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Article type : Research article

Editor :- Jörg Ewald

## **Small rocky outcrops: natural features to promote biodiversity in oak wood-pastures**

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**Running Head:** Rocky outcrops promote wood-pasture biodiversity

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This article has been accepted for publication and undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process, which may lead to differences between this version and the [Version of Record](#). Please cite this article as [doi: 10.1111/AVSC.12634](https://doi.org/10.1111/AVSC.12634)

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## **Abstract**

**Aims:** The Iberian oak wood-pastures are unique agroforestry systems supporting high levels of biodiversity and ecosystem services. Small rocky outcrops are geological features commonly found in these systems and constitute biodiversity reservoirs, protecting sensitive species from grazing and farming activities. We aimed to assess the relevance of including rocky outcrop conservation within wood-pastures to increase biodiversity. To achieve this goal, we study the plant communities occurring within the outcrops and in the wood-pasture matrix to evaluate the impact of rocky outcrops on the overall plant taxonomic and functional diversities of these systems.

**Location:** Montemor-o-Novo (Alentejo, Portugal)

**Methods:** We sampled 102 plant communities occurring in outcrops and in the adjacent wood-pasture matrix and analysed alpha, beta, gamma and functional diversities. We identified the main intrinsic factors affecting outcrop plant composition and their functional groups using Linear (LM) and Generalised Linear Mixed Models (GLMMs) and characterised the effect of outcrop size throughout Generalised Additive Models (GAMs).

**Results:** We found plant richness to be similar in wood-pasture matrix and outcrops. However, beta diversity analysis revealed a high species turnover between both communities. Functional indices indicated a higher plant functional diversity in outcrops and trait analyses identified three functional groups dissimilarly distributed in both communities: i) perturbation and stress-sensitive plants, with outcrops constituting an important refuge for this group, and ii) grazing-tolerant and iii) weedy herbs dominating the wood-pastures. Finally, we also found increased plant richness in outcrops with a higher length of their minor axis, i.e. wider outcrops, and higher rock cover area.

**Conclusions:** Our results indicate that the presence of small rocky outcrops in evergreen oak wood-pastures highly increase their gamma and functional diversities. Consequently, outcrop protection strongly impacts overall wood-pasture biodiversity and underline the suitability of including outcrop conservation as a cost-effective solution capable of increasing biodiversity in these agroforestry systems.

**Keywords:** Agroforestry, Conservation, Environmental heterogeneity, Functional diversity, Montado/Dehesa, Nature-based Solutions, Plant diversity, Small habitat patches, SLOSS debate, Sustainable development.

## Introduction

Global biodiversity has been declining for the last decades (Pereira et al. 2013; Davis et al. 2018). Among the global change factors responsible for biodiversity loss, land-use change, habitat fragmentation, and climate change are the most detrimental (Tilman et al. 2017). Biodiversity loss linked to climate change strongly impacts ecosystem functioning (EF) and the delivery of multiple ecosystem services (ES) (Allan et al. 2015). Thus, a paradigm shift is needed to develop strategies that conciliate economic development, biodiversity conservation and ecosystem functioning (Oliver and Morecroft 2014).

In this context, Nature-based Solutions (NbS), defined as actions to protect, sustainably manage and restore natural or modified ecosystems (Cohen-Shacham et al. 2016), constitute cost-effective means to safeguard biodiversity and EF while mitigating climate change (Keesstra et al. 2018). For example, increasing green space and planting trees in urban areas can mitigate the impact of the urban heat island effect (Grilo et al. 2020). Agroforestry systems are identified as promising systems to implement NbS, since they support high biodiversity levels (Torralba et al. 2016), substantially contribute to climate change strategies (Verchot et al. 2007), and foster EF (Jose 2009) while generating socio-economic benefits (Pavlidis and Tsihrintzis 2018).

The Iberian evergreen oak wood-pastures, known as *Montados* in Portugal and *Dehesas* in Spain, occupy a 3.5 – 4 million ha area and emerge as key semi-natural systems to implement NbS promoting climate change mitigation and supporting biodiversity in the Iberian Peninsula (Olea and San Miguel-Ayanz 2006). This system is structurally similar to savannah type ecosystems, with cork oak (*Quercus suber*) and holm oak (*Quercus rotundifolia*) coexisting with pastures and crops (Pinto-Correia et al. 2011). It results from the transformation of ancient evergreen oak woodland areas by human activity over hundreds of years (Bugalho et al. 2011). This low-intensive farming regime maintain ecosystems that deliver a wide range of Ecosystem Services. These good and services range from direct provisioning services (e.g., cork production, livestock) to indirect regulation and supporting services (e.g., carbon sequestration, soil conservation) and cultural services (recreation or conservation of rare species) (Branco et al. 2010). Besides its socio-economic relevance, when adequately managed, this system maintains its internal structural diversity (Bugalho et al. 2011). Due to its role in the biodiversity conservation in Iberian landscapes, these wood-pastures are considered High Nature Value Farming Systems (Paracchini et al. 2008). Despite its significance, this habitat endures various negative pressures being classified as Near Threatened by the European Red List of Habitats (Janssen et al. 2016)

while its conservation status was assessed as Unfavourable/Bad by the Habitats Directive (EEA 2013).

