



HPV-associated oropharyngeal cancer: epidemiology, molecular biology and clinical management

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Abstract | Human papillomavirus (HPV)-positive (HPV⁺) oropharyngeal squamous cell carcinoma (OPSCC) has one of the most rapidly increasing incidences of any cancer in high-income countries. The most recent (8th) edition of the UICC/AJCC staging system separates HPV⁺ OPSCC from its HPV-negative (HPV⁻) counterpart to account for the improved prognosis seen in the former. Indeed, owing to its improved prognosis and greater prevalence in younger individuals, numerous ongoing trials are examining the potential for treatment de-intensification as a means to improve quality of life while maintaining acceptable survival outcomes. In addition, owing to the distinct biology of HPV⁺ OPSCCs, targeted therapies and immunotherapies have become an area of particular interest. Importantly, OPSCC is often detected at an advanced stage owing to a lack of symptoms in the early stages; therefore, a need exists to identify and validate possible diagnostic biomarkers to aid in earlier detection. In this Review, we provide a summary of the epidemiology, molecular biology and clinical management of HPV⁺ OPSCC in an effort to highlight important advances in the field. Ultimately, a need exists for improved understanding of the molecular basis and clinical course of this disease to guide efforts towards early detection and precision care, and to improve patient outcomes.

Oropharyngeal squamous cell carcinoma (OPSCC) comprises cancers of the tonsils, base of tongue, soft palate and uvula (FIG. 1). Like other head and neck squamous cell carcinomas (HNSCCs), OPSCC has historically been linked to alcohol and tobacco consumption. A reduction in the prevalence of smoking in most high-income countries over the past 20 years has led to a decline in the incidence of HNSCC; however, carcinogenic human papillomavirus (HPV) infection has emerged as an important risk factor that has driven an increase in the incidence of OPSCC over the same period. More specifically, HPV now accounts for 71% and 51.8% of all OPSCCs in the USA and UK, respectively^{1–4}. Of these, 85–96% are caused by HPV-16 infections and are therefore expected to be preventable by prophylactic HPV vaccination, which is known to be effective in preventing HPV-associated cervical neoplasia and is now being administered to both boys and girls in several countries^{4,5}. The most recent edition of the American Joint Committee on Cancer (AJCC) staging system defined HPV-positive (HPV⁺) and HPV-negative (HPV⁻) OPSCCs as separate entities, with distinct molecular profiles, tumour characteristics and outcomes⁶ (TABLE 1). Importantly, the former is associated with a more favourable prognosis⁷. In this Review,

we provide a comprehensive overview of HPV⁺ OPSCC, focusing on how our increasing knowledge of disease biology has informed clinical practice and is guiding the pursuit of improved treatments.

Epidemiology

Rising incidence, particularly in men

Among all cancers, OPSCC has one of the most rapidly rising incidences in high-income countries^{8,9}. An increasing incidence of this disease has been observed in the UK, USA, across Europe, New Zealand and in parts of Asia^{9–19}. In both the UK and the USA, the incidence of oropharyngeal cancer in men has surpassed that of cervical cancer in women⁸ (FIG. 1). Globally, the percentage of OPSCCs that are HPV⁺ was reported in 2021 to be 33%; however, prevalence varies considerably depending on the geographical region, with estimates ranging from 0% in southern India to 85% in Lebanon²⁰.

HPV⁺ OPSCC is more prevalent than HPV⁻ OPSCC among those who do not consume tobacco or alcohol; however, a substantial history of tobacco and alcohol use remains prominent in patients with the former and is associated with worse outcomes^{21,22}. Furthermore, sexual behaviour is an established risk factor for HPV⁺ OPSCC, with a strong association observed between number of

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Key points

- The incidence of human papillomavirus-associated oropharyngeal cancer (HPV⁺ OPSCC) is expected to continue to rise over the coming decades until the benefits of gender-neutral prophylactic HPV vaccination begin to become manifest.
- The incidence of HPV⁺ OPSCC appears to be highest in high-income countries, although more epidemiological data are needed from low- and middle-income countries, in which HPV vaccination coverage remains low.
- The substantially better prognosis of patients with HPV⁺ OPSCC compared to those with HPV⁻ OPSCC has been recognized in the American Joint Committee on Cancer TNM8 staging guidelines, which recommend stratification by HPV status to improve staging.
- The molecular biology and genomic features of HPV⁺ OPSCC are similar to those of other HPV-associated malignancies, with HPV oncogenes (*E6* and *E7*) acting as key drivers of pathogenesis.
- Treatment de-intensification is being pursued in clinical trials, although identifying the ~15% of patients with HPV⁺ OPSCC who have recurrent disease, and who therefore require more intensive treatment, remains a key challenge.

lifetime oral sex partners and incidence of the disease^{2,23}. As mentioned above, this association might partially reflect an observed gender disparity given that men are more likely than women to report increased numbers of sexual partners²⁴. Risk of oral HPV infection is associated with an increased number of recent (within the past 3 months) oral and vaginal sex partners²⁴.

The incidence of both HPV⁺ and HPV⁻ OPSCC has increased over the past two decades, although evidence suggests that the former is increasing more rapidly. In Denmark, a threefold increase in HPV⁺ OPSCC was observed between 2000 and 2017, compared with a twofold increase for HPV⁻ disease¹³. Comparatively, a more rapid increase in HPV⁺ HNSCC, particularly tonsillar squamous cell carcinoma (SCC), has been observed in Taiwan, compared with HPV⁻ HNSCC¹¹. In Italy, the incidence of HPV⁺ OPSCCs (as a percentage of all OPSCCs) increased from 16.7% in 2000–2006 to 46.1% in 2013–2018 (REF.¹⁴). Lower-to-middle income countries in southern Asia and sub-Saharan Africa bear the vast majority of the global burden of HPV-associated cervical cancer, although epidemiological reports that focus on HPV⁺ OPSCC are scarce and, as a result, whether similar rising trends are absent or simply thus far undetected in these regions remains unclear²⁵.

From the few reports available, the prevalence of HPV⁺ OPSCCs in sub-Saharan Africa is low, with very few cases reported to date despite high rates of HPV-associated cervical cancer^{26–30}. In an investigation of HPV⁺ OPSCC in Mozambique, the authors proposed that the low prevalence of HPV⁺ OPSCCs in their cohort (14.5%) might reflect the limited practice of oral sex in the region²⁶. This observation has been reiterated by other investigators, who observed low rates of oral HPV infection among HIV-infected individuals in northwest Cameroon and attribute this, at least in part, to limited oral sexual behaviours, relative to higher-income countries²⁷.

Historically, most HPV⁺ OPSCCs occur in men, which might reflect differences in both susceptibility and infection transmissibility through sexual activities, although this has yet to be fully elucidated^{4,31–33}. However, an increase in incidence has been observed among white

women in the USA³². In a recent meta-analysis including data from 12 studies, the authors observed a similar prevalence of HPV-driven OPSCCs in both males and females, despite most of the assessed patients with OPSCC being male³⁴.

The prevalence of HPV⁺ OPSCC was previously reported to decrease with increasing age; however, the burden of disease has begun to shift towards older men as a result of a birth cohort effect^{4,33}. In one study, the median age at diagnosis increased from 53 to 58 years of age between 1998 and 2013 (REF.³⁵) while another study reported a similar increase, from 52 to 59 years of age between 2002 and 2017 (REF.³⁶). A rapidly increasing incidence in white men ≥65 years of age has also been observed, and nearly 10% of cases have been reported in those ≥70 years of age^{32,36}. Nevertheless, increased rates of HPV⁺ OPSCC continue to be evident in both younger and older adults and, although the burden is shifting towards older adults, most cases remain in those <65 years of age^{31,37,38}.

In the USA, a higher prevalence of HPV⁺ OPSCC has been observed in white individuals than in those of other ethnicities^{39,40}. In an analysis of the Surveillance, Epidemiology and End Results (SEER) database, a significant increase in rates of oropharyngeal cancer in men of white or Hispanic ethnicity, and in men of other ethnicities was observed, albeit with a decrease in Black men³². However, another report describes a significantly more rapid increase in the prevalence of HPV⁺ OPSCCs in Black and Hispanic American individuals compared with white American individuals^{9,32}. These results might reflect a greater decrease in HPV⁻ disease in Black men compared with white and Hispanic men, resulting in the observed relative increase in HPV⁺ disease; however, this suggestion has yet to be confirmed. In parallel with the increased incidence in white men in the USA, higher socioeconomic status is also associated with an increased incidence of HPV⁺ OPSCC⁴⁰.

Importantly, most studies of the epidemiology of HPV⁺ OPSCC have been conducted in the USA and are therefore not necessarily generalizable to other parts of the world, where differences in culture and behaviour might influence the various lifestyle factors that have a role in the aetiology of HPV⁺ OPSCC. As such, further studies in geographically diverse, and particularly non-Western regions, are needed to inform region-specific guidelines, particularly with regard to clinical management and targeted public health measures.

The role of HPV vaccination

A need remains for improved cancer prevention that is responsive to ever-changing societal norms. No screening methods enabling the earlier detection of OPSCC are currently available; therefore, widespread prevention can be achieved only through large-scale vaccination programmes. HPV vaccination has been offered to girls for nearly two decades and has led to decreased rates of cervical cancer in high-income countries, where HPV vaccination is widely available^{5,41}. One might argue that the herd immunity established through widespread vaccination of girls might preclude the need for vaccination of boys, considering the costs associated with such mass

vaccination programmes. However, the universal vaccination of girls will probably not completely mitigate the risks of HPV infection and consequent development of HPV-related cancers in men⁴². Indeed, such a policy must take into account the population of men who have sex with men, as well as those who have sexual partners originating from geographical regions that lack a comprehensive HPV vaccination programme, even in girls. Furthermore, variability in vaccination uptake owing to practical, societal and cultural barriers will probably continue to hinder the ability of populations to achieve

the levels of immunity that are necessary to prevent future HPV-related malignancies.

Several countries have now extended nationwide vaccination programmes to boys, including Australia, Austria, Germany, Italy, New Zealand, the UK and the USA. Australia was one of the first countries to implement a gender-neutral programme and has demonstrated high levels of vaccine uptake, with 75.9% and 80.2% of boys and girls, respectively, completing a three-dose regimen⁴³. By comparison, half of all adolescents in the USA in 2018 had completed the

