



# **Experimental and Numerical Study of Locking of Low-Frequency Fluctuations of a Semiconductor Laser with Optical Feedback**

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Abstract: We study the output of a semiconductor laser with optical feedback operated in the lowfrequency fluctuations (LFFs) regime and subject to weak sinusoidal current modulation. In the LFF regime, the laser intensity exhibits abrupt drops, after which it recovers gradually. Without modulation, the drops occur at irregular times, while, with weak modulation, they can lock to the external modulation and they can occur, depending on the parameters, every two or every three modulation cycles. Here, we characterize experimentally the locking regions and use the wellknown Lang–Kobayashi model to simulate the intensity dynamics. We analyze the effects of several parameters and find that the simulations are in good qualitative agreement with the experimental observations.

Keywords: semiconductor lasers; optical feedback; modulation; locking; low-frequency fluctuations



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## 1. Introduction

Locking is a phenomenon that ubiquitously occurs in oscillators that are subject to an external periodic forcing, and refers to the synchronization, or to the adjustment, of the oscillator's rhythm, to that of the external forcing. Locking has many applications, for example, for cardiac re-synchronization after arrhythmia, for deep brain stimulation, jet lag re-adjustment, etc. [1–3].

A semiconductor laser whose pump current is periodically modulated is a stochastic nonlinear oscillator that can shows bistability and a chaotic output [4,5], and that allows controlled experiments in order to understand how locking emerges and how it depends on the parameters of the laser and of the external signal. With weak optical feedback, the laser intensity shows feedback-induced fluctuations that, under appropriate conditions, can be controlled by periodic current modulation. In particular, a weak modulation of the laser current can control the low-frequency fluctuations (LFFs) that occur when the laser operates near the threshold [4]. Without current modulation, the laser intensity shows irregular and abrupt drops (that in the following we will refer to as *spikes*), while with current modulation, under appropriate conditions, the spikes lock to the modulation (see Figure 1), and they occur with a rhythm that depends on the frequency of the modulation [6–17].

In recent years, we have performed detailed experiments on the modulated LFF dynamics, characterizing the temporal correlations in the spike times [18,19], the role of the modulation waveform [20], and the degree of locking [21] and we compared the spiking LFF dynamics with simulations of a weakly forced neuron [22]. We have also discovered that weak sinusoidal modulation can generate time-crystal-like behavior [23,24] because it can produce highly regular subharmonic locking, but not harmonic locking [25,26]. The lack of harmonic locking under weak sinusoidal modulation could be understood when using the well-known Lang–Kobayashi (LK) model [27] to simulate the intensity dynamics [28].

The goal of this work is to perform an in-depth comparison of experimental observations and the predictions of the LK model. This paper is organized as follows. In Section 2, we present the LK model, in Section 3 we describe the experimental setup and datasets, in Section 4 we present the comparison of observations and simulations, and in Section 5, we present the discussion and the conclusions.



**Figure 1.** Available online: examples (accessed on) of intensity time series recorded with different modulation amplitudes. (a)  $A_{mod} = 0\%$ , (b) 0.73% (c) 1.22%, (d) 1.70%, and (e) 2.43% of the dc level  $I_{dc} = 26$  mA. The modulation frequency is  $f_{mod} = 44$  MHz. The red dots indicate the detected spike times.

#### 2. Model

The Lang–Kobayashi rate equations describing a single-mode semiconductor laser with weak optical feedback and sinusoidal pump current modulation are [26–28]:

$$\dot{E} = k(1+i\alpha)(G-1)E + \eta E(t-\tau)e^{-i\omega_0\tau} + \sqrt{D}\xi, \qquad (1)$$

$$\dot{N} = \gamma_N(\mu_{dc} + a_{mod}\sin(2\pi f_{mod}t) - N - G|E|^2).$$
(2)

Here, *E* represents the slowly varying complex optical field ( $|E|^2$  is proportional to the laser intensity) and *N*, the carrier density.  $\eta$ ,  $\tau$ , and  $\omega_0 \tau$  are the feedback strength, the delay time, and the feedback phase, respectively;  $k = 1/(2\tau_p)$  where  $\tau_p$  is the photon lifetime,  $\gamma_N = 1/\tau_N$  where  $\tau_N$  is the carrier lifetime,  $G = N/(1 + \epsilon |E|^2)$  is the gain and  $\epsilon$  is the gain saturation coefficient,  $\alpha$  is the linewidth enhancement factor.  $\xi$  is a complex Gaussian white noise that takes into account spontaneous emission and *D* is the strength of the noise.  $\mu_{dc}$  is the dc value of the pump current parameter, which is proportional to  $I_{dc}/I_{th,sol}$  [29], with  $I_{dc}$  being the dc value of the pump current and  $I_{th,sol}$  the threshold current without feedback.  $a_{mod}$  and  $f_{mod}$  are the modulation amplitude and frequency, respectively.

