

Phase-Field Modeling for Self-Healing of Mineral-Based Materials

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Abstract

Concrete is the most widely used building material in the world. The low raw materials cost, its high compressive strength and the simplicity of the production process makes it an enormous attractive and easy to apply material for the construction and building sector. However, when applied, concrete suffers from cracks, which are inevitable and are the result of various environmental and loading impacts such as traffic load, freeze-thaw cycles, but it also depends on the construction quality. These cracks provide harmful elements such as chloride, carbon dioxide or sulphur ions a pathway, which may induce steel corrosion of reinforced concrete structures. It is a mechanism that will seriously threaten the service life of a concrete structure, while causing significant maintenance costs. Mitigating this phenomenon has led to a worldwide development on self-healing methods for crack closure.

In the last few years, research efforts on self-healing methods have mainly concentrated on experimental work, where only a limited number of numerical models have been reported in literature. These models treat the boundaries, i.e. interfaces, between different the surfaces of components with a zero thickness. In fact, such interface describes the kinetics of a phase transformation from a non-equilibrium to an equilibrium state. This problem requires the diffusion equations to be solved at the interface under moving boundary conditions, which, although feasible for the evolution of simple geometries, becomes rather impossible for higher-dimensional systems and/or complicated interfaces.

For a more accurate description of the above problem, this PhD study presents a novel approach for self-healing of cementitious materials by means of a phase-field (PF) method. Unlike the traditional sharp interface models, a PF method provides a convenient way to numerically deal with free moving boundaries, where the interface is implicitly expressed as a time- and space-dependent function, representing the phase state, and is defined over the entire domain.

In this work, the diffusion-controlled isotropic dissolution of minerals is first investigated from a mesoscale phase transition point of view. Based on earlier formulations by Kim and co-workers [1], an expression of interface mobility under diffusion-controlled conditions is proposed. Using sodium chloride dissolution as an example, the results of their PF method are compared with that of analytical models and experiments, while extending the application of a PF method to the field of mineral dissolution. Based on this, the evolution of a carbonation front, which separates the dissolution zone from the carbonation fraction, is modelled on a thermodynamic basis, while mimicking the self-healing carbonation reaction in cementitious materials. Physical-chemical aspects are used to construct the free energy functions for incorporating dissolution and precipitation systems. Moreover, the dissolution model determines the local concentration fields of the active species in the PF. The model parameters were experimentally calibrated on a single mineral, i.e. the carbonation of calcium hydroxide. As a novel feature, the evolution of multiple interfaces is investigated and demonstrated by an experimental case of self-healing with

calcium hydroxide carbonation. Good qualitative agreement was achieved between the model results and the experimental data and the evolution of the crack morphology was demonstrated. This PhD study showed the potential of a PF method as a predictive tool to estimate self-healing in cementitious materials.

Zusammenfassung

Beton ist das weltweit am häufigsten verwendete Baumaterial. Die niedrigen Rohstoffkosten, seine hohe Druckfestigkeit und die Einfachheit des Herstellungsprozesses machen ihn zu einem äußerst attraktiven und leicht zu verarbeitenden Material für den Bau- und Gebäudesektor. Der Beton reißt im Laufe der Nutzungsdauer, was unvermeidlich ist und auf verschiedene Umwelteinflüsse und Belastungen wie Verkehrsbelastung, Frost-Tau-Zyklen, aber auch auf die Betonqualität zurückzuführen ist. Diese Risse bieten Substanzen wie Chloridionen, Kohlenstoffdioxid oder Schwefelionen einen Weg, bei Stahlbetonkonstruktionen Korrosion hervorzurufen. Durch diese Mechanismen wird die Lebensdauer einer Betonstruktur ernsthaft gefährdet und verursacht gleichzeitig erhebliche Instandhaltungskosten. Daher werden Selbstheilungsmethoden zum Schließen von Rissen in Beton entwickelt.

In den letzten Jahren haben sich die Forschungsarbeiten zu Selbstheilungsmethoden hauptsächlich auf experimentelle Arbeiten konzentriert, während in der Literatur nur wenige numerische Modelle beschrieben werden. Diese Modelle setzen die Dicke von Rändern oder Grenzflächen zwischen verschiedenen Oberflächen zu Null. Eine solche Grenzfläche beschreibt die Kinetik einer Phasenumwandlung von einem Nicht-Gleichgewichtszustand in einen Gleichgewichtszustand. Dieses Problem erfordert, dass die Diffusionsgleichung an den Grenzflächen unter beweglichen Randbedingungen gelöst werden muss, was zwar für die Entwicklung einfacher Geometrien machbar ist, aber für höherdimensionale Systeme oder kompliziert geformte Grenzflächen nicht zielführend ist.

Für eine genauere Beschreibung des oben genannten Problems wird in dieser Dissertation ein neuartiger Ansatz für die Selbstheilung von zementbasierten Materialien mithilfe der Phasenfeldmethode (PF-Methode) vorgestellt. Im Gegensatz zu den traditionellen scharfen Grenzflächenmodellen bietet die PF-Methode eine bequeme Möglichkeit, mit frei beweglichen Grenzflächen endlicher Dicke numerisch umzugehen. Hierbei wird die Grenzfläche implizit als zeit- und raumabhängige Funktion ausgedrückt, die den Phasenzustand darstellt und über dem gesamten Berechnungsgebiet definiert ist.

In dieser Arbeit wird die diffusionskontrollierte isotrope Auflösung von Mineralien zunächst unter dem Gesichtspunkt des mesoskaligen Phasenübergangs untersucht. Auf der Grundlage früherer Formulierungen von Kim et al. [1] wird die Grenzflächenmobilität unter diffusionskontrollierten Bedingungen hergeleitet. Am Beispiel der Solvation von Natriumchlorid in Wasser werden zunächst die Ergebnisse der PF-Methode mit denen von analytischen Modellen und Experimenten verglichen, wobei die PF-Methode zur Auflösung von Mineralien angewendet wird. Anschließend wird die PF-Methode zur thermodynamischen Simulation der Präzipitation von mineralischen Substanzen verwendet. Es zeigt sich, dass die Karbonatisierungsfront die Auflösungszone und den Karbonatisierungsfortschritt unterscheidet. Physikalisch-chemische Aspekte werden genutzt, um die Funktionen der freien Energie für die Auflösungs- und Ausfällungssysteme zu konstru-

ieren. Darüber hinaus bestimmt das Solvationsmodell die lokalen Konzentrationsfelder der aktiven Bestandteile im Phasenfeldmodell. Die Modellparameter werden experimentell anhand der Karbonatisierung des Minerals Calciumhydroxid ermittelt. Neu ist, dass die Entwicklung mehrerer Grenzflächen beschrieben und durch experimentelle Untersuchungen validiert werden kann. Hierbei wird die Selbstheilung durch die Karbonatisierung von Kalziumhydroxid betrachtet. Die Modellergebnisse und die experimentellen Daten stimmen gut überein. Außerdem wird die Entwicklung der Rissmorphologie nachgewiesen. Diese Dissertation zeigt das Potenzial der PF-Methode als Vorhersageinstrument zur Abschätzung der Selbstheilung in zementbasierten Materialien.

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Index of Abbreviations and Symbols

Abbreviation	Full name and/or chemical formula
PF	phase field
OP	order parameter
FEM	finite element method
FGM	fixed grid method
VSSM	variable space-step method
VTSM	variable time-step method
VGM	variable grid method
VTSSM	variable time- and space-step method
EDS	energy-dispersive X-ray spectroscopy
ESEM	environmental scanning electron microscopy
GJ	gigajoule
SCA	smear-crack approach
DCA	discrete crack approach
Ca(OH) ₂	calcium hydroxide, portlandit
CaCO ₃	calcium carbonate
Ca ²⁺	calcium ion
CO ₃ ²⁻	carbonate ion
CO ₂	carbon dioxide
H ₂ O	water

Symbol	Description	Unit
c	solute concentration	mol/m ³
c_s	saturation concentration	mol/m ³
c_{\min}	minimum supersaturation concentration	mol/m ³
c_{\max}	ultimate supersaturation concentration	mol/m ³
ϕ	order parameter	-
A	curvature of the free energy density function	J/m ³
L	interface mobility	m ³ /(Js)
ω	height of the double well potential	J/m ³
κ	gradient energy coefficient	J/m
D	diffusion coefficient	m ² /s
f	local free energy	J/m ³
ΔG	gibbs free energy	J/m ³
ΔG^a	activation energy	J/m ³
\mathcal{L}	total free energy	J/m ³
σ	interfacial energy	J/m ³
t	time	s
R	gas constant	J/(Kmol)
T	ambient temperature	kelvin
l_o	initial thickness of the diffuse interface	m

- The units of the symbols are given according to the International System of Units (SI).
- An unspecified unit is indicated by "-".

1 Introduction

1.1 Research background

Concrete is the most used man-made construction material of today and is used in massive quantities in countless infrastructure applications around the world. More than 12 billion tons of concrete are used in global construction each year [2]. Once applied, it is subjected to a combination of mechanical loads and environmental loads (e.g. freezing and thawing [3]), causing volumetric instabilities (e.g. creep and shrinkage [4]), which may lead to bigger and smaller cracks. Although smaller cracks, micro-cracks, do not directly cause structural failure, they may influence the rate of deterioration of a concrete structure.

Maintenance of buildings and infrastructures is a worldwide problem, causing every year significant human, financial and resource investments. In the United States, the annual economic impact associated with concrete structures undergoing inspection, repair, and/or replacement is estimated at \$18-21 billion [5]. The maintenance cost for bridges only amounts \$5.2 billion [6]. The indirect loss of time and productivity of the general public due to delays and disruptions caused by maintenance activities is estimated to be more than 10 times the direct cost. In Europe more than half of the annual construction budget is spent on the maintenance and repair [7]. In China, the total cost from the maintenance of roads and bridges due to concrete and rebar corrosion is more than \$9.65 billion, equivalent to 4.0% of the total industry investments [8].

Maintenance of concrete structures, using cement-based repair materials, may also have a significant impact on the environment, as the cement production is energy-intensive. The production of 1 tonne (t) of cement requires about 3.2 gigajoule (GJ) to 6.3 GJ of energy and 1.7 t of raw materials (mainly limestone) [9]. Due to the enormous amounts cement produced annually, the share to the world's total carbon dioxide emission is up to 7% [10]. It is expected that, with the current growth of the economy, population, and the current service life expectation of concrete structures, the annual cement production will increase from about 3.3 billion tonnes (bt) now to 4.8 bt in 2030 [11]. The resulting ecological degradation will be significant.

Confronted with this, there is an urgent need in both academia and industry to find innovative solutions for enhancing the long-term performance of concrete structures. Over the past few decades, concrete has shown to have the intrinsic ability to heal the crack less than 150 μm in width [12]. Inspired by nature, various self-healing mechanisms have been developed for cracks with widths larger than 300 μm [13]. According to the definition of RILEM TC-221-SHC [14], the self-healing mechanism of cement-based materials can be divided into two categories: autogenous and autonomous self-healing. The autogenous self-healing process only involves the remaining reactivity of the original components in the material, regardless of the effect of other additives. These original components may undergo chemical reactions under appropriate environmental

conditions while promoting crack healing. Autonomous self-healing processes are always depending on aid of healing additives, such as micro-capsules containing healing agents or bacterial spores.

So far, in self-healing developments experiment-based solutions have received a lot of attention, while optimizations based on numerical simulations were mostly disregarded. The limited number of numerical methods published in the literature are mainly used to simulate: (1) crack healing due to chemical reactions driven by carbonation, hydration and precipitation accompanied by transport effects; (2) continuous damage and mechanical strength recovery of structures, which usually follow the Smeared-Crack Approach (SCA) and the Discrete Crack Approach (DCA). In these models, the solid-liquid interface is usually assumed to be regular sharp or directly simplified to one-dimension, while quantifying only the healing efficiency. While the ion diffusion and the chemical reactions in solution are simulated, the microscopic morphological variations of cracks due to a soluble mineral dissolution and precipitation mechanism are completely ignored. However, changes in crack morphology directly affect the concentration distribution of aqueous substances in solution, which in turn, may act on the chemical reaction and mechanical effect at the interface.

Therefore, a novel and reliable numerical model is needed that combines these defects. Moreover, this numerical model should be able to make reasonable predictions of autogenous crack healing while providing excellent solutions for enhancing the durability and structural safety of cementitious materials. A Phase-Field (PF) method, emerged in recent years, turned out to be a powerful tool for handling mobile interfaces induced by phase transitions, and are widely used in solidification problems [15]. The advantage of a PF method over other competitive numerical methods lies in its ability to capture interface motions without introducing any additional special techniques and/or remeshing strategy. This provides a powerful and innovative approach to study the microstructural migration caused by the phase transition during a self-healing process.

1.2 Research objectives

The main objective of this research is to develop a numerical model using a PF approach for self-healing of cementitious materials based on the dissolution and precipitation mechanism from a phase transformation perspective. For this, the following three key aspects are addressed, which are considered to be crucial to understand and design a successful PF model for self-healing of cementitious materials. Firstly, understand and verify the feasibility of the PF method for the moving boundary problem of mineral dissolution. From an experimental point of view, the dissolution reaction is the first step in activating the self-healing mechanism. From a modeling point of view, this sequence helps to better understand and disentangle the complex self-healing mechanism based on nonequilibrium thermodynamics. Secondly, simulate the healing behavior of the single component minerals based on the physical parameters provided by experimental results, while focusing on the interface evolution during phase transformation. An innovative multi-phase multi-interfaces PF model for dissolution and precipitation should be based on the PF dissolution model validated in the first step. Finally, practical examples of cementitious materials are numerically implemented and analysed. In this thesis, these three key issues are taken as research questions and addressed by the numerical modeling techniques using a finite element method (FEM) platform. The main objectives are listed as follows:

- Comparison of a classical binary PF model with an analytical model for a general diffusion-controlled dissolution process and validation with experimental results for a congruent dissolu-

tion case.

- Development of a multi-phase PF model for precipitation/dissolution based self-healing. Prediction of healing kinetics under multiple factors.
- Implementation of carbonation experiments of calcium hydroxide. Experimental quantification of the amount of reaction products formed in cracks as a function of time. Analysing the boundary evolution and investigation of kinetic parameters of the multi-phase PF model.
- Simulation of the self-healing of actual irregular shaped cracks of cementitious materials and comparison with experimental results.

1.3 Research scope

The scope of this research comprises the following:

- The present study focuses only on the self-healing reaction based on the dissolution/precipitation mechanism.
- In the study of the carbonation reaction, only the end product, i.e. calcium carbonate (CaCO_3), is considered without other intermediate substances.
- When applying the PF model to the cementitious materials, the diffusion and precipitation of all aqueous species are represented by a single ionic concentration. The self-healing product phase does not distinguish between calcium–silicate–hydrates (C–S–H) and calcium hydroxide ($\text{Ca}(\text{OH})_2$) or other secondary hydration products.

1.4 Outline

This dissertation is composed as a cumulative one, which includes 4 chapters (Figure 1.1). Chapter 1 gives a brief introduction of the research background, objectives and corresponding scopes. Chapter 2 provides a review of most relevant fundamentals, self-healing mechanisms and the underlying theory of PF methods. Chapter 3 includes the three-following peer-reviewed publications:

publication 1

title: A Review on Cementitious Self-Healing and the Potential of Phase-Field Methods for Modeling Crack-Closing and Fracture Recovery [16]

journal: Materials, MDPI

publication 2

title: Numerical Phase-Field Model Validation for Dissolution of Minerals [17]

journal: Applied Sciences, MDPI

publication 3

title: A Phase-Field Approach for Portlandite Carbonation and Application to Self-Healing Cementitious Materials [18]

journal: Materials and Structures, Springer

Finally, in chapter 4 the conclusions and outlook of this thesis are reported.

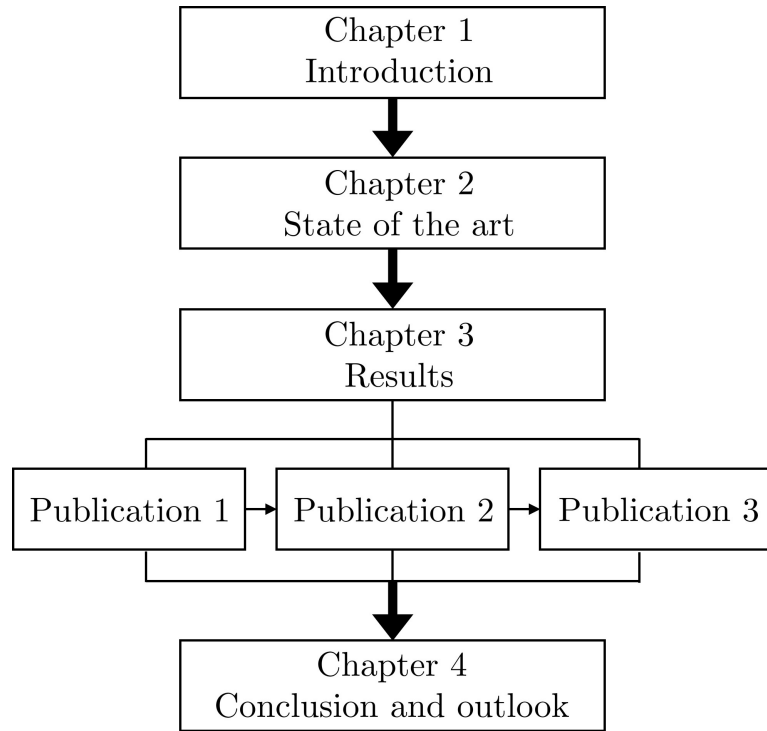


Figure 1.1. Outline of this thesis.

2 State of the art

2.1 Fundamental

2.1.1 Diffusion

Diffusion is a physical process in which molecules of a substance migrate from high to low concentration areas until they are evenly distributed [19]. Diffusion can take place in gases, liquids, or solids [19]. The driving force of diffusion are chemical potential, concentration and/or stress gradient. In 1855, Adolf Fick [20] developed the first law of diffusion, which is based on the analogy to heat conduction. In one-dimension x , the flux J of diffusing substances is proportional to the concentration gradient $\partial c/\partial x$

$$J = -D \frac{\partial c}{\partial x}, \quad (2.1)$$

where, D is the diffusion coefficient; the negative sign indicates the direction of diffusion from high to low concentration areas.

A combination of Eq.(2.1) with the conservation equation yields Fick's second law. It describes the variation of concentration with time t

$$\frac{\partial c(x, t)}{\partial t} = -\frac{\partial J}{\partial x} = D \frac{\partial^2 c(x, t)}{\partial x^2} \quad (2.2)$$

For the case of diffusion in two dimensions or more and D is a constant, Fick's second law is expressed as

$$\frac{\partial c(x, t)}{\partial t} = D \nabla^2 c(x, t) \quad (2.3)$$

where, ∇ is the vector differential operator.

2.1.2 Dissolution

Dissolution is the process by which a solute (solid and/or gas) is uniformly dispersed in a solution (liquid). The dissolution of solid particles in a liquid involves two main steps: 1) the detachment of molecule or ion from the surface of the solid to form a hydrated molecule with the liquid (the reaction-controlled dissolution), and 2) the mass transfer from the solid-liquid interface to the bulk solution (the diffusion-controlled dissolution) [21]. The equation for the diffusion-controlled dissolution is already proposed by Noyes and Whitney [22] in 1897, where the dissolution rate R_d is proportional to the difference between the solubility and the bulk concentration

$$R_d = \kappa_d (c_s - c_b), \quad (2.4)$$

where, c_s is the solubility; c_b is the bulk solution concentration, and κ_d is the dissolution rate constant, which is related to the transport property of the solute.

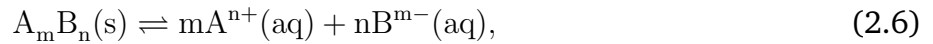
The equation for the reaction-controlled dissolution rate R_r is [23]

$$R_r = \kappa_s \left(1 - \frac{c}{c_{eq}} \right), \quad (2.5)$$

where, κ_s is the effective transport coefficient and c_{eq} is the equilibrium concentration.

2.1.3 Precipitation

Precipitation is the process of converting dissolved substances from a supersaturated solution into insoluble solids [24]. When the concentration of the dissolved solute in the solution is higher than the solubility, the solution is supersaturated. At this state, the solute particles agglomerate with each other to form insoluble solids, which then precipitate out of the solution. When the solute concentration in the solution decreases until the solution equilibrium is established, i.e., the migration rate of substances between the solid and solution phases is equal to each other, the solution reaches a saturation state. The precipitation process can be divided into the following steps: nucleation, growth, ripening, and recrystallization [25]. The equilibrium constant for the dissolution and precipitation of a slightly soluble ionic solid is called the solubility product K_{sp} . For a heterogeneous equilibrium involving the solid $A_m B_n$ and its ions $m A^{n+}$ and $n B^{m-}$



where, “s” and “aq” refer to species in the solid and aqueous states, respectively.

The solubility product is expressed as

$$K_{sp} = \{A^{n+}\}^m \{B^{m-}\}^n, \quad (2.7)$$

where $\{A^{n+}\}$ and $\{B^{m-}\}$ are the ionic activities; the right-hand side of Eq.(2.7) is referred to as the ion activity product (IAP), which can be used to estimate the saturation of a solution for a particular substance by estimating the saturation index (SI)

$$SI = \log_{10} \left(\frac{IAP}{K_{sp}} \right). \quad (2.8)$$

If $SI < 1$, the solution is unsaturated and the dissolution process continues; if $SI > 1$, the solution is supersaturated and the precipitation begins; if $SI = 1$, the solution is in the equilibrium state.

Thermodynamically, the precipitation is accompanied by changes in the solute concentration and the free energy. Lamer and Dinegar [26] used sulfur nucleation as an example to illustrate the change in the solute concentration from soluble monomer particles to colloidal clusters (Figure 2.1 (a)). Firstly, soluble monomers are gradually formed in the solution and their concentration increases (Stage I). Soluble monomers nucleate from the solution when their concentration is higher than the critical supersaturation level c_{min} (the minimum supersaturation for the nucleation). The nucleation phase ends when the concentration of soluble monomers reaches the supersaturation level c_{max} (ultimate supersaturation) (Stage II). When the supersaturation concentration is lower than c_{min} but higher than the saturation concentration c_s (solubility of soluble monomers), the

stable nuclei in solution continue to grow by diffusion. Cluster growth ends when the concentration of monomeric species drops to the solubility level of the bulk solid (Stage III).

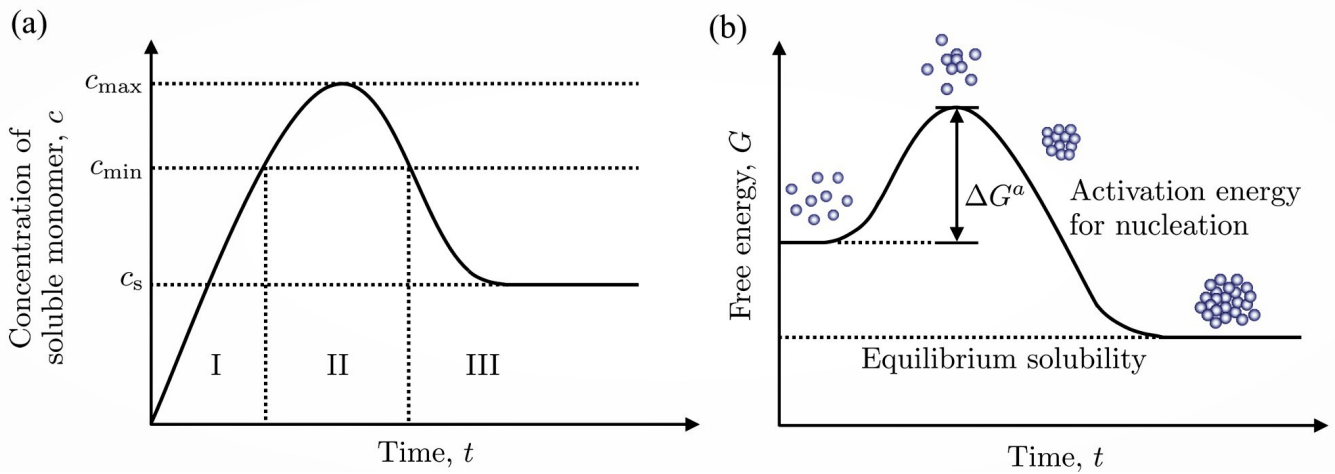


Figure 2.1. Schematic illustration of variation in solute concentration and free energy during precipitation. (a) The concentration of molecules before and after nucleation as a function of time. Reproduced with permission from [26]. Copyright 1950 American Chemical Society. (b) The variation of the free energy as a function of particle size. Reproduced with permission from [27]. Copyright 2013 Elsevier.

Patel and Anderson [27] elucidated the variation of free energy of the precipitate nucleation (Figure 2.1 (b)). Initial nucleation requires overcoming the activation energy ΔG^a and then generating a critical nuclei. The critical nuclei gradually grow in the region of the metastable supersaturated state, forming larger particles. A solution in a supersaturated state is thermodynamically unstable, thus it tends to reach a steady state by lowering the free energy of through precipitation. Eventually, the nuclei size reaches its maximum at the equilibrium solubility and particles precipitate out of the solution.

2.2 Self-healing in cementitious materials

Cementitious materials are known as brittle materials with a low tensile strength and fracture toughness. Microcracks occur inevitably during the construction and service life period. If microcracks are not timely repaired, cracking will exacerbate the structural damage, thus affecting the durability of the structure. Over the past decades, tremendous efforts have been made to develop self-healing techniques for various types of cementitious materials from both experimental and simulation perspectives. This chapter gives an overview on the various mechanisms of self-healing (in Section 2.2.1), followed by a synthesis of existing analytical and numerical simulation methods (in Section 2.2.2).

2.2.1 Self-healing mechanisms

The RILEM Committee TC-221 SHC and the Technical Committee TC-075B of the Japan Concrete Institute (JCI) have classified self-healing mechanisms into autogenous and autonomous [14, 28].

Table 2.1. A taxonomy for research in self-healing mechanisms

Category	Crack width	Mechanisms	Reference	
Autogenous	100–150 μm	Further hydration of unhydrated cement clinker	[29–33]	
		Carbonation of portlandite, precipitation of calcite	[29, 34–37]	
		Recrystallization of portlandite leached from the bulk paste	[14, 31, 38–40]	
Autonomous	≥ 300 μm	Mineral admixtures	Expansion term	[41, 42]
			Swelling term	[43–45]
			Crystalline term	[43, 46]
		Bacteria	Direct application	[47, 48]
			With encapsulation	[49–52]
		Adhesive agents	One-component	[53, 54]
Multi-component	[55, 56]			

The autogenous self-healing process involves only the efficacy of the original components in the material, regardless of the effects of other additives. These original components can react chemically under appropriate environmental conditions to foster crack healing. The autogenous healing is capable of repairing cracks up to a width of 100-150 μm [13]. In contrast, autonomous healing can heal cracks up to 300 μm [13, 47]. However, the autonomous self-healing process must be accomplished with the help of healing agents such as micro-capsules containing healing agents or bacterial spores. The classification of self-healing mechanisms and corresponding crack healing widths are summarized in Table 2.1. The principles of each self-healing mechanism are described in detail in Section 2.2.1.1 and 2.2.1.2.

2.2.1.1 Autogenous self-healing

Autogenous self-healing comprises of three main chemical reactions: a further hydration of the unhydrated cement clinker generating additional Calcium Silicate Hydrates (C–S–Hs), precipitation of calcite, and recrystallization of portlandite. There are some secondary mechanisms, including volume expansion due to water absorption by the cement matrix and mechanical filling cracks caused by the accumulation of mineral debris in solution [14]. These mechanisms are not considered in this thesis.

(1) Further hydration of unhydrated cement clinker

For young concrete the further hydration of the unhydrated cementitious clinker is the main mechanism of self-healing [37]. Unhydrated cement clinker of cracked surfaces inside a cement

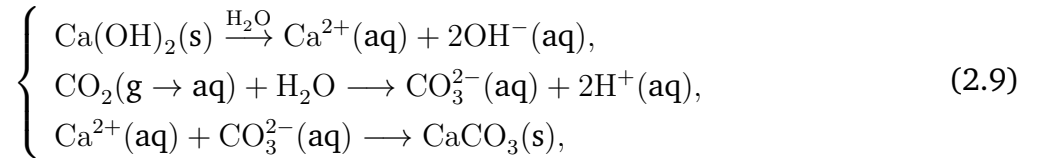
matrix begin to dissolve once they are in contact with water, where Ca^{2+} ions and silicates diffuse from the anhydrates [57]. When the concentration of the various ions in the solution reaches the equilibrium criteria for precipitation, further hydration products are formed in the crack solution [31]. As further hydration products form on the crack surface, the rate of further hydration slows down and the self-healing changes from a chemical reaction-controlled process to a diffusion-controlled one [32].

The strength of the hydration products formed by further hydration is similar to that of the primary C–S–H gels, which may be effective in restoring the mechanical properties of the cementitious composite. The content of $\text{Ca}(\text{OH})_2$ (CH) in the self-healing products is higher than that of the C–S–Hs and differs significantly from the composition of hydration products in bulk cement paste [31]. In addition, the nucleation and growth of the hydration products formed at the crack surface also differ from those in the bulk cement paste.

There are two reasons for that: 1) The amount of water provided for further hydration at the crack surface is much more abundant than that in the bulk cement paste ($w/c > 0.3$), which ensures that the cement clinker is fully hydrated, resulting in larger sized crystal-like products [40]. However, further hydration in the cracks will stop when the water in the cracks is completely absorbed due to the capillary effect of the concrete matrix; 2) the space provided for the nucleation and growth of hydration products at the crack surface is much larger than that in the hydrated cement paste [13]. Thus, the distribution of hydration products generated at the crack surface is more dispersed than that in the bulk cement matrix.

(2) Carbonation of portlandite, precipitation of calcite

Crystallization of calcium carbonate CaCO_3 is proved to be the main mechanism for autogenous self-healing [29, 34]. The chemical reaction process could be described as follows



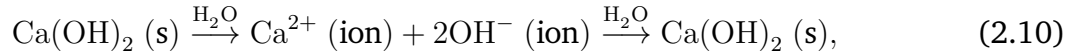
where, “aq”, “g” and “s” refer to species in an aqueous, gaseous and solid state, respectively.

Initially when the cracks are filled with water, portlandite and unhydrated cement clinker dissolves, releasing Ca^{2+} ions. The Ca^{2+} ions transported from the cement matrix react with carbonate CO_3^{2-} ions from the atmospheric carbon dioxide dissolved in water and form CaCO_3 precipitates [40]. This stage is reaction controlled. Once an initial calcite layer on the crack surface has formed, the amount of Ca^{2+} ions supplied by the concrete matrix will reduce including a transition to a diffusion-controlled precipitation. Ca^{2+} ions from the cementitious matrix can only reach the crack surface by diffusion through the calcite layer to form the healing products.

The carbonation reaction is often limited by an insufficient supply of Ca^{2+} and CO_3^{2-} ions [29]. On the one hand this is due to the fact that a certain portion of the portlandite (the main source of Ca^{2+} ions) is used in the pozzolanic reaction for C–S–Hs development [58]. On the other hand, that CO_3^{2-} ions are difficult to transport to the deeper crack regions. Thus CaCO_3 is often experimentally observed to form mainly at the surface near the crack openings, as the sufficiently high Ca^{2+} and CO_3^{2-} ions content in this area is available for the CaCO_3 precipitation [13, 58].

(3) Recrystallization of portlandite leached from the bulk paste

CH is quantitatively an important hydration product of Portland cement clinker, which is able to fill cracks by recrystallisation [59]. Solid particles of CH are first dissolved in the form of solute ions, which diffuse into the depths of cracks and then precipitate in a supersaturated state [60] according to



where, “ion” refers to species which are in an ionic state.

Compared to the autogenous self-healings mentioned above, CH recrystallization is less efficient, since the solubility of CH is 100 times higher than that of CaCO_3 polycrystals [61, 62]. In addition, solid CH in the cementitious matrix first dissolve in the unsaturated solution. However, Ca^{2+} ions are continuously consumed by the carbonation reaction, making it difficult for the CH recrystallization [59]. The diffusion rate of solute ions and degree of supersaturation of CH are important factors affecting its recrystallisation rate [63]. In addition, the results in [39, 59] showed that a number of CH was found as large, well-formed crystals in deep cracks with a very high moisture content, since carbonation was inhibited in this region, giving a suitable condition for CH recrystallization.

2.2.1.2 Autonomous self-healing

Due to the limited effectiveness of autogenous self-healing, many attempts have been made in concrete engineering to improve the crack healing performance by artificially adding additional ingredients (healing agents), either encapsulated or non-encapsulated. Healing agents are available in a variety of compositions such as minerals, bacteria, and polymers. The healing mechanism of each additive is described in detail below:

(1) Mineral Admixtures

Mineral admixtures filled in the cementitious materials can react with water when cracks appear so that the cracks are healed with reaction products [43]. According to the type of reaction, mineral admixtures can be divided into three categories: expansive, swelling and crystalline admixtures.

The expansive admixture works on the principle of using the increase in volume of the reaction products to fill the cracks [42, 58]. Commonly used are calcium sulfoaluminate (CSA) based expansive agents [64]. Due to the rapid hydration of CSA in 2 to 24 hours the dense product can heal the cracks in a short time [65–67]. Geomaterial-based additives consisting of silicon dioxide, sodium aluminum silicate hydroxide, and bentonite clay can heal cracks by swelling [43–45]. The main mineral component of crystalline admixture is tricalcium silicate (C_3S), which reacts with water producing C–S–H crystals [68, 69].

