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6 **CYCLIC AND DYNAMIC BEHAVIOR OF SAND–RUBBER AND CLAY-RUBBER MIXTURES**

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35 **ABSTRACT:** In this paper, the possibility of using fine scrap tire rubber to improve the mechanical properties of
36 soil subjected to cyclic loading is addressed. Ground rubber (0.1 - 0.8 mm) in various proportions (0, 9, 33% and
37 100% by weight) was mixed with a uniform river sand and a lean clay. Cyclic triaxial tests with bender elements
38 were executed to observe the behaviour of the materials and also to determine damping and shear stiffness
39 parameters. The results have shown that the addition of rubber has significantly decreased the density and shear
40 stiffness of both types of soils, which favours mitigation of vibrations. The shear stiffness degradation at shear
41 strains higher than 10^{-3} was lower in specimens containing more rubber. Within this strain range, addition of rubber
42 decreased the damping ratio, but increased the normalized accumulated absolute strain energy absorbed by the
43 material. Higher rubber content in sandy specimens resulted in more elastic behaviour, with lower strain
44 accumulation in each loading cycle, eventually resulting in a higher number of loading cycles before failure. The
45 positive effect of rubber presence was not observed in compacted clay-rubber mixture, which sustained less
46 loading cycles than clay alone. The influence of rubber addition in the p '- q stress space was expressed in the form
47 of lower pore pressure generation which shifted the stress path further from the failure envelope.

48

49 1. INTRODUCTION

50 Scrap tires are among the largest and most problematic sources of waste. There are several ways to apply this
51 product in civil engineering, reducing the environmental concerns related to their stockpiling or incineration. When
52 scrap tires are shredded they are named tire derived aggregates (TDA). Depending on shape and dimensions,
53 ASTM (2004) distinguishes between powdered rubber (≤ 0.425 mm), ground rubber ($\leq 0.425 - 2$ mm), granulated
54 rubber ($\leq 0.425 - 12$ mm), tire chips (12 - 50 mm) and tire shreds (50 – 305 mm).

55 One of the possible functions the TDA may offer in geo-engineering applications is mitigation of
56 detrimental effects of cyclic loading (stresses or strains oscillating about some equilibrium value) such as
57 increasing settlement or even failure due to liquefaction. The specific type of cyclic loading that presents the most
58 negative impact on people and structures - especially in densely populated areas - is vibrations. They may be
59 characterized with various amplitudes and frequencies depending on the inducing factor: e.g. road and railway
60 traffic, geotechnical works (driving piles, soil compaction), seismic and paraseismic events, such as the ones
61 related with quasi-earthquakes caused by collapse of caverns in deep mining of coal and copper ores. Hence,
62 developing a simple and economical technique for protecting infrastructure against vibrations is important. For
63 this purpose, a useful solution is the isolation of building foundations or retaining walls with cushions filled with
64 TDA or TDA-soil mixtures. This material can be also used to fill open trenches along roads or railways to damp
65 traffic vibrations (Thompson et al. 2016). Such a cushion or a layer works as a distributed isolation system in
66 contrast to conventional systems involving isolation at some discrete supporting points of a foundation. The key
67 advantage of such a geotechnical system is that vibration energy is dissipated already in the ground before it
68 reaches the structure (Tsang et al. 2012). Significant reduction in the potential damage to buildings may be
69 expected in the areas where the soil stiffness is relatively low, resulting in 'moderate to large' strains, and the soil
70 responds nonlinearly (Trifunac 2003). The stiffness reduction can be controlled by rubber content in the TDA-soil
71 mixtures. In order to design the geotechnical vibration isolation adequately, it becomes necessary to evaluate
72 several geotechnical parameters of the considered material, such as its deformation properties (including initial
73 shear modulus G_0 and its degradation with strain), damping ratio D , bulk density ρ , Poisson's ratio ν , and shear
74 strength.

75 Several research works have recently been conducted to study the properties of scrap tires. As described
76 below, most of these studies focused on sand-rubber mixtures with coarse rubber particles. In this research,
77 mixtures of fine ground rubber (< 0.8 mm in size) with sand or clay were studied. The very small size of rubber
78 grains prevented segregation and resulted in greater homogeneity of the mixtures. Another advantage of this fine
79 scrap tire fraction is that, contrary to e.g. chips, it usually does not contain any textile or metal cord, which could
80 affect the properties of the mixture (e.g. possible capillary suction or rusting).

81 The experimental plan comprised cyclic triaxial tests to determine the evolution of shear modulus G and
82 damping ratio D with shear strain, and bender element tests to estimate the initial values of the shear modulus G_0 .

83 84 **2. PREVIOUS RESEARCH ON SOIL-RUBBER MIXTURES**

85 Since the 90-ties of XX century several researchers studied the influence of rubber content on the behaviour of
86 sand-rubber mixtures. In most cases the tests considered tire shreds, chips or granulated rubber coarser than 2 mm
87 to be used as embankment fills or retaining wall backfills, insulation or drainage layers, proving the effectiveness
88 of their application both in laboratory and natural scale tests (e.g. Edil and Bosscher 1994; Lee et al. 1999; Yoon
89 et al. 2005; Vinot and Baleshwar 2013, Kowalska and Chmielewski 2017; Chenari et al., 2019). Some researchers
90 proved that smaller chips, crumbs or granules had either no effect on the shear strength of sand or decreased it
91 (Youwai and Bergado 2003, Lee et al. 2007); others, for an optimum rubber content, obtained an increased shear
92 strength at low confining stresses (Ghazavi 2004, Edinçliler et al. 2010, Hong et al. 2015). In the last 20 years,
93 several works have been published on the cyclic and dynamic properties of TDA and TDA-soil mixtures,
94 evaluating dynamic parameters such as shear modulus G_0 and damping ratio D . Tire chips, shreds and their
95 mixtures with sand or gravel were examined in numerical analyses e.g. by Tsang et al. (2012), Esmaeili et al.
96 (2013), Tsang and Pitilakis (2019), and large-scale laboratory tests by e.g. Hazarika et al. (2008), Gromysz and
97 Kowalska (2017), McCartney et al. (2017). The results proved that this material can effectively reduce vibrations
98 and thus the total (static and dynamic) load applied on structures (etc. quay wall, foundation, road embankment).
99 Although working with different sands and rubber fractions ($D_{50,rubber}/D_{50,soil} = 0.2 - 10$, where D_{50} is a mean
100 effective grain size), mixture densities and rubber percentages in sand-rubber mixtures, Feng and Sutter (2000),
101 Anastasiadis et al. (2012) and Ehsani et al. (2015) concluded, based on resonant column tests, that an increase in
102 the rubber content caused decrease of the small strain shear modulus G_0 and increase of damping ratio D . Contrary
103 to the other authors, Nakhaei et al. (2012), while testing well graded gravel with clay mixed with granulated rubber
104 ($D_{50,rubber}/D_{50,soil} \approx 0.4$) in cyclic triaxial tests, observed that the dependency of damping ratio D on rubber content
105 is not unique: although with an increase in rubber inclusion the D increases for the confining pressures of 200 and
106 300 kPa, it decreases for lower confining pressures of 50 and 100 kPa. Hong et al. (2015) noted that hysteresis
107 loops of the sand-tire rubber mixtures, obtained in cyclic triaxial tests, are more elliptical when compared to the
108 'butterfly' type of the pure sand loops. Mashiri et al. (2016) indicated that the number of cycles to liquefaction
109 increased with the proportion of tire chips from 10% to 33% and then decreased for 40%.

110 As mentioned above, there has not been much research done on clay-rubber mixtures and the results are
111 not always in agreement as they depend on the type of soil and dimensions of rubber particles used. According to
112 Tatlisoz et al. (1998) addition of large tire chips decreases the shear strength of clay. It seems, however, that small
113 content of tire buffings, fibres or ground rubber particles may improve the shear strength. Just like in sand-rubber
114 mixtures, rubber addition generally reduces the shear stiffness of clay for both small and large strains. This has

115 been proved by e.g. Özkul and Baykal (2007), Akbulut et al. (2007), Dunham-Friel and Carraro (2011) or Heyer
116 (2012). An exception were the results presented by Akbulut et al. (2007), who obtained higher shear modulus and
117 damping ratio of natural clay after adding small amount of rubber fibres. Apart from the mentioned work by
118 Akbulut et al. (2007), the influence of rubber addition on damping of clay-rubber mixtures has not been
119 investigated.

120

121 **3. TESTING MATERIAL AND PROCEDURES**

122 **3.1. Soil and rubber properties**

123 Two types of soils were studied: sand and clay, so that the benefit of fine rubber addition could be evaluated for
124 soils that are very different. The sand originated from Coimbra region, in the central Portugal, being a uniform
125 quartz sand from Mondego river. The clay was extracted in Patoka, in the south of Poland, from a mine, belonging
126 to a local brickyard. The mineralogic analysis of the clay made by Stempkowska (2014) revealed that it is
127 composed of quartz, kaolinite, illite, siderite and goethite. Its characteristic red colour results from high amount of
128 iron oxide, while the organic matter content is negligible. It belongs to the tertiary deposits. The clay was oven
129 dried (105°C) and ground in a ball mill to obtain a homogenous and repetitive material for testing.

130 Table 1 shows the main soil properties and ASTM unified soil classification. Further in the text the soils
131 will be called Red Clay (RC) and Coimbra Sand (CS).

