

1 **Effective management of district-level malaria control and elimination: Implementing quality**
2 **and participative process improvements**

3
4 Leadership and Engagement for improved Accountability and Delivery of Services Framework
5 Development Group
6

7 The LEAD Development Group are listed below alphabetically

8 Bruce Agins^{1,2}, Peter Case^{3,4}, Daniel Chandramohan⁵, Ingrid Chen^{2,6}, Rudo Chikodzore⁷, Precious
9 Chitapi⁸, Amanda Chung⁶, Roly Gosling^{2,5,6*}, Jonathan Gosling⁹, Matsiliso Gumbi¹⁰, Daniel Ikeda¹,
10 Munashe Madinga¹¹, Peliwe Mnguni¹², Joseph Murungu¹, Cara Smith Gueye⁶, Jim Tulloch¹³,
11 Greyling Viljoen¹⁴.

12
13 *Corresponding Author: Roly Gosling, Malaria Elimination Initiative, University of California San
14 Francisco, 550 16th Street, San Francisco CA 94158, USA

15
16 Email: Roly.Gosling@ucsf.edu

- 17
18 1. HEALTHQUAL, Institute of Global Health Sciences, University of California San Francisco,
19 550 16th Street, San Francisco CA 94158, USA
20 2. Department of Epidemiology and Biostatistics, University of California San Francisco,
21 550 16th Street, San Francisco CA 94158, USA
22 3. Bristol Business School, University of West of England, Frenchay Campus, Coldharbour
23 Lane, Bristol BS16 1QY, UK
24 4. College of Business, Law & Governance, James Cook University, Australia
25 5. Department of Disease Control, London School of Hygiene and Tropical Medicine,
26 Keppel Street, London WC1E 7HT, UK
27 6. Malaria Elimination Initiative, University of California San Francisco, 550 16th Street, San
28 Francisco CA 94158, USA
29 7. Ministry of Health and Child Care Matabeleland South Province, New Government
30 Complex, Third Ave Gwanda, Zimbabwe
31 8. Precious Innovations, 11 Dougal Rd, The Grange, Harare, Zimbabwe
32 9. University of Exeter Business School, Rennes Dr, Exeter, EX4 4PU, UK
33 10. Ditsong Museums of South Africa, 70 WF Nkomo St, Pretoria, South Africa
34 11. Clinton Health Access Initiative, Mount Pleasant, Harare, Zimbabwe
35 12. Gaudate School of Business Leadership, University of South Africa, Preller St,
36 Muckleneuk, Pretoria, South Africa
37 13. Independent Consultant, GPO Box 1566 Adelaide South Australia 5001
38 14. Bristol Business School, University of West of England, Frenchay Campus, Coldharbour
39 Lane, Bristol BS16 1QY, UK

40
41
42
43 **Word count abstract: 195**

44 **Word count main section: 2433**

45 **Abstract**

46 Although it is widely recognized that strong program management is essential to achieving
47 better health outcomes, this priority is not recognized in malaria programmatic practices.
48 Increased management precision offers the opportunity to improve the effectiveness of malaria
49 interventions, overcoming operational barriers to intervention coverage and accelerating the
50 path to elimination. Here we propose a combined approach involving quality improvement,
51 quality management, and participative process improvement, which we refer to as Combined
52 Quality and Process Improvement (CQPI), to improve upon malaria program management. We
53 draw on evidence from other areas of public health, as well as pilot implementation studies in
54 Eswatini, Namibia and Zimbabwe to support the proposal. Summaries of the methodological
55 approaches employed in the pilot studies, overview of activities and an outline of lessons
56 learned from the implementation of CQPI are provided. Our findings suggest that a malaria
57 management strategy that prioritizes quality and participative process improvements at the
58 district-level can strengthen teamwork and communication while enabling the empowerment
59 of subnational staff to solve service delivery challenges. Despite the promise of CQPI, however,
60 policy makers and donors are not aware of its potential. Investments are therefore needed to
61 allow CQPI to come to fruition.

62

63 **Background**

64 Operational issues such as delivery and management are major challenges across health
65 systems worldwide.¹ Although these challenges compromise the efficiency and effectiveness of
66 health systems, often preventing those in need from accessing quality care, program
67 management is perhaps one of the most neglected areas in public health. This is especially true
68 for malaria, with strong program management being an essential component for malaria
69 control and elimination and eradication².

70

71 Delivery is especially important for malaria, as the most important interventions are based on
72 the distribution of vector control interventions into affected communities, such as long-lasting
73 insecticide-treated bed nets or indoor residual spraying of insecticides to the walls of houses.³
74 The delivery of these interventions is rife with operational challenges, as is the provision of
75 effective diagnosis, testing, and treatment of malaria at the community level. As countries
76 approach malaria elimination, delivery of interventions require greater precision in time and
77 space to targeted and often difficult to reach populations that need specifically tailored malaria
78 control strategies.