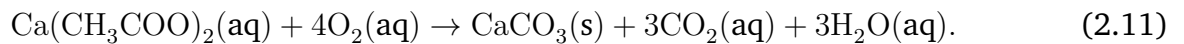
(2) Bacteria Admixtures

The main mechanism of bacteria based self-healing is that the bacteria themselves act as catalysts, converting precursor compounds into suitable filler materials. Bacteria containing calcium nutrient sources are added to cementitious materials at the time of mixing [70]. At this point, the bacteria are inactivated in the form of spores [71]. When cracks appear, water enters

the interior of the structure and activates the bacteria [72]. Through their metabolism, CaCO₃ deposits are produced to fill the cracks. So far, two types of bacterial metabolic pathways have been used to increase the crack healing potential of cementitious materials:

Metabolism of aerobic alkalophilic bacteria [71, 73]

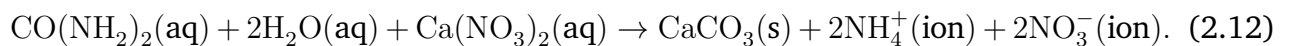
Aerobic alkalophilic bacteria can convert calcium lactate Ca(CH₃COO)₂ into CaCO₃ precipitate, which is the direct ability of microbially enhanced crack healing



The indirect ability is manifested by the reaction of metabolically generated CO₂ molecules with Ca(OH)₂ minerals present in the cement matrix to produce additional CaCO₃ precipitates. Wiktor and Jonkers showed that this method can lead to complete healing of cracks up to 460 μm in width within 100 days [72]. A two-component biochemical self-healing agent consisting of a mixture of bacterial spores and calcium lactate, can promote the healing of cracks with a width of more than 900 μm [72]. However, the main disadvantage of the aerobic respiration pathway is that the healing capacity is limited by the amount of O₂, since metabolically active bacteria require large amounts of O₂. In the absence of O₂, the healing capacity is inhibited [73].

Urea hydrolysis [74, 75]

This pathway has a better self-healing property than that of the first one. Special bacteria like *Bacillus cohnii*, *Sphaericus*, *Subtilis*, *Pasteurii*, *Megaterium*, and *Sporosarcina urea* can convert urea to ammonium NH₄⁺ and CaCO₃ in a highly alkaline environment [76]. Although microbial urea decomposition mechanisms can promote rapid healing of cracks, e.g. a 970 μm wide crack can heal in 8 weeks [77], the hydrolysis product NH₄⁺ becomes NH₃ under alkaline conditions. During this conversion process the OH⁻ ions in the concrete are depleted and this leads to degradation of the concrete [47]. In addition both NH₄⁺ and NH₃ are considered to be harmful to aquatic life [78]



It is worth noting that when applying these pathways, the spores or bacteria should be protected from the harsh concrete environment in order to maintain their activity [79]. When bacterial spores are added directly to cement mixtures, they survive for only 1-2 months [71]. In addition, the direct addition of organic biomineral precursor compounds can cause a significant reduction in the strength of cementitious materials. Therefore various encapsulation techniques for bacteria have been investigated, such as immobilizing them with microcapsules [52, 77] and porous expanded clay aggregates [80].

(3) Adhesive Agents

Compared to the two methods mentioned above, using adhesive agents is the most rapid one. Strength recovery rates of up to 75% were observed after 48 hours of crack healing [53]. The adhesive agents can be encapsulated in microcapsules [81], hollow fibers [82] or vessel networks [83]. Adhesive agents can be classified as one-component or multi-component. Commonly used one-component adhesive agents are polyurethane [53] and epoxy [54]. It is worth mentioning that epoxy resins can also be divided into one-component and two-component. One-component epoxy resins are generally latent epoxy resins, where a curing

agent or catalyst has been added to the epoxy resin. However, the two-component one is resin and curing agent are initially encapsulated separately. When cracks appear, the two components are released from the sealing device subsequently undergo a hardening reaction to heal the cracks.

In addition to two-component epoxy resins, a commonly used multi-component adhesive is methylmethacrylate (MMA) [55]. Yang et al. combined MMA with triethylborane (TEB, catalyst) and obtained positive self-healing results [81]. Dry et al. used a three-component MMA [84], i.e. cumine hydroperoxide and cobalt neodecanoate as catalysts to promote the polymerization and hardening of the MMA. Multi-component adhesives have higher stability than single-component adhesives, since they are activated in situ. However, due to the inability to control the ratio of multi components penetrating into the crack, one-component adhesives have proven to be more effective in repairing cracks [85].

2.2.2 Self-healing modeling

The existing numerical methods for self-healing can be divided into: (1) chemical-transport-based models and (2) fracture-based models. Table 2.2 summarizes the existing models and their techniques.

2.2.2.1 Chemical-transport-based model

The chemical-transport-based model focus mainly on the diffusion mechanisms of heat, moisture and aqueous species in the cementitious material, and the chemical thermodynamics and kinetics for precipitation of hydration, carbonation and/or hygro-electrochemical self-healing products. Cementitious materials are considered as the porous multiphase medium, with air and/or capillary pores in the solid matrix occupied by liquid and/or gaseous phases. At the macroscopic scale, the governing equations of these models are formulated based on the average conservation equations for mass (phases and chemical species) and enthalpy under the assumption of local thermo- and/or hygral equilibrium.

For the further hydration self-healing, Zhang et al. [86] proposed a model in which the unhydrated cement nuclei were randomly distributed in the cementitious composite matrix. The cracks were simulated by splitting (the crack go through the unhydrated cement particles) and dome-like (the crack go along the surface of unhydrated cement particles) methods. The efficiency of self-healing influenced by the volume fraction and the particle size distribution of unhydrated cement nuclei was calculated from the perspective of geometric probability theory. Huang and Ye [32] determined numerically the self-healing efficiency by using capsules containing water to promote further hydration of unhydrated cement particles. The volume of additional water in the fracture was calculated as a function of time based on the transport theory. The amount of hydration products was determined by a thermodynamic model coupled by the mass balance, the charge balance and the chemical equilibrium.

A several hygro-thermal-chemical models were developed. Di Luzio et al. [87, 88] proposed a SMM (Solidification-Microprestress-Microplane) model to simulate the healing effect of concrete under different humidities, thermal fields and hydration degrees. The modified model can also simulate the effect of cracks on the permeability and the evolution of mechanical properties of

concrete. On this basis, Ferrara [89] introduced a recovery degree to approximate the degree of the self-healing. In addition, the recovery of the load-bearing capacity of concrete with and without additives was simulated and compared with experimental data. Chitez and Jefferson [90] presented a coupled thermal-hygro-chemical model and used reactive water transport component to predict the movement of healing materials. Moreover, a hydro-chemo-mechanical model based on micro-mechanical observations was established by Hilloulin et al. [91]. Based on a diffusion-hydration model, the recovery of mechanical properties during self-healing was evaluated using a continuum damage model.

Table 2.2. A review of self-healing modeling.

Phenomena	Category	Technique	Reference
Chemical-transport	Autogenous	Splitting crack model	[86]
		Thermodynamic-diffusion model	[32]
		Hygro-thermal-chemical model	[87–90]
		Hydro-chemo-mechanical model	[91]
		Reaction-diffusion model	[92]
	Autonomous	Embedded finite element method	[93]
		Level set method	[94]
		Coupled transport-damage model	[95]
		Hybrid genetic algorithm	[96]
		Analytical model	[97]
Fracture	Autogenous	Micro-mechanical model	[98]
		Two phases micro-mechanical model	[99]
		Softening-healing with SDA	[100]
		Cohesive zone damage-healing model	[101]
		Discontinuity embedded model	[100]
	Autonomous	Lattice model	[102]
		Phenomenological model	[103]
		Continuous damage model	[104]
		Reversed cohesive constitutive model	[105]
		Discrete element method	[106]
General	Cohesive surfaces technique	[107]	
	LatConX system model	[108]	
	Particle flow code (PFC2D)	[109]	
	Thermodynamic constitutive model	[110–114]	
		Coupled damage-plasticity model	[115]

For the carbonation self-healing, Aliko-Benítez et al. [92] proposes a reaction-diffusion model using Finite Element Method (FEM). The diffusion of aqueous species and the reaction rate calculated from the three main species Ca^{2+} ions, CO_3^{2-} ions and CaCO_3 precipitates were taken into account.

For the same mechanism, Ranaivomanana and Benkemoun [93] discretized the transport-reaction partial differential equations for the fracture and porous matrix using the Embedded Finite Element Method (E-FEM).

In contrast to autogenous self-healing, only a few chemical-transport models have addressed autonomous self-healing. Zemskov et al. [94] presented a mathematical model of bacterial self-healing. The moving boundaries were tracked using a level set method. Freeman and Jefferson [95] proposed a model for the delivery of healing agents to damaged zones. By coupling a crack flow model with the mass balance equation, the continuum and discrete-crack flows were simulated. Suleiman and Nehdi [96] developed a hybrid genetic algorithm-artificial neural network model predict the self-healing efficiency of concrete under the influence of different factors, i.e. water-to-cement ratio (w/c), type and dosage of supplementary cementitious materials, and bio-healing materials. In addition to numerical models mentioned above, Zhu et al. [97] developed an analytical model to predict the microcapsule-based self-healing efficiency.

2.2.2.2 Fracture-based model

Few studies aimed at the healing mechanical properties of cementitious materials are available in the literature. A representative fracture model is a two phase micro-mechanical constitutive model developed by Davies and Jefferson [99]. A cohesive zone damage-healing model was presented by Abu Al-Rub and Alsheghri [101]. In this model, the classical continuum damage mechanics were extended to describe the healing evolution within the crack cohesive zone. Zhang and Zhuang [100] proposed a time-dependent softening-healing law for self-healing quasi-brittle materials and evaluated the reliability of the model using a strong discontinuity embedded approach.

The main idea of Remmers and Borst's [105] phenomenological model is that fracture healing is triggered by fluid pressure. The re-bonding of the crack surface was modelled by a reversed cohesive constitutive model. Zhou et al. [106] proposed a three-dimensional damage healing model for microencapsulated self-healing cementitious materials under compressive loading by using a discrete element method. This model can be used to simulate local healing effects, as well as stress concentration effects and local healing effects. Hazelwood et al. [108] developed a LatConX model to predict the long-term healing behaviour of concrete materials containing shape memory polymer tendons.

For general fracture self-healing problem, several thermodynamic-based micro-damage healing models have been made in the literature [110–114]. Additionally, Caggiano et al. [115] proposed a damage-plastic constitutive theory for zero-thickness interfaces. The model was based on the fracture-energy concept and included the time evolution of concrete porosity. In addition, some thermodynamic-based constitutive models and coupled damage-plasticity model can be applied to both autogenous- and autonomous self-healing mechanisms [110–115].

2.3 A moving boundary problem

2.3.1 Introduction

The moving boundary problem (MBP), also known as the Stefan problem, was studied in depth by J. Stefan [116, 117]. The MBP occurs in many physical and engineering processes, e.g. dissolution

[118], heat transfer involving phase transformation [119], cracks in solid mechanics [120] and metallurgy [121]. These problems are usually defined as a system of partial differential equations (PDEs) in a certain domain, however the boundary (interface) separating two phases is unknown and must be determined as an integral part of the solution. The location of the moving boundary (MB) is described by a function of time t and space x , controlled by the transport conditions.

To illustrate the MB problem in more detail, the evolution of the solid-liquid boundary due to thermal diffusion and latent heat exchange in a homogeneous medium will be illustrated below using a classical solid-liquid system as an example (as shown in Figure 2.2). The solid phase I and the liquid phase II are divided into region Ω_1 and Ω_2 by an interface I of approximately zero width. Melting or solidification caused by temperature changes leads to regional changes in each phase. Therefore the position and the morphology of the interface I change accordingly. The temperature μ at the interface is the phase change temperature. The moving boundary obeys the laws of mass conservation and energy conservation, which are Stefan's conditions [122].

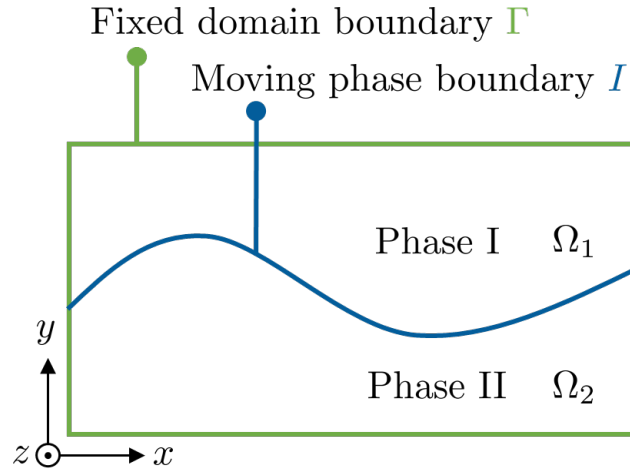


Figure 2.2. A two phases system with a MB. The domain Ω consists of phase I (Ω_1) and phase II (Ω_2). The contour bounds the overall domain (Γ), while the two phases are separated by a MB (I).

The temperature μ is governed by the heat conduction equation, which is expressed in the solid and liquid phases as follows

$$c_1 \rho_1 \frac{\partial \mu}{\partial t} = k_1 \frac{\partial^2 \mu}{\partial x^2}, \quad (2.13)$$

$$c_2 \rho_2 \frac{\partial \mu}{\partial t} = k_2 \frac{\partial^2 \mu}{\partial x^2}, \quad (2.14)$$

with the boundary condition

$$\mu(0, t) = \text{const}, \quad (2.15)$$

and the initial condition

$$\mu(x, 0) = \text{const}, \quad (2.16)$$

where, k_1 and k_2 are the thermal conductivities, c_1 and c_2 are the specific heat capacities, ρ_1 and ρ_2 are the densities of solid and liquid phases, respectively.

The condition on the interface I is

$$\mu_1 = \mu_2, \quad (2.17)$$

where, μ_1 and μ_2 are the temperature of the solid and the liquid phases, respectively.

The Stefan conditions on the interface I are

$$\begin{cases} \lambda \rho_1 \frac{dI}{dt} = k_1 \frac{\partial \mu_1}{\partial x} - k_2 \frac{\partial \mu_2}{\partial x}, \\ I(0) = 0, \end{cases} \quad (2.18)$$

where, λ is the latent heat.

2.3.2 Numerical methods

For solving MBP, there are three main numerical methods. The first one is the fixed grid method (FGM) also called Eulerian method, where the grid is spatially fixed in Cartesian form. The MB is tracked by using a marker function or an auxiliary variable. Depending on the marker function, the FGMs can be divided into three categories, i.e., point, surface and volume marker methods. The point marker method is very effective in tracking interfaces with small perturbations, while producing numerical instability for complex migrations [123]. A commonly used surface marker method is the level set method [124], where the position of the MB is given as the zero-level set of an auxiliary field defined over the domain [125]. The volume marker method is often used for tracking of the internal region of the fluid. The interior of the fluid is discretized and each cell is assigned a volume fraction. The topologically changing fluid boundary is tracked through the changing volume [126].

In addition to the above-mentioned marker function, the most widely used auxiliary variable is the enthalpy function. The location of the interface is determined from the enthalpy function $H(T)$ where an energy jump occurs due to the phase transformation [127]. The heat transfer equation at the interface is expressed as

$$\frac{\partial H(T)}{\partial t} = K \nabla^2 T, \quad (2.19)$$

where $K = \lambda/(c\rho)$; T is the temperature; λ , c and ρ represent the thermal conductivity, the specific heat capacity and the density of the material, respectively.

The enthalpy as a function of temperature is written as [128]

$$H(T) = \begin{cases} cT, & T < T_1 & \text{solid phase} \\ cT + \frac{L(T-T_1)}{T_2-T_1}, & T_1 \leq T \leq T_2 & \text{interface region} \\ cT, & T > T_2 & \text{liquid phase} \end{cases} \quad (2.20)$$

where T_1 and T_2 are the temperatures at the lower and the higher ends of the interface region, respectively; L is the latent heat.

An outstanding advantage of the FGM is that its computational effort is low because the calculation is performed on a uniform and orthogonal fixed Cartesian grid.

The second method is the variable grid method (VGM) [129] also known as Lagrangian method, where the MB is located on a line of the grid nodes and the grid is scaled as the boundary moving.

It can also be subdivided into Variable Space-Step Method (VSSM), Variable Time-Step Method (VTSM), and Variable Time-Space-Step Method (VTSSM). A significant advantage of VGM is that the boundaries can be accurately calculated, because the MB coincide with a line of numerical nodes. However, the grid must be updated at each time step, and the computational effort is quite expensive. In addition, due to the shape of the moving interface and its trajectory are frequently compressed, it is possible to make the large deformation of the grid cells, which leads often to numerical errors.

The last approach is the hybrid method (HM) also called mixed Eulerian–Lagrangian method [130, 131] which employs properties of both fixed and deforming grids. Combining the advantages and disadvantages of the FGMs and VGMs, the calculation of this method is performed on a fixed Cartesian grid, which effectively avoids the problem of computationally heavy grid redistribution. The irregularly shaped interface is tracked on the fixed grid and represented by a marker function. The shape information of the interface is obtained by connecting the marker functions, e.g., for the point marker function, the node position and curvature are obtained. The new interface is obtained by the Lagrangian translation of updated marker functions.

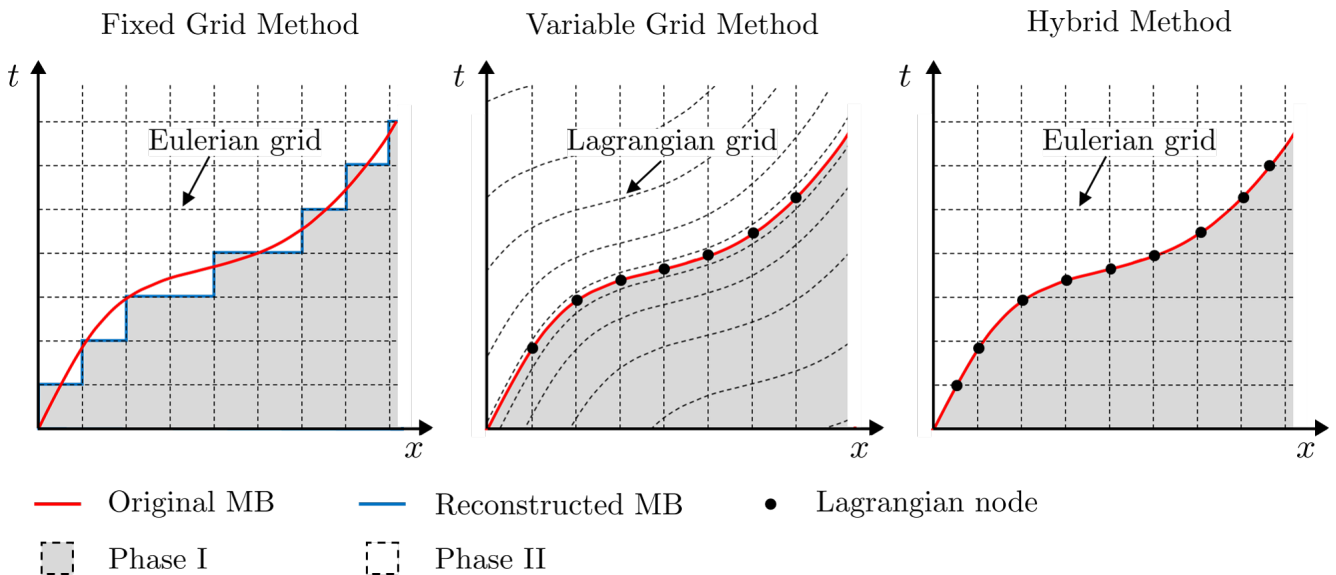


Figure 2.3. Expression of MB by using FGM, VGM and HM, respectively. FGM and VGM: Reproduced with permission from [132]. Copyright 2020, Springer; HM: Reproduced with permission from [130]. Copyright 1999, Elsevier.

2.4 Phase-field method

In the methods mentioned above, the thickness of the interface is considered to be infinitely sharp. This assumption limits their application. First, a series of partial differential equations are coupled by moving and unknown boundary conditions at the interface, which need to be explicitly tracked [133]. Complex changes in interface morphology often cause difficulties in convergence of iterations in numerical calculations. Second, treating an interface with limited width as a sharp interface leads to discontinuities in some continuously varying parameters (e.g. ion concentration and temperature fields), making it inaccurate to trace the evolution of certain physical processes. Third, anisotropic surface tension and interface kinetics are ignored, which can

lead to an unstable solution of the continuum model [133]. Irregular shape can in turn affect the direction of anisotropic growth of the interface. These formidable problems provide the impetus for developing a new model in which all these factors can be considered. The phase-field (PF) method avoids the difficulties of tracking interfaces at a conventional sharp interface by using a diffusive interface, thus can effectively simulate the complex microstructural evolution of non-equilibrium processes. In the following sections, general concepts will first be explained followed by a review of the basic thermodynamic principles, which are necessary in the context of the PF approach. Then thermodynamics of phase transitions and governing equations are introduced.

2.4.1 General concepts

The microstructure of a material can be considered as a spatial distribution of phases and crystal structures of different compositions [134]. The evolution of the microstructure occurs as a result of phase changes, chemical reactions, the aggregation and coarsening of atoms or clusters within the material due to changes in temperature, the action of external stress, electric and magnetic fields [135]. This process is accompanied by a minimization of the total free energy (e.g. interfacial, elastic, magnetic, chemical and electrostatic energies) in the presence of an applied external field (e.g. applied stress, electric, temperature, concentration and magnetic field) [136]. Based on the energy evolution, a PF model is formulated thermodynamically by means of phenomenological free energy functions written in terms of phase and other fields (e.g. temperature, solute concentration, strain, etc.). The gradient energy across the diffusive interface is also taken into account. By minimising the free energy function, the migration of the interface can be determined [137]. Changes in the position and topology of the interface can be captured automatically without the interface tracking [138].

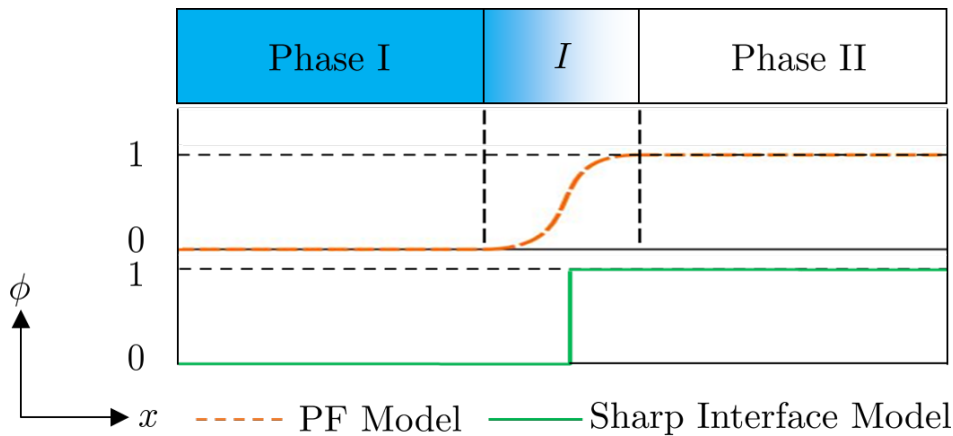


Figure 2.4. Schematic of a diffuse and sharp interface with corresponding typical interface profiles. Phases I and II are separated by the interface I . $\phi(x, t)$ varies smoothly across the interface in the PF model, taking its bulk value on either side of the interface. However, $\phi(x, t)$ is discontinuous at the interface in the sharp interface model.

Due to the universality of the energy concept, the PF method is widely used to deal with different physics and their coupling problems: solidification [15, 139], solid-state phase changes [140, 141], grain growth, nucleation and coalescence processes [142–144], dislocation dynamics [145], temperature inducing phase transformations [146], liquid-phase sintering [147], mass transport

phenomena [148], hydrodynamics [149] and electromigration [150]. Recently, many problems in solid mechanics deal with the use of PF for describing fracture phenomena and to capture complex crack patterns [151–155].

The main feature of a PF model is the description of a diffusion interface between two phases. The evolution of the interface microstructure is described in terms of a discrete order parameter (OP) that vary continuously in space and time, e.g., $\phi(x, t)$ (Figure 2.4). The OP has a constant value in the bulk phase (e.g. $\phi(x, t) = 0$ in bulk phase I and $\phi(x, t) = 1$ in bulk phase II). Over the diffusion interface ($0 < \phi(x, t) < 1$), the variation of $\phi(x, t)$ is described by an interpolation function. In contrast, in the sharp model, the interface width is zero, in which $\phi(x, t)$ jumps discontinuously. A second feature of a PF model is the focus on the non-equilibrium state of the phase. The OP acts as an independent state variable distinguishing different states of the material that may be identical in terms of other variables such as temperature, concentration, pressure, etc.

2.4.2 Thermodynamic free energy

The PF method is based on thermodynamics including the combined effect of the order-disorder state and the driving force to describe the evolution dynamic of a system. In the following, the basic thermodynamic principles relevant to this study are explained.

Thermodynamics is a discipline that studies the laws of state transitions and energy conversion in thermal phenomena. It is mainly concerned with the equilibrium state of matter and the physicochemical processes associated with the quasi-equilibrium state [156]. Thermodynamic processes with different limiting conditions are accompanied by different expressions of the free energy, which serves as a criterion for whether a process can proceed spontaneously or not. If the free energy changes negatively, the process can proceed spontaneously; if the free energy changes positively, the process cannot proceed spontaneously, but the reverse process is spontaneous [157]. When the change of the free energy equals to zero, the system is in thermodynamic equilibrium [157]. For a closed isothermal system (one that can not exchange any matter with its surroundings) with no chemical reactions in a constant volume, the Helmholtz free energy F can be expressed by the internal energy U , the temperature T and the entropy S of the system

$$F = U - TS. \quad (2.21)$$

The Gibbs free energy G is most commonly used as a measure for the judgment (especially in chemistry) when it is convenient for applications that occur at constant pressure P and T

$$G = U + PV - TS = H - TS, \quad (2.22)$$

where, H is the enthalpy.

The basic thermodynamic relationships are expressed by the following equation. For a closed system in thermal equilibrium, the microscopic change in internal energy dU is expressed by microscopic changes in entropy dS and volume dV

$$dU = T dS - P dV. \quad (2.23)$$

The fundamental relation can be also expressed in terms of G in the following way

$$dG = -S dT - V dP. \quad (2.24)$$

2.4.3 Thermodynamics of phase transitions

A thermodynamic system may contain different phases. The states of these phases change at critical values under varying external conditions [158]. In order to describe the phase transition, the thermodynamic potential is used along with the corresponding equation of state, which describe the state of matter under a given set of physical conditions, e.g., pressure, volume and temperature. As mentioned in section 2.4.2, the thermodynamic potential can be expressed in terms of G [159]. The following section will provide a brief description of G and the chemical potential of an ideal solution and a binary phase system.

The chemical potential is the amount of energy that can be absorbed or released as a result of a change in the particle number of a given species during a chemical reaction [160]. For a given temperature, a molecule has a high chemical potential in the region of high concentration and a low chemical potential in the region of low concentration. The molecule tends to move from the region of higher chemical potential to the region of lower chemical potential, accompanied by the release of free energy. At chemical equilibrium, the free energy of the system is at minimum. The chemical potential of a species μ_i is also known as the molar Gibbs free energy under the condition of constant T , P and amount of all other components N_j [161]

$$\mu_i = \left(\frac{\partial G}{\partial N_i} \right)_{T, p, N_{j \neq i}}, \quad (2.25)$$

where, N is the number of molecules.

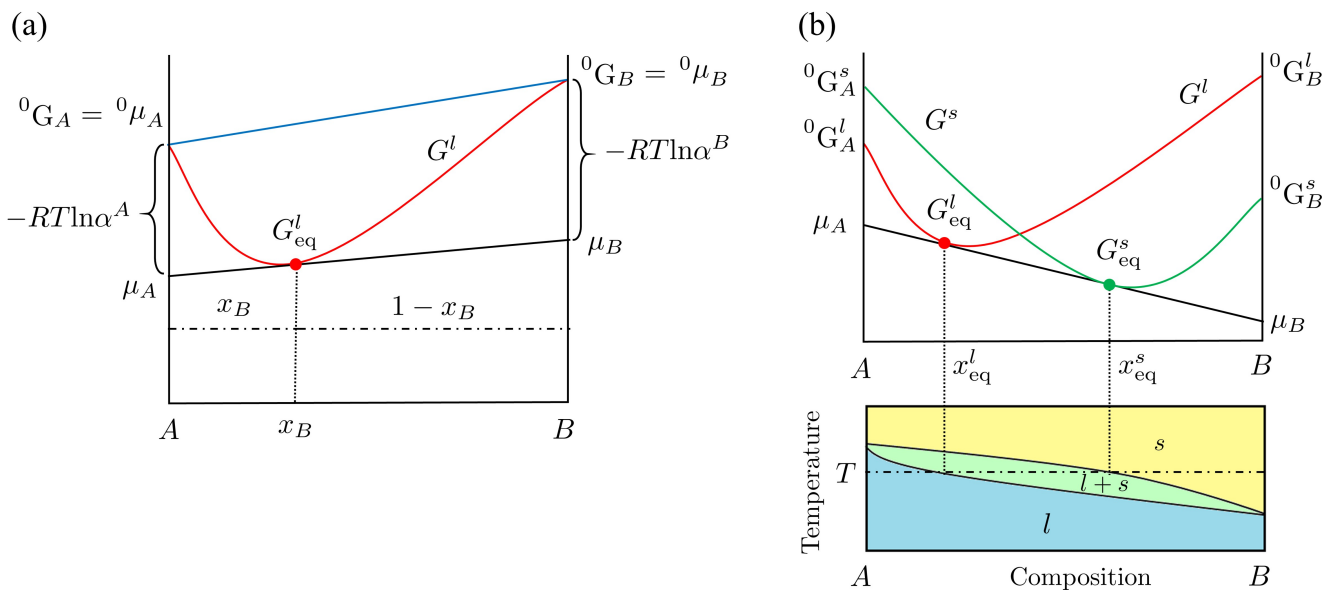


Figure 2.5. (a) The relationship between Gibbs free energies and chemical potentials of an ideal solution, Reproduced with permission from [162]. Copyright 2014 Elsevier; (b) Gibbs free energy curves corresponding to binary phase diagrams, showing the miscibility of the liquid and solid states, Reproduced with permission from [163]. Copyright 2006 John Wiley and Sons.

The relationship between the molar Gibbs free energy and the chemical potential can be well elucidated with an ideal solution (a solution with zero enthalpy of mixing) consisting of two

components A and B (Figure 2.5a). The left and right vertical lines represent the state of the two pure components. The straight blue line connecting the molar Gibbs free energy of the pure components represents the molar Gibbs energy of the mechanical mixture, while the red curve represents the molar Gibbs free energy of the ideal solution G^l . The intersection of the tangent line to the lowest point of the G^l curve (the equilibrium state) with the sides of pure component A and B marks their corresponding chemical potentials μ_A and μ_B . The minimum molar Gibbs free energy of the solution with the composition x_A and x_B ($x_A + x_B = 1$) is

$$G_{\text{eq}}^l = x_B \mu_A + (1 - x_B) \mu_B. \quad (2.26)$$

In addition, the difference between the molar Gibbs free energy of the pure component (0G_A and 0G_B) and the chemical potential of A and B can be written with the activity of component α as: $-RT \ln \alpha^A$ and $-RT \ln \alpha^B$, respectively,

$${}^0G_A - \mu_A = -RT \ln \alpha^A, \quad (2.27)$$

$${}^0G_B - \mu_B = -RT \ln \alpha^B. \quad (2.28)$$

For a heterogeneous system with two or more phases, such as pure components A and B both containing liquid (l) and solid (s) phases, the Gibbs free energy curves of the two phases must be considered separately (Figure 2.5b). ${}^0G_A^l$, ${}^0G_B^l$, ${}^0G_A^s$ and ${}^0G_B^s$ denote the molar Gibbs free energy of the liquid and solid phases of the A and B pure component systems, respectively. The compositions of the two phases in equilibrium at temperature T are x_{eq}^l and x_{eq}^s , with their corresponding Gibbs free energies denoted as G_{eq}^l and G_{eq}^s , respectively.

2.4.4 Governing equations

The evolution of OPs can be described by their variable fractions of the free energy functional. Depending on whether the OPs are conserved or not, the equations for their evolution can be divided into two categories: (1) non-conserved field variables (e.g. ferroelectric polarisation fields [164, 165], grain orientation fields [143], gas-liquid-solid phase fields [166] and cracking fields [152]) mainly using the Allen-Cahn equation [167], and (2) conserved field variables (e.g. concentrations [168, 169]), whose dynamics are mainly described by the Cahn-Hilliard equation [170],

The Allen-Cahn equation:

$$\frac{\partial \phi_i(x, t)}{\partial t} = -L_\phi \frac{\delta F}{\delta \phi_i(x, t)}, \quad (2.29)$$

where $\phi_i(x, t)$ is the non-conserved field variable with $i = 1, 2, \dots, n$, while L_ϕ is the kinetic structure operator (PF mobility); t is the time and x is the spatial coordinate.

The Cahn-Hilliard equation:

$$\frac{\partial c_i(x, t)}{\partial t} = \nabla M_c \nabla \frac{\delta F}{\delta c_i(x, t)}, \quad (2.30)$$

where c_i is the conserved concentration field variable; M_c is the kinetic coefficient of diffusion.

One of the key components of the PF method is the free energy functional. When temperature, pressure and molar volume are constant and there are no elastic, magnetic or electric fields, the total free energy of a system consisting of a concentration field c and the OP ϕ can be given by [134]:

$$F(\phi, c) = F_{\text{loc}} + F_{\text{int}} = \int_V [f_{\text{loc}}(\phi, c) + f_{\text{int}}(\nabla\phi, \nabla c)] dV, \quad (2.31)$$

where, F_{loc} and F_{int} represent the energy contributions from the homogenous local phases and the diffuse interface region, respectively; f_{loc} represents the contribution of chemical interactions to the local free energy density, f_{int} is the gradient energy density, which is only non-zero at and around the interface.