132

133 Table 1 around here

134

135 The scrap tire rubber of grain dimensions between 0.1 and 0.8 mm was provided by Biosafe
136 (www.biosafe.pt) and it was produced by mechanical shredding of end-of-life tires. According to ASTM (2004) it
137 would be classified as a ground rubber. The physical properties of the rubber are presented in Table 2. In terms of
138 chemical properties, the rubber is composed of polymers (40 to 55%), carbon black (20 to 25%) and other
139 additives. The ground rubber has a grain size distribution similar to the sand (Fig. 1; $D_{50.rubber}/D_{50.sand} = 1.25$),
140 which favours the homogeneity of the moulded sand-rubber specimens and prevents segregation (see Kim and
141 Santamarina, 2008).

142

143 Figure 1 around here

144 Table 2 around here

145

146 **3.2. Specimen preparation and testing procedures**

147 Two sand-rubber mixtures with 9% and 33% rubber content and one clay-rubber mixture with 9% rubber content
148 were prepared. The rubber content (R) was defined as the ratio of the dry rubber mass to the total dry mass of the
149 sample (Fig. 2). Additional tests were conducted also for clay-only ($R = 0\%$) and rubber-only specimens
150 ($R = 100\%$). The sand-only behaviour has already been characterized by Teixeira (2015).

151

152 Figure 2 around here

153

154 The testing program contained cyclic triaxial tests on water saturated specimens, with consolidation and
155 undrained shearing. Two triaxial systems were used: a Bishop-Wesley's 'stress-path cell' and a conventional
156 triaxial cell with rigid connection between the piston and cyclic actuator, enabling cyclic loading with triaxial
157 extension. They are further called: BW cell and CTx cell, correspondingly. Specimens tested in the BW cell were
158 50 mm in diameter and 100 mm in height and in the CTx cell: 70 mm in diameter and 140 mm in height. The cells
159 were equipped with local strain instrumentation – two axial Hall-Effect transducers (HE) of 5 mm range and
160 bender/extender elements (BE) (Lings and Greening, 2001) in BW cell, and three axial linear variable displacement
161 transformers (LVDTs) in the CTx cell.

162 Rubber and sand-rubber specimens were prepared in BW cell by moist tamping procedure (see Soares
163 2015). First, the material was dry mixed until complete homogenization and then small quantity of water was
164 added. It was then gently tamped into the mould in 6 layers. In case of rubber-only specimen this procedure gave
165 higher void ratio due to damping properties of rubber. The sand-rubber mixtures that were to be tested in CTx cell
166 were prepared with slightly greater effort of tamping to get lower void ratios.

167 The clay and clay-rubber mixture were statically compacted in BW cell in three layers. The moulding
168 water content was about 18%, determined as the optimum water content of the clay in the standard Proctor test
169 giving the maximum dry weight of 17.2 kN/m^3 (γ_d^{max}). The method of static compaction proved satisfactory as
170 the achieved dry weight of the clay specimen was only 1.5% different than the maximum Proctor value. Based on
171 the work by Seda et al. (2007) and Carraro et al. (2013) it was assumed that the optimum water content of the clay-
172 rubber mixtures is the same.

173 The research program is presented in Table 3, indicating the test name, type of triaxial cell and the used
174 equipment, size of specimen, the calculated specific gravities of the mixtures, together with void ratios and
175 moisture contents of all the specimens. The test names include: the type of soil (CS = Coimbra Sand, RC = Red
176 Clay, R = rubber), rubber content R (0, 9, 33 and 100%) and the effective confining stress σ'_c ; so, a specimen
177 called CS_9_50 represents Coimbra Sand with 9% rubber content by weight sheared at 50 kPa effective confining
178 stress. The specific gravity of each mixture (G_s^{Mix}) depends on the rubber content. It can be defined as a function
179 of the specific gravity (G_s) and the rubber content R as expressed in Fig. 2.

180

181 Table 3 around here

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183 To saturate the rubber and sand-rubber specimens, carbon dioxide was first allowed to percolate through
184 the specimen followed by deaired water percolation. Consequently, saturation was quite fast at a rate of 60 kPa/h.
185 When cell and back pressure achieved 310 and 300 kPa respectively, the Skempton parameter B was measured
186 and values close to 1 were obtained (see Table 3). The clayey specimens were equipped with vertical side drains
187 and water was allowed to percolate for some days before saturation was initiated up to 500 kPa of back pressure
188 at a rate of 20 kPa/h keeping an effective stress of 10 kPa. B values above 0.9 were achieved, which was deemed
189 satisfactory for compacted clays (Black and Lee 1973; Szczepański 2017). Additionally, the P wave propagation
190 time measured at the end of saturation gave P wave velocities close to 1800 m/s, indicating high saturation levels
191 (Vieira, et al., 2005; Jamiolkowski 2012).

192 All the specimens were consolidated at low confining stress of 50 kPa until the volume change, measured
193 by the amount of water coming out from the specimen, was stabilized (CEN 2004).

194 The cyclic undrained shearing in BW cell was performed following compression-decompression cycles
 195 as expressed schematically in Fig. 3a. The procedure was continued automatically until 20% of permanent axial
 196 strain. In this system the loading/unloading velocity was constant being stress-controlled by the deviatoric stress
 197 q (the difference between vertical and horizontal stress) at a rate of 2400 kPa/hour, which resulted in decreasing
 198 frequency of cycles (Table 4).

199 The CTx cell was used to perform compression-extension tests on the denser sand-rubber specimens,
 200 accordingly to JGS (2000). These tests were performed at a constant frequency of 1 Hz following loading stages
 201 (LS) of 10 cycles each, as explained in Table 4 and Fig. 3b. There were 10.5 loading stages applied on each of the
 202 two specimens (10 LS + 5 cycles of the 11th LS). These tests are indicated by ‘_CT’ at the end of the test name in
 203 Table 3.

204

205 Figure 3 around here

206 Table 4 around here

207

208 4. TEST RESULTS INTERPRETATION

209 4.1. Cyclic tests

210 The cyclic tests results provide data for analysis of the shear modulus and damping ratio evolution with strain. The
 211 shear modulus G is defined as the ratio of the increments of shear stress τ and shear strain γ (see Fig. 4):

212

$$G = \frac{\Delta\tau}{\Delta\gamma}. \quad [1]$$

213

214 Figure 4 around here

215

216 Damping ratio D is proportional to the ratio of energy dissipated in one cycle of oscillation W_D and strain
 217 energy stored in the system W_S (Kramer, 1996), which can be graphically determined based on a hysteresis loop
 218 (see Fig. 4a), using equation:

219

$$D = \frac{W_D}{4\pi W_S} = \frac{A_{loop}}{4\pi A_\Delta}. \quad [2]$$

220

221 In the triaxial tests the area of the loop can be determined as:

222

$$A_{loop} = \frac{1}{2} \sum_{i=1}^n (\tau_i - \tau_{i+1}) \cdot (\gamma_i + \gamma_{i+1}), \quad [3]$$

223

224 where i refers to each measurement registered by the system.

225 The energy absorbed by the material may be evaluated based on the strain energy density, as used by
 226 Indraratna et al. (2017) for monotonic shearing. Millen et al. (2019) applied this concept to cyclic tests and

227 suggested normalization by the effective confining stress σ'_c , calling the obtained parameter *NCASE* (normalized
 228 accumulated absolute strain energy). The *NCASE* can be calculated with the following expression:
 229

$$NCASE = \frac{\sum_{j=0}^n A_{\Delta}}{\sigma'_c}, j = < 0, 1, 2, \dots, n >, \quad [4]$$

230
 231 where A_{Δ} is the strain energy equal to the area of the triangle as defined in Fig. 4 and n is the number of peaks in
 232 $\tau - \gamma$ graph. Similarly, the normalized dissipated energy of a material (*NDiss*) may be calculated from the area of
 233 the loop divided by the effective confining stress σ'_c , as follows:
 234

$$NDiss = \frac{\sum_{j=0}^n A_{loop}}{\sigma'_c}, j = < 0, 1, 2, \dots, n >. \quad [5]$$

235
 236 **4.2. Bender elements tests**

237 Shear and compression waves propagation time from bender element tests were analysed by the time domain
 238 methodology as described by Camacho-Tauta et al. (2015). According to the theory of elasticity, shear wave
 239 velocity V_s is related to the shear (G_0) modulus, according to equation [6]:
 240

$$G_0 = \rho V_s^2, \quad [6]$$

241
 242 where ρ is the bulk density of the material. Equation [7] (by Richart et al. 1970) provides the Poisson's ratio value
 243 (ν), from which the initial Young's modulus (E_0) can be derived [8]:
 244

$$\nu = \frac{0.5 \left(\frac{V_p}{V_s} \right)^2 - 1}{\left(\frac{V_p}{V_s} \right)^2 - 1}, \quad [7]$$

$$E_0 = 2G_0(1 + \nu). \quad [8]$$

245
 246 **5. RESULTS AND DISCUSSION**

247 **5.1. Stress-strain relations and stress paths in compression-decompression cyclic tests**

248 The number of loading stages that each specimen tested in compression-decompression sustained before failure is
 249 presented in Table 5. The failure was defined as an achievement of an accumulated axial strain of 20%.