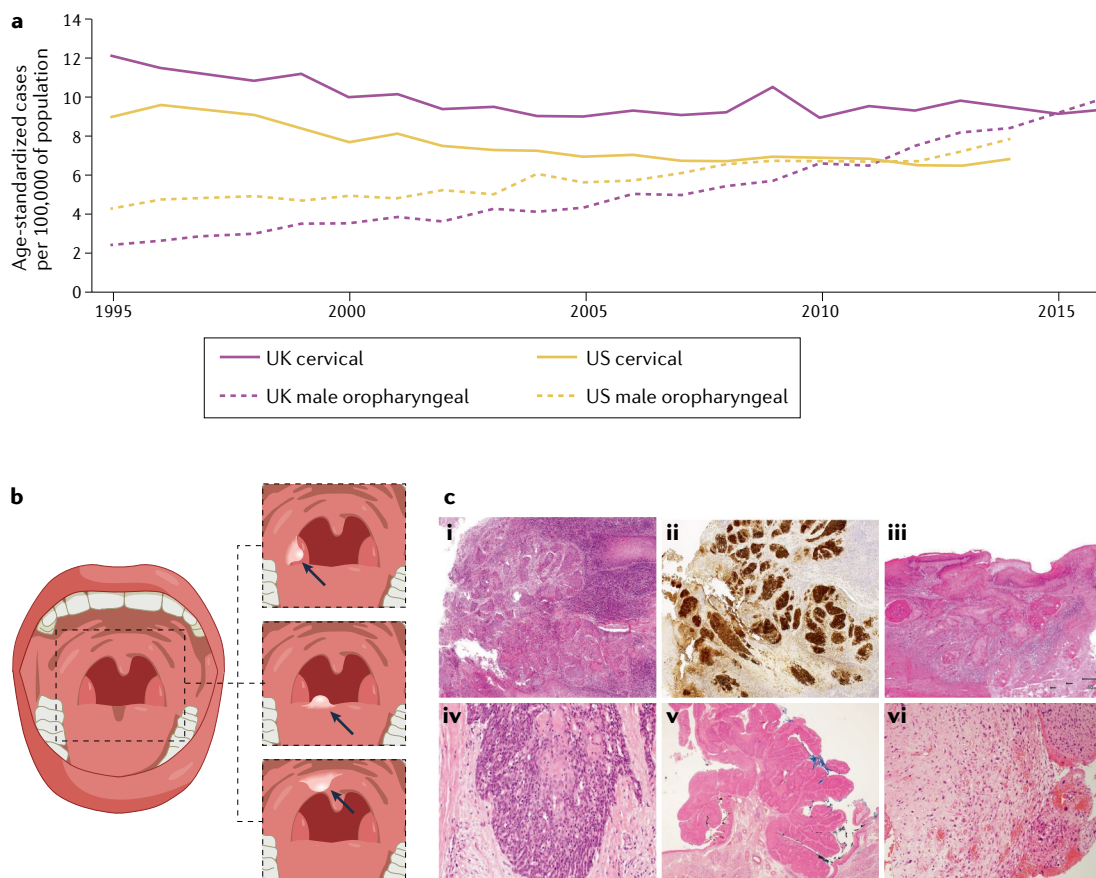


Fig. 1 | Incidence, anatomical locations and histological appearance of HPV⁺ oropharyngeal cancers. a | Directly age-standardized rates per 100,000 population of newly diagnosed cases of cervical and oropharyngeal cancer in the UK and the USA. For male oropharyngeal cancers (pink dotted line) and cervical cancers (pink solid line) in the UK from 1995 to 2016, data are sourced from the UK Office for National Statistics cancer data²⁴¹. Male oropharyngeal cancers include those of the base of tongue, uvula, tonsil and oropharynx, stratified for different types of squamous cell carcinoma (SCC) (as for the US data). Observed age-standardized rates per 100,000 population of newly diagnosed cases of cancer; for oropharyngeal cancers among men (yellow dotted line) and cervical cancers (yellow solid line) from 1995 to 2014 obtained from registries within the Surveillance, Epidemiology, and End Results (SEER) programme²⁴². Oropharyngeal cancers included those of the base of tongue, lingual tonsil, soft palate not otherwise specified, uvula, tonsil, oropharynx and Waldeyer's ring. Cervical cancers include all histological subtypes. **b** | Basic anatomy of the oropharynx, with inset images from top to bottom depicting human papillomavirus-positive oropharyngeal squamous cell carcinoma (HPV⁺ OPSCC) located at the base of tongue (the anterior two-thirds), the soft palate and the tonsil. **c** | Histological appearance, clockwise from top left. **i** | Non-keratinizing SCC. **ii** | Non-keratinizing SCC with immunohistochemical staining for p16; morphology is monomorphic, ovoid, hyperchromatic with inconspicuous cytoplasm. This sample also features increased mitosis, apoptosis and comedo-type necrosis. **iii** | Keratinizing SCC, featuring filiform projections, a thickened, nonmalignant-appearing stratified squamous epithelium, hyperparakeratosis and keratin plugging. **iv** | Basaloid SCC featuring variable foci of squamous differentiation. **v** | Papillary SCC with early invasion, featuring predominant filiform processes with minimal or absent keratinization, frequent mitosis and full-thickness dysplasia with a basaloid cell morphology. **vi** | Spindle-cell carcinoma, featuring a biphasic tumour composed of SCC and malignant spindle-cell component, exhibits polyploid growth. All images in **c** shown at 40× magnification.

Table 1 | Comparison of the key characteristics of HPV⁺ and HPV⁻ OPSCCs

Characteristics	HPV ⁺ OPSCC	HPV ⁻ OPSCC
Patient characteristics		
Average age at diagnosis (years)	59 ^a	60 ($P < 0.001$) ³⁸
Sex	86.9% male	76.8% male ($P < 0.001$) ³⁸
Ethnicity	90% white	75.9% white ($P < 0.001$) ³⁸
Role of smoking	Rising incidence of HPV ⁺ OPSCC in smokers, as well as in nonsmokers ³⁸	
Role of alcohol	HPV ⁻ OPSCC associated with greater alcohol consumption ⁷	
Role of sexual history	High number of sexual partners a risk factor for HPV ⁺ OPSCC ⁷	
Tumour characteristics		
Incidence per 100,000	4.62	1.82 (REF. ³⁸)
Anatomical location	More prevalent in oropharynx (94.2% HNSCC); specifically the base of tongue and tonsils ²	Less prevalent in the oropharynx (72.8% HNSCC) ³⁸
Stage (AJCC 7th edn)	Early stage (T1–2); frequently with nodal metastasis at presentation ¹⁵⁶	All stages (T1–4) ³⁸
Histopathological appearance	Immature, basal-like/basaloid, non-keratinizing ¹⁵⁶	Frequently keratinizing SCC
Cancer-specific mortality	HPV ⁺ OPSCC associated with a more favourable prognosis (aHR 0.40, $P < 0.001$) ³⁸	
Biological characteristics		
Genetic alterations	More frequent alterations in genes encoding DNA damage response proteins, FGF and JAK–STAT signalling proteins, as well as immune-related genes such as <i>HLA-A/B</i> ; <i>PIK3CA</i> mutations more commonly observed ⁴⁵	Aberration of <i>TP53</i> and cell-cycle pathways (such as <i>CDKN2A</i> loss); oxidative stress regulation more frequently mutated ⁹⁵
Other aberrations	p53 and Rb degradation by E6 and E7, respectively ²⁴³	NR

^aIncidence of human papillomavirus-positive oropharyngeal squamous cell carcinoma (HPV⁺ OPSCC) increasing in older men. AJCC, American Committee on Cancer; aHR, adjusted hazard ratio; HNSCC, head and neck squamous cell carcinoma; NR, not reported; OPSCC, oropharyngeal squamous cell carcinoma; SCC, squamous cell carcinoma.

recommended three-dose regimen and nearly one-third were unvaccinated⁴⁴. In the UK, school-based vaccination was extended to include boys in September 2019. In the subsequent academic year, the first dose of a two-dose vaccination regimen was given to 59.2% and 54.4%, respectively, of girls and boys⁴⁵. Importantly, owing to school closures as a result of the ongoing COVID-19 pandemic, roll-out of the programme was interrupted. Therefore, the true uptake from this first year of a gender-neutral vaccination programme in the UK has yet to be determined.

Several barriers to vaccination persist, including parental concerns over vaccine safety, socioeconomic factors and an overall lack of awareness^{8,46–48}. In a survey of 725 adults between 27 and 45 years of age in the USA, only 36% of responders were aware that HPV infection can cause noncervical cancers⁴⁹. In a separate survey of roughly 1,000 UK parents with children in school years 5–7 (aged 9–12 years), before the extension of vaccination to boys in 2019, only half had heard of HPV and fewer than 25% knew that HPV vaccination would be offered to boys⁵⁰. In this study, appropriate education of parents led to approximately two-thirds indicating that they would allow their child to be vaccinated, while only 10% would not. This finding implies that the provision of appropriate information to parents by health-care providers and public health administrators can lead to a high level of vaccine acceptance. Further education can help to assuage additional concerns for those

who are undecided and demonstrate so-called flexible hesitancy⁵⁰. Importantly, improved knowledge on the part of health-care providers is also needed to effectively implement large-scale vaccination programmes. In results from a survey of health-care professionals in the UK published in 2020, more than one-third of participants indicated the need for improved training, with 76% reporting that they felt adequately informed⁵¹. In a survey of general practitioners in the UK, 74% recognized HPV infection as a risk factor for OPSCC, although fewer than half were aware that being male was a risk factor for HPV⁺ OPSCC⁵².

With regard to the efficacy of vaccination in preventing OPSCC, data from a registry report published in 2021 demonstrate a substantially higher risk of OPSCC in those who are not vaccinated compared with those who are⁵³. Importantly, such conclusions might be premature as the effects of herd immunity owing to vaccination of females are an important confounder, and the true effects of gender-neutral vaccination are still emerging. Nevertheless, this finding is encouraging and reflects the efficacy of vaccination against OPSCC, which is supported by several reports that indicate effectiveness against HPV infection. For example, in a prospective cohort of >7,000 young women in Costa Rica, a 93.3% decrease in oral HPV-16/18 infections was observed in those vaccinated against HPV relative to those vaccinated against hepatitis A⁵⁴. In a subsequent analysis of survey data from 2,627 adults in the USA

(18–33 years of age between 2011 and 2014), the prevalence of oral HPV-6/11/16/18 infection was significantly lower in vaccinated men compared with unvaccinated men (0.0% versus 2.13%; adjusted $P=0.007$)⁵⁵.

Despite the introduction of HPV vaccination programmes for boys in several countries in the past few years and demonstrable efficacy against oral HPV infection, HPV⁺ OPSCC rates are likely to rise further over the next 20–30 years, before the full benefits of comprehensive gender-neutral vaccination are manifest. Indeed, on the basis of current vaccination rates in the USA, the incidence of HPV⁺ OPSCC will continue to climb substantially among those who were not vaccinated as children between now and 2045, with meaningful reductions confined to those <56 years of age, who already have a lower risk of diagnosis and among whom the protective effects of vaccination will begin to be manifest during this period^{56,57}. Consequently, substantial morbidities, mortality and broader societal costs are to be expected. In the UK alone, estimates suggest that approximately £2 billion will be spent on the treatment of OPSCC in men between 2019 and 2038; taking into account loss of workplace productivity owing to illness, this cost increases to more than £18 billion⁵⁸. Therefore, until the benefits of vaccination emerge, investing resources in improving public awareness of HPV⁺ OPSCC and supporting public health initiatives will be of paramount importance to curb the substantial costs to human life and society in general. This need for support might also involve investing in the development of novel early detection strategies, such as the use of peripheral blood for the detection of anti-HPV-16-E6 antibodies⁵⁹.

