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## Serum ferritin and incident cardiometabolic diseases in Scottish adults

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# Cardiovascular Diabetology

## Serum ferritin and incident cardiometabolic diseases in Scottish adults

--Manuscript Draft--

<b>Manuscript Number:</b>	CVDB-D-21-01050R1
<b>Full Title:</b>	Serum ferritin and incident cardiometabolic diseases in Scottish adults
<b>Article Type:</b>	Original investigation
<b>Funding Information:</b>	
<b>Abstract:</b>	<p>Background: Iron stores, estimated as ferritin levels, and type 2 diabetes (T2D) have been associated previously, while findings regarding coronary heart disease (CHD) and cerebrovascular disease (CEVD) are still inconclusive. No study has focused on simultaneous evaluation of associations between iron stores and the above cardiometabolic diseases (CMD) in the same population. We aim to evaluate the association between serum ferritin and risk of T2D, CHD and CEVD in Scottish population over a wide range of ferritin levels.</p> <p>Methods: Longitudinal study in 6,497 participants of the 1995 and 1998 Scottish health surveys, who were followed-up until 2011. Cox regression models were conducted adjusting for age, sex/menopausal status, fibrinogen, GGT levels, smoking, alcohol consumption, total cholesterol, HDL-cholesterol, blood pressure, and BMI. Ferritin was used as continuous (sex/menopausal status-specific Z score) and categorical variable (sex/menopausal status-specific quartiles, quintiles and sextiles).</p> <p>Results: During follow-up, 4.9% of the participants developed T2D, 5.3% CHD, and 2.3% CEVD. By using ferritin quartiles, serum ferritin was positively associated with T2D, CHD and CEVD but only the association with T2D remained after adjustment for covariates [Quartile 4 v. 1: adjusted HR 95%CI 1.59(1.10-2.34); P= 0.006]. When ferritin sextiles were used (6 v. 1), the ferritin-CEVD association became slightly stronger and significant [adjusted HR 95%CI 2.08(1.09-3.94); P = 0.024].</p> <p>Conclusions: Iron stores relate differently to each CMD. Serum ferritin levels were positively and independently associated with incident T2D, and with incident CEVD if higher cut-off points for high ferritin levels were considered.</p>
<b>Corresponding Author:</b>	Jose Manuel Fernández-Real Biomedical Research Institute of Girona (IDIBGI), CIBERobn (Obesity). Hospital of Girona "Dr. Josep Trueta" SPAIN
<b>Corresponding Author E-Mail:</b>	jmfreal@idibgi.org
<b>Corresponding Author Secondary Information:</b>	
<b>Corresponding Author's Institution:</b>	Biomedical Research Institute of Girona (IDIBGI), CIBERobn (Obesity). Hospital of Girona "Dr. Josep Trueta"
<b>Corresponding Author's Secondary Institution:</b>	
<b>First Author:</b>	Milton Fabian Suárez-Ortegón
<b>First Author Secondary Information:</b>	
<b>Order of Authors:</b>	Milton Fabian Suárez-Ortegón Stela McLachlan Jose Manuel Fernández-Real Tomi-Pekka Tuomainen Alex Aregbesola Sarah H. Wild
<b>Order of Authors Secondary Information:</b>	
<b>Response to Reviewers:</b>	EDITOR

Response: We want to thank the Editor for the helpful and encouraging comments and insights, which have been instrumental to produce a substantially improved version of our manuscript.

In this revised version, we have addressed all the points raised and, following the advice of the Editor and Reviewers, we have performed the changes that have been requested.

A detailed point-by-point response to all comments of the Referees (#1, #2 and #3) is included below.

#### REVIEWER#1

Summary of Research: This manuscript is a well-designed and well written. And the topic is important in this area. However, authors put too many points in this single article and the description was not condensed, so it was a little difficult to focus.

Overall, I recommend you should make the sentences be shorter, but included what you want to mention. Please cut branches of results and discussion for readers to focus your main findings.

Response: We thank the Reviewer for the positive view of our manuscript.

#### Some comments

1. In methods for biochemical and clinical variables, you mentioned VIKING study from line 117, p5, Why? What is the VIKING study? You did not mention this study before line 117 and did not use this study in the analysis. Very confusing. From line 117 to 150, Please remove the description about VIKING study.

R/ We apologize for this mistake. The VIKING study was not part of the analysis since it is a cross-sectional study. In this revised version we have removed any mention of this study in the manuscript.

2. You explained the rationale of your biomarkers from not-fasting blood, nevertheless, it is a limitation I think. please add this in the limitation section (but short).

R/ Many thanks for this recommendation. In this revised version in discussion section at the end we have added the limitation of using non-fasting samples as follows:

“Additionally, blood samples in the SHeS were not taken in a fasting state, and although none of the biomarkers measured required fasting, it is important to observe differences or similarities with future studies using fasted samples”

3. You used follow-up data until 2011. Why? Is there any reason not to link from 2011~? Actually, the number of cases of CHD and CEVD was small. However, if you linked them, you could obtain more cases and increase the statistical power.

R/ The reviewer is right. For this analysis, 2011 was the most recent update available. Unfortunately, it has not yet been possible to obtain an approval to extend the follow-up period. We have added this explanation in the manuscript.

4. You should cut the results especially the volume of description about sensitivity analyses and supplemental tables/figures.

R/ We have moved the section of sensitivity analyses to supplemental information, highlighting this as follows in the Results section:

“Other sensitivity analyses related to adjustments for C reactive protein (SHeS 1998) and exclusion of individuals according to high levels of ferritin, fibrinogen and GGT levels are reported in supplemental material”

5. In the beginning of the discussion section, in line 335-336, you told more consistent association in pre-menopausal women than in men. Actually, this point was not found in the main Table and Figure. If you wanted to focus this point, you should add table or figure in the main part.