250
 251 Table 5 around here

252
 253 The results of the cyclic compression-decompression tests in the form of shearing characteristics $\tau - \gamma$
 254 (loops) are presented in Fig. 5 for sand-rubber mixtures and in Fig. 6 for clay-rubber mixtures. The rubber alone
 255 test was repeated in both figures to serve as a reference. The last loading stage (usually incomplete) is marked by
 256 grey colour. The graphs are shown in semi-logarithmic scale so that more loading stages could be observed despite
 257 the different strain levels.

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Figure 5 and 6

When the boundaries of $\tau - \gamma$ are compared (dashed lines in Fig. 5 and Fig. 6) it may be noticed that the increase in the rubber content leads generally to decrease of the shear stiffness, although this influence takes different forms in sandy and clayey specimens.

Looking at Fig. 5c, it is clear that the $\tau - \gamma$ envelope of CS_9_50 specimen shows initially lower shear strains than CS_33_50 specimen, but starting from the shear strains of about 0.065 this tendency gets reversed, which is even better expressed in the R_100_50 test, which sustained the highest number of loading stages before reaching 20% axial strain. The $\tau - \gamma$ envelopes of CS_33_50 and R_100_50 specimens initially coincide, which means that addition of 33% of rubber by weight (53% by volume) changes the behaviour of the sandy specimen into more rubber-like. A similar coincidence is visible in generation of pore pressure - see Fig. 9a. According to Lee et al. (2007) a specimen with this rubber content corresponds to a transition mixture, which can show either sand- or rubber-like behaviour depending on the value of confining stress - at the small confining stress of $\sigma'_c = 50$ kPa the mixture is expected to behave in a rubber-like mode, which has been proved also in this research. It may be noticed that the behaviour of the sandy specimen containing more rubber is more elastic. Looking for example at the results for the 5th loading stage of the specimen CS_33_50: all the loops $\tau - \gamma$ follow the same hysteretic loop, while in case of the specimen CS_9_50 (with less rubber content) the strain tends to accumulate in each cycle. As a result, before 20% axial strain, the CS_33_50 specimen is able to sustain more loading stages than CS_9_50 (Table 5). The specimen R_100_50 shows smaller strain accumulation in each single loading stage sustaining 7 full loading stages up to the end of the test. It might be noted that the positive influence of rubber addition in sand-rubber mixtures was activated in the 5th LS at about 10% of axial strain, when the accumulated strain became lower for R = 33% than for R = 9%. The reason is probably the mobilization of elastic deformation of rubber grains in compression.

From the tests performed with clay (Fig. 6 a, b) it can be observed that introduction of 9% of rubber by weight did not improve the soil behaviour. The specimen RC_9_50 sustained less cycles than clay-only specimen, indicating that even such a relatively small rubber content may drastically change the behaviour of compacted clay. The rubber grains in the compacted clay-rubber mixture got less deformed than in the sand-rubber specimen with the same rubber content and so the elastic properties of rubber could have not been fully utilized. This observation, however, needs further studies on clay samples with other rubber contents.

The effective stress paths $p' - q$ obtained in compression-decompression tests are presented in Fig. 7 and Fig. 8. The colourful dashed lines represent the possible peak shear strength boundaries if assumed that they pass through the origin. In Fig. 7 the boundary of Coimbra Sand obtained by Teixeira (2015) (CS_0) has been also added for comparison. It may be noticed that the shear strength of sand gets increased when 9% rubber is added to the mixture, but the effect becomes lower at 33% rubber content. From these results, it seems that the optimum rubber content in sand-rubber mixture would be around 9%. However, more tests are needed to confirm this. In case of clay, 9% rubber addition decreases the shear strength slightly. The highest shear strength has been obtained for the rubber-alone specimen, which corresponds with the highest number of loading stages applied before 20% axial strain.

298 Figure 7 and 8

299

300 The positive effect of rubber addition seems to result from generation of lower excess pore pressures (Δu)
301 (see Fig. 9), which shifts the effective stress paths further from the failure boundary making space for another
302 loading stage. For axial strains up to 10% the maximum Δu is smaller in the CS_33_50 than in the CS_9_50 and
303 also smaller in the RC_9_50 than in the RC_0_50 specimen, with the lowest values in the R_100_50. The
304 compressive energy is used to deform the specimen rather than to build the excess pore pressure in the undrained
305 test. Interestingly, the fluctuations of pore pressure in one loading stage are the greatest in clay and the smallest in
306 sand, with the average values in rubber-only specimen. The maximum excess pore pressure in rubber-only
307 specimen is constantly growing till the end of the test and so eventually, before failure, it overcomes the pore
308 pressure in sandy and clayey specimens.

309 The fact that the increased rubber content caused the decrease in excess pore pressure may be surprising
310 if compared with literature. For example, Shariatmadari et al. (2018), testing Firouzkooch sand mixed with rubber
311 granulate (1 – 2 mm in size), obtained a clear decrease of excess pore pressure in undrained monotonic loading for
312 mixtures containing more rubber. This difference may be explained by the fact that the specimens tested by
313 Shariatmadari et al. (2018) were all prepared with the constant relative density of about $D_r = 54.5\%$, while the
314 specimens prepared in this study were prepared with constant tamping effort. Considering that the addition of
315 rubber to sand increases both the e_{max} and e_{min} values (see e.g. Madhusudhan et al. 2017, Shariatmadari et al. 2018),
316 the similar void ratios of 0.88 and 0.86 in CS_9_50 and CS_33_50 specimens, respectively, correspond to different
317 D_r values, with CS_33_50 specimen being relatively denser and thus less compressive than CS_9_50. The effect
318 of decreased excess pore pressure at higher rubber content seems to be dependent on the material state, given by
319 not only its density, but also the confining pressure. This may be supported by the results of research conducted
320 by Ozkul and Baykal (2007), where at 100 kPa of confining pressure, lower values of Δu were reported for
321 specimens with 10% rubber buffings content than for specimens with no rubber; while at higher confining
322 pressures (200 & 300 kPa) the opposite trend was observed. This is also in agreement with the liquefaction study
323 by Mashiri et al. (2016) on loose sand-rubber mixtures, in which at 69 kPa of confining stress an increasing number
324 of cycles was obtained for increasing amount of rubber (10 – 30%).

325

326 Figure 9 around here

327

328 5.2. Stress-strain relations in compression-extension cyclic tests on sand-rubber mixtures

329 The results of compression-extension cyclic tests performed on the denser sand-rubber specimens in the form of
330 stress-strain loops $\tau - \gamma$ and stress paths $p' - q$ are presented in Fig. 10 and Fig. 11, respectively.

331

332 Figure 10 and 11 around here

333

334 It may be noticed that the $\tau - \gamma$ loops of the test with lower percentage of rubber (CS_9_50_CT) show a
335 “butterfly” shape starting from the 10th loading stage. Their tangent shear stiffness modulus during reloading is
336 initially quite high, then it drops as the q value is reaching zero and raises again at higher absolute values of q ,
337 which is commonly observed for pure sands at higher cyclic stress ratios ($CSR = q/2\sigma'_c$; here at 10th LS: $CSR =$

338 0.35) (see e.g. Kumar et al., 2015). The loops of the test with higher rubber percentage are more elliptical, showing
339 no increase of tangent shear stiffness modulus in reloading. This has also been observed by Hong et al. (2015) as
340 indication of the visco-elastic influence of rubber. It is also clear that accumulation of $\Delta\gamma$ with each cycle is higher
341 in the case of the specimen containing less rubber grains – see e.g. the 10th loading stage: in CS_9_50_CT test $\Delta\gamma$
342 increased from 0.02 in the 1st cycle to 0.06 in the 10th cycle and in CS_33_50_CT test: from 0.05 to 0.06
343 respectively. As the result, even though the shear strain increase ($\Delta\gamma$) is initially (in the first loading stages) lower
344 for the CS_9_50_CT specimen, it becomes eventually similar to the one for CS_33_50_CT specimen as the
345 number of loops increases. This behaviour is consistent with the one observed in compression-decompression tests
346 on the looser specimens.

347 It is also clear that the $\tau - \gamma$ loops are not symmetrical with reference to the vertical axis – the absolute
348 strain values are higher in triaxial extension than in triaxial compression. This is most visible in the last loading
349 stage (represented in grey), where the specimen is approaching failure. Also, the shapes of the stress paths are non-
350 symmetrical in reference to the p' axis – they show smaller shear stress in triaxial extension than in compression.
351 It shall be noted, that the CT tests were finished without reaching failure, thus the stress paths cannot be used to
352 determine the actual positions of the failure envelopes. Even though, the results seem to indicate that the failure
353 surface of the sand-rubber mixtures is nonsymmetrical against hydrostatic axis with lower shear strength in triaxial
354 extension than compression – being consistent with the Coulomb-Mohr failure criterion for soils as demonstrated
355 on sandy specimens by e.g. Yamada and Ishihara (1979) or Ishihara (1985).

356 If the shapes of the stress paths are compared (to make it easier the boundary of the stress path of one
357 specimen was copied on the stress path of the other – dashed lines in Fig. 11), it can be noted that the specimen
358 CS_9_50_CT has achieved lower mean effective stress (p') after 10.5 loading stages - due to higher pore pressure
359 generation in comparison with CS_33_50_CT. This pattern is similar to the one observed in compression-
360 decompression tests, indicating that the rubber may help in reduction of excess pore pressure. The evolution of the
361 excess pore pressure Δu with strain obtained in the first 10 loading stages of compression – extension tests is
362 presented in Fig. 12.