Underlining the importance of small patches for conservation in the context of the 'single large or several small' (SLOSS) debate (Wintle et al. 2019; Fahrig 2020; Deane et al. 2020), especially in countryside ecosystems (Pereira and Daily 2006; Mendenhall et al. 2014), several authors have demonstrated that habitat heterogeneity resulting from small landscape discontinuities can substantially improve the overall biodiversity wealth in wood-pastures (Moreno et al. 2015; Leal et al. 2016; Concepción et al. 2020). Previous research showed that Small Natural Features (according to Hunter et al. 2017) such as olive orchards and riparian galleries enhance environmental heterogeneity and, consequently, species diversity of mammals and birds in oak wood-pastures (Rosalino et al. 2009; Leal et al. 2011). Recently, Oksuz et al. (2020) identified small shrubby patches as promising NbS to increase *Montado* biodiversity, finding them frequently, but not always, associated with small rocky outcrops. Rocky outcrops are geological formations that are common in *Montados* and contribute to increasing their structural heterogeneity (Martín and Lopez 2002). These features constitute reservoirs of biodiversity, protecting sensitive species from grazing and farming activities (Fitzsimons and Michael 2017), developing small forest habitat fragments embedded in a grassland matrix (Plieninger et al. 2004). The buffering capacity of outcrops towards environmental changes, e.g., by conducting and retaining run-off water (Speziale and Ezcurra 2015), make them potential "stepping-stones" within metacommunity systems, that may allow the persistence and migration of species in response to global change impacts (Ottaviani et al. 2019). Outcrops also provide additional features for ecosystem services of wood-pastures such as refuges and food for birds, mammals and pollinators, including small and big game species (Bauer et al. 2017); they enhance carbon sequestration and storage and contribute to local water balance control (Centeno et al. 2010) and they constitute recreation and tourism areas (Twidale 2000).

Given these facts, outcrops enhance the structural heterogeneity of wood-pastures and can, therefore, support a higher variety of communities (Benton et al. 2003). However, there is a knowledge gap in the literature addressing the conservation value of rocky outcrops. In this work, we continue the line of research initiated by Oksuz (2020) regarding the impact of Small Natural Features on biodiversity in Portuguese *Montados*. That study included several taxa, however, with a limited reach due to the small number of surveyed patches compliant to all the taxa. Free of that constraint, in the present study, we analyse the impact of rocky outcrops on plant taxonomic and functional diversity and the influence of the outcrop's characteristics (e.g., vegetation and rock cover, outcrop size) on that diversity. We hypothesise that these small



geologic elements are occupied by distinct plant communities enhancing both plant diversity and plant functional diversity of the total landscape in Iberian oak wood-pastures and that this distinctiveness is dependent on the outcrop characteristics. The results will provide information about the effectiveness of including rocky outcrop as cost-effective NbS capable of increasing biodiversity and promoting Ecosystem services of wood-pastures.

## Methods

### *Study system*

The study area, comprising about 33.5 km<sup>2</sup>, is located in Montemor-o-Novo, Alentejo, Portugal (Figure 1), in the southern margin of the Tagus river (38°46'N, 8°14'W; 38°41'N, 8°20'W), with elevation varying from 130 to 180 m a.s.l. The climate is mild Mediterranean with an oceanic influence, characterised by a warm, dry summer and strong seasonal and interannual variability in precipitation and temperature (mean annual precipitation of 660 mm and mean annual air temperature of 15.4 °C (SNIRH 2019). A total of 9 farmsteads were sampled. These farmsteads hold large oak wood-pasture areas used for cork extraction, livestock raising (cattle, sheep and pig) and hunting. Dominant soil types are dry acidic soils, and small rocky outcrops cover less than 0.5% of the total wood-pasture area (Oksuz et al. 2020). The vegetation of these patches usually includes holm and cork oaks, olive trees and a mixed-species shrub and lianoid understory composed by typical Mediterranean evergreen oak woodland species. Fieldwork was conducted in May and June 2013.

### *Community surveys and explanatory variables*

We sampled plant communities from 32 identified outcrops within the nine-farmstead area. On each outcrop, we placed one 10×10 m plot assuming that: i) the generalised shape of the outcrops is an ellipse; and ii) the central axis of the plot includes the centre of the ellipse and is perpendicular to the major axis of the ellipse (Figure 2). Simultaneously, we placed 32 10×10 m plots on pastures in the vicinity of the sampled outcrops. Plots were located randomly but always in flat areas, avoiding slopes and significant topographic variations. Onwards, "outcrop" or "matrix" are used to identify the respective plots, for short.

The composition and cover of plant species in the plots were estimated using an extended scale adapted from the Braun-Blanquet cover-abundance scale (5: 75-100%; 4: 50-75%; 3: 25-50%; 2: 5-25%; 1: few individuals; 0.5: very few individuals; 0.1: one individual) (Damgaard

2014). In each plot, we registered cover of rocks, mosses, lichens, bare soil, and litter using the same scale (Appendix S1). The height of trees, shrubs, herbs, and rocks was also measured.

Plant nomenclature follows the Checklist da Flora de Portugal (ALFA -Associação Lusitana de Fitossociologia. 2010), and species identities determined using *Flora Iberica* (Castroviejo 1986-2015) and *Nova Flora de Portugal* (Franco 1971-1984; Franco & Afonso 1994-2003).

### *Comparative analyses*

First, we assessed the plant richness in both communities. Variation in species composition among outcrops and wood-pasture matrices, i.e beta diversity, was calculated using presence and absence data accounting for the spatial turnover – species replacement between both communities – and the nestedness – species loss from community to community – components (Baselga and Leprieur 2015). Pair-wise Wilcoxon tests comparing richness, plant life form, namely herbaceous, shrub, climber and tree, were performed to assess differences in community structure between outcrops and matrix. We also compared the cover between the two communities for all tree species, both adult and shrubby stages. As a measure of regeneration, we considered trees when individuals were  $\geq 2$  m height.

Indicator species are used as ecological indicators of communities, and they ultimately represent qualitative characteristics of the ecosystem (Cáceres et al. 2010). We identified the indicator species in both communities by calculating all species' indicator values (IndVal; Legendre and Legendre 1998). This index quantifies the fidelity and specificity of each species to a given type of community We used the "labdsv" R Package to calculate the IndVal values (Roberts 2015).

