

# 1 **Music-listening regulates human microRNA expression**

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10 sequencing

## 11 **Abstract**

12 Music-listening and performance have been shown to affect human gene expression. In order to  
13 further elucidate the biological basis of the effects of music on the human body, we studied the  
14 effects of music-listening on gene regulation by sequencing microRNAs of the listeners (Music  
15 Group) and their controls (Control Group) without music exposure. We identified upregulation of  
16 six microRNAs (hsa-miR-132-3p, hsa-miR-361-5p, hsa-miR-421, hsa-miR-23a-3p, hsa-miR-23b-  
17 3p, hsa-miR-25-3p) and downregulation of two microRNAs (hsa-miR-378a-3p, hsa-miR-16-2-3p)  
18 in Music Group with high musical aptitude. Some upregulated microRNAs were reported to be  
19 responsive to neuronal activity (miR-132, miR-23a, miR-23b) and modulators of neuronal  
20 plasticity, CNS myelination and cognitive functions like long-term potentiation and memory. miR-  
21 132 plays a critical role in regulating TAU protein levels and is important for preventing tau protein  
22 aggregation that causes Alzheimer's disease. miR-132 and *DICER*, upregulated after music-  
23 listening, protect dopaminergic neurons and are important for retaining striatal dopamine levels.  
24 Some of the transcriptional regulators (*FOS*, *CREB1*, *JUN*, *EGRI* and *BDNF*) of the upregulated  
25 microRNAs were immediate early genes and top candidates associated with musical traits. *BDNF*  
26 and *SNCA*, co-expressed and upregulated in music-listening and music-performance, are both are  
27 activated by *GATA2*, which is associated with musical aptitude. Several miRNAs were associated  
28 with song-learning, singing and seasonal plasticity networks in songbirds. We did not detect any  
29 significant changes in microRNA expressions associated with music education or low musical

30 aptitude. Our data thereby show the importance of inherent musical aptitude for music appreciation  
31 and for eliciting the human microRNA response to music-listening.

## 32 **Introduction**

33 Music-listening involves sensory processing of acoustic stimuli by the auditory system followed by  
34 cognitive and emotional processing in a neural network that is widely distributed in the cerebral  
35 cortex, basal forebrain, and rostral brainstem [1-4]. Studies of regional cerebral blood flow [5,6,7]  
36 and dopamine receptor ligand binding [7] in vivo have demonstrated activation of the reward  
37 system and limbic system during music listening. Music enhances motor performance during  
38 exercise in healthy adults [8], and rehabilitation of motor and cognitive deficits in neurological  
39 patients [9]. However, the biological background of these effects has largely been unknown.

40 From a genetic perspective, music is an epigenetic modulator that may affect human genes and their  
41 regulation. The regulatory roles of microRNAs are well-studied in the development and synaptic  
42 plasticity of the human nervous system [10,11]. MicroRNAs are also involved in inner ear  
43 development and the sensory functions of the ear [12]. Studies on zebra finches have indicated that  
44 song-listening regulates both novel and known microRNAs with implications on neurogenesis and  
45 neuronal differentiation [13]. The song-listening response in zebra finches showed a positive  
46 correlation in transcriptomic changes of the auditory forebrain and the peripheral blood [14]. We  
47 have previously shown that genes activated by music-listening and music-performance are involved  
48 in dopaminergic neurotransmission, long-term potentiation, synaptic plasticity and memory [15,16].  
49 Here, we analyzed the effects of music-listening on the microRNA transcriptome using high-  
50 throughput sequencing and bioinformatics methods. We provide an integrated perspective of how  
51 music-listening affects miRNA levels by comparing the same cohort of human subjects as in the  
52 transcriptome study [15], and published transcriptomic changes in songbirds including regulatory  
53 network and pathway analyses.