R/ Many thanks for this observation. The finding by sex and menopausal status was part of an additional analysis and effect estimates were reported in a paragraph of the

results section. However, for this revised version we decided not to overestimate this finding since the associations between ferritin levels and CMD in pre-menopausal women were not statistically significant, and were of just borderline statistical significance after some sensitivity analyses. Thus, we maintained the report of findings by sex and menopausal status in the results section but we have removed it from the highlights at the beginning of discussion section. This decision also helped us to shorten the discussion. In this revised version we have emphasized in results section that the findings in premenopausal women were not statistically significant as follows:

“In these analyses (Supplemental Table 2) the statistically significant ferritin Z score-T2D association persisted only in men [HR (95% CI) 1.20 (1.01–1.43), P = 0.033; pre-menopausal 1.25 (0.92–1.69), P = 0.149; post- menopausal women 1.14 (0.87–1.49), P = 0.325]. When cases with ferritin levels above the normal range were excluded, the association between ferritin Z score and T2D was not significant in any of sex/menopausal status groups [pre- menopausal women HR (95% CI) 1.32 (0.96–1.82), P = 0.079; men HR (95% CI) 1.17 (0.93–1.46) P = 0.159; Postmenopausal women HR (95% CI) 1.12 (0.93–1.46) P=0.170]. A similar lack of association was observed when the analysis was restricted to subjects without high levels of fibrinogen and/or GGT [HRs (95% CI) pre- menopausal women 1.38 (0.95–1.99), P = 0.085; men 1.11 (0.92–1.34), P = 0.246); post- menopausal women 0.92 (0.66–1.29), P = 0.658].”

In discussion section we modified a paragraph as follows:

“A large study conducted in a sub-cohort of the European Multicenter InterAct study found a significant association between serum ferritin and T2D in both sexes [21]. The association was markedly attenuated in men but not in women when individuals with signs of inflammatory or hepatic disease, high alcohol intake, and who were overweight were excluded from the analysis. Similarly, the association was stronger for women but not for men when analyses were restricted to ferritin values lower than 1000 µg/L. However, the stronger associations in women were no longer observed when standardized units of ferritin were used. Our findings are consistent with these observations. Apparently stronger associations with T2D in women using natural units of ferritin in some analyses might be a statistical artefact arising from the different distributions of ferritin in men and women, as suggested in the InterAct study [21].”

6. In discussion section, the explanation of the association between ferritin and T2D in pre-menopausal women and men was very confusing. Please it should be re-written.

R/ Please see above our response in which we have addressed this valid point.

#### REVIEWER#2

This manuscript evaluated the association between serum ferritin and risk of T2D, CHD and CEVD in Scottish population over a wide range of ferritin levels. There are many questions could beneficially be addressed:

We thank the Reviewer for the comments on our manuscript.

1. Overall, the associations between iron stores and diabetes, coronary heart disease have been reported in previous studies (PMID: 31975563, 31074789). What novel information can be reached by studying those associations in a same population?

R/ The reviewer is right. However, the previous studies have evaluated iron-diabetes and iron-coronary heart disease associations separately. We have been clear about that in the manuscript. Following the suggestion of the reviewer we have added a paragraph in the introduction section supporting our choice of using both diabetes and coronary heart disease as outcomes of interest within the same population:

“As the distribution of iron biomarkers, confounders, covariates and outcomes can be highly varying among populations from different countries and regions, comparability between studies become harder as well as overall interpretation of findings. Since diabetes and cardiovascular disease are CMD, using a same population represents a context in which both evaluations, iron-diabetes and iron-cardiovascular disease, are

conducted under the same analytical conditions providing an association pattern regarding CMD rather than for a single disease event separately.”

2. Abbreviations should be defined for the first time.

We have taken due care of this. Thank you.

3. The English expression needs thoroughly improved.

R/ We apologize for this. We have now reviewed extensively the use of English in the manuscript.

4. Methods

(1) How the follow-up was conducted? How long is the follow-up interval? What information was obtained?

R/ These are important points. In the methods section we have now added:

“Records from which outcomes are identified are population based expecting all diagnosed diabetes and all hospital admissions for CHD.CEVD to be identified.”

And in discussion we have added under study limitations:

“Regarding cardiovascular disease, we have not identified CHD or CEVD that occurred without hospital admission”

With regard of the length of the follow up we have now added in Results:

“During a mean-follow-up of 14.1 (SD 2.8) years, 4.9% of the participants developed T2D, 5.3% developed CHD, and 2.3% developed CEVD.”

(2) What is the VIKING study? The current study uses data from the SHeS, why the methods of the VIKING study was described?

R/ We apologize for this mistake. The VIKING study was not part of the analysis since it is a cross-sectional study. In this revised version we have removed any mention of this study in the manuscript.

(3) How much of the difference between the two serum ferritin assay methods? It is unreasonable to adjust this difference by adjusting the year of survey. This is a fundamental limitation.

R/ We have now added information about the comparability of the two serum ferritin assay methods:

“Both methods are non-radiometric. A recent study by Garcia-Casal et al. reported a highly comparability among different ferritin assays including radiometric and non-radiometric methods in a systematic review and meta-analysis [9]. For non-radiometric assays such as MEIA and EIA, the correlation coefficient was 0.989.”

(4) Besides, there were many inconsistencies in measurement methods, including biochemical and clinical variables.

R/ Many thanks for this comment. Those inconsistencies were because of the inclusion of the VIKING study in the methods section. In this revised version we have removed any mention of this study in the manuscript. Methods section is now concise about SHeS methods.

(5) Line 210: "we used restricted cubic splines with knots at the following percentiles of ferritin : 5th, 27. 5th, 50th, 72.5th, and 95th, as suggested by Harrell". Given that the authors used quartile of ferritin levels in table 2, why chose five knots but not three?