The model equations were integrated with the same procedure and parameters as in [26,28] that fit the experimental conditions:  $k = 300 \text{ ns}^{-1}$ ,  $\gamma_N = 1 \text{ ns}^{-1}$ ,  $\alpha = 4$ ,  $\epsilon = 0.01$ ,  $\eta = 30 \text{ ns}^{-1}$ ,  $\tau = 5 \text{ ns}$ ,  $\mu_{dc} = 0.99$ , and  $D = 10^{-5} \text{ ns}^{-2}$ . To detect the spike times, the intensity time series,  $|E(t)|^2$ , was band-pass filtered to simulate the finite bandwidth of the experimental detection system, and was then normalized to zero mean and unit variance. Then, a spike was detected whenever the intensity dropped below a threshold, Th = -1.1.

To analyze the statistical characteristics of the spikes, for each set of parameters five intensity time series were simulated, starting from random initial conditions and using different noise seeds, and from them, after disregarding a transient time, the average number of spikes, the average inter-spike interval (IS), and the average standard deviation of the distribution of ISIs were calculated.

#### 3. Experimental Setup and Datasets

The experimental setup and datasets were described in [20]. A diode laser with center wavelength of 685 nm (Thorlabs HL6750MG, Newton, NJ, USA) was used, whose temperature and current were stabilized with an accuracy of 0.01 C and 0.01 mA, respectively. A beam splitter sent 90% of the light reflected by a mirror back to the laser, and the other 10% to the detection system: a high-speed photo-detector (Thorlabs Det10A/M) connected to an amplifier (Femto HSA-Y-2-40, Berlin, Germany) whose output was recorded with a digital oscilloscope (Agilent Technologies Infiniium DSO9104A, 1 GHz bandwidth). A 500 MHz Bias-T in the laser mount was used to modulate the laser current with a periodic signal generated by an arbitrary waveform generator (Agilent 81150A, Santa Clara, CA, USA). The length of the external cavity was 70 cm, which gave a feedback delay time of 4.7 ns. The threshold current of the free-running laser was  $I_{th,sol} = 26.62$  mA, and with optical feedback, it was reduced to  $I_{th} = 24.70$  mA (7.2% reduction). In the experiments, three modulation parameters were varied, the dc value of the laser current,  $I_{dc}$ , the amplitude,  $A_{mod}$ , and frequency,  $f_{mod}$ , of the driving signal, and for each set of parameters, three modulation waveforms were used (pulse-down, pulse-up, and sinusoidal). Here, we analyze the data recorded with sinusoidal modulation. Specifically, we analyze the ISIs recorded with different  $I_{dc}$ ,  $A_{mod}$ , and  $f_{mod}$ .

#### 4. Results

Figure 1 presents experimental intensity time series recorded without current modulation (top panel) and with current modulation of increasing amplitude (panels b–e). We see that for intermediate modulation amplitudes the spikes become periodic and a spike occurs every three modulation cycles (locking 3:1). Model simulations that show good agreement with these observations were presented in [26] (Figure 4).

To perform a systematic comparison of experiments and model simulations, we analyze how the statistics of the ISIs depend on the amplitude and on the frequency of the modulation. Specifically, we analyze the number of spikes, the mean ISI normalized to the modulation period,  $T_{mod}$ , and the coefficient of variation,  $C_v$ , that measures the relative width of the ISI distribution ( $C_v = \sigma_{ISI} / \langle ISI \rangle$  where  $\langle ISI \rangle$  and  $\sigma_{ISI}$  are the mean and the standard deviation of the ISI distribution). The results are presented in Figures 2 and 3, for the experimental and the simulated data, respectively. We see a good qualitative agreement: the number of spikes increases in the regions of locking, which are seen as a well-defined cyan region (locking 2:1,  $\langle ISI \rangle = 2T_{mod}$ ) and a yellow region (locking 3:1,  $\langle ISI \rangle = 3T_{mod}$ ), and in these regions  $C_v$  is small, revealing a narrow ISI distribution.



**Figure 2.** Analysis of the experimental inter-spike intervals (ISIs). (**a**): number of spikes (in color code) vs. the modulation amplitude and frequency; (**b**): mean ISI normalized to the modulation period (the red color represents  $\langle ISI \rangle / T_{mod} \geq 5$ ); (**c**) coefficient of variation. The dc value of the pump current is as in Figure 1.