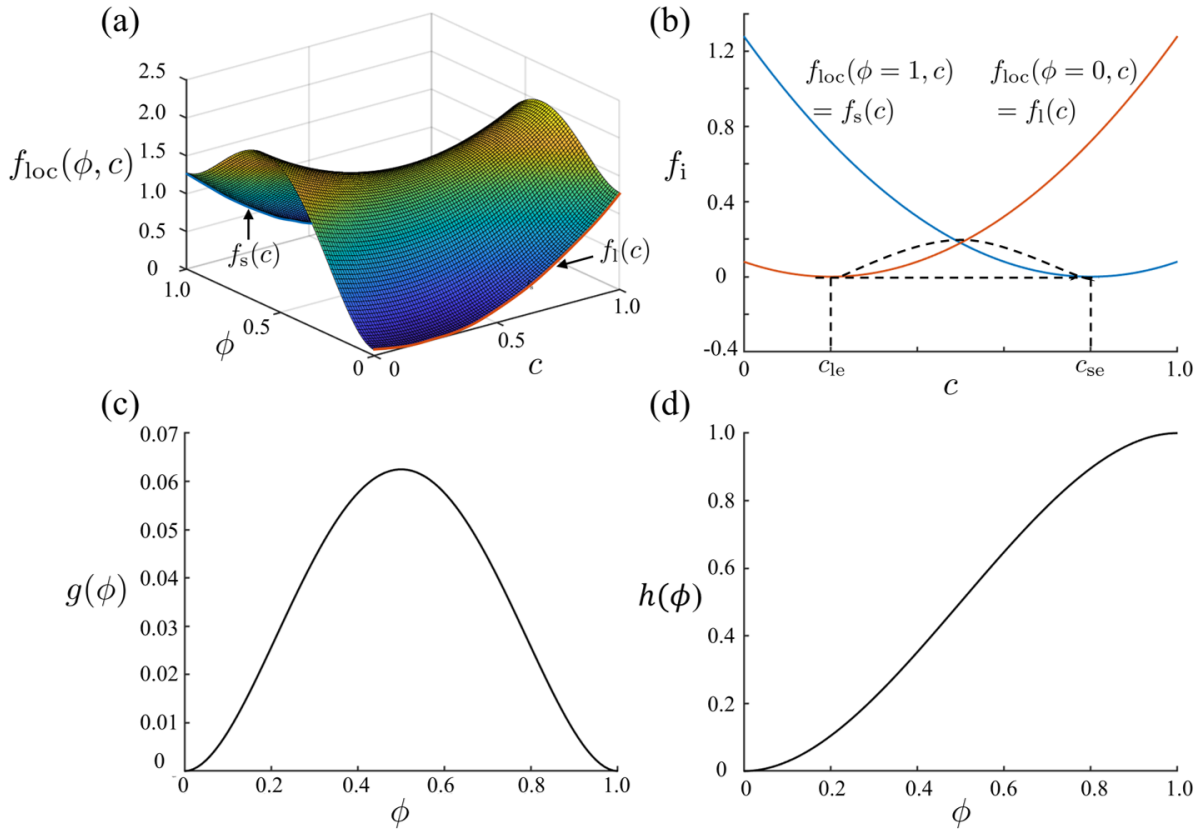


Figure 2.6. Graphic illustration for key functions of PF model. (a) 3D graphic of the local free energy f_{loc} as a function of the solute concentration c and the OP ϕ ; (b) the free energy of the solid and the liquid phase; (c) the double-well function $g(\phi)$; (d) the interpolation function $h(\phi)$.

In a binary system, f_{loc} is commonly expressed as the free energy expressions of the coexisting phases combined by an interpolation function $h(\phi)$ and a double-well function $wg(\phi)$ (see Figure 2.6(a)):

$$f_{\text{loc}}(\phi, c) = h(\phi)f_s(c) + (1 - h(\phi))f_l(c) + wg(\phi) \quad (2.32)$$

where, $h(\phi)$ has a variety of expressions in the literature, e.g., $h(\phi) = -2\phi^3 + 3\phi^2$ [1] and $h(\phi) = \phi^3(6\phi^2 - 15\phi + 10)$ [136], both change from $h(0) = 0$ to $h(1) = 1$; w is the height of the double-well function $g(\phi) = \phi^2(1 - \phi)^2$ [1]; $f_s(c)$ and $f_l(c)$ are homogeneous free energy

expressions for c dependence of the solid and the liquid phase. Figure 2.6 (b) shows that the concentration of the interface lies between c_{le} and c_{se} . The tangent line indicates the equilibrium component of the coexisting phases. The dotted curve shows a possible evolution of f_{loc} across the interface. The functions $g(\phi)$ and $h(\phi)$ are used to construct f_{loc} , which are plotted in Figure 2.6 (c) and (d), respectively.

2.4.5 Multi phase-field model

Self-healing of cementitious materials can also be considered as a physicochemical reaction involving multiple phases including the cement matrix phase, the self-healing product phase consisting of the product of the further hydration and the carbonation reaction, air void phase and the solution phase. A review of multiphase models in the literature provides important insights into solving of the self-healing problems. In dealing with complex phase transformations, such as structure (poly-crystal) [171], multi-phase and/or multi-component (alloys and mixtures of fluids) [172–174] or the orientation of the crystal lattice (grains) [175], multi PF models are introduced. The phase is represented by a set of OPs ϕ_i . The following basic constraint is often applied to a system with N phases [176]

$$\sum_{i=1}^N \phi_i = 1. \quad (2.33)$$

For conserved OPs, the following constraint is commonly used

$$c = \sum_{i=1}^N h(\{\phi_i\})c_i, \quad (2.34)$$

where $h(\{\phi_i\})$ is an interpolation function.

Table 2.3. A review of multi-PF models

Multi-PF model	Feature	Application	Reference
WBM model	Phases have the same composition but different volume fractions	Solidification in binary alloys	[177, 178]
KKS model	Phases have different compositions	Solidification in binary alloys	[1]
Steinbach model	Geometric description of the interface through the interface curvature	Eutectic and peritectic solidification, grain growth	[176, 179]
Losert model	Directional solidification with some asymptotics and vanishing kinetics	Solidification in dilute binary alloy	[180]

Commonly used models for addressing two or multi-phase transition processes are Wheeler-Boettinger-McFadden (WBM) model [177, 178], Kim-Kim-Suzuki (KKS) model [1], Steinbach model [176, 179] and Losert model [180], which are summarized in Table 2.3. Since the inception of these models, many other studies have used or expanded the ideas in the above references [181–184].

Wheeler et al. [177, 178] proposed a PF model dealing with isothermal phase transitions in binary alloys. The WBM model works well for sharp interface and finite interface thickness issues, but is not applicable for large interface thicknesses cases [179, 183, 185, 186]. The Gibbs free energy functional is based on the chemical potential of each component combined with the corresponding weighted concentration, which is given by

$$f(\eta, c, T) = cf_B(\eta, T) + (1 - c)f_A(\eta, T) + \frac{RT}{v_m}[c \ln c + (1 - c) \ln(1 - c)]. \quad (2.35)$$

Different from the WBM model, the interface in the Kim–Kim–Suzuki (KKS) model [1] was defined as a mixture of liquid and solid phases of different compositions, but with the same chemical potential as follows

$$\frac{\partial f(c'_s)}{\partial c'_s} = \frac{\partial f(c'_l)}{\partial c'_l}. \quad (2.36)$$

In the KKS model, each point throughout the domain is a mixture of two phases. The local free energy is determined to be a fraction-weighted average value of both solid and liquid free energy and an imposed double-well potential $\omega g(\phi)$ as follows

$$f(c', \phi) = h(\phi)f_s(c'_s) + [1 - h(\phi)]f_l(c'_l) + \omega g(\phi), \quad (2.37)$$

where the interpolation function $h(\phi)$ is built as $h(\phi) = -2\phi^3 + 3\phi^2$, ω is the height of the double well potential energy function given by $g(\phi) = \phi^2(1 - \phi)^2$. Unlike the two models mentioned above, the model proposed by Steinbach et al. [176, 179] is not based on a thermodynamic treatment, but on a geometric description of the interface through an interpolation function of the interface curvature. The free energy functional is postulated

$$\begin{aligned} f(\phi) &= \sum_{i,k(i < k)}^n f_{ik} \\ &= \sum_{i,k(i < k)}^n \left\{ \frac{\varepsilon_{ik}^2}{2} |\phi_k \nabla \phi_i - \phi_i \nabla \phi_k|^2 + \frac{1}{4\alpha_{ik}} [\phi_i^2 \phi_k^2 \right. \\ &\quad \left. - m_{ik} (\frac{1}{3} \phi_i^3 + \phi_i^2 \phi_k - \frac{1}{3} \phi_k^3 - \phi_k^2 \phi_i)] \right\}, \end{aligned} \quad (2.38)$$

where f_{ik} is the energy term that is sensitive on the boundary between phases i and k ; n is the number of phase states in a system; m_{ik} is the linear coefficient of the thermodynamic equilibrium deviation; ε_{ik} and α_{ik} are the thermophysical data.

Later, Losert et al. [180] exploited the similarity between alloys and pure materials to extend the thin interface model to the case of dilute binary alloys by matching variables in the pure material. However, there are two strict assumptions that limit the application of the model: (1) the solid

and the liquid phases have constant partition coefficients, and (2) the solute diffusivity is constant throughout the whole domain.

$$\begin{aligned}
\tau(n)\partial_t\phi &= (1 - \phi^2) \left[\phi + \alpha(\phi^2 - 1) \left(\mu + \frac{z - z_0 - V_p t}{l_T} \right) \right] \\
&+ \vec{\nabla}[w(n)^2 \vec{\nabla}\phi] + \partial_x \left(|\vec{\nabla}\phi|^2 w(n) \frac{\partial w(n)}{\partial \phi_x} \right) \\
&+ \partial_z \left(|\vec{\nabla}\phi|^2 w(n) \frac{\partial W(n)}{\partial \phi_x} \right),
\end{aligned} \tag{2.39}$$

where $\tau(n)$ is a function related to ϕ ; n is a normal vector; μ is a factor of the chemical potential; α is a constant factor; z and z_0 represent the real and the reference interface position, respectively; z_0 is the reference position of the steady state planar interface; l_T is the thermal length; V_p is a constant interface velocity and $w(n)$ is the interface thickness.

Based on the above review, the existing research on PF methods related to cementitious materials need to be summarized. As the main initial process of the self-healing mechanism, mineral component dissolution, will be investigated using the PF method to understand and verify the feasibility of the PF method for the moving boundary problem of mineral dissolution. The estimation of interfacial mobility and the interaction analysis of PF parameters need to be done, which lays the theoretical foundation for the multi-process multi-interface simulation. Based on the PF dissolution model validated in the previous step, a novel PF model dealing with the dynamic equilibrium of dissolution and precipitation will be developed. In the following chapter, the methodology, implementation and results of each step of the study will be described in detail through the three peer-reviewed publications.

3 Results

3.1 Publication 1: A Review on Cementitious Self-Healing and the Potential of Phase-Field Methods for Modeling Crack-Closing and Fracture Recovery

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3.1.1 Introduction

Concrete is characterized by its high compressive strength, a wide availability of its raw materials, and simple production methods, which is the main reason that it became the most commonly used construction material in the world [187, 188]. However, its low tensile strength is the main reason that various types of cracks can occur in a concrete element that may adversely affect its service life [189]. While under internal, external, or environmental load, open or closed micro- and/or meso cracks may develop inside a concrete element that may successively result in a loss of structural integrity [190]. Open surface cracks may also allow water or hazardous substances to enter and thereby severely impairing its durability [191, 192]. Therefore, improving the durability of concrete structures, asks for a limitation or reduction of the number of cracks where self-healing strategies could be solution. In the last decades, enormous efforts have already been done to develop various kinds of self-healing methods for cementitious systems [166, 193–200]. Most comprehensive scientific report so far is the RILEM TC-221-SHC [14], that summarizes the current research progress and defines the difference between “autogenic” and “autonomic” self-healing methods, depending on whether crack closure happens due to either the material itself [29, 201–203], or is triggered by means of engineered additions [28, 46, 70, 77, 198, 199, 204–209].

From a modeling point of view, the presently existing numerical approaches can be grouped according to the nature of their particular self-healing mechanism into (1) chemical reaction-based

models [32, 87, 89, 92, 166], for predicting carbonation, hydration, polymerization and precipitation phenomena; (2) transport phenomena-based models [94, 210], in which the phases affecting the healing processes are transported through the concrete pore-structure network; and (3) fracture-based models, smeared [88, 91, 98, 99, 103, 104, 108, 110–112] and discrete [100, 105, 107, 109, 113–115] crack approaches for predicting strength recoveries of self-healing systems.

When considering the number and type of experiments required to study the performance of self-healing concrete, it turns out that optimizing self-healing mechanisms through extensive experimental studies is a very demanding task. However, this task becomes more doable when employing numerical simulation models. However, most existing models do not incorporate physically/chemically driven boundary movements for an accurate simulation of solid-liquid interfaces. To overcome these difficulties, phase-field (PF) methods have been proposed as a powerful tool for handling moving interfaces caused by phase transitions [15, 135, 211]. In conventional numerical models for phase transformations and microstructural evolutions, interfaces are considered to be infinitely sharp and have to be schematized explicitly [212–214]. It leads to incompatibilities that makes calculations very complex and difficult to implement in a computer program. Contrarily, PF methods are based on thermodynamic principles and assume a diffuse interface, which makes them suitable for solving complex morphological evolutionary processes. The evolution of the “field”, over time and space, is controlled by the nonlinear Cahn-Hilliard diffusion equation and its relaxation by the Allen-Cahn equation [215, 216]. For concrete, a self-healing mechanism is physically almost similar to a dissolution and/or precipitation principle that evolves at the cracked surfaces. It makes a PF modeling approach very suitable for solving this type of moving interface problems at cracked surfaces, caused by phase transformations.

This article provides a review on existing models to simulate self-healing in cracked concrete, with emphasis on PF methods. After the introduction in Section 3.1.1, the currently available self-healing methods for concrete are reported in Section 3.1.2. In Section 3.1.3, the possibility of using PF methods for simulating self-healing in concrete is presented and discussed. Then, in Section 3.1.4, the basic equations of a PF method are presented. Next, in Sections 3.1.5 and 3.1.6 existing PF techniques for precipitation and fracture in concrete are reported, respectively. Finally, items that should be addressed in self-healing models along with future research priorities and a concluding discussion on the whole article is given in Section 3.1.7.

3.1.2 Self-Healing Mechanisms in Concrete

In general, self-healing processes in cement-based materials can be divided into two categories: (1) autogenous self-healing and (2) autonomous self-healing [195, 217, 218]. Autogenous self-healing involves only the original components of a concrete. These components may, due to their specific chemical compositions, promote crack healing under favorable environmental conditions, driven by chemical reactions or transitions [30, 34, 196]. However, autonomous self-healing processes can only take place with the help of healing additives, such as microcapsules that may contain healing agents like polymers or bacterial spores [199, 219]. Autogenous healing mechanisms have a limited healing capacity, typically only being able to heal cracks of about 100-150 μm in width [13]. In contrast to this, autonomous mechanisms can easily heal cracks up to 300 μm and sometimes even more than 1 mm [13]. These self-healing mechanisms are described below in detail.

3.1.2.1 Autogenous Self-Healing

Autogenous self-healing has been extensively investigated in the last decades [12, 34, 195, 196, 220], mainly by using experimental techniques.

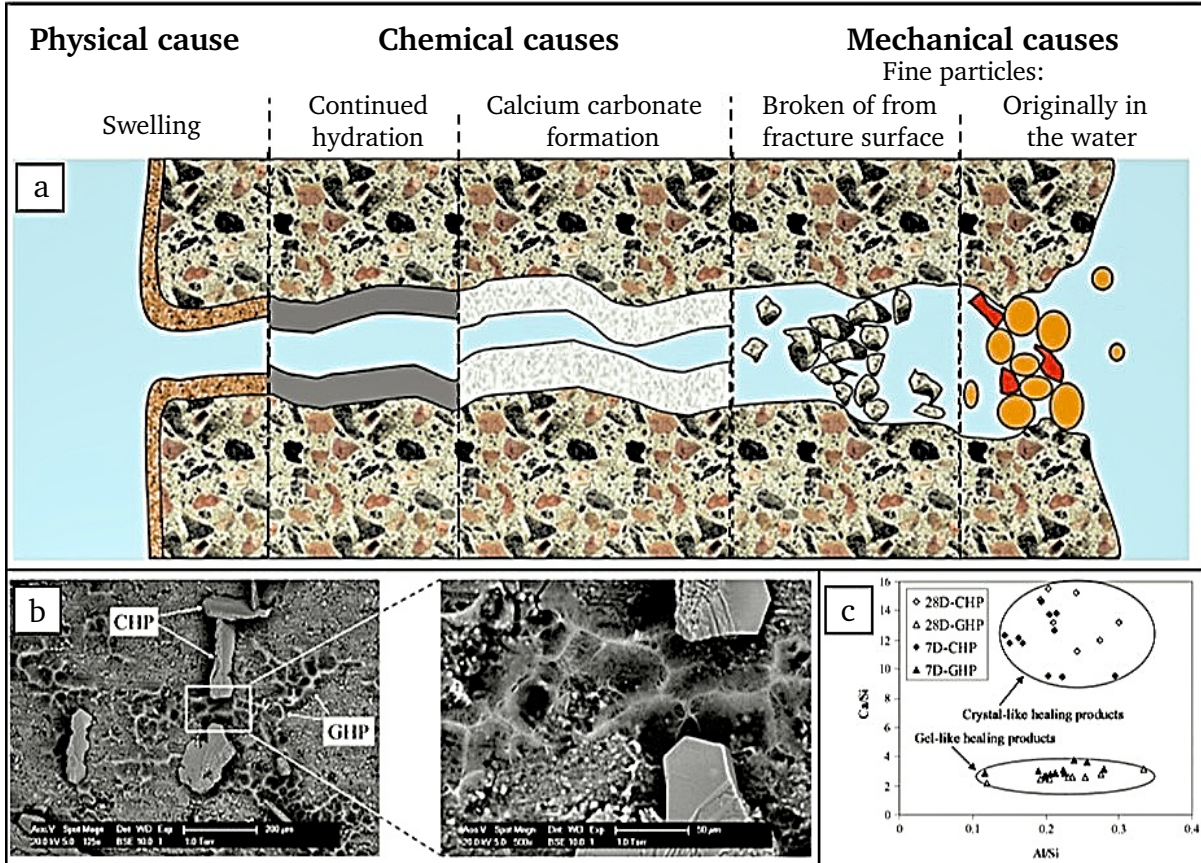


Figure 3.1. The autogenous self-healing mechanisms, products, and their corresponding chemical composition. (a) Schematic representation of the mechanisms of autogenic self-healing. Reproduced with permission from the authors of [221]. Copyright 2013, Springer. (b) Morphology of healing products (GHP refers to the gel-like healing product and CHP refers to the crystal-like healing product). Reproduced with permission from the authors [31]. Copyright 2013, Elsevier. (c) Ratios of Ca/Si and Al/Si of healing products with time. Reproduced with permission from the authors of [31]. Copyright 2013, Elsevier.

Figure 3.1a shows three main categories: physical, chemical, and mechanical healing. The physical healing mechanism is the process where the crack surface inside a cement matrix absorbs water and causes volume expansion [221, 222]. The chemical healing mechanism consists of two main reactions, namely, a further hydration of the still unhydrated cement clinker inside a concrete, generating additional Calcium Silicate Hydrates (C-S-Hs), and carbonation of the additionally formed portlandite [34–36, 223]. Finally, mechanical healing mechanisms refers to the filling of a crack with fine cement particles, which appear in a crack by water transport or diffusion [35]. The chemical mechanism is the primary and most promising healing method for hardened concrete at a young age [14]. Due to the relatively high content of unhydrated cement particles in these concretes, continuing hydration will still be possible and may result in a healing of cracks [29, 30].

At later ages after crack initiation, the formation and growth of calcium carbonate crystals (CaCO_3) becomes the main healing mechanism [37]. Figure 3.1b,c shows the main healing products and their chemical components.

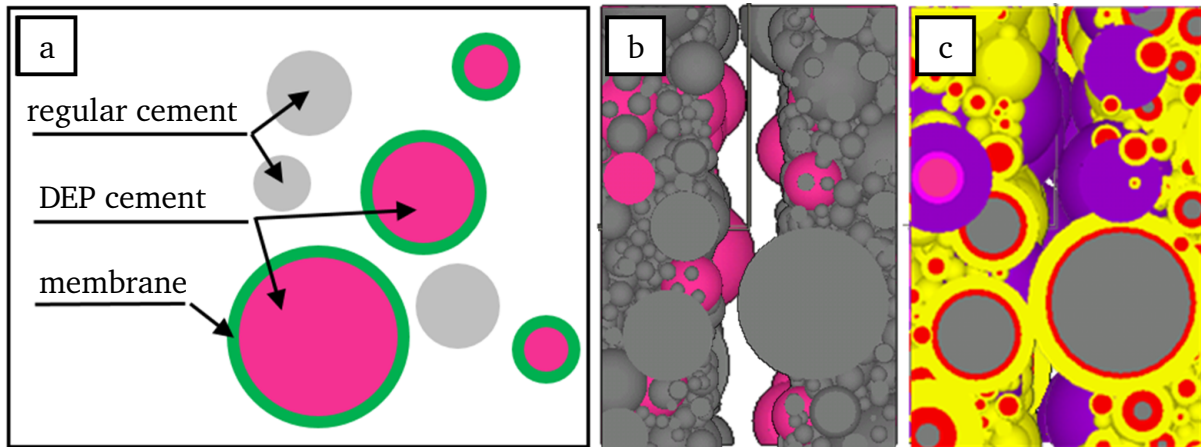


Figure 3.2. Self-healing with Dissoluble Encapsulated Particles (DEP): (a) Schematic representation of regular cement blended DEP cement [166]. (b) Initial state of microstructure by vol.-10% cement replacement by DEP [166]. (c) A high pH value will cause the DEP capsule to rupture, the healing agent will be released and a special hydration reaction with accompanying volume expansion will begin [224].

To improve the effectiveness of autogenous crack repair, an improved self-healing method called Dissoluble Encapsulated Particles (DEP) has been proposed [166, 197, 224]. In this self-healing method a certain amount of cement in a concrete mixture remains unhydrated for a predefined period of time because of the pre-encapsulation of certain cement fractions which are covered with a thin membrane that can dissolve whenever it is affected by a crack (Figure 3.2). A crack in a cementitious surface may open the DEP membrane due to either (1) a dissolution mechanism caused by low pH-conditions, i.e. due to increased CO_2 ingress, or (2) by mechanical fracture. After this happened, the original unhydrated cement will be exposed to the local environmental temperature and humidity conditions causing the cement to react and finally close the crack [224].

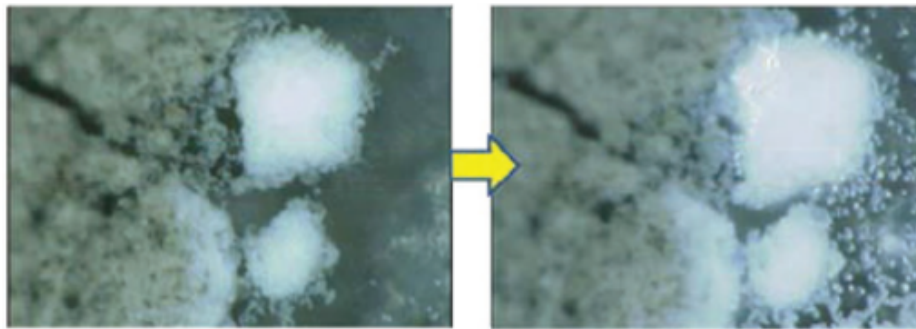
3.1.2.2 Autonomous Self-Healing

Autonomous self-healing is a method to improve the effectiveness of self-healing mechanisms for concrete, by either embedding encapsulated or non-encapsulated additions [13, 217]. Until now, addition of encapsulated agents (micro/meso < 1 mm, macro ≥ 1 mm) is the most preferred method adopted for autonomous self-healing concrete [13], which may contain mineral [41, 43], bacteria [49, 70–72, 199, 225–230], and polymers [200, 231, 232]. Non-encapsulated additions may also contain these listed substances, but are added to a mixture in a pure, non-encapsulated, form where they become active directly after mixing of the concrete [68, 233, 234].

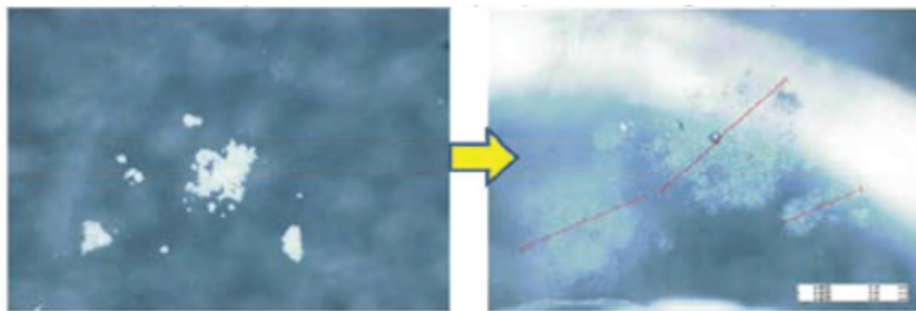
3.1.2.3 Self-Healing Based on Mineral Admixtures

Mineral admixtures are materials that are mixed in a concrete and react with water to form reaction products with an expanded volume to heal cracks developed in an already hardened concrete.

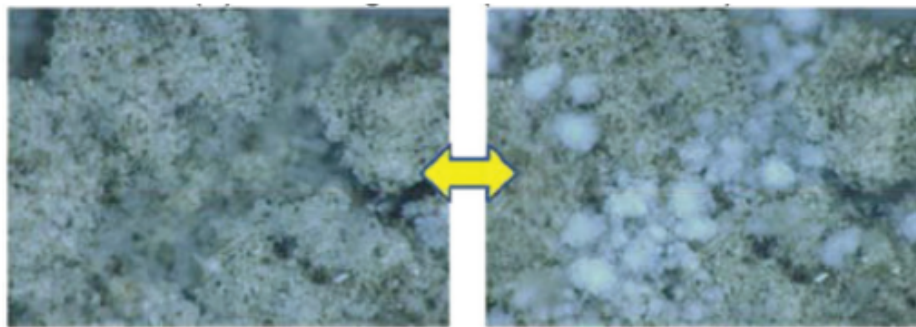
With this healing mechanism [42, 68, 198, 235], crack widths up to 120 μm can be repaired [13].



(1) Expansion term (Expansive agents)



(2) Swelling term (Geo-materials)



(3) Precipitated term (Chemical agents)

Figure 3.3. Three main self-healing mechanisms using mineral admixtures. Reproduced with permission from the authors of [43]. Copyright 2010, JCI.

Depending on the type of mineral additives, three subcategories can be identified: (1) expansive additives, (2) geo-material based additives, and (3) chemical agents (crystalline additives) (Figure 3.3). Expansive additives develop reaction products with an increased volume that can fill the cracks [58]. Commonly used are sulfoaluminate based expansive additives (C–S–A) [41]. The geo-material-based additives consist of silicon dioxide, sodium aluminum silicate hydroxide, and bentonite clay, which have the capacity to swell [43–45]. When this type of geo-material is exposed to water, its volume may increase 15-18 times its initial dry volume [43]. The most basic crystalline additive is tricalcium silicate (C_3S), which is the main clinker component in cement and reacts with water to form calcium silicate hydrate C–S–H phases [46].

3.1.2.4 Self-Healing Based on Bacteria

A certain category of bacteria can be applied for healing cracks in concrete [70]. It results in a closed crack which is watertight and has a limited capacity to restore the mechanical strength of a concrete [71, 72, 225]. The maximum crack width that can be healed with this system are 150 μm [226], which is rather limited whenever compared with other healing systems. Figure 3.4 shows a schematic impression of a fractured concrete with microencapsulated bacterial spores and the results of previous experiments [71, 72, 236].

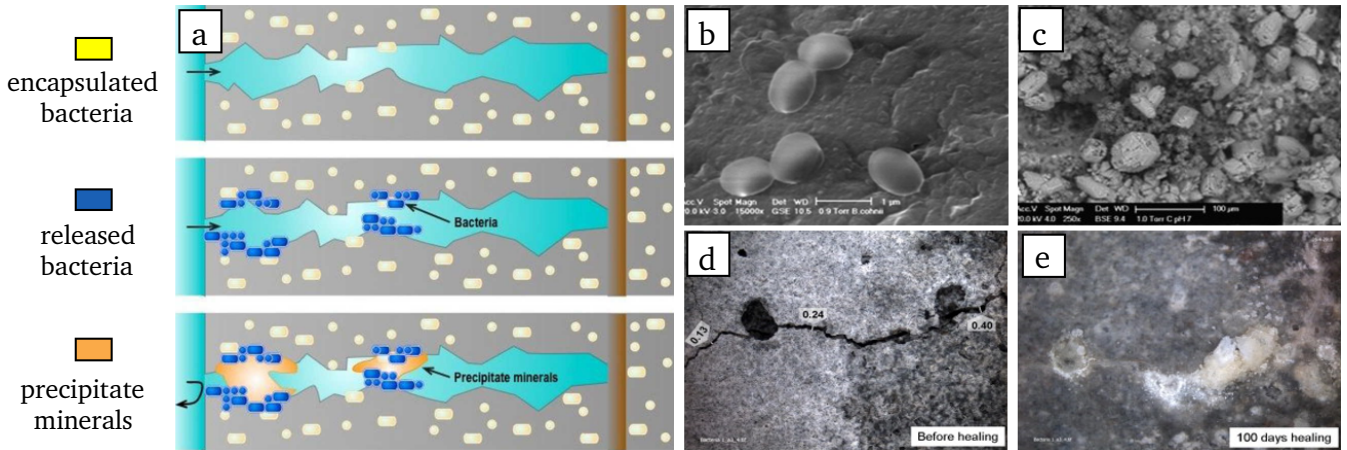


Figure 3.4. Self-healing mechanism using bacterial spores. (a) Schematic diagram of bacterial repair of concrete cracks. Bacteria on the surface of the crack are activated by water and precipitate minerals such as calcite to seal the crack and protect the reinforcement from external chemical attack. Reproduced with permission from the authors of [236]. Copyright 2018, Elsevier. (b) ESEM photomicrograph (15,000 \times magnification) of *B. cohnii* spores, showing that spore diameter sizes are up to 1 μm . Reproduced with permission from the authors of [71]. Copyright 2010, Elsevier. (c) Mineral precipitates (20-80 μm sized) on crack surfaces (250 \times magnification). Reproduced with permission from the authors of [71]. Copyright 2010, Elsevier. (d) Stereomicroscopic images of crack-healing process in bio-chemical agent-based specimen before and (e) after 100 days healing. Reproduced with permission from the authors of [72]. Copyright 2011, Elsevier.

Bacteria provide an important reaction component in a self-healing mechanism, where they are enhancing the calcium carbonate CaCO_3 production, needed for crack closing [237]. During healing, the mechanism passes the following two sequential steps; (1) conversion of calcium lactate and (2) hydrolysis of urea through (ureolytic) bacterial metabolism. In the first mechanism, oxygen and water penetrate into the concrete interior through cracks where the bacteria are activated to convert calcium lactate into CaCO_3 crystals and CO_2 . Portlandite particles near the cracks will further react with CO_2 to produce more CaCO_3 which precipitates at the crack surfaces [72]. In the second mechanism, many components capable of producing organic urea (e.g. *Bacillus cohnii*, *Sphaericus*, *Subtilis*, *Pasteurii*, *Megaterium* and *Sporosarcina ureae*) can act as a catalyst during the self-healing process [76]. As it undergoes demineralization, negatively charged bacterial cells take up components from the cell wall and then react to CaCO_3 precipitates [238].

The efficiency of the precipitates generated by bacterial induction is determined first by the available water content and moisture movement in the concrete matrix [239, 240], and second by the concentration of calcium ions, the pH of the pore solution, the concentration of inorganic carbon and by the presence of nucleation sites [241, 242]. The first three are available in the concrete matrix, while the last one is related to the type of bacteria used [225]. In addition, factors that affect the effectiveness of healing include (1) the type of carrier (direct [243], encapsulated [52] containers like clay and aggregates [64, 80]) and (2) the concrete compatible chemical reactions taking place in producing CaCO_3 [228, 244].

3.1.2.5 Self-Healing Based on Adhesive Agents

This method is based on injecting adhesives into a crack to induce manual healing [56, 81]. The crack widths which can be healed with these systems vary from $50\ \mu\text{m}$ up to $250\text{-}300\ \mu\text{m}$ [13, 245]. Adhesive agents can be divided into one-component and multicomponent systems. Commonly used one-component adhesive agents are polyurethane [53] and epoxy [54]. Multicomponent adhesives are methylmethacrylate [55] and ureaformaldehyde/epoxy [56]. Adhesive agents are encapsulated in spherical capsules [81], tubular-shaped capsules [55, 246], and hollow fibers [82, 247, 248] that are mixed with fresh concrete (Figure 3.5). When cracks occur, rupture of the encapsulation takes place, where the adhesive will be released into the crack by capillary action, initiating crack healing with time.

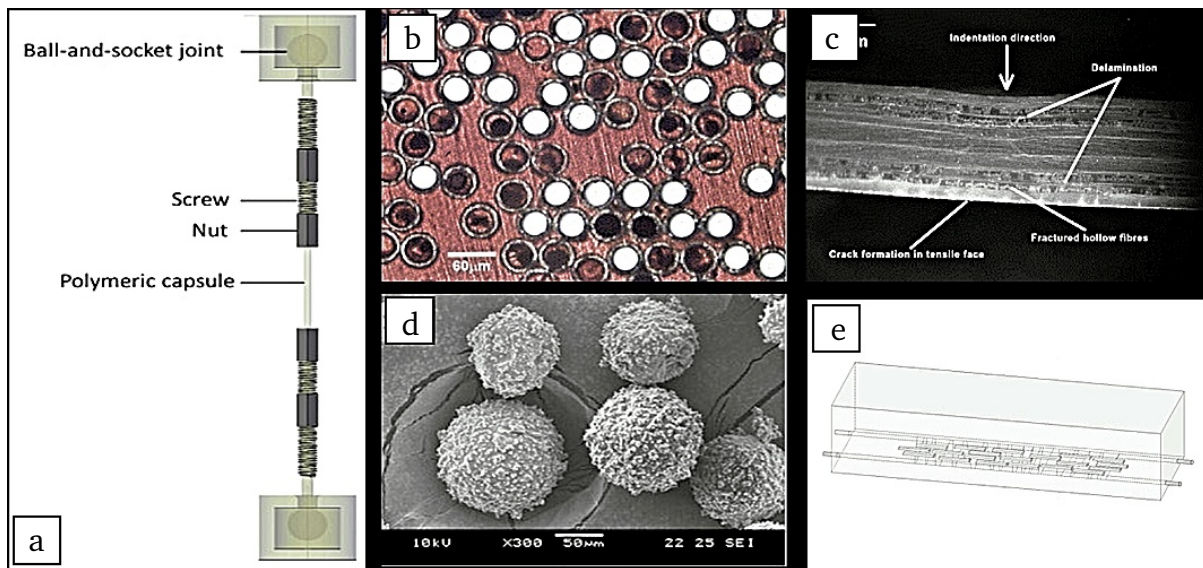


Figure 3.5. Self-healing mechanism based on adhesive agents. (a) Test setup used to determine the tensile strength of laboratory-scale hollow glass tubes with an outer hole of diameter 5 mm and an inner pin of diameter 3 mm. Reproduced with permission from the authors of [55]. Copyright 2015, Elsevier. (b) Hollow glass fibres of $60\ \mu\text{m}$ external diameter with a hollowness of 50%. (c) Cross section through impact damaged hybrid solid glass/hollow glass/epoxy laminate. Reproduced with permission from the authors of [247]. Copyright 2005, Elsevier. (d) Spherical microcapsules with diameter of $120 \pm 33\ \mu\text{m}$. Reproduced with permission from the authors of [249]. Copyright 2012, Elsevier. (e) Short glass/ceramic capsules attached to reinforcement, Reproduced with permission from the authors of [246]. Copyright 2015, Elsevier.