R/ Many thanks for this comment. We have now added in the methods section:

“To explore the shape of the relationship between serum ferritin and each incident CMD, we used restricted cubic splines. Since we explored use of different categories of ferritin concentration (sextiles in addition to quartiles) we used five knots as the maximum, with knots at the following percentiles of ferritin: 5th, 27.5th, 50th, 72.5th, and 95th, as suggested by Harrell [19]. “

5. Results

(1) Please adjust the order of cluttered contents in table 1. Maybe authors can note the classification, such as the history of disease and laboratory results. Moreover, the proportion of subjects who developed diabetes, CHD, and CEVD during follow-up should not be included in a baseline characteristics table.

R/ We have re-arranged Table 1 extensively and removed the proportions of people developing incident outcomes.

(2) The Results section is too confusing. The authors should add several headings/subheadings.

R/ In this current revised version, we have now added the following subheading across the Results section:

Study variables across ferritin levels

Association between serum ferritin and the different CMD

Association between serum ferritin and the different CMD using additional categories of ferritin concentration

Shape of the associations

Analyses by sex

Multivariable models

Use of medications or vitamins/supplements, and comorbidities across ferritin levels

(3) Line 230-232: "In unadjusted and age-and sex/menopausal status-adjusted models, ferritin as a continuous variable and ferritin levels in the highest quartile ( compared to the lowest quartile) were positively and significantly associated with all types of incident CMD". However, in the age-and sex/menopausal status-adjusted model, ferritin levels in the highest quartile (compared to the lowest quartile) were not significantly associated with CHD and CEVD.

R/ Many thanks for this comment. We have modified that paragraph to clarify differences between associations with ferritin as continuous variable and with categories of ferritin concentrations, as follows:

"Table 2 shows the HRs for the longitudinal association of serum ferritin levels, as Z score and quartiles, with the different CMDs. In unadjusted and age- and sex/menopausal status-adjusted models, ferritin as a continuous variable was positively and significantly associated with all types of incident CMD. The associations of ferritin as continuous variable with CEVD and CHD were no longer statistically significant after full adjustment for covariates. Similarly, for CHD and CEVD, the HRs for associations comparing highest to lowest quartiles of ferritin, were attenuated after adjustments when compared to unadjusted models and were no longer statistically significant (Table 2). In fully adjusted models, individuals with high levels (highest quartile) of ferritin had 1.70 times the risk of developing T2D as compared to people with low concentrations (lowest quartile) (P=0.002), and an increase in SD units of log ferritin was associated with higher risk of T2D [HR IC 95% 1.22 (1.08-1.38) P=0.001] (Table 2)."

(4) Line 234: "The fully adjusted hazard of T2D was 71% higher". This result was inconsistent with table 2.

R/ We have corrected this as follows:

"In fully adjusted models, individuals with high levels (highest quartile) of ferritin had 1.70 times the risk of developing T2D as compared to people with low concentrations (lowest quartile) (P=0.002), and a one SD increase in log ferritin was associated with higher risk of T2D [HR IC 95% 1.22 (1.08-1.38) P=0.001] (Table 2)."

(5) Line 247-249: "It is worth noting that the sex/menopausal status-specific cut-off points for the highest quintile and sextile were in the clinical normal range for serum ferritin ( $\leq 300$   $\mu\text{g/L}$ ) (Figure 1)." This result cannot be reached according to the reported information.

R/ We have now added in the Figure 1 legend the range of ferritin levels for highest quintile, sextile and septile that supports the sentence, as follows:

"Figure 1. Risk of type 2 diabetes (T2D), coronary heart disease (CHD) and cerebrovascular disease (CEVD) by several sex-specific upper quantiles of ferritin

levels (v. respective lowest categories). Ferritin range for highest quintile: Premenopausal women (Pre-MW) 53-950 µg/L, Postmenopausal women (Post-M) 102-1000 µg/L, Men 169-2251 µg/L. Ferritin range for highest sextile: Pre-MW 58-950 µg/L, Post-MW 114-1000 µg/L, Men 183-2251 µg/L. Ferritin range for highest septile: Pre-MW 62-950 µg/L, Post-MW 124-1000 µg/L, Men 197-2251 µg/L. Reference (lowest quintile, sextile or heptile). Unadjusted. Adjusted for age and sex/menopausal status. Adjusted for age, sex/menopausal status, fibrinogen levels, GGT levels, alcohol intake, smoking, systolic blood pressure, diastolic blood pressure, total cholesterol, HDL cholesterol, body mass index and year of survey. The above analysis included survey weights”

(6) Line 308: "(quintiles 2 and 3 o quartile 1)". Please check.

R/ Thank you for noting this. We have corrected as follows:

“No associations were found between low ferritin (quartile 1 vs. quartiles 2-3 or quintile 1 vs. 3rd quintile) and CMD in adjusted models”

6. Discussion

(1) Please add mechanisms to explain the current discovery.

R/ We have now added the following lines at the beginning of the Discussion:

“Excess iron, and specifically free iron, is known to trigger the production of reactive oxygen species. Iron and inflammation are intertwined in a bidirectional relationship. Iron potentiates the inflammatory phenotype and inflammatory cells secrete inflammatory mediators such as cytokines and nitric oxide, implicated in the pathophysiology of T2D and CMD”.

(2) How did the authors consider the death competition risk?

R/ Many thanks for this comment, we have added the following in methods section:

“Follow-up was censored at date of death as is appropriate for aetiological research”

REVIEWER#3-: This paper reports on the association between serum ferritin and incident cardiometabolic diseases in Scottish adults. It is well conducted, however, there are some problems, as described below.

Response: We thank the Reviewer for the positive view of our manuscript.