79

80 How can the delivery of malaria interventions be improved, particularly as countries approach
81 national and subnational malaria elimination? A review in 2015 revealed that program
82 management improvement methods used outside of the health sector could provide numerous
83 gains to provision of health and particularly malaria.⁴ In this paper we highlight the value of
84 three approaches: first, the use of standard quality improvement (QI), second, quality
85 management (QM); and third, the use of Participative Process Improvement (PPI). QI and QM
86 comprise techniques where people within the health systems are asked to seek improvement
87 of a pre-defined problem, whilst PPI is a bottom-up approach where the problems chosen for
88 improvement are defined by the people delivering the interventions (Table 1).^{5,6}

89

90 As they are synergistic, we suggest that the three approaches be combined to form one
91 composite approach to malaria program management improvement. For convenience, we refer

92 to the integrated approach as Combined Quality and Process Improvement (CQPI). We propose
93 CQPI as a promising means of overcoming operational challenges to malaria control and
94 elimination, with recent evidence suggesting CQPI can make a significant impact when focused
95 at the district-level.^{7,8} Building upon the authors growing experience in implementing CQPI for
96 malaria control and elimination, the team have developed the Leadership and Engagement for
97 improved Accountability and Delivery of Services Framework (LEAD Framework) that provides
98 detailed instructions to support program level implementation of CQPI⁹.

99

100 **Main Text**

101

102 **Improving program management practices for malaria elimination**

103 CQPI offers a new area of focus for malaria control and elimination programming that can
104 substantially improve the quality and precision of intervention delivery. The approach
105 incorporates rigorous methods for monitoring and evaluating organizational performance
106 where challenges to implementation occur, and has been shown to improve user satisfaction
107 and staff motivation while reducing consequences associated with inappropriate clinical
108 decisions.¹⁰⁻¹² Most widely demonstrated to be useful for HIV, QI and QM are now being
109 recognized for their effectiveness and impact by Ministries of Health, and are also being applied
110 in maternal, newborn, and child health, and tuberculosis programs.¹³ Within malaria programs,
111 QI and QM are currently limited to quality assurance schemes for diagnostics, medicines, and
112 occasionally for case management, typically in donor funded settings, but with tremendous
113 potential to grow.^{7,13}

114

115 For malaria, the addition of PPI to QI and QM also offers specific added value in addressing
116 three major operational challenges to malaria elimination. First, in settings preparing for
117 malaria elimination, those increasingly more at risk of malaria often have the weakest access to
118 the health system, posing operational challenges to the effective and efficient delivery of
119 services.¹⁴ Second, malaria epidemiology becomes increasingly site specific, requiring tailored
120 solutions that are best solved locally with input from frontline staff and communities. Third,

121 funding for malaria tends to drop as it becomes less of a national priority, with staff often being
122 required to deliver services to multiple health programs. Staff motivation can suffer as they
123 typically do not have access to training or mentorship in time, resource or quality management;
124 three challenges currently resulting from the top-down delivery of interventions with minimal
125 input from affected communities.¹⁵ PPI, which is designed to harness insight from local
126 stakeholders, holds particular value for confronting these challenges.

127

128 CQPI therefore offers the potential to foster rigorous attention to vector data, urgent case
129 management and response, and inventory control necessary for preventing transmission while
130 cultivating qualities such as inventiveness, proactivity, accountability, mutual trust and
131 confidence, all of which enhance staff motivation.

132

133 While program management techniques are most often applied at the health facility level, we
134 recommend that CQPI for malaria control and elimination be applied through a subnational
135 approach involving the interaction of district and regional level teams with facility staff to
136 enable district-level malaria control and elimination management and programming. The
137 district is an appropriate conduit between the technical and strategic oversight of the national
138 level program and the communities at risk of malaria.¹⁴ Placing the district at the center of CQPI
139 enables all levels of the system to tackle highly contextual challenges while improving staff
140 motivation.

141

142 **CQPI Pilot Studies**

143 Although evidence on program management improvement approaches for malaria control and
144 elimination is scarce, CQPI was piloted at the district-level in Eswatini (2016-17), Zimbabwe
145 (2016-18) and Namibia (2019-20). The intervention design and methodologies evolved and
146 were refined over the time period of three pilot studies. However, certain core elements were
147 common to all three pilots. The learnings from the pilot program form the backbone of the
148 LEAD Framework⁹. Table 2 provides an overview of the methodologies employed in the pilots,

149 limitations of these studies and the practical lessons learned with respect to the
150 implementation of CQPI.

151
152 Table 3 provides a summary of the results for all three pilot studies. Results for Zimbabwe have
153 been published elsewhere ⁸ and for Namibia will be forthcoming. Evidence of outcomes is
154 encouraging, demonstrating the feasibility of improving productivity of district-level teams
155 relatively easily and cheaply. The key driver of improvement is the increased ability of
156 healthcare professionals to identify task and role-specific challenges in local contexts and work
157 together to overcome them in collaboration with community stakeholders. By enabling in-
158 depth analysis, problem solving and ownership by district offices and health facilities, CQPI built
159 up an awareness of specific challenges and created an accountable process for action.