3.1.3 Phase-Field Methods for Modeling Concrete Self-Healing

The Phase Field (PF) method for simulating lower scale micro- and/or mesostructural cracking in materials has got an enormous upswing in the last decades. However, so far classical PF applications were focusing on the distribution of non-reactive multi-phase systems [250]; solidification problems [15, 139]; solid-state phase changes [140, 141]; grain growth, nucleation, and coalescence processes [142–144]; dislocation dynamics [145]; temperature inducing phase transformations [146]; liquid-phase sintering [147]; mass transport phenomena [148]; hydrodynamics [149]; and electromigration [150]. Recently, many problems in solid mechanics deal with the use of PF for describing fracture phenomena and to capture complex crack patterns [151–155]. Based on the present literature review, the following can be summarized.

- PF is an extremely powerful mathematical modeling scheme for accurately describing physical movements of phase boundaries.
- PF was mainly employed for solving solidification dynamics, material phase changes/separations, growing phases driven by chemo-kinetics and transport phenomena, nucleation and coalescence processes between particles in micro-to-mesostructures.
- PF has been successfully employed in fracture mechanics to capture the cracking response of brittle/ductile materials without the need for employing Discrete Crack Approaches (DCAs) and/or Smeared Crack Approaches (SCA).

Because of this, and as also supported by various state-of-the-art reports [15, 165, 211, 251–253], PF models can be employed for self-healing of brittle or plastic (ductile) materials in a fundamental and consistent way. It will combine the impact of two main phase changes that occur simultaneously in a self-healing mechanism, i.e. chemical reactions and fracture. Gradual changes from the fully-cracked (failure) to the uncracked configuration can be driven through the so-called Phase-Field order parameter (ϕ). It will provide a smooth transition of all relevant phenomena between the fully cracked configuration and the intact material phases: this strength and crack recoveries actually represent the self-healing process. The governing equations of the proposed unified model will be derived in the framework of thermodynamics concepts, in terms of kinematics and balance equations, dissipation inequality and constitutive laws. Particularly, the free energy will be considered as the sum of the contributions due to elasticity, reaction PF and fracture PF. The free energy of the system is described in a unified form over the entire phase transition region. In this regard, the advantage of the PF method over other competitive numerical methods is its enormous capability of capturing movements of interfaces, without the need for introducing any additional ad hoc technique, criteria and/or remeshing strategies, and also without any explicit tracking of the actual interface positions of these coupled processes. The governing equations of PF models for chemical/moisture reactions and fracture processes, associated with self-healing, as well as the coupling among them, can be formulated in a unified PF framework. The next sections report a review on the available formulations for a unified and coupled set of PF approaches for modeling reactions and fracture of self-healing mechanisms in concrete.

3.1.4 Main Equations of a Phase-Field Approach

The phase-field (PF) approach is a very powerful technique to simulate complex physical phenomena in multi-field environments. The main attributions of this approach are simplicity and generality.

A popular PF application is a diffusion interface model that is frequently used to simulate phase transformation problems in materials research [134, 254, 255]. The classical PF method is formulated based on the theory of Ginzburg and Landau, elaborated in the 1950s [256]. Compared with the sharp interface model, the PF diffusion interface model has the important advantage that no boundary conditions are specified on the interface between the different domains (Figure 3.6). A diffusive order parameter ϕ is a continuous function coordinate of time and space, which indicates each phase to convert between 0~1 or -1~1 within a thin translation layer [135, 253]. Moreover, ϕ is controlled by a set of coupled partial differential equations that can be discretized and solved numerically by evolving the equations. Any phase transformation is driven by a reduction of the free energy of the system F , which can be described by a set of conserved c_i and non-conserved ϕ_i field variables. The domain of the model is the entire phase transition system. The free energy of the system consists of the energy contributions from the homogenous bulk phases F_{bulk} and the diffuse interface region F_{int} , according to [134]

$$F(\phi, c) = F_{\text{bulk}} + F_{\text{int}} = \int_V [f_{\text{loc}}(\phi, c) + f_{\text{int}}(\nabla\phi, \nabla c)] dV \quad (3.1)$$

where f_{loc} defines the local free energy density (including chemical, interfacial and elastic strain free energy density), while f_{int} defines the diffusive interface energy density. From the computational point of view, monolithic or staggered algorithms can be computed to solve the problem unknowns, in which mechanical, chemical, interface, and phase-field variables are computed simultaneously or sequentially, respectively. For more details the interested reader is referred to the works in [151, 257, 258]. In those works, robust and efficient monolithic schemes were employed for the numerical implementation.

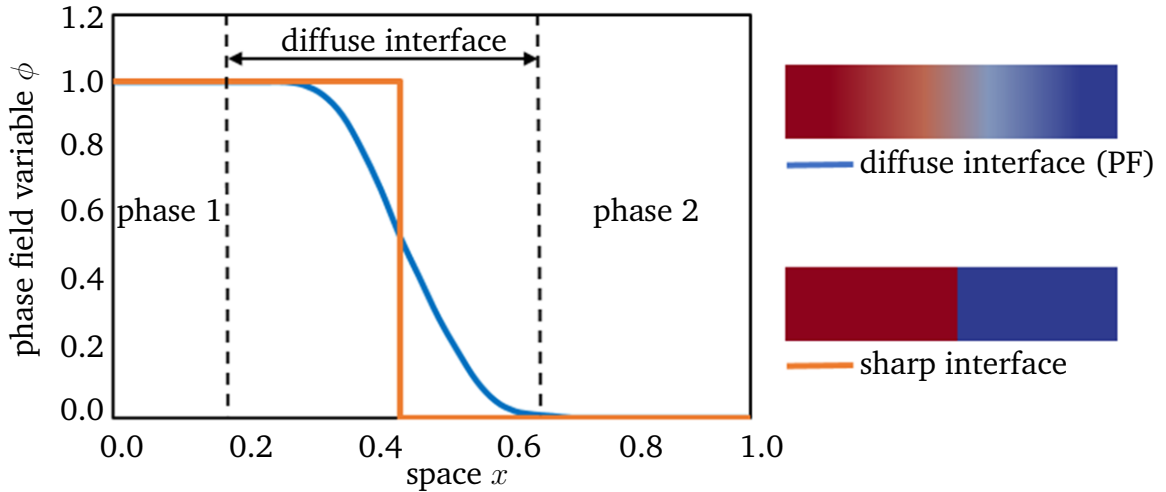


Figure 3.6. Schematic representation of sharp interface model and phase-field model.

3.1.4.1 Evolution Equation

The generalized PF method is represented by the Ginzburg-Landau or Onsager kinetic equation combined with the well fitted Landau- or Redlich-Kister-type free energy density functionals, which are dependent on both conserved and non-conserved field variables [134]. The time-dependent evolution of the conserved field variables (chemical concentration) is defined using a modified

Cahn-Hilliard equation [170], while the Allen-Cahn equation describes the transformations with non-conserved variables (e.g. crystal orientation, long-range order, crystal structure, and elastic strain) [167].

The Cahn-Hilliard equation is

$$\frac{\partial c_i(r, t)}{\partial t} = \nabla \cdot M_c \nabla \frac{\delta F}{\delta c_i(r, t)} \quad (3.2)$$

where c_i is the conserved concentration field variable, M_c is the kinetic coefficient of diffusion (associated mobility), t is the time and r is the spatial coordinate, ∇ is a vector of partial derivative operator, and δ denotes the variational derivation of the functional F .

The Allen-Cahn equation is

$$\frac{\partial \phi_i(r, t)}{\partial t} = -L_\phi \frac{\delta F}{\delta \phi_i(r, t)} \quad (3.3)$$

where $\phi_i(r, t)$ are the i different structure field variables with $i=1, 2, \dots, n$, while L_ϕ is the kinetic structure operators (order parameter mobility). Depending on the problem, L_ϕ has different expressions [139, 259, 260].

3.1.4.2 Local Free Energy Function

The local free energy function is a key component in the PF model [176]. This function describes the free energy density of each bulk phase, whose coefficients are obtained from thermodynamic data [259]. The expression of the local free energy depends on the problem of interest. For example, a double-well form is often used for solidification [255, 261]. When dealing with an electromigration problem, a double-obstacle potential is usually applied [15, 262]. A crystalline energy function is used to describe an overlapped dislocation of an elastically anisotropic crystal [263–265]. When the problem is temperature-controlled, as in the melting and solidification processes of crystals, the local free energy function contains a temperature field [266, 267]. In such cases, the phase-field is needed to be coupled with a temperature field [266–270]. Furthermore, a Landau-type polynomial potential can be applied for the treatment of a solid-state phase transformation [271–276]. Table 3.1 summarizes examples of the universal expressions, the graphs of the local free energies and existing phase-field applications.

3.1.5 Phase-Field Modeling of Precipitation Reaction Mechanisms

Self-healing of concrete can be numerically treated as a precipitation process of solutes at the solid-liquid crack interface [277, 278], which is time-dependent and controlled by chemical reactions and diffusion [94, 279]. When the rate of the chemical reactions at the interface is sufficiently high and there is no fluid flow, diffusion will be the only mechanism left for solute transport. The whole process is then a diffusion-controlled precipitation one [278]. However, when the chemical kinetics is slow enough, the precipitation process becomes chemically determined [280]. A review of existing models for self-healing that are based on chemical reactions show that these models are employing a reaction-diffusion process to describe the self-healing evolution [32, 87, 89, 92, 166].

Table 3.1. Expressions, graphs, and applications of the local free energy.

<p>Double-well</p> $f(\phi) = A \left(-\frac{1}{2}\phi^2 + \frac{1}{4}\phi^4 \right); \phi \in (-1, 1),$ <p>where A is the height of the potential energy between the two states at the minimum free energy.</p>		
<p>solidification coarsening and grain growth dislocation dynamics crack propagation crystal growth under stress biological application phase transformations in thin films electrochemical process</p>	<p>[15, 24, 135, 173, 255, 260, 261, 281–287] [142, 143, 288–290] [291, 292] [151–155] [293, 294] [295, 296] [297] [169, 298–301]</p>	
<p>Double-obstacle</p> $f(\phi) = \psi(\phi) + I_{[-1,1]}(\phi),$ <p>where $\psi(\phi) = A(1 - \phi^2)$; $I_{[-1,1]}(\phi) = \begin{cases} \infty & \phi > 1 \\ 0 & \phi \leq 1 \end{cases}$. When the phase transition only occurs in the narrow interface layer $\phi \in (-1, 1)$ instead of in regions outside the interfacial layer.</p>		
<p>solidification cell dynamical system stiffness maximization electromigration</p>	<p>[302, 303] [304, 305] [275] [306, 307]</p>	
<p>Crystalline energy</p> $f(\phi) = A \sin^2(\pi\phi); \phi \in (-\infty, +\infty),$ <p>where A is the energy barrier between two neighboring minima. This function is formulated with an infinite number of degenerated minima.</p>		
<p>dislocation system spiral growth</p>	<p>[263, 264, 308, 309] [265, 310]</p>	
<p>Potential with temperature field</p> $f(\phi, T) = \frac{1}{8\alpha} (1 - \phi^2)^2 - (T_i - T_m)\phi,$ <p>where $T_i - T_m$ is the difference between the current temperature and the melting temperature; α is a positive constant.</p>		
<p>solidification</p>	<p>[266–270]</p>	
<p>Landau-polynomial</p> $f(\phi) = f_{dis} + A\phi + B\phi^2 + C\phi^3 + D\phi^4 + E\phi^5 + F\phi^6,$ <p>where f_{dis} is the free energy of the disordered phase; $A \sim F$ are expansion coefficients related to temperature.</p>		
<p>solidification solid-state phase transformations electrochemical process crystal growth under stress phase transformations in thin films</p>	<p>[273, 311–314] [271, 272, 315–317] [274, 318] [275] [276, 319, 320]</p>	

These models focus on two processes: (1) the diffusion mechanism where dissolved ions (e.g., calcium ions) are transferred from the concrete interior toward the surface of the crack, and (2) the precipitation of mineral ions reacting with, for example, carbon dioxide or carbonate ions to form calcium carbonate. They mostly consider how the chemical environment affects the formation of self-healing products and how to achieve agreement with experimental results [32, 87, 89, 94, 98, 108, 166, 210, 321].

However, these models have several limitations. First, they only simulate chemical reactions in solution and do not explicitly account for the change of the initial solid phase boundary due to the dissolution of soluble minerals at the fracture surface. Reaction diffusion models only include precipitation reactions in solution and do not simulate the dissolution reactions of the solid phase with a solution. Second, these models only uniformly simulate the healing process at the crack and do not accurately simulate the change in micro-morphology of the crack. The change in crack morphology is directly influenced by the concentration of aqueous substances and precipitations inside the solution [244]. In return, the change in crack morphology does affect the local concentrations of aqueous substances and precipitations in the solution. This interaction between the two factors is not reflected by existing models.

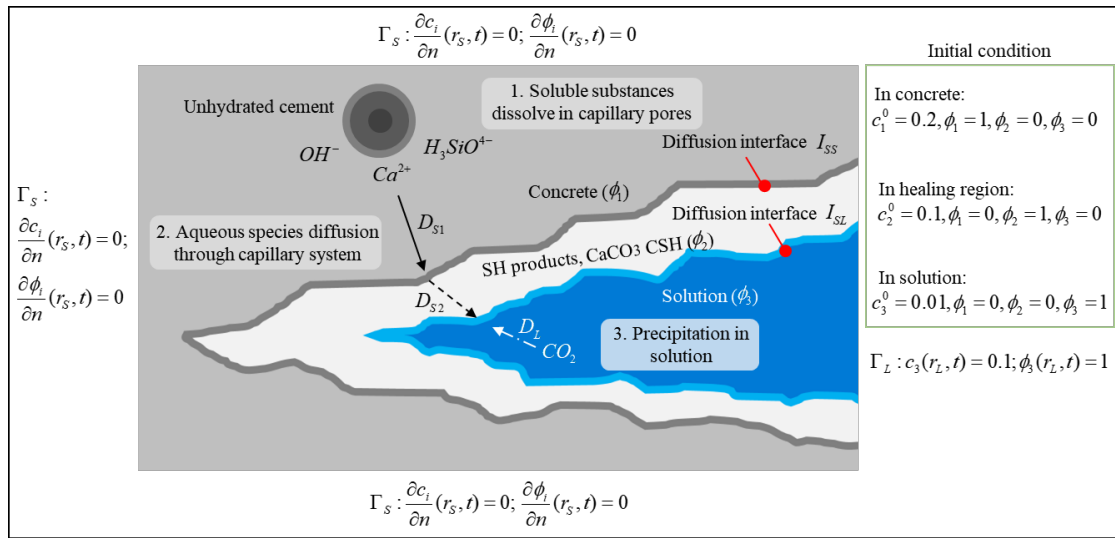


Figure 3.7. Schematic of the phase field model for the autogenous self-healing mechanism. Γ_s and Γ_L are the solid and liquid boundaries with coordinates r_s and r_L , respectively; n is the outward unit normal vector.

A PF method can fill these gaps. Figure 3.7 shows schematically a potential application of a PF model for an autogenous self-healing mechanism. The solid-liquid phase distribution is described by an eigenfunction in the value range [0,1]. The solid phase can be subdivided into an initial solid phase (ϕ_1) and a healing solid phase (ϕ_2), while ϕ_3 represents the solution phase. The solid-solid (I_{SS}) and solid-liquid (I_{SL}) interfaces are simulated continuously. In addition to the solution (D_L), diffusion constants are distinguished between the concrete (D_{S1}) and the healing region (D_{S2}) due to differences in the meso- and microstructures. Neumann boundary conditions (Zero composition flux) were applied at the top, bottom, left and right (the light gray part) boundary for the solute concentration c_i and the order parameter ϕ_i . The Dirichlet boundary condition ($c_3 = 0.1$ and $\phi_3 = 1$) was applied at the right boundary (the blue part). The initial conditions are set based on the

initial concentration in each phase. In this model, we chose to use the diffusion equation instead of Cahn-Hilliard equation because there is no phase separation. The Allen-Cahn equation is applied for solving the order parameter ϕ_i .

This approach can accurately capture information about the alteration of the crack morphology due to solidification by the hydration reactions or the accumulation of precipitates [12, 34, 220].

With this, an overview of the PF approaches to the solute precipitation [24, 322] and precipitation in binary alloys [287, 323, 324] is provided that are instructive for simulating self-healing mechanisms of concrete. The following models are presented in chronological order (Table 3.2).

Table 3.2. PF models for precipitation mechanisms.

Main Application	PF Model	Reference	Feature
Solute precipitation	Xu-Meakin model	[23,321 324-326]	Discontinuity of the solute concentration gradient at the interface
	Noorden-Eck model	[287, 323–325]	Single-phase free boundary problem with dynamic conditions at the moving boundary
Metal precipitation	Wang-Chen model	[286, 326]	Solid-state precipitation controlled by transformation-induced elastic strains
	Rubin-Khachatryan model	[273, 327]	3D stochastic PF model
	Chen-Ma model	[285, 328]	Kinetic data of existing databases CALPHAD applied into the PF model

3.1.5.1 Solute Precipitation

Solute precipitation is the process at which a solute changes from a liquid phase to a solid phase and precipitates outside its solution [329, 330]. In fact, precipitates are mostly insoluble [331].

(1) Xu-Meakin Model, 2008

Xu and Meakin [24, 322] developed a PF model for studying the dynamics of liquid-solid interfaces due to precipitation and/or dissolution of phases, based on the Karma-Rappel model [260] for pure melt solidifications. Discontinuities in the solute concentration at the interface are explicitly considered. An additional term has been added to the solute diffusion equation to describe the discontinuity of the solute concentration gradient at the interface. In addition, a detailed asymptotic analysis was used to establish a connection between the sharp interface and the PF model by correlating the reaction rate parameter k with the microscopic PF parameters. This ensures that the PF model will converge to the corresponding sharp-interface limit. A modified

solute diffusion equation is built up as follows,

$$\frac{\partial c}{\partial t} = D\nabla^2 c + A_1 \frac{\partial \phi}{\partial t} + A_2 \frac{\partial \phi / \partial t}{|\nabla \phi|} \left(D\nabla^2 \phi - \frac{\partial \phi}{\partial t} \right), \quad (3.4)$$

where the second additional term of the equation is corresponding to the discontinuity the solute concentration gradient at the interface. While the third additional term represents the net source or sink of the solute coming from the discontinuity in the solute concentration across the interface; D is the diffusion coefficient; A_1 and A_2 are two constants, which can be determined by the sharp-interface boundary conditions.

(2) Noorden-Eck Model, 2011

Van Noorden and Eck [287] proposed a PF model for a precipitation and/or dissolution process. The model describes a single-phase free boundary problem with dynamic conditions at the moving boundary. The concentration on the precipitate side of the interface is specified, and the velocity normal to the interface is nonlinear dependent to the concentration on the other side of the interface. The evolution equation of ϕ and c is described according to

$$\frac{\partial \phi}{\partial t} = \frac{1}{\alpha} \Delta \phi - \frac{1}{\alpha \epsilon^2} p'(\phi) - \frac{1}{\alpha \epsilon} \beta k'(\phi) [f(c) + f'(c)(c - \rho)]; \quad (3.5)$$

$$\frac{\partial c}{\partial t} = D \nabla \left[\nabla c + (\rho - c) \frac{k'(\phi)}{k(\phi)} \nabla \phi \right], \quad (3.6)$$

where $p(\phi)$ is a double-well potential; $f(c)$ is a rate function; $k(\phi)$ is an interpolation function; α , β , D , and ρ are physical parameters; and ϵ is the thickness of an interfacial layer.

Redeker and Rohde [323, 324] extended the Noorden-Eck model by incorporating curvature effects between two fluid phases to simulate precipitation in a porous medium. The model contains two immiscible fluids and one solid phase. Dissolved ions in one of the fluids can precipitate at the pore boundaries. Bringedal et al. [325] considered not only the diffusion of ions in the fluid phase, but also the effect of fluid flow on precipitation.

3.1.5.2 Metal Precipitation

Unlike solute precipitation, metal precipitation occurs in a supersaturated solid solution. Metals and metal oxides exist in the form of crystals. A crystal is a structure in which its atoms or molecules are arranged in an orderly fashion according to certain rules. A crystal is pure when all the components are just a single substance or a compound. If there is another substance involved that occupies the original atomic location and does not destroy the original structure, then this is a solid solution [332]. The original component is equivalent to a solvent and the foreign component is equivalent to a solute. As with a solution, when the solute in a solid solution is supersaturated in the solvent, it can no longer remain stable in the crystal structure and eventually precipitates [333].

The precipitate particles are generally metallic compounds, but may also be formed by aggregation of solute atoms in supersaturated solid solutions in a number of small solute-rich regions [334]. The precipitated particles act as barriers to dislocation movement, allowing significant increase in strength and hardness of most structural alloys of aluminum, magnesium, nickel, and titanium,

as well as some steels and stainless steels [335]. The precipitation mechanisms of different binary and ternary alloys have been intensively studied by using PF models [289, 336, 337].

(1) Wang–Chen Model, 1993

In the earlier study by Wang et al. [286], a PF model based on a microscopic kinetic model and elastic strain theory was developed to study the morphological evolution of the solid-state precipitation, controlled by transformation-induced elastic strain. The free energy of an inhomogeneous solid solution is given by the following equation,

$$F(c) = \frac{1}{2} \sum_{\phi'} W(r - r') c(r) c(r') + k_B T \sum_r [c(r) \ln c(r) + (1 - c(r)) \ln(1 - c(r))] \quad (3.7)$$

where $\phi(r, t)$ is the non-equilibrium single crystal sites of solute atoms, r is the crystal lattice site, $W(r - r')$ is the pairwise interaction energy of two atoms at the lattice site r and r' , and k_B is the Boltzmann's constant. The drawback of this model is that the matrix phase and the precipitates are iso-structurally treated. However, this assumption does not apply to the simulation of Al-Li alloy precipitation.

(2) Rubin–Khachaturyan Model, 1999

Rubin and Khachaturyan [273] developed a 3D stochastic PF model for simulating the microstructural evolution of Ni-Al superalloys. This model considers the coherency strain in an elastic anisotropic system. The coarse grained stress-free free energy was expressed as

$$F = \int_V \left[\frac{1}{2} \left(\alpha_{ij} \nabla_{ic} \nabla_{jc} + \sum_{p=1}^3 \beta_{ij}(p) \nabla_{i\phi_p} \nabla_{j\phi_p} \right) + f(c, \phi_1, \phi_2, \phi_3) \right] d^3r \quad (3.8)$$

where α_{ij} and $\beta_{ij}(p)$ are the gradient coefficients, ∇_{ic} and ∇_{jc} denote the gradient terms of multi-composition profile $c(r, t)$, $\nabla_{i\phi_p}$ and $\nabla_{j\phi_p}$ are the gradient terms of multi-component long-range order parameter $\phi(r, t)$, the specific free energy $f(c, \phi_1, \phi_2, \phi_3)$ is approximated by a polynomial, and the second integral term is the total strain energy functional based on the Fourier transform microelasticity method.

(3) Chen–Ma Model, 2004

Chen et al. [285] designed a quantitative PF modeling scheme for multicomponent diffusion-controlled precipitate growth and dissolution in Ti-Al-V system in which the thermodynamic and kinetic data of existing databases CALPHAD was directly inserted into the PF model. The total Gibbs free energy is described as follows,

$$G(T, c, \phi) = \frac{1}{V_m} \int_V \left[G_m(T, c_i, \phi) + \sum_{i=1}^{n-1} \frac{k_i}{2} |\nabla c_i|^2 + \frac{k_\phi}{2} |\nabla \phi|^2 \right] dV. \quad (3.9)$$

where G_m is the local molar Gibbs free energy; k_i and k_j are the gradient-energy coefficients for concentration and order parameter inhomogeneities, respectively; V_m is molar volume.

The temporal evolution of the composition is governed by Cahn-Hilliard diffusion equation on the basis of the phenomenological Fick-Onsager equations

$$\frac{1}{V_m^2} \frac{\partial c_k}{\partial t} = \nabla \sum_{j=1}^{n-1} M_{kj} (T, c_i, \phi) \nabla \frac{\delta G}{\delta c_i} \quad (3.10)$$

where M_{kj} are chemical mobilities related to atomic mobilities.

3.1.6 Phase-Field Modeling for Fracture Mechanisms

Fracture mechanics of concrete is a topic of intensive research during the last years. Simulation technology for analyzing crack initiation and propagation in concrete are numerous [213, 338–354]. Besides boundary and finite element methods for linear elastic fracture analysis, different versions of the so called eXtended Finite Element Method (XFEM) are frequently applied [355, 356].

Starting with the works of Bourdin et al. [357] and Miehe et al. [251], fracture processes were modeled explicitly by a PF approach. Due to its simplicity this methodology gained a wide interest and started to be used in the engineering community since 2010. From there on many scientist have worked in this field and developed PF approaches for finite elements methods (FEM), isogeometric analysis (IGA), and recently also for the virtual element methods (VEM). The main driving force for these developments is the possibility to handle complex fracture phenomena within numerical methods in various dimensions. Thus, research on PF approaches is still actual and points in many different directions.

In this review article, the simulation of fracture processes in concrete is achieved by utilizing the continuum PF method, which is based on the regularization of sharp crack discontinuities. This avoids the use of complex discretization methods for crack discontinuities and can account for multi-branched cracks within a solid skeleton (e.g. hydrated cement paste, unhydrated clinker particles, and stones). In particular due to the over-complicated geometry and content of concrete at multi-scales, in Figure 3.8 an example for PF modeling of water-induced failure mechanics in concrete microstructure is presented. In recent years, several brittle [358–395] and ductile [257, 396–423] PF fracture formulations have been proposed in literature. These studies range from modeling 2D/3D small and large strain deformations, variational formulations, multi-scale/physics problems, mathematical analysis, different decompositions and discretization techniques with many applications in science and engineering. All these examples demonstrate the potential of PF method for crack propagation.

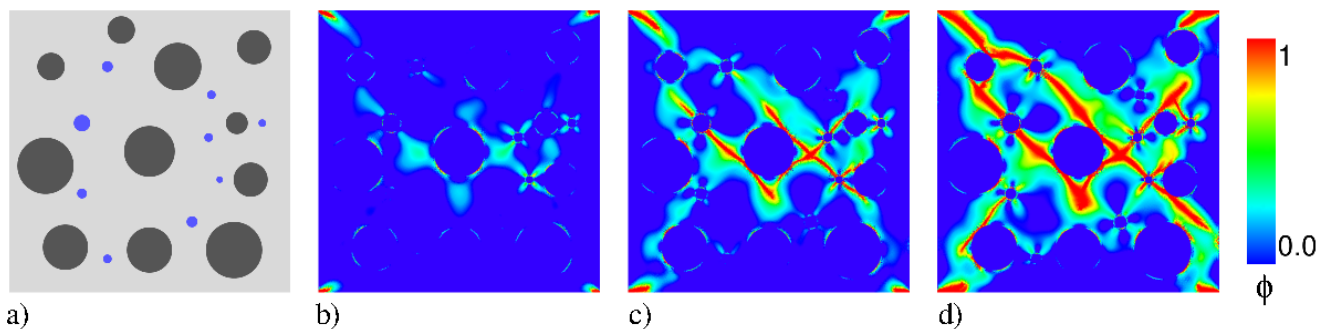


Figure 3.8. Concrete failure in poro-elasto-plastic media. (a) Schematic of the concrete idealized microstructure: Light gray color refers to the hydrated cement paste, dark gray color stands for the unhydrated clinker particles, and blue color depicts the water content. (b-d) Evolution of crack phase-field ϕ for different deformation states up to final failure, as outlined in [258].

The aforementioned PF approaches consider the fracture behavior of concrete, i.e. as a crack initiation and propagation. However, an important aspect in concrete is the treatment of the crack-closure effects. This response was firstly investigated in the works [424, 425] for fatigue

crack closure under cyclic tension. Thereby, the results indicate a fatigue crack, propagating under zero-to-tension loading may be partially or completely closed at zero load. A review of this physical phenomena can be seen in [426–428]. To the author’s best knowledge, a PF approach for modeling crack closure is still an open issue. To this end, cohesive elements along the crack path will be coupled with the PF formulations to prevent overlapping of the crack faces. Another future direction is to use a contact scheme at the crack faces similar to the work developed by [429]. A further important aspect is the PF modeling of crack-closure induced by a self-healing mechanism (introduced in Section 3.1.3) in cementitious systems. These topics await investigation.

3.1.6.1 Fundamental Variational Formulations

In Griffith-type fracture formulations, the mechanical deformation denoted generally by “state” and the sharp crack surface Γ in a brittle elastic solid (e.g. cement paste) are determined by the incremental minimization problem developed by Francfort and Marigo [430] as

$$E(\text{state}, \Gamma) = \int_{V \setminus \Gamma} f(\text{state}) dV + G_c \mathcal{H}(\Gamma) \rightarrow \text{Min!} \quad (3.11)$$

where G_c is the Griffith critical surface energy release and $\mathcal{H}(\Gamma)$ is the Hausdorff surface measure of the crack set Γ . In Equation (3.11), the functional E has a structure identical to that for image segmentation developed by Mumford and Shah [431]. It consists of the strain energy stored in the solid as well as the energy release due to fracture.

3.1.6.2 Regularized Variational Theory

The numerical evaluation of the sharp crack interface in the functional E (Equation 3.11) is not suitable within a standard finite element framework, as outlined in the work of Bourdin et al. [357]. Therefore, a regularized crack interface using a specific regularization profile γ is introduced in the studies of Miehe et al. [251, 396]. It is based on a geometric regularization of sharp crack discontinuities that is governed by a crack PF

$$\phi \in [0, 1] \quad \text{with} \quad \dot{\phi} \geq 0 \quad (3.12)$$

It characterizes locally for the initial condition $\phi = 0$ the unbroken and for $\phi = 1$ the fully broken state of the material. Thus, the critical fracture energy is approximated by

$$G_c \mathcal{H}(\Gamma) \approx \int_V G_c \gamma(\phi, \nabla \phi) dV \quad \text{with} \quad \gamma(\phi, \nabla \phi) := \frac{1}{2l_f} \phi^2 + \frac{l_f}{2} |\nabla \phi|^2 \quad (3.13)$$

in terms of the crack surface density function per unit volume of the solid. The regularization is governed by a fracture length scale l_f . Note that the limit for vanishing the fracture length scale $l_f \rightarrow 0$ gives the sharp crack surface Γ .

Therefore, the minimization problem represented by Equation (3.11) can be expressed in the following form,

$$\tilde{E}(\text{state}, \Gamma) = \int_V \widehat{W}(\text{state}, \phi, \nabla \phi) dV \rightarrow \text{Min!} \quad (3.14)$$

defined in terms of the total work density function \widehat{W} as

$$\widehat{W}(\text{state}, \phi, \nabla\phi) = g(\phi) f(\text{state}) + G_c \gamma(\phi, \nabla\phi), \quad (3.15)$$

contains a degraded elastic work density and the crack energy release per unit volume. $g(\phi)$ is a degradation function defined as $g(\phi) = (1 - \phi)^2$. It describes the degradation of the solid with the evolving crack phase-field ϕ , as depicted in Figure 3.8b–d.

3.1.7 Discussion and Conclusions

Based on the above literature review, it can be observed that PF methods have a great potential for simulating self-healing mechanisms in concrete. Therefore, it can be applied to solve problems that cannot be addressed by commonly applied models. It has the potential of an unprecedented breakthrough. As self-healing of concrete is a rather complex process, it is an interaction between physical, chemical and mechanical mechanisms. Obtaining a novel, versatile model for self-healing concrete is a multidisciplinary study involving civil engineering, materials science, and chemistry. Many studies have been conducted in these fields using the PF approach, while it will be a great reference for the development of a self-healing PF model.

In future research, it would be recommended to include in the polynomial system of the PF approach the pore structure, concrete matrix, water dissolution, and hydration product phases at the crack front. In this way, the free-energy equations will combine hydration kinetics, crystallization kinetics, polymerization reaction kinetics, mass transport and chemical energies to provide a detailed description of the phase nucleation and growth mechanisms at the crack front. Coupling a reactive PF model with a fracture PF model allows to simulate the crack development and its mechanical self-healing recovery effects at different stages and under different environmental conditions. In order to achieve this goal, there are several self-healing mechanisms that need to be studied in great detail. Validating these models should be continuously done by comparing them with experimental results. The following potential future steps are identified:

(1) Evolution of the pore structure at the crack surface:

During the process of autonomous self-healing, soluble substances at the crack surface enter the solution and undergo various dissolution reactions, followed by hydration and carbonation crystallization reactions. Part of the solution will diffuse into the capillary pores of the concrete matrix, where crystallization and precipitation also occur. The growth of the cracked surface also forms a new pore structure, which further affects the diffusion and chemical reaction processes. Thus, the pore structure of the crack boundary is constantly changing with ongoing reaction. Its interaction with the crack morphology, reactant concentration, and mass transport needs to be investigated in the future.

(2) Influencing factors and simulations for mechanical repair of cracks:

The fracture PF part is a combination of elastic and fracture energies. Elastic free energy will follow the classical assumptions while the fracture part will account for the fracture toughness, order formulation, evolution equations, and healing regain laws. Moreover, both are closely related to the packing density field. This is because the mechanical properties at fracture mainly depend on the solid-phase continuity. The mechanical properties are enhanced in a homogeneously dense position of the filler and, conversely, worse in the disconnected parts of

the solid phase. The packing density field, in turn, is related to the mass transport. Therefore, a numerical transport–mechanical coupling strategy shall be developed to simulate the overall performance of the self-healing mechanism.

(3) Evolution of crack healing morphology:

The morphology of the crack greatly influences its local healing effect. At the crack tip, healing products are produced faster and more frequently because of the higher concentration of reactants. The movement of the crack tip is faster than at other locations. Thus the crack morphology changes continuously with the healing process. As the PF model avoids tracking the boundary conditions at the interface and instead simulates the evolution of the auxiliary field. Therefore, the evolution of the interfacial morphology is easier to simulate. In addition, the simulation of interfacial morphology will take into account the distribution of bacteria, adhesive agents and mineral admixtures. Therefore, the macroscopic representation of a crack healing morphology shall be simulated from a micro-level point of view.

