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# ORIGINAL ARTICLE

# A mega-analysis of genome-wide association studies for major depressive disorder

Major Depressive Disorder Working Group of the Psychiatric GWAS Consortium<sup>1</sup>

Prior genome-wide association studies (GWAS) of major depressive disorder (MDD) have met with limited success. We sought to increase statistical power to detect disease loci by conducting a GWAS mega-analysis for MDD. In the MDD discovery phase, we analyzed more than 1.2 million autosomal and X chromosome single-nucleotide polymorphisms (SNPs) in 18759 independent and unrelated subjects of recent European ancestry (9240 MDD cases and 9519 controls). In the MDD replication phase, we evaluated 554 SNPs in independent samples (6783 MDD cases and 50695 controls). We also conducted a cross-disorder meta-analysis using 819 autosomal SNPs with P < 0.0001 for either MDD or the Psychiatric GWAS Consortium bipolar disorder (BIP) mega-analysis (9238 MDD cases/8039 controls and 6998 BIP cases/7775 controls). No SNPs achieved genome-wide significance in the MDD discovery phase, the MDD replication phase or in pre-planned secondary analyses (by sex, recurrent MDD, recurrent early-onset MDD, age of onset, pre-pubertal onset MDD or typical-like MDD from a latent class analyses of the MDD criteria). In the MDD-bipolar cross-disorder analysis, 15 SNPs exceeded genome-wide significance ( $P < 5 \times 10^{-8}$ ), and all were in a 248 kb interval of high LD on 3p21.1 (chr3:52 425 083–53 822 102, minimum  $P = 5.9 \times 10^{-9}$  at rs2535629). Although this is the largest genome-wide analysis of MDD yet conducted, its high prevalence means that the sample is still underpowered to detect genetic effects typical for complex traits. Therefore, we were unable to identify robust and replicable findings. We discuss what this means for genetic research for MDD. The 3p21.1 MDD-BIP finding should be interpreted with caution as the most significant SNP did not replicate in MDD samples, and genotyping in independent samples will be needed to resolve its status.

Molecular Psychiatry (2013) 18, 497-511; doi:10.1038/mp.2012.21; published online 3 April 2012

**Keywords:** genetics; genome-wide association study; major depressive disorder; mega-analysis; meta-analysis

#### Introduction

Major depressive disorder (MDD) is a genetically complex trait. The lifetime prevalence of MDD is ~15%. As a recurrent course is most common, MDD is accompanied by considerable morbidity excess mortality and substantial costs. The World Health Organization projects MDD to be the second leading cause of disability by 2020.

The heritability of MDD is 31–42%, <sup>10</sup> although certain subsets of MDD may be more heritable (for example, recurrent, early-onset MDD or clinically ascertained MDD). <sup>11,12</sup> The modest heritability of MDD could reasonably be expected to complicate attempts to identify genetic loci that confer risk or protection. However, heritability is not necessarily a key determinant for the identification of strong and replicable genetic associations. <sup>13</sup> For example,

there have been notable successes in genome-wide searches<sup>14</sup> for susceptibility loci for breast cancer (heritability  $\sim 25\%$ ), lung cancer (26%), Type 2 diabetes mellitus (26%), Parkinson's disease (34%), multiple sclerosis (41%), systemic lupus erythematosus (44%) and age-related macular degeneration (46%).<sup>15–20</sup>

The most important determinant of success in identifying associations for complex traits is the underlying genetic architecture (that is, the number of loci and their frequencies, effect sizes, modes of action and interactions with other genetic loci and environmental factors). Heritability alone reveals little about genetic architecture. In the absence of a detailed understanding of genetic architecture, sample size and phenotypic homogeneity are the critical determinants of discovering robust and replicable genetic associations. Eight genome-wide association studies (GWAS) for MDD have been published,21-28 with one locus of possible genome-wide significance.26 When these studies were planned, there were few data to guide sample size requirements. Several had historically notable sample sizes and far more comprehensive genomic coverage than any prior study. However, it has become clear that the effects of

Correspondence: Dr PF Sullivan, Department of Genetics, CB #7264, 5097 Genomic Medicine, University of North Carolina, Chapel Hill, NC 27599-7264, USA.

E-mail: pfsulliv@med.unc.edu

<sup>1</sup>See Appendix for list of collaborators.

Received 6 December 2011; revised 19 January 2012; accepted 13 February 2012; published online 3 April 2012



common genetic variants for most complex human diseases are considerably smaller than many had anticipated. This implies that sample sizes necessary for identification of common genetic main effects were far larger than could be attained by single-research groups or existing consortia.

Meta-analysis has thus become essential in human complex trait genetics. There are now many examples where meta-analyses combining dozens of primary data sets have illuminated the genetic architecture of complex traits such as height,<sup>29</sup> body mass,<sup>30</sup> Crohn's disease<sup>31</sup> and Type 2 diabetes mellitus.<sup>32</sup> Following this proven model, we created the Psychiatric GWAS Consortium (PGC)<sup>33,34</sup> to conduct field-wide combined analyses for MDD as well as ADHD,<sup>35</sup> bipolar disorder (BIP),<sup>36</sup> schizophrenia<sup>37</sup> and autism. Our goal was to evaluate the evidence for common genetic variation in the etiology of MDD using the largest and most comprehensively genotyped sample hitherto collected.

# Materials and methods

#### Overview

In the discovery phase, we conducted mega-analysis for MDD using nine primary samples. All groups uploaded individual genotype and phenotype data to a central computer cluster, and the PGC Statistical Analysis Group conducted uniform quality control, imputation and association analyses. Mega-analysis and meta-analysis yield essentially identical results in theory<sup>38</sup> and in practice.<sup>37</sup> However, mega-analysis of individual phenotype and genotype data was used to allow more consistent quality control and analysis, disentangle the issue of control subjects used by multiple studies, allow conditional analyses and to enable efficient secondary analyses. In the replication phase, we evaluated the top loci in seven independent MDD samples and in the PGC BIP megaanalysis36 given the phenotypic and genetic overlap between MDD and BIP.39,40 Finally, we conducted exploratory analyses of MDD sub-phenotypes in an attempt to index clinical heterogeneity. Most of the primary genotype data and the results have been deposited in the NIMH Human Genetics Initiative Repository (Supplementary Methods).

#### Samples

Full sample details are given in the Supplementary Methods. For the discovery phase, we included all identified primary MDD samples<sup>21–25,27,28,41</sup> that conducted genome-wide genotyping (>200K single-nucleotide polymorphisms (SNPs)) on individual subjects of European ancestry. Cases were required to have diagnoses of DSM-IV lifetime MDD established using structured diagnostic instruments from direct interviews by trained interviewers (two studies required recurrent MDD and one recurrent, early-onset MDD) or clinician-administered DSM-IV checklists. Most studies ascertained cases from clinical sources, and most controls were randomly selected from the population and screened for lifetime history of MDD.

The sample sizes reported here differ from the primary reports due to different quality control procedures and apportioning of overlapping controls. We determined the relatedness of all pairs of individuals using genotypes of SNPs present on all platforms, and excluded one of each duplicate or closely related pair. The discovery mega-analysis consists of 18759 independent and unrelated subjects of recent European ancestry (9240 MDD cases and 9519 controls).

There were two sets of analyses conducted on additional samples. For MDD replication, we used meta-analysis to combine the autosomal discovery results (554 SNPs with P < 0.001) with summary association results from independent samples42-48 (6783 MDD cases and 50695 controls). The discovery SNP results were grouped into regions defined by linkage disequilibrium using an iterative process after ranking all SNPs by association P-value: for SNPs with  $r^2 > 0.2$  in a 1Mb window (based on HapMap3) CEU+TSI), the most strongly associated SNP was retained. In addition, given the close genetic and phenotypic relationships between MDD and BIP, we combined the MDD discovery sample and the PGC BIP mega-analysis<sup>36</sup> to evaluate 819 autosomal SNPs with P < 0.0001 in either of the separate analyses. (See Sklar et al.36 for complete description). In effect, we tested for associations with a more broadly defined mood disorder phenotype. After resolving overlapping control samples, there were 32 050 independent subjects (9238 MDD cases/8039 controls and 6998 BIP cases/ 7775 controls).