The description of the vegetation composition was achieved by a non-metric multidimensional scaling (NMS) ordination of the study plots based on the cover of the plant species (van der Maarel 2007), using the "function metaMDS" of R Package vegan (Oksanen et al. 2013). Braun-Blanquet cover-abundance scores were converted to a percentage scale ranging from 2.5% to 87.5% (1 = 2.5%, 2 = 15%, 3 = 37.5%, 4 = 62.5%, 5 = 87.5%). We used Bray-Curtis clustering to measure the dissimilarity between plots and assessed the goodness-of-fit of the ordination through the percentage of variance represented by each consecutive axis (see McCune & Grace 2002 for details). NMS axes resulting from these analyses represent the dissimilarity in plant composition.

We explored the relationships of outcrop and wood-pasture matrix characteristics, namely cover and height of trees, shrubs, herbs (maximum) and rocks (maximum and average) and bare soil cover, with their plant communities by correlating these characteristics with the NMS ordination using the "envfit" function of vegan. The strength of those relationships was evaluated through the squared correlation coefficient ( $r^2$ ).

To assess the functional diversity of both outcrops and the oak wood-pasture matrix, we classified plant species regarding ten selected traits (Appendix S2, Table S1). We considered seven traits associated with plant responses to grazing (tolerant or not), disturbance (weed behaviour or not), drought (drought-tolerant, indifferent or drought-avoiders), edaphic conditions (acidophilous, indifferent or basophilous; rupicolous or not; and nitrophilous or not) and light (sciophilous or heliophilous). We also included dispersal strategy (short or long dispersal, following Vittoz and Engler, 2007) and life and growth forms as generalist traits informing about climate, disturbance, competitive ability and defence responses of plants (Wright et al. 2006). Together, the ten traits allow the characterisation of the adaptive responses of sampled species to outcrops and matrix (Cadotte et al. 2011) by calculating: i) functional diversity indices, namely functional richness, evenness, divergence, dissimilarity and Rao's quadratic entropy (Villéger et al. 2008); and ii) identifying functional groups. Plant functional groups were defined using a dendrogram of species based on the ten trait values and built according to Ward's hierarchical agglomerative clustering method (Murtagh and Legendre 2014). Functional diversity analyses were performed using the "FD" package (Laliberté et al. 2014).

Finally, Pair-wise Wilcoxon tests comparing functional indices and groups of both communities were performed to assess differences in the functional diversity between outcrops and the matrix.

### *Outcrop characteristics' effect*

To assess the effect of rocky outcrop characteristics in plant composition and functional diversity, we included 19 additional outcrops and we measured the area and the perimeter of the 51 outcrops using orthophotos extracted from Google Earth and analysed with ArcGis software (ESRI 2019). We registered the composition and cover of plant species in the outcrops along the transect defined by the semi-minor axis of the ellipse, identified as outcrop axis, using the point-intercept method (Nunes et al. 2015). At each (intercepted) point, spaced every 50 cm along the transect, a 5 mm diameter rod was stuck in the ground making a 90° angle. All plant species, rocks, litter and bare soil touching the rod were recorded. We calculated cover estimates as the

proportion of points intercepted per transect. The same measurements were taken in the matrix plots, using as sampling transect the segment linking the centre of two opposite sides of the plot (matrix transect).

We tested the effects of i) outcrop size (area, perimeter and length of the outcrop axis), ii) cover of rocks, plants, trees, shrubs, herbs, bare soil and litter and iii) the height of trees, shrubs and herbs on the outcrop taxonomical diversity (richness, Simpson and Shannon indices) and functional diversity (functional group cover). This was done performing Linear (LM) and Generalized Linear Mixed Models (GLMMs). Multicollinearity among potential explanatory variables was handled by dropping collinear covariates when correlated at  $|\text{Spearman } r| > 0.8$  (Zuur et al. 2010). We modelled outcrop size, cover and height variables as fixed effects and farmsteads as a random effect to control their potential variability using the restricted maximum likelihood method (REML). To compare the fits of LM and GLMMs models, we performed ANOVAs with the regression objects as two separate arguments. These analyses were performed using “nlme” (Pinheiro et al. 2021) and “lm4” (Bates et al. 2015) packages. When necessary, data were transformed for normality and beta regression was performed using the R packages “glmmADMB” (Skaug et al. 2013) and glmmTMB packages (Brooks et al. 2017) when the dependent variables were beta-distributed. Additionally, when dependent variable assumed the extremes 0 and 1, the transformation  $(y^*(n - 1) + 0.5)/n$  where  $n$  is the sample size, was performed to allow the application of beta-regression analyses (Smithson and Verkuilen 2006). Marginal and conditional coefficients of determination for the models were calculated using “MuMIn” package and the fit for each model was validated using the DHARMA-package (Hartig 2020). Then, we characterise the relationship between the cover of the functional groups and the length of both the outcrop axis and the matrix transect using Generalised Additive Models (GAMs) from the “mgcv” software package (Wood 2006). DHARMA-package was also used to validate GAM models.

Finally, we studied the effect of spatial distance among sites on the existing plant communities. To do this, we performed Mantel tests considering the geographic distances and species cover matrix to determine if plant communities were spatially structured, using the “ecodist” package (Goslee and Urban 2007).

All statistical analyses were performed using the computing environment R version 4.0.3 (R Core Team 2021).

## Results

### *Comparative analyses*

From the 64 characterised plots, we sampled a total of 205 species: 63 exclusively in outcrops, 53 in wood-pastures and 89 species occurring in both plot types (Appendix S3, Table S1). For each species, the values of the ten selected traits were compiled (Appendix S2: Table S2). Overall beta diversity between wood-pasture matrices and outcrops was 0.398, clearly dominating the turnover component (0.375) over nestedness (0.023).