Development of HPV⁺ OPSCC

HPV-driven carcinogenesis

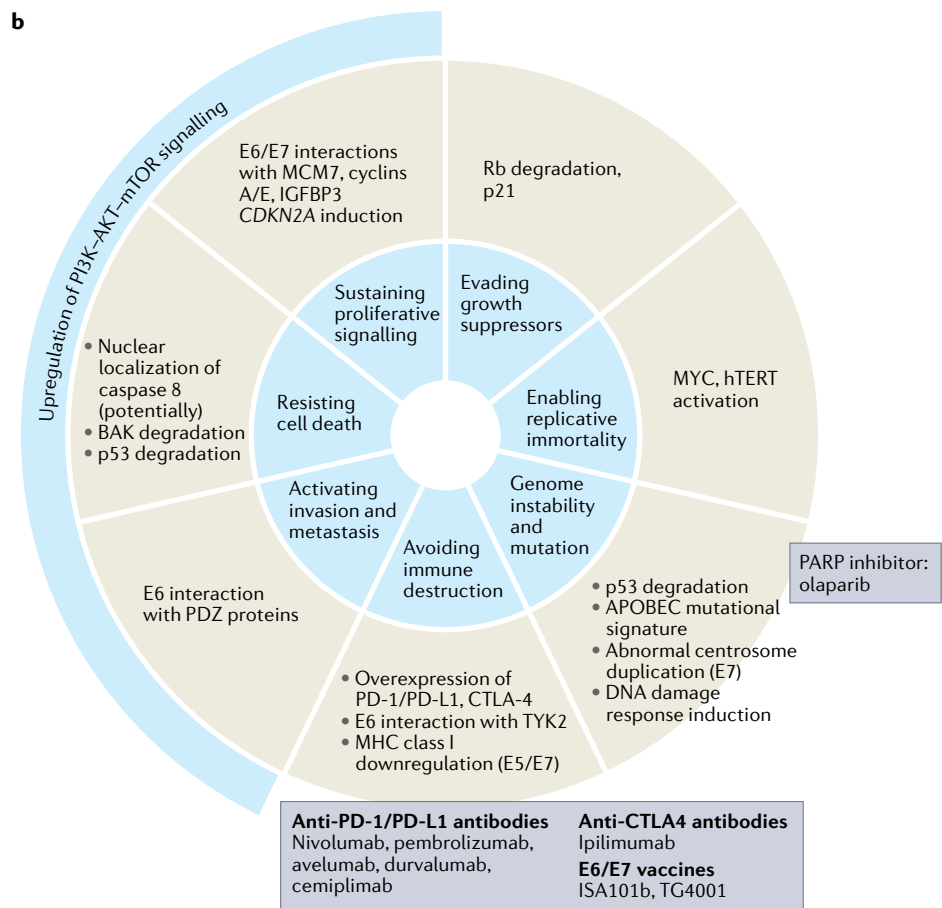
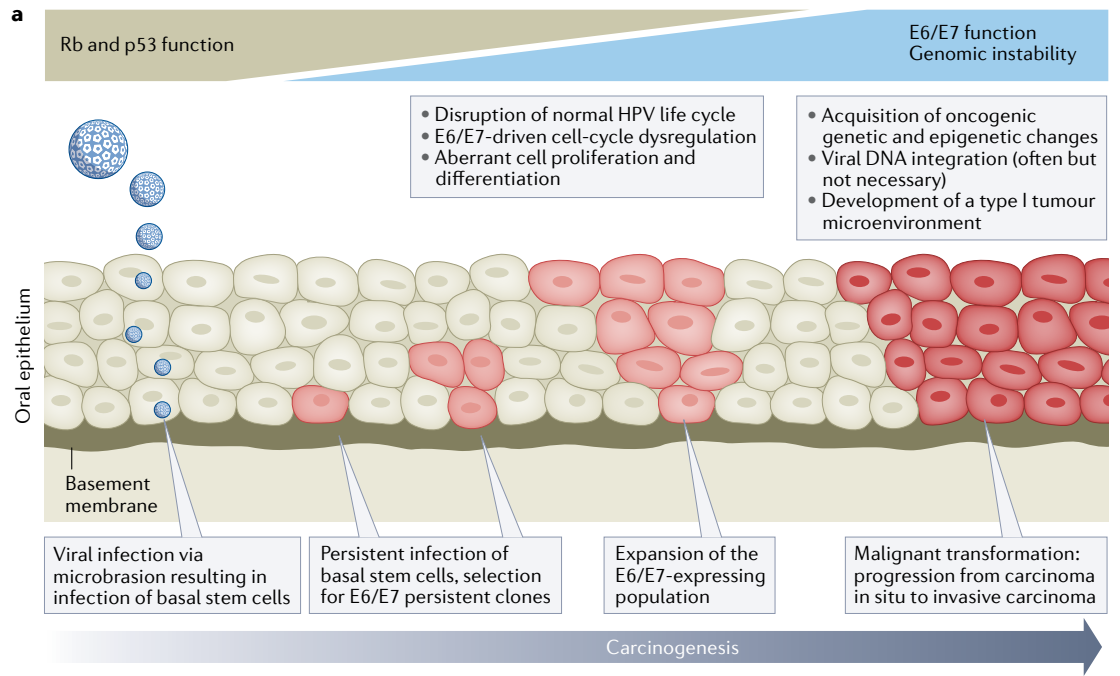
HPVs are non-enveloped viruses with circular double-stranded DNA genomes of approximately 8 kbp. More than 200 HPV types have been identified, all of which infect and complete their productive life cycle in either the cutaneous or mucosal epithelia. Of these, the WHO currently classifies 14 mucosal HPV types (HPV-16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, 59, 66 and 68) as 'high-risk' (hrHPV), owing to clear experimental and epidemiological evidence implicating them in cancer causation, with HPV-16 accounting for at least 85% of all HPV⁺ OPSCCs⁶⁰. The productive life cycle of HPV-16 is intimately linked to the terminal differentiation of keratinocytes in stratified mucosal epithelia, while carcinogenesis occurs in the context of persistent infection (postulated to be favoured in the immune-privileged microenvironment of the tonsillar crypts⁶¹) and is the result of an exit from productive viral replication^{62,63}. The stepwise changes to viral and host gene expression and alterations to the host genome associated with carcinogenesis in the cervix have been studied extensively (FIG. 2). HPV⁺ carcinogenesis is largely driven by two viral early genes (*E6* and *E7*, often referred to as HPV oncogenes), the physiological function of which is to trigger cell-cycle entry in the basal layer of the epithelium and thus permit viral genome replication. Increased expression of *E6* and *E7* is often associated with integration of hrHPV DNA into the host genome,

although carcinogenesis can occur in the absence of integration: whole-genome sequencing of 103 HPV⁺ OPSCCs revealed evidence of viral integration in 74% of patients, with the remaining tumours harbouring episomal HPV⁶⁴, a similar frequency of integration to that seen in HPV-16⁺ cervical cancers⁶⁵. Similar to cervical cancer, disruption of another viral gene, *E2*, which acts to repress expression of *E6* and *E7* during productive infection, is frequently observed in OPSCCs that harbour integrated HPV and has been linked with an unfavourable prognosis⁶⁶. The observation that the physical state of the HPV genome is of clinical significance in patients with HPV⁺ OPSCC is consistent with these findings: an analysis of samples from 84 patients demonstrated shorter overall survival (OS) and decreased antitumour immunity in patients with gene expression from integrated HPV (those in which chimeric viral–host mRNA sequences could be detected), compared with those without evidence of integration⁶⁷.

Much research has gone into understanding the molecular mechanisms by which *E6* and *E7* induce cell-cycle entry and DNA replication in host cells. These effects, in combination with alterations to the host genome, can result in malignant transformation of the host cell by enabling many of the hallmarks of cancer^{68,69} (FIG. 2). The two best-characterized oncogenic activities of *E6* and *E7* involve increased degradation of p53 and Rb, respectively. The removal of these crucial tumour suppressor proteins results in loss of cell-cycle checkpoint activation in response to DNA damage and uncontrolled licensing of DNA replication, which together result in genomic instability and resistance to apoptosis^{70–75}.

Establishing oncogene addiction

Inhibition of Rb function has long been recognized as a key oncogenic property of epigenetic reprogramming of the host cell via Rb-independent induction of two lysine demethylases, KDM6A and KDM6B. These chromatin-modifying enzymes have broad effects on gene expression, including derepression of Homeobox (HOX) genes, which are master regulators of development and are largely silenced by polycomb group (PcG) proteins in the absence of hrHPV infection. In addition to these effects on chromatin state and derepression of PcG targets, further examples of epigenetic reprogramming by HPV include *E6*-dependent modulation of microRNAs and other non-coding RNAs^{76–78}, which result in altered regulation of gene expression and modulation of DNA methylation and have been linked both to upregulation of DNA methyltransferases DNMT1 and DNMT3A in HPV⁺ OPSCCs⁷⁹ and to direct interaction of HPV-16 *E7* with DNMT1 (REFS^{80–83}). Suppression of Rb function by *E7* is proposed as being necessary to prevent induction of an oncogene-induced senescence-like response triggered by this reprogramming. The avoidance of Rb-induced cell-cycle suppression renders HPV-transformed cells dependent on the ongoing expression of HPV oncogenes, as demonstrated by genetic loss-of-function experiments in primary cultures derived from cervical cancers^{84,85}. This oncogene addiction has stimulated efforts to inhibit *E6* and/or *E7* as



a therapeutic strategy, although this approach has thus far proved challenging owing to a lack of intrinsic enzymatic activity in these proteins³⁶. However, encouraging progress has been made in exploiting the HPV oncoproteins as targets for therapeutic vaccines.

The epigenetic reprogramming of HPV-transformed cells through the E7-KDM6B axis also results in dependence on the p16^{INK4A} tumour suppressor protein (hereafter referred to as p16, one of two cell-cycle inhibitory proteins encoded by the PcG-regulated

◀ Fig. 2 | **Oncogenesis of HPV⁺ oropharyngeal cancers. a** | Major events in the development of human papillomavirus (HPV)-driven malignancy based on the established stepwise model of cervical carcinogenesis. HPV infection is established in the basal layer of the epithelium, with access facilitated either through micro-abrasions or, in the case of the oropharynx, potentially owing to the reticulated nature of the epithelium of the tonsillar crypts. Productive infections are usually cleared by the immune system but if not, deregulation of *E6* and *E7* expression can occur, causing exit from the productive viral life cycle and the development of neoplasia (in the cervix this is evident as lesions detectable by screening but no such lesions have been identified in the oropharynx). *E6* and *E7* suppress important mechanisms of tumour suppression and cause epigenetic changes, which can combine with somatic alterations in the host cell genome to cause transformation and progression to malignancy. In the cervix, these events typically occur over the course of 10–20 years after the causative HPV infection^{60,61}. **b** | Schematic showing how HPV-driven oncogenic processes act to enable seven of the eight hallmarks of cancer originally defined by Hanahan and Weinberg⁶⁸ and how experimental therapies are able to disable one or more of these hallmarks^{66,67,240}.

gene *CDKN2A*), owing to its ability to suppress CDK4 and CDK6 activity, which is required to relieve the Rb-mediated inhibition of cell-cycle progression in uninfected cells^{87,88}. The dependence on p16 to limit CDK4/6 activity in HPV-transformed cells is in striking contrast to many other tumour types, including oestrogen receptor-positive (ER⁺) breast cancer, in which CDK4/6 inhibition has proved to be an effective therapeutic strategy⁸⁹. This oncogenic role of the p16 tumour suppressor highlights the cellular rewiring induced by HPV and the importance of understanding this effect for the rational design of targeted therapeutic strategies for patients with HPV⁺ disease. The requirement of p16 function in HPV-transformed cells also likely explains the utility of this tumour suppressor protein as a clinical biomarker for the diagnosis of HPV⁺ OPSCC, as expression is much less likely to be lost or downregulated than that of a protein with deleterious or neutral effects on tumour cell fitness. Dependence on a second tumour suppressor protein, in this case the induction of p21^{CIP1} (p21^{CIP1}) expression from *CDKN1A* by KDM6A downstream of *E7*-directed epigenetic reprogramming, is needed to limit the rate of DNA replication driven by the proliferating cell nuclear antigen (PCNA) and thus avoid lethal replication stress⁹⁰. The rewiring of cell-cycle control mediated by *E6* and *E7* (FIG. 3) also highlights that, in this updated model of HPV oncogene function, the upregulation of p16 seen in HPV⁺ cancers reflects induction of KDM6B by *E7* and not (as is often assumed) Rb inhibition⁸⁷. Many other cellular proteins are targeted by the HPV oncoproteins, a comprehensive discussion of which is beyond the scope of this Review. We summarize some of these additional activities (FIG. 2) and the reader is referred to numerous detailed reviews for further information^{69,91–94}.

Somatic alterations and disease aetiology

Despite the ability of sustained *E6* and *E7* expression to initiate tumorigenesis, progression to carcinoma requires the acquisition of somatic alterations in the host genome. HPV⁻ HNSCCs typically harbour more copy-number alterations than HPV⁺ HNSCCs, suggesting a lower degree of genomic instability in the latter, while somatic variant (including single nucleotide variant (SNV) and insertion/deletion (indel)) burden seems similar between HPV⁺ and HPV⁻ HNSCCs, with

a median frequency of approximately 2–3 variants per megabase^{95–98}. *TP53* is the most frequently mutated gene in HPV⁻ OPSCC, occurring in at least 75% of patients; however, *TP53* mutations are rarely observed in the context of HPV⁺ disease, almost certainly owing to the inhibition of p53 function by *E6* and thus an ability of the virus to phenocopy this genetic alteration^{95–99}. However, p53 loss is not entirely equivalent to *TP53* mutation, which can have gain-of-oncogenic function effects on the protein. Indeed, *TP53* mutations are seen in a subset of heavy smokers with HPV⁺ OPSCC and have been associated with poor prognosis in these patients⁹⁸. Smoking-associated *KRAS* mutations typical of those seen in lung adenocarcinomas have also been reported in HPV⁺ OPSCCs from patients with a smoking history of >10 pack-years^{95,98,100}.

Somatic alterations attributable to tobacco use and ageing predominate in patients with HPV⁻ OPSCC, whereas a high proportion of mutations in HPV⁺ disease (at least in most patients with HPV⁺ OPSCC who are not heavy smokers) are now thought to be caused by the off-target DNA editing activity of one or more apolipoprotein B mRNA-editing catalytic polypeptide-like (APOBEC3) enzymes, the physiological function of which is to suppress viral replication by deaminating cytosine bases in the context of single-stranded DNA or RNA^{96,97,101}. Two of the seven human APOBEC3 enzymes (APOBEC3A and APOBEC3B) have been implicated in the cellular response to HPV infection, with evidence linking APOBEC-mediated editing of the viral genome to clearance of infection, at least in the cervix¹⁰². Sequencing of matched host exomes and viral genomes from HPV⁺ OPSCCs suggests that off-target APOBEC activity against the host cell genome accounts for many of the somatic mutations seen in tumours in which the APOBEC response has failed to clear the virus¹⁰³ (reviewed extensively elsewhere^{104–106}).