1. The author should pay more attention to abbreviations, which must be defined at the first mention both in abstract and manuscript and should be coined only for unwieldy names that occur frequently. For example, type 2 diabetes should be used in a unified way, rather than mixed-use (Page 4, Line 74 and Page 14, Line 313). T2D diabetes in Line 74 is an obvious mistake. Please add the full names of CMDs, CHD and CEVD. (Page 4, Line 75). Besides, what is the full name of the VIKING study? Is it described in the text (Page 5, Line 118)?

R/ The issues with regard to abbreviations have been addressed. In the case of the VIKING study, we apologize for this mistake. The VIKING study was not part of the analysis since it is a cross-sectional study. In this revised version we have removed any mention to this study in the manuscript.

2. Page 3, Line 78: the author puts forward that "In addition, threshold effects of ferritin concentration on the risk for CMDs or the shape of the relationships have not been investigated in most of the previous studies". To the best of our knowledge, most previous studies have explored the shape of the association between serum ferritin concentration and the risk for T2D. e.g. PMID: 26861925; PMID: 34352498. Besides, after a careful reading, we still have doubts about the thresholds mentioned in the manuscript (Page 18, Line 413).

R/ In this revised version we have clarified our idea by being more specific regarding

T2D or CHD-CEVD as follows:

“Increased iron stores, reflected by high serum ferritin levels, have been associated with the development of type 2 diabetes (T2D) [1]. Reports on the association between iron stores and other cardiometabolic diseases (CMDs) (coronary heart disease (CHD), cerebrovascular disease (CEVD)) for which diabetes is also a risk factor are inconsistent [2]. Few studies have investigated the association between iron metabolism and CEVD. To date, no published study has focused on simultaneous evaluation of associations between iron stores and CMDs in the same population or in nationally representative samples. In addition, there has been limited exploration of potential threshold effects of ferritin concentration on the risk for CMDs. Although the shape of serum ferritin-T2D risk have been studied in a few studies, the shape of relationships between serum ferritin and CHD or CEVD have not been investigated.”

3. Page 3, Line 81: "In Scotland, CHD persists as a leading cause of illness and death [3, 4] and prevalence of all types of diabetes has increased over the last decade, from 3.2% to 5.1%, with decreasing mortality and stabilized T2D incidence contributing to this pattern [5]", this sentence is not well understood, so I suggest changing it into two sentences.

R/ We agree in that this sentence was not clear. This has been modified accordingly: "In Scotland, CHD persists as a leading cause of illness and death [3,4] and prevalence of all types of diabetes has increased over the last decade, from 3.2% to 5.1%. Increasing prevalence of diabetes is partially explained by decreasing T2D mortality and stable or small declines in T2D incidence [5]."

4. We recommend that the 1995 and 1998 sample selections be presented separately in Supplementary Figure 1, rather than being integrated from the outset. It would be better if the differences between the two sample collections and tests reflected in the flow chart.

R/ Done accordingly. Please see new Supplemental Figure 1.

5. Page10, Line 234: "The fully adjusted hazard of T2D was 71% higher in individuals with high levels (highest quartile) of ferritin compared to people with low concentrations (lowest quartile), and an increase in SD units of log ferritin was associated with a 22% increase in hazard ( $P \leq 0.001$ )", please check the value.

R/ We have modified that part of results section accordingly:

"In fully adjusted models, individuals with high levels (highest quartile) of ferritin had 1.70 times the risk of developing T2D as compared to people with low concentrations (lowest quartile) ( $P=0.002$ ), and an increase in SD units of log ferritin was associated with higher risk of T2D [HR IC 95% 1.22 (1.08-1.38)  $P=0.001$ ] (Table 2)."

6. Page 10, Line 237 "The associations of ferritin with CEVD and CHD were no longer statistically significant after full adjustment for covariates although effect estimates remained above 1 and there was the suggestion of a dose-response relationship (Table 2)". In the fully adjusted model, the increase in SD units of log ferritin was not associated with CHD and CEVD, and both the P for trend  $> 0.05$ . A dose-response relationship was not observed.

R/ We have modified that part of results section accordingly:

"The associations of ferritin as continuous variable with CEVD and CHD were no longer statistically significant after full adjustment for covariates. Similarly, for CHD and CEVD, the HRs for associations comparing highest to lowest quartiles of ferritin, were attenuated after adjustments when compared to unadjusted models and were no longer statistically significant (Table 2)."

7. In the part of sensitivity analysis (Line 250~Line 327), it is recommended that the results of the sensitivity analysis be presented in a supplementary table and that the description of the results of the sensitivity analysis be further streamlined. The results section is too trivial to highlight the point.

R/ Many thanks for this comment. Since reviewer #1 recommended to shorten the results section in terms of sensitivity analyses, we decided to extract the sub-analyses by sex from sensitivity analyses to remain in the main manuscript and the rest of sensitivity analyses to be sent to the supplemental material. Following the suggestion of presenting data on tables for the additional analyses, we created a new supplemental table which shows the analyses by sex (Supplemental Table 2).



	<p>8. Line 307 - line 307: "No associations were found between low ferritin (quintiles 2 and 3 o quartile 1) and CMD in adjusted models." Is there missing some words in the phrase of "quintiles 2 and 3 o quartile 1"?</p> <p>R/ We have now corrected as follows:          "No associations were found between low ferritin (quartile 1 vs. quartiles 2-3 or quintile 1 vs. 3rd quintile) and CMD in adjusted models"</p> <p>9. The section of the discussion needs to be improved. It is better to cover some of the possible mechanisms. In addition, the description of the significance of conducting this study should be strengthened.</p> <p>R/ Thank you for these comments: We have now added the following to the discussion:</p> <p>Excess iron, and specifically free iron, is known to trigger the production of reactive oxygen species. Iron and inflammation are intertwined in a bidirectional relationship. Iron potentiates the inflammatory phenotype and inflammatory cells secrete inflammatory mediators such as cytokines and nitric oxide, implicated in the pathophysiology of T2D and CMD.</p> <p>In the introduction, we have now added this to support the relevance of the study:</p> <p>"As the distribution of iron biomarkers, confounders, covariates and outcomes can be highly varying among populations from different countries and regions, comparability between studies become harder as well as overall interpretation of findings. Since diabetes and cardiovascular disease are CMD, using a same population represents a context in which both evaluations, iron-diabetes and iron-cardiovascular disease, are conducted under the same analytical conditions providing an association pattern regarding CMD rather than for a single disease event separately."</p>
<b>Additional Information:</b>	
<b>Question</b>	<b>Response</b>