160

161 **Proposed changes in practice to improve malaria program management**

162 The successful pilot of CQPI affords an opportunity to build a scalable, sustainable and effective
163 health systems improvement model for the complex challenge of district-led malaria control
164 and elimination. This framework should incorporate prioritized process and outcome indicators
165 to guide the challenge areas, although the choice of activities and indicators for specific
166 improvement should be made at the operational unit of delivery, proposed as the district-level,
167 with inclusion of essential national program indicators if requested by the national level. The
168 LEAD framework based on the CQPI pilots in Eswatini and Zimbabwe, and then tested in
169 Namibia, is available as a tool for reference and for others to use⁹.

170

171 When implementing CQPI, people from across the vertical and horizontal layers of the system,
172 including key community actors, were drawn together to focus attention on current challenges
173 for implementation in districts, facilities and communities. Techniques such as root cause
174 analysis, peer-led problem solving, and attentive listening were applied. Such methods enabled
175 responsibilities to be identified, priorities agreed, improvement metrics established and a cross-
176 functional taskforce – a smaller sub-grouping of the ‘system in the room’ (see Table 1 PPI
177 definition) - selected on the basis of a representative staff ‘fit’ with the process improvement

178 work that needed to be done. Regular structured reviews ensured that milestones were met,
179 and further techniques were introduced as needed. The process described above should be
180 repeated at least annually, to support continuous identification of new challenges and support
181 for relevant initiatives to tackle them. When building PPI into malaria program strategies, the
182 framework shown in Figure 1 can be applied.

183

184 Expert facilitation of this workshop process is necessary to ensure a continuous focus on
185 challenges and to prioritize and mitigate dynamics that could silence or marginalize
186 perspectives that may offer crucial insight. These dynamics include (but are not limited to)
187 effects of unequal status, gender, hierarchical position, resource-based power, and so forth.
188 This expert facilitation can be ‘home grown’, as was the case for the CQPI pilot studies in
189 Eswatini, Namibia and Zimbabwe, where malaria workers undertook an accredited 6-month
190 training, and thereafter gradually took on facilitation, first supporting and then replacing the
191 external facilitators. We recommend on-going peer-supervision for facilitators, under the
192 guidance of senior professionals, ideally located in the same country.

193

194 **Investment opportunity**

195 The CQPI approach offers an inexpensive path to significant improvements to the delivery and
196 effectiveness of malaria control tools. It also has the potential to strengthen the overall health
197 system. Efforts to improve operational performance of health systems must no longer be
198 neglected in place of “magic bullets” such as vaccines or gene drives. Technologies that improve
199 collation and presentation of information, such as spatial decision support systems suggesting
200 courses of action for workers are helpful,¹⁶ but without the skills to make decisions, identify
201 organizational inefficiencies, and develop adaptive responses, “data for decision-making”
202 efforts will fail.¹⁷ Technology and information alone will not solve the malaria challenges faced
203 by districts.

204

205 This gap between information and effective problem solving can only be bridged by engaged
206 staff who are motivated by being part of a committed and well-supported team with shared

207 objectives and clear lines of accountability. These are the necessary *organizational* conditions
208 for successful application of technical improvements. Reassuring financiers that new
209 technologies will actually be used and integrated into the health care process is critical. CQPI
210 has been effective in filling this gap between information and effective problem solving, but it
211 now needs further evidence to back it up before countries and financiers will invest at large
212 scale.

213

214 The CQPI approach addresses several issues currently faced in the malaria space, including the
215 need to create a platform for true community participation in the design and implementation
216 of malaria control and elimination strategies, as well as the need to transition from malaria-
217 centric to programmatic approaches targeting multiple disease areas. CQPI has the potential
218 to engage varied private sector and traditional practitioners in the design and implementation
219 of locally tailored strategies, addressing an unmet need.²

220

221 **A path forward for policy makers, financing institutions, implementers and researchers**

222 Malaria programs are in need of a paradigm shift; one that places effective management and
223 efficient organization at the centre of efforts to achieve high quality care.² As countries
224 progress towards malaria elimination, we anticipate the growing importance of insight from
225 districts and communities to improve the delivery of malaria services. Results from Eswatini,
226 Namibia and Zimbabwe suggest that improving program management will be scalable,
227 relatively inexpensive, and effective. Furthermore, CQPI supports the movement towards
228 Universal Health Coverage (UHC), enabling frontline workers to deliver high quality care in
229 central locations and at the fringes of the system. In short, it provides the means to harvest
230 some very ripe 'low hanging fruit'. What follows are suggested next steps that key stakeholders
231 should take.

232

233 Policy makers

234 QI and QM for health systems service delivery is already being supported by the WHO through
235 the Department of Service Delivery and Safety and the new National Quality Policy and Strategy

236 Handbook.¹⁶ This unit at the WHO should consider the inclusion of CQPI alongside the other
237 quality improvement interventions. Were the WHO to endorse applications of CQPI it would
238 increase accountability and buy-in from the frontlines, building capacity and strengthening the
239 quality of delivery necessary for UHC. We also recommend that the WHO Global Malaria
240 Programme integrate the recommendations in the Department of Service Delivery and Safety
241 strategies. Delivery is a long recognized challenge by the WHO, and malaria CQPI modules
242 should be developed and implemented.