363

364 Figure 12 around here

365

366 5.3. Stiffness and damping properties

367 Results of the bender element tests are presented in Table 6, summarizing P and S wave velocities (measured at
368 the end of consolidation) and corresponding elastic stiffness properties. For comparison the results of clean
369 Coimbra Sand obtained by Teixeira (2015) were added – specimen called CS_0_50.

370

371 Table 6 around here

372

373 It is clear from Table 6 that the increase of the rubber content resulted in a decrease of E_0 and G_0 , which
374 is consistent with the results obtained by other researchers (Feng and Sutter, 2000, Dunham-Friel and Carraro,
375 2011 or Anastasiadis et al., 2012). The dependency of shear stiffness on rubber content is not linear: addition of
376 9% of rubber by weight to sand had a negligible influence on the maximum shear stiffness, while for $R = 33\%$ it

377 showed a drop as high as 77%. The effect of rubber addition on clay stiffness is very high already at $R = 9\%$,
378 giving a G_0 value 58% lower than for clean clay.

379 The P wave velocities in all specimens were higher than 1450 m/s, giving Poisson ratio's values very
380 close to 0.5. These results prove proper saturation of the specimens. According to Valle Molina and Stokoe (2012),
381 Jamiolkowski (2012), or Ghasemzadeh and Abounouri (2013) P wave velocity in soil increases rapidly when
382 saturation ratio S is higher than 0.95.

383 The normalized shear moduli (G/G_0) versus the shear strain amplitude $\Delta\gamma/2$ (see Fig. 4) are presented in
384 Fig. 13 together with the boundaries proposed by Mashiri et al. (2016) for mixtures containing 0 – 40% of tire
385 chips (up to 8 mm in size). The G values were calculated based on the 5th out of 10 cycles in each loading stage.
386 The G_0 points have been located at shear strains equal to 10^{-5} being the range applied in bender elements tests
387 according to Dyvik and Madshus (1985). The CTx cell was not equipped with bender elements that is why the G_0
388 values in compression-extension tests could have not been obtained directly. They were assessed as the slope of
389 the initial tangent of the $\tau - \gamma$ graph on the reloading part. The values: $G_0 = 50$ MPa and 11 MPa were obtained in
390 CS_9_50_CT and CS_33_50_CT tests, respectively, being greater than the G_0 values in the CS_9_50 and
391 CS_33_50 tests due to their higher density.

392 As expected, the shear stiffness values show continuous decrease with strain, which proves the nonlinear
393 behaviour of soil-rubber mixtures. The compression-extension tests gave higher shear stiffness values than
394 compression-decompression tests, due to higher specimens' density and also as the result of faster strain rates, as
395 reported by Watanabe and Kusakabe (2013). It is evident that at larger shear strains the degradation of shear
396 stiffness in rubber-only specimen is much smaller than in all other mixtures and clay, being the effect of elastic
397 properties of rubber. This is in agreement with other researchers (e.g. Nakhaei et al., 2012) who report a smaller
398 stiffness degradation with increased rubber content. In case of sand-rubber mixtures addition of rubber makes the
399 stiffness degradation smaller starting from strains greater than about $4 \cdot 10^{-4}$, independently of the test type . In clay-
400 rubber specimen, this effect is visible at larger strain range – starting from about $6 \cdot 10^{-3}$. The results fit quite well
401 to the boundaries obtained by Mashiri et al. (2016) only for strains larger than $5 \cdot 10^{-4}$. The reason for this may be
402 the fact that the boundaries were defined based on other types of tests (resonant columns and hollow cylinder),
403 which are more accurate than cyclic triaxial at very small strains.

404

405 Figure 13 around here

406

407 It is well known that during a cyclic loading the soil damping tends to increase due to soil yielding and
408 consequent increase in deformation (e.g. Kokusho, 1980, Ishibashi and Zhang, 1993). The damping ratios of all
409 the mixtures at confining pressure 50 kPa are presented in Fig. 14 together with the boundaries proposed by Mashiri
410 et al. (2016) and by Madhusudhan et al. (2017). , It was not possible to provide reliable damping ratios for very
411 small shear strain (below 10^{-4}), due to insufficient precision of strain measurements in cyclic triaxial tests, which
412 would be interesting for many vibration purposes. The results of the current research match well with the results
413 of Mashiri et al. (2016) for strains smaller than 10^{-3} and with the results of Madhusudhan et al. (2017) in the higher
414 strain range. The difference may be connected with the size of rubber particles tested – Mashiri et al. (2016) used
415 bigger rubber chips, while Madhusudhan et al. (2017) worked on material similar to the one used in this research
416 (see Table 7).

417

418 Figure 14 around here

419

420 The addition of fine ground rubber decreases the damping ratio of Coimbra Sand and Red Clay. This
421 agrees with the results of cyclic triaxial tests obtained by other authors (Nakhaei et al. 2012, Pistolas et al. 2018,
422 Madhusudhan et al. 2018, 2019), contrary to the results of resonant column tests (Anastasiadis et al. 2012, Pistolas
423 et al. 2018), in which much smaller strain range is applied. A comparison of results of resonant column and cyclic
424 triaxial tests obtained by several authors for various sand-rubber mixtures can be found in Table 7. This may be
425 explained by large difference between the initial stiffnesses of soil and rubber at very low strains, which becomes
426 smaller for larger strains, and by high elastic deformation capacity of rubber grains at low confining pressures.
427 The influence of confining stress on damping ratio was explained by Nakhaei et al. (2012) – at high rubber content
428 the mixture exhibits more plastic strain at high confining pressures and more elastic strain at low confining
429 pressures.

430 Table 7 around here

431 The values of the normalized cumulative absolute strain energy (NCASE), as defined in equation (4), and
432 the normalized dissipated energy, as defined in equation (5), are presented in Fig. 15 and Fig. 16 against the number
433 of cycles. It shall be noted that in compression-decompression and compression-extension tests there were
434 different amplitudes and different numbers of cycles applied, so the results can be only compared within the same
435 type of test.

436 Although the amount of energy dissipated in one loading cycle is increasing (Fig. 16), the strain energy
437 stored in the system (A_{Δ} - see equation (2) and Fig. 4) is increasing faster (Fig. 15), and therefore the damping
438 decreases. Higher NCASE values indicate better absorbing properties and high ductility of rubber particles, which
439 is in agreement with the previous observations about the effect of rubber on the resilience of the mixtures as
440 reported by Indraratna et al. (2017) for monotonic tests. The reduction of D is not down to zero as rubber shows a
441 minimum value, equal to about 8%. Almost the same value has been obtained in cyclic triaxial tests on scrap tire
442 chips by Mashiri et al. (2016) and Madhusudhan et al. (2019). The damping curve of rubber-only specimen shows
443 a decrease of D for shear strains between 10^{-3} to 10^{-2} and a slight increase for higher strains. A similar parabolic
444 shape of the damping curve was presented by McCartney et al. (2017) for their cyclic simple shear tests on large
445 (up to 300 mm in size) tire chips.

446

447 Figures 15 and 16 around here

448

449

450 6. CONCLUSIONS

451 The compression-decompression tests on clay, clay-rubber and sand-rubber mixtures and compression-extension
452 tests on sand-rubber mixtures at 50 kPa confining pressure led to the following conclusions:

- 453 1) introduction of rubber reduced pore pressure build-up in all the tested mixtures, although this effect seems
454 to be valid only for low confining pressures; for sandy specimens this may be explained by the fact that
455 at similar void ratio the specimen with higher rubber content has higher relative density and so its dilation
456 increases;

- 457 2) the sand-rubber specimen containing 33% rubber by weight exhibited more elastic behaviour and much
458 less accumulation of strain in cyclic compression-decompression loading than the specimen with lower
459 rubber content - the positive influence of rubber was activated in the 5th LS at about 10% of axial strain,
460 probably due to mobilization of elastic deformation of rubber grains in compression;
- 461 3) the positive effect of increased number of LS at higher rubber content, that was noticed in sand, was not
462 present in the clay-rubber mixture, as lower strains did not mobilize the elastic properties of rubber;
- 463 4) the peak shear strength of sand can be increased when rubber is added, being this increase higher with
464 9% rubber content than with 33% rubber content. On the contrary, 9% rubber content in compacted clay
465 specimen decreases the shear strength in relation to the clay alone;
- 466 5) addition of rubber significantly decreases the initial soil stiffness moduli G_0 and E_0 ;
- 467 6) the shear stiffness values show constant decrease with strain; the degradation of shear stiffness in rubber-
468 only specimen is much smaller at larger shear strains than in all the other mixtures and clay, being the
469 effect of elastic properties of rubber;
- 470 7) the addition of rubber to soil reduced the damping ratio D towards the minimum value of about 8% at
471 the shear strain amplitude of 1% - this trend seems to be characteristic for mixtures tested in cyclic triaxial
472 tests, where shear strains greater than 10^{-4} can be analysed;
- 473 8) the addition of highly ductile rubber crumbs causes an increase of the normalized accumulated absolute
474 strain energy $NCASE$, leading to an enhanced energy-absorbing capacity of the mixture.

