

1 **Short communication**

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3 **Embelin potentiates venetoclax-induced apoptosis in acute myeloid leukemia cells**

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17

18 **Running title:** Embelin-venetoclax combined effects in acute leukemia cells

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28 **Abstract**

29 Acute myeloid leukemia (AML) belongs to a group of hematological cancer whose
30 relapse cases are often associated with chemoresistance, impairing treatment success
31 and contributing to a poor outcome. For this reason, there is an urgent need for the
32 development of new therapeutic strategies Herein, we explore the combination of
33 venetoclax, a BCL2 inhibitor, and embelin, an XIAP inhibitor, in the AML cell lines.
34 Combinatory treatment of venetoclax and embelin potentiated cytotoxic effects of these
35 drugs, demonstrating that both in combination present lower IC₅₀ values than single
36 treatment either with venetoclax or embelin alone in both cell lines analyzed. The
37 combinatory treatment further increased the apoptosis-inducing properties of both
38 compounds. Computer simulations suggest that embelin binds to both BIR2 and BIR3
39 domains of XIAP, reinforcing this inhibitory apoptosis protein as embelin target.
40 Although all AML cell lines presented similar basal levels of XIAP, the combinatory
41 treatment effectively inhibited XIAP expression in OCI-AML3 cells. In conclusion, the
42 inhibition of both apoptosis inhibitory players, BCL2 and XIAP, by venetoclax and
43 embelin, respectively, potentiated their cytotoxic effects in AML cell lines.

44

45 **Key words:** acute myeloid leukemia; embelin; venetoclax; apoptosis

46

47 **1. Introduction**

48 Acute myeloid leukemia (AML) is characterized by clonal expansion of myeloid
49 progenitors in the bone marrow and peripheral blood. It is a heterogeneous disease,
50 whose genetic alterations are frequently associated with poor chemotherapeutic
51 responses and disease relapse. Thus, it is necessary to search for new compounds and
52 targets in order to overcome AML resistance (Kirtonia et al. 2020). Venetoclax (ABT-
53 199) is an oral bioavailability drug that selectively inhibits the anti-apoptotic protein
54 BCL2. It was approved by the FDA, in 2016, for the treatment of chronic lymphocytic
55 leukemia, presenting positive results in 70% of treated patients, especially as a first-line
56 treatment. Recently, clinical trials indicated that venetoclax therapy displays effective
57 results in unfavorable risk or elderly AML patient groups (DiNardo et al. 2020,
58 DiNardo et al. 2019). Venetoclax activates intrinsic apoptosis, mediated by caspase 9
59 (Konopleva et al. 2006). Despite the promising results, both chemoresistance and
60 relapse have been reported (Pei et al. 2020) and this is linked to positive regulation of
61 anti-apoptotic proteins, including those of the Inhibitory Apoptosis Proteins (IAP)
62 family (Chen et al. 2020).

63 Embelin (2,5-dihydroxy-3-undecyl-1,4- benzoquinone), a natural product
64 isolated mainly from some species of Primulaceae, has been described to inhibit
65 X-linked inhibitor of apoptosis (XIAP) (Nikolovska-Coleska et al. 2004, Ogawa et al.
66 1968). Among the IAPs, XIAP, also known as BIRC4, is recognized for its inhibitory
67 activity of both initiator and executioner caspases. XIAP has three characteristic
68 functional domains in N-terminal known as zinc finger baculoviral IAP repeats (BIRs),
69 that bind to active caspases 9, 3 and 7 (Reis-Silva et al. 2020). Embelin binds to the
70 BIR3 domain of the protein preventing its association with caspase 9 resulting in
71 suppression of cell growth, proliferation, and migration in different tumor models
72 (Prabhu et al. 2017). Its antitumor activity has been demonstrated for a variety of cancer
73 types, including leukemia (Coyle et al. 2019).

74 Since the mechanisms that suppress the activation of caspases (*i.e.* XIAP) may
75 attenuate the effects of venetoclax, contributing to the observed chemoresistance in
76 treated patients, here we investigate whether embelin potentiates the effects of
77 venetoclax in AML cellular models.