Activation of PI3K signalling

A key consequence of APOBEC activity in HPV⁺ OPSCCs seems to be the generation of oncogenic point mutations in *PIK3CA*, which encodes the p110 α catalytic subunit of PI3K α ^{96,97,101}. Indeed, activation of the phosphoinositide 3-kinase (PI3K) signalling pathway by somatic mutation and/or copy-number alterations of *PIK3CA* is a key feature of HPV⁺ OPSCC and seems to occur early in carcinogenesis^{97,107,108}. Detection of activating mutations in PI3K components (*PIK3CA*, *PIK3C2B* and *PIK3R1*) and their downstream mediators (such as *MTOR* or *RICTOR*) or inactivating mutations in the negative regulators *PTEN*, *TSC1* or *TSC2* in metastatic tumours have all been associated with longer OS in patients with HPV⁺ OPSCC¹⁰⁹, while *PIK3CA* mutations have been associated with an increased risk of disease recurrence in patients with HPV⁺ OPSCC receiving first-line chemoradiation in the setting of trials exploring de-intensification approaches¹¹⁰. *PIK3CA* mutations or amplifications have also been associated with dramatically improved outcomes in patients with HNSCC (including those with HPV⁺ disease) requiring regular non-steroidal anti-inflammatory drugs (NSAIDs) (≥ 2 days per week for at least 6 months) relative to

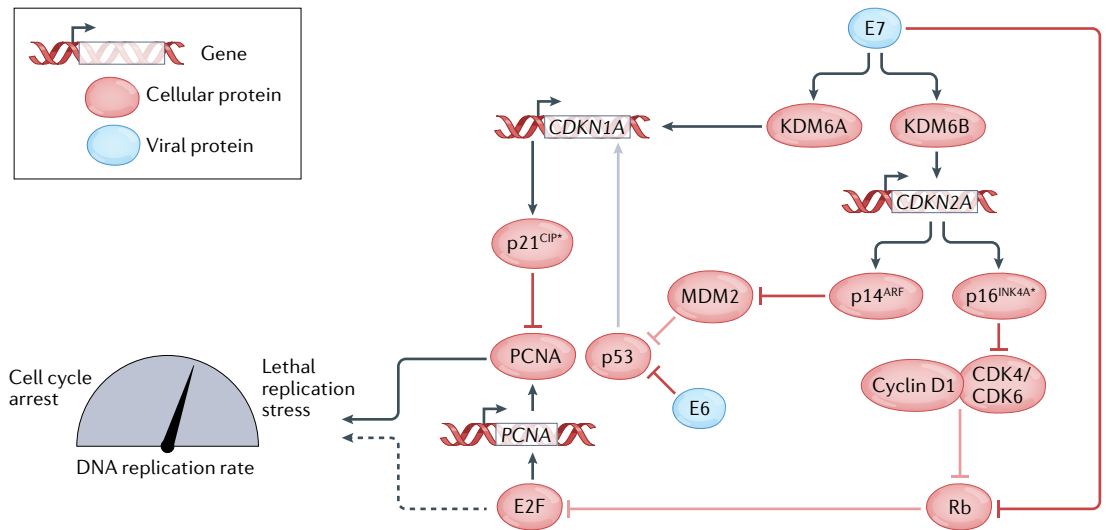


Fig. 3 | **Updated model of cell-cycle perturbation by the HPV oncogenes E6 and E7.** As proposed by McLaughlin-Drubin, Munger and colleagues, E7 induces the expression of lysine demethylases KDM6A and KDM6B, which in turn leads to the upregulation of *CDKN1A* (p21^{CIP1}) and *CDKN2A* (p14^{ARF} and p16^{INK4A}), respectively. HPV⁺ cancer cells become dependent on the ongoing expression of p16^{INK4A} and p21^{CIP1}, with the former acting to limit CDK4/6–cyclin D activity and the latter restraining proliferating cell nuclear antigen (PCNA) activity to avoid lethal replication stress (REFS^{83,86,87,89}). Cell-cycle inhibitory proteins (p16^{INK4A} and p21^{CIP1}), upon which human papillomavirus (HPV)-transformed cells become dependent, are starred.

those not taking such agents (disease-specific survival HR 0.23, $P=0.0032$ and OS HR 0.31, $P=0.0043$) in a retrospective analysis, potentially owing to increased cyclooxygenase activity in *PIK3CA*-altered tumours¹¹¹. This intriguing observation requires confirmation in larger cohorts; nonetheless, *PIK3CA* mutations have also been associated with benefit from NSAIDs in patients with colorectal cancer, potentially owing to the induction of cyclooxygenase 2 activity by PI3K signalling^{112,113}. Loss-of-function mutations in *PTEN* (which encodes the PI(3)P₃ phosphatase that reverses the reaction catalysed by class 1 PI3K) are significantly enriched in primary HPV⁺ OPSCCs, as are loss-of-function mutations in *CYLD*, which encodes a ubiquitin ligase, and gain-of-function mutations in *FGFR3*, both of which can also result in activation of PI3K signalling⁹⁷.

Other alterations

Genes involved in epidermal differentiation, including *ZNF750*, *KMT2D*, *EP300*, *RIPK4* and *NOTCH1*, are recurrently mutated in patients with HPV⁺ OPSCC, as are various components of the p53 (although as noted above, very rarely *TP53* itself) and Rb pathways targeted by E6 and E7, including mutations in or loss of *RBI* (the gene encoding Rb) in as many as 40% of HPV⁺ OPSCCs^{95,97}. In a genomic analysis of 157 OPSCCs, 73 of which were HPV⁺ and for which long-term clinical follow-up data were available, *NOTCH1* mutations were associated with a significantly shorter OS duration specifically in those with HPV⁺ disease⁹⁸. This observation, together with data showing that *Notch1* inactivation generates higher-grade tumours in a mouse model of HPV-16 E6/E7-driven HNSCC suggests that even though *NOTCH1* expression is suppressed by E6, inactivation owing to loss-of-function mutation might have

a greater effect on pathway activation and therefore lead to the development of more-aggressive tumours^{114,115}. The importance of evading immunity to viral infection is evident also in the frequent enrichment for mutations in components of the interferon response, including *DDX3X*, *TRAF3*, *IFNGR1*, *NFKBIA*, *TGFBR2*, *EP300* and *KMT2D*; these alterations are selected for despite suppression of the pathway at multiple levels by HPV oncoproteins¹¹⁶.

Many of the genes that are frequently mutated in HPV⁺ OPSCCs encode transcriptional regulators: *EP300* and *KMT2D* both encode chromatin-modifying enzymes, *NFKBIA* encodes a negative regulator of nuclear factor κB (NF-κB), *DDX3X* encodes a regulator of RNA metabolism and *ZNF750*, *CASZ1* and *TAF5* all encode transcription factors⁹⁷. The clinical relevance of somatic alterations in these genes, together with the effects of E7 on *KDM6A*, *KDM6B* and *DNMT1* discussed previously, emphasizes the importance of host cell rewiring during HPV-driven carcinogenesis. This phenomenon is evident from multiple studies that have defined gene-expression signatures for HPV⁺ OPSCC or pan-tissue expression signatures for HPV⁺ malignancies^{97,117,118}.

Antitumour immune responses

MHC-loaded peptides generated as a result of nonsynonymous somatic mutations in expressed genes are the primary means by which antitumour T cell responses are induced in malignancies that lack a viral aetiology. Moreover, the success of immune-checkpoint inhibitors (ICIs) is associated with both the overall number of neoantigens (which is closely linked to tumour mutational burden) and their level of clonality (the fraction of tumour cells in which a given neoantigen is present)^{119,120}. Cells that express one or more highly immunogenic

neoantigens might be eliminated during tumour development, a process known as immunoeediting¹²¹. In HPV⁺ cancers, all tumour cells are exquisitely dependent on the expression of the viral oncogenes *E6* and *E7*, thus these proteins serve as an indispensable source of tumour-specific antigens, against which antitumour immune responses can be mounted. However, HPV⁺ have evolved many mechanisms of immune evasion, from passive mechanisms, such as limiting the extent of infection to cells located outside of the basement membrane of the epithelium and restricting high levels of viral gene expression and viral replication to the upper layers (in which few immune cells are found), to active suppression of interferon responses and antigen presentation¹²². As discussed previously, E2-mediated control of viral gene expression in the basal layer is lost during progression from persistent infection to malignancy, and invasive tumours are also able to breach the basement membrane; therefore, the active suppression of host immune responses to the virus is crucial to the ability of HPV⁺ tumour cells to avoid immune destruction. Key mechanisms mediating this effect include selective retention of certain MHC class I components (such as HLA-A and HLA-B) in the Golgi apparatus through direct interaction with the Golgi-resident HPV-16 E5 protein, which inhibits the recognition of E5-expressing cells by CD8⁺ T cells^{123–125}, and inhibition of MHC class I gene expression by HPV-16 E7 (REFS^{126–128}).

Despite these and numerous other mechanisms through which HPV oncoproteins interfere with antigen processing and presentation (reviewed in REF.¹²²), most HPV⁺ OPSCCs contain evidence of ongoing intratumoural E6-specific and/or E7-specific T cell-mediated immune responses^{129,130}. The presence of such responses seems to be strongly prognostic, with significantly improved disease-specific survival in those with HPV DNA⁺ OPSCCs that harbour detectable HPV-16-specific T cells (HR 37.8, $P < 0.001$). Most HPV-16-specific T cells detected in this study were CD4⁺ and produced cytokines (IFN γ , TNF, IL-2 and IL-17) consistent with T cell polarization to an antitumour (T helper 1 (T_H1)/T_H17) phenotype¹³⁰. In further research, the same group implicated subsets of effector memory (CD161⁺) T cells with high levels of cytokine production and dendritic cells of a CD163⁺ subtype (DC3) as key mediators of these HPV-specific responses in patients with HPV⁺ OPSCC^{131,132}. HPV-specific T cells have also been identified in blood from patients with HPV⁺ OPSCCs, with circulating E7-specific CD8⁺ T cells associated with longer disease-free survival (DFS) durations^{133,134}.

These studies of HPV-specific immune responses provide clear prognostic information, although such analyses require *ex vivo* culture and functional assays, which poses difficulties for translation into routine use as clinical biomarkers for predicting therapeutic responses¹³⁵. Prognostic information can also be gained from less-refined analyses of the tumour immune microenvironment and circulation in patients with HPV⁺ OPSCC. Total (CD3⁺) T cell tumour infiltration is an independent prognostic indicator of improved OS, local progression-free survival (PFS) and distant metastasis-free survival in patients with HPV⁺

OPSCC^{136,137}. Furthermore, the extent of immune infiltration is substantially reduced in those with tumours that harbour mutational signatures attributable to tobacco smoking, offering a potential explanation for the aforementioned association between smoking and an inferior prognosis^{22,138}. The extent of T cell infiltration and activation (assessed on the basis of gene-expression patterns) is also notably higher in HPV⁺ OPSCCs than in other HPV⁺ HNSCCs in the The Cancer Genome Atlas (TCGA) cohort, which possibly explains the greater OS benefits conferred by HPV infection in the oropharynx than in other HNSCC subsites^{139,140}. Similarly, a comparative analysis of HPV⁺ OPSCCs and HPV⁺ cervical cancers revealed differences in the tumour immune microenvironment related to anatomical site, with HPV⁺ OPSCCs harbouring a higher CD4⁺:CD8⁺ T cell ratio (reflecting a higher CD4⁺:CD8⁺ ratio in the tonsils relative to the cervical epithelium) and greater numbers of CD4⁺CD161⁺ cells¹³¹.