(4) Free energy to distinguish between various product phases:

Self-healing products contain multiple substances (CSH, CH, or additional byproducts) that, although they have the same healing mechanism (aggregation, crystallization and precipitation), their chemical reaction kinetics are different. This affects the rate of healing of the cracks as a whole. Therefore, the free energies of the various product phases and the corresponding thermodynamic parameters will be distinguished in the future and reflected in specific simulations.

(5) Determination of PF parameters:

A formulation for the determination of the PF parameters needs to be provided. Information on the PF parameters and their interrelationships will be obtained from thermodynamic and diffusion databases in combination with experimental data. Combined with the second law of thermodynamics and non-equilibrium thermodynamics, the self-diffusion, mutual diffusion, and chemical diffusion coefficients will be related to the diffusion mobility (M). The order parameter mobility (L) will be derived and their relationship to other phase-field parameters will be investigated.

(6) Development of a three-dimensional model:

As a self-healing process includes complex physical-chemical-mechanical processes, these mechanisms can only be accurately simulated in a fully three-dimensional system. Therefore, a three-dimensional simulation of the self-healing process need to be performed with realistic boundary conditions. The simulation results need to be verified and compared with 3D computed tomography scan (CT scan) results of concrete specimens.

In conclusion, the use of a PF method is feasible and has a significant application advantages in the field of self-healing concrete applications. Although this method still has a long way to go before it becomes a fully fledged simulation tool, these early studies are considered to be an important step towards reaching this goal.

3.2 Publication 2: Numerical Phase-Field Model Validation for Dissolution of Minerals

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3.2.1 Introduction

Mathematical modelling of the moving-boundary dissolution fronts of minerals is important in a wide range of engineering technologies. For example, it is of great importance in fields of geochemistry, materials science, hydrometallurgy, etc. Predictions of the moving boundary dissolution phenomena can support in the design of engineering processes where dissolution is desired: e.g. in extraction of elements or reactivity of cementitious minerals, but also when not desired, e.g. in durability (corrosion) issues of building materials (e.g. steel-reinforced concrete frames). In general, minerals dissolve when exposed to aggressive solution environments and form leached layers of varying density and strength [432]. This in turn affects the mechanical and transport properties of the microstructure which further may be relevant at higher scales, for example when the material (rock, concrete or mortars) has structural applications. In addition, the dissolution mechanisms of some special minerals can be of great industrial and environmental interest. For example, the dissolution of scorodite is considered a potentially good carrier for arsenic fixation [433]. Moreover, the application of innovative self-healing concrete in civil engineering has been extensively and intensively studied in recent years. The disdissolution of $\text{Ca}(\text{OH})_2$ from the concrete matrix is one of the key processes of the durability and self-healing mechanisms [12, 13, 221].

The dissolution of minerals often involves complex physico-chemical processes at the solid–liquid interface. However, this can be simplified at the mesoscale to the problem of a continuously moving boundaries. Traditional sharp interface models are thus required to trace these moving fronts [434–444]. However, this becomes extremely difficult for high-dimensional problems, with complex dynamic geometries, especially those whose interface evolution is accompanied by energy changes. In this sense, phase-field (PF) methods provide a powerful way to track such interfaces. The PF method has been applied to various phenomena in materials science area, such as, solidification, solid-state phase transformation, recrystallization, grain growth, fracture, and electromigration [285, 298, 309, 445–447].

Generally speaking, the PF model can be regarded as a kind of diffusion interface model [15, 139, 211], which assumes that the thickness of the interface is limited, while the physical properties on the interface are continuous and smooth [253, 314]. The moving solid–liquid interface can thus be accurately tracked [136]. Compared with sharp interface models, the PF diffusion interface model has the important advantage that no boundary conditions are specified on the interface between different domains [134, 177]. This allows us to study the evolution of arbitrarily complex morphology without tracking the microscopic shape of the grain [448, 449]. A new variable,

namely the order parameter, is required to represent the ordered numbers of materials in terms of time and position [173, 281]. In these works, the complex interfacial spatial-temporal evolution has been investigated through the aforementioned order parameter in an implicit way. An additional feature of the PF method is that there is a functional total free energy that can characterize the nature of the phase transition. It includes the various energy contributions of the system at the non-equilibrium state: i.e. chemical energy [450–453], electric potential energy [158, 454, 455], stress energy [358, 360, 363], etc. It is the competition between these different energies that leads to the generation of changing microstructural topography during the phase transition. In solving the PF model, conservative fields such as concentration fields can be described e.g. through the Cahn–Hilliard equation [170], while non-conservative fields, as order parameters, can be described via the Allen–Cahn one [167].

There are four models in the literature that are most commonly used to address two or multi-phase transition processes. They provide important insights into solving of the mineral dissolution problems: the Wheeler-Boettinger-McFadden (WBM) model [177, 178], the Kim-Kim-Suzuki (KKS) model [1], Steinbach model [176] and Losert model [456]. The WBM model is derived in a thermodynamically consistent way, which is based on an assumption that each point of the interface is a mixture of coexisting phases with the same composition but different volume fractions [314]. This model works under both sharp-interface condition and finite-interface thicknesses [185, 186]. However, the larger interface thickness will lead to unreliable calculation results [179, 183, 457]. KKS model shows a different definition of the free energy density, which defines the interface as a mixture of liquid and solid phases of different compositions, but with the same chemical potential [185]. For the KKS model, the relationship between model parameters and material properties can be established through the equilibrium and thin interface limit analysis [1]. The Steinbach model is not based on the thermodynamic treatment, but based on the geometric description of interface through the interpolating function of interface curvature. This model is more suitable for a dilute alloy [270]. Losert et al. [456] finally used the similarity of alloys and pure materials to expand the thin interface model by matching variables in pure materials. However, there are two strict assumptions in the model that limit its application (1) the liquidus and solidus lines need to be parallel, and (2) the diffusivity of the solute is constant in the entire region [458].

In applying the PF model, described above, to the moving boundary problem of minerals, it is necessary to understand how to select the interface mobility so that the model can effectively describe the dissolution process. In the literature, there are only a few studies that address this issue. Qin and Bhadeshia [259] proposed that, in a single-component system, the interface mobility is related to the interface velocity and the driving force according to the chemical rate theory. In the case of spinodal decomposition, the interface mobility can be obtained from the diffusion coefficient and thermodynamics. When the model is used to simulate the complex meso-morphological evolution, the interface mobility needs to be determined based on experiments, as also demonstrated in this paper (Section 3.2.6.3). Karma and Rappel [139] made a linear approximation of the temperature gradient at the interface and proved that in dealing with the solidification problem of pure melting, the PF parameters can be accurately determined under the thin interface limit. Based on this model, Xu and Meakin [24] developed a phase-field approach for aqueous dissolution/precipitation reactions assuming first order reaction kinetics. The model was validated by a one-dimensional analytical solution of interface motion due to solute precipitation. Two additional terms were added to the diffusion equation, one corresponding to the discontinuity of the solute concentration gradient, at the interface, while the second one represents the net source (or sink) of the solute, coming from the discontinuity in the solute concentration across

the interface. In most of the studies, the values of interface mobility are used as empirical or hypothetical ones [168, 172, 459–463]. Furthermore, few attempts have been made to explain in detail the calculation of the interface mobility and its relation to other physical parameters [182, 185, 303, 464, 465]. Therefore, tackling of this difficulty will be one of the innovations of this paper.

In contrast to the reaction kinetics controlled case, here we further validate the PF approach on the experimental results (of NaCl dissolutions) and are focusing mainly on the diffusion limited mechanisms. First, the one-dimensional diffusion-controlled dissolution problem will be simulated using an analytical solution and the classical KKS model, separately. The results will then be compared to clarify the estimation and interaction of the interface mobility with other PF parameters. The effect of solid particle shape on the dissolution process is 2D analysed and validated on literature data for NaCl dissolutions. The PF results are then validated against the data obtained from analysis by the video-microscopy images and compared with the analytical model. Finally, a concluding discussion on the whole article is given.

3.2.2 Dissolution Mechanisms

3.2.2.1 Types of Dissolution

Chemical dissolution of minerals occurs as a congruent or an incongruent reaction, depending upon the type of a mineral [466]. Congruent dissolution of a solid mineral is a chemical reaction which completely dissolves the mineral and all products of this reaction are dissolved species. An obvious example would be calcite CaCO_3 and NaCl [467, 468]:



when the primary solid phase is altered and at the same time a secondary solid phase is formed, incongruent dissolution occurs, for example the alteration of albite to gibbsite $\text{NaAlSi}_3\text{O}_8$, or Kaolinite [469, 470], which requires a more advanced thermodynamic modelling approaches to be integrated in the PF:



3.2.2.2 Diffusion-Controlled Dissolution Mechanisms

Figure 3.9 shows the dissolved diffusion process of soluble minerals based on the diffusion interface. When dealing with the problem of moving boundaries, the conventional approach separates the different phases by a sharp interface. The interface movement is solved by a partial differential equation describing, for example, mass and thermal diffusion equations. These equations have to be combined with boundary conditions of varying values and positions. When some variables (heat flux or concentration) cross the sharp interface, jump discontinuities can occur, making the calculation very difficult. In the PF model, the interface is described as a diffuse interfacial layer with smooth transitions. Thus, the phase transformation is represented by a change in an order parameter (ϕ). As shown in Figure 3.9, the solid phase is represented by “1” while the liquid phase by “0”, hence the order parameter varies continuously between 0 and 1 at the solid–liquid interface.

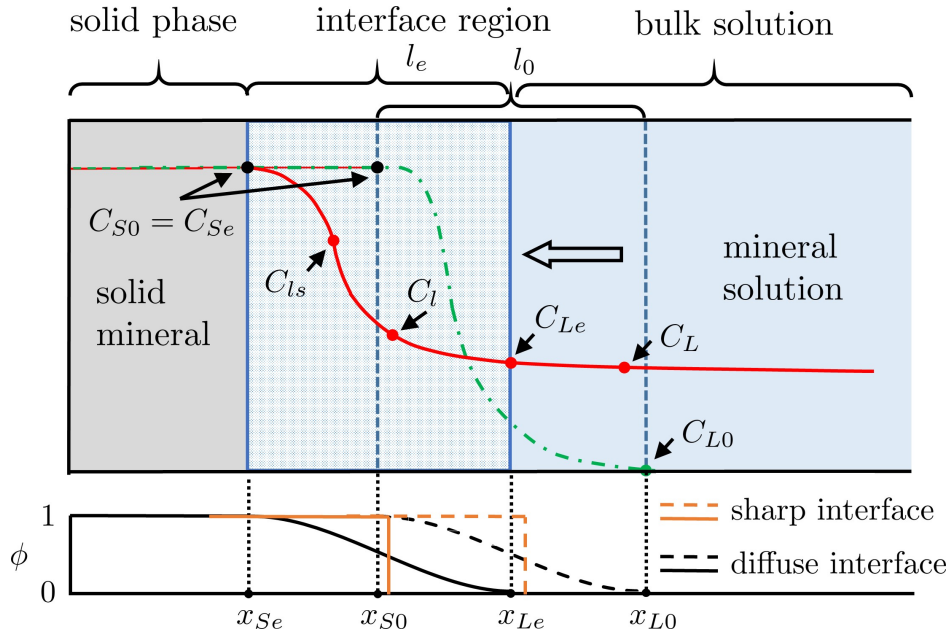


Figure 3.9. Schematic representation of solute concentration of soluble minerals in situ (i.e. green dotted line) and equilibrium states (i.e. red solid line), and the phase transformation within a diffuse and sharp interface, respectively. For the sharp interface, the evolution of the solute concentration is discontinuous at the interface. However, for the diffuse interface, the solute concentration evolves continuously between their equilibrium values at the mineral (c_{Se}) and solution boundary (c_{Le}).

As the congruent dissolution process occurs, the solute is gradually transferred into the solution, the length of the solute base phase decreases, and the solid–liquid diffuse interface gradually moves toward the inside of the solute base phase. The solute concentration in the initial solution is c_{L0} , while the solute concentration at equilibrium is c_{Le} . The solute concentration in the solid is kept constant when the diffusion phenomenon in the solid is not taken into account. The change of solute concentration in solution with time is related to its position. The solute concentration (c_L) increases with time away from the solute matrix phase; solute concentration (c_{ls}) decreases with time near the solute matrix phase. The solute concentration in between (c_l) is in a state of dynamic increase or decrease. x_{Se} , x_{S0} , x_{Le} and x_{L0} indicates the positions corresponding to the above solute concentrations.

3.2.3 Mathematical Methods

3.2.3.1 Analytical Solutions

A following 1D planar analytical description of a diffusion-controlled dissolution for phase transformation is considered, where the solid is immersed in a (semi-)infinite liquid solution. The diffusion equation is a parabolic partial differential equation, which is expressed as follows:

$$\frac{\partial c(x, t)}{\partial t} = D_L \nabla^2 c(x, t), \quad (3.18)$$

where D_L is the diffusion coefficient, $c(x, t)$ is the concentration at location x and time t , subject to the conditions:

$$c|_{x=R, t} = c_{Le} (0 < t \leq \infty), \quad (3.19)$$

$$c|_{x, t=0} = c_{L0} (x \geq R), \quad (3.20)$$

where $x = R$ is the position at the solid-liquid interface, c_{L0} and c_{Le} represents the initial concentration and the equilibrium concentration of one component in the liquid phase.

At the solid-liquid interface, the following independent flux balance condition must be fulfilled:

$$(c_S - c_{Le}) \frac{dR}{dt} = D_L \frac{\partial c}{\partial x} |_{x=R}, \quad (3.21)$$

where c_S is the concentration in solid phase which is taken as a constant.

The exact analytical solution for the field is [434]:

$$c(x, t) - c_M = (c_{Le} - c_{L0}) \frac{\operatorname{erfc} [(x - R_0)/2\sqrt{D_L t}]}{\operatorname{erfc}(-\lambda)}. \quad (3.22)$$

The interface position at current time can be expressed as

$$R = R_0 - \lambda_I \sqrt{D_L t}, \quad (3.23)$$

where R_0 denotes the value of R at the time $t = 0$, while

$$\lambda_I = 2\lambda, \quad (3.24)$$

where λ is given by:

$$\sqrt{\pi} \lambda \exp(\lambda^2) \operatorname{erfc}(-\lambda) = \beta/2, \quad (3.25)$$

where $\beta = 2(c_{Le} - c_{L0})/(c_S - c_{Le})$.

Different from the planar solid where exact solution is available, only approximately analytical solution model for the diffusion-controlled dissolution of the spherical solid has been found. The stationary-interface approximation is expressed as [434]:

$$c(x, t) - c_M = \frac{(c_{Le} - c_{L0})R}{x} \operatorname{erfc} \left[\frac{x - R}{2(D_L t)^{1/2}} \right], \quad (3.26)$$

where the current interface position is $R^2 = R_0^2 - \beta D_L t$; β as defined in Equation 3.25.

The implicit expression for the particle radius ratio y ($y = R/R_0$) with respect to time is defined as follows:

$$\ln [y + 2p(\tau)^{1/2}y + \tau] + \frac{2p}{(1 - p^2)^{1/2}} \arctan \left(\frac{(1 - p^2)^{1/2}}{\frac{y}{\tau^{1/2}} + p} \right) = 0, \quad (3.27)$$

where,

$$\tau = \frac{\alpha t}{R_0^2}, \quad (3.28)$$

$$\alpha^2 = \beta D_L, \quad (3.29)$$

$$p^2 = \frac{\kappa}{4\pi}. \quad (3.30)$$

3.2.3.2 The Phase-Field (PF) Method

The total free energy of the thermodynamic system drives changes in the micro-structure of materials only when the total free energy changes from a high chemical potential (or a higher free energy) state to a low chemical potential (or a lower free energy) state to eventually attain an equilibrium. The total free energy of the system is a function of the solute concentration c and the phase parameter ϕ and expressed as:

$$F(c, \phi) = F_{\text{loc}} + F_{\text{int}} = \int_V \left[f_{\text{loc}}(c, \phi) + \frac{\kappa}{2} |\nabla \phi|^2 \right] dV, \quad (3.31)$$

where F_{loc} is the local free energy of the system, F_{int} is the interfacial energy, and κ is the gradient energy coefficient. In order to simplify the numerical calculation, the molar concentration of the solute is normalized by the molar concentration of the solid c_S , that is, $c = c'/c_S$. The molar concentration of the solid is defined as the density of the solid divided by its average molar mass [471]. Each point in the entire domain is a mixture of two phases with different chemical compositions. The Gibbs free energy expression, in the KKS model, has been widely employed in solidification mechanisms of binary alloys [472, 473], in addition to the recent extension to the field of electrochemical corrosion [182, 459]. The mechanism of the corrosion reaction is similar to that of the dissolution reaction, i.e. both are phase transformations triggered by the diffusion of ions. Hence, the double well potential (i.e. Gibbs free energy density) has two minima at $\phi = 0$, $\phi = 1$ and a maximum at $\phi = 0.5$ (middle of the interface). Based on this theoretical basis, the present model identifies the local free energy $f_{\text{loc}}(c, \phi)$ as a fractionally weighted average of the solid $f_S(c_S)$ and liquid free energies $f_L(c_L)$, and imposes a double-well potential $\omega g(\phi)$ as follows:

$$f_{\text{loc}}(c, \phi) = h(\phi) f_S(c_S) + [1 - h(\phi)] f_L(c_L) + \omega g(\phi), \quad (3.32)$$

where the interpolation function $h(\phi)$ is built as $h(\phi) = -2\phi^3 + 3\phi^2$, and ω is the height of the double-well potential function given by $g(\phi) = \phi^2(1 - \phi)^2$.

The free energy density of the solid and liquid phase is approximated by a parabolic function with the same curvature A as follows:

$$f_S(c_S) = A(c_S - c_{S_e})^2, \quad (3.33)$$

$$f_L(c_L) = A(c_L - c_{L_e})^2, \quad (3.34)$$

where $c_{S_e} = c_S/c_S = 1$ and $c_{L_e} = c_{\text{sat}}/c_S$ are the solute concentrations at the normalized equilibrium of the solid and the liquid phase, respectively.

Complementary condition indicates that the phase concentrations are constrained such that the chemical potentials of each phase are equal:

$$\frac{\partial f_S(c_S)}{\partial c_S} = \frac{\partial f_L(c_L)}{\partial c_L}. \quad (3.35)$$

The solute composition in the interface area is the fraction-weighted average of the liquid and solid composition, and the same formula is used for the diffusion coefficient, as shown below:

$$c = h(\phi) c_S + [1 - h(\phi)] c_L, \quad (3.36)$$

$$D = h(\phi)D_S + [1 - h(\phi)] D_L. \quad (3.37)$$

The interfacial evolution is controlled through coupled conserved and non-conserved dynamics. Particularly, the Allen-Cahn equation is used to describe the temporal evolution of the non-conserved variable ϕ . However, the diffusion equation is applied for solving the evolution of the conserved parameter c :

$$\frac{\partial \phi(x, t)}{\partial t} = -L \frac{\delta F}{\delta \phi} = -L \left[\frac{\partial f(\phi)}{\partial \phi} - \kappa \Delta \phi \right], \quad (3.38)$$

where L is the PF mobility:

$$\frac{\partial c(x, t)}{\partial t} = D \nabla^2 c(x, t), \quad (3.39)$$

where D is the diffusion coefficient.

The energy of the system is minimal when it reaches equilibrium. In order to find the PF profile $\phi_0(x)$ and the composition $c_0(x)$ at equilibrium state, Kim, et al. [1] deduced a one-dimensional solidification problem with boundary conditions $\phi_0|_{x \rightarrow -\infty} = 1$ (solid) and $\phi_0|_{x \rightarrow +\infty} = 0$ (liquid). Since the equilibrium state means the vanishing of the driving force, the PF profile $\phi_0(x)$ should satisfy the following Equation:

$$\frac{\delta F}{\delta \phi_0} = \frac{\partial f(\phi_0)}{\partial \phi_0} - \kappa \Delta \phi_0 = 0. \quad (3.40)$$

Thus, by combining with the double well equation, the PF profile can be expressed as:

$$\phi_0(x) = \frac{1}{2} \left[1 - \tanh \left(x \sqrt{\frac{\omega}{2\kappa}} \right) \right]. \quad (3.41)$$

Then, the composition is:

$$c_0(x) = h(\phi_0(x))c_S^e + [1 - h(\phi_0(x))] c_L^e. \quad (3.42)$$

3.2.4 Problem Description and Model Tests

In this section a 1D congruent dissolution case study is presented selected as benchmark for verifying the soundness and capability of the proposed PF procedure.

3.2.4.1 Benchmark with Analytical Model for One-Dimensional (1D) Congruent Dissolution

The model consists of a one-dimensional domain with a size of 20 mm. The solid and liquid domains have a 3:17 ratio (see Figure 3.10). This is chosen to ensure that the length of the solution must be long enough for diffusion to take place in a system whose domain is considered to be semi-infinite.

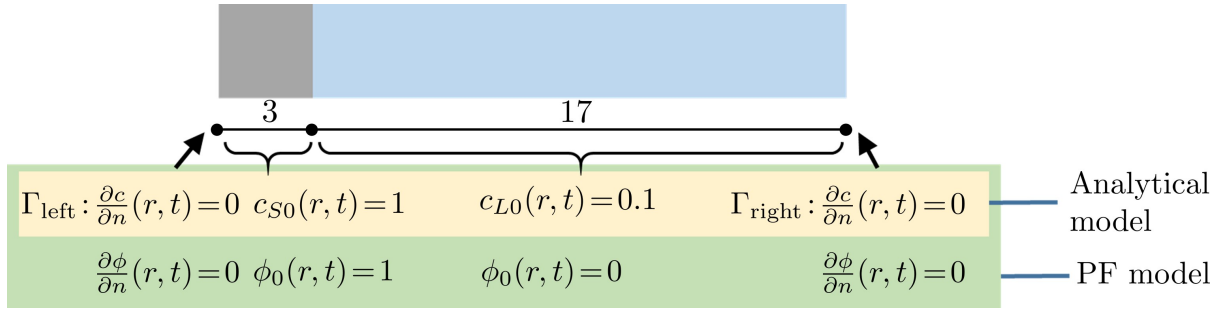


Figure 3.10. Initial benchmark configurations and boundary conditions for 1D single-component dissolution of a planar solid.

In the initial aqueous solution concentration c_{L0} is specified as 0.1 mol/m^3 . The concentration c_S of the solid was constant at 1 mol/m^3 . The equilibrium concentration c_{Le} was maintained at 0.4 mol/m^3 at the fluid-solid interface. The diffusion coefficient of the solute in the liquid D_L is taken as $1.0 \times 10^{-9} \text{ m}^2/\text{s}$, while the diffusion of the solute in the solid D_S is $1.0 \times 10^{-15} \text{ m}^2/\text{s}$. Neumann conditions (zero flux) were applied on the right and left side of the domain boundary. The parameters used in this study and their values are listed in Table 3.3.

Table 3.3. Summary of benchmark parameters.

Parameter	Description	Value	Unit
ΔG	Gibbs free energy	2233.23	J/m^3
A	curvature of the free energy density function	6.20×10^3	J/m^3
ω	height of the double well potential	1.94×10^4	J/m^3
L	interface mobility	4.02×10^{-5}	$\text{m}^3/(\text{J} \cdot \text{s})$
κ	gradient energy coefficient	1.12×10^{-5}	J/m
l_0	initial thickness of the diffuse interface	1.0×10^{-4}	m
σ	interfacial energy	1.1×10^{-1}	J/m^2
D_L	diffusion coefficient in solution	1.0×10^{-9}	m^2/s
D_S	diffusion coefficient in solute	1.0×10^{-15}	m^2/s
c_{Se}	saturation concentration in the solid phase	1.0	mol/m^3
c_{Le}	saturation concentration in the solution	4.0×10^{-1}	mol/m^3
R	gas constant	8.31	$\text{J}/(\text{K} \cdot \text{mol})$
T	ambient temperature	2.93×10^2	Kelvin
K	equilibrium constant	0.4	[-]
t	calculation time	2.88×10^4	s

3.2.4.2 Simulation Case Study Based on Available Measured Experimental Data of Mineral Particle Dissolution

Most of the available experimental data on solid dissolution in the literature focus on recording the evolution of solute concentration [439, 442, 474, 475] or dissolved solid mass over time, and do not explicitly observe the movement of the solid–liquid boundary [474, 475]. In some experiments, the dissolution process was influenced by convection with stirring [476–479]. In this sense, sodium chloride (NaCl) is one of the most common minerals: its congruent dissolution mechanism and the corresponding reaction thermodynamics and kinetics are well documented in the literature [468, 480–485]. However, studies addressing solid–liquid boundary regression due to diffusion-controlled dissolution have mainly tended to focus on the nanoscale [486–488].

The study of Quilaqueo and Aguilera [118] is one of the few studies that provides detailed experimental dataset to be used for the experimental validation of the PF numerical models. They performed image analysis by coupling a digital camera to a stereo microscope to obtain microscopic images of the dissolution process. Recording started by placing a single NaCl particle in 500 μL of water without stirring at 20°C. The time profile of dissolution was obtained by calculating the projected area of the single crystal as a function of dissolution time from the video microscope image.

Based on these experimental data, one-dimensional simulations of the dissolution process of a single salt particle performed, in a spherical coordinate system, and two-dimensional simulations of the dissolution process of three different shapes of NaCl particles, namely round, ellipsoidal and irregular, have been performed by using the PF model. Neumann no-flux boundary conditions are applied for 1D simulation. Periodic boundary conditions are used for 2D simulations. The thin interface limit is supported by the chosen experimental case of the highly soluble mineral crystals, which can be considered as non-porous. This results in negligible solid-liquid thickness (at the mesoscopic scale) and it has been considered in this paper. The employed parameters are summarized in Table 3.4. For easier implementation of energy equations at microscale, all length dimensions were normalized by the solution radius and energy terms normalized by A (see Appendix A4).

Table 3.4. Model parameters for diffusion-controlled NaCl dissolution.

Parameter	Value	Unit	Ref.	Parameter	Value	Unit	Ref.
ΔG	5.15×10^5	J/m ³		D_L	1.68×10^{-9}	m ² /s	[489, 490]
A	5.17×10^5	J/m ³		D_S	1.68×10^{-15}	m ² /s	
ω	1.51×10^5	J/m ³		c_{solid}	3.70×10^4	mol/m ³	[118]
L	4.11×10^{-3}	m ³ /(J · s)		p	357 - 360	g/L	[491, 492]
κ	1.44×10^{-6}	J/m		r	6.41×10^{-4}	m	[118]
l_0	1.28×10^{-5}	m		t	5×10^2	s	[118]
σ	1.10×10^{-1}	J/m ²	[489]				

3.2.5 PF Modelling Methodology and Numerical Implementation

3.2.5.1 Summary of Modelling Assumptions

In the analytical solution and the PF model, following simplifying assumptions are made:

- (1) The NaCl particle dissolves isotropically;
- (2) The diffusion coefficients of aqueous species in solids and in solution are constants, respectively;
- (3) The diffusion of all aqueous species is expressed in terms of a single ionic concentration;
- (4) The solubility of NaCl in solution is independent of particle size.

3.2.5.2 Parameterization

The relationships between material properties (interface thickness l_0 and interface energy σ) and PF parameters (coefficient of PF gradient κ and double-well potential ω) are discussed in Appendix A1. The derivation of the interface mobility L , under the thin-interface thickness condition, is shown in Appendix A2. The curvature of the free energy density function A can be determined from the Gibbs free energy, i.e., ΔG (Appendix A3).

3.2.5.3 Parameter Normalization

Normalization of the model parameters is one of the important steps of data pre-processing. A series of input values are normalized to the range [0, 1] according to Appendix A4, in order to let models converge effectively.

3.2.5.4 Finite Element Implementation

Numerical implementation of the PF model is carried out by using finite element method in the framework of multiphysics object-oriented simulation (MOOSE) environment [136]. Transient solver with preconditioned Newton's method was used. In this case, the full and accurate Jacobian was calculated. The backward Euler algorithm was employed. Adaptive time stepping was used to improve computational efficiency. The time step would grow or shrink according to the number of iterations taken and needed to obtain a converged solution in the last converged step. The maximum number of nonlinear iterations per time step was also set to provide optimal solution efficiency. For 2D simulation, the triangular element type was chosen to mesh the geometry.

In addition, an adaptive mesh refinement (AMR) was used [490]. Based on the error estimated from the FEM results, the global and local mesh errors were calculated, and then the mesh size was automatically adjusted to the changing morphology of the grain boundaries at each time step. This is very effective for the numerical solution of partial differential equations in regions of arbitrary shape. In order to ensure numerical stability and simulation accuracy, at least 5 nodes on the diffusion interface were used to describe the boundary morphology, while coarser grids were used for solutions and solids that were far from the boundary (Figure 3.11). This does not only provide an accurate representation of the boundary evolution, but also improved the computational efficiency. The relative and absolute error tolerance was set to 10^{-8} .

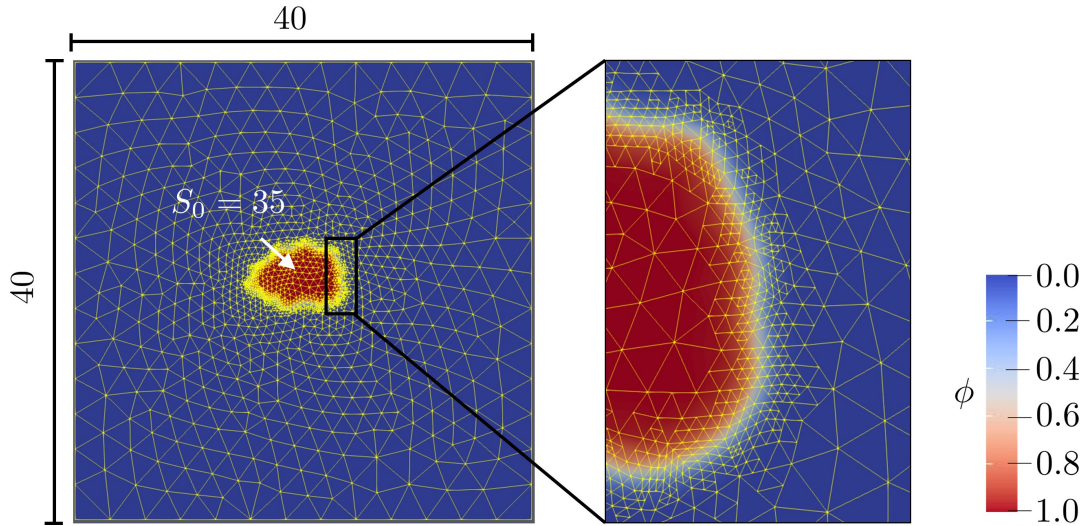


Figure 3.11. Adaptive mesh refinement in the simulation for mineral dissolution.

3.2.5.5 Central Processing Unit (CPU) Computation

The analytical experiments were performed using an Intel Core i7-6500U central processing unit (CPU) 2.5 GHz with 8 GB of RAM and MATLAB R2017a (64-bit). For the PF computation, a parallel computing was achieved by using Open Multi-Processing (OpenMP 42.0.51) on a High Performance Computer.

3.2.6 Results and Discussion

3.2.6.1 PF Validation against Analytical Solution for a Dissolution of Planar Mineral

Under diffusion-controlled dissolution conditions, if the initial thickness of the diffuse interface (l_0) is known, the interface mobility L can be determined using Equation (A10). Due to the lack of relevant experimental data upon the values of l_0 , a parametric study of L was carried out. Under the assumption of a thin interface condition [1], the value of l_0 should be taken much smaller than the minimum radial dimensions of the initial solid phase; however, from a computational point of view, it is expected that the thickness of the interface has to be as large as possible in order to keep the interface from being overly densely meshed, which increases the computational effort. Therefore, three cases of initial interface width, i.e., 1×10^{-8} (PFM1); 1×10^{-5} (PFM2) and 1×10^{-4} (PFM3), were tested, corresponding to 0.0003%, 0.33% and 3.33% of the initial length of the solid phase. In addition, there must be at least 5 to 10 grid points in the interface area to ensure the stability of the numerical calculation and the reliability of the results [136]. Three cases of V_0 (1×10^{-6} (PFM4); 1×10^{-8} (PFM5) and 1×10^{-10} (PFM6)) were tested.

Figure 3.12 shows a comparison between the analytical (diffusion limited) model and six cases of PF models, where the reaction rates are slower than in case of diffusion control. As l_0 decreases, L increases (as they are inversely related by Equation (A10)), causing a faster dissolution reaction, till reaching a limit defined by a diffusion control. At 8 h, PFM1 dissolves at a thickness 1.15 times greater than that of PFM3. The result of PFM2 is in good agreement with that of the analytical model. It can also be seen that the slope of the dissolution curve becomes progressively smaller

with dissolution time due to the diffusion-controlled dissolution, i.e., the overall rate of dissolution slows down as it is governed by the diffusion flux and thus dependent on the concentration gradient that reduces with saturation of the solution. However, the (slow) reaction-controlled mechanism (see curves PFM4, PFM5 and PFM6) approaches linearity as the V_0 decreases, and the lower the V_0 , the slower the dissolution speed. The above results show that the PF model developed is capable of describing both the diffusion-controlled and the reaction-controlled dissolution. Under the thin interface limit condition, the agreement between the analytical (diffusion-controlled) results and the PF model for the diffusion-controlled dissolution is in satisfactory agreement with the converged solution (PFM2).

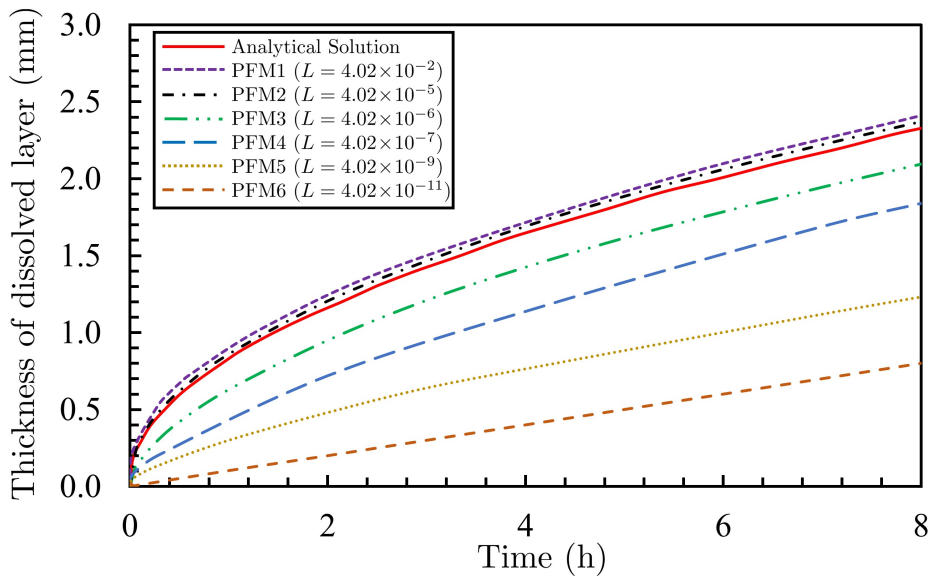


Figure 3.12. Comparison of analytical and phase-field (PF) model results of a dissolving planar mineral with variation of interface mobility L , to shift from the fastest diffusion controlled mechanism towards the slower ones limited by reaction rates.