[Click here to view linked References](#)

# Serum ferritin and incident cardiometabolic diseases in Scottish adults

Fabian Suárez-Ortegón<sup>1,2\*</sup>, Stela McLachlan<sup>3</sup>, José-Manuel Fernandez-Real<sup>4\*</sup>,  
Tomi-Pekka Tuomainen<sup>5</sup>, Alex Aregbesola<sup>6</sup>, Sarah H. Wild<sup>3</sup>

<sup>1</sup>Departamento de Alimentación y Nutrición, Facultad de Ciencias de la Salud, Pontificia  
Universidad Javeriana Seccional Cali, Cali, Colombia

<sup>2</sup>Grupo de Investigación en Ciencias Básicas y Clínicas de la Salud, Facultad de Ciencias de  
la Salud, Pontificia Universidad Javeriana Seccional Cali, Cali, Colombia

<sup>3</sup>Centre for Population Health Sciences, University of Edinburgh, Edinburgh, UK

<sup>4</sup>Department of Diabetes, Endocrinology and Nutrition, Institut d'Investigació Biomèdica de  
Girona (IdIBGi), CIBERobn Fisiopatología de la Obesidad y Nutrición (CB06/03/010) and  
Instituto de Salud Carlos III (ISCIII), and Department of Medical Sciences, School of  
Medicine, University of Girona. Girona, Spain

<sup>5</sup>Institute of Public Health and Clinical Nutrition, University of Eastern Finland, Kuopio  
Finland.

<sup>6</sup>Department of Paediatrics and Child Health, Max Rady College of Medicine, Rady Faculty  
of Health Sciences, University of Manitoba, Winnipeg, MB, Canada

*\*Corresponding authors:*

Milton Fabian Suárez-Ortegón, Departamento de Alimentación y Nutrición, Facultad de  
Ciencias de la Salud, Pontificia Universidad Javeriana Seccional Cali, Calle 18 No. 118-250  
Cali, Colombia [Milton.Suarez@ed.ac.uk or fabian.suarezuv@gmail.com]  
Phone(+57-2) 321 82 00

José-Manuel Fernández-Real, Department of Diabetes, Endocrinology and Nutrition;  
CIBERobn Fisiopatología de la Obesidad y Nutrición, Instituto de Salud Carlos III, Hospital  
of Girona “Dr. Josep Trueta” and School of Medicine, University of Girona, Girona, Spain  
Phone:+34 972 94 02 00, Ext: 2656 [Email:jmfreal@idibgi.org]

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## Abstract

34 *Background:* Iron stores, estimated as ferritin levels, and type 2 diabetes (T2D) have been  
35 associated previously, while findings regarding coronary heart disease (CHD) and  
36 cerebrovascular disease (CEVD) are still inconclusive. No study has focused on simultaneous  
37 evaluation of associations between iron stores and the above cardiometabolic diseases (CMD)  
38 in the same population. We aim to evaluate the association between serum ferritin and risk of  
39 T2D, CHD and CEVD in Scottish population over a wide range of ferritin levels.

40 *Methods:* Longitudinal study in 6,497 participants of the 1995 and 1998 Scottish health  
41 surveys, who were followed-up until 2011. Cox regression models were conducted adjusting  
42 for age, sex/menopausal status, fibrinogen, GGT levels, smoking, alcohol consumption, total  
43 cholesterol, HDL-cholesterol, blood pressure, and BMI. Ferritin was used as continuous  
44 (sex/menopausal status-specific Z score) and categorical variable (sex/menopausal status-  
45 specific quartiles, quintiles and sextiles).

46 *Results:* During follow-up, 4.9% of the participants developed T2D, 5.3% CHD, and 2.3%  
47 CEVD. By using ferritin quartiles, serum ferritin was positively associated with T2D, CHD  
48 and CEVD but only the association with T2D remained after adjustment for covariates  
49 [Quartile 4 v. 1: adjusted HR 95%CI 1.59(1.10-2.34); P= 0.006]. When ferritin sextiles were  
50 used (6 v. 1), the ferritin-CEVD association became slightly stronger and significant  
51 [adjusted HR 95%CI 2.08(1.09–3.94); P = 0.024].

52 *Conclusions:* Iron stores relate differently to each CMD. Serum ferritin levels were positively  
53 and independently associated with incident T2D, and with incident CEVD if higher cut-off  
54 points for high ferritin levels were considered.

55

56 **Keywords:** Metabolic syndrome, iron metabolism, obesity, type 2 diabetes, cardiovascular  
57 disease, cerebrovascular disease.

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## 61 **Background**

62 Increased iron stores, reflected by high serum ferritin levels, have been associated with the  
63 development of **type 2 diabetes (T2D)** [1]. Reports on the association between iron stores and  
64 other **cardiometabolic diseases (CMDs)** (**coronary heart disease (CHD)**, **cerebrovascular**  
65 **disease (CEVD)**) for which diabetes is also a risk factor are inconsistent [2]. Few studies have  
66 investigated the association between iron metabolism and CEVD. To date, no published study  
67 has focused on simultaneous evaluation of associations between iron stores and CMDs in the  
68 same population or in nationally representative samples. **In addition, there has been limited**  
69 **exploration of potential threshold effects of ferritin concentration on the risk for CMDs.**  
70 **Although the shape of serum ferritin-T2D risk have been studied in a few studies, the shape**  
71 **of relationships between serum ferritin and CHD or CEVD have not been investigated.**

72 In Scotland, CHD persists as a leading cause of illness and death [3, 4] and prevalence of all  
73 types of diabetes has increased over the last decade, from 3.2% to 5.1%. **Increasing**  
74 **prevalence of diabetes is partially explained by decreasing T2D mortality and stable or small**  
75 **declines in T2D incidence** [5]. However, there is no information on iron biomarkers as a  
76 potential novel risk factor for CMD in the Scottish population.