78

79 **2. Material and methods**

80 **2.1. Cell culture and reagent chemicals**

81 Acute myeloid leukemia cell lines, MOLM13, MV4-11, OCI-AML3, and Kasumi 1,
82 were kindly provided by Prof. Eduardo Magalhães Rego (University of São Paulo,
83 Ribeirão Preto, Brazil). Cell culture conditions were performed in accordance with the
84 recommendations of the American Type Culture Collection (ATCC) and Leibniz
85 Institute DSMZ-German Collection of Microorganisms and Cell Cultures (DSMZ).
86 Venetoclax (ATB-199) was purchased from TargetMol (Target Molecule Corp.,
87 Boston, MA, USA) and prepared as a 50 mM stock solution in dimethyl sulfoxide
88 (Me₂SO₄; DMSO) (Figure 1A). Embelin was isolated from the fresh ripe fruits of
89 *Myrsine umbellata* Mart. (Primulaceae) collected at São José dos Alpes, Campos do
90 Jordão (7°486'063" S, 452°648" W), Sao Paulo, Brazil (December 2014) as previously
91 described with some modifications (Bisrat et al. 2014). The species was identified by
92 Dr. Inês Cordeiro from Instituto de Botânica do Estado de São Paulo, São Paulo, Brazil,
93 a voucher was deposited at the herbarium of the same institution (SILVA-3). Briefly,
94 252 g of dried fruits were extracted with 70% ethanol in a turbo-extractor. The resulting
95 extract was filtered, and the solvent evaporated under reduced pressure at 40°C,
96 obtaining crude hydroethanolic extract. It was then successively partitioned between
97 MeOH-water mixture (1:9) and *n*-hexane. The solution was completely evaporated to
98 give the corresponding dried fraction. It was subjected to column chromatography on
99 silica gel using step gradients of *n*-hexane-ethyl acetate to obtain twelve main fractions
100 (Fh-1 to Fh-12). Fraction Fh-3 was purified by preparative TLC (*n*-hexane-ethyl acetate,
101 1:1, v/v) to give embelin (Figure 1A).

102

103 **2.2. Cell viability assay**

104 The effects venetoclax and/or embelin on cell viability were investigated by
105 methylthiazoletetrazolium (MTT) assays. Briefly, a total of 2×10⁴ cells per well were
106 plated in a 96-well plate and exposed to increasing concentrations of the venetoclax (Ø;
107 0.1; 0.5; 1; 5; 10 and 50 µM) or embelin (Ø; 8.5; 17; 34; 85; 170 µM) for 48 h. For
108 combined treatment analysis, Kasumi 1 and OCI-AML3 cells were treated with graded
109 doses of venetoclax (0.1; 0.5; 1; 5; 10, 25 or 50 µM) and embelin (8.5; 17; 34; 85 or 170
110 µM) alone or in combination with each other for 48 hours (Supplementary Table 1 and
111 2) and data were illustrated using multiple experiment viewer (MeV) 4.9.0 software
112 (Saeed et al. 2003). Then, after incubation, 10 µL MTT solution (5 mg/mL) (Thermo
113 Fisher Scientific, San Jose, CA, USA) was added and incubated at 37°C, 5% CO₂ for 4
114 h. The reaction was stopped by using 100µL of 0.1N HCl in anhydrous isopropanol.

115 Cell viability was evaluated by spectrophotometry measuring the absorbance at 570 nm
116 (Thermo Fisher Scientific, USA). The inhibitory concentration of 50% (IC₅₀) values
117 was calculated using non-linear regression analysis on GraphPad Prism 8 (GraphPad
118 Software, Inc., San Diego, CA, USA).

119

120 **2.3. Western blot analysis**

121 Total protein extraction was performed using a buffer containing 100 mM Tris (pH 7.6),
122 1% Triton X-100, 150 mM NaCl, 2 mM PMSF, 10 mM Na₃VO₄, 100 mM NaF, 10 mM
123 Na₄P₂O₇, and 4 mM EDTA. Equal amounts of protein (30µg) were used from total
124 extracts followed by SDS-PAGE and Western blot analysis with the indicated
125 antibodies and was carried out using a SuperSignal™ West Dura Extended Duration
126 Substrate System (Thermo Fisher Scientific, San Jose, CA, USA) and a G:BOX Chemi
127 XX6 gel doc system (Syngene, Cambridge, UK). Antibodies directed against XIAP
128 (#2042), caspase 3 (#9665), cleaved-caspase 3 (#9661), PARP1 (#9542) and α-tubulin
129 (#2144) were from Cell Signaling Technology (Danvers, MA, USA). Antibody directed
130 against γH2AX (sc-51748) was from Santa Cruz Biotechnology (Santa Cruz, CA,
131 USA).