### SNP genotyping

SNP genotyping is described in the Supplementary Methods and summarized in Supplementary Table S2. Briefly, all samples were genotyped with SNP arrays intending to provide genome-wide coverage of common variation. Imputation was performed within each study in batches of 300 individuals. Batches were randomly assigned to keep the same case—control ratios as in the primary studies. We used Beagle 3.0.4 [ref. 49] with the CEU+TSI HapMap3 data as reference (410 phased haplotypes)<sup>50</sup> to impute 1 235 109 autosomal SNP allele dosages. We had previously evaluated this approach by masking and then imputing genotyped loci and found a high correlation between the genotyped and imputed allele dosages (Pearson r > 0.999).<sup>37</sup>

# Quality control

Genotyping coordinates are given in NCBI Build 36/UCSC hg18. For the discovery phase, quality control was conducted separately for each resolved sample. SNPs were removed for missingness  $\geqslant 0.02$ , case—control difference in SNP missingness  $\geqslant 0.02$ , SNP frequency difference from HapMap3 [ref. 50]  $\geqslant 0.15$ , or exact Hardy–Weinberg equilibrium test in controls  $< 1 \times 10^{-6}$ . Subjects were removed for excessive missingness ( $\geqslant 0.02$ ), identical or closely related to any subject in any sample ( $\hat{\pi} > 0.2$  based on common autosomal SNPs) and if there was evidence for



diverging ancestry. Ancestry was estimated using multidimensional scaling applied to 8549 SNPs directly genotyped in all samples and in approximate linkage equilibrium.

# Statistical analysis

We used logistic regression to test the association of MDD diagnosis with imputed SNP dosages under an additive model. This test has correct type 1 error with imputed data.<sup>51</sup> Covariates included study indicators and five principal components reflecting ancestry. For the MDD replication samples, the top SNP in each region was tested for association, and fixed-effect meta-analysis was used for the replication samples, and for the combination of PGC discovery and replication data.

#### Chromosome X

Female sex is an established risk factor for MDD, and analysis of chromosome X is particularly salient (although not included in many GWAS). Imputation using HapMap3 reference genotypes (as in the primary analysis) was not possible due to persisting difficulties with the phased chromosome X data, but we were able to impute using 1000 Genomes Project data. 52 Chromosome X imputation was conducted for subjects passing QC for the autosomal analysis and with SNP call rates >0.95 for chrX SNPs. SNPs with missingness ≥0.05 or HWE  $P < 10^{-6}$  (females) were excluded. Phasing was conducted using MACH<sup>53</sup> in female subjects. Imputation was performed separately for males and females using MINIMAC with haplotypes from 381 European samples from the 1000 Genomes Project as reference (1.45 million chrX SNPs, but many were monomorphic in our sample). Chromosome X SNPs in HapMap2 and HapMap3 with  $r^2 \ge 0.3$  were carried forward for further analysis (122602 SNPs). Association was tested under an additive logistic regression model implemented in PLINK (meta-analysis of male and female association results) using the same covariates as for the autosomal analysis.

## Secondary analyses

MDD is suspected to have important phenotypic heterogeneity, and association analyses might yield clearer findings if clinical features are incorporated into genetic analyses. Thus, we conducted predefined secondary analyses intended to index plausible sources of phenotypic heterogeneity in MDD cases. (a) Sex. As the lifetime prevalence of MDD is approximately two times greater in females,54,55 we conducted association analyses separately in males and females to evaluate sex-specific genetic risk variants. (b) Recurrence and age of onset. As recurrence and age of onset may index heterogeneity in MDD, 10,56 we analyzed early-onset MDD (≤30 years), recurrent MDD (≥2 episodes), pre-pubertal onset MDD ( $\leq$  12 years, see Weissman et al.<sup>57</sup>) and age of onset of MDD as a quantitative trait. (c) Symptoms. As MDD is phenotypically heterogeneous, we obtained MDD symptom data from 88% of all MDD

cases (the nine DSM-IV 'A' criteria disaggregated to code increase and decrease in appetite, weight, sleep and energy level). Latent class cluster models were fit to binary responses for these MDD 'A' criteria, and identified three latent classes in MDD cases characterized by weight loss/insomnia, weight gain/ insomnia and hypersomnia (see Supplementary Methods for more details). The predominant latent class was consistent with 'typical' MDD58,59 and we analyzed cases indexed by this class.

#### Results

In the discovery stage, we conducted a GWAS megaanalysis for MDD in 18759 independent and unrelated subjects of recent European ancestry (9240 MDD cases and 9519 controls, Table 1). There were considerable similarities across samples: all subjects were of European ancestry, all cases were assessed with validated methods and met DSM-IV criteria for lifetime MDD, and most controls were ascertained from community samples and screened to remove individuals with lifetime MDD (Supplementary Methods and Supplementary Figures S6–S9).

Table 1 Cases and controls used in discovery and replication phases

Phase	Sample	Subjects	MDD case	Control
Discovery	GAIN	3461	1696	1765
	GenRED	2283	1030	1253
	GSK	1751	887	864
	MDD2000-QIMR_610	1184	433	751
	MDD2000-QIMR 317	1977	1017	960
	MPIP	913	376	537
	RADIANT + Bonn/ Mannheim	2225	935	1290
	RADIANT	3213	1625	1588
	STAR*D	1752	1241	511
MDD	deCODE	34 229	1067	33 162
replication	GenPod/NEWMEDS	5939	477	5462
*	Harvard i2b2	902	460	442
	PsyCoLaus	2794	1303	1491
	SHIP-LEGEND	1806	313	1493
	TwinGene	9562	1861	7701
	GenRED2/	2246	1302	944
	DepGenesNetworks			
MDD-BIP	PGC MDD	17 277	9238	8039
cross-disorder	PGC BIP	14 773	6998	7775
Totals	Discovery	18759	9240	9519
	MDD replication	57 478	6783	50695
	MDD-BIP cross- disorder	32 050	16 236	15 814

Abbreviations: BIP, bipolar disorder; MDD, major depressive disorder; PGC, Psychiatric GWAS Consortium. Sample acronyms are defined in the Supplementary Methods. Sample sizes differ from the primary publications due to varying quality control procedures and re-allocation of controls that were used in multiple studies.



