest that if patients with candidiasis are shown to have strains of *Candida albicans* with loss of
function mutations in ARF family genes a more effective treatment regimen can be planned.

1734 To further confirm if the mutations and genes identified in this study are having a phenotypic effect 1735 on Candida albicans' ability to colonize and persist within the female reproductive tract further 1736 experimentation is required. Mutagenesis experiments targeting these genes would allow for the 1737 phenotypic effects they are having to be fully explored as well as co-cultures with human cells to see 1738 any alterations to their interactions. No metagenomic data was included in these analyses however 1739 its inclusion would allow for confirmation that any significant mutations identified were due to the 1740 isolation source of the sample and that they have not been caused by the culture-based methods 1741 that were employed. This would further assist in identifying and false positives. Future GWAS 1742 experiments using isolates with more phenotypic data (i.e. growth, antifungal resistance) and using 1743 more isolates from different isolation sources (such as increasing the number of isolates from the GI 1744 tract) would make the results more robust while generating more data that could be useful in 1745 informing clinical practice for *Candida* infections.

Due to the lack of information available relating to the disease state of the host and any treatments that may have been applied it is not possible to determine if these mutations show an association with colonisation (ability of a microorganism to occupy a new host niche as a commensal or a pathogen), persistence (ability of a microbial population to survive exposure to stresses such as antimicrobial agents), or resistance (ability of a microbial population to actively grow under sustained exposure to a stress such as antimicrobial agents) of *Candida albicans* within a human host.

1753 **4.12 - Suggested areas for future study**

The results from this study offer suggestions for areas worthy of further study that can be
segregated into two areas of research; firstly, one which deals with the methodological approaches
to pangenome construction, particularly with regards to the effects of genome assembly type
(haploid vs diploid). Secondly to assess the phenotypic effects of the variants which were identified
as being statistically associated with vaginal colonisation.

1759 Since assembly type appears to alter the metrics of a pangenome, little is understood on how well a 1760 traditional construction technique, using assembled genomes as a starting point, handles datasets of 1761 diploid assemblies. Of course, this would require some in depth analysis comparing both assembly 1762 types and pangenome construction method. As previously mentioned, this requires that the diploid 1763 assemblies are of a high standard (low contig numbers, high completeness) and often necessitates a 1764 hybrid assembly approach. This would require the generation a novel dataset comprising assemblies 1765 generated from both short read and long read technologies of either through a de novo or 1766 resequencing approach.

1767 Alternative to this pangenome construction strategy would be a map to pan approach such as one 1768 represented by the Eukaryotic Pangenome Analysis Toolkit (EUPAN) (221). This strategy utilised both 1769 an assembly based pangenome construction, followed by aligning short sequence reads to a 1770 reference genome or pangenome and using coverage information to determine presence or absence 1771 of the gene along with identifying genotype of the aligned reads. This offers the benefit of not 1772 requiring resequencing approaches, but can take advantage of existing short read datasets, such as 1773 those held within NCBI's SRA. A large-scale map to pangenome approach for Candida albicans has 1774 yet to have been documented and offers an interesting complement to traditional GWAS 1775 approaches through the inclusion of presence absence variation (PAV) analysis or gene-PAV-based 1776 genome-wide association studies to correlate or associate gene presence with phenotype.

1777 To confirm the significance of the mutations identified in the GWAS study with successful 1778 colonization of the female reproductive tract further investigation is required. Mutagenesis 1779 experiments to investigate the effects of loss of function mutations in the genes identified will allow 1780 for the identification of phenotypic changes associated with these mutations. In vitro studies can be 1781 used to study changes to expression within these mutants, through RNA-sequencing to investigate 1782 the transcriptome. Phenotype studies can also be carried out to find any changes to the mutants 1783 ability to resist antifungal agents, changes in ability to grow on different mediums, biofilm formation 1784 and resistance to other stresses, such as hydrogen peroxide to represent reactive oxygen species 1785 generated by the immune system. Co-cultures with human cells can also be used to investigate 1786 differences in how these mutants interact with human cells, previous co-cultures have been able to 1787 study changes to *Candida albicans* biofilm formations, and the differences in cytokines expressed by 1788 human cells (232). In vivo studies can also be exploited to investigate the effect these mutations 1789 might have while interacting with mammalian cells without exposing *Candida albicans* to laboratory 1790 conditions that might affect gene expression, aneuploidy, or selection of mutants. Metagenome 1791 experiments can be used to analyse any changes to the genome of Candida albicans in vivo as well 1792 as using RNA-sequencing to investigate which genes are being actively expressed. Metagenome

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- 1793 experiments also allow for the identification of any strains that may be present that cannot be
- 1794 cultured under laboratory conditions. This can be used to confirm that the mutations identified are
- 1795 associated with isolation from the female reproductive tract and not from growth using culture-
- 1796 based methods.

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2329 Supplementary Files

- 2330 Supplementary files are stored at <u>https://swanseauniversity-</u>
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