132

133 **2.4. Quantitative RT-PCR (qRT-PCR)**

134 Total RNA was obtained using TRIzol reagent (Thermo Fisher Scientific). cDNA was
135 synthesized from 1 µg of RNA using a High-Capacity cDNA Reverse Transcription Kit
136 (Thermo Fisher Scientific). Quantitative PCR (qPCR) was performed using a
137 QuantStudio 3 Real-Time PCR System (Thermo Fisher Scientific) and a SybrGreen
138 System for the following expressions: *XIAP* (FW:
139 GACAGTATGCAAGATGAGTCAAGTCA; RV: GCAAAGCTTCTCCTCTTG CAG),
140 *HPRT1* (FW: GAACGTCTTGCTCGAGATGTGA; RV:
141 TCCAGCAGGTCAGCAAAGAAT), and *ACTB* (FW: AGGCCAACCGCGAGAAG;
142 RV: ACAGCCTGGATAGCAACGTACA). *HPRT1* and *ACTB* were used as reference
143 genes. Relative quantification values were calculated using the 2^{-ΔΔCT} equation (Livak et
144 al. 2001). A negative ‘No Template Control’ was included for each primer pair.

145

146 **2.5. Apoptosis assay**

147 A total of 1 × 10⁵ cells per well were seeded in a 24-well plate in the presence of vehicle
148 (Ø) or venetoclax (Kasumi 1: 1µM; OCI-AML3: 10µM) and/or embelin (Kasumi 1: 85

149 and 170 μM ; OCI-AML3: 8.5 and 34 μM) for 48 hours. Next, the cells were washed
150 with ice-cold PBS and resuspended in a binding buffer containing 1 $\mu\text{g}/\text{ml}$ 7AAD and 1
151 $\mu\text{g}/\text{ml}$ APC labeled annexin V. All specimens were analyzed by flow cytometry (FACS
152 Calibur; Becton Dickinson) after incubation for 15 min at room temperature in a light-
153 protected area. Ten thousand events were acquired for each sample.

154

155 **2.6. Computational docking**

156 Computational docking was modeled with Protein Energy Landscape Exploration
157 (PELE) using a global and a refinement local sampling. The Global sampling is to find
158 possible binding sites. For this, PELE uses larger ligand translations and rotations and
159 starts multiple simulations (128 in this case) with the ligand covering the entire protein
160 surface. The local one takes the best binding sites found in the global search and
161 performs a local refinement, where the ligand is asked to perform smaller translations
162 and rotations, which are coupled to more thorough side chain predictions and
163 minimization of the whole complex.

164 Herein, embelin bindings were modeled to two different BIR domains of XIAP
165 protein. Therefore, a BIR2 conformation (PDB – 4WVS structure) with 1.4 \AA resolution
166 and a BIR3 conformation (PDB – 3CLX structure) with 1.4 \AA resolution were used.
167 Moreover, to confirm the binding site, the BIR3 domain was also modeled in its
168 tetramer conformation (PDB – 3CLX structure). Default global and local protocols, as
169 shown in the PELE web server, <https://pele.bsc.es/pele.wt>, were used.

170

171 **2.7. Statistical analysis**

172 Statistical analyses were performed using GraphPad Prism 8 (GraphPad Software, Inc.).
173 For comparisons, ANOVA and Bonferroni post-test were used. A p value <0.05 was
174 considered as statistically significant.

175

176 **3. Results**

177

178 **3.1. Venetoclax and embelin promote different cytotoxic effects on leukemia cells**

179 In the present study, we confirmed that venetoclax was active against leukemia cell
180 lines MOLM13, MV4-11, and Kasumi 1, with IC_{50} values ranging from lower than 0.1
181 to 5 μM . By contrast, the OCI-AML3 cell line was resistant to venetoclax treatment,

182 with IC₅₀ value of 40 μM (Figure 1B), corroborating with Lima *et al.* (2021). For this
183 reason, Kasumi 1 and OCI-AML3 cells were selected for drug combination assays.