Pair-wise Wilcoxon tests indicated that plant richness was not significantly different between outcrop and wood-pasture plots (Table 1). Same tests comparing the species number regarding life form found significant differences among the number of herbaceous species, shrubs, climbers and trees occurring in outcrops and woody pastures, respectively. Regarding the abundance of tree species of both communities, pair-wise Wilcoxon tests indicated significant differences between outcrops and matrix for holm oak cover for tree (and shrubby stages). Moreover, olive trees (*Olea europaea*), either in adult or shrubby stages, occur only in outcrops. Similarly, kermes oak (*Quercus coccifera*) occurs only in tree form within the outcrops. No differences between outcrops and matrix were found regarding cork oak cover stages. Only a shrubby cork oak individual was registered in a wood-pasture plot, probably indicating cork oak regeneration limitations.

Indicator value (IndVal) analyses identified 9 and 14 species with indicator values higher than 0.7 for outcrops and wood-pastures, respectively (Appendix S4). Outcrop species with the highest IndVal values were *Geranium robertianum* and *Umbilicus rupestris* (0.968 and 0.867, respectively), two rupicolous species (SPBotanica 2013). However, typical ancient oak woodland species such as holm oak (0.769) and shrubs (0.791 for both *Ruscus aculeatus* and *Rhamnus alaternus*) also had high IndVal values. Regarding the matrix species with high IndVal, all were herbaceous species, characteristic of grazing pastures such as *Agrostis pourretii* and *Echium plantagineum* (0.975 and 0.916, respectively).

The 2-dimensional NMS ordination based on the plant species cover data, with final stress of 21.84% (Figure 3), described the main differences in vegetation composition. The first axis accounted for the most variance (28.15% out of 39.21%), and it clearly separates the communities occurring in outcrops and the wood-pasture matrix. Correlation analyses show that the main characteristics separating outcrops and wood-pasture matrix were the herb cover, the tree maximum height and the cover of rocks ( $r^2 = 0.89$ , 0.83, and 0.73,  $p < 0.001$ , respectively),

then the tree cover and the height of shrubs and rocks ( $r^2 = 0.60, 0.56$  and  $0.55, p < 0.001$ , respectively) and, to a lower extent, the height of herbs and the cover of bare soil ( $r^2 = 0.45$  and  $0.26, p < 0.001$ , respectively) (Appendix S5).

Regarding functional diversity, the average of functional richness, functional evenness, functional divergence and Rao's quadratic entropy (were significantly higher in outcrops than in the matrix while functional dissimilarity presented no significant differences between both communities (Table 1). Trait analyses clearly identified combinations of traits linked to one of the communities, namely annual and grazing-tolerant herbaceous species for the wood-pasture matrix, and rupicolous and sciophilous woody species for outcrops. It also defined three large functional groups: i) species with low tolerance towards environmental stress and perturbation (sensitive species), including woody, rupicolous and sciophilous species, and two perturbation and stress-tolerant species groups, namely ii) grazing-tolerant herbs and iii) weedy herbs. The three functional groups had a significant dissimilar cover in outcrops and wood-pastures (Table 1, Figure 3).

#### *Outcrop characteristics' effect*

Outcrop areas ranged from 75 to 5000 m<sup>2</sup> (969.9 m<sup>2</sup> on average), perimeter from 40 to 300 m (135 m on average) and the outcrop axis (outcrops edge to centre distance) ranged from 2.5 to 10 m, with most between 4.5 and 5 m (4.8 m on average). A total of 94 plant species were registered in the 51 outcrops using the point-intercept method (Appendix S3, Table S2).

Linear (LM) and Generalized Linear Mixed Models (GLMMs) identified a positive relationship of plant richness with the length of outcrop axes and with their overall plant cover (Table 2). Likewise, we found an increasing trend of taxonomical diversity associated with rock cover. However, rock cover was also related to lower plant cover. Additionally, outcrops with higher tree cover showed higher levels of uniformity (i.e. higher Simpson's diversity index values). Regarding functional diversity, sensitive species benefited from the presence of higher rocks, while weedy and grazing-tolerant herbs respond negatively to them. Finally, differences among farmsteads were only verified for the overall plant cover and weedy herb cover (Table 3).

Generalised Additive Models (GAMs) characterised the relationships of the functional groups cover with the length of the outcrop axis (Figure 4a), identifying a sharp gradient from the margins of the outcrop to the centre for grazing-tolerant and weedy herbs, while sensitive species showed a slightly increase to about the middle of the outcrop axis. Moreover, only sensitive species, the dominant functional group in the outcrops, were present in the centre of the outcrop.

As expected, GAM models did not identify significant variations of sensitive species and grazing-tolerant herb distributions along the matrix axis but, surprisingly, weedy herbs decreased slightly at the end of the transect (Figure 4b). Furthermore, sensitive species cover was very low (8% on average), while grazing-tolerant herb cover (105% on average) and weedy herbs (67 % on average) dominated the system.

Mantel tests analyses indicated a low but significant spatial autocorrelation among the plant communities at a taxonomical level. These similarities were higher among wood-pasture plots ( $r = 0.27$ ,  $p < 0.001$ ) than among outcrops ( $r = 0.15$ ,  $p < 0.001$ ).

## Discussion

According to our results, small rocky outcrops in the wood-pastures significantly impact *Montados* by highly increasing their overall plant richness and plant functional biodiversity. Overall plant richness, i.e. gamma diversity, in *Montados* is deeply affected by the presence of outcrops: about 30.7% of the sampled species were recorded exclusively in outcrops, whereas 25.85% only occurred in the surrounding matrix. Both communities had significant differences regarding the cover of dominant life forms. As expected, herbaceous species dominate wood-pasture matrix while trees, shrubs and climbers play an essential role in the outcrops' plant community. Additionally, olive trees and kermes oaks occur only in the outcrops, and the holm oak cover is also significantly higher in the outcrops. However, we found no significant differences in the cover of adult cork oaks.