A slight overestimation by the PFM1 model may be attributed to the used approximation (Equation (A10)) to numerically approach the diffusion-limited case. In this approximation, V_0 is approximated as D_L/l_0 (where D_L is the diffusion coefficient of the solute in the solution). The slight difference could also be the result from small incompatibility issues between the employed thermodynamic parameters, namely the used NaCl interfacial energy in PF model, and the NaCl solubility constant (p). Overall, we argue that the obtained agreement is overwhelming considering that no fitting calibration of the parameters has been performed.

Figure 3.13 shows the spatial distribution of solute normalised concentration over time. As solid dissolution starts, the concentration of solution c_L at the diffusive solid–liquid interface rapidly reaches saturation concentration (i.e. c_{Le} equilibrium state), while the solute normalised concentration in the solid phase keeps constant at 1 (i.e. at initial concentration). The solutes form a diffusion layer at the thin solid–liquid interface and continue to enter (diffuse) into the bulk solution. This results in a gradual decrease in the width of the solid phase. The concentration of solutes in the solution is gradually increasing, and the increase of the concentration near the solid–liquid interface is particularly significant due to diffusion limited transport through the solution. In turn the concentration of solute smoothly decreases to zero from the interface zone

to the right end of the solution. This confirms also that the dissolution is carried out in a system that is regarded as semi-infinite space, which is only controlled by a diffusion mechanism without any significant effects of the imposed boundary conditions (which deviate from the idealized semi-infinite case).

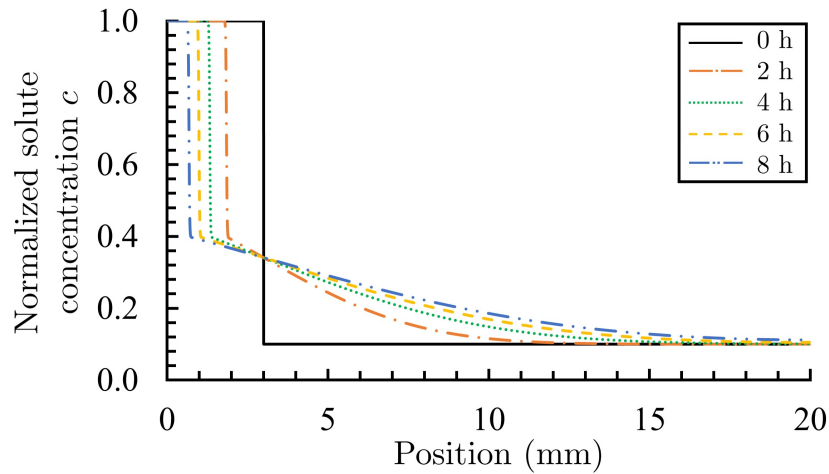


Figure 3.13. One-dimensional distribution of solute concentration by PF model ($L = 4.02 \times 10^{-1}$).

Figure 3.14 shows the movement of the interface over time. As the dissolution proceeds, the interface gradually moves toward the solid phase. It can be seen from the reduced width of the solid phase that the speed of dissolution starts faster and then slows down, again due to the limited diffusion process of the solute through the exposure solution.

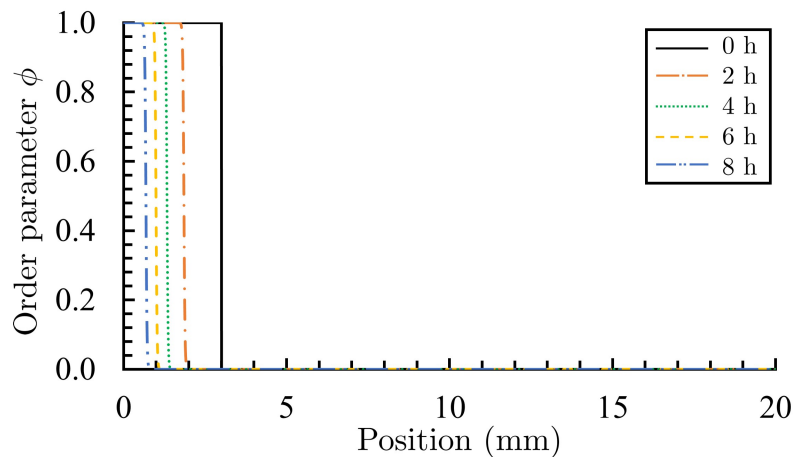


Figure 3.14. One-dimensional distribution of interface by PF model ($L = 4.02 \times 10^{-1}$).

3.2.6.2 The Effect of Mineral Shape: Dissolution Simulation by Two-Dimensional (2D) PF Model

Irregular particles can be simplified by spherical shapes provided certain conditions are met. Numerical simulations are then performed using the spherical symmetry and coordinate system, reducing the model to only one space dimension (1D model). However, some studies have shown,

both theoretically and experimentally, that the particle shape and surface roughness may affected the dissolution rates [491–495]. Therefore, before adopting the spherical simplified 1D model for NaCl crystals in this study, the effect of particle shape on the dissolution rate was analysed. In this way one can determine whether the simplification of the spherical shape for NaCl particle having some circularity factors (0.71 ± 0.06) as in used literature data [118] is reasonable.

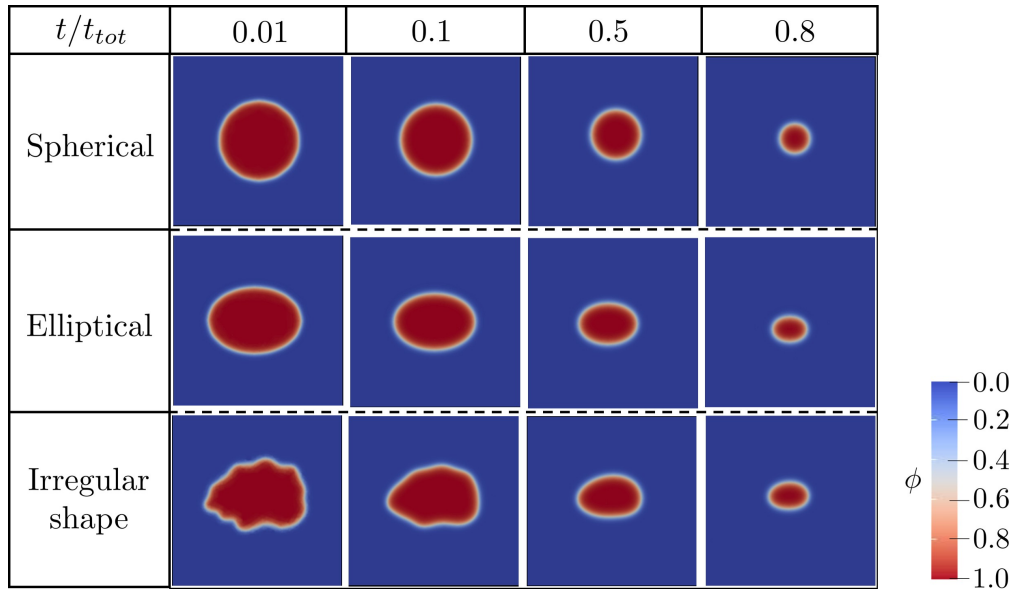


Figure 3.15. Snapshots corresponding to different time points in the dissolution profile of the NaCl crystal in 2D simulation.

Therefore, Figure 3.15 shows the 2D dissolution simulation results over time for spherical, elliptical and irregular shapes but with the same area. Qualitatively, the sharp edges of irregular shapes gradually disappear during the initial stages of dissolution, and the curvature decreases until they are completely rounded. The ratio of the major axis to the minor axis of the ellipse gradually decreases and develops towards the circular direction. The radius of the circle decreases gradually and the curvature remains constant. It can be seen that the dissolution under all shapes follows the process of spheroidization. The edges of the particle become smoother during dissolution. As the dissolution reaction proceeds, the morphological differences between the particles with different shapes become smaller.

The reason for this trend is that among closed geometries of equal area, circles have the smallest circumference. This means that the total interfacial free energy of the solid-liquid is minimal. Irregularly shaped solids have a high solid-liquid total interface free energy due to their uneven boundaries. A high interfacial energy means a high total free energy of the system. The system always tends to decrease the total free energy, this being an important factor in determining the mineral shape during dissolution. The area of the solid-liquid interface tends to decrease, which causes the flange at the solid-liquid interface to disappear and eventually to become round.

Since the solid-liquid interface is described in the PF model as a diffuse interface, with a certain width, it is difficult to describe the interface length in terms of the absolute perimeter of the solid phase. However, in order to characterize the change in the surface morphologies of crystals for different shapes with time, the contour length of $\phi=0.5$ is taken to approximate the solid-phase perimeter. Figure 3.16 shows that at the initial condition, the irregular mineral grain has the

maximum interfacial length. As the dissolution reaction proceeds, the interfacial length gradually decreases and the curves of the ellipse and circle almost coincide.

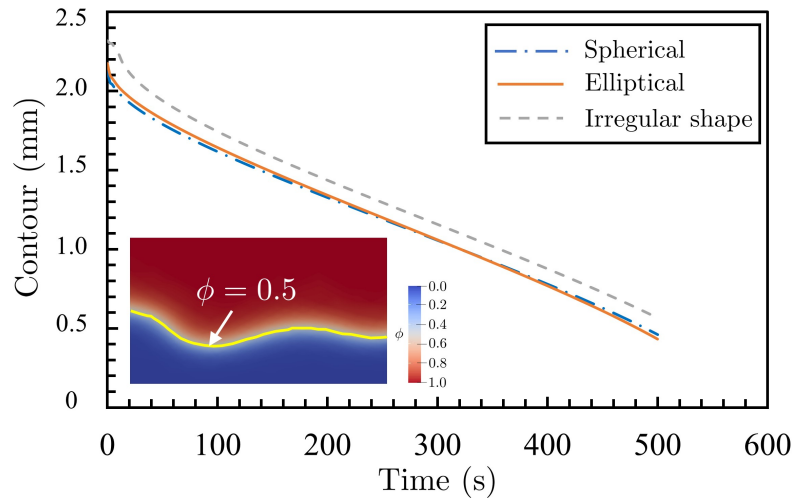


Figure 3.16. The contour change diagram of different particles for $\phi=0.5$.

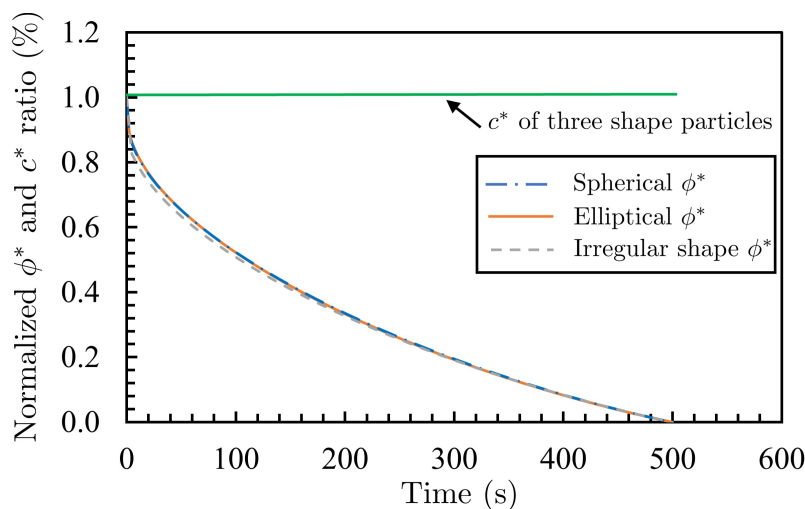


Figure 3.17. Evolution of normalized ϕ^* and c^* .

The normalized phase ratio ϕ^* is defined as $\phi^* = (\phi_t - \phi_{\min}) / (\phi_{\max} - \phi_{\min})$, being ϕ_t the integration of the phase at the time t , over the domain, while ϕ_{\min} and ϕ_{\max} are representing the min and max integration of the phase, respectively (for c as well). The profile of the normalized phase ratio physically represents the projected area of particles. It can be seen from Figure 3.17 that the projected area of the three shapes of particles decreases with time, while the normalized concentration ratio (c^*) keeps constant, which proves the conservation of mass for solute transport. The slope of ϕ^* becomes progressively smaller. This is because as the solid phase dissolves, the interfacial area decreases. The contact area between the solute source and the diffusion-solution zone is getting smaller. This results in a decreasing solute flux to the solid surface, which leads to a progressively slower dissolution rate. It can also be seen from this figure that the ϕ^* profile of the circles and ellipses basically overlap. The dissolution rate of irregular shapes before 200

s is slightly faster than that of circles and ellipses, while after 200 s, the three curves overlap and dissolve completely at the same time. This is because the perimeter of the irregular shape is much larger than that of the circles and ellipses, which exhibits a faster rate at the beginning of dissolution. As the dissolved shape tends to be round with the lowest interfacial energy, the circumference between the three shapes becomes similar (Figure 3.16). Therefore, in the later stages of dissolution, the dissolution curves of the three shapes are coincident.

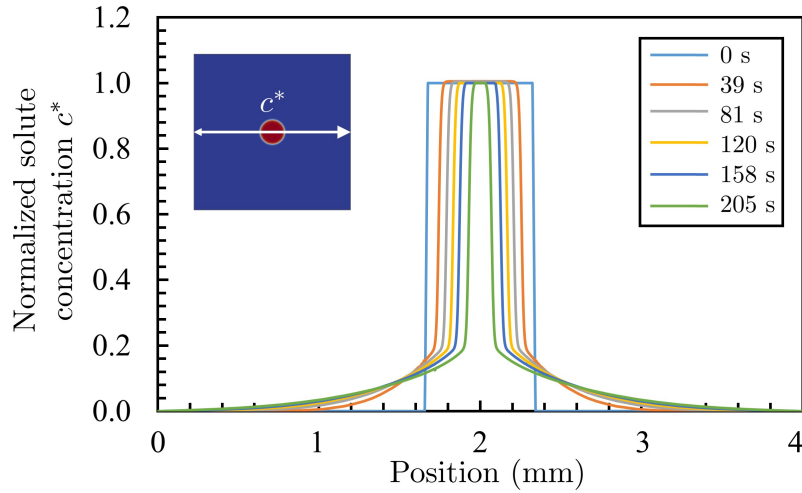


Figure 3.18. Concentration profiles of single NaCl spherical particle along radial direction with time.

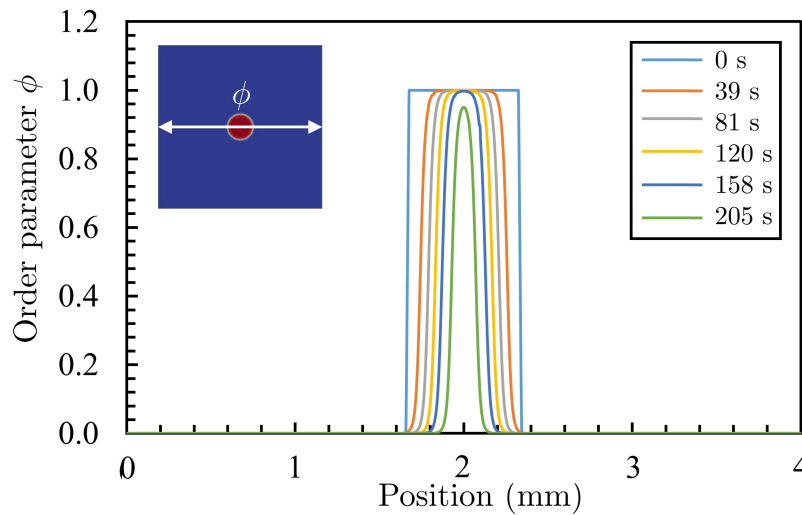


Figure 3.19. Phase profiles of single NaCl spherical particle along radial direction with time.

Figures 3.18 and 3.19 show the concentration along the radial of the circle and the spatial distribution of its phase with time, respectively. The concentration of the solute in the solid phase remains constant. The radial length of the solid phase decreases symmetrically towards the centre. After the onset of dissolution, the concentration of solutes near the solid–liquid interface saturates rapidly. The concentration of solutes in the solvent gradually increases with the diffusion mechanism.

3.2.6.3 PF Validation against Experimental Results and Analytical Solution

Figure 3.20 shows a comparison of the analytical solution, the PF model results and experimental results regarding the dissolution rate of individual NaCl crystals. The undissolved area is calculated from the residual solid phase length in the 1D simulation. The analytical solution is slightly below the lower boundary of the experimental values. In order to ensure that the dissolution reaction rate is completely controlled by the diffusion, the length (volume) of the exposure solution must be large enough so that semi-infinite conditions are met, corresponding to the analytical solution. In that case no increase in concentration of solute should occur at the system (solution) boundary point.

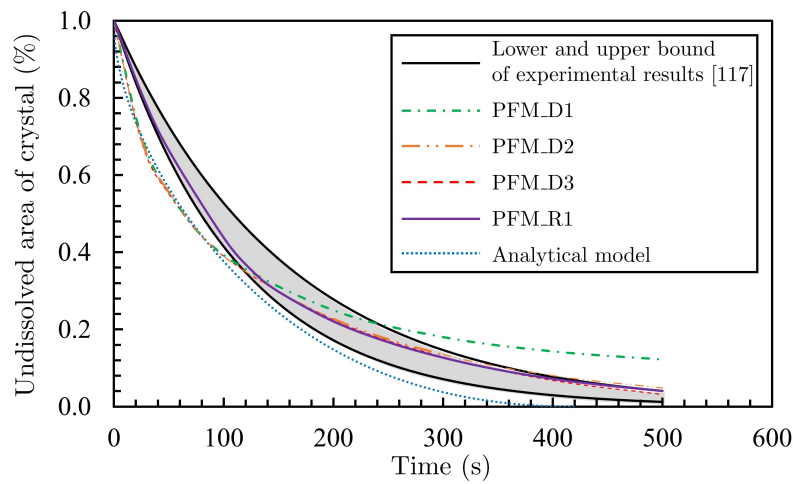


Figure 3.20. Comparison of numerical and experimental results of NaCl single particle dissolution.

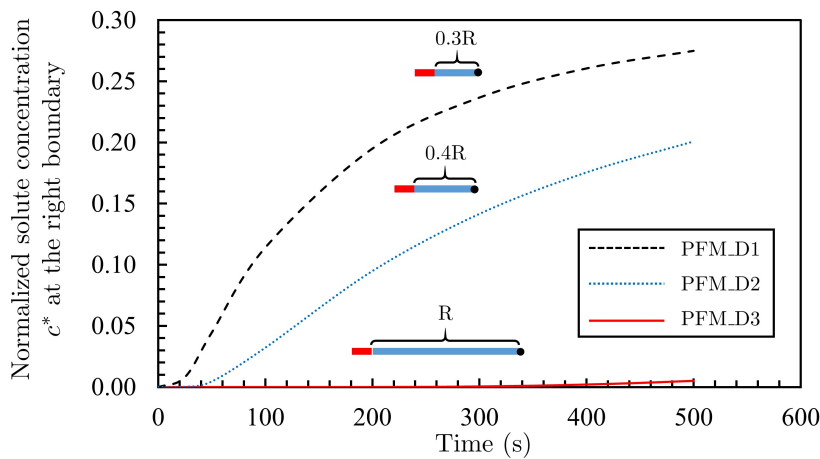


Figure 3.21. Change in solute at the solution boundary with time.

The length of solution 0.3 times (PFM_D1), 0.4 times (PFM_D2) and the original length (PFM_D3, 4.92×10^{-3} m) were tested using the PF method. From the results it can be seen that the dissolution rate slows down and the solute concentration increases significantly at the solution boundary point as the solution phase length becomes shorter (Figure 3.21). The change in solute at the boundary point, calculated using the solution lengths in the experiment (PFM_D3), is almost zero. It was

thus verified that the diffusive dissolution of individual NaCl crystals can be simulated well using our implementation of the PF method.

The experimental result in Figure 3.20 shows lower initial rates, due to the reaction controlled mechanism. Initially, the diffusion flux is very high, as the solute concentration of the initial exposure solution is zero (initial condition). Therefore the overall reaction rate is limited by the reaction rate which is lower than the initial high diffusion flux. Such reaction rates in the PF model can be considered in the interface mobility (L) that should vary with time and is fundamentally a function of the Gibbs free energy of the chemical reaction or the solute concentration. In future, it should be attempted to physically represent the interface mobility kinetics (L) as a function of the solute-under saturation, as commonly used in reaction rates expressions. Such a more fundamental approach is still missing in PF, due to the complexity in its mathematical derivation. Here, L is adjusted in a simplified way as a smaller value (4.11×10^{-6}) within 100 s and a larger value (4.11×10^{-3}) after 100 s whose result is represented by PFM_R1. As can be seen from the comparison, the dissolution rate is relatively flat at the early stage of dissolution when process controlled by the reaction. The curve of PFM_R1 is higher than that of PFM_D1, D2 and D3. However, after 100 s, PFM_R1 almost overlap with the other three due to the conversion of the dissolution rate control into the diffusion control mechanism.

3.2.7 Conclusions

Based on the results of this study, the following conclusions can be summarized:

- (1) by comparing with the results of the analytical method, it is verified that the PF model can accurately handle the dynamic evolution of the general diffusion-controlled phase transformation process;
- (2) using NaCl as an example, the PF model can successfully simulate the mesoscopic evolution of inorganic non-metallic materials caused by diffusion-controlled dissolution. Using the derived interfacial mobility, the PF numerical simulation results show accurate and consistent agreement with the analytical method results, as well as with the experimental ones derived with video-microscopy images analyses. It is worth mentioning that all the input parameters of the PF model have real physical meaning and are based on the experiments data;
- (3) an observed discrepancy was related to the dissolution mechanism, which was found to be initially limited by the reaction rate, being slower than the diffusion flux due to the rapid change of solute concentration. This change in dissolution mechanism was successfully captured by adjusting the PF interface mobility (L).
- (4) the dissolution characteristics of NaCl particles with different circularity factors were analysed by the 2D PF model. The simplification of spherical shape for NaCl particles was verified to hold.

In future studies, the reaction control and diffusion control mechanisms will be combined with the second law of thermodynamics and non-equilibrium thermodynamics with respect to L , so that L can be represented as the function of solute concentration or the Gibbs free energy of the reaction. In addition, numerical simulations need to be implemented at higher space dimensions to allow the introduction of complex microstructures in mineral particles, such as pores, grain structure and surface roughness, so that their impact on dissolution kinetics can be assessed. The above

results confirm that the dissolution kinetics of mineral particles can be successfully simulated using the employed PF model and the irregular morphological evolution can be effectively simulated in 2D. It is worth noting that the surface morphology of irregular particles has a strong influence on the dissolution kinetics. Numerical simulations need to be implemented in a higher spatial dimension to allow the introduction of complex microstructures such as pores, grain structures and surface roughness in mineral particles so that their influence on dissolution kinetics can be evaluated. The complex evolution of particle morphology in physicochemical processes can be accurately evaluated only in a full 3D system. Furthermore, a dynamic (apparent) diffusion coefficient should be explicitly taken into account, e.g. as a function of concentration, which is of critical importance in analyzing the diffusion-controlled dissolution through porous materials involving additional chemical interactions. However, highly soluble (NaCl) crystals, as investigated in this work, can be considered as non-porous which leads to assume that their diffusion coefficient can be kept constant. It is worth mentioning that the proposed PF approach can be also extended for simulating the opposite processes of those presented in this paper, namely the precipitation of NaCl. This is part of forthcoming research and will be undertaken by enriching the current free energy density function of the liquid phase through adding an extra precipitation term, i.e. $\Delta_r f$. This should be considered for further development to develop a comprehensive mineral dissolution and precipitation modelling tool.

3.3 Publication 3: A phase-field approach for portlandite carbonation and application to self-healing cementitious materials

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3.3.1 Introduction

The problem of cracks in cementitious materials is a widespread and thorough engineering problem [496]. Although small cracks do not directly cause structural failure, they can also accelerate the deterioration of a structure [497]. The presence of cracks not only affects the permeability of building structures and reduces their freeze-thaw resistance, but also many enhance the chloride attack of concrete [498–501]. Inspired by nature, a variety of self-healing mechanisms have been developed for cementitious materials [13, 49, 58, 193, 195], which has led to concrete materials becoming intelligent and capable of detecting the damage and repairing themselves. According to the report RILEM TC-221-SHC, the self-healing mechanism can be classified into “autogenic” and “autonomic” [14]. The autogenous self-healing is mainly based on the original composition of cementitious materials, which consists of three mechanisms: 1) the cement matrix on the crack surface absorbs water leading to volume expansions [14], 2) further hydration of the unhydrated cement clinker [31], and 3) carbonation of the additionally formed portlandite [30]. The autonomous self-healing is carried out with the help of healing agents. Depending on its composition, the healing agent can be divided into polymers [55], minerals [41] and bacterial spores [502].

The currently available numerical methods for self-healing can be grouped according to the nature of their self-healing mechanisms into: 1) chemical reaction-based models, for predicting carbonation [92], further hydration [31, 86, 87, 90, 91, 166, 503, 504], precipitation [89, 94] and encapsulation [97, 106, 505, 506]; and 2) transport-based models [92, 94], in which the phases affecting the healing processes are transported through the pore-structure network. A few models involve thermodynamics. Huang and Ye [32] modeled the further hydration of unhydrated cement particles based on a thermodynamic-diffusion model with coupled mass balance, charge balance and chemical equilibrium. In addition, Lattice-Boltzmann Method (LBM) as a class of Computational Fluid Dynamics (CFD) methods for fluid simulation has been used for self-healing materials in non-equilibrium thermodynamic states, such as polymer [507] and cementitious materials [508]. For the carbonation reaction, which is one of the important mechanisms of self-healing in cementitious materials, there are numerous studies that consider it as a moving boundary problem since the position of the free boundary is a function of time [509–512].

Based on the present literature review, it can be summarized that self-healing of cementitious materials is treated analytically and numerically mainly using reaction-diffusion equations. How-

ever, these models have several limitations. Firstly, the solid-liquid interface is treated as a sharp interface, which leads to the discontinuity in some of the continuously varying parameters at the interface (e.g. ionic concentration field), making it difficult to trace the evolution of certain physical processes, notably, the concentration profile across the interface. Secondly, sharp interfaces have to be explicitly tracked, especially for the evolution of high-dimensional, microstructurally complex interfaces, which can make numerical calculations extremely difficult. Thirdly, only single solid-liquid interface has been investigated in existing models. The effect of dissolution of soluble minerals on the fracture surface on the initial solid-phase boundary is not yet considered. Finally, these models are concentrated solely on the standalone self-healing process, neglecting interactions between the concentration of aqueous species in the solution, the moving front of self-healing products, and the morphology of the interface. The above limitations will be overcome by applying the Phase-Field (PF) method presented in this study.

The PF method provides an effective way to simulate migration problems of thermodynamically driven interfaces, which applies order parameters (OPs) to represent microstructures (e.g. pores, liquid and solid phases) and can include natural thermodynamic quantities such as concentration and temperature. The OPs take different constant values in different regions and have a continuous spatial variation across the interface. The microstructure and its evolution is thus reproduced by the spatial and temporal distribution of the OPs, without the need for interfacial tracking [513]. Several PF models for dissolution and/or precipitation simulations have been proposed: solutes in liquids [24, 287, 322], tri phases in porous media (two immiscible fluids and a solid phase) [323], binary or ternary alloys [273, 285, 286]. Moreover, some PF models for simulating metal corrosion are also worthy of reference [182, 298, 459].

Unlike the conventional sharp and/or single interface PF models, the contribution of presented novel PF model is based on thermodynamics to simulate the evolution of multi diffusion interfaces of self-healing process. We used the physicochemical principles of the self-healing problem to construct a free energy function that incorporates the mechanism of dissolution and precipitation interactions. By introducing an auxiliary (phase) field as a front tracking tool, the complex interface migration are implicitly solved. Specifically, the solution of the model consists of determining the concentration fields of the active species and the PF.

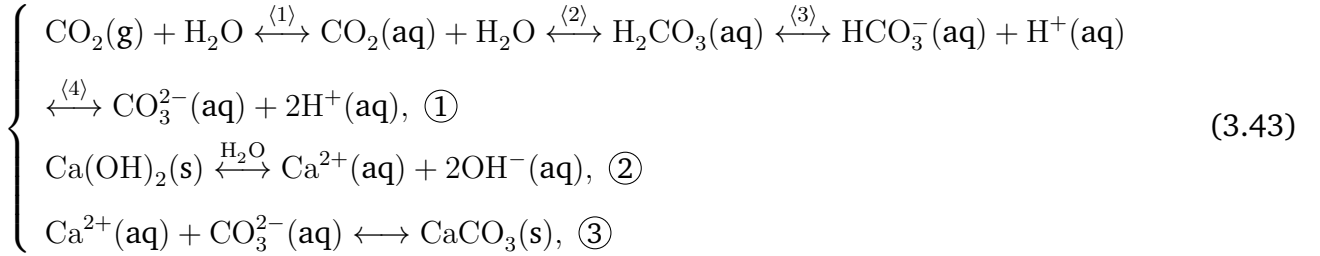
Based on the above overview, this study will report numerical simulations of the carbonation reaction of cementitious materials using the PF method from the perspective of dissolution and precipitation. The numerical model is introduced in chapter 3.3.2, including the novelty on the construction of energy functions for multiphase dissolution and precipitation. Numerical simulation and experimental methodology is presented in chapter 3.3.4. The experimental validation and the model application are demonstrated in chapter 3.3.5, followed by a series of parametric studies. Finally, concluding remarks are provided in chapter 3.3.6.

3.3.2 Phase-field model of self-healing

3.3.2.1 Phase-field scenario

From a chemical point of view, the hydration reaction of cementitious materials is a complex dissolution-precipitation reaction in which, unlike the reaction of a single component, numerous components of cementitious materials react simultaneously with different thermodynamics and kinetics, and the various mineral components interact with each other. This poses a great challenge

for modeling. However, the carbonation reaction, which is one of the main mechanisms of self-healing in cementitious materials, could be simplified as a Ca(OH)_2 dissolution and CaCO_3 precipitation process. From the modeling point of view, a simplified approach to the analysis of the carbonation reaction (Eq. 3.43) [40, 509] can help to analyze and understand the complex self-healing mechanism based on nonequilibrium thermodynamics.



where, “aq”, “g” and “s” refer to species which are in an aqueous, gaseous and solid states, respectively.

Figure 3.22 shows the carbonation process and the corresponding profiles of the OPs in the PF model. Generally, the carbonation based self-healing consists of three main mechanisms: 1) CO_2 dissolves in water to form carbonate ions, 2) dissolution of Ca^{2+} ions source phase Ca(OH)_2 , hydration product, e.g. calcium silicate hydrate (C-S-H or $3\text{CaO} \cdot 2\text{SiO}_2 \cdot 3\text{H}_2\text{O}$) and non-hydrated cement phases, e.g. tricalcium silicate (C_3S or $3\text{CaO} \cdot \text{SiO}_2$) and dicalcium silicate (C_2S or $2\text{CaO} \cdot \text{SiO}_2$) [514] in the unsaturated solution, and 3) nucleation and growth of precipitated CaCO_3 in the supersaturated solution [515]. The dissolution of CO_2 (g) in water proceeds through a multi-step equilibrium reactions (mechanism 1, reaction $\langle 1 \rangle$), where the reaction $\langle 2 \rangle$ is the bottleneck process, as only a very small fraction of CO_2 (aq) is transformed into H_2CO_3 . In our first PF approach, a full availability of CO_3^{2-} species is considered, thus neglecting the kinetic effects of CO_2 dissolution steps $\langle 1 \rangle - \langle 4 \rangle$. A more detailed modeling approach for the carbonation of cement pastes considering chemical thermodynamics and diffusive and convective transports can be found in recent literature, e.g. [516]. Following the PF scenario, the ion diffusion in each phase (labeled as i) is described with a conserved field variable, i.e. c_i adopting physical meaning of the concentration and track the phase evolution with a non-conserved field variable, i.e. ϕ_i adopting the physical meaning of the volumetric fraction of the phase i . Note here the c_i refers to the ratio of the actual ionic concentration C_i at a certain position and time to the initial concentration of the source phase C_{sou}^0 , both in units of mol/m^3 (see Table 3.5, Sect. 3.3.4.2). The calcium ion source phase ϕ_{sou} (hereafter referred to as the source phase), the precipitation phase ϕ_{pre} and the aqueous solution phase ϕ_{aq} together form a multiphase system.

In the initial stage ($0 < t < t_p$), when the dissolution begins, i.e., only ϕ_{sou} , ϕ_{aq} and their interface $I_{\text{a-s}}$, are present in the system. Then Ca^{2+} ions diffuse from Ca(OH)_2 , hydration products and unhydrated cement particles ($t > t_p$), and react with dissolved CO_2 in water to form suspended CaCO_3 . As its concentration reaches a saturation c_{aq}^{E} and even an oversaturation state $c_{\text{aq}}^{\text{sat}}$, calcium carbonate pre-nucleates, nucleates, and eventually later forms crystals, precipitating on the crack surface. The interaction energy of CaCO_3 particles is strongly dependent on the ionic concentration in the solution [517]. This is mainly due to the fact that Ca^{2+} ions in solution produce short-range attractive and long-range repulsive interactions [518]. CaCO_3 particles accumulate more in ion-concentration-enriched regions. Therefore, the ion concentration can be used to express the local packing density of precipitated CaCO_3 particles. The corresponding interfaces of ϕ_{pre} with ϕ_{sou} and ϕ_{aq} are denoted by $I_{\text{s-p}}$ and $I_{\text{p-a}}$, respectively.