184 Embelin treatment did not promote cytotoxicity in any cell line evaluated, with
185 IC₅₀ values superior to 170 μM. Even though it is possible to point out some cell lines
186 that had a minimum drop in the cell viability, such as MOLM13 and OCI-AML3, the
187 cell viability has not been compromised by 50% (Figure 1C).

188 The baseline XIAP expression was evaluated in AML cell lines used in the
189 present study. In the mRNA assay, it was seen that although all of them express XIAP
190 at different levels, the differences were not statistically significant (Supplementary
191 Figure 1A). Western blot assay also revealed that all AML cell lines expressed high
192 XIAP levels (Supplementary Figure 1B).

193

194 **3.2. Venetoclax plus embelin combination potentiates cytotoxic effects on Kasumi 1** 195 **and OCI-AML3 cells through induction of apoptosis**

196 In the combinatory treatment for Kasumi 1 cells, after 48h, IC₅₀ values decreased from
197 6.9 μM for venetoclax alone to 4 μM for venetoclax when combined to 85 μM embelin
198 (Figure 2A). Upon a higher concentration of embelin (170 μM), the IC₅₀ of venetoclax
199 was further reduced to 1.8 μM, demonstrating that although embelin did not have
200 cytotoxic properties, when the cells were treated with venetoclax, it increased the
201 cytotoxicity of the BCL2 antagonist. Similar results were obtained with OCI-AML3
202 cells, where the IC₅₀ values reduced from 31.2 μM to 26.2 μM and 21.7 μM when
203 venetoclax was combined to embelin at 8.5 μM and 34 μM, respectively (Figure 2B).
204 The results obtained with OCI-AML3 were even more exciting because of the non-
205 responsive nature of the cell.

206 To evaluate whether combinatory treatment promotes apoptosis in OCI-AML3
207 and Kasumi1 cell lines, we treated cells with venetoclax at 10 μM combined with
208 embelin at 8.5 or 24 μM for OCI-AML3 cells and venetoclax at 1.0 μM combined with
209 embelin at 85 or 170 μM for Kasumi 1 cells for 48h. The concentration was chosen
210 based on the cell viability assay. There was no increase in the number of apoptotic
211 and/or necrotic cells in the presence of embelin based on the analysis of annexin-V
212 externalization assay for both cell lines. Venetoclax, on the other hand, increased
213 annexin V positive cells, which indicated the presence of an increasing number of
214 apoptotic cells compared to the control in both tested cell lines.

215 While the lowest concentration of embelin (8.5 μ M for OCI-AML3 cells and 85
216 μ M for Kasumi 1) did not change apoptosis-inducing effects of venetoclax, combined
217 treatment with the highest concentration of embelin (34 μ M for OCI-AML3 cells and
218 170 μ M for Kasumi 1) further increased apoptosis in the presence of venetoclax,
219 indicating potentiating effects for both cell lines (Figure 2C-D).

220

221 **3.3. Embelin potentiates venetoclax effects through down-regulating XIAP and** 222 **promoting DNA damage and apoptosis in OCI-AML3 cells**

223 Considering that in OCI-AML3 cells, the potentializing effects of embelin in
224 venetoclax-induced apoptosis were more prominent, these cells were selected for
225 molecular analysis. XIAP expression was reduced and γ H2AX expression was induced
226 upon the single treatment with embelin and venetoclax. However, embelin plus
227 venetoclax induced a strong reduction of XIAP expression, caspase 3 and PARP1
228 cleavage and H2AX phosphorylation, confirming the observed apoptosis induction
229 (Figure 3A).