The main factor shaping the differences between both communities was the presence of rocks, in fact, most of the indicator species of the outcrop were rupicolous or tolerant to rocky habitats. While preventing mechanical clearing and limiting grazing, rocks allow the growth of well-developed shrubs and trees. Moreover, these formations determine a vegetation gradient development, from the outcrops margin to the centre, ultimately intensifying the dissimilarities between both communities. Sensitive species, including rupicolous, sciophilous and oak woodland species, dominate the outcrops communities, while matrix communities are mostly composed of grazing-tolerant and weedy herbs. Among the functional indices, the significantly higher functional dissimilarity in outcrops points to a more functionally diverse community with a higher niche differentiation and a lower competition between species (Morcillo et al. 2019). It is precisely the contrast between both communities that points outcrops as a critical factor in maintaining high diversity levels in this managed habitat. Outcrops provide microhabitats and harbour species not found in the surrounding vegetation matrix. Therefore, outcrops constitute local *refugia* for light, heat-intolerant plants and other organisms such as lichens and beetles

(Oksuz 2020). The structural complexity of outcrops influences the number and types of species and this variation clearly determines a profound impact in the provision of ecosystem services (Plas 2019), including refuges for small and big game species (Pia et al. 2013), food provision for birds, mammals and reptilians (Ferber et al. 2014) and cultural services (Barroso et al. 2012).

Analysing the distribution and cover of the functional groups along the outcrop axis allows us to understand how these formations affect plant wood-pasture diversity. In wood-pastures, the functional group's distribution is reasonably homogenous; however, the distribution follows a spatial gradient from the margins to the centre in the outcrops. Two drivers appear to shape plant distribution inside the outcrops: light availability and disturbance. On the one hand, shrubs and trees limit the amount of light inside the outcrops, enabling colonisation by sciophilous species and preventing the occurrence of light-tolerant species. On the other hand, outcrops constitute grazing and farming refuges (Milchunas and Noy-Meir 2002), protecting species without grazing avoidance or disturbance-tolerance traits.

Furthermore, outcrop buffering capacity towards environmental changes (Ottaviani et al. 2019) and their widespread distribution throughout the wood-pastures of the study area, make them potential "stepping-stone" systems. This fact is corroborated by the low levels of autocorrelation found among outcrops, confirming that dispersal ability is not a limiting factor in the assembly mechanisms of plant communities in the studied outcrops. These systems also lead to the establishment of source-sink dynamics between outcrops and wood-pastures since they foster the persistence and, when disturbance intensity lowers, the migration of species with different levels of tolerance to disturbance (Ron et al. 2018). Likewise, by increasing the connectivity in the matrix of these agroforestry systems, outcrops promote recolonisation and higher abundances of local populations through immigration like for example for birds (Renjifo 2001). This fact also stands for other taxa such as reptiles, lichens and beetles (Martín and Lopez 2002; Oksuz 2020).

Similarly to Milchunas and Noy-Meir (2002), we did not find a significant effect of the full outcrop extent and perimeter in the plant diversity of these geologic formations. These authors found that 86% of small refuge studies reported positive effects on plant diversity compared to 50% for larger refuges. However, we found a clear spatial-dependent effect; taxonomical and functional plant diversity significantly varied from the margin to the centre of the outcrops. These results point to an "edge effect" along the length of the outcrop axis. This buffer area constitutes an ecotone between the matrix and the outcrops with a high ecological value, not only for plants,



as our results show, but also for animals which require these boundaries to fulfil their requirements (Leal et al. 2011).

Regarding the impact of the farmsteads on outcrop diversity, this effect was only verified for the overall plant and weedy herb covers, probably resulting from the owner's different management practices, such as shrub cutting and grazing intensities. Moreover, the higher spatial autocorrelation found in the wood-pastures suggests that these communities respond similarly to the management strategies of the different farmsteads. However, our data did not allow us to test this hypothesis, and more studies are needed to explore and understand this topic.

To summarise, our results indicate that even small rocky outcrops have a strong effect on overall (gamma) plant and functional diversities in evergreen oak wood-pastures, in line with the authors supporting the importance of small habitat patches for biodiversity (Wintle et al. 2019; Fahrig 2020; Deane et al. 2020). This effect is especially relevant since the Portuguese government classified these agroforestry systems as of high priority for biodiversity conservation. We propose that minor changes in management practices, such as the protection of small rocky outcrops or shrub patches, may significantly impact the biodiversity of agroforestry landscapes. Therefore, preserving, enlarging and reshaping pre-existing outcrops or creating "artificial" outcrops where rocks are naturally available, may represent a valuable biodiversity-friendly agroforestry practice (i.e. a nature-based solution), since they contribute to increasing heterogeneity in agroforestry systems without significant impacts on the economic activity of oak wood-pastures. Moreover, it is imperative to plan for protected area networks that promote local environmental heterogeneity, including the protection of rocky outcrops as *refugia* for mesic-adapted species and as potential stepping stones that allow the dispersal of these species between adjacent environments. Additionally, under projected climate-change scenarios, rocky outcrops may provide micro-climatically diverse habitats, distinct from those of the surrounding vegetation matrix, serving as climatic refugia and thereby facilitating the persistence of specialist species. Finally, instead of adopting high-cost-low-return strategies, it would be important for landowners and decision-makers to include rocky outcrop preservation in the land management planning of *Montados*, since they constitute a cost-effective element for the promotion of biodiversity in this agroforestry system.

## Appendices

Appendix S1 - Plot characteristics

Appendix S2 - Plant trait data

Appendix S3- Primary data

Appendix S4 - Indicator values

Appendix S5 - Squared correlation coefficient of environmental factors and functional groups

### **Credit authorship contribution statement**

All authors conceived the idea, discussed the results and commented on the manuscript. SC analysed the data, produced the figures and led the writing; ST designed and conducted fieldwork, and identified the species; CA made substantial contributions to the writing.

### **Data accessibility**

Primary data are presented as Supporting information.

### **Funding information**

This study received Portuguese funds from the Fundação para a Ciência e Tecnologia (FCT) through the PTDC/AGR-AAM/108448/2008 project. SC was supported by the FCT PORBIOTA project through a postdoctoral fellowship. ST was supported by the Associação para a Investigação e Desenvolvimento de Ciências (FCiências.ID). CA was also supported by FCT through an individual postdoctoral fellowship (grant number SFRH/BPD/126703/2016).