## 54 **Results**

### 55 *MicroRNA response to music-listening*

56 At a very stringent FDR threshold of 5%, we observed statistically significant upregulation of hsa-  
57 miR-132-3p, hsa-miR-361-5p, hsa-miR-421 and downregulation of hsa-miR-378a-3p in the *high*  
58 *COMB* (combined score of three tests of musical aptitude i.e. Seashore's test for pitch and time  
59 perception and auditory structuring ability, see Methods) Music Group ~~after music-listening~~,

60 compared to the Control Group ~~without music~~. At a permissive significance threshold (FDR<10%),  
 61 we also observed upregulation of hsa-miR-23a-3p, hsa-miR-23b-3p, hsa-miR-25-3p and  
 62 downregulation of hsa-miR-16-2-3p in the Music Group. DE statistics for microRNAs that  
 63 exhibited significant differential expression in the high-COMB Music Group compared to the  
 64 Control Group are given in Table 1. Genomic information for the DE microRNAs is provided in  
 65 Table S1. No statistically significant changes in microRNA expressions were found in low-COMB,  
 66 high-Edu or low-Edu Music Groups (see Methods) when compared to the Control Group.

#### 67 *Putative functions of DE microRNAs*

68 Based on the results yielded by the using TAM 2.0 tool for analyzing differentially expressed  
 69 microRNA (p-value<0.05), the upregulated microRNAs were found to be regulators of neuronal  
 70 apoptosis (hsa-mir-23a, hsa-mir-23b), hormone-mediated signaling pathway (hsa-mir-23a, hsa-mir-  
 71 23b, hsa-mir-132), neurotoxicity (hsa-mir-25, hsa-mir-132), cell death (hsa-mir-23a, hsa-mir-23b,  
 72 hsa-mir-25), wound healing (hsa-mir-23a, hsa-mir-132) and glucose metabolism (hsa-mir-23a, hsa-  
 73 mir-23b) (Table 2a). TAM 2.0 analysis also revealed *EGR1*, *GNRH1*, *USF1* and *CREB1* as the top  
 74 transcriptional regulators (p-value<0.05) of the upregulated microRNAs. For the downregulated  
 75 microRNAs, angiogenesis (p-value=0.00372; hsa-mir-16-2, hsa-mir-378a), cell proliferation (p-  
 76 value=0.00565; hsa-mir-16-2, hsa-mir-378a) and adiponectin signaling (p-value=0.00755; hsa-mir-  
 77 378a) were the top hits (Table 2b). The comparative analysis wizard from TAM 2.0, which analyses  
 78 the upregulated and downregulated microRNAs together, uncovered neuroblastoma as the topmost  
 79 result. The validated TF-microRNA interactions from the TransmiR 2.0 database are provided in the  
 80 Figure 1.

#### 81 *Target genes of DE microRNAs and their functions*

82 Our goal with target gene finding was to understand the regulatory significance of the DE  
 83 microRNAs in music-listening. We collected 147 validated human microRNA:target gene  
 84 interactions for the DE microRNAs from the high-COMB Music Group from the miRTarBase  
 85 Release 7.0 [17]. Furthermore, the predicted target genes (N=2496) from TargetScan Release 7.2  
 86 [1815] for these DE microRNAs were combined with the validated targets from the high-COMB  
 87 Music Group. Notably, hsa-miR-132-3p and hsa-miR-25-3p showed validated targeting of  
 88 *CDKN1A* and *CDKN1B* respectively (Figure 2). These cell cycle inhibitors belong to the same  
 89 family implying the activation of functions like cell proliferation and differentiation. Similar  
 90 findings were made regarding songbird stimuli in songbirds [13]. Furthermore, *PTEN*, which is a  
 91 promoter of apoptotic mechanisms, is targeted by three of the upregulated microRNAs from this

92 study (hsa-miR-23a-3p, hsa-miR-23b-3p and hsa-miR-25-3p [1744], suggesting neuroprotective  
93 mechanisms may be associated with music-listening (Figure 2). Interestingly, this is consistent with  
94 the results of our microRNA specific enrichment analysis which indicated neuronal apoptosis as one  
95 of the functions regulated by the upregulated microRNAs.