475 The above results indicate that the use of very small (ground) rubber particles leads to a significant reduction in
476 stiffness for both high strains (evaluated in cyclic triaxial tests) and low strains (evaluated by bender element
477 measurements). This is consistent for both loose and compacted sand, as well as for clay-rubber mixture. As
478 demonstrated by Tsang et al (2010) this reduction in stiffness can retard the accelerations transmitted to the
479 structures. However, at shear strains greater than 10^{-4} the damping ratio seems to reduce with increasing rubber
480 content, conversely to what can be found for smaller strains in resonant column tests – this reduces the
481 effectiveness of mixing the finest rubber fraction with soil as a damping material when higher amplitudes of cyclic
482 loading are expected.

483

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638 **TABLES**

639

640 **Table 1.** Properties of the studied soils

Coimbra Sand		Red Clay	
Specific gravity	2.60	Specific gravity	2.72
Minimum void ratio (ASTM, 2016)	0.48	Liquid limit (<i>LL</i>) (CEN, 2009: cone penetration)	45%
Maximum void ratio (ASTM, 2016a)	0.81	Plastic limit (<i>PL</i>)	16%
Mean effective diameter (D_{50})	0.35 mm	Plasticity index (<i>IP</i>)	29%
Uniformity coefficient (C_u)	2.0	Mean effective diameter (D_{50})	0.0033 mm
Curvature coefficient (C_c)	0.97	Clay content	33%
Unified Classification (ASTM, 2011)	Poorly graded sand (SP)	Unified Classification (ASTM, 2011)	Lean clay (CL)

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643 **Table 2.** Parameters of Crumb Rubber (Biosafe)

Parameters	Test method	Results
Colour	Visual	black
Bulk density (uncompacted)	ISO (2015)	0.35 ± 0.02
Specific gravity	Helium pycnometer (ASTM C604-02, 2012)	1.15 ± 0.06
Moisture (% weight)	Internal	< 1.0
Steel particles	CEN TS 14243 (2010)	< 0.1
Textile fibre particles (% weight)	CEN TS 14243 (2010)	< 0.1
Inerts (% weight)	CEN TS 14243 (2010)	< 1.0
Surface area [cm ² /g]	ASTM B922 (2010) Brunauer, Emmett and Teller Theory (B.E.T.)	620 ± 40
Dimensions [mm]	CEN TS 14243 (2010)	0.01 - 0.08
Mean effective diameter (D_{50}) [mm]	-	0.44
Uniformity coefficient (C_u)	ISO (2004)	2.67
Curvature coefficient (C_c)	ISO (2004)	1.47

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Table 3. Summary of triaxial tests

Soil	Test name	Type of equipment a)	D (mm) b)	G_s^{Mix} (-)	B (-)	Conditions at moulding stage	
						w (%)	e (-)
Coimbra sand (CS)	CS_9	BW cell	50	2.33	1.00	4.56	0.88
	CS_9_CT	CTx cell	70		1.00	5.40	0.62
	CS_33	BW cell	50	1.83	1.00	3.44	0.86
	CS_33_CT	CTx cell	70		1.00	4.59	0.67
Red Clay (RC)	RC_0	BW cell	50	2.72	0.94	18.80	0.69
	RC_9	BW cell	50	2.42	0.90	18.28	0.56
none	R_100	BW cell	50	1.15	0.97	2.22	0.95

652 a) BW – Bishop-Wesley’s cell, CTx – Conventional triaxial cell with cyclic actuator; b) D – specimen diameter

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Table 4. Loading stages in cyclic tests

Loading stage	BW cell			Cyclic CTx cell		
	Minimum	Maximum	Frequency	Minimum	Maximum	Frequency
	Load (N)	load (N)	(Hz)	Load (N)	load (N)	(Hz)
1	0	3	0.05	-3	3	1
2	0	6	0.03	-6	6	1
3	0	12	0.02	-12	12	1
4	0	24	0.01	-18	18	1
5	0	48	0.005	-24	24	1
6	0	96	0.003	-36	36	1
7	0	192	0.002	-48	48	1
8	0	384	0.001	-72	72	1
9				-96	96	1
10				-144	144	1
11				-192	192	1

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Table 5. Number of loading stages before failure in compression-decompression cyclic tests

Test	Number of loading stages (LS) before failure
CS_9	5 complete LS + 4 cycles
CS_33	6 complete LS + 1 cycle
RC_0	7 complete LS
RC_9	6 complete LS + 1 cycle
R_100	7 complete LS + 1 cycle

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Table 6. Elastic moduli values determined based on seismic wave velocities

Test	ρ (g/cm ³)	V_s (m/s)	V_p (m/s)	G_0 (MPa)	ν (-)	E_0 (MPa)
CS_0	1.94	142.11	1536.37	38.0	0.496	113.8
CS_9	1.82	143.45	1541.36	37.5	0.495	112.2
CS_33	1.51	76.45	1796.46	8.8	0.499	26.4
RC_0	2.51	156.32	1451.16	61.3	0.493	183.4
RC_9	2.42	102.79	1544.88	25.6	0.498	76.5
R_100	0.95	55.02	1828.26	2.9	0.499	8.6

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Table 7. Conclusions of other researchers in terms of influence of rubber inclusion on damping ratio

Reference	Material	$\frac{D_{50.rub}}{D_{50.sand}}$	R [%]	Type of test / confining stress [kPa] / method of specimen preparation	Damping ratio change: at increasing shear strain / at increasing rubber content:
Anastasiadis et al. (2012)	rubber: $D_{50} = 0.35, 0.4, 1.5$ & 2.8 mm sands and gravels: $D_{50} = 0.27, 0.56, 1.33, 2.9, 3.0$ & 7.80 mm	1, 2, 5, 10	0, 5, 10, 15, 25, 35	RC / 25, 50, 100, 200, 400 kPa / under-compaction, $D_r = 91 - 100\%$	increase / increase, but the effect diminishes at shear strains greater than 10^{-4}
Ehsani et al. (2015)	rubber: $D_{50} = 0.76$ mm & 1.78 mm Firoozkooh sand: $D_{50} = 0.22$ mm	3.5, 8.2	4, 6, 11	RC & CTx / 300 / compacted at optimum water content	increase / no significant effect
Madhusudhan et al. (2017, 2019)	rubber: $0.063 - 2$ mm, $D_{50} = 1.1$ mm sand: $D_{50} = 0.6$ mm	1.8	0, 10, 30, 50, 100	CU CTx & CTx on dry samples/ 100 / dry tamping with 70% Proctor energy, $D_r = 75 - 80\%$	increase for sand-rubber mixtures and constant value for rubber-alone / decrease (an exception was the specimen with R = 10% for which D turned out to be greater than for pure sand)
Mashiri et al. (2016)	rubber chips: 8×20 mm sand: $D_{50} = 0.35$ mm	14.3	0, 10, 20, 30, 40	CU CTx, $\gamma = (1.5 - 5) \cdot 10^{-3} / 69$ / dry deposition, $D_r = 50\%$	increase / slight increase
Nakhaei et al. (2012)	rubber: $0.15 - 9.5$ mm in size, $D_{50} = 2$ mm well graded fluvial gravel with clay: $D_{50} = 4.5$ mm	0.4	0, 8, 10, 14	large-scale CTx / 50, 100, 200, 300 kPa / under-compaction at optimum water content	increase / decrease for $\sigma'_c = 50$ & 100 kPa, increase for $\sigma'_c = 200$ & 300 kPa
Pistolas et al. (2018)	mix 1: rubber ($D_{50} = 1.55$ mm) + river sand ($D_{50} = 0.41$ mm) mix 2: rubber ($D_{50} = 3.38$ mm) + quarry gravel ($D_{50} = 7.14$ mm)	0.5, 4.0	0, 10, 15, 20, 40, 60	RC & CTx / 25, 50, 100, 200 / dry tamping to obtain void ratio $e = 0.7$, $D_r = 45 - 75\%$ (mix 1) & 70% (mix 2)	RC: continuous increase; CTx: decrease for γ up to about $(3 - 7) \cdot 10^{-4}$ and then further increase / RC: increase; CTx: decrease

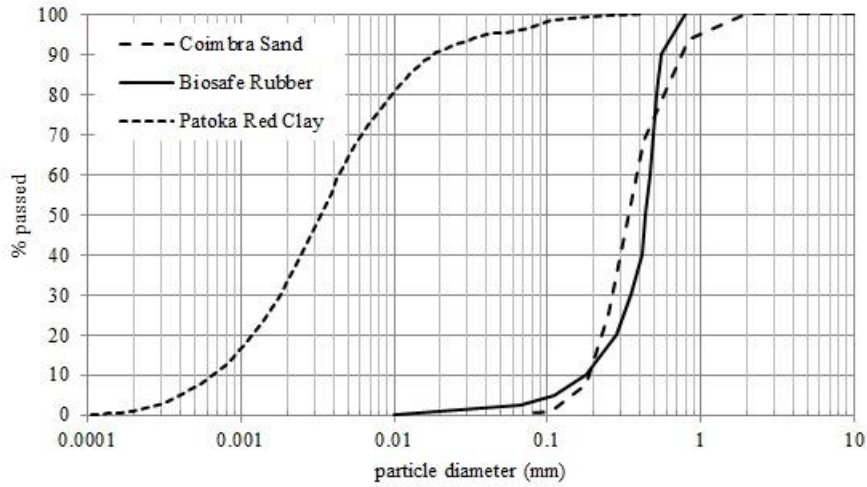
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690 **FIGURE CAPTIONS**