### **Acknowledgements**

We are thankful to the landowners, who kindly allowed us to work in their farmlands and to Catarina Costa for the beautiful sketches of the wood-pastures and the rocky outcrops.

### **Conflict of Interests**

The authors declare no conflict of interests.

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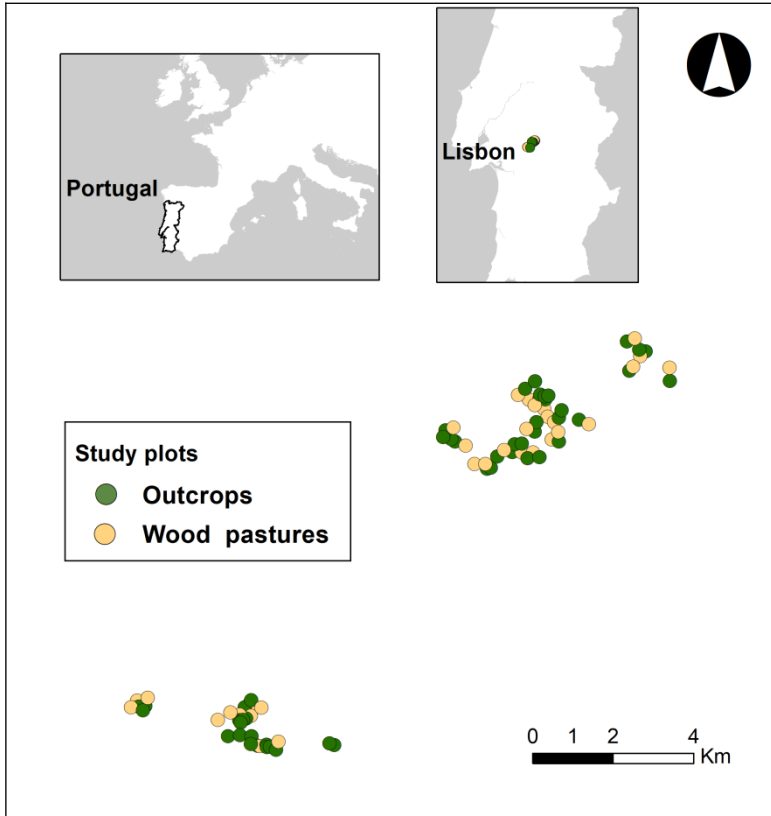
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## Figures

a)



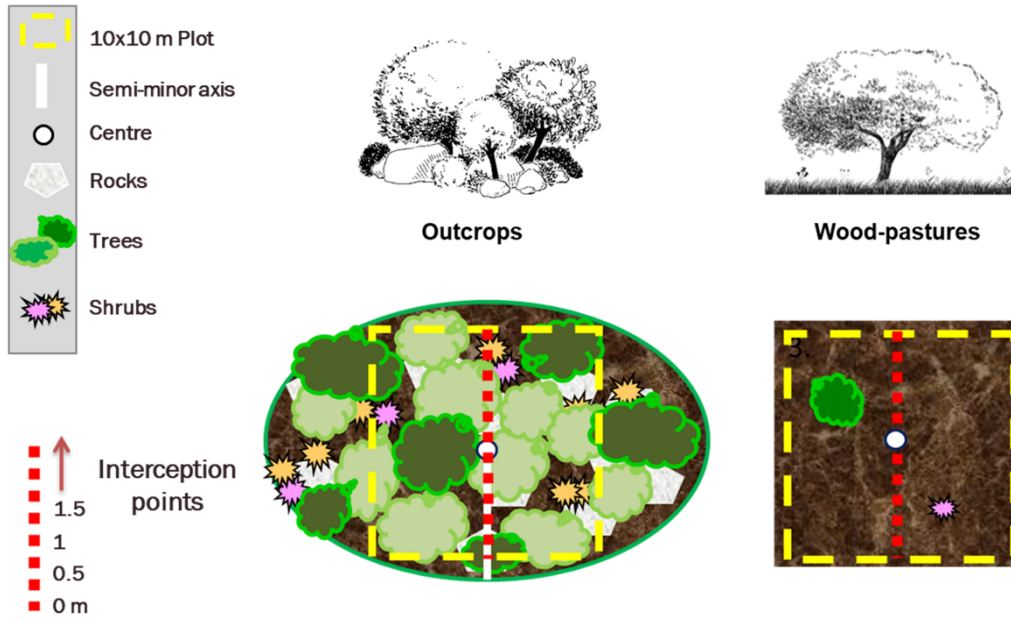
**Figure 1.** a) 102 10x10 m plots, 51 located in rocky outcrops (32 for analyses comparing outcrops and wood-pasture communities, and 19 more for analysing the effect of size and spatial distribution of outcrops) and 51 (32 + 19) in wood-pastures, were studied in Alentejo, Portugal, examples of b) outcrop and c) wood-pasture plots sampled.

b)