77 The description of the relationship of serum ferritin with all types of CMDs in a population at  
78 high cardiovascular risk would provide better understanding of the link between iron  
79 metabolism and overall cardiometabolic risk. **As the distribution of iron biomarkers,**  
80 **confounders, covariates and outcomes can be highly varying among populations from**  
81 **different countries and regions, comparability between studies become harder as well as**  
82 **overall interpretation of findings. Since diabetes and cardiovascular disease are CMD, using a**  
83 **same population represents a context in which both evaluations, iron-diabetes and iron-**

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84 cardiovascular disease, are conducted under the same analytical conditions providing an  
85 association pattern with regard CMD rather than for a single disease event separately. Hence,  
86 we investigated the risk of T2D, CHD, and CEVD in participants in the 1995 and 1998  
87 Scottish health surveys (SHeS), who were followed-up until 2011, the most recent point for  
88 which data linkage was feasible, using a wide range of serum ferritin levels.

## 89 **Methods**

90 The SHeS 1995 and 1998 included participants aged 16–74 years. The surveys randomly  
91 selected a nationally representative, general population sample (see The Scottish Health  
92 Surveys websites for more detail) [6, 7]. All participants were interviewed about health and  
93 lifestyle behaviours, and consent for measurement of weight and height and collection of a  
94 blood sample was requested. The SHeS also has a prospective element on the basis of linkage  
95 to population level data on hospitalization and mortality. This linkage is facilitated by the  
96 Information Service Division (ISD) which collects and updates data on deaths from the  
97 General Register Office and morbidity derived from hospital discharge data in Scottish  
98 Morbidity Records (SMR) and provides linkage to SHeS data [8]. There is also a  
99 retrospective element with data - linked to morbidity since 1981. Around 90% of SHeS  
100 participants provided consent for data linkage [8]. As a consequence of the wording of the  
101 consent for data linkage only data from the 1995 and 1998 SHeS have been linked to diabetes  
102 register data.

103 There were 9,568 participants whose ferritin levels were measured and who agreed to have  
104 their data linked to the Scottish Morbidity Record (SMR), the Scottish Diabetes Register, and  
105 death records. Among these, we excluded cases of prevalent T2D, CHD, and CEVD at  
106 baseline (defined as interview day), subjects who had type 1 diabetes (T1D) at baseline or  
107 who developed T1D during follow-up, cases with missing values for covariates (listed in data

108 analysis section), and those below the set age limit (age  $\geq 18$  years). Supplemental figure 1  
109 describes the selection of the analytical sample ( $n= 6497$ ). The secondary analysis of the  
110 SHeS-linked dataset was approved by the Ethics Research Subgroup of the Centre for  
111 Population Health Sciences of the University of Edinburgh.

112

### *Biochemical and clinical variables*

114 The exposure variable, serum ferritin, was measured by the Abbott Microparticle Enzyme  
115 Immunoassay (MEIA) /IMX ferritin assay method in 1998, and in 1995 it was used the  
116 Boehringer Enzyme Immunoassay (EIA) method instead. **Both methods are non-radiometric  
117 and a recent study by Garcia-Casal et al. reported a highly comparability among different  
118 ferritin assays including radiometric methods in a systematic review and meta-analysis [9].  
119 For non-radiometric assays such as MEIA and EIA, the correlation coefficient was 0.989.**

### *Methods for Biochemical and clinical variables*

121 Blood was collected via venepuncture **but not after overnight**, given that the only biochemical  
122 cardiovascular risk factors to be measured were cholesterol markers. After food intake, these  
123 markers of lipid profile appear to be, at most, minimally changed and have shown good  
124 prediction of increased risk for cardiovascular disease [10]. Levels of HDL-C were measured  
125 by using enzymatic-colorimetric method. Fibrinogen level was estimated by nephelometric  
126 method (clot turbidity). Coulter analysers were used to measure haemoglobin, and the  
127 nitroanilide method was used for GGT.

128 Blood pressure was measured using mercury sphygmomanometers with an appropriately  
129 sized cuff in a sitting position after 15 minutes of rest. Phase I and V (disappearance)  
130 Korotkoff sounds were used to identify SBP and DBP [11]. Three blood pressure readings  
131 were taken and the average of the second and third readings was used for the analyses. Body  
132 weight and height were measured using standard techniques and instruments [12]. BMI was

133 calculated as weight in kg/height in metres squared [13]. WC was measured from the  
134 midpoint between the lateral iliac crest and the lowest rib using a flexible steel tape measure  
135 [14]. The criterion of smoking included the following categories: never smoker, ex-regular or  
136 ex-occasional smoker, and current smoker. The criterion of alcohol intake included the  
137 following categories, using rating units per week: 1) non-drinker or ex-drinker, 2) trivial  
138 drinker < 1 rating unit per week, and 3) drinker  $\geq$  1 rating unit per week. Anaemia was  
139 defined as haemoglobin <13 g/dL in men and <12 g/dL in women [15]. Overweight and  
140 obesity were defined as having a BMI  $\geq$ 25 and BMI  $\geq$ 30, respectively. Physical activity  
141 levels, an additional variable used in this analysis, were estimated as a summary of self-  
142 reported work, walking, and sport activities in categories of intensity levels as inactive, light,  
143 moderate, and vigorous [16].