#### 96 *Comparative analyses with songbirds*

97 To understand the evolutionary conservation of the molecular regulatory mechanisms underlying  
98 auditory perception and vocal communication, we compared the DE microRNAs and their target  
99 genes to those identified in song birds during song-listening and singing. Amongst the DE  
100 microRNAs, hsa-miR-25-3p, which was upregulated in the high-COMB Music Group, also showed  
101 song-responsive upregulation (tgu-miR-25) in song birds [13]. Another DE microRNA from our  
102 study, miR-132, was found to be differentially expressed across seasons in the avian song control  
103 nuclei where its target gene network regulates cell cycle inhibitors and PTEN signaling [1946].  
104 Remarkably, miR-132 also promoted neurite outgrowth and radial migration of the neurons by  
105 repression of *FOXP2* [20]. *FOXP2* is important for human language development and vocal  
106 learning [21]. The downregulated hsa-miR-378a-3p has predictable interactions with *TLK2*, one of  
107 the predicted target genes of the song-inhibited miR-2954 in song birds [13], with roles in  
108 proliferation and neuronal differentiation. hsa-miR-378a-3p and hsa-miR-16-2-3p also show  
109 expected interactions with song-stimulated genes that are found to be upregulated during song-  
110 responsive downregulation of miR-2954 in songbirds [22]. The target genes of the DE microRNAs  
111 that were found to be overlapping with the genes behaviorally regulated in songbirds [23] are  
112 provided in the Table S3. Consequently, the results from the comparative analysis suggest some  
113 shared molecular mechanisms relevant to the auditory perception and vocal communication  
114 processes in songbirds and humans.

#### 115 *Integrated results and putative regulatory network in music-listening*

116 We observed a total of 10 upregulated genes in music-listening from the high-COMB Music Group  
117 [15] to be the target genes of two of the downregulated microRNAs from the current study: hsa-  
118 miR-378a-3p shows an anticipated interaction with *CREBRF* and hsa-miR-16-2-3p with *UBE2B*,  
119 *SLC4A7*, *MOB1A*, *OSBPL8*, *RGS2*, *KCTD6*, *MBNL1*, *DSTN* and *TMED7*. Amongst the upregulated  
120 microRNAs in our study, hsa-miR-132-3p was predicted to target *PSMD13*, which was found  
121 downregulated after music-listening in the high-COMB Music Group [15]. Furthermore, *DICER1*  
122 was upregulated in the high-COMB Music Group [15], and is crucial for the biogenesis of  
123 microRNAs and functions of multiple systems [24].

124 Figure 3 proposes a gene regulatory network activated by music listening based on the integrated  
 125 analysis, expanded with transcriptional regulatory data for microRNAs (TF-microRNA), TF-gene  
 126 regulatory data for the DE genes, microRNA-TF interactions and findings related to auditory  
 127 perception and vocal communication. Notably, from the merged network, we observed that hsa-  
 128 miR-132-3p and hsa-miR-25-3p, which were upregulated in the high-COMB Music Group, have  
 129 interactions, respectively, with *MAPT* (Microtubule associated protein tau) and *TNFSF10* (a  
 130 cytokine), two of the upstream regulators of the downregulated genes from the same group [15].  
 131 *MAPT* is predicted to activate the downregulated *ATP5J*, *HSPE1*, and *STIP1* as *MAPT* expression  
 132 has been attributed to reduced connectivity in the brains of patients with Parkinson's Disease [25].  
 133 *TNFSF10* is predicted to activate the downregulated *HLA-A*, *IFI6*, and *TNFRSF10B*. miR-132 plays  
 134 a critical role in regulating TAU protein levels [26] and is important for preventing tau protein  
 135 aggregation that causes Alzheimer's disease. Furthermore, one of the downregulated microRNAs  
 136 from the high-COMB Music Group hsa-miR-16-2-3p is anticipated to target *HOXA9*, one of the up-  
 137 stream regulators of the genes upregulated in the high-COMB Music Group [15]. The functional  
 138 interactions between the upregulated genes [15] are shown in Figure 3. The genes and pathways  
 139 previously reported to be associated with song-perception and human musical aptitude are provided  
 140 in Fig.S1.