In addition to the DC3 cells mentioned previously, other immune cell types have also been associated with prognosis in patients with HPV⁺ OPSCC. For example, tumour-infiltrating B cells are also commonly found, and CD20⁺ B cell infiltration is reported to be a superior prognostic marker to HPV positivity or CD8⁺ T cell infiltration^{141,142}. Tumour-associated macrophages (TAMs) are associated with poor prognosis in many tumour types, including OPSCC; however, macrophage infiltration has been associated with improved PFS in patients with HPV⁺ OPSCC who receive definitive chemoradiotherapy¹⁴³. Skewing of macrophage polarization towards the pro-inflammatory M1 phenotype owing to high levels of IFN γ -producing T cells in HPV⁺ OPSCC might explain this favourable association (reviewed in REF.¹⁴⁴).

Upregulation of the immune-checkpoint protein PD-L1 has been observed at higher frequencies in patients with HPV⁺ than in those with HPV⁻ OPSCC. In some patients this upregulation seems to reflect integration of the HPV genome close to the gene encoding PD-L1 (*CD274*)^{145,146}. The increasing use of ICIs that target PD-1 or PD-L1 in patients with HNSCC will shed further light on the extent to which HPV⁺ tumours depend on this mechanism of immune suppression. Another immune-checkpoint protein, natural killer group 2 member A (NKG2A), is expressed at higher levels in HPV⁺ OPSCCs in which an HPV-specific immune response can be detected and is found on tissue-resident (CD103⁺) CD8⁺ T cells, which have been linked to a favourable prognosis in patients with HPV⁺ OPSCC and in those with other cancer types. Therapeutic anti-NKG2A antibodies are at an earlier stage of clinical development than those that target PD-1 or PD-L1, but have shown some promising results thus far (reviewed in REF.¹⁴⁴).

Clinical management

Clinical presentation and diagnosis

OPSCC most commonly presents as a neck mass or sore throat, but might also present as dysphagia, a visualized mass, globus sensation, odynophagia or otalgia¹⁴⁷. Most patients present with small primary tumours (T1 or T2) and nodal metastases. Furthermore, the clinical

presentation of OPSCC can be easily confused with other common benign conditions, such as laryngopharyngeal reflux or globus pharyngeus; therefore, asymptomatic neck masses should ideally be evaluated using confirmatory ultrasonography and fine-needle biopsy sampling¹⁴⁸. OPSCCs comprise tumours located in the posterior pharyngeal wall, the soft palate, the tonsillar complex and the base of the tongue. The latter two are the most common anatomical locations and comprise 96% of oropharyngeal tumours^{20,149}. Of note, a subset of patients with head and neck cancers will present with cervical lymphadenopathy only. These carcinomas of unknown primary are rising in incidence, most probably owing to the increasing incidence of HPV-related OPSCCs¹⁵⁰. The presence of p16 and/or HPV DNA in metastatic lesions has been shown to indicate the oropharynx as the primary source of these tumours^{150–152}.

In general, clinical examination per the UK National Multidisciplinary Guidelines involves direct flexible endoscopy of the upper aerodigestive tract and cross-sectional imaging¹⁵³. Both PET-CT and MRI are recommended, the former for primary tumour staging and to assess the extent of soft-tissue spread, and the latter to determine the extent of nodal disease and bony invasion as well as for the detection of distant metastases to the lung and liver¹⁵⁴. Conversely, in the USA, [¹⁸F]fluoro-2-deoxy-D-glucose (¹⁸F-FDG) PET-CT is the main modality used to assess the extent of the tumour and presence of metastases, although MRI might be used to assess the extent of local invasion.

Robust HPV testing is required to accurately discriminate between HPV positivity and negativity. A combination of immunohistochemical (IHC) staining for p16 and in situ hybridization (ISH) for high-risk HPV has demonstrated acceptable levels of both sensitivity (97%) and specificity (94%) and can be performed using formalin-fixed paraffin-embedded tissue¹⁵⁵. Current efforts to de-escalate the intensity of treatment for patients with HPV⁺ OPSCC highlight the paramount importance of accurate determination of HPV status. The AJCC 8th edition recommends using p16 IHC only as a surrogate for HPV status because p16 positivity alone is not sufficient to detect transcriptionally active HPV in all patients. In an evaluation of the suitability of these guidelines, patients with p16⁺/HPV⁻ cancers had significantly lower 5-year OS than patients with p16⁺/HPV⁺ OPSCC (33% versus 77%, $P < 0.0001$). Under the new staging criteria, cancer stage was reduced in 95% of patients with p16⁺/HPV⁻ disease, despite these patients having a mortality rate approximately twice that of those with p16⁺/HPV⁺ disease on multivariate analysis (HR 2.66, 95% CI 1.37–5.15)⁶. As such, a second ISH test has been recommended in the UK as standard practice by the UK Royal College of Pathologists⁶.

Several variant forms of SCC exist, most of which can be categorized as either keratinizing or non-keratinizing, with or without maturation (FIG. 1). Most non-keratinizing SCCs are associated with transcriptionally active high-risk HPV infection¹⁵⁶. In this context, HPV infection increases risk, regardless of tobacco use and/or alcohol consumption. Keratinizing SCC is the most common OPSCC subtype, although only 15–25% of keratinizing

SCCs are HPV⁺. Histologically, these tumours resemble stratified squamous epithelium with varying degrees of architectural and cytological abnormalities, such as the formation of keratin pearls. Furthermore, the invasion pattern at the advancing front has been shown to be a clinically relevant predictor of both local recurrence and OS. Importantly, clinical presentation and histological appearance, as well as the optimal management and prognosis, vary between the different OPSCC subtypes. Other less common subtypes include basaloid SCC, papillary SCC, lymphoepithelial carcinoma, adenosquamous carcinoma, spindle-cell carcinoma and verrucous SCC. Both basaloid and papillary SCCs and lymphoepithelial carcinomas are generally associated with transcriptionally active, high-risk HPV infection in the oropharynx^{157–162}.

In general, clinical prognostication is based upon tumour diameter and nodal status, positive surgical margins and grade of differentiation (well, moderate or poorly differentiated), including the grade of the invasive front, which involves the degree of keratinization, pleomorphism, mitotic rate, invasion pattern and patient response¹⁶³. Other independent prognostic factors for local disease recurrence and OS include invasion pattern (cohesive or non-cohesive) as well as perineural and lymphatic invasion¹⁶⁴. Data from a retrospective study indicate no statistically significant difference in prognostic performance when measures of depth of invasion or tumour thickness are used to determine AJCC T category¹⁶⁵. Lymph node involvement and extracapsular/extranodal extension have been shown to have a prognostic value although some controversy remains^{166–169}. With regard to extracapsular extension, two independent sets of investigators have reported associations between extent of extracapsular extension and OS, although a third study failed to observe a statistically significant association with OS, locoregional recurrence-free survival or distant metastasis-free survival^{170–172}. In a cohort of patients undergoing transoral surgery and neck dissection, number of metastases was found to be an independent predictor of outcome, while extent of extracapsular spread was not¹⁶⁹. Data from a comparison of staging systems suggest that extracapsular extension might be a surrogate of nodal volume, which itself seems to have a greater prognostic role¹⁶⁷. The role of lymph node ratio in prognosis has also been investigated and it is associated with OS in patients with HPV⁻ OPSCC, although this relationship is weaker in those with HPV⁺ disease¹⁷³. The investigators suggest that the reduced prognostic relevance of lymph node ratio in HPV⁺ disease might reflect a greater dependence on the extent of the primary tumour than on nodal spread¹⁷³. Determination of the extent of extracapsular spread has generally relied on histopathological examination of surgical specimens, although CT imaging has also been recommended for use in the initial prognostic work-up. Despite this recommendation, the predictive capacity of CT remains controversial, with previous studies suggesting only moderate levels of specificity and low sensitivity, as well as poor positive and negative predictive values^{174–176}. Nevertheless, the identification of three or more imaging criteria (irregular nodal enhancement, infiltration into adjacent tissues, indistinct nodal

margins, matted nodes and central necrosis) has been shown to improve both specificity and positive predictive value of diagnostic CT, while the presence of necrosis has been found to be independently correlated with pathologically proven extracapsular spread^{175,176}. More recently, contrast-enhanced CT demonstrated good sensitivity (81–85%) with excellent interobserver agreement (weighted $\kappa=0.87$) in 31 patients with unknown primary HNSCCs¹⁷⁷. Altogether, the utility of extracapsular spread as a clinical prognostic factor, considering the challenges associated with the radiological evaluation of extranodal pathology, remains unclear. The heterogeneity of data presented thus far, including several contradictory results, warrants further large-scale multicentre studies to better guide clinical management.

The most recent (8th) edition of the AJCC staging guidelines, based on the International Collaboration on Oropharyngeal Cancer Network for Staging (ICON-S) cohort study, differentiated OPSCC on the basis of HPV status, as determined by p16 overexpression¹⁷⁸ (TABLE 2). With changes made to the approach to N staging in particular, many patients with HPV⁺ disease were assigned to a lower stage relative to previous criteria. Furthermore, this update reserves the use of the term ‘stage IV’ for metastatic disease only, compared with traditional staging systems, which classified locally advanced disease as stage IVa. These changes, among others, have enabled improved OS discrimination, which is especially important in the era of treatment de-intensification^{179,180}. However, the ability to discriminate between stage groups, particularly between stages II and III and between stages III and IV, is controversial in that outcomes of certain patients with disease of a specific stage will sometimes overlap with those of patients who supposedly have disease of a more advanced stage. Thus, implementation of the staging system in clinical practice requires further adaptation to include consideration of other relevant prognostic factors^{181,182}.

Importantly, a subgroup of patients with p16⁺ but HPV DNA⁻ OPSCCs exists, with a significantly worse prognosis than patients with HPV DNA⁺ disease^{6,183}. Therefore, and as mentioned previously, determination of HPV status should involve assessments of both p16 (via IHC) and high-risk HPV DNA (using ISH). Other tumour-specific and patient-specific factors might also be necessary considerations for attempts to improve prognostication. In a recursive partitioning study (0129) conducted by the Radiotherapy Oncology Group (RTOG), low, intermediate and high risk groups were established based on HPV status, tobacco exposure and extent of lymph node invasion²². Patients deemed to be low risk in this study had HPV⁺ disease with low tobacco exposure or a history of smoking ≤ 10 pack-years in addition to only one ipsilateral lymph node with a diameter < 6 cm; intermediate-risk patients have HPV⁺ disease, a history of smoking of > 10 pack-years and advanced lymph node disease (multiple ipsilateral lymph nodes, one or more contralateral lymph nodes or any lymph node measuring > 6 cm) or HPV⁻ disease with low tobacco exposure and $< T4$ stage tumour; high-risk patients had HPV⁻ disease with a history of smoking of > 10 pack-years or stage T4 disease. A retrospective analysis assessing the 5-year survival outcomes of this cohort demonstrated the robustness of this stratification, with persistent differences observed in both PFS and OS¹⁸⁴. Taking into account a second, independent cohort (RTOG-0522), combined 5-year PFS for patients with low-risk, intermediate-risk or high-risk disease were 72.9%, 56.1% and 42.2%, respectively, with 5-year OS of 88.1%, 69.9% and 45.1%. On the basis of these data, the authors recommended therapeutic de-intensification for patients in the low-risk group.

Crucially, an analysis of the US National Cancer Database found anatomical subsite to be an independent prognostic factor¹⁴⁹. However, the current AJCC guidelines, although stratifying for HPV status, do not consider subsite. Despite this lack of guideline recognition, anatomical location remains important because SCCs of the tonsils or base of the tongue are more frequently HPV⁺ than those at other sites. Indeed, the prevalence of HPV infection in these sites seems to be lower, with approximately 19–22% of tumours testing positive for HPV, compared with 56–70% for OPSCCs located in the tonsils or base of the tongue^{185,186}. Furthermore, the prognostic value of HPV infections outside these two anatomical locations seems to be less robust, calling into question the appropriateness of the current AJCC staging system for SCCs at these sites¹⁸⁶. These considerations warrant continued investigation of more comprehensive, and potentially more accurate, prognosticators that incorporate the effects of subsite as well as patient history, with particular regard to smoking history as discussed above, on top of current AJCC staging.