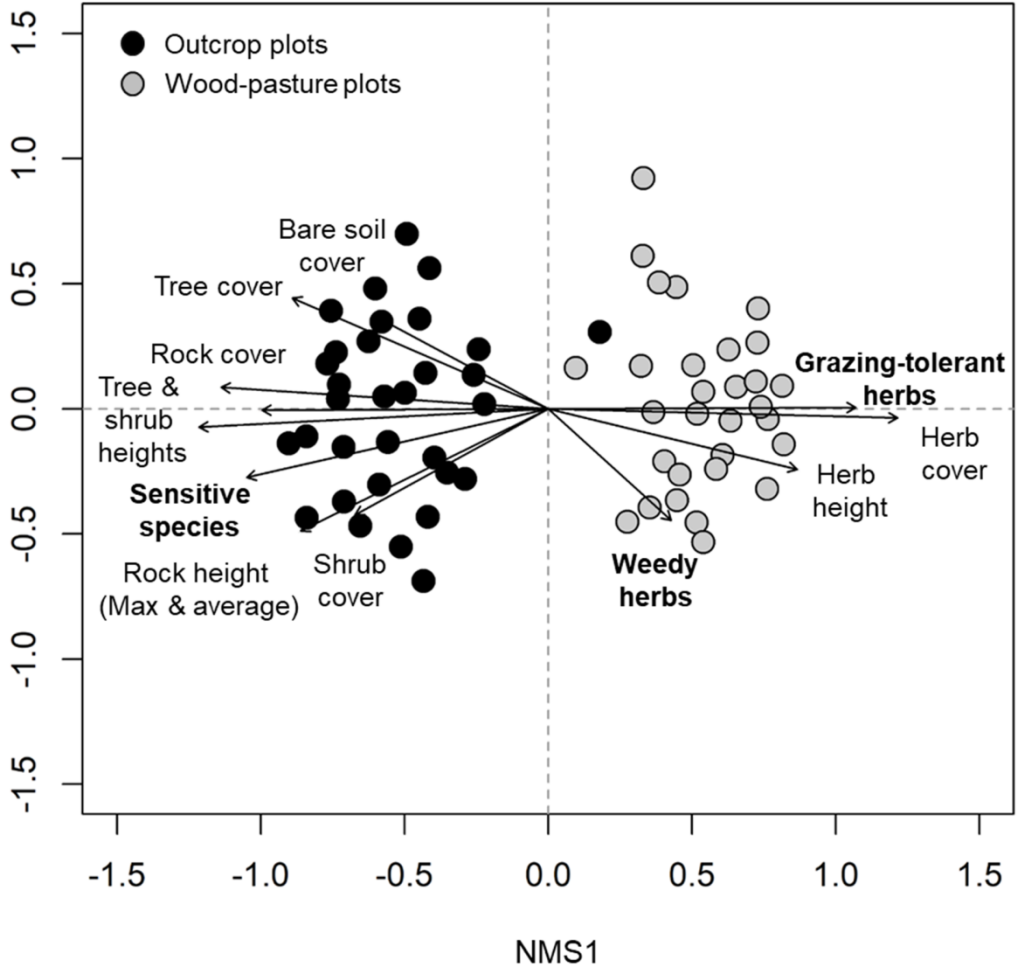


c)

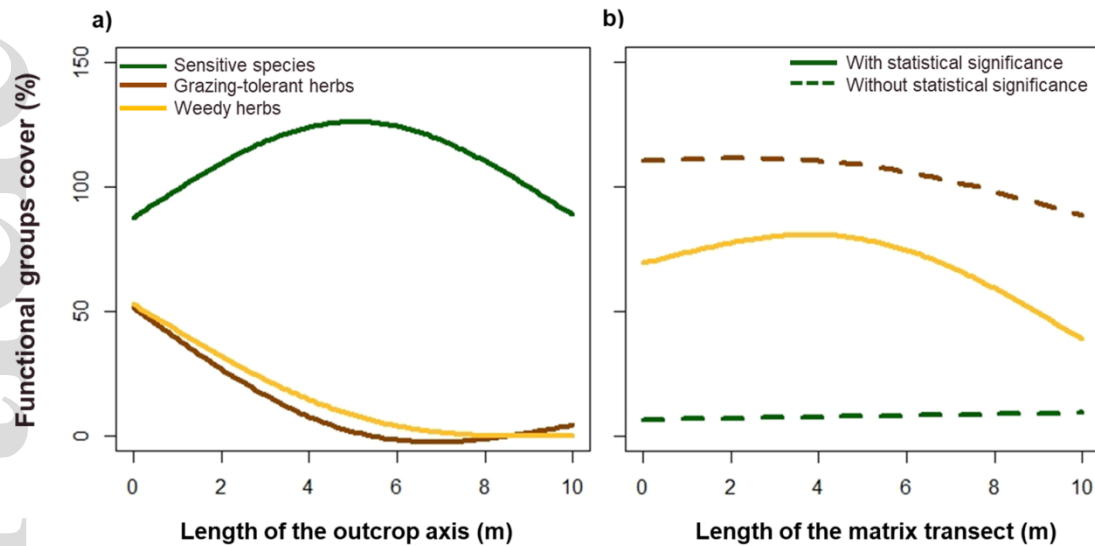




**Figure 2.** Sampling design. Cover of plants, rocks, mosses, lichens, bare soil and litter was registered in the studied 10x10 m plots, first in the whole plot area (n=64: 32 outcrops + 32 wood-pastures) and then, using the point-intercept method, in the outcrop (n= 51) and wood-pastures (n=51) axes (refer to material and methods for details).



**Figure 3.** Axes 1 and 2 of the 2-dimensional nonmetric multidimensional scaling ordination (NMS) of study plots based on plant species cover (final stress 21.84%). Vectors represent significant correlations between species composition and i) the environmental characteristics separating outcrop and matrix communities and ii) the plant functional groups identified (in bold).

**Figure**

4. Relationships between a) the outcrop axis length (from edge to centre) and b) matrix transect length and the cover of functional groups, namely sensitive species and grazing-tolerant and weedy herbs. Lines represent the main trend of a generalised additive model (GAM). Percentage of variance explained: a) 59.4%<sup>\*\*\*</sup>, 80.3%<sup>\*\*\*</sup> and 97.6%<sup>\*\*\*</sup>, respectively; Effective degrees of freedom (k): 1.96, 1.95 and 1.98, respectively; b) Percentage of variance explained 63.5%<sup>\*\*\*</sup> and Effective degrees of freedom (k): 1.93.

## Tables

Table 1. Pair-wise Wilcoxon test summary on the differences between outcrops and wood-pastures for variables and indices regarding species and functional diversities. Values are mean and standard deviation (SD) for each variable and index and the Statistic value, number of pairs (n) and *p*-value returned by the tests.