243

244 Financing institutions

245 Financing is required to implement CQPI strategies ². Sources of funding could include domestic
246 financing, donor assistance, or a combination thereof. We recommend commencing with donor
247 assistance to build an evidence base on the effectiveness of these combined strategies and
248 approaches, and ascertain their expected low cost of implementation at scale ⁸.

249

250 We recommend a two-stage approach to financing this shift. The first would entail support
251 from major international donors. This would be a novel area of investment for major donors,
252 such as the Global Fund to Fight AIDS, TB and Malaria, USAID, and DFID. These donors would
253 need to decide if such investments would be for health systems generally, or disease-specific
254 interventions. If they are not yet ready to invest in CQPI, what further evidence is required to
255 consider their implementation, and who is willing to fund learning-by-doing projects to provide
256 evidence to governments and donors?²

257

258 Presumably international donors will recognize these methods and give them an opportunity
259 for wider implementation, allowing for their value to be recognized. If this stage is reached, we
260 recommend that donor funding be carefully withdrawn in place of domestic funding, a
261 transition we anticipate to be challenging but could take place gradually.²

262

263 Implementers and researchers

264 We suggest three strands of information gathering for CQPI to improve district malaria program
265 management. First, what are the costs and benefits of a Malaria CQPI program when
266 implemented at scale? Clearly, evidence of cost-efficiency and impact of such a program would
267 be helpful for policy makers and financiers of health. Second, what are the comparative and
268 synergistic effects of CQPI and new technical solutions for targeting and tailoring interventions,
269 such as Spatial Decision Support Systems,¹⁷ in settings where progress has plateaued? Third,
270 how does CQPI improve worker motivation and health service utilization? Undoubtedly,
271 evidence-based answers to this question would help financiers understand the additional
272 benefits of improving district-level health performance. We have tried to set out the
273 opportunity that CQPI affords, but the longer we wait, the longer we stall along the path to
274 malaria eradication.

275

276 **Conclusion**

277 Management challenges are widely cited as a barrier to malaria control and elimination and
278 eventual eradication, yet training and capacity building in this area is typically targeted at the
279 national level. CQPI offers a means of building management capacity at the district-level, where
280 it is most needed. Pilot studies have shown that CQPI is feasible and scalable at low cost, and
281 has resulted in important quantitative and qualitative improvements in malaria programs in
282 Eswatini, Namibia and Zimbabwe. CQPI solutions to improve the acquisition of timely and
283 detailed malaria surveillance data to enable swift and site-specific operational responses are
284 needed for all locations approaching malaria elimination. The malaria community must resolve
285 the paradox that results from simultaneously knowing that there is a problem in service
286 delivery yet not being willing to invest in solutions that target that problem.

287 **Declarations**

- 288 • Ethics approval and consent to participate

289 No ethics approval was sought for this research in practice paper.

- 290 • Consent for publication

291 Not Applicable

- 292 • Availability of data and materials

293 Data sharing is not applicable to this article as no datasets were generated or analysed for this
294 article. The results shared in Table 2 are in the public domain for Zimbabwe⁸. Data for Namibia
295 are submitted for publication and are available from the corresponding author. Data from
296 Eswatini are not publicly available due to restrictions in permissions and may be available from
297 the corresponding author on reasonable request.

- 298 • Competing interests

299 No authors report any competing or conflicts of interest

- 300 • Funding

301 BA, DI and JM were funded by USAID PEPFAR, PCase, IC, PChitapi, AC, RG, JG, MG, MM, PM,
302 CSG, GV were funded by the Bill and Melinda Gates Foundation, DC, RC are funded by their
303 institutions, JT was unfunded for this work.

304 The funding bodies played no role in the design of the study and collection, analysis, and
305 interpretation of data and in writing the manuscript

306

- 307 • Authors' contributions

308 BA, PCase, DC, IC, PChitapi, AC, RG, JG, JM, CSG, JT, GV conceptualized developed the ideas into
309 a practical program. PCase, RC, PChitapi, AC, RG, JG, MG, MM, PM, JM, GV implemented the
310 organizational development work, BA, DI and JM implemented the quality improvement
311 aspects of the work. BA, PCase, IC, DI, RG, JG wrote the first draft of the manuscript. All authors
312 contributed the final manuscript and approve the submission.

313

- 314 • Acknowledgements

315 The authors thank the malaria programs, the ministries of health, the affected communities and
316 other stakeholders that all played roles in developing the CQPI model.