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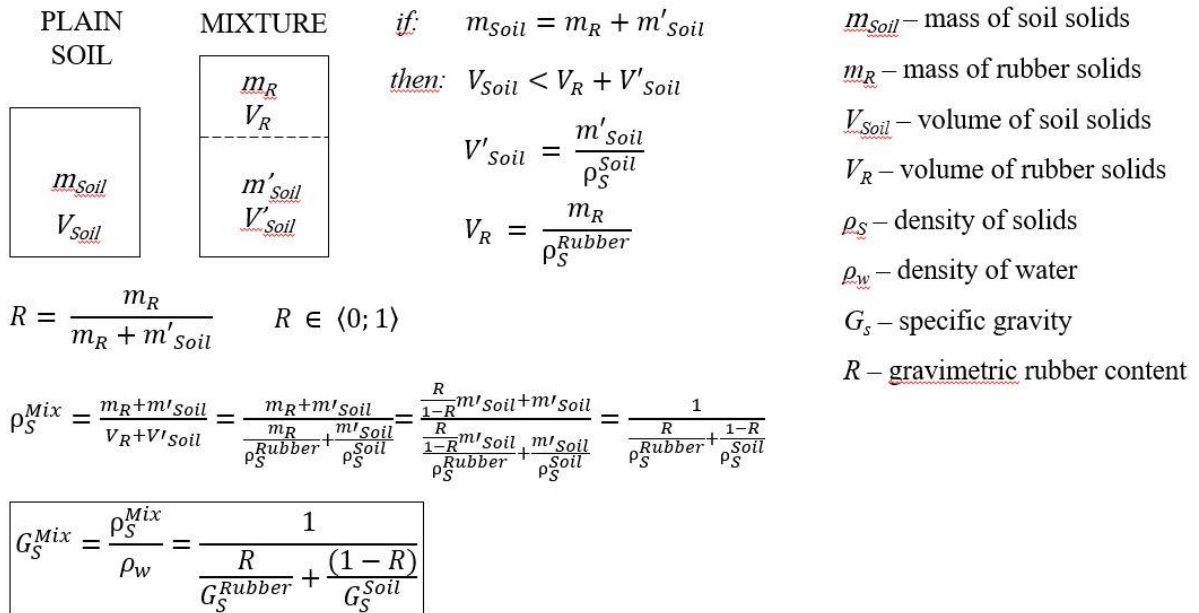


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693 **Fig. 1** Grain size distribution of Coimbra sand, Patoka Red Clay and rubber

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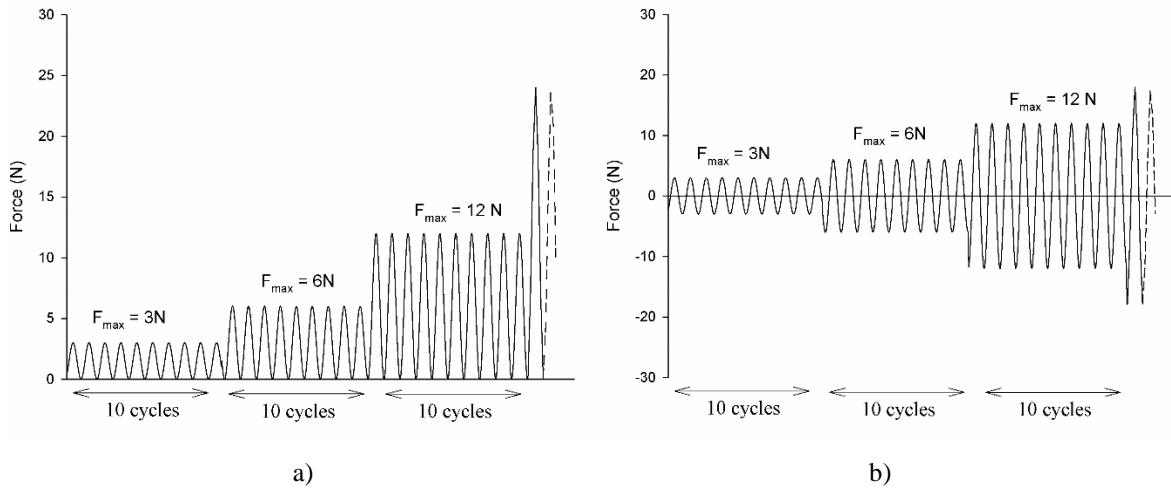
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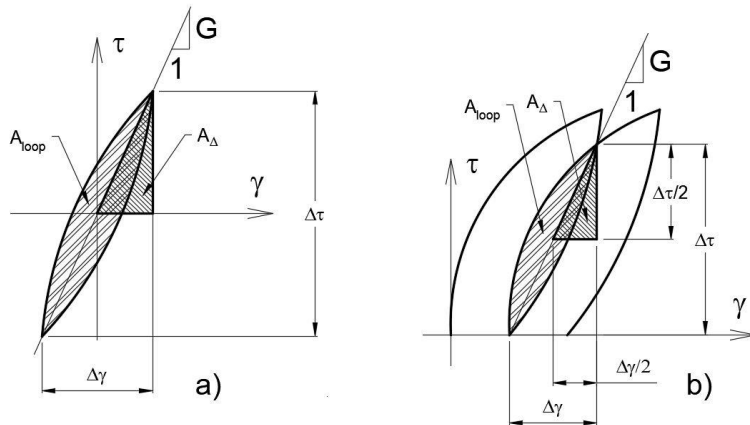
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697 **Fig. 2** Definition of gravimetric rubber content R and specific gravity of soil-rubber mixtures G_s^{Mix}

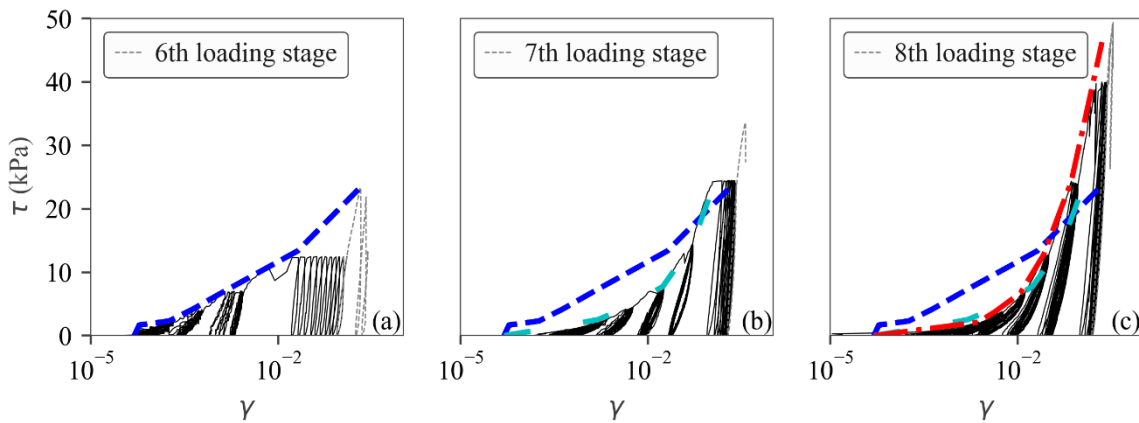
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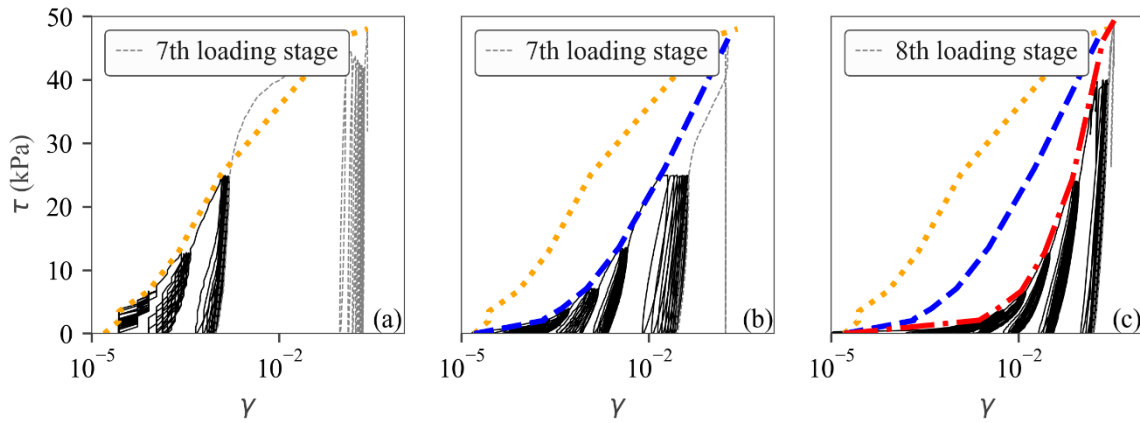
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 701 **Fig. 3** Scheme of cyclic loading: a) in the BW cell; b) in the cyclic CTx cell