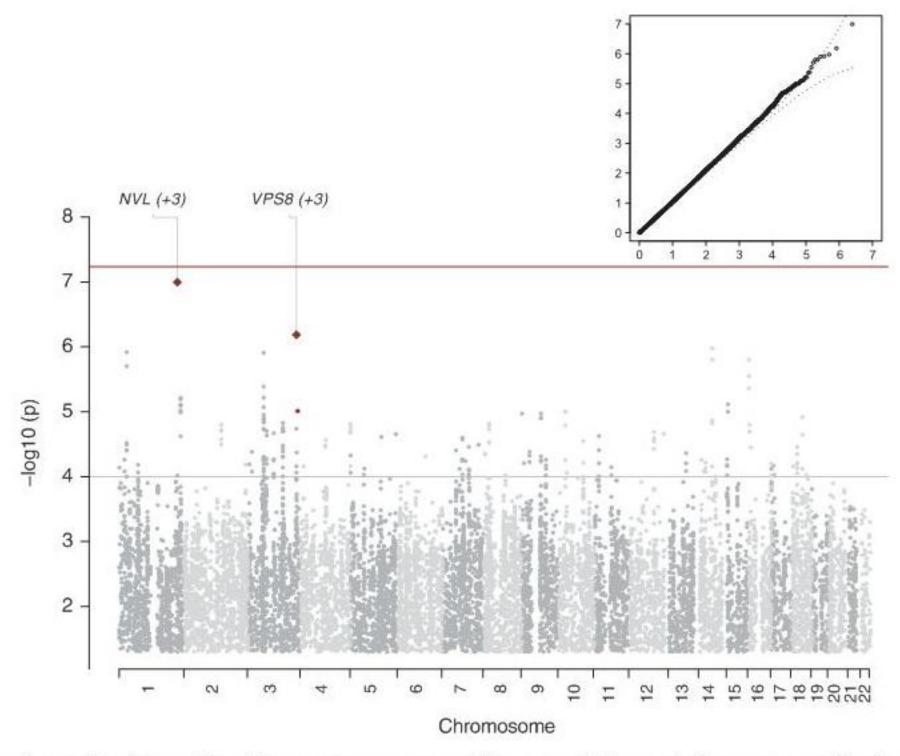


Figure 1 Overview of results from the discovery genome-wide association study mega-analysis for major depressive disorder. The inset shows the quantile–quantile plot (observed by expected P-values on the  $-\log_{10}$  scale) showing conformity of the observed results to expectations under the null. The main part of the figure shows the Manhattan plot ( $-\log_{10}$  of the P-value by genomic location) of the association results in genomic context. No region exceeded genome-wide significance in the discovery sample.

An overview of the results is in Figure 1. The quantile–quantile plot shows conformity of the observed results to those expected by chance. The overall  $\lambda$  [ref. 60] (the ratio of the observed median  $\chi^2$  to that expected by chance) was 1.056 and  $\lambda_{1000}$  was 1.006 (that,  $\lambda$  rescaled to a sample size of 1000 cases and 1000 controls). The Manhattan plot depicts the association results in genomic context, and no region exceeded genome-wide significance ( $P < 5 \times 10^{-8}$ ). We conducted imputation with Hap-Map2 [ref. 63] and 1000 Genomes Project data in addition to HapMap3 and obtained similar genome-wide association results.

The minimum P-values for the main analysis were at rs11579964 (chr1: 222605563 bp,  $P = 1.0 \times 10^{-7}$ ) and rs7647854 (chr3:186 359 477 bp,  $P = 6.5 \times 10^{-7}$ ; Supplementary Tables S16 and S17). Bioinformatic analyses of 201 SNPs with P < 0.0001 and the 1655 SNPs in moderate linkage disequilibrium (LD,  $r^2 > 0.5$ ) showed no overlap with literature findings in the NHGRI GWAS catalog,14 with transcripts differentially expressed in post-mortem brain samples of individuals with MDD,64 or with SNPs that were genome-wide significant or notable in the PGC association analyses of ADHD, BIP, or schizophrenia. We noted that a few of these 201 SNPs were  $\pm$  20 kb of genes previously studied in MDD (ADCY9 and PDLIM5),65 or notable in prior hypotheses of the etiology of psychiatric disorders (GRM7, HTR7 and RELN).

In the analyses of chrX, no SNP achieved genome-wide significance in analysis of all samples or in separate analyses of females and males. The most significant SNP across all analyses was rs12837650 in the female-only analysis ( $P = 5.6 \times 10^{-6}$ ).

In the MDD replication phase, 554 SNPs with P < 0.001 from the discovery mega-analysis were evaluated in independent samples totaling 6783 MDD cases and 50695 controls (Table 1). For these SNPs, the replication samples did not produce logistic regression  $\beta$  coefficients in the same directions as the discovery analysis more frequently than expected by chance (sign test, P = 0.05). No SNP exceeded genome-wide significance for a joint analysis of the discovery and replication samples (Supplementary Table S18). The minimum P-value was for rs1969253 ( $P = 4.8 \times 10^{-6}$ , chr3:185359206), located in an intron of the disheveled 3 gene (DVL3). Given the probable etiological heterogeneity of MDD, we also conducted replication analyses of subtypes of MDD. For analyses restricted to female cases and controls, the direction of effects tended to be consistent between the discovery and replication samples (sign test, P=0.006) although no SNP neared genome-wide significance (minimum  $P = 4.8 \times 10^{-6}$  at rs1969253, chr3: 185359206). For male cases and controls, the sign test was not significant (P=0.17), and no SNP was genome-wide significant (minimum  $P=3.8\times10^{-7}$  at rs2498828, chr14:91491028). For recurrent MDD, there was

greater evidence of consistency of effects between the discovery and replication samples (sign test, P=0.006), and the minimum P-value was  $1.0\times10^{-6}$ at rs2668193 (chr3:185419374).

In the MDD-BIP cross-disorder analyses, we evaluated support for a broader mood disorders phenotype. Due to the need to resolve overlapping subjects, the sample sizes and P-values differ from the numbers given above. There were 32 050 independent subjects (9238 MDD cases/8039 controls and 6998 BIP cases/ 7775 controls), 160 SNPs with P < 0.0001 in the MDD discovery phase and 659 SNPs in the BIP discovery phase (no SNP had P < 0.0001 for both MDD and BIP). First, in aggregate, SNPs selected from the BIP discovery phase showed evidence of replication in MDD (65 of 100 independent SNPs had logistic regression  $\beta$ -coefficients in the same direction in both BIP and MDD, sign test, P=0.0018). However, the reverse comparison was near chance level (46 of 76 independent SNPs selected from MDD analyses had consistent effects in BIP, sign test P = 0.042). Second, in the combined analysis of these 819 SNPs, 15 exceeded genome-wide significance ( $P < 5 \times 10^{-8}$ ) and all were in a 248kb interval of high LD on 3p21.1 (chr3:52 425 083–53 822 102, minimum  $P = 5.9 \times 10^{-9}$ at rs2535629; Supplementary Table S19, Supplementary Figure S20). The 116 SNPs in this region were all selected from the BIP sample (P < 0.0001), and none from the MDD sample. The region of strongest signal contained 84 SNPs from rs2878628 to rs2535629 (chr3:52 559 755-52 808 259). This region contains multiple genes: PBRM1 (chromatin remodeling and renal cell cancer), GNL3 (stem cell maintenance and tumorgenesis), GLT8D1, SPCS1, NEK4, the ITIH1-ITIH3-ITIH4 gene cluster (possibly involved in cancer), four micro-RNA and three small nucleolar RNA genes. This region had genome-wide significant findings in

three prior GWAS: rs1042779 (chr3:52796051) for BIP,66 rs736408 (chr3:52810394) for a combined BIP-schizophrenia phenotype<sup>36</sup> and rs2251219 (chr3:52559827) for a combined MDD-BIP phenotype<sup>67</sup> (although a reanalysis suggested most of the signal arose from the BIP group).68 The PGC analyses include nearly all subjects in the prior reports, and thus cannot be considered independent evidence. As discussed below, we advise caution in interpreting this result.

We conducted a set of pre-planned secondary analyses using the discovery samples. These analyses presume that observable clinical features allow the ability to index etiological genetic heterogeneity. The clinical features we chose—sex, age of onset, recurrence and typicality—had a rationale from genetic epidemiological studies, and were comparably assessed in most of the discovery samples (Supplementary Methods). The results are summarized in Table 2, and detail on regions with  $P < 1 \times 10^{-5}$  provided in Supplementary Table S21. Parallel analyses of chrX SNPs for these secondary phenotypes also failed to identify convincing associations. Given the level of resolution afforded by our sample size and genotyping, none of these clinical features successfully indexed the clinical heterogeneity of MDD (all  $\lambda_{1000}$ values were small and no P-value approached genome-wide significance). However, we note that the total samples available for these analyses were small for a GWAS of a complex and modestly heritable trait. Moreover, as described above, SNPs identified in analyses by sex and for recurrent MDD did not yield genome-wide significance in replication in external samples.