230

231 **3.4. Embelin binds to BIR2 and BIR3 domains of XIAP**

232 To better understand the binding between embelin and XIAP, we performed induced-fit
233 docking studies using two BIR domains of XIAP, BIR2 (PDB 4WVS) and BIR3
234 (3CLX) domains. These BIR domains were used due to the previous related activity of
235 embelin in modulating XIAP (Prabhu et al. 2017). While affinity ranges are not too
236 different, our simulations indicate better binding energy profiles along with more
237 localized local minima for the BIR2 domain. The minimum interaction energy with
238 predicted affinity is shown in Figure 3B, where we observe the global search in dark
239 blue and the local refinement in cyan, where it is possible to measure the distance
240 between the C1 carbon of embelin to the LEU 307 alpha carbon. The best interaction
241 energy observed for BIR2 simulation was around -40kcal/mol, while the best interaction
242 energy for BIR3 simulation was around -35Kcal/mol (circled in orange for both
243 simulations). The simulation with best interaction energy for BIR2 is pointed at Figure
244 3C. Other simulation using different values were performed demonstrating similar
245 results regarding the binding site of embelin (Supplementary Figure 2). Moreover, since
246 the 3CLX structure is a tetramer, a global simulation on the tetramer was performed,
247 showing no major alteration regarding the binding modes with that of the BIR3
248 monomer (Supplementary Figure 3).

249

250 **4. Discussion**

251 Venetoclax, also named as ABT-199 or GDC-0199, was the first potent and highly
252 selective BH3 mimetic antagonist of BCL2 (Souers et al. 2013). Since its approval for
253 the treatment of chronic lymphocytic leukemia, venetoclax has been extensively
254 studied, with over 150 clinical trial underway, mainly for the treatment of acute myeloid
255 leukemia patients (www.clinicaltrials.org). Despite the promising results, survival rates
256 for AML remains low, that is mainly caused due to resistance to chemotherapy and high
257 rates of relapse. Combinatory therapy has been extensively studied and demonstrated
258 better results (Liu et al. 2019, Nishi et al. 2020, Samra et al. 2020). Altogether, due to
259 the multiplicity of phenotypes for AML, combinatory strategies have been exploited,
260 and herein we described the positive combinatory effects of venetoclax and embelin, an
261 XIAP inhibitor.

262 Embelin itself benefits for AML treatment were already observed in Yang *et al.*
263 (Yang et al. 2015) that demonstrated embelin sensitized AML cell to TRAIL through
264 the repression of NFκB signal pathway, moreover, combined therapy of Ad-TRAIL and
265 embelin was attractive for clinical application in the treatment of AML. In the current
266 study, we demonstrated that embelin alone did not induce cytotoxicity, however, when
267 combined with venetoclax, even in resistant-venetoclax cell lines, embelin potentiated
268 the cytotoxic effects. This feature may be explained by the downregulation of XIAP
269 induced by embelin. XIAP inhibitors, such as embelin and dequalinium chloride
270 promoted an impaired clonogenic capacity of AML stem cells (Moreno-Martinez et al.
271 2014), suggesting that XIAP is a promising target for AML. In addition, XIAP
272 downregulation activated genes encoding proteasomal components, leading to the
273 inhibition of proteasome degradation in AML cells. Both events, activation of caspases
274 by targeting antiapoptotic proteins such as XIAP and inhibition of proteasome activity
275 crosstalk, cooperate to the final antineoplastic effect in AML models (Carter et al.
276 2013). Present results suggested a direct interaction of embelin with both BIR2 and
277 BIR3 domain of XIAP proteins, both already recognized as promising targets for cancer
278 therapy (Cossu et al. 2019, Lee et al. 2016, Schimmer et al. 2009).

279 In summary, we found that venetoclax and embelin combination reduces the
280 viability of AML cells by triggering apoptosis, XIAP down-regulation and induced
281 DNA damage. Due to the low toxicity of embelin, this drug can be used in combination
282 to reduce doses of venetoclax, which could minimize side effects with maintenance of

283 the therapeutic response or even reverse resistance mechanisms in AML patients. Our
284 findings further highlight IAP-targeting drugs as a putative anticancer option for AML.

285

286 **Author's contribution**

287 C.M.S.R.S. execution of experiments, data analysis and interpretation, and manuscript
288 writing. P.C.B. data analysis and interpretation, and manuscript editing. K.L. data
289 analysis and interpretation, and editing. F.L.S. and P.R.H.M. embelin purification, and
290 manuscript writing and editing. V.G. molecular docking analysis, and manuscript
291 writing and editing. L.V.C.-L. conceptualization, supervision, manuscript writing and
292 editing. J.A.M.-N. conceptualization, formal analysis, supervision, manuscript writing
293 and manuscript writing. All authors read and approved the final version of the
294 manuscript.