317 **Table 1: Definitions and Descriptions of Combined Quality and Process Improvement, Quality**
 318 **Improvement, Quality Management, and Participative Process Improvement**

Term	Definition
Combined Quality and Process Improvement (CQPI)	An approach that involves simultaneous implementation of three synergistic approaches to process improvement: Quality Improvement, Quality Management, and Participative Process Improvement.
Quality Improvement (QI)	Quality Improvement in this article to refer to a generic set of principles: systems-thinking which includes formal root cause analysis (QI toolbox); understanding variation; continuous cycles of measurement and improvement; testing of changes (Plan-Do-Study-Act); peer learning, teamwork, and involving consumers. Of these, the first three are the most essential. ¹⁸
Quality Management (QM)	QM relates to a HEALTHQUAL framework that has evolved and been trialed over time ^{11,19,200} . Elements include: 1) leadership and governance; 2) a formal QM plan; 3) organizational infrastructure, including a technical working group or committee; 4) a performance measurement system with specific indicators; 5) procedures for implementing and sustaining continuous QI activities; 6) workforce capacity building of QI capabilities; 7) patient/community involvement; 8) knowledge management; and 9) outcomes assessment.
Participative Process Improvement (PPI)	Participative Process Improvement, as referred to in this article, also known as participative action research ^{4,15} is informed by aspects of generic QI and the HEALTHQUAL framework, but comprises a specific set of interventions designed to enhance healthcare service delivery and

organizational effectiveness. Where organizing is seen as a process that requires continuous and numerous activities, PPI enhances capacity for crucial aspects of human relations and activities – typically the softer aspects that rely on such qualities as listening, respect, reflection, and adapting to ‘political’ realities ^{21,22,23}. PPI interventions often include structured techniques such as those included in QI and QM, along with others explicitly designed to evince insight from frontline and community-based stakeholders ²³³; to engage line managers in responding to these insights; and to embed accountability for change at all levels of the system. Examples are a) peer-led problem solving, b) attentive listening, c) process mapping, and d) assessment of inter-group dynamics. PPI is most effective when a ‘system in the room’ approach is adopted. The term ‘system in the room’ is taken from the field of psychosocial studies and organizational dynamics.^{24,25} This entails replicating the programme/service delivery system as fully as possible in a shared workshop setting (e.g., a conference room). Representation includes not only the healthcare professionals at district level who are responsible for service provision, but also more senior staff and resource holders from provincial and ministry levels as well as community decision-makers and intended beneficiaries. Full representation enables sharing of perspectives and challenges from across the system and helps inform collaborative generation of challenges, synchronized solutions and collective support for those whose role it is to implement frontline solutions

	<p>that involve changes in processes and procedures.</p> <p>Ensuring that those with the seniority to authorize and resource changes is critically important to the process.</p>
<p>Leadership and Engagement for improved Accountability and Delivery of Services Framework (LEAD Framework)</p>	<p>A practical tool to support the implementation of CQPI for health program use. The framework and supporting documents can be found at http://www.shrinkingthemalariamap.org/tool/leadership-engagement-improved-accountability-delivery-services-framework-lead</p>

319

320

321

322 **Table 2: Pilot studies methodologies, activities, data collection methods and analysis,**
 323 **limitations, implementation lessons**
 324

<u>Countries, Provinces and Districts</u>	<u>Methodologies</u> (See Table 1 for definitions and methods)	<u>Activities</u>	<u>Data Collection & Analysis</u>
<p>Eswatini (1 malaria season 2016-17)</p> <p>Country-wide project</p>	<p>PPI exclusively</p>	<p>Pre-malaria season ‘system in the room’ workshops (c.40 participants) – challenge identification and formation of Task Team implementation subgroup, external expert inputs on malaria elimination; Coaching and facilitation support to individuals and teams;</p> <p>3 x in-season Task Team workshops (c.12 participants) to develop and implement work plans;</p> <p>Post-malaria season ‘system in the room’ workshop – review outcomes and planning for next season (c.40 participants).</p>	<p>Workshop and Task Team participation evaluation tools</p> <p>Metrics for monitoring and evaluation of specific challenges developed in liaison with NMCP and front line staff (closest to the issues). Data collected and analysed by Task Team – aided by project team experts. Monitored through implementation country work plan.</p> <p>Results reported to sponsor via project team.</p>
<p>Zimbabwe (3 malaria seasons 2016-19)</p> <p><u>Matabeleland South</u> Beitbridge Gwanda Matapos</p> <p><u>Matabeleland North</u> Binga Bubi Hwange Lupane Nkayi</p>	<p>PPI, QI, QM</p>	<p>NB the following activities were repeated 3 x 2016-19)</p> <p>Pre-malaria season ‘system in the room’ workshops (c.40-50 participants) – challenge identification and formation of Task Team implementation subgroups (12 in total), external expert inputs on malaria elimination;</p> <p>University certified training in CQPI (6 graduates);</p>	<p>Workshop and Task Team participation evaluation tools</p> <p>Metrics for monitoring and evaluation of specific challenges developed in liaison with NMCP and front line staff (closest to the issues). Data</p>