Finally, under the assumptions that MDD is highly polygenic and that power is not optimal,69,70 we conducted risk profile analyses using the MDD

Table 2 Summary of secondary analyses

Secondary analysis	Cases	Controls	$\lambda_{1000}$	Best finding
Primary analyses as reference				
Discovery phase	9240	9519	1.008	rs11579964, chr1:222,605,563, $P = 1.0 \times 10^{-7}$
Combined discovery plus replication	16 023	60 214	NA	rs1969253, chr3:185,359,206, $P = 3.4 \times 10^{-6}$
(a) By sex				
Females	6118	5366	1.005	rs1969253, chr3:185,359,206, $P = 1.0 \times 10^{-7}$
Males	3122	4153	0.999	rs7296288, chr12:47,766,235, $P = 2.3 \times 10^{-7}$
(b) Onset and recurrence				
Recurrent	6743	9519	1.006	rs4478239, chr4:188,428,300, $P = 4.7 \times 10^{-7}$
Recurrent early onset (≤ 30 years)	4710	9519	1.007	rs1276324, chr18:19,172,417, $P = 6.7 \times 10^{-7}$
Childhood onset (≤ 12 years)	774	6077	1.015	rs4358615, chr6:27,106,546, $P = 2.3 \times 10^{-6}$
Age of onset as a continuous trait	8920	-	0.998	rs16948388, chr17:45,242,175, $P = 1.0 \times 10^{-6}$
(c) Sub-type analysis				
Latent class 1 (weight loss and insomnia)	3814	9519	1.007	rs9830950, chr3:61,097,358, $P = 1.0 \times 10^{-7}$

 $<sup>\</sup>lambda_{1000}$  is the genomic inflation factor scaled to a constant sample size of 1000 cases and 1000 controls. Age of onset analyzed using a square root transformation.



discovery phase samples. We split these samples into two sets and used 80% to develop a risk profile to predict case—control status in the remaining 20% of the samples (Supplementary Methods). These analyses showed a modest ( $R^2 = 0.6\%$ ) but highly significant ( $P < 10^{-6}$ ) predictive capacity.

#### Discussion

This is the largest and most comprehensive genetic study of MDD. There were 18 759 subjects in the MDD discovery phase, 57 478 subjects in the MDD replication phase and 32 050 subjects in cross-disorder analyses of MDD and BIP. Analyses included the primary phenotype of MDD, three sets of autosomal imputation data (HapMap3, HapMap2 and 1000 Genomes), analysis of chrX, and multiple sub-phenotypes selected based on prior epidemiological and genetic epidemiological studies (Table 2).

The primary finding of this paper is that no locus reached genome-wide significance in the combined discovery and replication analysis of MDD. Our results are consistent with null results from other MDD meta-analyses using subsets of the present sample. 22,23,25,28 The risk profile analyses are consistent with the presence of genetic effects, which our analysis was underpowered to detect. Although not significant, several analyses (that is, MDD, femalesonly and recurrent MDD) pointed at a region on chr3:185.3 Mb near the gene (DVL3) encoding the Wnt-signaling phosphoprotein disheveled 3. DVL3 transcripts are decreased in the nucleus accumbens of individuals with MDD<sup>71</sup> and are overexpressed in the leukocytes of individuals reporting social isolation,72 and the DVL3 protein product is upregulated in rats after treatment with antipsychotics.73,74 The chr3:185.3 Mb region also contains several serotonin receptors (HTR3D, HTR3C and HTR3E). However, none of these analyses were strongly compelling.

We advise caution in interpreting the evidence for association of SNPs on 3p21.1 with a broad mood disorder phenotype based on the combined PGC MDD and BIP discovery samples (minimum  $P = 5.9 \times 10^{-9}$ at rs2535629, chr3:52808259). Evidence to date suggests that this locus is associated with BIP66 and schizophrenia,36 and an even broader association was suggested by a PGC meta-analysis of MDD, BIP, schizophrenia, ADHD and autism. This separate PGC analysis included nearly all of the samples reported here, and the top finding was again for rs2535629 ( $P = 2.5 \times 10^{-12}$ ). The BIP sample made the strongest contribution to the combined analysis (OR = 1.15) followed by schizophrenia (OR = 1.10), MDD (OR = 1.10), ADHD (OR = 1.05) and autism (OR = 1.05). Although a five-disorder model was statistically the most likely and significant heterogeneity of ORs across disorders was not detected, the MDD replication data reported here raise some questions whether MDD also has an association in this region. We obtained MDD replication data for two SNPs on 3p21.1 (Supplementary Table S18), and

observed no additional support for association for rs2535629 (discovery P = 0.0001, replication P = 0.56, combined P = 0.002) or rs3773729 (discovery P = 0.00022, replication P = 0.022 with different direction of association, combined P = 0.0095). Similarly, replication samples for the PGC BIP study<sup>36</sup> provided little additional evidence for two SNPs in this region (rs736408 and rs3774609). In contrast, stronger evidence for association was observed in the PGC SCZ study after adding data from replication samples (rs2239547, chr3:52830269; discovery  $P = 2.2 \times 10^{-6}$ , replication P = 0.003, combined  $P = 6 \times 10^{-8}$ ). The PGC analyses reported here include most samples used in previous reports of genome-wide significant association in this region for BIP,66 BIP-SCZ36 and MDD-BIP,67 underscoring the need for analysis of independent samples.

Thus, this locus has produced genome-wide significant evidence for association to BIP,66 with evidence for broader set of associated phenotypes (especially SCZ).<sup>36,75</sup> The inconsistency of results in large MDD and BIP replication samples suggests that the current finding should be viewed with caution. If specific genetic variants can be identified that underlie the BIP association in this region, it will be possible to evaluate their degree of association with other phenotypes including MDD. A continuing challenge in this field is the differentiation between true pleiotropy (genetic risk factors associated with distinct phenotypes) versus diagnostic misclassification (phenotypic overlap in cases with different genetic risk factors, leading to diagnostic 'error'). There is a robust and evolving literature in psychiatric genetic epidemiology regarding the degree of independence versus co-segregation of current diagnostic categories, as well as the occurrence and familial risks of cases with mixed syndromes and changes in clinical syndromes over time. It is likely that analyses of large-scale genomic data will provide new perspectives on these issues.

On the whole, these results for MDD are in sharp contrast to the now substantial experience with GWAS for other complex human traits. GWAS has been a widely applied (>860 studies) and remarkably successful technology in the identification of >2200 strong associations for a wide range of biomedical diseases and traits. The vast majority of GWAS with sample sizes >18 000 found at least one genome-wide significant finding (178/189 studies, 94.2%), and yet we found no such associations for MDD. What implications do these null results have for research into the genetics of MDD? Why might the results have turned out this way? We frame our discussion around a series of implications and hypotheses for future research.

#### Caveat: genome coverage

The genotyping chips used by the primary studies had good coverage of common variation across the genome. It is possible that genetic variation important in the etiology of MDD was missed if LD was



insufficient with genotyped variants. In particular, we had suboptimal or poor coverage of uncommon variation (MAF 0.005-0.05), and we have not yet analyzed copy number variation (PGC analyses of copy number variants are underway). In addition, the discovery studies used eight genotyping platforms, and it is possible that causal common variation was missed because not all platforms had good coverage in the same regions. However, these caveats should be interpreted in the context of the many successful GWAS meta-analyses that faced similar limitations.

#### Implication: exclusions

For the phenotype of MDD, we can exclude combinations of MAF and effect size with 90% power. The exclusionary regions are genotypic relative risks (GRRs)  $\geq 1.16$  for MAF 0.30–0.50,  $\geq 1.18$  for MAF 0.20-0.25,  $\geq 1.21$  for MAF 0.15,  $\geq 1.25$  for MAF 0.10and ≥1.36 for MAF 0.05. The technologies we used for genotyping probably captured the more common variation well, but were progressively less comprehensive at lower MAF. These exclusion GRRs equate to a variance in liability of  $\sim 0.5\%$ . Since this study was conceived, we have gained considerable knowledge about the likely effect sizes of variants contributing to common complex disease. Therefore, these exclusion architectures are not unexpected.