**Figure 3.** As in Figure 2, but obtained from model simulations with  $\mu_{dc} = 0.99$ , other parameters are as indicated in the text.

Let us next compare the combined effect of varying the dc value of pump current and the modulation amplitude, keeping the modulation frequency fixed. In Figures 4 and 5, we present the analysis of experimental and simulated ISIs, respectively. In both figures, from top to bottom,  $f_{mod} = 26$  MHz, 44 MHz, and 55 MHz. We again observe a very good qualitative agreement between experiments and simulations. As  $I_{dc}$  or  $\mu_{dc}$  increase, we see that the number of spikes increases (left column) and the mean ISI decreases (in the blue regions, the mean ISI becomes equal to or smaller than the modulation period). However, we see in the right column that the coefficient of variation increases with  $I_{dc}$  or  $\mu_{dc}$ , which indicates that 1:1 locking is not achieved.



**Figure 4.** Experimental characterization of the locking region as a function of the modulation amplitude and the dc value of the pump current, for different modulation frequencies. (**a**,**d**,**g**) number of spikes; (**b**,**e**,**h**)  $\langle ISI \rangle / T_{mod}$ ; (**c**,**f**,**h**) coefficient of variation. (**a**–**c**)  $f_{mod} = 26$  MHz; (**d**–**f**)  $f_{mod} = 44$  MHz; (**g**–**i**)  $f_{mod} = 55$  MHz.

In Figure 4b, we note that for large enough  $I_{dc}$  the mean ISI is approximately equal to the modulation period, but there is no 1:1 locking because the ISI distribution is quite broad (the coefficient of variation is  $\approx 0.5$ ). One could wonder if for other modulation frequencies, harmonic locking could be obtained. To address this point, we examine the statistics of the ISIs as a function of the modulation amplitude and frequency. The results are presented in Figure 6 (experimental data recorded with  $I_{dc} = 27$  mA) and in Figure 7 (simulated data with  $\mu_{dc} = 1.01$ ). In Figure 6b, we see, for low modulation frequencies, a blue region that indicates  $\langle ISI \rangle / T_{mod} \sim 1$ , but in this region  $C_v$  is large ( $C_v \sim 0.5$ ). In Figure 7b, we also see a blue region with similar characteristics. In contrast with the experiments, in the simulation, 3:1 locking is not seen because the yellow region in Figure 7b is quite narrow, and in this region,  $C_v$  is large.



**Figure 5.** As Figure 4, but obtained from model simulations. First row,  $f_{mod} = 26$  MHz; second row,  $f_{mod} = 44$  MHz; and third row,  $f_{mod} = 55$  MHz. We again see a tendency of the mean ISI to decrease as the dc value of the pump current increases, but no 1:1 locking is obtained because in the region where  $\langle ISI \rangle / T_{mod} \sim 1$ , the width of the distribution of ISIs, measured by the coefficient of variation, is quite large.



**Figure 6.** As Figure 2, but the dc value of the pump current is  $I_{dc} = 27$  mA. (**a**) number of spikes; (**b**)  $\langle ISI \rangle / T_{mod}$ ; (**c**) coefficient of variation.



**Figure 7.** As Figure 3, but  $\mu_{dc} = 1.01$ , other parameters are as indicated in the text.

## 5. Conclusions

We have studied the dynamics of a semiconductor laser with optical feedback and current modulation, which operates in the LFF regime. We have analyzed how the number of spikes and how the mean and the standard deviation of the ISI distribution vary with the modulation parameters: the dc value, the amplitude, and the frequency. We have found a very good agreement between experimental observations and the simulations of the LK model. With increasing  $I_{dc}$ ,  $\langle ISI \rangle$  tends to decrease, but, at least in the range of modulation amplitudes studied here, no 1:1 locking was found. Harmonic locking can be observed with

larger modulation amplitudes [28], but in that case the intensity dynamics are dominated by the modulation and the feedback-induced spikes are rather small.

The main motivation of our study was to understand the locking phenomena of a diode laser, from the point of view of nonlinear dynamics. In that sense, model simulations have been performed to further understand why small-amplitude sinusoidal current modulation does not produce harmonic locking. Since we have found well-defined regions of subharmonic locking (exploring the parameters space pump current, modulation amplitude, and modulation frequency) our results may be of interest for applications that use small-amplitude electric modulation to generate highly regular optical pulsing with a repetition rate in the MHz range.

It will be interesting for future work to understand how the locking behavior depends on the feedback strength, i.e., to characterize the transition from locked sinusoidal-like oscillations (without optical feedback) to locked feedback-induced spikes. It will also be interesting to analyze if the interplay of noise, delayed feedback, and current modulation can produce locking regions where the spikes are emitted with a very regular timing.

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