	Outcrops	Wood-pastures	Pair-wise Wilcoxon tests		
	Mean (SD)	Mean (SD)	Statistic	n	p
<b>Species diversity</b>					
<b>Richness</b>	26.25 (7.49)	28.59 (9.00)	322	32	ns
<b>Herbaceous Richness</b>	19.94 (8.68)	27.81 (6.85)	424	32	0.003
<b>Shrubs Richness</b>	3.62 (1.66)	0.37 (0.87)	0	32	7.33x10 <sup>-7</sup>
<b>Climbers Richness</b>	1.15 (1.08)	0 (0)	0	32	8.09x10 <sup>-6</sup>
<b>Trees Richness</b>	1.5 (0.88)	0.4 (0.61)	19	32	2.32x10 <sup>-5</sup>
<b>Holm oak cover – tree (%)</b>	12.98 (21.26)	1.01 (2.76)	5.5	32	4.62x10 <sup>-4</sup>
<b>Holm oak cover – shrub (%)</b>	2.75 (10.96)	0.47 (2.65)	16	32	0.02
<b>Olive tree cover – tree (%)</b>	19.14 (28.57)	0 (0)	0	32	6.81x10 <sup>-4</sup>
<b>Olive tree cover – shrub (%)</b>	0.7 (2.71)	0 (0)	0	32	ns
<b>Kermes oak cover - tree (%)</b>	4.84 (16.81)	0 (0)	0	32	ns
<b>Cork oak cover – tree (%)</b>	5.94 (18.49)	2.97 (11.47)	15	32	ns
<b>Cork oak cover – shrub (%)</b>	0 (0)	0.003 (0.018)	1	32	ns
<b>Functional diversity</b>					
<b>Functional richness</b>	0.08 (0.02)	0.05 (0.02)	97	32	0.001
<b>Functional evenness</b>	0.55 (0.08)	0.48 (0.08)	105	32	0.002

<b>Functional divergence</b>	0.90 (0.10)	0.81 (0.13)	120	32	0.006
<b>Rao's quadratic entropy</b>	0.064 (0.03)	0.046 (0.02)	140	32	0.019
<b>Functional dissimilarity</b>	0.20 (0.08)	0.19 (0.07)	208	32	ns
<b>Sensitive species</b>	78.54 (35.46)	7.31 (18.36)	5	32	1.34*10 <sup>-6</sup>
<b>Grazing-tolerant herbs</b>	5.42 (7.09)	85.71 (40.89)	528	32	4.66*10 <sup>-10</sup>
<b>Weedy herbs</b>	8.98 (11.47)	46.57 (54.10)	455	32	1.62*10 <sup>-4</sup>

Table 2 Generalised Linear Mixed Models (GLMMs) results testing the influence of the outcrop size, the cover and height of vegetation and rocks, and the farmsteads (random effect) on the outcrop species and functional diversities. Values correspond to the estimate, standard error (Std. Error), t value,  $p$ -value and the marginal coefficient of determination ( $R^2_m$ ) returned by the models and the sample size (n).

	<b>Estimate</b>	<b>Std. Error</b>	<b>t value</b>	<b><math>p</math>-value</b>	<b><math>R^2_m</math></b>	<b>n</b>
<b>Log (Plant richness)</b>						
Intercept	0.921	0.204	4.508	0.000		
Length of the outcrop axis	0.061	0.028	2.198	0.033	0.37	51
Plant cover	0.004	0.001	5.041	0.000		
<b>Sqrt (Shannon index)</b>						
(Intercept)	1.255	0.054	23.441	0		
Rock cover	0.001	0.000	2.025	0.050	0.22	51
Herb cover	-0.002	0.001	-2.969	0.005		
<b>Simpson index</b>						
(Intercept)	0.379	0.049	7.772	<0.001		
Tree cover	0.001	0.000	2.137	0.038	0.18	51
Plant cover	-0.001	0.000	-2.985	0.004		
<b>Plant cover</b>						

(Intercept)	204.271	9.907	20.618	<0.001		
Rock cover	-0.984	0.240	-4.095	0.000	0.29	51
Bare soil	-1.348	0.514	-2.621	0.012		
<b>Sensitive species cover</b>						
(Intercept)	91.636	11.733	7.810	0	0.11	51
Rock height	18.088	7.414	2.440	0.019		
<b>Grazing tolerant herb cover</b>						
(Intercept)	-1.897	0.486	-3.900	0.000		
Richness	0.196	0.051	3.850	0.000	0.57	51
Maximum rock height	-0.504	0.164	-3.080	0.002		
<b>Weedy herb cover</b>						
(Intercept)	11.873	6.838	1.736	0.089		
Rock height	-8.208	3.210	-2.558	0.014	0.49	51
Herb cover	0.387	0.067	5.739	0.000		

**Table 3**

Influence of farmsteads (random effect) on plant cover and taxonomical and functional diversities of outcrops as described by the models' log-likelihood ratio test (LRT). Values correspond to the log-likelihood value (log-Lik),  $p$  value and sampling size (n). In bold  $p < 0.05$ . When the impact of farmsteads was significant, the conditional coefficient of determination ( $R^2c$ ) returned by the models was calculated.

	<b>log-Lik</b>	<b><math>p</math>-value</b>	<b>n</b>	<b><math>R^2c</math></b>
<b>Log (Plant richness)</b>	-16.819	0.513	51	
<b>Sqrt (Shannon index)</b>	18.14	0.315	51	
<b>Simpson index</b>	33.029	0.385	51	
<b>Plant cover</b>	-250.930	<b>0.003</b>	51	<b>0.61</b>



<b>Sensitive species cover</b>	-254.434	0.225	51	
<b>Grazing tolerant herb cover</b>	45.366	0.902	51	
<b>Weedy herb cover</b>	-217.2243	<b>0.039</b>	51	<b>0.64</b>

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