#### 145 *Cardiovascular outcomes and T2D*

146 Fatal and non-fatal cardiovascular events recorded in hospital admissions were identified  
147 during the follow-up period. Coronary heart disease (CHD) included angina, myocardial  
148 infarction, and other acute ischemic heart disease (defined using ICD-10 codes I20-I25, ICD-  
149 9 codes 410-414) [17]. CEVD included stroke, nontraumatic subarachnoid haemorrhage or  
150 intracerebral haemorrhage, other and unspecified nontraumatic intracranial haemorrhage,  
151 cerebral infarction, occlusion and stenosis of precerebral and cerebral arteries, and category  
152 of other cerebrovascular diseases and transient ischemic heart attack (defined using ICD-10  
153 codes I60-I67, G-45; ICD-9 codes 430-437) [17]. Incident cases of T2D were identified from  
154 relevant codes ICD-10 and ICD-9 (E11-E14, 250) recorded in hospital admissions during  
155 follow-up and from the linked data derived from the population based register of diagnosed  
156 diabetes which is estimated to have been complete since 2004. Codes for unspecified diabetes  
157 in hospital records were as assumed to describe T2D. **Records from which outcomes are**

158 identified are population based expecting all diagnosed diabetes and all hospital admissions  
159 for CHD.CEVD to be identified.

160

161 *Data analysis*

162 Medians and their interquartile ranges and proportions were used for description of  
163 continuous and categorical study variables, respectively, in the whole sample and by sex-  
164 specific and self-reported menopausal status-specific quartiles of ferritin concentration.  
165 Trends of distribution of study variables across ferritin quartiles were tested by the  
166 Jonckheere-Terpstra test [18] (continuous variables) and  $\chi^2$  test (categorical variables). For  
167 the analyses of association, ferritin levels were used as both continuous and categorical  
168 variables. For the continuous approach, we calculated a sex/menopausal status-specific Z  
169 score for ferritin, after log-normalisation of the ferritin values. The Z score enabled reporting  
170 of the risk for CMD by increasing SD units of log-ferritin. The categorical approach involved  
171 the use of sex/menopausal-specific quartiles of ferritin, with the lowest quartile as the  
172 reference category.

173 Cox regression models were used to examine the longitudinal associations between ferritin  
174 and CMD. HRs were described as unadjusted, age- and sex/menopausal status-adjusted, and  
175 fully adjusted for fibrinogen levels, GGT levels, smoking, alcohol consumption, total  
176 cholesterol, HDL-C, systolic blood pressure (SBP) and diastolic blood pressure (DBP), and  
177 BMI. This set of covariates was chosen on the basis of their possible influence in the ferritin-  
178 CMD associations. For instance, subclinical inflammation (fibrinogen), adiposity (BMI),  
179 liver injury (GGT), may affect circulating ferritin levels given that ferritin additionally could  
180 behave as acute phase reactant, injured hepatocytes can release ferritin into bloodstream, and  
181 fat mass or adiposity-related inflammation may increase ferritin concentration. Inflammation,  
182 liver dysfunction and adiposity in turn have also been extensively associated with CMD and



183 thus they might behave as confounders. Meanwhile, adjustment for well-known  
184 cardiovascular risk factors (smoking, alcohol consumption, total cholesterol, HDL-C, SBP  
185 and DBP) helps to establish the influence of baseline cardiovascular risk of the individuals on  
186 the ferritin-CMD associations.

187 The proportional hazards assumption was tested using the Schoenfeld residuals test and  
188 graphical methods. The follow-up time was calculated from the date of survey interview  
189 (1995 or 1998) to the earliest date of incident T2D, cardiovascular event, death, or end of  
190 December 2011. **Follow-up was censored at date of death as is appropriate for aetiological  
191 research [19].** Potential threshold effects of ferritin concentration were additionally  
192 investigated by comparing extreme quintiles and sextiles to extend the difference between  
193 extreme values beyond that offered by quartiles. To explore the shape of the relationship  
194 between serum ferritin and each incident CMD, we used restricted cubic splines. **Since we  
195 explored use of different categories of ferritin concentration (sextiles in addition to quartiles)  
196 we used five knots as the maximum,** with knots at the following percentiles of ferritin: 5<sup>th</sup>,  
197 27.5<sup>th</sup>, 50<sup>th</sup>, 72.5<sup>th</sup>, and 95<sup>th</sup>, as suggested by Harrell [20].

198 Sensitivity analyses were performed on the basis of exclusion of subjects with clinically  
199 increased ferritin (>200 µg/L in women and >300 µg/L in men), potential liver disease  
200 (defined as GGT >84 IU/L in men and >44 IU/L in women), evidence of inflammation or  
201 infection (defined as fibrinogen levels >4.7 g/L in 1995 and >3.8 g/L in 1998) in  
202 sex/menopausal status-specific analyses.

203 Further adjustments for physical activity, self-reported hypertension, waist circumference  
204 (WC), and C reactive protein (CRP) levels as a systemic inflammatory marker, were also  
205 conducted. The adjustment for CRP was only performed for the participants from the SHeS  
206 1998 in which this marker was measured. Before conducting the association analyses,

207 continuous covariates with skewed distributions (fibrinogen, GGT, CRP, and WC) were log-  
208 transformed to approximate to normal distributions. Survey weights were applied to adjust  
209 for disproportionate sampling, differing selection probabilities, and differential non-response.  
210 All analyses were processed using STATA 14.0 software (Statistics/Data Analysis, Stata  
211 Corporation, 4905 Lakeway Drive, College Station, TX 77845, USA, 800-STATA-PC).