# Implication: future sample sizes

Association studies in psychiatry have traditionally had small sample sizes (<1000 total subjects). For even a modest amount of genotyping in a candidate gene (10 SNPs), 90% power to detect a genotypic relative risk of 1.16 at MAF 0.30 requires 3600 cases and 3600 controls. It is possible to speculate that larger genetic effects exist at smaller MAF (0.005-0.05). Investigators, reviewers and editors need to be cognizant of these requirements, as smaller samples may be difficult to interpret due to inadequate power.

# Hypothesis: suboptimal phenotype

MDD is defined descriptively without reference to any underlying biology, biomarker or pathophysiology. 76.77 Genetic epidemiological studies have suggested that subtypes of MDD might be more familial or have higher heritability (for example, recurrent MDD,10 recurrent early-onset MDD<sup>11</sup> and clinically ascertained MDD<sup>12</sup>). It is possible that well-powered genetic studies of these less common and arguably more heritable forms of MDD would have greater success. However, a sizable fraction of our cases were from hospital sources and our analyses of recurrent MDD and recurrent early-onset MDD were unrevealing, although these observations are qualified by the smaller sample sizes. The selection of a phenotype for genetic studies presents a dilemma for MDD researchers: larger samples which are more representative of the population can be achieved for broadly defined MDD, whereas restricted phenotypes may be more familial but are more difficult to recruit in large numbers from the population. Some other forms of

MDD can only be defined using methods that are difficult to operationalize in large samples (for example, extensive clinical interviews, biological assays like repeated hormone measures or brain imaging).

Hypothesis: MDD is particularly heterogeneous An early criticism of GWAS meta-analysis was that combining samples from multiple sites to increase sample size would introduce crippling heterogeneity. This concern was not borne out by experience. Indeed, the number of significant associations has increased as more individual studies have been combined using meta-analysis for other heterogeneous diseases such as Type 2 diabetes mellitus,32 inflammatory bowel disease78 and multiple cancers<sup>79,80</sup> along with anthropometric traits like height<sup>29</sup> and body mass.30 It is possible that MDD might be exceptional, and have greater clinical and etiological heterogeneity, as well as non-genetic phenocopies. The different endorsement rates of the MDD criteria between cohorts may support this conjecture (Supplementary Table S12). Higher heterogeneity implies reduced statistical power as the genetic effect size distribution will be diluted. Higher heterogeneitythat is, many different 'types' of MDD—would suggest that identifying more optimal MDD-related phenotypes may be a practical step forward if adequate sample sizes could be achieved.

Hypothesis: MDD has a divergent genetic architecture The unquestionable success of GWAS in identifying strong and replicable associations for so many human diseases is intriguing given that the additive logistic regression model generally used is rudimentary. The dependent variable is disease status (1 = yes, 0 = no), the continuous independent variable is a SNP genotype (coded as the number of copies of the minor allele or as the imputed allelic dosage, 0-2), plus covariates like principal components to adjust for ancestry. It is possible that MDD is distinctive, and that the additive logistic model is not an adequate approximation of the genetic architecture of MDD (see Kohli *et al.*<sup>26</sup>). There are numerous alternative genetic architectures, although many are at least partly detectable using an additive model.

There has been considerable speculation that geneenvironment interactions are particularly salient for MDD. It is possible that MDD can only be understood if genetic and environmental risk factors are modeled simultaneously. The most prominent example for MDD is the moderation of environmental stress by genetic variation in a functional polymorphism near the serotonin transporter (5-HTTLPR).81 As in the initial report in 2003, some evidence has supported this GxE interaction<sup>82,83</sup> other analyses have not<sup>84,85</sup> and the original finding (from a longitudinal study in Dunedin, New Zealand) did not replicate in an independent longitudinal study in Christchurch, New Zealand.<sup>86</sup> A practical issue is again the tradeoff between relatively inexpensive, cross-sectional assessments of MDD case and control status and the



detailed longitudinal data required to accurately characterize environmental stressors.

Hypothesis: insufficient power

Although this is one of the largest GWAS analyses ever conducted in psychiatry (second only to the PGC schizophrenia study),<sup>37</sup> the sample size may still have been too small. The very small but highly significant variance explained in the polygenic risk score analyses ( $P < 10^{-6}$  testing one hypothesis) is consistent with a hypothesis of insufficient power in this study.

The overlapping hypotheses listed above imply that an association study for MDD has less power than for studies of many other complex genetic disorders. However, even if the hypotheses listed above were not the contributing factors, we may still conclude that insufficient power underpins the dearth of results from this mega-analysis by considering the epidemiology of MDD. MDD is highly prevalent in the population, implying that cases are less extreme in the population compared with the controls and therefore larger sample sizes are required. For example, we have calculated that sample sizes 2.4 times larger are needed for GWAS of MDD (prevalence 0.15) compared with schizophrenia (prevalence 0.007).25,87 Furthermore, if we assume as a first approximation that the number and frequency distribution of risk alleles is the same for MDD and schizophrenia, then samples sizes five times larger are needed to account for the lower heritability of MDD (0.37)10 compared with schizophrenia (0.81),88 implying lower effect sizes at each locus (see Wray et al.25 and Yang et al.87 for details). Obtaining a total sample size on the order of 100 000 MDD cases plus controls would require a significant investment for ascertainment, phenotyping, DNA collection and genotyping, but could be accomplished using national registers or via electronic medical records of large health care organizations. Such sample sizes have been achieved in studies of quantitative traits and yielded large numbers of genome-wide significant results.29,30

# Conclusion

This report contributes important new data about the nature of MDD.33 Unlike a large number of other GWAS that provide precious etiological clues, our analyses are more informative about what MDD is not. The path to progress is likely to be more difficult for MDD, but there are a number of rational next steps. We have offered some ideas about how progress might be achieved. The PGC is conducting GWAS metaanalyses across ADHD, autism, BIP, MDD and schizophrenia, and these very large analyses could identify genetic variants that predispose or protect to psychiatric disorders in general, and thus provide key initial findings that could be used to disentangle the etiology of MDD. Analysis of copy number variation has provided important leads for autism and schizophrenia, and might prove informative for MDD.

# **Conflict of interest**

Elisabeth Binder received grant support from Pharmaneuroboost. Hans J Grabe reports receiving funding from: German Research Foundation; Federal Ministry of Education and Research Germany; speakers honoraria from Bristol-Myers Squibb, Eli Lilly, Novartis, Eisai, Wyeth, Pfizer, Boehringer Ingelheim, Servier and travel funds from Janssen-Cilag, Eli Lilly, Novartis, AstraZeneca and SALUS-Institute for Trend-Research and Therapy Evaluation in Mental Health. Florian Holsboer is a shareholder of Affectis Pharmaceuticals and co-founder of HolsboerMaschmeyer-NeuroChemie. James A Knowles is on the Scientific Advisory Committee for Next-Generation Sequencing of Life Technologies and is a technical advisor to SoftGenetics. Pierandrea Muglia was a full-time employee of GSK when the work was performed. Bertram Müller-Myhsok consulted for Affectis Pharmaceuticals. Matthias Nauck reports funding from: the Federal Ministry of Education and Research Germany, Bio-Rad Laboratories, Siemens AG, Zeitschrift für Laboratoriumsmedizin, Bruker Daltronics, Abbott, Jurilab Kuopio, Roche Diagnostics, Dade Behring, DPC Biermann and Becton Dickinson. Rudolf Uher has received funding from a number of pharmaceutical companies as part of the European Union Innovative Medicine Initiative funded NEW-MEDS project. Federica Tozzi was a full-time employee of GSK when the work was performed. Henry Völzke reports funding from: Sanofi-Aventis, Biotronik, the Humboldt Foundation, the Federal Ministry of Education and Research (Germany) and the German Research Foundation. No other author reports a conflict of interest.