295

296 **Declaration of competing interest**

297 The authors declare that there is no conflict of interest regarding the publication of this
298 article.

299

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307

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410 **Figure legends**

411

412 **Figure 1. Cell viability upon treatment with venetoclax and embelin in acute**
413 **myeloid leukemia cell lines.** (A) structures of embelin and venetoclax are illustrated.
414 MOLM13, MV4-11, Kasumi 1 and OCI-AML3 cells were exposed to increasing
415 concentrations of venetoclax (C) and embelin (D) for 48 hours. The values expressed
416 represent the percentage of viable cells for each condition compared to the control (cells
417 treated with vehicle [Ø]). The bar graphs represent the mean \pm SD of at least four

418 independent experiments. The IC₅₀ values are indicated in the graphs. The p-values and
419 cell lines are shown in the graphs; * $p < 0.05$, ** $p < 0.01$, *** $p < 0.0001$; ANOVA and
420 Bonferroni post-test.

421

422 **Figure 2. Embelin potentiates venetoclax effects in Kasumi 1 and OCI-AML3 cells.**

423 Dose-response cytotoxicity for combined treatment were analyzed by
424 methylthiazole tetrazolium (MTT) assay for Kasumi 1 (A) and OCI-AML3 (B) cells
425 treated with graded concentrations of embelin and venetoclax alone or in combination
426 with each other for 48 hours, as indicated. Values are expressed as the percentage of
427 viable cells for each condition relative to untreated controls. Results are shown as the
428 mean of at least three independent experiments. Note that the IC₅₀ in Kasumi 1 for
429 treatment with venetoclax alone was 6.9 μM while in the treatment combined reduced to
430 4.0 and 1.8 μM . Similarly, the IC₅₀ in OCI-AML3 in treatment with only venetoclax
431 was 31.2 μM while in the combined treatment reduced to 26.2 μM and 21.7 μM .
432 Apoptosis was detected by flow cytometry in Kasumi 1 (C) and OCI-AML3 (D) cells
433 treated with embelin and/or venetoclax for 48 hours using an annexin V/7AAD staining
434 method. Representative dot plots are shown for each condition; the upper and lower
435 right quadrants (Q2 plus Q3) cumulatively contain the apoptotic population (annexin
436 V+ cells). Bar graphs represent the mean \pm SD of at least three independent experiments
437 quantifying apoptotic cell death. The p values and cell lines are indicated in the graphs;
438 * $p < 0.05$, *** $p < 0.0001$; ANOVA test and Bonferroni post-test.

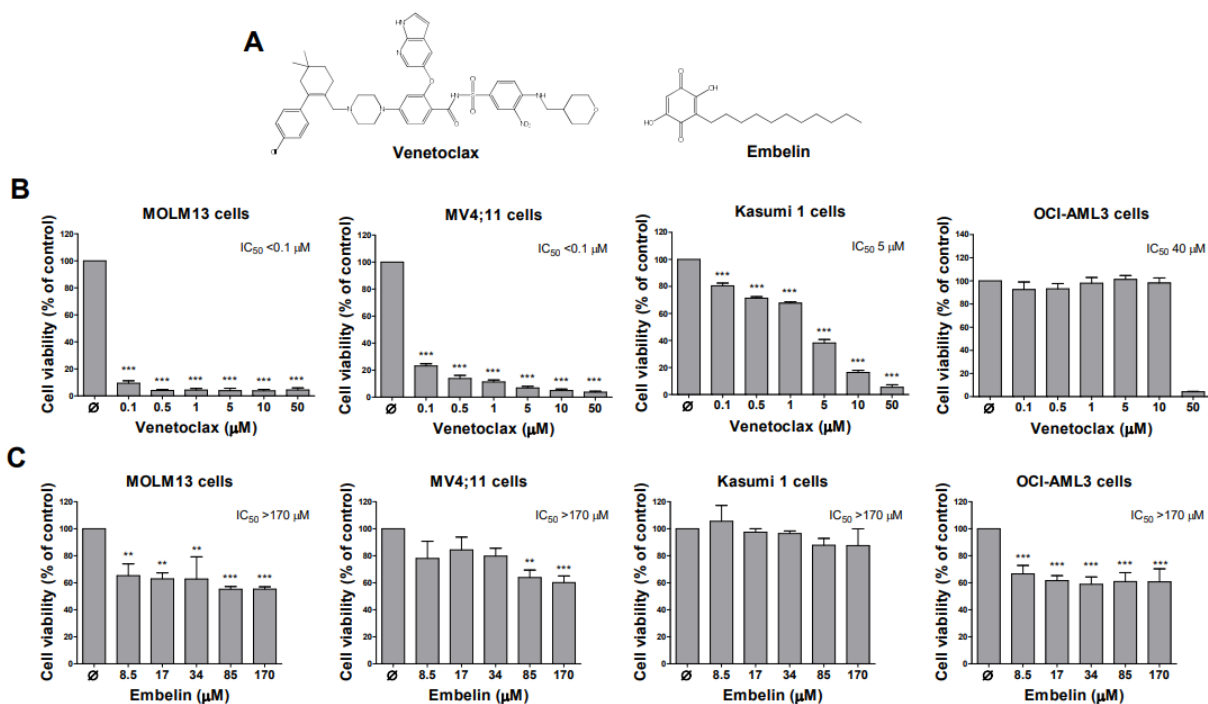
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440 **Figure 3. Embelin increases venetoclax-induced XIAP reduction and caspase 3**
441 **activation in OCI-AML3 and docking analysis between XIAP domains BIR2 and**
442 **BIR3 and embelin.** (A) Western blot analysis for the treatment in monotherapy and