<p>Tsholotsho Umgaza</p> <p><u>Midlands</u> Chirumhanzu Kwekwe</p>		<p>Coaching and facilitation support to individuals and teams; 3 x in-season Task Team (TT) workshops (c.12 participants) for each of the 12 districts (i.e., 12 TTs x 3) to develop and implement work plans; Post-malaria season 'system in the room' workshop – review outcomes and planning for next season (c.40-50 participants).</p>	<p>collected and analysed by Task Team – aided by project team experts. Monitored through the 12 district-level implementation work plans.</p> <p>Results reported to sponsor via project team.</p>
<p>Namibia (1 malaria season 2019-20)</p> <p>Kavango East Kavango West</p>	<p>PPI, QI, QM, in the form of the LEAD Framework</p>	<p>Pre-malaria season 'system in the room' workshop (c.50 participants) – challenge identification and formation of 2 x Task Team implementation subgroups (8 per district team – 16 total), external expert inputs on malaria elimination; University certified training in CQPI (12 graduates); Coaching and facilitation support to individuals and teams; 6 x in-season Task Team workshops for the 2 districts to develop and implement work plans; Post-malaria season 'system in the room' workshop – review outcomes and planning for next season (c.50 participants).</p>	<p>Workshop and Task Team participation evaluation tools</p> <p>Metrics for monitoring and evaluation of specific challenges developed in liaison with NMCP and front line staff (closest to the issues). Data collected and analysed by Task Team – aided by project team experts. Monitored through the 2 district-level implementation work plans.</p> <p>Results reported to sponsor via project team.</p>
<p>Limitations</p> <ul style="list-style-type: none"> - The impact of external influences on the program and outcomes was not assessed (e.g., co-investment by other agencies such as the United States Agency for International Development/President's Malaria Initiative and/or the Global Fund to Fight AIDS, TB, and Malaria may have indirectly impacted some pilot studies results). - Neither experimental nor quasi-experimental design was employed. Control districts were not included as part of the pilots from which routine data could be collected as a comparison to intervention districts. Therefore we cannot say that the CQPI intervention 			

was causal with improvement, only that in the observational pilot programs that CQPI is likely to have been the driver of improvement.

- Project costs were relatively high in the design phase. With the training of local facilitators, costs decreased in later stages of implementation (e.g., graduates of a university certified training program in Zimbabwe were employed as consultants to assist with CQPI implementation in Namibia).
- Limited evidence gathered for sustainability post-project due to limited funding and sustainability planning.

Implementation: key lessons

- It is imperative to negotiate and secure authorization for CQPI intervention at ministry level (e.g., official endorsement by NMCP director). NMCP-level participation in key CQPI events, such as, inception workshops and provincial review workshops is highly desirable as this can facilitate top level buy-in and support. In one of the pilots, the NMCP director changed mid-stream and the new role holder withdrew support for CQPI. This severely compromised the process and prevented further outcomes being achieved.
- Active (authorized) participation of senior provincial staff in CQPI activities, e.g., Provincial Medical Directors (PMDs) attending and contributing to CQPI workshops and taking an active interest in the development and outcomes of district-level work plans. A supportive PMD often has the ability to mobilize the resources necessary to implement work plans.
- Similarly, enrolment of senior district-level staff is critically important to successful implementation of CQPI.
- The fuller the representation of the 'system in the room' (see Table 1 for definition) at key CQPI events, the better the chances of identifying and implementing 'joined-up' service delivery solutions. Over the course of the three pilots, we learned that the involvement and buy-in of community leaders and influencers (e.g., faith leaders, traditional healers, etc.) impacted outcomes positively.
- Devolvement of budgets to subnational level serves to improve implementation of solutions (enhances responsiveness of local actors to malaria challenges). Devolved budgets are planned in many countries as part of Universal Health Coverage plans.

327 **Table 3. Outcomes from CQPI pilots in malaria programs in Eswatini, Namibia and Zimbabwe** ⁸

Country	Year of implementation	Notable outcomes
Eswatini, nationwide	2016-2017	Improvements in the reporting of malaria cases by health facilities and increased collaboration between the malaria program, schools, and community organisations. It also led to improved communication between leaders within the NMCP.
Zimbabwe, 2 Districts	2016-2018	Increase in the availability of malaria registers from 83% to 93% (25/30 health facilities to 28/30 health facilities) , a reduction in artemisinin combination therapy stockouts from 22% to 6%, and an increase in the timeliness of case investigation within three days from 55% to 65% (65 cases investigated out of 119 reported to 821 cases investigated out of 1,265 cases reported). A second year resulted in a further improvement in the timeliness of case investigation to 92%, together with better interprovincial collaboration, and the initiation of meetings to harmonize surveillance.
Zimbabwe, 11 Disticts	2017-2018	In Matabeleland North, one year of implementation resulted in an increase in the administration of primaquine from 63% (90 cases treated/142 RDT positive cases) to 75% (76/101), an increase in slide examination rates from 81% to 89% (115 slides examined/142 RDT positive 142 cases to 90/101), an increase in fully investigated cases from 88% (125 cases fully