## 141 Discussion

142 We have previously shown that listening to music and music performance affects human gene  
 143 expression [15,16]. The present study demonstrated that music listening alters human microRNA  
 144 profiles. Of the identified miRNAs, miR-132 is an activity-dependent microRNA which responds  
 145 immediately to neuronal stimulation [27], is seasonally regulated in avian song control nuclei, and  
 146 is important for sensorimotor neuronal plasticity [19]. In parallel, of our convergent analysis of  
 147 genes identified correlating with musical traits and the effects of music, several of the top candidate  
 148 genes [*EGR1*, *FOS*, *ARC*, *BDNF*, *DUSP1*] are known to be activity-dependent immediate early  
 149 genes [IEGs] [28]. At the molecular level, neural stimulation is conducted via calcium channel  
 150 activity and neurotransmitters, which activate immediate early genes (IEG) thereby regulating gene  
 151 and microRNA expression patterns [29]. miR-132 is also activated by *CREB* [27], *BDNF* - a  
 152 neurotrophin which is a target of *CREB* [29]- and external stimulants like cocaine. miR-132 and  
 153 *CREB* are important for the maturation and plasticity of dendrites [30]. *CREB* is also critical for  
 154 consolidation of long-term memory and is stimulated by song-learning of songbirds [31,28].  
 155 Interestingly, *ARC*, which is co-expressed with miR-132 after induction of long-term potentiation, is  
 156 also activated by *BDNF* [32]. *BDNF* augments neurogenesis and cognition [33] and is found to be

157 activated after music exposure [34] and songbird singing [35]. *FOS* is activated after music-  
158 performance in musicians [16] and has roles in neurotransmission and experience-dependent  
159 neuroplasticity [36]. In addition, miR-132 protects dopaminergic neurons by its regulation of  
160 caspase3 (*CASP3*) [37], and its expression has been linked to dopaminergic neuronal loss of  
161 Parkinson's disease patients [38]. Individuals with Alzheimer's and mild cognitive impairment had  
162 lower expression levels of miR-132 in the hippocampal and cortical areas [26] whereas music-  
163 listening seems to upregulate neuroprotective microRNAs and molecules linked to  
164 neurodegenerative diseases.

165 It is noteworthy that miR-23a, another candidate microRNA, is also induced by long-term  
166 potentiation with implications in memory consolidation [39]. More importantly, brain expressions  
167 of BDNF that are connected to behavioral activation of dopaminergic neurons showed a positive  
168 correlation with that of *SNCA* [40,41], the candidate gene upregulated in the high-COMB Music  
169 Group and high Edu Music Groups [15] and in musicians after music-performance [16].  
170 Furthermore, *SNCA* also activates *BDNF* [42] and *BDNF* and *SNCA* are regulated by *GATA2*, which  
171 is located in the strongest associated region for musical aptitude [43,44]. miR-23a and miR-23b  
172 have been experimentally confirmed to show neuroprotective effects via repression of *APAF1*,  
173 which is an activator of caspases and neuronal apoptotic processes [45]. This finding is in line with  
174 the results of the transcriptome study where downregulated genes were responsible for mammalian  
175 neuronal apoptosis and deficits in dopaminergic neurotransmission [15].

176 The upregulated miR-25 and its cluster members inhibit the pro-apoptotic *TP53* and its mediators  
177 and reduce the neuronal apoptotic process [45]. miR-25 also promotes neurogenesis and  
178 differentiation of adult neurons by regulating the TGFB-signaling pathway, which was previously  
179 identified to repress neurogenesis and neuronal cell proliferation, and by activating insulin-like  
180 growth factor-1 (IGF) signaling via its targeting of *PTEN* [46]. This is consistent with the findings  
181 of the gene expression study of music-listening that indicated the downregulated genes from the  
182 high-COMB Music Group as activators of peptidase, endopeptidase and caspase activities [15]. For  
183 instance, upregulation of miR-25 is consistent with findings from songbirds where it was found  
184 activated in response to song-learning and listening [13].