Treatment and follow-up

The treatment of patients with OPSCC typically involves surgical excision, primary radiotherapy or chemoradiotherapy¹⁵³ (see TABLE 3 for UK recommendations). Historically, surgical excision has involved open surgery; however, owing to concerns of cosmetic and/or

Table 2 | Important differences between AJCC TNM 7th and 8th editions

TNM stage	p16 ⁻	p16 ⁺
T staging	Same as AJCC 7th edn	Tis: not included T0: only for p16 ⁺ metastatic lymph nodes T4: formerly divided into T4a and T4b, now unified into a single category
Clinical N staging	N3: nodes > 6 cm in diameter further subdivided into N3a and N3b on the basis of the absence (former) or presence (latter) of extranodal extension	N1: ipsilateral lymph nodes ≤ 6 cm N2: bilateral or contralateral nodes ≤ 6 cm no N2 subcategories N3: nodes > 6 cm
Pathological N staging	Same as AJCC 7th edn	N1: involvement of ≤ 4 metastatic lymph nodes N2: > 4 metastatic nodes N3: removed
HPV status	p16 testing; tumours with at least moderate staining intensity and diffuse staining ($\geq 75\%$ of tumour cells) classified as probable HPV-associated aetiology on the basis of p16 positivity	

See REF.²⁴⁴. AJCC, American Joint Committee on Cancer; HPV, human papillomavirus.

Table 3 | UK/US treatment recommendations for HPV+ OPSCC (not yet updated for AJCC 8th edition staging guidelines)

Approach	Early stage (T1 or T2 N0)	Late stage (T3 or T4 N0; T1–4 N1–3)
Open surgery		
PM Mandibulectomy TCP G/LR	Not typically recommended; TORS/TLM resection or definitive RT instead	Usually, PM or TCP for tongue base resections, G/LR not frequently used; mandibulectomy for tumours with gross bony involvement Lip-splitting mandibulotomy usually required for adequate visualization Reconstruction by radial artery free or anterolateral thigh free flaps Also used when surgical salvage is required Adjuvant CRT or PORT usually required Modified or selective neck dissection recommended
Transoral surgery		
TORS TLM	T1/T2, potentially T3; ipsilateral selective neck dissection recommended, N0 treated electively Adjuvant RT/CRT to reduce risk of recurrence depending on tumour features	Limited to early stage disease
Definitive RT		
Radical (70 Gy/35 fractions); hypofractionated (65–66 Gy/30 fractions) Intensity modulated	Usually restricted to patients with no previous history of head and neck irradiation and/or those with substantial comorbidities Prophylactic RT to ipsilateral cervical lymph nodes for lateralized tumours, both sides for non-lateralized tumours Cetuximab might be a safer alternative for patients with pre-existing sensorineural hearing loss or renal, cardiac or haematological impairments In clinical trials for de-escalation in definitive and adjuvant settings	Only if patient is unfit for CRT (such as those >70 years of age, and/or with poor performance status)
Definitive CRT		
70 Gy RT (2 Gy fractions) with concurrent cisplatin (either 100 mg/m ² on days 1, 22 and 43 of RT or 40 mg/m ² weekly)	Usually, restricted to patients for whom surgery is either not indicated or who wish to avoid surgery owing to patient preference	Technical feasibility for surgery is dictated by evidence of extratonsillar disease involvement, which might require reconstruction of the defect or lateral disease located close to the carotid artery or advanced bilateral nodal disease
Adjuvant therapy		
CRT comprising 70 Gy RT (delivered as 2 Gy fractions) with concurrent cisplatin (either 100 mg/m ² on days 1, 22 and 43 of RT or 40 mg/m ² weekly) PORT comprising 70 Gy RT (delivered as 2 Gy fractions)	For positive or close resection margins or extranodal extension of lymph nodes; or other high-risk features (lymphovascular or perineural invasion) PORT can be with or without concurrent chemotherapy	Improves outcomes for patients with extracapsular invasion and/or microscopically involved surgical resection margins around the primary tumour Not recommended for those >70 years of age and/or those with poor performance status

See REFS^{155,245}, AJCC, American Joint Committee on Cancer; CRT, chemoradiotherapy; G/LR, glossectomy/lingual release; HPV, human papillomavirus; OPSCC, oropharyngeal squamous cell carcinoma; PM, paramedian mandibulotomy; PORT, post-operative radiotherapy; RT, radiotherapy; TCP, trans-cervical paryngotomy; TLM, transoral laser microdissection; TORS, transoral robotic surgery.

functional morbidities, for patients with early stage disease this has largely been replaced by less-invasive techniques, such as transoral laser microsurgery (TLMS) and transoral robotic surgery (TORS). Primary radiotherapy and chemoradiotherapy are also widely used according to patient preference, or if TLMS or TORS is not technically feasible, such as owing to a large (T3 or above) primary tumour diameter, poor transoral access or advanced bilateral nodal disease. The current standard of care consists of 66–70 Gy radiotherapy with concurrent platinum-based chemotherapy, typically cisplatin based (TABLE 4; Supplementary Information).

Despite the favourable prognosis associated with HPV+ OPSCC, 10–25% of patients will develop disease

recurrence, the majority within 2 years but some up to 5 years after initial diagnosis. Thus, a robust and effective monitoring protocol for patients with HPV+ OPSCC who are in remission is crucial. Typical follow-up monitoring involves regular clinical examination. The National Comprehensive Cancer Network recommends examinations every 1–3 months in the first year, then every 2–6 months in the second year, every 4–8 months up to year 5 and then subsequently once per year¹⁸⁷. However, even with regular clinical examinations, the ability to detect disease recurrence is limited.

HPV DNA has been shown to be a useful biomarker for the monitoring of post-treatment disease status. In a prospective study with results published in 2019,

continued detection of HPV DNA (of the same type as detected originally in tumour specimens) in oral rinses following completion of treatment was predictive of locoregional recurrence and unfavourable 2-year OS. Although the predictive value of HPV DNA for distant metastasis was weaker, the authors suggested that oral and plasma HPV DNA detection could potentially be combined to provide an effective biomarker of treatment response and risk of disease progression¹⁸⁸. Furthermore, measuring circulating HPV DNA in plasma samples has proved an extremely sensitive method of detecting disease recurrence¹⁸⁹. In a study involving 115 patients, two consecutive positive tests had a positive predictive value of 94% and a negative predictive value of 100%. Therefore, this approach might enable earlier detection of recurrence and, as a result, improve the efficacy of salvage treatment thereafter¹⁸⁹.

Outcomes with primary TORS/TLMS with or without adjuvant chemoradiotherapy. Until recently (~2010), OPSCC was generally treated with primary radiotherapy (plus or minus chemotherapy) owing to the substantial risk of morbidities associated with open surgery. However, owing to advances in surgical technology, minimally invasive approaches (such as TORS or TLMS) have become the mainstay of treatment for patients with early stage OPSCC. Patients with advanced-stage OPSCC, or with disease features not amenable to TORS or TLMS, typically receive chemoradiotherapy, with surgical salvage available for those with disease progression¹⁹⁰. A prospective study that assessed the efficacy of TLMS in predominantly early-stage (I or II) disease demonstrated impressive 5-year survival outcomes, with DFS, disease-specific survival and OS of 85%, 93% and 90%, respectively. The recurrence rate was 12%, mainly due to the emergence of distant metastases, and 90% of recurrences occurred within the first 2 years. Minimal post-treatment morbidities were observed, and

in the absence of indications for gastrostomy, only 4% of patients had a gastrostomy tube¹⁹¹.

Importantly, most patients with OPSCC treated with TORS or TLMS receive adjuvant radiotherapy, and a minority will also receive chemotherapy¹⁹². As such, appropriate risk stratification is needed to safely de-escalate adjuvant therapy and thus capitalize on the reduced incidence of post-treatment morbidities offered by minimally invasive surgical techniques. Data from two independent studies demonstrate that adjuvant therapy lowers the risk of local and regional recurrence; however, no statistically significant differences in OS have been observed owing to high salvage rates^{193,194}. Indeed, even patients who receive upfront adjuvant therapy might have disease relapse, although salvage treatments are generally successful, resulting in excellent survival outcomes. This consideration is especially important owing to the various toxicities associated with adjuvant radiotherapy or chemoradiotherapy. For example, in one study, a greater risk of gastrostomy was observed in patients who received adjuvant therapy¹⁹³. Indeed, patients who received TORS alone are reported to have superior quality of life (QOL) and functional outcomes at 6 months, most probably reflecting avoidance of the adverse effects of adjuvant therapy, including xerostomia, odynophagia and oral thrush, that are detrimental to patient-reported outcomes¹⁹⁵.

When adjuvant radiotherapy is indicated, reducing the radiation dose in patients with favourable risk factors (such as negative surgical margins and/or early stage disease) can ameliorate the risk of treatment-associated morbidities while maintaining efficacy. For example, reducing adjuvant radiation dose from 60–66 Gy to 30–36 Gy in patients with negative margins and a minimal smoking history has been shown to lead to improved swallowing and overall QOL outcomes while maintaining excellent 2-year locoregional control, PFS and OS (96.2%, 91.1% and 98.7%, respectively)¹⁹⁶. Alternatively,

Table 4 | Clinical trials investigating the efficacy of induction therapy in HPV+ OPSCC

Study	Study cohort	Treatment	Outcomes	Toxicity profile	Ref.
OPTIMA (2019)	62 patients; divided into those with low-risk (≤T3 ≤N2b, ≤10 pack-year smoking history) or high-risk (T4 or ≥N2c or >10 pack-year smoking history) disease	Three cycles of carboplatin (AUC 6) plus nab-paclitaxel (100 mg/m ²) followed by low-dose CRT (45 Gy plus three cycles of TFHX) or standard-dose CRT (75 Gy plus five cycles of TFHX)	2-year PFS 95% in patients with low-risk disease, 94% in those with high-risk disease.	Grade ≥3 mucositis in 63% and 91%; grade ≥3 neutropenia in 30% and 18% and grade ≥3 dermatitis in 20% and 55% of patients in the low-risk and high-risk groups, respectively. All other grade ≥3 adverse events in ≤10% of patients.	204
E1308 (2017)	80 patients; mostly with T1–3 N0–N2b disease, and a ≤10 pack-year smoking history	Three cycles of cetuximab (400 mg/m ² on day 1 followed by 250 mg/m ² weekly) plus IC followed by concurrent cetuximab with RT (54 Gy for patients with a CR or 69.3 Gy for those without a CR)	2-year PFS 78%, 2-year OS 91% (100% for those with a CR at the primary site following IC).	Grade ≥3 mucositis in 30% and 47%; grade ≥3 dysphagia in 15% and 29%; grade ≥3 acneiform rash in 12% and 24% in patients who received low dose or high dose RT, respectively.	205
NCT02048020, NCT01716195 (2017)	44 patients with stage III–IV disease	Two cycles of paclitaxel (175 mg/m ²) and carboplatin (AUC 6) followed by IMRT: 54 Gy for those with a CR/PR or 60 Gy plus paclitaxel (30 mg/m ²) for non-responders	2-year PFS 92%	Grade 3 adverse events in 39% of patients, including dysphagia (9.1%), mucositis (9.1%) and dermatitis (6.8%).	207

AUC, area under the curve; CR, complete response; CRT, chemoradiotherapy; HPV, human papillomavirus; IC, cisplatin (75 mg/m² on day 1, paclitaxel 90 mg/m² on days 1, 8 and 15); substitution of cisplatin with carboplatin was permitted; IMRT, intensity-modulated radiotherapy; OPSCC, oropharyngeal squamous cell carcinoma; OS, overall survival; PFS, progression-free survival; PR, partial response; TFHX, paclitaxel (100 mg/m² on day 1), 5-fluorouracil (5-FU) (600 mg/m² daily on days 0–5) and hydroxyurea (500 mg twice daily on days 0–5).

data from the AVOID study demonstrate that avoiding irradiation of the resected primary tumour site and only targeting at-risk neck areas with reduced radiation doses in patients with early stage disease might be safe and can also result in high 2-year local control rates and OS (98.3% and 100%, respectively).