		<p>investigated out of 142 RDT positive cases) to 98% (99 cases fully investigated out of 101 RDT positive cases), the development of a system to reduce stockouts of drugs and diagnostics that resulted in an improvement from 50% to 70% stock, and the increased disbursement of LLINS from 37 to 98% (14,535 to 38,499 out of 39,285 LLINs) by moving distribution centers closer to villages. In Midlands, operational improvements included an increase in the correct treatment of confirmed malaria cases from 93% to 100% in one district and an increase from 89% to 100% in another district and an improvement in case investigation rates from 80% to 100%. Qualitative results for this season in Matabeleland North, included: increased collaboration with partners involved in malaria activities and improvements in staff motivation and accountability. In Midlands province, outcomes included: improvements to data quality, completeness, and timeliness; increased community engagement activities; and improved communication, ownership, and teamwork. More importantly, participants across all provinces reported an increased ability to analyze problems, act on solutions, and measure performance.</p>
<p>Namibia, 2 Districts</p>	<p>2019-2020</p>	<p>40% increase in reporting (60% complete, timely reports to 100% (4131/4131) in both districts), a 32% average increase in cross-border reporting and tracing of malaria cases (41% to 79% (55/70)</p>

		<p>in Nankudu and 20% to 45% (41/91) in Rundu), and a 10% average increase in improved management of malaria cases (89% to 100% (2778/2778) in Nankudu and 89% to 98% (1326/1353) in Rundu), integration of malaria activities into the operational plans of local platforms, an elevated profile for malaria among other infectious diseases, and increased access to subnational resources, including vehicles, fuel, and radio spots. The programme was institutionalised into existing structures within the health system, and participants have integrated the relevant skills and approaches in their respective roles, providing evidence of sustainability beyond the programme period.</p>
--	--	--

328

329

330

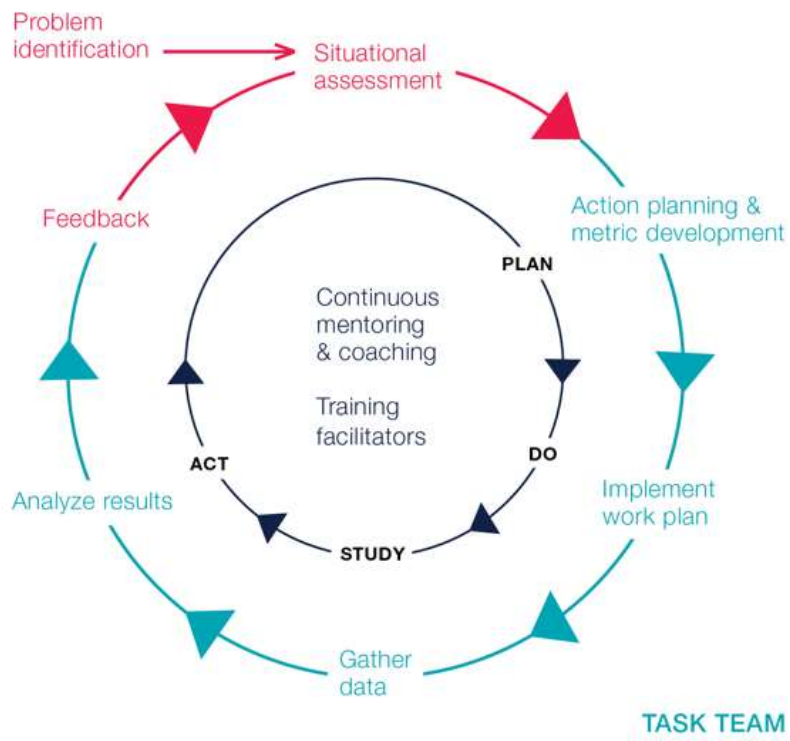
331

332 **Figure 1. Participative Process Improvement Model for District and Provincial Teams** ⁸

333 This figure depicts the annual PPI cycle, starting with an initial workshop consisting of the
334 'system in the room' at the top, where problems are identified and a situational assessment is
335 conducted. Participants include representatives from national and provincial malaria and health
336 leadership, district workers from cadres involved in delivering malaria activities and community
337 representatives including local politicians, traditional healers etc. that should receive them. A
338 prioritized list of problems are then transformed into a work plan with associated metrics by a
339 self-selected multidisciplinary Task Team of 8-10 people. The Task Team implements the work
340 plan, devising solutions to each challenge, gathers data, and analyzes results in a Plan-Do-
341 Study-Act cycle, while also receiving continuous mentoring and coaching. At the same time,
342 local facilitators are trained in how to lead the workshops and Task Team meetings. A follow-up
343 workshop closes the loop, during which progress on problem-solving is fed back to the group,
344 and the cycle begins again with the resolution of some problems and the addition of new
345 problems.