#### Acknowledgments

We thank the thousands of people with MDD who donated time and effort to make this research possible. The PGC was funded by NIMH Grants MH085520 (lead PI PFS) and MH080403. We thank our colleagues in the PGC Bipolar Disorder Working Group who allowed pre-publication access to their GWAS mega-analysis results for the MDD-BIP crossdisorder analyses. The Bonn/Mannheim (BoMa) GWAS was supported by the German Federal Ministry of Education and Research, within the context of the National Genome Research Network 2 (NGFN-2), the National Genome Research Network plus (NGFNplus) and the Integrated Genome Research Network (IG) MooDS (Grant 01GS08144 to S Cichon and MM Nöethen, and Grant 01GS08147 to M Rietschel). The work at deCODE was funded by European Union Grants LSHM-CT-2006-037761 (Project SGENE), PIAP-GA-2008-218251 (Project PsychGene) and HEALTH-F2-2009-223423 (Project PsychCNVs). GenPod was funded by the Medical Research Council (UK) and supported by the Mental Health Research Network. Genotyping of the GenPod sample was funded by the Innovative Medicines



Initiative Joint Undertaking under Grant Agreement number 115008 (NEWMEDS). The GenRED GWAS project was supported by NIMH R01 Grants MH061686 (DF Levinson), MH059542 (WH Coryell), MH075131 (WB Lawson), MH059552 (JB Potash), MH059541 (WA Scheftner) and MH060912 (MM Weissman). We acknowledge the contributions of Dr George S Zubenko and Dr Wendy N Zubenko, Department of Psychiatry, University of Pittsburgh School of Medicine, to the GenRED I project. The NIMH Cell Repository at Rutgers University and the NIMH Center for Collaborative Genetic Studies on Mental Disorders made essential contributions to this project. Genotyping was carried out by the Broad Institute Center for Genotyping and Analysis with support from Grant U54 RR020278 (which partially subsidized the genotyping of the GenRED cases). Collection and quality control analyses of the control data set were supported by grants from NIMH and the National Alliance for Research on Schizophrenia and Depression. We are grateful to Knowledge Networks (Menlo Park, CA, USA) for assistance in collecting the control data set. We express our profound appreciation to the families who participated in this project, and to the many clinicians who facilitated the referral of participants to the study. The Depression Genes and Networks ARRA grant was funded by RC2MH089916. Funding for the Harvard i2b2 sample was provided by a subcontract to RH Perlis and JW Smoller as part of the i2b2 Center (Informatics for Integrating Biology and the Bedside), an NIH-funded National Center for Biomedical Computing based at Partners HealthCare System (U54LM008748, PI: IS Kohane), and by an NIMH Grant to RH Perlis (MH086026). Max Planck Institute of Psychiatry MARS study was supported by the BMBF Program Molecular Diagnostics: Validation of Biomarkers for Diagnosis and Outcome in Major Depression (01ES0811). Genotyping was supported by the Bavarian Ministry of Commerce, and the Federal Ministry of Education and Research (BMBF) in the framework of the National Genome Research Network (NGFN2 and NGFN-Plus, FKZ 01GS0481 and 01GS08145). The Netherlands Study of Depression and Anxiety (NES-DA) and the Netherlands Twin Register (NTR) contributed to GAIN-MDD and to MDD2000. Funding was from: the Netherlands Organization for Scientific Research (MagW/ZonMW Grants 904-61-090, 985-10-002, 904-61-193, 480-04-004, 400-05-717, 912-100-20; Spinozapremie 56-464-14192; Geestkracht program Grant 10-000-1002); the Center for Medical Systems Biology (NWO Genomics), Biobanking and Biomolecular Resources Research Infrastructure, VU University's Institutes for Health and Care Research and Neuroscience Campus Amsterdam, NBIC/BioAssist/ RK (2008.024); the European Science Foundation (EU/QLRT-2001-01254); the European Community's Seventh Framework Program (FP7/2007-2013); EN-GAGE (HEALTH-F4-2007-201413); and the European Science Council (ERC, 230374). Genotyping was funded in part by the Genetic Association Information

Network (GAIN) of the Foundation for the US National Institutes of Health, and analysis was supported by grants from GAIN and the NIMH (MH081802). CM Middeldorp was supported by the Netherlands Organization for Scientific Research (NOW-VENI grant 916-76-125). The PsyCoLaus study was supported by grants from the Swiss National Science Foundation (#3200B0-105993, #3200B0-118'308, 33CSC0-122661) and from GlaxoSmithKline (Psychiatry Center of Excellence for Drug Discovery and Genetics Division, Drug Discovery - Verona, R&D). We express our gratitude to the Lausanne inhabitants who volunteered to participate in the PsyCoLaus study. We also thank V Mooser, G Weaber and P Vollenweider who initiated the CoLaus project. Funding for the QIMR samples was provided by the Australian National Health and Medical Research Council (241944, 339462, 389927, 389875, 389891, 389892, 389938, 442915, 442981, 496675, 496739, 552485, 552498, 613602, 613608, 613674, 619667), Australian Research Council (FT0991360, FT0991022), the FP-5 GenomEUtwin Project (QLG2-CT- 2002-01254) and the US National Institutes of Health (AA07535, AA10248, AA13320, AA13321, AA13326, AA14041, MH66206, DA12854, DA019951), and the Center for Inherited Disease Research (Baltimore, MD, USA). We thank the twins and their families registered at the Australian Twin Registry for their participation in the many studies that have contributed to this research. RADIANT was funded by: a joint grant from the UK Medical Research Council and GlaxoSmithKline (G0701420); the National Institute for Health Research (NIHR) Specialist Biomedical Research Centre for Mental Health at the South London and Maudsley NHS Foundation Trust and the Institute of Psychiatry, King's College London; and the UK Medical Research Council (G0000647). The GENDEP study was funded by a European Commission Framework 6 grant, EC Contract Ref.: LSHB-CT-2003-503428. SHIP is part of the Community Medicine Research net of the University of Greifswald, Germany, which is funded by the Federal Ministry of Education and Research (Grants no. 01ZZ9603, 01ZZ0103 and 01ZZ0403), the Ministry of Cultural Affairs and the Social Ministry of the Federal State of Mecklenburg-West Pomerania. Genome-wide data have been supported by the Federal Ministry of Education and Research (Grant no. 03ZIK012) and a joint grant from Siemens Healthcare, Erlangen, Germany and the Federal State of Mecklenburg-West Pomerania. The University of Greifswald is a member of the 'Center of Knowledge Interchange' program of the Siemens AG. SHIP-LEGEND is funded by the German Research Foundation (DFG: GR 1912/5-1). Genotyping of STAR\*D was supported by an NIMH Grant to SP Hamilton (MH072802). STAR\*D was funded by the National Institute of Mental Health (contract N01MH90003) to the University of Texas Southwestern Medical Center at Dallas (AJ Rush, principal investigator). The TwinGene study was supported by the Swedish



Ministry for Higher Education, the Swedish Research Council (M-2005-1112), GenomEUtwin (EU/QLRT-2001-01254; QLG2-CT-2002-01254), the Swedish Foundation for Strategic Research and the US National Institutes of Health (U01 DK066134). This study makes use of data generated by the Wellcome Trust Case—Control Consortium. A full list of the investigators who contributed to the generation of the data is available from http://www.wtccc.org.uk. Funding for the project was provided by the Wellcome Trust under awards 076113 and 085475.