185 miR-23b and miR-23a are involved in feedback regulatory circuits with their transcriptional  
186 regulator *EGR1* which is an IEG that is induced in songbird learning and singing [35]. Furthermore,  
187 DE microRNAs from this study (hsa-miR-23a-3p, hsa-miR-23b-3p, hsa-miR-132-3p, hsa-miR-25-  
188 3p) show validated and predicted targeting of *FOXP2*. Interestingly, *FOXP2* was one of the top 10

189 candidates associated with musical abilities including the recognition and production of sound [28],  
190 and was found to be positively selected for during human evolution [47]. In songbirds, *FOXP2* is  
191 enriched in corticostriatal circuits and shows downregulation during the sensorimotor learning  
192 period, during vocal practice, and after undirected singing [21,48]. This behavioral regulation of  
193 *FOXP2* plausibly finetunes neural structures for learning [21] and vocal complexity [48]. This  
194 suggests a regulatory role of the candidate microRNAs in the plasticity circuits associated with  
195 music-listening.

196 Interestingly, *PTEN* is targeted by other upregulated microRNAs: miR-132, miR-23b and miR-23a.  
197 Of these, miR-23a activates AKT (Protein Kinase B) signaling, PI3K (phosphatidylinositol 3-  
198 kinase) signaling, MAPK activity and promotes the expression of myelin genes through its  
199 regulation of *PTEN* [49]. The MAPK signaling pathway has a crucial role in the regulation of  
200 neuronal transcription, synaptic plasticity, memory consolidation [50], and was previously reported  
201 to be activated by microRNA regulation in response to song-listening in songbirds [13]. The  
202 upregulation of microRNAs that are regulators of neuronal apoptosis and neurotoxicity may raise a  
203 question about clinical findings of the neuroprotective role of music [9].

204 Putative activation of the pro-survival PI3K/AKT signaling cascade indirectly by music-induced  
205 miR-23a is one candidate mechanism which explains dopaminergic neurotransmission in our study.  
206 PI3K/AKT signaling is activated in response to growth factors and neurotrophins and when coupled  
207 with dopaminergic signaling, it protects adult dopaminergic neurons from apoptosis [51]. Moreover,  
208 genetic variations in the *AKT1* gene affect neural structures of the frontostriatal dopaminergic brain  
209 network as well as bioavailable dopamine levels and cognitive functions [52].

210 We found *DICER* to be upregulated after music-performance [16]. *DICER* is important for the  
211 biogenesis of microRNAs. It acts on various systems including those in the inner ear and brain that  
212 are important for the reception and perception of auditory signals [53]. A sensory neuronal *Dicer*  
213 knockout reduced the expressions of the music-induced miR-23a and miR-23b [54] and *DICER*  
214 ablation in the inner ear hair cells led to hair cell degeneration and hearing loss [55]. *DICER*  
215 protects adult dopaminergic neurons [56] and is critical for the maintenance of proper levels of  
216 striatal dopamine [24]. These findings might explain the prior observations of music-listening-  
217 responsive dopamine release and of the activation of reward pathways [57].

218 In this study, music-listening affected microRNA regulation only in subjects with relatively high  
219 music test scores (high COMB). This is in accordance with findings from a previous transcriptome

220 study which identified more changes in the high-COMB Music Group than in the high Edu Music  
221 Group [15].

## 222 **Conclusions**

223 We provide evidence that listening to music has an effect on human gene regulation. The identified  
224 microRNAs were shown to affect dopamine metabolism and to prevent neurodegeneration. Some of  
225 the human DE microRNAs shared signaling pathways with songbirds suggesting an evolutionary  
226 conservation of the molecular regulatory mechanisms underlying auditory perception. MicroRNA  
227 expression patterns in the human brain and blood have been published previously [58,59]. Future  
228 studies are needed to experiment with the duration of listening, genre of music, and personal  
229 preferences of the participants, as well as ambiance in different combinations to get further insight  
230 into the effects of each of these factors on microRNA expression levels.

## 231 **Methods**

### 232 *Study participants*

233 MicroRNA samples were obtained from the same cohort, and during the same music exposure  
234 (concert) as described in transcriptome study [15]. Briefly, the participants were invited to listen to  
235 Wolfgang Amadeus Mozart's Violin Concerto No. 3 in G major, K.216 which lasts about 20  
236 minutes, typical duration for a concerto in the Western Classical Period. Before listening and  
237 immediately after listening, blood samples were drawn from each participant. The participants were  
238 unaware of the type of music intended for the listening session. Given electroencephalographic  
239 evidence that humans differentiate and categorize musical instrument sounds and voices within 100  
240 ms [60], we expected that the duration of listening session would be sufficient to affect microRNA  
241 regulation.