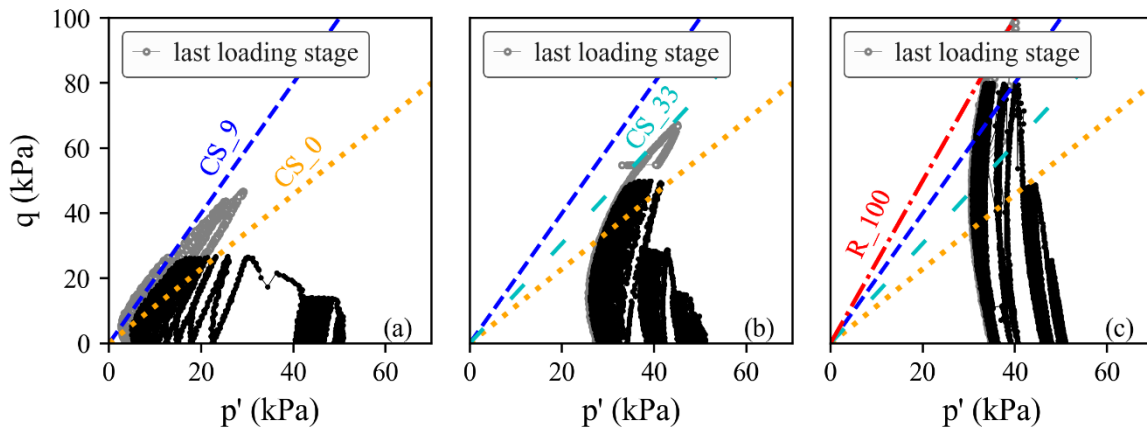
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 703 **Fig. 4** Definition of shear modulus and damping ratio – based on τ - γ graph of a) cyclic compression –
 704 extension test and b) cyclic compression – decompression test
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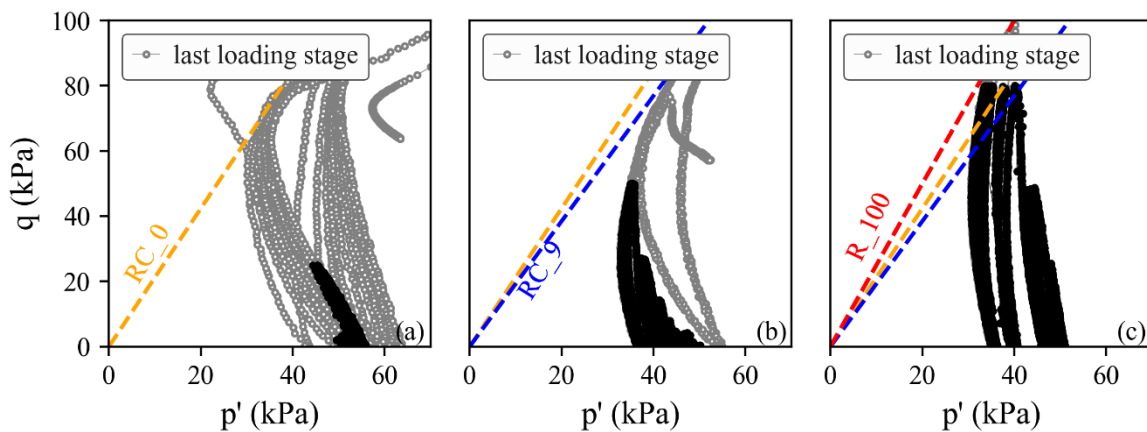
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 707 **Fig. 5** Shearing characteristics in cyclic compression – decompression triaxial tests on sand-rubber mixtures
 708 and rubber alone: a) CS_9; b) CS_33; c) R_100. Note: The dashed grey line represents the last loading
 709 stage while the solid line represents the previous loading stages



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 711 **Fig. 6** Shearing characteristics in cyclic compression – decompression triaxial tests on clay-rubber mixtures
 712 and rubber alone: a) RC_0; b) RC_9; c) R_100. Note: The dashed grey line represents the last loading stage
 713 while the solid line represents the previous loading stages

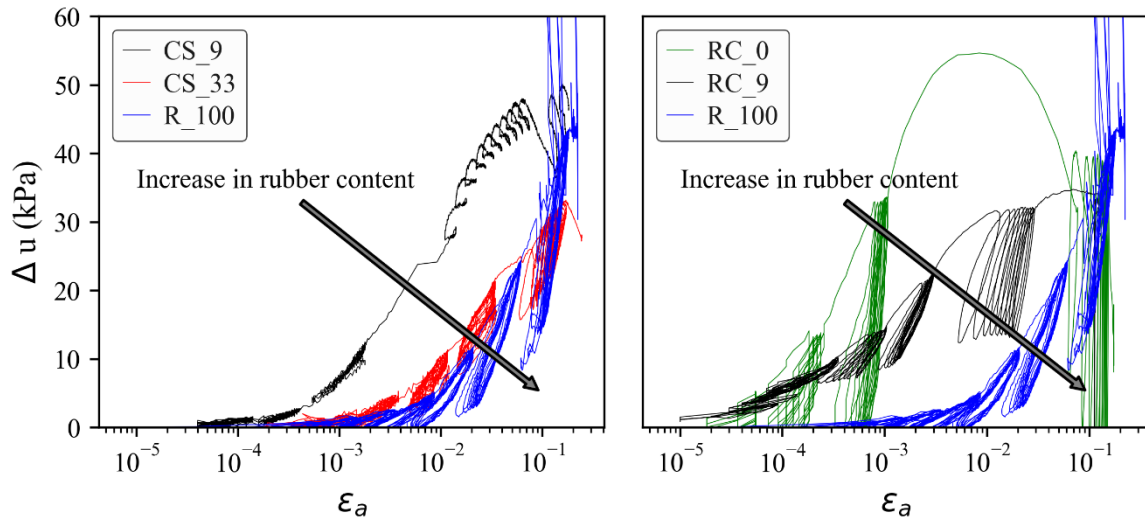


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 715 **Fig. 7** Stress paths p' - q of cyclic compression – decompression triaxial tests on sand-rubber mixtures
 716 and rubber alone: a) CS_9; b) CS_33, c) R_100. Note: The grey marker represents the last loading stage
 717 while the black markers represent the previous loading stages



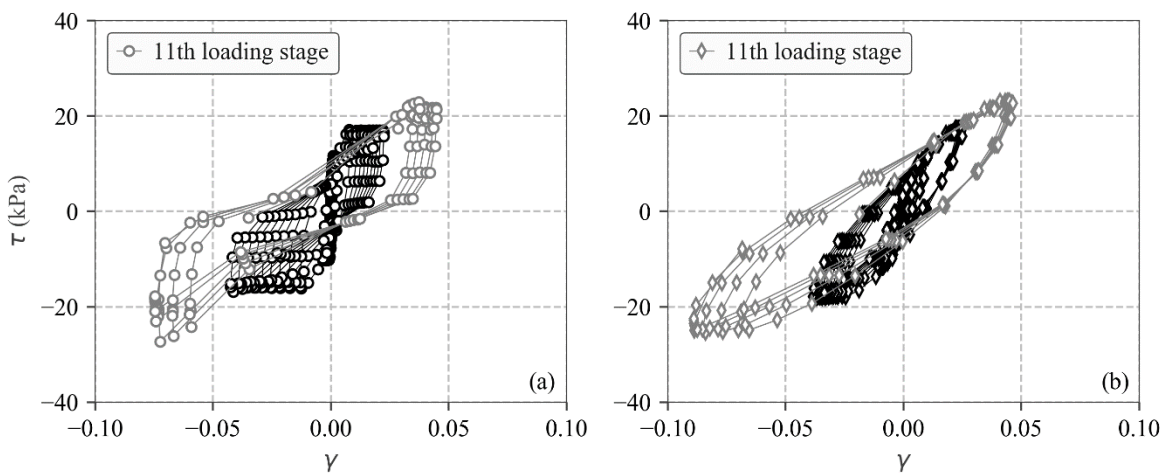
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 719 **Fig. 8** Stress paths p' - q of cyclic compression – decompression triaxial tests on: a) clay RC_0, b) clay-rubber
 720 mixture RC_9, c) rubber alone R_100. Note: The grey marker represents the last loading stage while the
 721 black markers represent the previous loading stages

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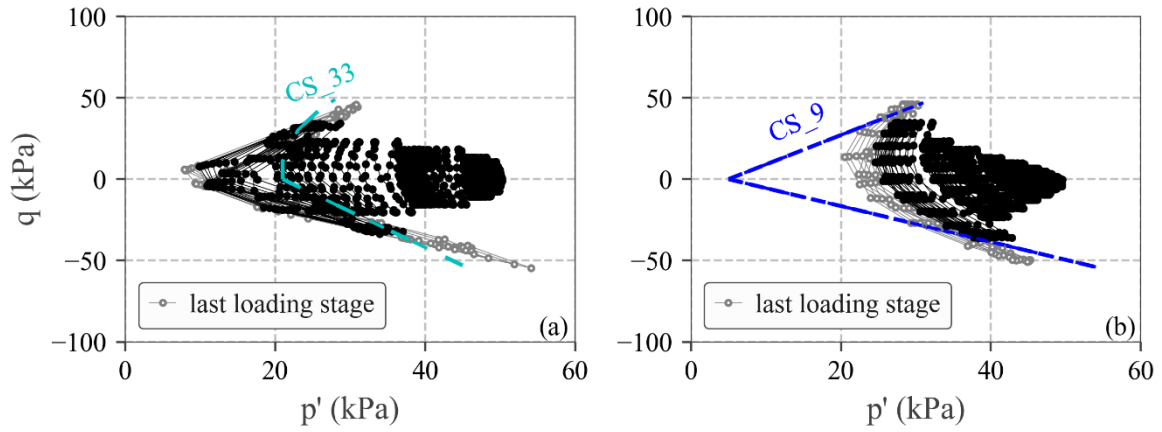
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Fig. 9 Pore pressure evolution in cyclic compression – decompression triaxial tests: a) sand-rubber specimens; b) clay-rubber specimens