#### **Author contributions**

All collaborators reviewed and approved the final version of the manuscript. Overall coordination: Patrick F Sullivan. Statistical analysis: Mark J Daly (lead), Stephan Ripke, Cathryn M Lewis, Dan-Yu Lin, Naomi R Wray, Benjamin Neale, Douglas F Levinson, Gerome Breen, Enda M Byrne. Phenotype analysis: Naomi R Wray (lead), Douglas F Levinson, Marcella Rietschel, Witte Hoogendijk, Stephan Ripke. Writing committee: Patrick F Sullivan (lead), Steven P Hamilton, Douglas F Levinson, Cathryn M Lewis, Stephan Ripke, Myrna M Weissman, Naomi R Wray. MDD discovery sample data contributed by: Bonn/ Mannheim study-René Breuer, Sven Cichon, Franziska Degenhardt, Josef Frank, Magdalena Gross, Stefan Herms, Susanne Hoefels, Wolfgang Maier, Manuel Mattheisen, Markus M Nöethen, Marcella Rietschel, Thomas G Schulze, Michael Steffens, Jens Treutlein; GAIN MDD study—Dorret I Boomsma, Eco J De Geus, Witte Hoogendijk, Jouke Jan Hottenga, Tzeng Jung-Ying, Dan-Yu Lin, Christel M Middeldorp, Willem A Nolen, Brenda P Penninx, Johannes H Smit, Patrick F Sullivan, Gerard van Grootheest, Gonneke Willemsen, Frans G Zitman; GenRED study—William H Coryell, James A Knowles, William B Lawson, Douglas F Levinson, James B Potash, William A Scheftner, Jianxin Shi, Myrna M Weissman; GlaxoSmithKline study-Florian Holsboer, Pierandrea Muglia, Federica Tozzi; MDD2000 study—Douglas HR Blackwood, Dorret I Boomsma, Eco J De Geus, Jouke Jan Hottenga, Donald J MacIntyre, Andrew McIntosh, Alan McLean, Christel M Middeldorp, Willem A Nolen, Brenda P Penninx, Stephan Ripke, Johannes H Smit, Patrick F Sullivan, Gerard van Grootheest, Gonneke Willemsen, Frans G Zitman, Edwin JCG van den Oord; Max Planck Institute of Psychiatry Study—Florian Holsboer, Susanne Lucae, Elisabeth Binder, Bertram Müller-Myhsok, Stephan Ripke, Darina Czamara, Martin A Kohli, Marcus Ising, Manfred Uhr, Thomas Bettecken; RADIANT study— Michael R Barnes, Gerome Breen, Ian W Craig, Anne E Farmer, Cathryn M Lewis, Peter McGuffin, Pierandrea Muglia; Queensland Institute for Medical Research study-Enda Byrne, Scott D Gordon, Andrew C Heath, Anjali K Henders, Ian B Hickie, Pamela AF Madden, Nicholas G Martin, Grant M Montgomery, Dale R Nyholt, Michele L Pergadia, Naomi R Wray; and STAR\*D study-Steven P Hamilton, Patrick J McGrath, Stanley I Shyn, Susan L Slager.

MDD replication sample data contributed by: deCODE Genetics—Högni Oskarsson, Engilbert Sigurdsson, Hreinn Stefansson, Kari Stefansson, Stacy Steinberg, Thorgeir Thorgeirsson; Depression Genes Networks study—Douglas F Levinson, James B Potash, Jianxin Shi, Myrna M Weissman; GENPOD—Michel Guipponi, Glyn Lewis, Michael O'Donovan, Katherine E Tansey, Rudolf Uher; GenRED2 study—William H Coryell, James A Knowles, William B Lawson, Douglas F Levinson, James B Potash, William A Scheftner, Jianxin Shi, Myrna M Weissman; Harvard i2b2 study—Victor M Castro, Susanne E Churchill, Maurizio Fava, Vivian S Gainer, Patience J Gallagher, Sergey Goryachev, Dan V Iosifescu, Isaac S Kohane, Shawn N Murphy, Roy H Perlis, Jordan W Smoller, Jeffrey B Weilburg; PsyCoLaus study—Zoltan Kutalik, Martin Preisig; SHIP-LEGEND study—Hans J Grabe, Matthias Nauck, Andrea Schulz, Alexander Teumer, Henry Völzke; and TwinGene study-Mikael Landen, Paul Lichtenstein, Patrik Magnusson, Nancy Pedersen, Alexander Viktorin.

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Supplementary Information accompanies the paper on the Molecular Psychiatry website (http://www.nature.com/mp)

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Appendix	
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		rust name	Affiliation	Country	note on binning stand
	Stephan	Ripke	Harvard University/Broad Institute	USA	Principal analyst
genetics	Naomi R	Wray	Queensland Institute of Medical Research/ University of Queensland	Australia	Co-PI, analyst
radiant, statistical genetics Prof stard	Cathryn M Prof Steven P	M Lewis Hamilton	Institute of Psychiatry, King's College London University of California, San Francisco	UK USA	Co-investigator PI
d, GenRED2, enesNetworks		Weissman	Columbia University	USA	PI
	Gerome	Breen	Institute of Psychiatry, King's College London	UK	Co-investigator
statistical genetics Dr mdd2000	Enda M Donglas HR	Byrne HR Blackwood	Queensland Institute of Medical Research University of Edinburgh	Australia IIK	Genetic analyses
12000	Dorret I		VU University, Amsterdam	The Netherlands	Co-PI GAIN, PI NTR
boma Prof	Sven Andrew C	Cichon	University of Bonn Washington University St Louis	Germany	PI OTMR sample and funding
	Florian		Max Planck Institute of Psychiatry	Germany	PI
	Susanne	9eores	Max Planck Institute of Psychiatry	Germany	PI
QIMK, mdd2000 OIMR mdd2000	Nicholas G	Madden G Martin	Washington University, St Louis Oneensland Institute of Medical Research	USA	QIMK sample and funding OffMR sample and funding
	Peter		Institute of Psychiatry, King's College London	UK	PI
liant	Pierandrea		GlaxoSmithKline	Italy	Co-PI,co-investigator
	Markus M	Į	University of Bonn	Germany	PI
gain, mdd2000 OlMR mdd2000	Brenda P Michele I	Penninx I Penninx	VU University Medical Center, Amsterdam Washington University St Louis	The Netherlands	Co-invoctigator
2,	James B		University of Iowa	USA	PI PI
iesNetworks	· ·				
boma	Marcella	Rietschel	Central Inst Mental Health, University of Heidelberg	Germany	PI
gain, statistical genetics Prof	Danyu	Lin	University of North Carolina	USA	Statistical geneticist
	Bertram	Müller- Myhsok	Max Planck Institute of Psychiatry	Germany	Collaborator
genred, GenRED2, Dr.	Jianxin	Shi	National Cancer Institute	USA	Statistical geneticist
Depoenesivetworks deCODE	Starv	Steinhera	deCODE Genetics	Treland	Genetic analyses
GEND	Hans I	Grabe	University of Greifswald	Germany	PI
	Paul	Lichtenstein	Karolinska Institutet	Sweden	PI
	(7=5)	Magnusson	Karolinska Institutet	Sweden	PI
52		Perlis	Massachusetts General Hospital	USA	PI
18	Martin		University of Lausanne	Switzerland	FI
decore Dr	rtoi jordan w Kari	Stefansson	Massachusetts General Hospital deCODE Genetics	USA Iceland	Co-FI PI
	Rudolf	Uher	Institute of Psychiatry, King's College London	UK	PI
PsyCoLaus	Zoltan	Kutalik	University of Lausanne	Switzerland	Genetic analyses
	Katherine E		Institute of Psychiatry, King's College London	UK	Co-investigator
SHIP-LEGEND Dr	Alexander		University of Greifswald	Germany	Genetic analyses
IwinGene radiant	Michael R	P Barnes	Karolinska Institutet	Sweden	Co-investigator
	Thomas		Max Planck Institute of Pevchiatry	Germany	Collaborator
	Elisabeth B		Max Planck Institute of Psychiatry	Germany	Collaborator
boma	René	Breuer	Central Inst Mental Health, University of Heidelberg	Germany	Collaborator
Harvard i2b2	Victor M	Castro	Partners HealthCare System	$\Omega$ SA	Bioinformatician