242 Blood samples from 43 volunteers who met our inclusion criteria were analyzed. Thirty-seven were  
243 in the Music Group (i.e., the test group), and seven in the Control Group. In the control condition  
244 participants did not listen to the Mozart concerto the day their bloods were drawn and did not listen  
245 to music or exercise vigorously the day before blood sampling. During the 20 minutes between the  
246 two phlebotomies, participants in the Control Group were permitted to read, take a leisurely walk  
247 outside, and/or converse. Peripheral blood samples were collected from the participants just before  
248 and after 20 min in the control session.



249 The analyzed phenotypes and their classification have been described in Kanduri et al [15] (see also  
250 Supplementary Methods). In short, we sub-phenotyped the participants based on their level of  
251 music education and musical aptitude using COMB score distributions (range:0-148). The data  
252 regarding the level of music education of the participants was collected using a questionnaire.  
253 Based on the answers, participants were allocated to four different Edu classes (class 1-4) [15].  
254 Participants in Edu classes 3 and 4 are referred to as the high-Edu Music Group and those in Edu  
255 classes 1 or 2 have been categorized as low-Edu Music Group.

256 The study was approved by the ethical committee of Helsinki University Central Hospital  
257 (permission #13/03/2013) and was conducted in accordance with the Declaration of Helsinki.  
258 Written informed consent was obtained from all the subjects.

#### 259 *MicroRNA extraction, sequencing and pre-processing of microRNA sequencing reads*

260 Details of the microRNA extraction are provided in the Supplementary Methods. Sequencing  
261 libraries were prepared at the High-Throughput Genomics department of The Wellcome Trust Center  
262 for Human Genetics followed by sequencing with Illumina HiSeq. We assessed the quality of the  
263 microRNA sequencing reads with FastQC version 11.3  
264 (<http://www.bioinformatics.babraham.ac.uk/projects/fastqc/>). Next, we trimmed sequencing  
265 adapters from the 3' end of 50 bp reads requiring an adapter overlap of 5 bp, error rate of 0.1 and  
266 then we filtered shorter (<15 bp) and low-quality reads (Phred score <20) with Trim Galore! version  
267 0.3.7 ([http://www.bioinformatics.babraham.ac.uk/projects/trim\\_galore/](http://www.bioinformatics.babraham.ac.uk/projects/trim_galore/)). Trimmed reads were  
268 quality checked again using FastQC and aligned with the human genome reference (GRCh38,  
269 Ensembl release 76) with bowtie version 1.1.2 [61]. Only unique alignments were selected from the  
270 best alignments (-best -strata), requiring a complete match for a seed length of 18. Afterwards we  
271 quantified microRNA expression using HTSeq version 0.6.1p1 [6259] according to miRBase  
272 release 21 annotations for human microRNAs [63].

#### 273 *Read and microRNA statistics*

274 All the reads of the Music Group and Control group samples passed the FastQC quality check for  
275 A) basic statistics, B) per sequence quality scores and C) per base N content. The median number of  
276 raw reads per sample was 13,521,377.5 for the music study (range:8,577,637-19,549,067) and  
277 9,355,225 for the control study (range: 8,423,477-11,094,252). On average, 97.75 % and 96.35 % of  
278 reads from the music-listening study and control study respectively were trimmed for sequencing  
279 adapters. Mean alignment percentage of the trimmed reads to the human genome reference

280 (Ensembl 76/GRCh38) were 83.6 % (range: 65.69 - 90.81) and 85.35 % (range: 79.85 - 87.88)  
281 respectively for the music-listening and control studies. All alignments from both the studies passed  
282 quality control.

### 283 *Differential expression analyses of microRNA*

284 To understand the effects of music-listening on microRNA expression, we used DESeq2 (version  
285 1.20.0) [6360] and analyzed the differential expression of microRNAs over time (Post versus (vs.)  
286 Pre) in the music-listening group compared to the control group. DESeq2 has high sensitivity for  
287 experiments with a wide range of sample numbers (small to large) and for those with a small fold  
288 change [64,65]. Furthermore, a benchmark comparison of statistical tools for analyzing differential  
289 expression supports the use of DESeq2 as it shows that the DESeq2 false positive rate can be as low  
290 as 0 and the true positive rate above 80%, even with a log fold threshold and a replicate number as  
291 low as 0.5 and 6 respectively [66].