443 combination of embelin and venetoclax in OCI-AML3 cell line. The analysis was
444 performed evaluating XIAP, α -tubulin, procaspase 3, cleaved-caspase 3, γH2AX ,
445 PARP1 in total cells extract from OCI-AML3 treated with embelin and venetoclax in
446 concentrations of 34 μM and 10 μM for 48 hours. Membranes were reprobbed with the
447 antibody for the detection of α -tubulin. Note that embelin plus venetoclax strongly
448 induced molecular markers of apoptosis and DNA damage in OCI-AML3 cells. Binding
449 between embelin and XIAP BIR 2 and 3 domains using PELE. (A) Plotted graphic
450 demonstrating the global search in dark blue and the local refinement in cyan. In the x
451 axis, as a reference, it is the distance of the C1 (middle) carbon of embelin to the

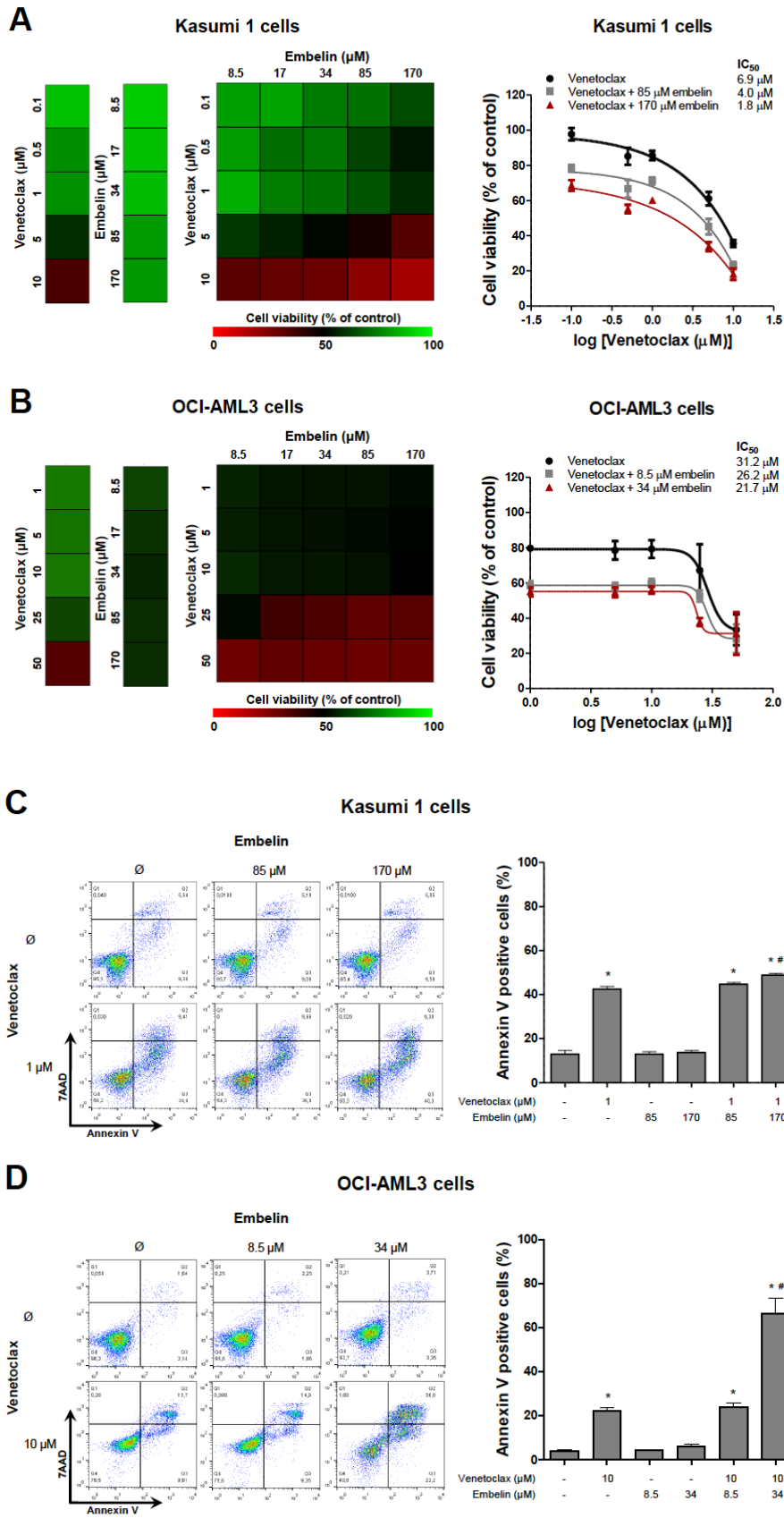
452 LEU307 alpha carbon which was chosen due to the close proximity to the small
453 molecule in the crystal. (B) Docked pose of embelin to the BIR2 domain (PDB- 4WVS)
454 BIR3 (PDB- 3CLX) XIAP structure.
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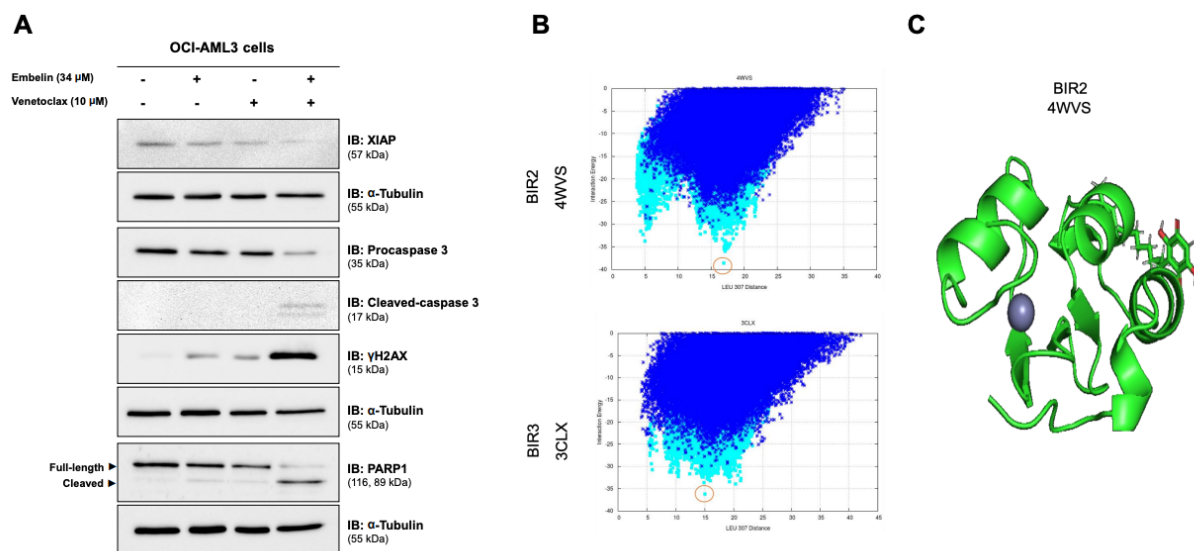
Figure 1



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463

464 Figure 2



465

466 Figure 3