346

WORKSHOP



348 **References**

349

- 350 1. Figueroa CA, Harrison R, Chauhan A, Meyer L. Priorities and challenges for health
351 leadership and workforce management globally: a rapid review. *BMC Health Services Research*
352 2019; **19**(1).
- 353 2. Feachem RGA, Chen I, Akbari O, et al. Malaria eradication within a generation:
354 ambitious, achievable, and necessary. *Lancet* 2019; **394**(10203): 1056-112.
- 355 3. Bhatt S, Weiss DJ, Cameron E, et al. The effect of malaria control on *Plasmodium*
356 *falciparum* in Africa between 2000 and 2015. *Nature* 2015; **526**(7572): 207-+.
- 357 4. Gosling J, Case P, Tulloch J, et al. Effective Program Management: A Cornerstone of
358 Malaria Elimination. 2015; **93**(1): 135-8.
- 359 5. Batalden PB, Davidoff F. What is "quality improvement" and how can it transform
360 healthcare? *Quality and Safety in Health Care* 2007; **16**(1): 2-3.
- 361 6. Rowe AK, Rowe SY, Peters DH, Holloway KA, Chalker J, Ross-Degnan D. Effectiveness of
362 strategies to improve health-care provider practices in low-income and middle-income
363 countries: a systematic review. *The Lancet Global Health* 2018; **6**(11): e1163-e75.
- 364 7. Gosling R, Eliades MJ, Murungu J, Agins BD. Addressing the quality gap in clinical case
365 management of malaria. *In press, BMJ* 2020.
- 366 8. Chung AM, Gosling R, Case PC, et al. Scaling up malaria elimination management and
367 leadership: a pilot in three provinces in Zimbabwe. *In press, Malaria J* 2020.
- 368 9. UCSF. Leadership & Engagement for Improved Accountability & Delivery of Services
369 Framework (LEAD). 2021. [http://www.shrinkingthemalariamap.org/tool/leadership-
370 engagement-improved-accountability-delivery-services-framework-lead](http://www.shrinkingthemalariamap.org/tool/leadership-engagement-improved-accountability-delivery-services-framework-lead) (accessed September
371 28, 2021).
- 372 10. Powell M, Dawson J, Topakas A, Durose J, C F. Staff satisfaction and organisational
373 performance: evidence from a longitudinal secondary analysis of the NHS staff survey and
374 outcome data. *Health Services and Delivery Research* 2014; **2**: 50.
- 375 11. Bardfield J, Agins B, Akiyama M, et al. A quality improvement approach to capacity
376 building in low- and middle-income countries. *AIDS* 2015; **29 Suppl 2**: S179-86.
- 377 12. Ikeda DJ, Basenero A, Murungu J, Jasmin M, Inimah M, Agins BD. Implementing quality
378 improvement in tuberculosis programming: Lessons learned from the global HIV response.
379 *Journal of Clinical Tuberculosis and Other Mycobacterial Diseases* 2019; **17**: 100116.
- 380 13. Smith Gueye C, Newby G, Tulloch J, Slutsker L, Tanner M, Gosling RD. The central role of
381 national programme management for the achievement of malaria elimination: a cross case-
382 study analysis of nine malaria programmes. 2016; **15**(1).
- 383 14. Gosling R, Chimumbwa J, Uusiku P, et al. District-level approach for tailoring and
384 targeting interventions: a new path for malaria control and elimination. *Submitted*.
- 385 15. Baltzell K, Harvard K, Hanley M, Gosling R, Chen I. What is community engagement and
386 how can it drive malaria elimination? Case studies and stakeholder interviews. *Malaria Journal*
387 2019; **18**(1).
- 388 16. WHO. National quality policy and strategy.
389 <https://www.who.int/servicedeliverysafety/areas/qhc/ngps/en/>.
- 390 17. DisARM. 2019. <https://www.disarm.io/> (accessed November 8, 2019).

- 391 18. Foundation TH. Quality Improvement made simple: what everyone should knowabout
392 quality improvement. London: The Health Foundation 2013.
- 393 19. HIVQUAL Group Learning Guide.
394 https://healthqual.ucsf.edu/sites/g/files/tkssra931/f/HIVQUAL-Group-Learning-Guide_0.pdf.
- 395 20. UCSF. Coaching for Quality Improvement.
396 [https://healthqual.ucsf.edu/sites/g/files/tkssra931/f/coachingtoolkit-](https://healthqual.ucsf.edu/sites/g/files/tkssra931/f/coachingtoolkit-complete_printable_updated%20%282%29.pdf)
397 [complete_printable_updated%20%282%29.pdf](https://healthqual.ucsf.edu/sites/g/files/tkssra931/f/coachingtoolkit-complete_printable_updated%20%282%29.pdf).
- 398 21. M. E-S, Araujo L, Burgoyne J. Organizational Learning and the Learning Organization:
399 Developments in Theory and Practice. London: Sage; 1999.
- 400 22. Senge P. The fifth discipline: the art and practice of the learning organization. London:
401 Random House; 2006.
- 402 23. Koch T, Kralik D. Participatory action research in healthcare. Oxford: Blackwell; 2006.
- 403 24. Meadows DH. Places to Intervene in a System. *Whole Earth Catalogue* 1997.
- 404 25. Rice AK. Learning for Leadership: Interpersonal and Intergroup Relation. London:
405 Routledge; 1965.
- 406