Study	Title	First name	Last name	Affiliation	Country	Role on primary study
Harvard i2b2	Dr	Susanne E	Churchill	Partners HealthCare System	USA	Co-investigator
genred CenRFD2	Prof	William H	Corvell	University of Iowa	IJSA	ld.
	Drof	Minh.	Carddon	Conditt II in the	1172	Co immedianton
laulant	rioi F	INICA	Claudorn	Calum Chiversny	ON	Co-myesugator
radiant	Prof	Ian W	Craig	Institute of Psychiatry, King's College London	UK	Co-investigator
mpip	Dr	Darina	Czamara	Max Planck Institute of Psychiatry	Germany	Collaborator
gain, mdd2000	Prof	Eco J	De Geus	VU University, Amsterdam	The Netherlands	Co-investigator GAIN,
			4			CO-PI NIK
boma		Franziska	Degenhardt	University of Bonn	Germany	Collaborator
radiant	Prof	Anne E	Farmer	Institute of Psychiatry, King's College London	UK	Co-investigator
Harvard i2b2	Prof	Maurizio	Fava	Massachusetts General Hospital	USA	Co-investigator
boma		Josef	Frank	Central Inst Mental Health, University of	Germany	Collaborator
				Heidelberg		
Harvard i2b2		Vivian S	Gainer	Partners HealthCare System	USA	Bioinformatician
Harvard i2b2		Patience J	Gallagher	Massachusetts General Hospital	USA	Research Coordinator
OIMR, mdd2000	Dr	Scott D	Gordon	Oueensland Institute of Medical Research	Australia	Genetic analyses
Harvard i2b2		Sergev	Gorvachev	Partners HealthCare System	USA	Bioinformatician
boma		Magdalena	Gross	University of Bonn	Germany	Collaborator
gennod	D	Michel	Guinnoni	University of Geneva	Switzerland	Co-investigator
		Aniali K	Henders	Oneensland Institute of Medical Research	Australia	OIMR project manager
boma		Stefan	Herms	University of Bonn	Cermany	Collaborator
OTMR mdd2000	Drof	Ion B	Hickie	University of Sydney Sydney	Ametrolia	OIME funding
boms	1101	Suganno	Hoofole	University of Bonn	Cormany	Collaborator
Doma	JL	MEAL	Hoerens 1::1.	Chivelsity of Domi	The Many	Collaborator
gain	Prof	Witte	Hoogenallk	Erasmus Medical Center	The Netherlands	Co-investigator
gain, mdd2000	Ğ	Jouke Jan	Hottenga	VU University, Amsterdam	The Netherlands	Genetic analyses
Harvard i2b2	Assoc Prof	Dan V	Iosifescu	Massachusetts General Hospital	USA	Co-investigator
mpip	ņ	Marcus	Ising	Max Planck Institute of Psychiatry	Germany	Collaborator
radiant	Dr	Ian	Jones	Cardiff University	UK	Co-investigator
radiant	Dr	Lisa	Jones	University of Birmingham	UK	Co-investigator
gain	Assoc Prof	Tzeng	Jung-Ying	North Carolina State University	USA	Statistical geneticist
genred, GenRED2	Prof	James A	Knowles	University of Southern California	USA	Co-PI
Harvard i2b2	Prof		Kohane	Brigham and Women's Hospital	USA	Co-investigator
mnin	Dr	Martin A	Kohli	Max Planck Institute of Psychiatry	Germany	Collaborator
radiant	í		Korszim	Oneen Mary University of London	TIK	Co-investigator
ThrinCone	i å	Mikaol	Landon	Karalineka Institutet	Swoden	Co-investigator
TWINGERS CompEDS	D. C.	William D	Lanuch	Maroniska matitudet	TICA	Dr.
genred, Genranz	Prol	VIIIIaiii D	Lawson	Howard Chivershy	711	FI
Benton	101 Dr.	Donold	MacInture	University of Edinhumah	TIV.	Coinmontiguton
maazooo	DI.	W-16	Macintyle	University of bambangin	200	Co-Investigator
Doma	Proi	wongang	Maler	University of bonn	Germany	Collaborator
boma	, LI	Manuel	Martneisen	University of bonn	Germany	Collaborator
stard	Prot	Fatrick J	McGrath	Columbia University	USA	Co-PI
mdd2000	i i	Andrew	McIntosh	University of Edinburgh	UK	Co-investigator
mdd2000	Ü	Alan	McLean	University of Edinburgh		Co-investigator
gain, mdd2000	Ü	Christel M	Middeldorp	VU University, Amsterdam	The Netherlands	Phenotype collection
radiant	Dr	Lefkos	Middleton	Imperial College	UK	Co-investigator
QIMR, mdd2000	Prof	Grant M	Montgomery	Queensland Institute of Medical Research	Australia	QIMR sample and
						funding
Harvard i2b2	Asst Prof	Shawn N	Murphy	Massachusetts General Hospital	USA	Co-investigator
SHIP-LEGEND	Prof	Matthias	Nauck	University of Greifswald	Germany	Biobanking
gain, mdd2000	Prof	Willem A	Nolen	Groningen University Medical Center	The Netherlands	Site PI NESDA
QIMK, mdd2000	LI	Dale K	Nynoit	Queensland Institute of Medical Kesearch	Australia	Genetic analyses

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Study	Title	First name	Last name	Affiliation	Country	Role on primary study
genpod	Prof	Michael	O'Donovan	Cardiff University	UK	Co-investigator
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deCODE	DI	Hogni	Oskarsson	I nerapeta, University Hospital	Iceland	Fnenotype collection
TwinGene	Dr	Nancy	Pedersen	Karolinska Institutet	Sweden	Co-investigator
genred, GenRED2	Prof	William A	Scheffner	Rush University Medical Center	USA	PI
SHIP-LEGEND		Andrea	Schulz	University of Greifswald	Germany	Phenotype collection
boma	Prof	Thomas G	Schulze	University of Goettingen	USA	Collaborator
stard	Asst Prof	Stanley I	Shvn	University of Washington	USA	Collaborator
deCODE	Dr	Engilbert	Sigurdsson	Landspitali University Hospital	Iceland	Phenotype collection
stard	Assoc Prof	Susan L	Slager	Mayo Clinic	USA	Co-PI
gain, mdd2000	Prof	Johannes H	Smit	VU University Medical Center, Amsterdam	The Netherlands	Co-investigator GAIN,
						phenotype collection NESDA
deCODE	Dr	Hreinn	Stefansson	deCODE Genetics	Iceland	Co-investigator
boma	Dr	Michael	Steffens	University of Bonn	Germany	Collaborator
deCODE	Dr	Thorgeir	Thorgeirsson	deCODE Genetics	Iceland	Co-investigator
gsk	Dr	Federica	Tozzi	GlaxoSmithKline	Italy	Co-investigator
boma	Dr	Jens	Treutlein	Central Inst Mental Health, University of	Germany	Collaborator
				Heidelberg		
npip	Dr	Manfred	Uhr	Max Planck Institute of Psychiatry	Germany	Collaborator
mdd2000	Prof	Edwin JCG	van den	Virginia Commonwealth University	USA	Funding
			Oord			
gain, mdd2000		Gerard	Van	VU University Medical Center, Amsterdam	The Netherlands	Phenotype collection
			Grootheest			
SHIP-LEGEND	Prof	Henry	Völzke	University of Greifswald	Germany	PI
Harvard i2b2	Dr	Jeffrey B	Weilburg	Massachusetts General Hospital	USA	Co-investigator
gain, mdd2000	Dr	Gonneke	Willemsen	VU University, Amsterdam	The Netherlands	Phenotype collection
gain, mdd2000	Prof	Frans G	Zitman	Leiden University Medical Center, Leiden	The Netherlands	Site PI NESDA
statistical genetics	Dr	Benjamin	Neale	Harvard University/Broad Institute	USA	Collaborator
statistical genetics	Assoc Prof	Mark	Daly	Harvard University/Broad Institute	USA	Statistical geneticist
genred, GenRED2, DenGenesNetworks	Prof	Douglas F	Levinson	Stanford University	USA	PI
gain, mdd2000	Prof	Patrick F	Sullivan	University of North Carolina	USA	PI

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