The safety and efficacy of de-intensified adjuvant therapy following TORS is being evaluated further in several ongoing trials, such as PATHOS and ECOG3311 (REF.¹⁹⁷). An update from ECOG3311 demonstrates that primary TORS and reduced post-operative radiotherapy without chemotherapy provide excellent oncological outcomes at a follow-up duration of 35 months, with favourable QOL and functional outcomes, in patients with intermediate-risk HPV⁺ OPSCC^{198–200}. Data from the SIRS and MINT trials (NCT02072148 and NCT03621696, respectively), are expected to further confirm the accuracy of pathological characteristics (such as extracapsular spread, lymphovascular invasion, perineural invasion, surgical margins and tumour stage) for the allocation of treatment, with particular regard for the omission of adjuvant therapy in patients with low-risk disease. The feasibility of reduced-dose adjuvant radiotherapy in patients with high-risk disease will also be further investigated in both DART-HPV

(NCT02908477) and DELPHI (NCT03396718) (TABLE 5, Supplementary Information).

Outcomes with primary chemoradiotherapy. Despite the positive results obtained with minimally invasive surgical approaches, primary radiotherapy and/or chemoradiotherapy are still widely used. Over the past 10 years, efforts to de-escalate radiation dose have demonstrated both excellent oncological outcomes and improved morbidity rates. Data from two studies demonstrate high pathological response rates to reduced-dose intensity-modulated radiotherapy (IMRT) with concurrent low-dose cisplatin for patients with early stage disease^{201,202}. Excellent 3-year local and regional control rates were observed with a 3-year OS of 95%²⁰³. For patients with advanced-stage disease (stages III–IV), induction chemotherapy followed by reduced-dose chemoradiotherapy has proved to be a promising approach in terms of reducing the risks of treatment-associated morbidities while maintaining acceptable survival rates^{204–207}. Indeed, those who respond well to induction chemotherapy are more likely to have radiosensitive tumours, which could enable improvements in both oncological and long-term functional outcomes such as swallowing, nutritional status and overall QOL.

Table 5 | Trials investigating de-escalation or replacement of chemotherapy and/or radiotherapy in HPV⁺ OPSCC

Study	Study cohort	Treatment	Outcomes	Toxicity profile	Ref.
MC1273 (2019)	80 patients with ≤10 pack-year smoking history, negative margins; cohort B included patients with extranodal extension	Cohort A: 30 Gy RT plus docetaxel (15 mg/m ²) Cohort B: extranodal extension to 36 Gy	2-year locoregional tumour control 96.2%, PFS 91.1%, OS 98.7%	Grade ≥3 toxicities before RT in 2.5% of patients, no grade ≥3 toxicities at 1 or 2 years after RT	196
NCT01530997 (2015)	43 patients with T0–3 N0–2c M0 disease and a minimal smoking history	60 Gy IMRT with concurrent cisplatin (30 mg/m ²)	3-year locoregional control 100%, distant MFS 100%, DSS 100%	Grade ≥3 dysphagia in 39%, grade ≥3 mucositis in 35%; chemotherapy-related grade ≥3 toxicities included haematological events (11%), nausea (18%) and vomiting (5%)	201
Quarterback and Quarterback 2b (2021)	24 and 65 patients; stage III/IV disease without distant metastases (per AJCC 7th edn staging)	Quarterback: three cycles of induction chemotherapy; responders randomized 2:1 to receive 56 Gy (rdCRT) or 70 Gy (sdCRT) RT with concurrent carboplatin (AUC 1.5) Quarterback 2b: 56/50.4 Gy IMRT	Combined rdCRT arms: 2-year LRC, PFS and OS 87.4%, 84.4% and 90.6%	No therapy-related mortality, minimal long-term consequences (to be reported)	246
ORATOR (2019)	68 patients, ≥18 years of age with ECOG PS 0–2, stage T1–2 N0–2 tumours; stratification by p16 status	70 Gy IMRT with high-dose cisplatin (100 mg/m ²) or modified cisplatin, cetuximab or carboplatin, for patients with N1–2 tumours or TORS plus ND with 1 cm margins (± adjuvant CRT)	MDADI score (swallowing-related QOL at 1 year): 86.9 vs 80.1 in the RT vs TORS plus ND groups, respectively.	Grade ≥3 dysphagia in 18% vs 26, grade ≥3 hearing loss in 18% vs 0%, grade ≥3 post-operative haemorrhage and bleeding (oral cavity) each in two patients in the TORS plus ND group	213
ORATOR2 (2021)	61 patients with stage T1–2 N0–2 (AJCC 8th edn) tumours	De-intensified IMRT (60 Gy ± chemotherapy) vs TORS plus ND (± adjuvant 50 Gy IMRT)	Estimated 2-year OS 100% vs 89.2% in the IMRT vs TORS plus ND arms, respectively	Grade 2–5 toxicities in 67% of patients in the RT arm and 71% in the TORS plus ND arm. Study terminated early owing to treatment-related mortality and unacceptable PFS in the TORS plus ND arm	247

An overview of ongoing trials is provided in Supplementary Information. AUC, area under the curve; AJCC, American Joint Committee on Cancer; CRT, chemoradiotherapy; DSS, disease-specific survival; ECOG, Eastern Co-operative Oncology Group; HPV, human papillomavirus; IMRT, intensity-modulated radiotherapy; LRC, locoregional control; MDADI, MD Anderson Dysphagia Inventory; MFS, metastasis-free survival; ND, neck dissection; OPSCC, oropharyngeal squamous cell carcinoma; QOL, quality of life; PFS, progression-free survival; PS, performance status; rdCRT, reduced-dose chemoradiotherapy; RT, radiotherapy; sdCRT, standard-dose chemoradiotherapy; TORS, transoral robotic surgery.

Table 6 | Trials investigating de-escalation of adjuvant therapy in HPV⁺ OPSCC

Study	Cohort	Treatment	Outcomes	Toxicity profile	Ref.
SIRS (2021)	54 patients with stage I, II, III and intermediate stage IVa (T1 N0–2b, T2 N0–2b, AJCC 8th edn) disease, with stratification based on pathological prognosis (based on ECS, LVI, PNI)	TORS with follow-up monitoring for patients with a good prognosis (group 1); reduced-dose adjuvant RT or CRT based on risk status for patients with a poor prognosis (group 2 or 3)	mPFS 91.3%, 86.7% and 93.3% for groups 1–3, respectively, at a median follow-up duration of 43.9 months	Group 1: dysphagia in 37%, severe pain in 29.6%, anxiety in 11.1%; group 2: altered taste/dysgeusia in 100%, xerostomia in 66.6% and severe pain in 66.6%; group 3: dysphagia in 100%; pain in 100%; dysarthria in 50.0%	248
E3311 (2021)	495 patients with cT1–2 stage III/IV disease (AJCC 7th edn)	TORS only (group A); TORS with low-dose IMRT (group B) or TORS with standard-dose IMRT (group C) or TORS with standard-dose IMRT with concurrent cisplatin or carboplatin (group D)	2-year PFS 96.6%, 94.9%, 96.0% and 90.7% in arms A–D, respectively	17% of patients had grade 3–4 AEs following TORS; grade 3–4 AEs observed in 0%, 15%, 24% and 60% in groups A–D, respectively, common AEs included oral mucositis and dysphagia	200
AVOID (2020)	60 patients with pT1–pT2 N1–3 disease with favourable prognostic features underwent TORS at the primary site	Adjuvant RT omitting the tumour bed	2-year local control 98.3%; 2-year OS 100%	AEs in 30%: including radiation dermatitis (13.33%), oral mucositis (5.00%) and dysphagia (3.33%)	249

An overview of ongoing trials is provided in Supplementary Information. AEs, adverse events; AJCC, American Joint Committee on Cancer; CRT, chemoradiotherapy; ECS, extracapsular spread; HPV, human papillomavirus; IMRT, intensity-modulated radiotherapy; LVI, lymphovascular invasion; mPFS, median progression-free survival; OPSCC, oropharyngeal squamous cell carcinoma; OS, overall survival; PNI, perineural invasion; PFS, progression-free survival; RT, radiotherapy; TORS, transoral robotic surgery.

With regard to the necessity of administering chemotherapy alongside radiotherapy, results from one study show that radiotherapy alone might be sufficient for patients with locally advanced HPV⁺ disease. Indeed, relative to chemoradiotherapy, radiotherapy alone is less effective in patients with p16⁺/HPV DNA⁻ OPSCC, although no statistically significant difference in survival outcomes exists for those with p16⁺/HPV DNA⁺ disease²⁰⁸. However, in addition to HPV status, the extent of disease might be an additional important factor when considering the exclusion or de-escalation of chemotherapy. In a retrospective analysis of data from more than 600 patients, concurrent chemoradiotherapy reduced the risk of metastatic disease in patients with high-risk (AJCC 7th edition T4 and/or N3) HPV⁺ OPSCC but not in those with low-risk disease²⁰⁹. Conversely, the addition of concurrent cisplatin led to improved DFS in a phase II trial involving patients with low-risk HPV⁺ OPSCC, in comparison with those who received radiotherapy alone²¹⁰. Owing to these differing results, reliable conclusions regarding the safety and efficacy of excluding chemotherapy from the primary treatment of patients with advanced-stage HPV⁺ OPSCC cannot be drawn.

At present, the pursuit of treatment de-escalation should remain within the confines of a well-designed clinical trial, as recommended in an American Society for Radiation Oncology (ASTRO) consensus paper from 2017 (REF.²¹¹). Nonetheless, ongoing and future studies might provide the necessary evidence to update the current standard of care (TABLE 6, Supplementary Information). These include the EVADER trial (NCT03822897) for patients with early stage disease, which aims to determine survival outcomes with reduced-dose radiotherapy with or without concurrent chemotherapy. The safety and efficacy of hypofractionated radiotherapy with concurrent chemotherapy as well as that of stereotactic ablative radiotherapy boost and de-escalated chemoradiotherapy will be further investigated in HYHOPE (NCT04580446) and SHORT-OPC (NCT04178174), respectively.

Furthermore, the Quarterback trials (NCT01706939 and NCT02945631) aim to determine the safety and efficacy of reduced-dose radiotherapy in patients with advanced-stage disease (stages III–IV). Results from these trials and others will enable a better and more comprehensive understanding of the feasibility of de-escalated primary radiotherapy or chemoradiotherapy and will hopefully provide the necessary evidence to influence the current standard of care.

TORS or primary radio/chemoradiotherapy. A retrospective query of the National Cancer Database failed to reveal any statistically significant difference in OS in patients with HPV⁺ OPSCC who received either primary TORS or primary radiotherapy¹⁹². Survival outcomes might be similar between the two methods, although differences in their respective toxicity profiles and the risks of consequent morbidities are important considerations in the clinical decision-making process.

Importantly, before the ORATOR trial, no data from prospective studies investigating differences in outcome between patients who received TORS/TLMS alone and those who received primary chemoradiotherapy were available²¹². The ORATOR trial was unable to determine definitive differences in survival outcomes between patients receiving these two treatment modalities owing to its modest sample size, with similar QOL outcomes observed in addition to a spectrum of treatment-specific toxicities²¹³. However, the trial investigators only reported 1-year swallowing and oncological outcomes data. Importantly, the authors observed a risk of bleeding associated with TORS, although multi-institutional approaches to TORS with large patient numbers showed only low rates of severe bleeding^{214,215}. As such, patients should currently be presented with both treatment options, including discussions of the relative risks and benefits associated with each approach. A second study, ORATOR2 (NCT03210103), is currently underway and is expected to further confirm these findings and determine OS outcomes in a larger cohort of patients.

Targeted therapies. Several ongoing clinical trials are investigating the efficacy of targeted therapy in combination with the various other available treatment modalities in a range of treatment settings. The anti-EGFR monoclonal antibody cetuximab has been investigated as an alternative to cisplatin in an effort to reduce the risks of treatment-related toxicities and morbidities in two prospective studies. The adverse event profile was similar in both groups of patients, although those receiving cetuximab had inferior locoregional disease control with an increased incidence of distant metastases; furthermore, reductions in both PFS and OS were observed^{216,217}. *EGFR* is amplified in the majority of head and neck cancers, although an important difference in expression pattern is likely to exist in oropharyngeal cancers, specifically²¹⁸. Genomic studies have failed to demonstrate the selection of clones harbouring mutated or amplified *EGFR* in patients with HPV⁺ tumours, in contrast to those with HPV⁻ tumours. However, *EGFR* has been shown to be upregulated through gene fusion^{95,219}.