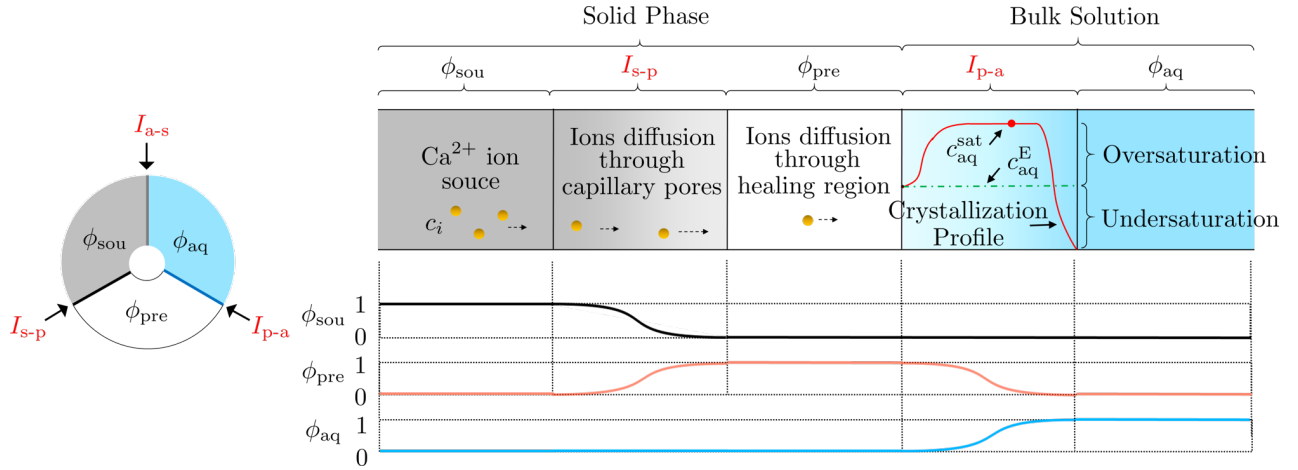


Figure 3.22. Schematic diagram of the simplified self-healing mechanism and profiles of the order parameters of the corresponding phases; t_p is the time to start precipitating.

Based on the self-healing mechanism described above, several modeling assumptions have been made:

- only the reaction of Ca^{2+} with CO_3^{2-} ions to form CaCO_3 is considered. The carbonation products do not contain other intermediate substances;
- all Ca^{2+} ions localized in the crack solution may eventually be equilibrated with respect to CaCO_3 precipitates;
- since the number of moles of CaCO_3 is the same as that of Ca^{2+} ions it contains, the diffusion of all aqueous species (Ca^{2+} ions and suspended CaCO_3) can be expressed as a single ionic concentration c_i ;
- the diffusion coefficients of aqueous substances in phase (ϕ_{pre} , ϕ_{sou} and ϕ_{aq}) and the corresponding interface ($I_{\text{s-p}}$ and $I_{\text{p-a}}$) are constant, respectively (see Figure 3.22).

3.3.3 Thermodynamic and kinetic formulations

The multi non-conserved OPs $\{\phi_i\}$ are continuous functions of time t and space x , which indicate each phase to convert between 0 and 1 within a thin diffusion translation interface. Subscription $i = \text{sou}, \text{pre}$ and aq is used further. The three phase contributions (ϕ_{sou} , ϕ_{pre} and ϕ_{aq}) are constrained following [519], i.e.,

$$\phi_{\text{sou}} + \phi_{\text{pre}} + \phi_{\text{aq}} = 1. \quad (3.44)$$

Considering this multi-phase constraint, the free energy \mathcal{L} , within the simulation domain Ω with the Lagrangian multiplier λ , is written as

$$\mathcal{L}(\{c_i\}, \{\phi_i, \nabla\phi_i\}) = \int_{\Omega} \left[f_{\text{loc}}(\{c_i\}, \{\phi_i\}) + f_{\text{int}}(\{\nabla\phi_i\}) + \lambda(1 - \sum \phi_i) \right] d\Omega, \quad (3.45)$$

where f_{loc} and f_{int} are the terms for local and interface free energy density, respectively. The local free energy f_{loc} can be formulated as an extension of the double-well function as

$$f_{\text{loc}}(\{c_i\}, \{\phi_i\}) = \sum_i [\Phi_i(\phi_i) f_i(c_i) + \omega_i g(\phi_i)] \quad (3.46)$$

where $\Phi_i(\phi_i)$ are originally the tilting functions [520], which is reduced in this work in a two-phase interpolating function as: $\Phi_i(\phi_i) = (10 - 15\phi_i + 6\phi_i^2)\phi_i^3$. ω_i is the height of the imposed double-well energy barrier of each phase. $g(\phi_i) = \phi_i^2(1 - \phi_i)^2$ is the double-well potential. The free energy density of each phase $f_i(c_i)$ is approximated by a parabolic function as follows

$$f_{\text{sou}}(c_{\text{sou}}) = A_{\text{sou}}(c_{\text{sou}})^2, \quad (3.47)$$

$$f_{\text{pre}}(c_{\text{pre}}) = A_{\text{pre}}(c_{\text{pre}} - c_{\text{pre}}^{\text{E}})^2 - \Delta_{\text{r}}f, \quad (3.48)$$

$$f_{\text{aq}}(c_{\text{aq}}) = A_{\text{aq}}(c_{\text{aq}} - c_{\text{aq}}^{\text{E}})^2, \quad (3.49)$$

where A_i is the potential parameter employed to construct the local free energy of distinct phases in order to approximate the actual thermodynamic system.

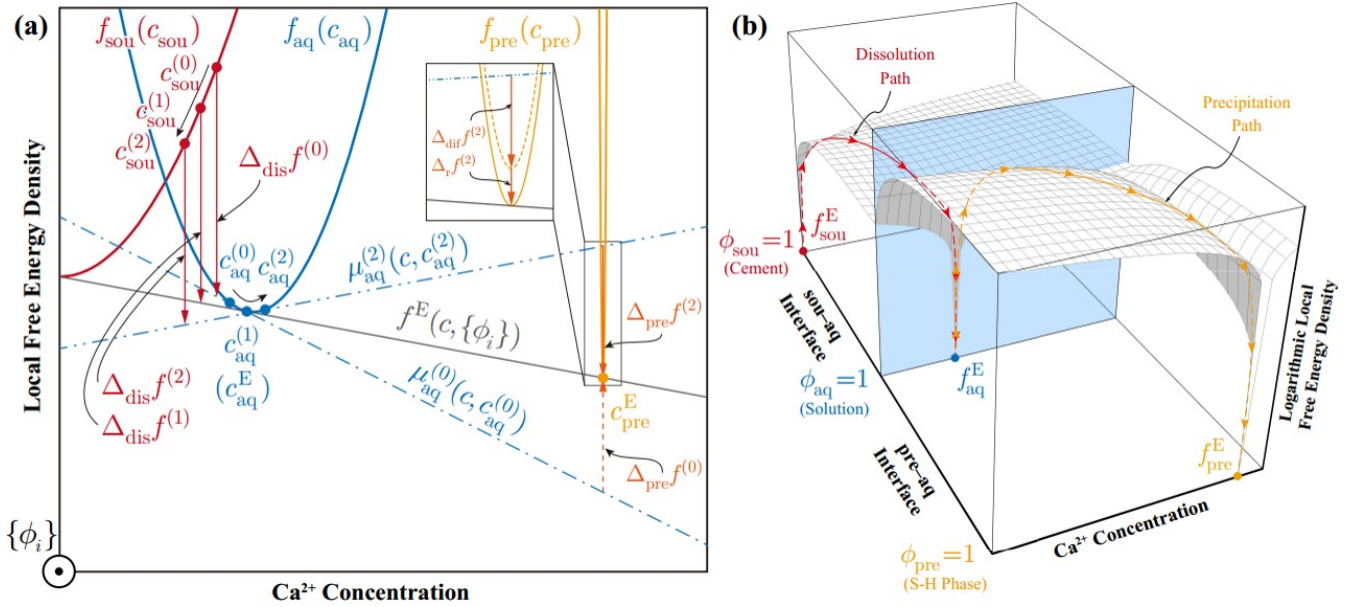


Figure 3.23. (a) Processes and driving forces for the three stages. (b) Logarithmic free energy density landscape among the source phase, the precipitation phase, and the aqueous solution as the junction phase. Energy variation paths (of dissolution and precipitation) are also illustrated.

Figure 3.23 illustrates the path of local free energy variation with the concentration $\{c_i\}$ and the order parameters $\{\phi_i\}$ for the three stages of the self-healing reaction, i.e. (0) undersaturation, (1) saturation and (2) oversaturation. In the unsaturated state, Ca²⁺ ions dissolve from the cementitious matrix and diffuse into the solution to form suspended CaCO₃, which is accompanied by a decrease in the solute concentration in the cementitious matrix from $c_{\text{sou}}^{(0)}$ to $c_{\text{sou}}^{(1)}$ and an increase in the solute concentration from $c_{\text{aq}}^{(0)}$ to $c_{\text{aq}}^{(1)}$ in the solution. The difference in the diffusion energy of the cementitious matrix changes from $\Delta_{\text{dis}}f^{(0)}$ to $\Delta_{\text{dis}}f^{(1)}$. The precipitation chemical driving force $\Delta_{\text{pre}}f^{(0)}$ is negative when the solution is not saturated, which corresponds to the inability to precipitate but only to dissolve. When the solution is saturated or even supersaturated ($c_{\text{sou}}^{(1)}$ to $c_{\text{sou}}^{(2)}$ and $c_{\text{aq}}^{(1)}$ to $c_{\text{aq}}^{(2)}$), i.e. the chemical driving force $\Delta_{\text{pre}}f^{(2)}$ is positive, after which the suspended

CaCO₃ starts to precipitate. In order to properly emulate this process, $A_{\text{sou}} < A_{\text{aq}} \ll A_{\text{pre}}$ should be numerically satisfied. Meanwhile, the thermodynamic driving force for the precipitation of CaCO₃ consists of the contribution of the reaction part $\Delta_r f^{(2)}$ and the diffusion part $\Delta_{\text{dif}} f^{(2)}$.

The precipitation follows a non-equilibrium process in which CaCO₃ aggregates with characteristic mean cluster size form and grow, thus filling the cracks. For CaCO₃ nanoparticles present in solution, their mutual clustering is strongly dependent on the ionic concentration of the solution. When the ionic concentration is small, dissolution of the reactants is promoted. An increase in the ionic concentration rapidly promotes the production of more precipitation. The precipitation reaction term $\Delta_r f$ should be an expression of the free energy density related to the chemical formation of CaCO₃ particles [451]. In this PF model, we simplify the precipitation term to a non-negative constant. With this constant we are able to define the free energy of the precipitation phase $f_{\text{pre}}(c_{\text{pre}})$ (as shown in Eq. (3.48)) in the region that allows the CaCO₃ precipitation, i.e. located in the oversaturation (OS) regions.

The energy contributions at the diffusive interface is formulated [1] as

$$f_{\text{int}}(\nabla\{\phi_i\}) = \sum_i \frac{\kappa_i}{2} |\nabla\phi_i|^2 \quad (3.50)$$

where κ_i is the gradient energy coefficient of each phase. Based on the formulations of [1], the interface is described as a mixture of multi-phase with different compositions, but with the same chemical potential. The local concentration c is thus defined as the weighted superposition of each phase c_i

$$c = \Phi_{\text{sou}} c_{\text{sou}} + \Phi_{\text{pre}} c_{\text{pre}} + \Phi_{\text{aq}} c_{\text{aq}}. \quad (3.51)$$

These phase concentrations are further constrained by an equal-chemical-potential condition, i.e.

$$\mu = \frac{\partial f_{\text{sou}}}{\partial c_{\text{sou}}} = \frac{\partial f_{\text{pre}}}{\partial c_{\text{pre}}} = \frac{\partial f_{\text{aq}}}{\partial c_{\text{aq}}}. \quad (3.52)$$

The temporal evolution of the non-conserved order parameters $\{\phi_i\}$ is governed by the Allen-Cahn equation [167] as

$$\frac{\partial \phi_i}{\partial t} = -L_i \frac{\delta \mathcal{L}}{\delta \phi_i} \quad (3.53)$$

with the corresponding interface mobility coefficient L_i . On the other hand, the conserved local concentration field c is governed by diffusion equation [1] as

$$\frac{\partial c}{\partial t} = \nabla \cdot \left(D \sum_i \Phi_i \nabla c_i \right), \quad (3.54)$$

which can be also regarded as the reduced version of Cahn-Hilliard equation by applying the chain rule on the chemical potential [1]. The solute diffusion coefficient can be formulated as $D = \sum_i \Phi_i D_i$ considering the effective value of each phase D_i and corresponding interpolation Φ_i .

Analyzing the equilibrium properties of the kinetic equations (3.53) and (3.54) by means of the thin interface limit analysis [1], the unknown model parameters κ_i and ω_i can be expressed

by the interfacial energy σ_{i-j} and the interfacial width l_{i-j} . As the general formation, κ_i and ω_i , corresponding to the OP ϕ_i , are calculated as follows

$$\kappa_i = \frac{3}{4} (\sigma_{i-j} l_{i-j} + \sigma_{i-k} l_{i-k} - \sigma_{j-k} l_{j-k}), \quad (3.55)$$

$$\omega_i = 6 \left(\frac{\sigma_{i-j}}{l_{i-j}} + \frac{\sigma_{i-k}}{l_{i-k}} - \frac{\sigma_{j-k}}{l_{j-k}} \right), \quad (3.56)$$

where i, j, k are distinct phase subscriptions, i.e. sou, pre or aq.

3.3.4 Method

3.3.4.1 Simulation setup

Figure 3.24 shows a 2D schematic view of a simulation domain of size $375 \mu\text{m} \times 750 \mu\text{m}$ for the parameter research. The initial width of the crack is set to $150 \mu\text{m}$. In this model, the solid calcium hydroxide provides a source of calcium ions. When the crack width is much smaller than the size of the source phase and the number of cracks is sufficiently few, it can be considered as a constant supply of calcium ions in the system. However, when the cracks are densely distributed, this causes competition for the supply of Ca^{2+} ions around the cracks. Therefore, only a limited ions supply is available. This phenomenon can be simulated by two boundary conditions (BC), i.e. BC1: the boundary of the domain Γ_1 is a constant concentration ion reservoir following (Equation 3.51); BC2: there is no mass exchange between the domain and the environment along the outward unit normal of the boundary Γ_2 . \mathbf{n} is the normal vector. For the realistic simulation of the model, Binary Large Objects (BLObs) were converted from the experimental images derived from the stereo microscope (see Sect. 3.3.5.3), imported and processed using the MOOSE-embedded ImageFunction and associated utilities.

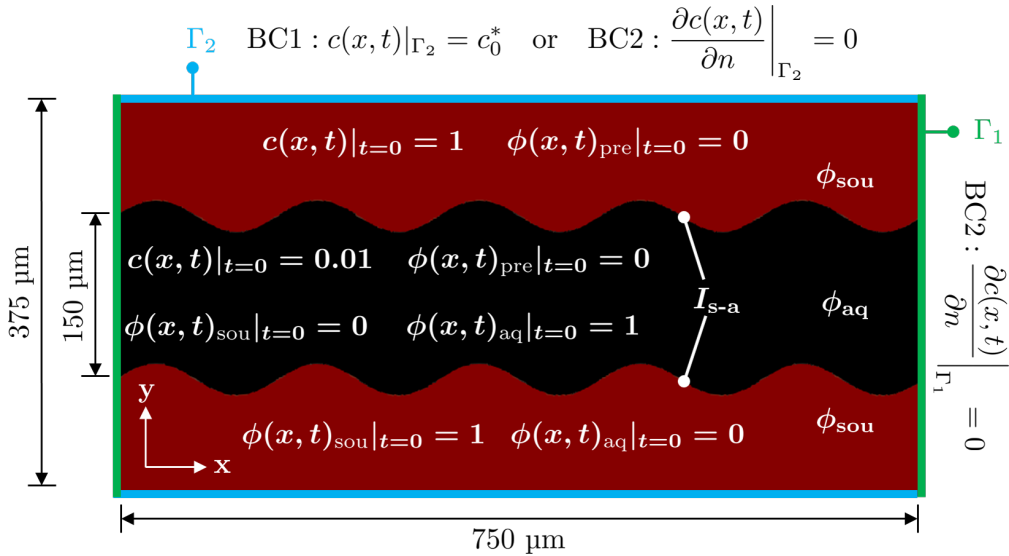


Figure 3.24. Schematic view of a typical crack and the initial and boundary conditions used in the PF model. The source phase and the aqueous solution phase are separated by the diffusion interface I_{a-s} .

3.3.4.2 Parameter normalization

To ensure the convergence of the model and to improve the computational efficiency of solving the non-linear governing equation sets, all variables are normalized. Spatial derivatives are normalized with respect to the reference length r , which is set to 5% of the initial crack width. Dimensionless forms of other quantities are given in Table 3.5.

Table 3.5. The dimensionless forms of the parameters.

	Symbol	Normalization		Symbol	Normalization
Physical quantity	c_i	$c_i^* = C_i/C_{\text{sou}}^0$	Model parameter	A_i	$A_i^* = A_i/\omega_{\text{pre}}$
	L_i	$L_i^* = \omega_{\text{pre}} r^2 L_i / (D_{\text{aq}})$		ω_i	$\omega_i^* = \omega_i / \omega_{\text{pre}}$
	t	$t^* = D_{\text{aq}} t / r^2$		κ_i	$\kappa_i^* = \kappa_i / (\omega_{\text{pre}} r^2)$
	D_i	$D_i^* = D_i / (D_{\text{aq}})$			
Operators	∇	$\nabla^* = r \nabla$			

In order to enable a valid comparison of the varying order parameters in the same time frame, the original data were linearly transformed by equation 3.57.

$$\phi_i^* = \frac{\phi_i^t - \phi_i^{\min}}{\phi_i^{\max} - \phi_i^{\min}} \quad (3.57)$$

where, ϕ_i^t is the integration of the corresponding phase at the time t over the 2D domain, while ϕ_i^{\min} and ϕ_i^{\max} represent the minimum and maximum integration of the corresponding phase, respectively. The residual source phase ratio, the precipitation generation ratio and the self-healing ratio can be expressed as the corresponding normalized phase ratio ϕ_{sou}^* , ϕ_{pre}^* and ϕ_{aq}^* , respectively. The physical meaning for the integration, could be related in terms of the derivative of the porosity integral on the surface.

3.3.4.3 Finite element implementation

The PF model was implemented using the Finite Element Method (FEM) in the framework of the Multiphysics Object-Oriented Environment (MOOSE) [521]. 4-node quadratic Lagrangian elements were chosen to mesh the geometry. Transient solver with preconditioned Jacobian-Free Newton–Krylov method (PJFNK) and backward Euler algorithm were employed. Adaptive mesh and time stepping schemes are used to reduce computation costs. Error indicators employed in H-adaptive meshing scheme on both $\{\phi_i\}$ and $\{c_i\}$, with the h -level as four, were specified to guarantee the precision requirement of the diffusive interface.

3.3.4.4 Experimental method

Experimental studies were conducted at the Institute of Construction and Building Materials of the TU Darmstadt. The $\text{Ca}(\text{OH})_2$ powder (ROTH, >96%) was compressed by a hydraulic press (ENERPAC P142, USA) at 40 MPa pressure into tablet specimens having dimensions of 15 mm diameter and 10 mm height (Figure 3.25). The specimens were completely sealed using a vacuum

impregnation device (EPOFIX from Struers, Denmark) at a pressure of 20 kPa then a fissure opening with a width of 1.0 mm was carved along its diameter. This geometry was chosen to ensure one dimensional advancement of the carbonation reaction front. Each specimen was immersed in 200 mL distilled water and transferred to a regulated environmental chamber (ICH-C 110, Memmert, Germany) and carbonized for 3, 7, 14 and 21 days at a temperature of 20°C, a relative humidity of 80% (to avoid the evaporation of water from the vessel).

Due to the low concentration of CO₂ in the atmosphere (about 0.03% by volume), the carbonation process of Ca(OH)₂ and cementitious materials is very slow in the natural environment. Accelerated carbonation experiments are usually performed in the laboratory to quickly assess the carbonation process. In the literature, the CO₂ concentration for accelerated carbonation varies from 3% to 100% [522, 523]. The carbonation rate increases with increasing CO₂ concentration. However, this development is not significant at CO₂ concentrations above 20%, due to the fact that at high CO₂ concentrations, in the outer layers of the concrete, dense carbonation microstructures are formed, which prevent further penetration of CO₂ [524]. In order to ensure that the accelerated carbonation produces a sustained self-healing effect, a CO₂ concentration of 5% by volume was chosen for this study. Here it is important to note that our model disregarded the CO₂ dissolution kinetics, by assuming that the CO₃²⁻ is fully available in the pore system, i.e. the dissolution kinetics is instantaneous. In future modeling works, the CO₂ dissolution kinetics should be considered as well.

At each exposure time period, the corresponding specimen was taken out of the water and dried at 40°C for 24 hours. The crack opening was then vacuum filled with a low viscosity (nominally 0.6 mPa·s) epoxy resin. After the epoxy resin hardened, the specimens were polished using a semi-automatic grinding–polishing machine (LaboSystem, Struers, Denmark), initially using a disc in hardness range HV 150 to 2000 at a rotational speed of 300 rpm, followed by a lubricated cloth and polycrystalline diamond spray of, consecutively, 9, 3, and 1 μm sizes at a rotational speed of 150 rpm. The polished cross-sections of the specimens were imaged by an environmental scanning electron microscopy using a back-scattered electron detector (SEM-BSE, Zeiss EVO LS25, Germany). The chemical analysis of the polished cross sections of the samples were conducted using the energy dispersive spectroscopy (EDS) detector.



Figure 3.25. Schematic procedure of Ca(OH)₂ carbonation experiments.

3.3.5 Results and discussion

3.3.5.1 Experimental validation

The purpose of the experimental observation of the carbonation reaction of a single substance, Ca(OH)₂, is to verify the appropriateness of the multiple interfaces settings in the PF model. Figure 3.26(a) shows the SEM images of specimens after different repair time. The dashed line indicates

the initial solid-liquid interface I_{a-s} . The dissolution process of calcium hydroxide occurs first on the exposure surface. As the solution penetrates the surface layer of $\text{Ca}(\text{OH})_2$ is exfoliated. By day 7, typical dendritic calcium carbonate crystals can be clearly observed on the stripped layer. Based on this dendritic layer of CaCO_3 crystals, CaCO_3 will continue to precipitate and fill the voids, resulting in a porous layer. The pore system provides a channel for further bi-directional diffusion of CO_3^{2-} and Ca^{2+} ions. By day 14 it can be seen that the carbonate ions diffuse through the porous CaCO_3 layer generated at the crack surface into the $\text{Ca}(\text{OH})_2$ matrix and undergo precipitation reactions, which allows the interface I_{s-p} to continue to move deeper into the matrix. At the same time, the interface I_{p-a} continued to grow toward the solution, and by day 21, the cracks had completely healed. Non-homogeneously distributed light-colored patches in the healed regions were observed in the SEM images, which was due to the studied cross-section of the precipitation region containing crystalline CaCO_3 [525]. We also analysed the different depths of the cracks in combination with stereo microscopy and found that in the 21-day sample, the deeper areas of the cracks were completely filled with calcium carbonate. CaCO_3 composition was confirmed using EDS point analysis (average composition of 40.05 wt% Ca, 15.71 wt% C, 44.24 wt% O, Figure 3.26(c)). From the experimental results in Figure 3.26(b), it can be seen that by 21 days, the total moving distance of single side of I_{p-a} is 2.7 times greater than that of I_{s-p} .

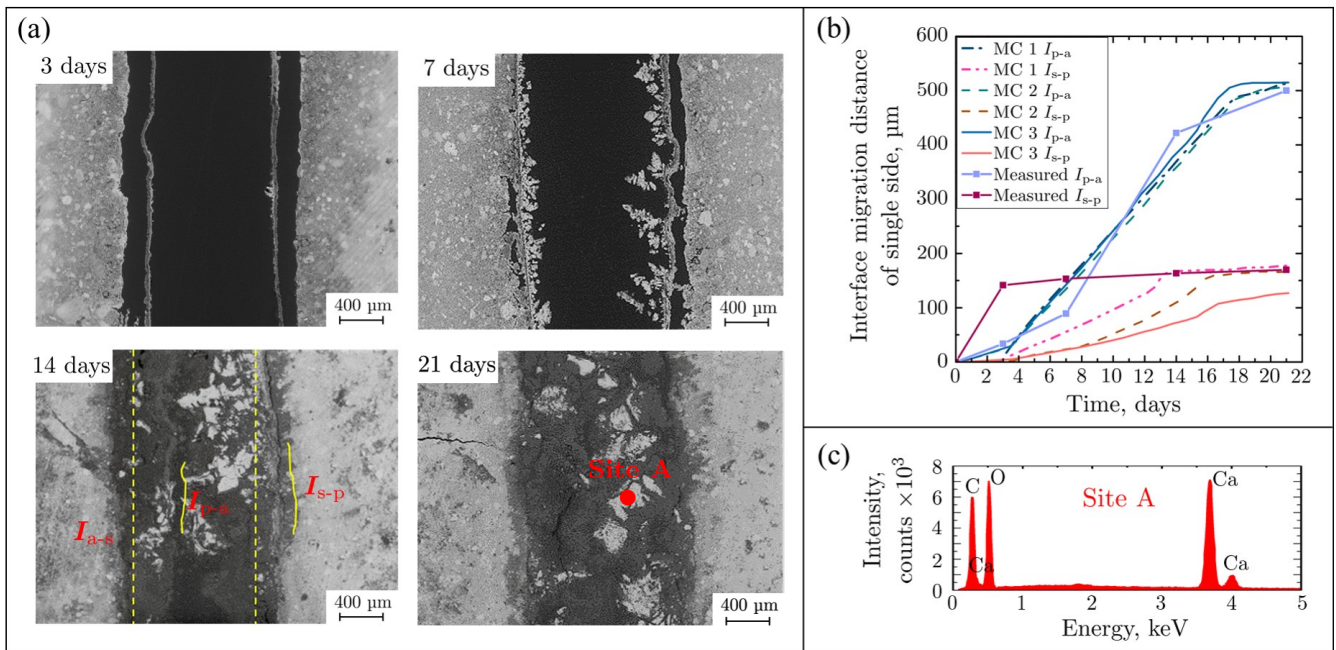


Figure 3.26. Image and data analysis of the interfacial migration of $\text{Ca}(\text{OH})_2$ carbonation over time. (a) SEM image after different self-healing exposure times, i.e. 3, 7, 14 and 21 days; (b) comparison of numerical and experimental results of the interface migration distance, Model case is abbreviated as MC; (c) the EDS spectrum of the self-healing product.

The experiment results also showed that I_{s-p} receded rapidly during the first 7 days of the experiment and moved slowly thereafter. The reason for this phenomenon is that the solute concentration of the exposed solution is zero (initial condition), i.e. the diffusion flux is initially very high. This leads to a rapid retreat of the interface due to the continuous dissolution controlled by L_{sou} . As the precipitation reaction continues on the fracture surface, a structurally dense CaCO_3 layer is

formed, which prevents the penetration of CO_3^{2-} ions into $\text{Ca}(\text{OH})_2$ matrix on the one hand, and the leaching of Ca^{2+} ions into the solution on the other hand. This rate of interface migration in the PF model may be considered in the future in L_{sou} , where this mobility should vary with time and is fundamentally a function of solute concentration and porosity. However, in this study, L_{sou} is simplified to a constant. The effects of varying L_{sou} (case 1, 2, and 3) on the evolution of $I_{\text{s-p}}$ are compared with the experimental results.

The migration distances of the single side of $I_{\text{p-a}}$ and $I_{\text{s-p}}$ are calculated from the integration lengths of ϕ_{aq} and ϕ_{sou} in the 1D simulation, respectively. The model parameters for case 1 are summarized in Table 3.6.

Table 3.6. Model parameters

Symbol	Value	Unit	Symbol	Value	Unit	Ref.
A_{sou}	2.40×10^{-5}	J/m^3	κ_{pre}	7.24×10^{-9}	J/m	
A_{pre}	2.40×10^{-2}	J/m^3	κ_{aq}	1.76×10^{-9}	J/m	
A_{aq}	2.40×10^{-4}	J/m^3	$\sigma_{\text{s-p}}$	2.11×10^{-4}	J/m^2	[526]
ω_{sou}	27.48	J/m^3	$\sigma_{\text{p-a}}$	1.20×10^{-4}	J/m^2	[526]
ω_{pre}	23.16	J/m^3	$\sigma_{\text{a-s}}$	1.38×10^{-4}	J/m^2	[527]
ω_{aq}	5.64	J/m^3	D_{sou}	1.34×10^{-14}	m^2/s	
L_{sou}	7.00×10^{-5}	$\text{m}^2/(Js)$	D_{pre}	5.40×10^{-12}	m^2/s	[528]
L_{pre}	1.80×10^{-4}	$\text{m}^2/(Js)$	D_{aq}	1.34×10^{-9}	m^2/s	[529]
L_{aq}	1.80×10^{-4}	$\text{m}^2/(Js)$	c_{pre}^E	1.35×10^4	mol/m^3	
κ_{sou}	8.59×10^{-9}	J/m	c_{aq}^E	1.40×10^{-1}	mol/m^3	[530]

The parameter A_i is taken to construct the free energy of the cementitious system (Figure 3.23). The interface mobility coefficient L_i is related to the interface velocity and the driving force according to the chemical rate theory. In most of the studies, the values of interface mobility are used as empirical or hypothetical ones [459, 460]. In our study, the interface mobility was determined based on experiment results. For case 2 and case 3, L_{sou} has the value of 5×10^{-5} and 3.5×10^{-5} , respectively. Other parameters are the same as that of case 1. c_{aq}^E is derived from the solubility of CaCO_3 at 20°C and 1 atm. c_{pre}^E is the molar concentration of the calcium ion of CaCO_3 with a porosity of 47%. C_{sou}^0 is calculated by dividing the density of CaCO_3 by its average molar mass [471]. The porosity of the diffusion coefficient of the source phase D_{sou} is obtained from the compaction density and the particle density of $\text{Ca}(\text{OH})_2$ specimens, i.e. 30%. Based on the relationship between the diffusion coefficient, the open porosity and the pore morphology [17, 531], D_{sou} is set to be 5 orders of magnitude smaller than in its solution. As this is a crack scale model, it operates both with ion diffusivity in pore (crack) solution and effective diffusivity through porous matrix. The value of D_{pre} was determined by choosing the ion diffusion coefficient of a cementitious material with approximate porosity to the precipitated phase.

Figure 3.26(b) shows that the profiles of $I_{\text{p-a}}$ in the three cases were in good agreement with the experimental results, although the measured value on day 7 is slightly lower than that of the simulation where the measured value on day 14 is higher than the simulated values. The results

for the three models cases show a slowdown in the rate of interface migration after day 16, which is consistent with the results of the parametric analysis in Figure 3.27(a) in Sect. 3.3.5.2. For the evolution of I_{s-p} it can be seen that for an increasing L_{sou} , it is possible to facilitate the I_{s-p} migration in case 1 (7×10^{-5}) and case 2 (5×10^{-5}) and to make the total distance consistent with the experiments. However, the backward distance of I_{s-p} grows rapidly during the first 7 days of the experiment, which is not reflected in the model results, which is due to the detachment of the surface layer after soaking of the $Ca(OH)_2$ specimens. However, it should be noted that only the continuous dissolution is considered in this model.

3.3.5.2 Parameter research

An exhaustive series of parametric studies was conducted to identify the effect of each parameter containing an actual physical significance on the model results. Firstly, the effect of the morphology of the crack on the healing effect was analyzed. The cracks are represented by two parallel sine waves. The surface roughness is constructed by adjusting the sinusoidal frequency. The amplitude of four cases is 2 and the frequencies are 1, 5, 10 and 20 respectively. As the surface roughness increases, the precipitation fills first in the areas of greater curvature. Then the interface I_{p-a} gradually becomes flat. As seen in Figure 3.27(a1), case 4 is the first to be completely healed, while case 1 only reaches 0.8. From Figure 3.27(a3), it can be observed that the growth rate of self-healing ratio keeps increasing until 0.04 s, while it gradually decreases afterwards, which makes the four curves almost parallel after 0.5 s (in Figure 3.27(a2)). This is due to the curved interface which migrates spontaneously toward the center of the curvature. The larger the curvature of the interface, the smaller the radius of the curvature, the faster the interface migration, and the faster the interface will move.

The rate of the interface migration resulting from the thermodynamic driving force of precipitation and dissolution is controlled by L_i . In this model, L_{sou}^* and L_{pre}^* is referring to the normalized interface mobility coefficient of I_{s-p} and I_{p-a} , respectively. Figure 3.27(b1) shows snapshots of crack simulations with three coefficient ratios ($L_{pre}^*/L_{sou}^* = 1, 10$ and 100) at $0.3t^*$ and $0.6t^*$. It is obvious that when the coefficient ratio increases from 1 to 100, the self-healing ratio increases (Figure 3.27(b2)) while the residual source phase ratio decreases (Figure 3.27(b3)) significantly.

In order to simulate the physicochemical reactions correctly, the thickness of the interface has to be sufficiently small compared to the mesoscopic structure of the system; however, from a computational point of view, it is expected that the thickness of the interface has to be as large as possible in order to keep the interface from being overly densely meshed, which increases the computational effort. Therefore, for the simulation of crack healing with sinusoidal morphology, we quantitatively evaluated the effect of different interfacial widths ($l_{i-j} = 1, 2$ and 4) on the model behavior. Figure 3.27(c1) shows that the operating state of sinusoidal cracks is sensitive to the interface thickness. It is evident that when the interface thickness is 1, there is a clear boundary between the two phases. And when the interfacial thickness increases to 4, the interface morphology becomes blurred. Figure 3.27(c2) shows the spatial distribution of ϕ_{pre} at $0.25t^*$ along the dashed line. As the interfacial width increases, the value of ϕ_{pre} at the crack location becomes larger, which implies that the cracks can heal faster.

So far, for all simulations the same diffusion coefficient was assumed for each phase. Therefore, the performance of the model will be tested when varying the effective diffusion coefficient of ions in the source phase D_{sou} and that in the precipitation phase D_{pre} . All of them are normalized (D_{sou}^*

$= 5 \times 10^1, 5 \times 10^2$ and 5×10^3 ; $D_{\text{pre}}^* = 4 \times 10^1, 4 \times 10^2$ and 4×10^3) with $D_{\text{aq}} = 1 \times 10^{-9}$ m²/s (see Table 3.5, Section 3.3.4.2).