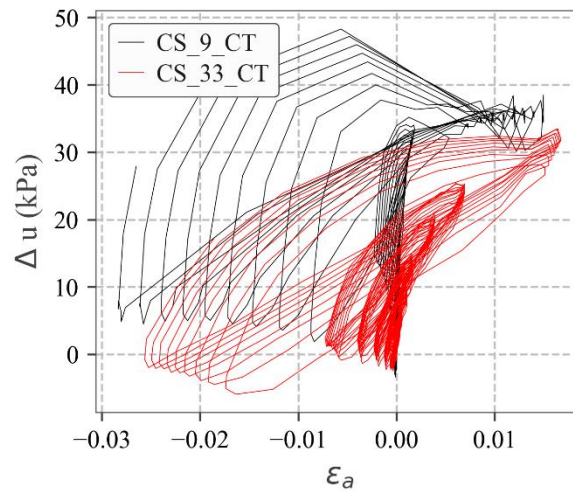
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Fig. 10 Shearing characteristics in cyclic compression – extension triaxial tests on sand-rubber mixtures: a) CS_9_CT; b) CS_33_CT. Note: The grey marker represents the last loading stage while the black markers represent the previous loading stages



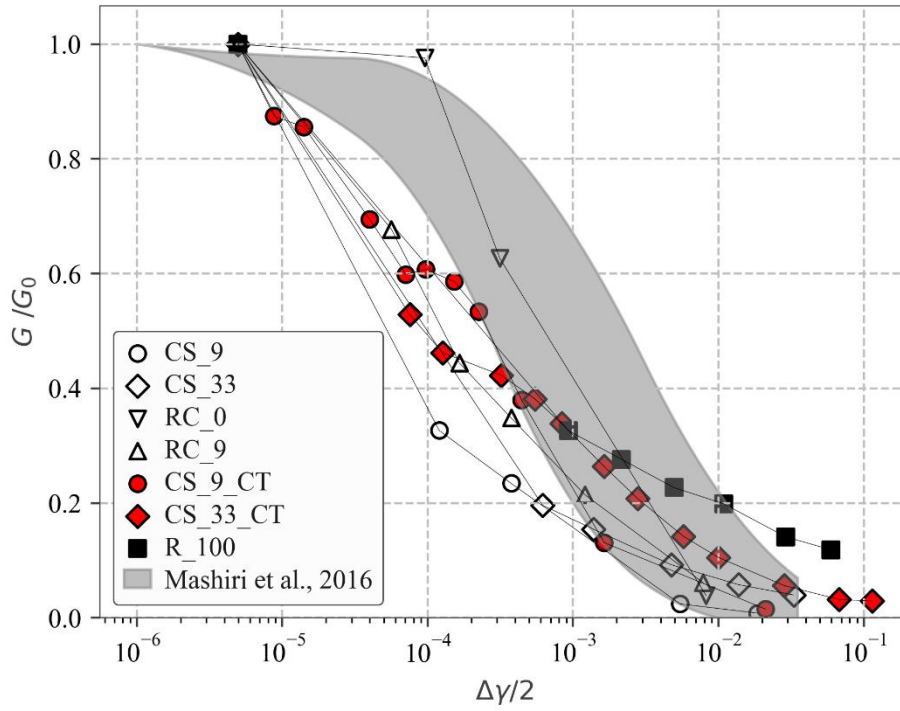
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Fig. 11 Stress paths p' - q of cyclic compression – extension triaxial tests on compacted sand-rubber mixtures: a) CS_9_CT; b) CS_33_CT. Note: The grey marker represents the last loading stage while the black markers represent the previous loading stages

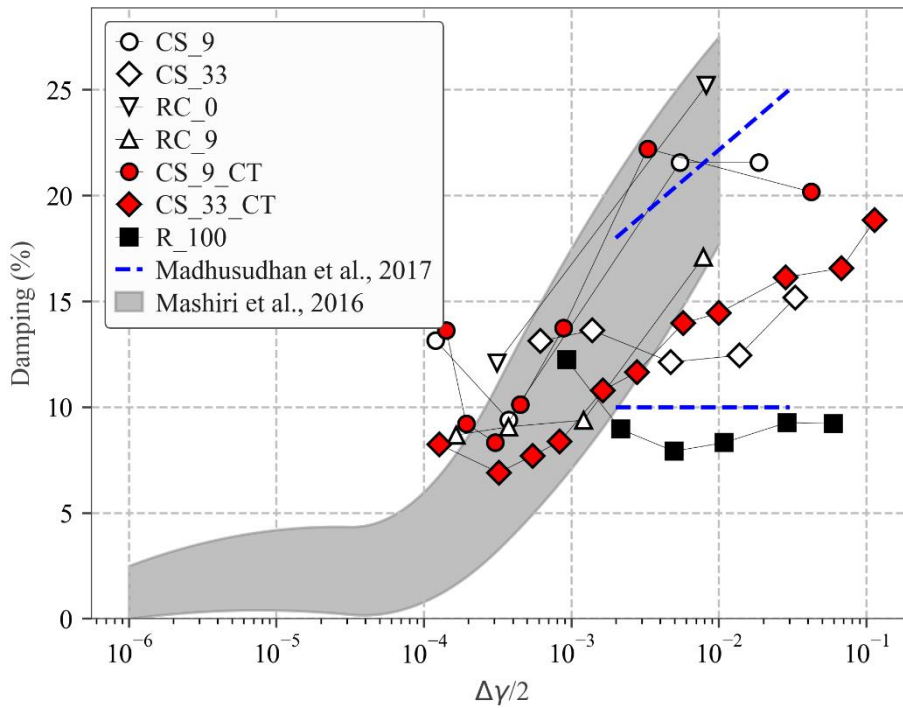


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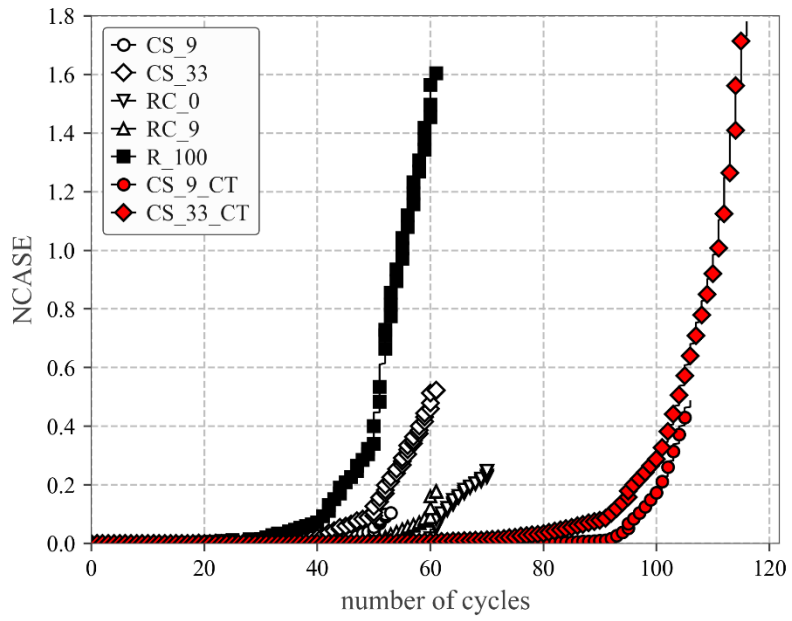
Fig. 12 Pore pressure evolution in cyclic compression – extension triaxial tests on sand-rubber mixtures



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 746 **Fig. 13** Normalized shear moduli of all the mixtures
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 751 **Fig. 14** Damping ratios of all the mixtures at confining pressure 50 kPa
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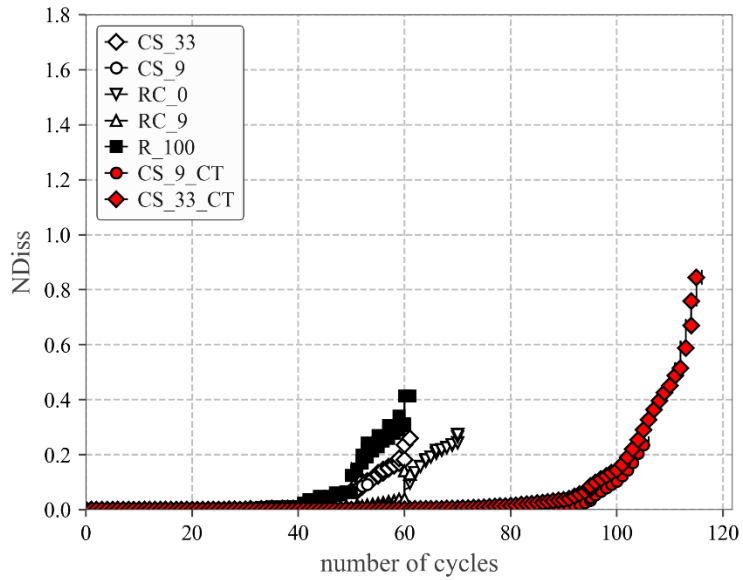


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755 **Fig. 15** Normalized accumulated absolute strain energy, NCASE

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