292 We then performed generalized linear model-based differential expression analyses with DESeq2,  
293 implementing likelihood ratio tests with a design matrix which controls for paired experimental  
294 design. False discovery rate (FDR) adjusted p-values were calculated using the Benjamini-  
295 Hochberg method which accounts for multiple testing correction. MicroRNAs were considered to  
296 be differentially expressed when the FDR adjusted p-values were less than 10% [19]. We kept the  
297 fold-change threshold of 1.2 in accordance with gene-environmental interaction studies where  
298 moderate changes in microRNA expressions have been observed [67,68]. We chose differentially  
299 expressed (DE) microRNAs that showed a Post-Pre threshold of at least 10% for the music-listening  
300 session for further analyses [15]. The control samples were used as one reference group, without  
301 sub-phenotype divisions, to compare music-listening responsive microRNA expressions. To  
302 facilitate this, we estimated expression differences in microRNA between high-Edu Music Group  
303 (N=3) and low-Edu Music Group (N=4) from the Control Group and used it as an indicator of  
304 homogeneity of the control samples. From this analysis, we did not observe any significant  
305 differences in microRNA expression between these groups thereby showing homogeneity of the  
306 control samples.

### 307 *Functional analysis of microRNAs*

308 We performed a functional enrichment analysis of DE microRNAs using TAM 2.0 [69]. For a given  
309 microRNA dataset, TAM 2.0 analyzes the over-representation of functional and disease annotations  
310 by comparing the input microRNAs to a high quality, manually annotated reference microRNA

311 dataset. TAM 2.0 then applies a hyper-geometric test to determine whether the given microRNA  
312 dataset is over-represented or under-represented for functions, diseases, transcription factors  
313 (upstream-regulators) etc. The TAM 2.0 analysis addresses the bias previously noted to be  
314 associated with the over-represented functions reported for microRNAs, when the over-  
315 representation analysis was performed solely based on target genes [70,71]. Additionally, TAM 2.0  
316 performs a comparative analysis of the upregulated and downregulated microRNAs together to  
317 correlate them to those dysregulated in disease conditions. We then collected the validated  
318 transcriptional regulators of the DE microRNAs from TransmiR 2.0 [72]. We targeted candidate  
319 genes previously associated with musical traits in humans [43], some of which may reflect  
320 convergent gene expression specialization for auditory-motor integration sufficient to support vocal  
321 communication in humans and songbirds [73], and those which were found to be positively selected  
322 for in accordance with human musical aptitude [47]. Comparing to the genes obtained from the  
323 same human cohort was done in order to reduce the genetic heterogeneity of complex human  
324 musical traits. Next, we obtained validated ontology annotations from miRBase, which is derived  
325 from experimentally-verified miRNA:target interaction data [74]. Annotations for the closest  
326 orthologs of our DE microRNAs, as indicated by Alliance of Genome Resources as *Rattus*  
327 *norvegicus*, were collected from the Rat Genome Database (RGD) [75]. Furthermore, to correlate  
328 blood microRNA expression to the brain, we obtained tissue-wide expression patterns for DE  
329 microRNAs from the miRWalk2.0 [76], miRIAD [77], BBBomics [78], and literature.

### 330 *Identification of microRNA target genes*

331 To understand the post-transcriptional gene regulatory mechanisms involving microRNAs,  
332 validated target genes supported by strong evidence (based on reporter assay or western blot) were  
333 obtained for the DE microRNAs using the miRTarBase database (Release 7.0) [17]. We also  
334 collected predicted target genes for the DE microRNAs from TargetScan (Release 7.2) [18] and  
335 applied the filtering criteria below to reduce false positive target genes. For the conserved and  
336 broadly conserved microRNA families, only target genes with conserved sites having an aggregate  
337 probability of conserved targeting at least 0.2 and a total context++ score at most -0.15 were  
338 selected. For the poorly conserved DE microRNA families and those with other miRBase  
339 annotations, target genes with a total context++ score of less than -0.15 were selected [18].  
340 Predicted target genes of the DE microRNAs with non-canonical binding were not considered for  
341 the analyses. Both the predicted and validated target genes of the DE microRNAs were then  
342 combined for further analysis and functional interpretation.