Data from one study demonstrate the safety of an induction chemotherapy regimen consisting of de-intensified chemotherapy in combination with the antiviral agent ribavirin and the *EGFR/HER2* inhibitor afatinib in patients with locally advanced HPV⁺ OPSCC²²⁰. Biologically, the investigators postulate that the antitumour effects of afatinib are mediated by inhibition of *HER2* signalling, which is oncogenically dysregulated through the action of the E6 protein. This approach seems promising, although further investigation is needed to better understand the biological mechanisms of this combination as well as its efficacy as an alternative, de-intensified induction therapy approach.

Emergence of immunotherapies. Promoting de novo or potentiating pre-existing antitumour immune responses to viral antigens (particularly those derived from E6 and E7) in patients with HPV⁺ malignancies is a tantalizing and long-sought prospect for immunotherapy. The many and varied approaches to immunotherapy for HPV⁺ cancer that have been developed over the past 20 years are covered in detail elsewhere²²¹; here, we highlight data from selected clinical trials involving patients with HPV⁺ OPSCC, although we emphasize that, thus far, only anti-PD-1/PD-L1 antibodies have been approved for clinical use^{221–223}.

The anti-PD-1 antibodies nivolumab and pembrolizumab were first approved by the FDA for patients with metastatic, platinum-refractory HNSCC (regardless of HPV status) in 2016, on the basis of data from the phase III trials CheckMate-141 and KEYNOTE-040, respectively. Pembrolizumab was also approved as a first-line monotherapy for patients with PD-L1⁺ (combined-positive score $\geq 1\%$) metastatic or unresectable HNSCC in 2019, based on data from the phase III KEYNOTE-048 trial^{224–226}. These trials all included patients with both HPV⁺ and HPV⁻ disease; therefore, several systematic reviews have since investigated possible associations between HPV status and outcomes, with three studies suggesting increased objective response rates (ORRs) and improved OS in patients with HPV⁺

disease^{227–229}, one of which²²⁷ suggests a stronger relationship in the context of PD-L1 blockade, whereas another²³⁰ found no association between HPV status and outcome. All four studies highlight the need for further research into this important question and point to a lack of data on the relationship between HPV status and PFS in patients receiving adjuvant anti-PD-1/PD-L1 antibodies. In this regard, the anti-PD-L1 antibody atezolizumab is currently being tested in a phase III trial as monotherapy in the adjuvant setting, following definitive treatment for locally advanced HPV⁺ (stage III) or HPV⁻ (stage IVA and IVB) HNSCC²³¹.

A phase Ib trial combining nivolumab and stereotactic body radiation therapy (SBRT) in the neoadjuvant setting resulted in a high pathological complete response (pCR) rate (67%) among a cohort of 21 patients with locally advanced HNSCC (NCT03247712), 16 of whom had HPV⁺ disease²³². The investigators noted the high rate of major pathological responses (MPRs) to radiotherapy alone among patients with HPV⁺ disease in this trial, indicating a need to determine the separate contribution of each modality to these responses. These investigators also noted the unsuitability of radiographic response as an indicator of pathological response in this context, given the short treatment window of 6 weeks. The combination of the anti-PD-L1 antibody durvalumab with SBRT is currently being tested in NCT03618134, a phase Ib/II trial specifically involving patients with HPV⁺ OPSCC. The role of nivolumab monotherapy has also been investigated in the neoadjuvant setting in CheckMate-358 (REF.²³²), with low radiographic response rates observed both in patients with HPV⁺ (12%) and in those with HPV⁻ (8.3%) disease²³³. No pCRs were seen in this study and among patients with HPV⁺ disease, only one of 17 patients evaluated had an MPR, with three more having partial pathological responses. In the phase Ib CIAO trial involving 28 patients with OPSCC, of whom 24 had p16⁺ tumours, 29% had an MPR and no increased benefit was observed from addition of the anti-CTLA4 antibody tremelimumab²³⁴. As noted elsewhere²³², however, differences in the criteria used to assess pathological response make comparisons of data from these different neoadjuvant trials difficult, and overall, the pathological response rates of patients with HNSCC who received neoadjuvant anti-PD-1/PD-L1 antibodies as monotherapies appear to be lower than those seen in several other cancer types²³³.

Therapeutic vaccines based on E6 and/or E7 have long been investigated as treatments for cervical cancer; unfortunately thus far without any notable clinical success. Several therapeutic vaccines targeting E6 and/or E7 have entered trials for patients with HPV⁺ OPSCC, with numerous studies now investigating combinations with an ICI or another immunomodulatory agent^{223,235}. Of the few trials with outcomes data available, an ORR of 36% and median OS duration of 17.5 months were observed in 22 patients with HPV⁺ OPSCC in a phase II trial combining nivolumab with an HPV-16 E6/E7 peptide vaccine (ISA 101), which compares favourably with data from trials testing nivolumab monotherapy²³⁶. MEDI0457 (a DNA vaccine encoding E6 and E7 antigens

from HPV-16 and HPV-18, administered together with DNA encoding IL-12 as an adjuvant) induced durable HPV-specific immune responses in 18 of 21 patients with locally advanced p16⁺ HNSCC, including one patient who developed metastatic disease followed by a complete, rapid and durable response to subsequent nivolumab, in a phase I/IIa trial²³⁷. Other ongoing trials include HARE-40, a phase I/II dose-escalation trial designed to determine the safety of an E7-targeting mRNA vaccine delivered in combination with an agonistic anti-CD40 antibody to enhance antigen presentation by dendritic cells (NCT03418480) and a first-in-human phase I/II trial investigating the novel E6/E7-targeting vaccine HB-201, with or without concurrent immune-checkpoint inhibition (NCT04180215 and NCT03669718) (TABLE 7, Supplementary Information). The results of these trials and others will be crucial in shaping the continued progress of immunotherapies for patients with HPV⁺ OPSCCs.

Future directions for novel therapies

Ultimately, with the currently available therapies, identifying safe methods of de-escalation of chemoradiation seems to be a more relevant research objective than identifying the most effective chemotherapy regimen. However, the vast majority of molecular data from patients with HPV⁺ OPSCCs have thus far been derived from primary tumours, >80% of which are typically eliminated with chemoradiation. Key challenges are to identify the 15–20% of primary tumours that are associated with a high risk of recurrence and to identify

effective treatments for patients with recurrent disease, for whom 2-year OS remains at 40%²³⁸. To this end, sequencing of tumour specimens from 51 patients with primary HPV⁺ OPSCCs, 16 of whom had disease recurrence, together with specimens from 12 metachronous recurrent HPV⁺ OPSCCs (including seven with matched primary tumours also sequenced) was undertaken. This study provided the intriguing observation that recurrent tumours share certain genomic aberrations, such as *TP53* mutations, that are almost exclusive to primary HPV⁺ HNSCCs²³⁹. The more recent discovery of a gene-expression profile associated with poor prognosis in patients with HPV⁺ OPSCC that bears similarities to that of HPV⁻ HNSCC provides support for this finding. Interestingly, the extent of HPV E6 and E7 expression does not seem to vary between good-prognosis and poor-prognosis HPV⁺ subgroups; instead expression of the viral E1–E4 transcript, which functions during the later stages of the productive HPV replication cycle but has not previously been implicated in cancer, is substantially increased in tumours belonging to the good-prognosis subgroup. The reasons for this increased expression remain unclear but might confer increased radiosensitivity in cells expressing E1–E4 (REF.²⁴⁰). Given the findings from these studies, determining whether cells derived from recurrent HPV⁺ OPSCCs have the same dependence upon ongoing viral oncogene expression as those derived from primary tumours will be important; if not, this might have implications for the efficacy of HPV-targeted therapies (such as therapeutic vaccines) in patients with advanced-stage disease.

Table 7 | Ongoing immunotherapy clinical trials for HPV⁺ OPSCC

Study	Cohort	Treatment	Outcome measures	Current status
IMvoke010 (NCT03452137)	406 patients, with a CR/PR or stable disease following definitive local therapy	Atezolizumab or placebo as adjuvant therapy after definitive local therapy for patients with high-risk disease	EFS (primary outcome), OS and AEs included as secondary outcomes	Active
NCT03799445	180 patients with T1 N2a–N2 cM0, T2 N1–2c M0, T3 N0–2 cM0 (AJCC 7th edn) or stage I/II disease excluding T1 N0–1 and T2 N0 (AJCC 8th edn)	IMRT (50–66 Gy) plus nivolumab and ipilimumab	Dose-limiting toxicities, CR rate, PFS (primary outcomes); grade 3 AEs, tolerability, clinical CR, acute and chronic AEs, acute toxicities, late toxicities, swallowing, pattern of failure, OS	Recruiting
NCT03410615	180 patients with locoregionally advanced, intermediate-risk non-metastatic disease (AJCC 8th edn)	70 Gy RT with cisplatin vs durvalumab plus adjuvant durvalumab vs durvalumab plus adjuvant durvalumab/tremelimumab (third arm closed to accrual)	3-year EFS (primary outcome); FACT–HN score, local regional failure, distant MFS, OS, cost-effectiveness, toxicities	Recruiting
NCT03669718	194 patients with PD-L1 ⁺ , p16 ⁺ recurrent and/or metastatic disease	ISA101b plus cemiplimab vs placebo plus cemiplimab	ORR, treatment-related AEs, DOR	Recruiting
NCT03952585	711 patients with early stage, p16 ⁺ non-smoking-associated disease	Image-guided RT or IMRT plus concurrent cisplatin vs reduced-dose image-guided RT or IMRT plus concurrent cisplatin vs reduced-dose image-guided RT or IMRT plus nivolumab	PFS, QOL (primary outcomes), locoregional failure, distant failure, OS, AEs	Recruiting
NCT03811015	744 patients with a ≥10 pack-year smoking history and stage T1–2 N2–3 or T3–4 N0–3 disease or <10 pack-years with stage T4 N0–3 or T1–2 N2–3	Cisplatin plus IMRT followed by nivolumab vs cisplatin plus IMRT followed by observation with potential crossover to nivolumab at 12 months	PFS, OS, negative FDG–PET at 12 weeks post-therapy	Recruiting

AJCC, American Joint Committee on Cancer; AEs, adverse events; CR, complete response; DOR, duration of response; EFS, event-free survival; FDG, fluorodeoxyglucose; FACT–HN, functional assessment of cancer therapy, head & neck; IMRT, intensity-modulated radiotherapy; MFS, metastasis-free survival; ORR, objective response rate; OS, overall survival; PFS, progression-free survival; PR, partial response; QOL, quality of life; RT, radiotherapy.

Finally, in the largest genomic analysis of distant metastases from patients with HPV⁺ OPSCC published to date, targeted cancer gene sequencing of samples from 26 metastatic lesions revealed a higher frequency of *PRKDC* mutations compared with primary tumours. *PRKDC* encodes the DNA-dependent protein kinase catalytic subunit (DNA-PKcs), which is essential for the repair of DNA double-strand breaks by non-homologous end joining; thus, the investigators speculate that these metastatic tumours might respond to therapies such as PARP inhibitors, which exploit DNA repair defects¹⁰⁹. Indeed, the PARP inhibitor olaparib is currently being assessed as a radiosensitizer with the aim of improved logoregional control in patients with stage II–III HNSCCs (NCT02229656). However, determining whether these tantalizing observations hold true in larger cohorts of patients with recurrent and/or metastatic HPV⁺ OPSCCs, and developing preclinical models that are representative of these tumours, will be important steps going forward.

Conclusions

The differentiation of HPV⁺ OPSCCs from their HPV⁻ counterparts outlined in the 8th edition of the AJCC staging system cements the distinct biology of these

tumours and the associated improved prognosis. The prevalence of these tumours in younger individuals emphasizes the need for continued efforts to treat patients, such that post-treatment QOL remains high. Owing to the particular vulnerability of these tumours to antitumour immunity, novel therapeutic regimens that improve on the associated morbidities and mortality associated with the current standard of care will probably eventually include immunotherapies. Data from completed and ongoing clinical trials emphasize the potential for treatment de-intensification as a means of improving QOL while maintaining robust survival outcomes. More trials are clearly needed, although it remains apparent that such strategies can lead to considerable reductions in morbidity and mortality, and as such, all eligible patients should be considered for such studies. Importantly, a need continues to exist for further research to identify and validate diagnostic, prognostic and predictive biomarkers to improve early detection, stratify patients for potential treatment de-intensification or otherwise better allocate to current standard-of-care therapies and, in future, targeted therapies and immunotherapies.

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