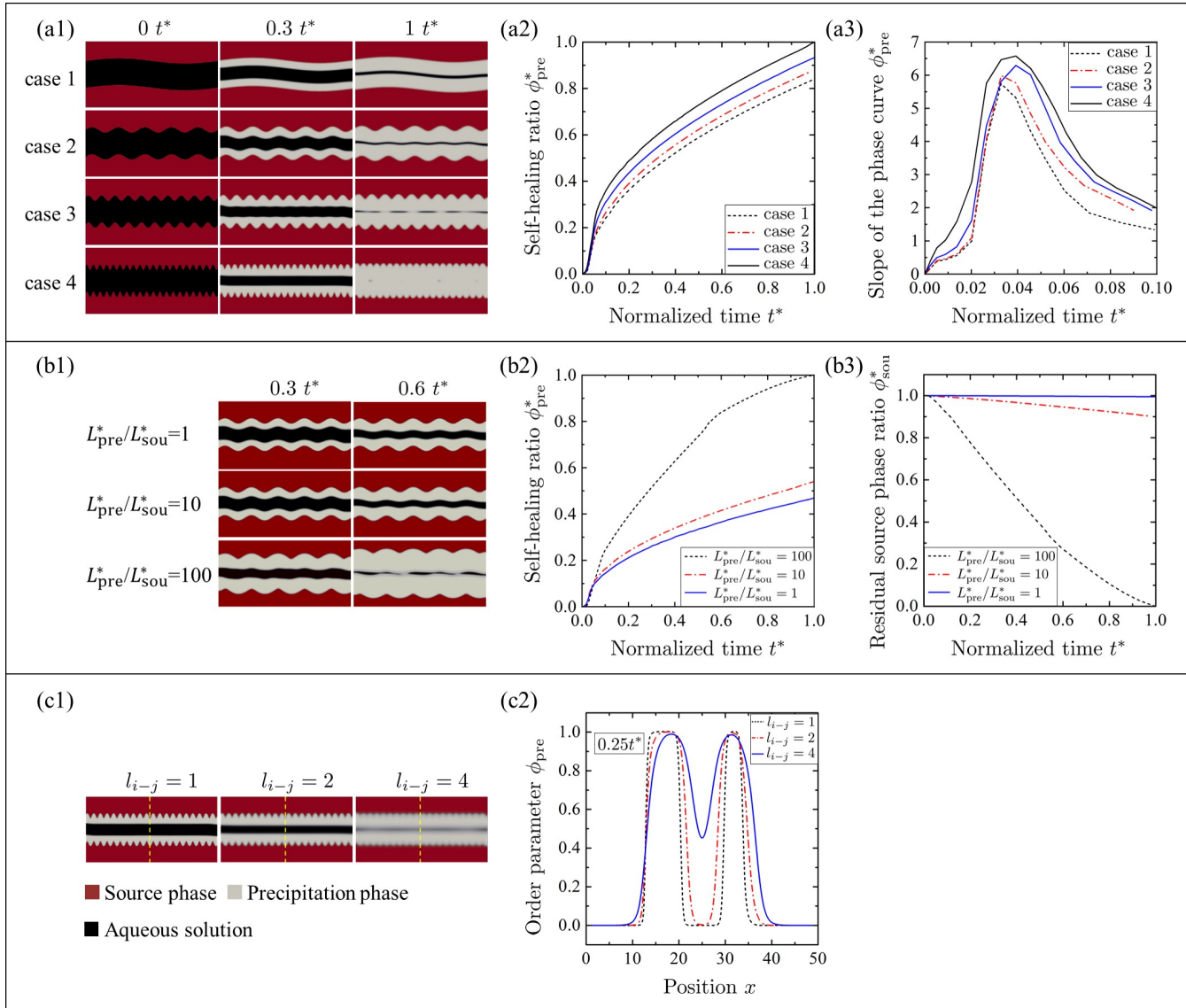


Figure 3.27. The effect PF parameter on profile of OP $\{\phi_i\}$. (a1) Simulation of self-healing with different crack morphologies, (a2) and (a3) the evolution of normalized phase ratio and its slope; (b1) Evolution of self-healing with variation of interface mobility coefficient L_i , (b2) and (b3) normalized phase ratio ϕ_{pre}^* and normalized phase ratio ϕ_{sou}^* as a function of time, respectively; Effect of interfacial width and (c2) phase ϕ_{shp} profile evolution.

Figure 3.28(a2) shows that the concentration profile of $D_{\text{sou}}^* = 5 \times 10^1$ is higher compared to that of $D_{\text{sou}}^* = 5 \times 10^2$ and $D_{\text{sou}}^* = 5 \times 10^3$ at $0.4 t^*$ in the ion source phase and clearly decreases from the boundary of the ion source phase to the fracture surface, especially with a low concentration spike at $x = 34$. This is due to the large difference between D_{sou}^* and D_{pre}^* , which drives the ions appearing at the crack surface to diffuse rapidly into the precipitation region forming a concentration depletion zone at the crack surface. At $0.8 t^*$ (Figure 3.28(a3)) the concentration spike rises due to more ions

being transported to the fracture surface, thus compensating for the local concentration depletion. Figure 3.28(a4) presents that the high concentration region ($c^*=1$) widened significantly with increasing D_{pre}^* . Higher D_{pre}^* promotes rapid ion abstraction from the source phase, which causes the concentration to decrease with increasing D_{pre}^* in the ion source phase. Figure 3.28(a5) shows the concentration profiles from $0.2t^*$ to $0.8t^*$ for $D_{pre}^* = 4 \times 10^3$. Combining Figure 3.28(a5) and (a6), it can be observed that the expansion of the high concentration region ($c^*=1$) slows down with time which leads to a decrease in the precipitation phase ϕ_{pre}^* . The above results indicate that an increase in the effective diffusivity of ions produces a significant increase in the precipitation width. It is worth emphasizing that in the PF method the order parameter and the concentration field are defined based on the mean field theory, which means that the fluctuated property of the concentration coefficients in the same phase are homogenized and therefore represented by a constant value. For the case where the mass transfer (incl. diffusion and fluid transfer) depending on the local pore structures, an additional conserved order parameter and corresponding fluid dynamics should be implemented [532].

The effect of two boundary conditions (BC1 and BC2) on the self-healing efficiency is discussed next. Under BC1, the effects caused by ion concentrations of 0.5 and 1.0 are compared. The position of the studied profiles is shown in Figure 3.28(a1). Figure 3.28(b) investigates the self-healing ratio ϕ_{pre}^* and normalized concentration profiles c_i^* with the above boundary conditions. As shown in Figure 3.28(b1), when the constant concentration c_0^* of the boundary (Figure 3.24) is increased from 0.5 to 1.0, the ratio of self-healing increases. As time increases from $0.2t^*$ to $0.8t^*$, there is no change in the concentration profiles in the source phase in case of boundary with a constant concentration. In contrast, the normalized ion concentration in the source phase under BC2 decreases from 0.61 to 0.39 due to further diffusion of calcium ions from the boundary to the crack surface (Figure 3.28(b2) and (b3)).

Since it is not clear whether and how the precipitation reaction term $\Delta_r f$ affects the concentration profiles, the effect of three cases $\Delta_r f = 5, 9$ and 13 will be discussed now. The results in Figure 3.28(c1) and (c2) indicate a strong dependence of the concentration profiles on $\Delta_r f$. Changing $\Delta_r f$ from 5 to 13 produces a significant decrease of the ion concentration in the source and solution phase, which finally results in a wider precipitation region. From $0.2t^*$ to $0.7t^*$, all depressions in the middle of the curve with OS=13 are replaced by a high concentration, indicating that the crack is completely healed. The driving force contributed by $\Delta_r f$ drives the ions to diffuse from the source phase into the solution eventually accumulating at the crack surface. As a result, the ion concentration in the source phase and solution decreases rapidly, while the high concentration region in the phase with self-healing products increases. The above results show that the precipitation reaction progress can be effectively controlled by adjusting $\Delta_r f$.

3.3.5.3 Modeling Applications

The following two examples (Figure 3.29) demonstrate that the PF model can be used to quantitatively simulate the morphological migrations of self-healing cementitious materials. Example 1 is the autogenous self-healing studied by Lee and Ryou [41], while example 2 is bacteria-based self-healing studied by Erşan et al. [47]. The reasons for choosing these two examples are as follows. First of all, the primary mechanism of the autogenous self-healing is the crystallization of CaCO_3 [533].

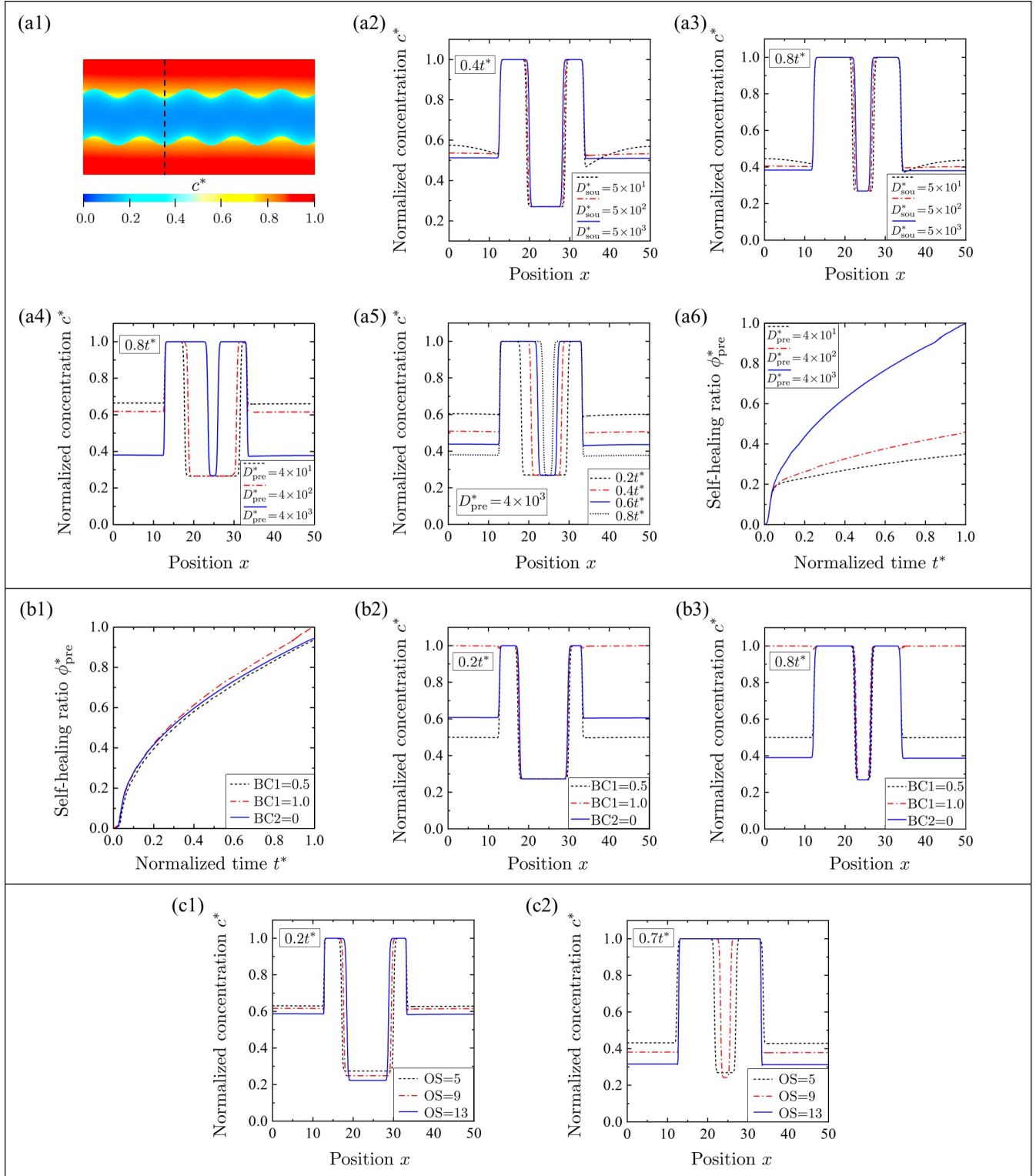


Figure 3.28. The effect PF parameter on profile of concentration c_i . (a1) the position of the studied profiles, (a2) and (a3) the distribution profiles of c^* at $0.4t^*$ and $0.8t^*$ with the variation of D_{sou}^* , respectively, (a4) the distribution profiles of c^* at $0.8t^*$ with the variation of D_{pre}^* , (a5) the distribution profiles of c^* of the case $D_{\text{pre}}^* = 4 \times 10^3$ at four time points, (a6) the evolution of the normalized phase ratio ϕ_{pre}^* with the variation of D_{pre}^* ; (b1) Evolution of self-healing ratio ϕ_{shp}^* with different boundary conditions, normalized concentration profile evolution (b2) at $0.2t^*$ and (b3) at $0.8t^*$; Evolution of normalized concentration profile with the different oversaturation terms $\Delta_r f$ at (c1) $0.2t^*$ and (c2) $0.7t^*$.

Secondly, the principle mechanism of bacterial self-healing is that the bacteria act primarily as catalysts, and convert the organic biomineral precursor compound into insoluble inorganic CaCO_3 based minerals [72, 74, 237, 238]. When bacteria control the catalytic reaction much faster than diffusion, the self-healing process is mainly controlled by diffusion. In conclusion, these two examples can be numerically treated as a dissolution and precipitation process of solutes, which is time-dependent and controlled by diffusion. Therefore, both can be simulated using the PF model proposed in this study.

The initial widths of examples 1 and 2 are taken from the experimental data, i.e., 174 μm and 318 μm , respectively. The model parameters are the same as that in Table 3.6 except $L_{\text{aq}} = 1 \times 10^{-7} \text{ m}^3/(\text{Js})$, $L_{\text{pre}} = 1 \times 10^{-4} \text{ m}^3/(\text{Js})$ and $L_{\text{sou}} = 1 \times 10^{-10} \text{ m}^3/(\text{Js})$ used for example 1 and $L_{\text{aq}} = 1 \times 10^{-5} \text{ m}^3/(\text{Js})$, $L_{\text{pre}} = 1 \times 10^{-4} \text{ m}^3/(\text{Js})$ and $L_{\text{sou}} = 1 \times 10^{-10} \text{ m}^3/(\text{Js})$ used for example 2, respectively. The precipitation reaction term $\Delta_r f$ is an expression of the free energy density related to the chemical formation of CaCO_3 particles (equation 3.48). In this PF model, we simplify the precipitation term to a non-negative constant. Based on the authors' research experience, a reasonable range of values for $\Delta_r f$ is from 1 to 20. In order to focus on the effect of other parameters on the self-healing effect and to avoid the model being subjected to a large $\Delta_r f$ that would lead to too rapid healing, $\Delta_r f$ was taken as 5 in both examples.

Figure 3.29 shows schematically the concentration field c , the phase field ϕ_i and the experimental results for the 2 examples at different times, respectively. At the beginning of cracking, the reactant ion concentration c is highest in the cementitious matrix and nearly 0 in the solution. At 14 days, c at the crack surface reaches 1, while a layer of self-healing products. This indicates that the Ca^{2+} ions in the solution are carbonated and the concentration of CaCO_3 reaches the saturation state forming a layer of self-healing products. The concentration in the cementitious matrix is low compared to that on the crack surface. Such a difference is set to numerically reflect a physical meaning, i.e., CaCO_3 precipitation is difficult to form in the deep cementitious matrix due to the lack of CO_2 . It can be carbonated only when Ca^{2+} ions diffuse to the crack surface. It is one of the innovations of our model that c can reach 1 only near the crack surface, thus allowing the formation of the self-healing products locally. With this strategy, the different phases can be effectively distinguished by their concentrations and tracked by the corresponding order parameters.

It is clear from the experimental results that the morphology of the cracks largely influences their local healing effect. At the same time the morphology of the crack is constantly changing with the healing process. In the depression out of the crack surface, the healing products precipitate faster and more frequently due to the higher concentration of solutes. Therefore, its local boundary moves faster than that of other locations.

The effect of crack width on the self-healing efficiency can also be seen in the simulation results of examples 1 and 2. This effect has also been mentioned in the literature [223, 534]. From the simulation results of example 1, it can be seen that the crack healing rate from 0-15 days is slower than that from 15-23 days. This is due to the slow diffusion process of Ca^{2+} ions from the cement matrix to the crack surface and their gradual accumulation in the early stage of crack appearance. Precipitation occurs only when ions concentration reaches the saturation state. The results from days 0 to 15 reflect the gradual accumulation of reactant concentrations. after 15 days, the crack healing rate is accelerated. This is due to the synergistic effect of Ca^{2+} ions diffusing from both crack sides. However, the width of example 2 is 1.8 times wider than that of example 1, which leads to the fact that the processes of diffusion of Ca^{2+} ions and occurrence of precipitation reactions on

both sides of the crack are independent of each other and have no synergistic effect. Therefore the self-healing rate of example 2 was almost constant until 20 days. The self-healing rates of the two examples slowed down after 23 and 20 days, respectively. This is due to the formation of a CaCO_3 layer on the crack surface thereby preventing further diffusion of Ca^{2+} ions outward.

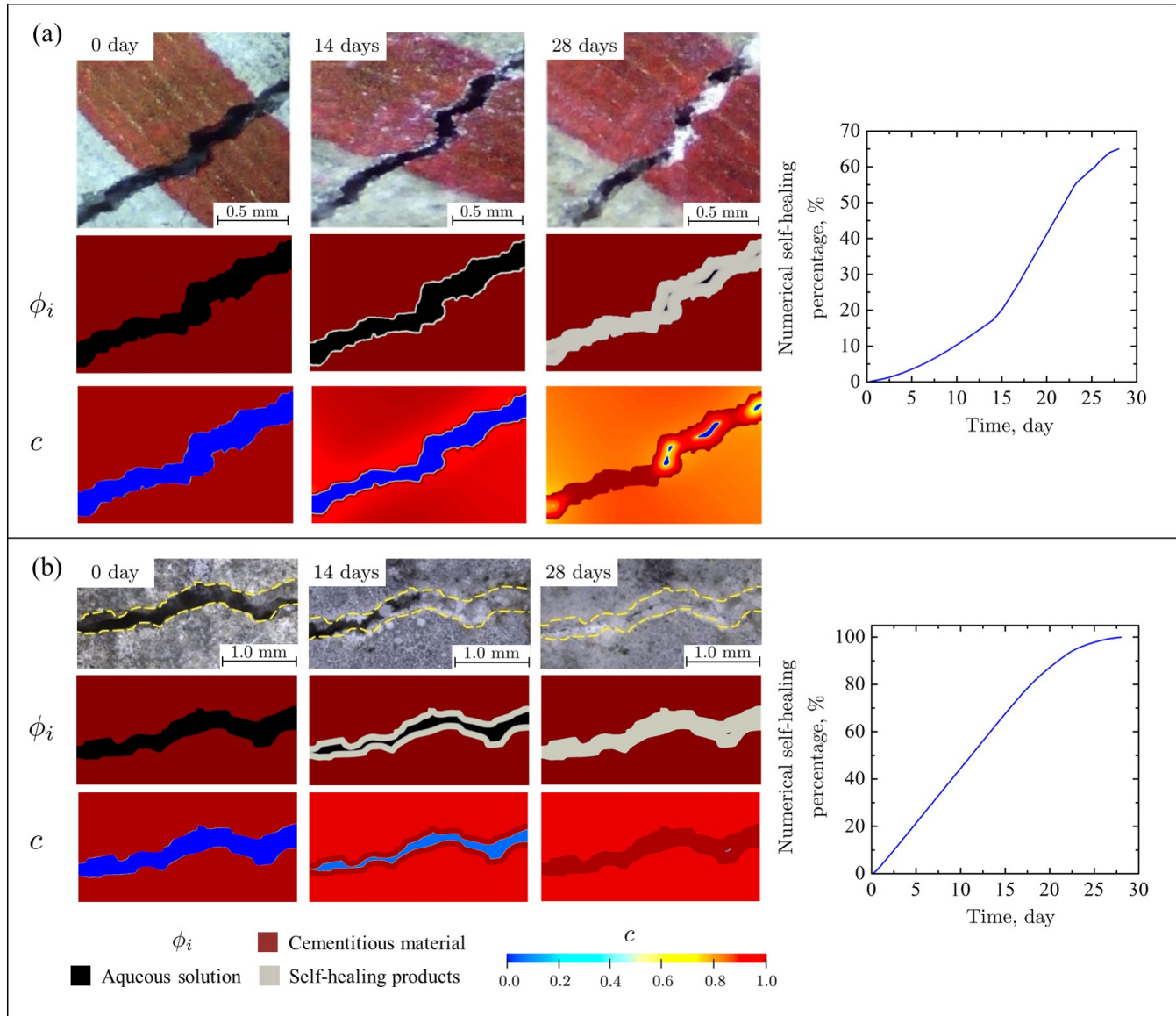


Figure 3.29. Two examples demonstrating the practical application of the PF model. (a) example 1: the autogenous self-healing, reproduced with permission from the authors of [41], copyright 2014, Constr Build Mater, Elsevier; (b) example 2: the bacteria-based self-healing, reproduced with permission from the authors of [47], copyright 2016, Cem Concr Compos, Elsevier.

The above two numerical simulations provides consistent results with experiments, which is encouraging as for the capacity of the present model to predict the morphology and the geometrical details of the interface migration of self-healing processes in cementitious materials. However, we should keep in mind that the comparison between numerical and experimental results is mainly qualitative, since we have focused only on the dissolution-precipitation mechanism, whereas for

cementitious materials containing mineral additives, the corresponding self-healing processes have to be extended to consider more types of reactions (e.g. hydration) and additional physicochemical effects (e.g. swelling and dissolution). In addition, although the empirical relationship between effective diffusivity and porosity (single value) takes into account the effect of w/c ratio, the variation of porosity is not studied in this study. The porosity should be related to the local concentration of ions and the phase in which they are located. The diffusion coefficient could be expressed as an equation related to the porosity and pore structure [531]. This model can be flexibly extend to a multiphase multicomponent form to analyze the effect of real cementitious materials on self-healing, e.g., by adding a order parameter $\phi_{\text{sou_csh}}$ for C-S-H in the phase of ϕ_{sou} . For this, a thermodynamic dissolution-precipitation description should be implemented as well as a change of the homogenized properties. The fluctuated properties should be homogenized and generally represented by a non-constant value, where e.g. the effective diffusion coefficient depends on the pore structure (e.g. using empirical relations [516, 531]).

3.3.6 Conclusion

In this study, a novel PF model for self-healing of cementitious materials is presented. The model can effectively capture the evolution of dissolution and precipitation interfaces controlled by diffusion and the behavior of solute concentration profiles. From the results of this study following conclusion can be drawn:

- (1) the free energy of the system, approximated by a set of parabolic functions, varies with solute concentrations and order parameters, which is able to describe the self-healing processing by analyzing the thermodynamic driving force of the solute diffusion and precipitation in a thermodynamic-consistent way and thereby capable in recapitulating the process under various solute conditions, i.e. undersaturation, saturation and oversaturation;
- (2) calcium hydroxide-based carbonation measurements confirm that multiple interface evolution occurs during the self-healing process. Using the derived interfacial mobility, the PF numerical simulations show a consistent agreement with the experimental results;
- (3) by conducting a series of parametric studies, it was confirmed that model parameters with clear physical meanings can reflect the evolution of multiple interfaces under different conditions;
- (4) 2D simulations of the interfacial growth kinetics during the self-healing of cementitious materials were carried out. Comparison with experimental results shows that the PF model is able to provide good qualitative predictions of the morphological and geometric details of interfacial migration during self-healing of cementitious materials in terms of minerals dissolution and precipitation (Figure 3.29). For a further quantitative analysis, additional types of chemical reactions and additional physical factors need to be considered.

In future studies, the free energies and the corresponding thermodynamic parameters of the involved phases will be examined to quantify mechanisms for the formation of the self-healing products, e.g. hydration kinetics, crystallization kinetics and swelling. Due to the dependence on the ion type, ion concentration, capillary pore structure, degree of chemical reaction, etc. an explicit formulation of the diffusion coefficient on relevant factors shall be derived and validated with experiments [535]. The chemistry modeling should be extended with the Ca^{2+} ion diffusion coefficient to be subject to the interaction of porosity and chemical reaction rate. Ion transport and local chemical reactions can be calculated using PHREEQC (a computer program for speciation,

reaction-path, advective transport, and inverse geochemical calculations). The yielded results are then transferred to the PF model for the further phase transformation analysis. The complex evolution of crack healing morphology in physicochemical processes can be accurately evaluated only in a full 3D system. All the above should be considered for further development of a comprehensive self-healing modeling tool for cementitious materials.

4 Conclusion and outlook

4.1 Conclusion

In this thesis, the dissolution of a single mineral particle is analysed from a phase transformation perspective using the PF method. On this basis, a conceptual multiphase-PF model is proposed to simulate the complex microstructural evolution during the self-healing of cementitious materials. The model focuses on the carbonation-based healing mechanism, which is activated by dissolving soluble calcium-containing minerals and precipitating CaCO_3 . Based on the research presented and the results, the following general conclusions can be drawn:

- (1) comparing with analytical methods, it was observed that the dynamic evolution of the solid-liquid boundary induced by diffusion-controlled dissolution, could be accurately reproduced by using the PF method. Taking the NaCl particle as an example, the PF model showed to be successful in modelling the mesoscopic evolution of inorganic non-metallic materials caused by diffusion-controlled dissolution. By adjusting the PF interfacial mobility parameter, the dissolution of the NaCl particle was effectively captured at different stages of the transition from reaction-controlled to diffusion-controlled mechanism;
- (2) a novel PF model for self-healing in cementitious materials was applied to simulate the Ca(OH)_2 carbonation. The results showed that the PF model based on a thermodynamic basis and proposed energy equations was effective in capturing the evolution of the diffusion-controlled dissolution and precipitation interfaces as well as the behaviour of the solute concentration profiles;
- (3) a comparison with experimental results of self-healing of cementitious materials revealed that the PF model was able to provide good qualitative predictions of the morphological and geometric details of interfacial migration during self-healing of cementitious materials in terms of mineral dissolution and precipitation;
- (4) by conducting a series of parametric studies, it was confirmed that PF model parameters with a clear physical meaning can reflect the evolution of complex morphology and physical masses under different conditions.

4.2 Outlook

The development and successful application of the PF model has contributed to a deeper understanding of the complex boundary evolution of the self-healing process of cementitious materials

from a thermodynamic and kinetic perspective. However, there are still a large number of unresolved challenges that deserve a more in-depth study. The following potential future steps are identified:

(1) Combining the reaction kinetics of multiple substances

There are many factors that are affecting the chemical kinetics of self-healing, i.e. different ratio of adhesive agents, mineral admixtures and bacteria; fluctuations of carbon dioxide, humidity and temperature in the environment, which are affecting the overall crack healing rate. Therefore, the free energies and corresponding thermodynamic parameters of different substances need to be distinguished and their mechanisms for the formation of self-healing products, i.e. hydration kinetics, crystallization kinetics, polymerization reaction kinetics and swelling kinetics, should be reflected in specific simulations.

(2) Introduction of a dynamic diffusion coefficient

The diffusion coefficient of aqueous species is related to the type, local concentration, capillary pore structure, degree of reaction, etc. An expression for a dynamic diffusion coefficient need to be derived by combining relevant factors and should be validated with experimental data.

(3) Evolution of capillary pore structures

The microscopic structure of capillary pores of the solid phases is in dynamic exchange with the chemical reaction. The precipitation generated in the capillary pores hinders local ion transport while contributing to an altered pore structure, which further affects the diffusion potential. Therefore, the interplay between the capillary pore structure, thermodynamics, reactant concentration and mass transport should be investigated in more detail.

(4) Development of a three-dimensional model

Although the present study has confirmed that the proposed PF model can effectively simulate the evolution of different fracture morphologies in two dimensions. However, it is worth noting that the interfacial morphology has a strong influence on the kinetics of dissolution and precipitation. In addition, inhomogeneous spatial distribution of aggregates, and the direction of moisture penetration may all affect the evolution of the interface morphology of cementitious materials. Therefore, to significantly enhance the accuracy and predictability of a crack healing process, only a full 3D system with realistic boundary conditions would apply.

Appendix

A1 The Height of the Double Well Potential ω and Gradient Energy Coefficient κ

Using the composition and PF profile at equilibrium, the interface energy σ , and the interface thickness l_0 can be evaluated as:

$$\sigma = \frac{\sqrt{\kappa\omega}}{3\sqrt{2}}, \quad (\text{a1})$$

$$l_0 = 2.94\sqrt{2}\sqrt{\frac{\kappa}{\omega}}. \quad (\text{a2})$$

In general, σ and l_0 can be estimated from the experiment, then the height of the double well potential ω and gradient energy coefficient κ can be easily obtained by the Equations (a1) and (a2):

$$\omega = \frac{6 \times 2.94\sigma}{l_0}, \quad (\text{a3})$$

$$\kappa = \frac{3l_0\sigma}{2.94}. \quad (\text{a4})$$

A2 The Interface Mobility L

The interface velocity V is typically expressed as product of a factor involving the thermodynamic driving force for dissolution and a kinetic factor involving the interface mobility [536], i.e.

$$V = V_0 \left[1 - \exp\left(\frac{\Delta G}{RT}\right) \right], \quad (\text{a5})$$

where the kinetic factor V_0 corresponds to the limiting velocity under infinite driving force (forward reaction rate), ΔG is the Gibbs free energy difference, between the free energy of solid and liquid which is responsible for the interface displacement. The driving force of dissolution ΔF is given by $\Delta F = f^L(c_L^e) - f^S(c_S^e) - (c_L^e - c_S^e)f_{C_S}^S(c_S)$, ($-\Delta G = \Delta F$). Thus, Equation (a5) is obtained by Taylor series expansion and approximated as:

$$V \cong -V_0 \frac{\Delta G}{RT} = V_0 \frac{\Delta F}{RT}. \quad (\text{a6})$$

Using the derivation in the KKS model, Equation (23) can be expressed in terms of ΔF as follows:

$$\frac{\partial \phi}{\partial t} = -L [\nabla \kappa \nabla \phi + h'(\phi) \Delta F] - \omega g'(\phi). \quad (\text{a7})$$

Under 1D instantaneous steady state, ϕ is derived for the position as follows:

$$\frac{d\phi}{dt} = -\frac{L}{V} \left[\kappa \frac{d^2\phi}{dx^2} + h'(\phi)\Delta F - \omega g'(\phi) \right]. \quad (\text{a8})$$

Under the thin interface limit condition, Equation (a8) combined with the equilibrium phase expression $dx/d\phi_0 = -\sqrt{\kappa}/\sqrt{2\omega} [1/\phi_0(1 - \phi_0)]$ and Equation (a1) modifies into:

$$\frac{RT}{V_0} = \frac{\sigma}{L\kappa}. \quad (\text{a9})$$

It should be noted that this equation only holds if the diffusion potential of solute in the interface region is constant. In addition, if the dissolution process is controlled by diffusion, V_0 is usually approximated as D_L/l_0 ; where D_L is the diffusion coefficient of the solute in solution [448]. In general, the determination of V_0 is very difficult [537]. In summary, L can be derived from Equation (a9):

$$L = \frac{D_L\sigma}{l_0RT\kappa}. \quad (\text{a10})$$

A3 The Curvature of the Free Energy Density Function A

The Gibbs free energy ΔG can be obtained through equilibrium constant K :

$$\Delta G = -RT\ln K, \quad (\text{a11})$$

$$\Delta G = -8.314 \times 293.15 \times \ln \left(\frac{0.4}{1.0} \right) = 2233.23 \text{ (J/m}^3\text{)}. \quad (\text{a12})$$

The value of A can be derived from ΔG . Following [459], A makes the free energy of the solid phase is equal to ΔG when c is 0.4 (see Figure a1).

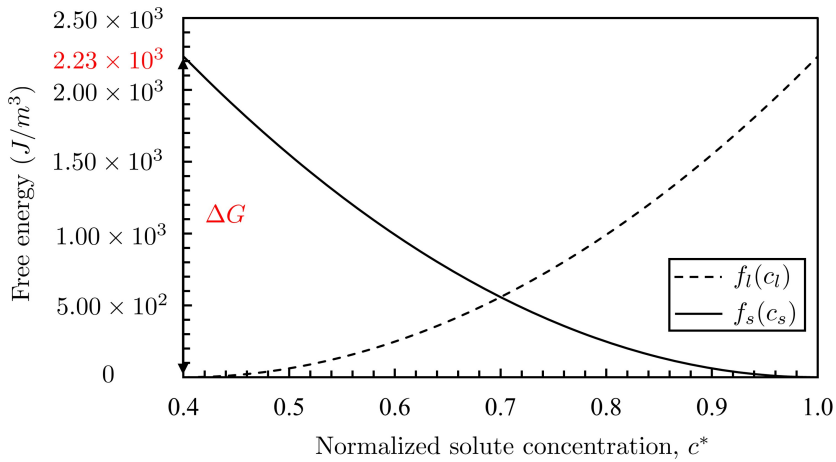


Figure 4.1. Variation of concentration with chemical driving force.

A4 PF Parameters Normalization

In order to ensure the convergence of the model on the mesoscale and improve the calculation efficiency, all variables are normalized. All molar concentrations are normalized by the solid molar concentration c_s , and all length scales are normalized by the length of the domain (l). According to the total energy function in Equation 3.24, three equations are proposed as follows:

$$\nabla^* = l_0 \cdot \nabla, \quad (\text{a13})$$

$$F^* = \frac{F}{A}, \quad (\text{a14})$$

$$D_s^* = \frac{D_s}{D_L}. \quad (\text{a15})$$

The normalized variables are presented as follows:

$$A^* = 1, \quad (\text{a16})$$

$$\kappa^* = \frac{\kappa}{A \cdot l_0^2}, \quad (\text{a17})$$

$$\omega^* = \frac{\omega}{A}, \quad (\text{a18})$$

$$L^* = \frac{A \cdot l_0^2 \cdot L}{D_L}, \quad (\text{a19})$$

$$t^* = \frac{D_L \cdot t}{l_0^2}, \quad (\text{a20})$$

$$D_L^* = 1, \quad (\text{a21})$$

$$c_{Se} = \frac{c_{solid}}{c_{solid}} = 1, \quad (\text{a22})$$

$$c_{Le} = \frac{p}{c_{solid}}, \quad (\text{a23})$$

where, p is the solubility of sodium chloride at 20 °C and 1 atm, which needs to be converted to units of mol/m³ in the calculation.

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