343 *Comparative analyses*

344 We then compared the DE microRNAs to the song-responsive and singing-regulated microRNAs in  
345 zebra finches [13,22,79] to understand of the microRNA regulatory mechanisms in human music  
346 cognition. We also compared target genes of the DE microRNAs to singing-regulated genes in song  
347 birds [23], and to the target genes of song-listening and singing responsive microRNAs [13, 22,79].  
348 Next, we calculated the significance of the overlap between the target genes of our DE microRNAs  
349 and the genes regulated by songbird singing using random sampling (without replacement) of our  
350 datasets (N=10,000) and overlap estimation for each of the re-sampled datasets. To this end, we  
351 created a dataset with behaviorally (singing) stimulated genes from songbird brain [23,35,80] and  
352 labeled the gene set as the *song production cum perception gene set*. For the songbird set sampling,  
353 we used all the annotated genes from *Taeniopygia guttata* (N=17926) as Universe and sampling was  
354 performed for the same size as *song production cum perception gene set*. Human genes were  
355 sampled for the same size as the number of predicted and validated target genes of the  
356 downregulated microRNAs using all annotated human genes as the Universe (N=20219). Similarly,  
357 we analyzed the overlap significance between the target genes of the upregulated microRNAs from  
358 the high-COMB Music Group and the singing-inhibited genes from the songbird brain [23] using  
359 resampling (N=10,000).

360 *Integrated analysis and putative regulatory network construction*

361 To understand the microRNA-gene regulatory mechanisms underlying music-listening in listeners  
362 with high musical aptitude, we integrated our microRNA findings with the music responsive gene  
363 expression findings from the same group [15] using IPA and the microRNA-gene interactions  
364 gathered from TargetScan, miRTarBase and literature. Only target genes of the DE microRNAs  
365 from this study which showed an inverse direction of regulation in the gene expression findings  
366 (from the same music-performance and control activity as this study) [15] were considered as  
367 microRNA-gene interactions in music-listening.

368 We further created a putative gene regulatory network in music-listening using Cytoscape 3.7.1 by  
369 merging our integrated results (above) with transcriptional regulatory data for microRNAs (TF-  
370 microRNA) from TransmiR 2.0 including previously reported [15] statistically significant up-  
371 stream regulators of the DE genes (TF-gene), the microRNA-TF regulatory information from  
372 TargetScan/literature and findings related to song and music perception. Here, it is important to  
373 highlight the fact that microRNA can simultaneously regulate the expression of multiple genes  
374 through direct interactions or indirectly through the regulation of their transcriptional regulators

375 (microRNA-TF) [81,82]. In this study, we examined the regulatory effects (activation/inhibition) of  
 376 the upstream regulator on the DE genes (TF-gene) and included only those TFs which were targeted  
 377 by DE microRNA (microRNA-TF) to the putative regulatory network. From the validated TF-  
 378 microRNA regulatory data from TransmiR 2.0, only those TFs which met our criteria described in  
 379 the functional analysis were included in the network. This putative regulatory network was further  
 380 extended with some of the functions from the microRNA enrichment analysis, literature findings  
 381 and putative connecting molecules between the microRNAs and the functions. Functional  
 382 interactions between the upregulated molecules, putatively up-regulated molecules and some of the  
 383 transcriptional regulators in this network were also inferred with STRING [83].

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588

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### 594 **Author contributions**

595 IJ conceived the idea of the study. IJ, PR, LUV and PSN designed the study. LUV recruited the  
596 participants. AKP and MA performed the laboratory analyses. PSN performed the bioinformatic  
597 analyses, interpreted the data and wrote the manuscript. IJ helped in interpreting the data and  
598 writing the manuscript.

599

### 600 **Disclosure statement**

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602

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