## 212 **Results**

### 213 *Study variables by ferritin levels*

214 The study variables by sex/menopausal status-specific ferritin quartiles are described in Table  
215 1. Age, fibrinogen, GGT, BMI, total cholesterol, and blood pressure significantly increased  
216 across ferritin quartiles. The same pattern was observed for prevalence of current smokers  
217 and higher alcohol intake, and for the proportion of subjects who developed diabetes, CHD,  
218 and CEVD during follow-up. In contrast and as expected from the other risk factor patterns,  
219 HDL-C levels decreased with higher levels of ferritin. There was also a trend of a slightly  
220 increasing higher proportion of participants from SHeS 1995 vs. participants from 1998  
221 throughout ferritin quartiles (Table 1). Ferritin levels were higher in men than women, and  
222 higher in post-menopausal women than pre-menopausal women ( $P < 0.05$ , data not shown).

223 *During a mean-follow-up of 14.1 (SD 2.8) years*, 4.9% of the participants developed T2D,  
224 5.3% developed CHD, and 2.3% developed CEVD.

### 225 *Association between serum ferritin and different CMD*

226 Table 2 shows the HRs for the longitudinal association of serum ferritin levels, as Z score and  
227 quartiles, with the different CMDs. *In unadjusted and age- and sex/menopausal status-*  
228 *adjusted models, ferritin as a continuous variable was positively and significantly associated*  
229 *with all types of incident CMD. The associations of ferritin as continuous variable with*

230 CEVD and CHD were no longer statistically significant after full adjustment for covariates.  
231 Similarly, for CHD and CEVD, the HRs for associations comparing highest to lowest  
232 quartiles of ferritin, were attenuated after adjustments when compared to unadjusted models  
233 and were no longer statistically significant (Table 2). (Table 2). In fully adjusted models,  
234 individuals with high levels (highest quartile) of ferritin had 1.70 times the risk of developing  
235 T2D as compared to people with low concentrations (lowest quartile) (P=0.002), and a one  
236 SD increase in log ferritin was associated with higher risk of T2D [HR IC 95% 1.22 (1.08-  
237 1.38) P=0.001] (Table 2).

### 238 *Association between serum ferritin and the different CMD using additional categories of* 239 *ferritin concentration*

240 When we explored the effect of using quintiles or sextiles to determine if the highest category  
241 of ferritin had a stronger association with CMD, there was a suggestion of an effect for  
242 CEVD, although confidence intervals for HRs overlapped (Figure 1C). The hazard of CEVD  
243 was approximately double in individuals with ferritin in the highest sextile compared to those  
244 in the lowest sextile [fully adjusted (HR) 95% CI 2.08 (1.09–3.94), P = 0.024]. The  
245 association with T2D was slightly stronger when comparing extreme quintiles or sextiles than  
246 when comparing extreme quartiles (Figure 1A), and no significant associations with CHD  
247 were observed (Figure 1B). It is worth noting that the sex/menopausal status-specific cut-off  
248 points for the highest quintile and sextile were in the normal clinical range for serum ferritin  
249 ( $\leq 300$   $\mu\text{g/L}$ ) (Figure 1).

### 250 *Shape of the associations*

251 The relationship between serum ferritin levels and incident T2D was approximately linear  
252 (Figure 2A). In contrast with the findings by using sextiles of ferritin, the association between  
253 ferritin and CEVD was not observed when using cubic splines in terms of ferritin levels

254 (Figure 2C). This was perhaps because the ferritin values used for the evaluation of non-  
255 linear relationships were not sex/menopausal status-specific as they were in the analyses with  
256 sextiles of ferritin. When sex/menopausal status-specific Z scores of ferritin were used in the  
257 cubic splines analysis, a threshold effect of higher risk of CEVD appeared around +1.20 SD  
258 of log-ferritin, although confidence intervals in that region of the graph still included 1  
259 (Supplemental figure 2). The above findings on the shape of the association between ferritin  
260 or ferritin Z score and incident CEVD remained unmodified by using six knots instead of five  
261 in the cubic spline analysis. There was no evidence of an association linear or non-linear  
262 between ferritin levels and CHD in the cubic spline analysis (Figure 2B).

263 We investigated the potential for a U-shaped association between ferritin and CMD. To test  
264 this, we used either ferritin quintiles as quartiles using 3<sup>rd</sup> quintile and quartiles 2-3 grouped  
265 as the reference categories. No associations were found between low ferritin (quartile 1 vs.  
266 quartiles 2-3 or quintile 1 vs. 3<sup>rd</sup> quintile) and CMD in adjusted models suggesting that a U-  
267 shaped association is not present (Supplemental Table 1).

### 268 *Analyses by sex*

269 Although there was no evidence of interaction between sex or menopausal status and ferritin  
270 levels in respect to developing CMD ( $P > 0.05$  in weighted and unweighted interaction tests),  
271 we conducted analyses stratified by sex and menopausal status. In these analyses  
272 (Supplemental Table 2) the statistically significant ferritin Z score-T2D association persisted  
273 only in men [HR (95% CI) 1.20 (1.01–1.43),  $P = 0.033$ ; pre-menopausal 1.25 (0.92–1.69),  $P$   
274 = 0.149; post- menopausal women 1.14 (0.87–1.49),  $P = 0.325$ ]. When cases with ferritin  
275 levels above the normal range were excluded, the association between ferritin Z score and  
276 T2D was not significant in any of sex/menopausal status groups [pre- menopausal women  
277 HR (95% CI) 1.32 (0.96–1.82),  $P = 0.079$ ; men HR (95% CI) 1.17 (0.93–1.46)  $P = 0.159$ ;

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278 Postmenopausal women HR (95% CI) 1.12 (0.93–1.46) P=0.170]. A similar lack of  
279 association was observed when the analysis was restricted to subjects without high levels of  
280 fibrinogen and/or GGT [HRs (95% CI) pre- menopausal women 1.38 (0.95–1.99), P = 0.085;  
281 men 1.11 (0.92–1.34), P = 0.246); post- menopausal women 0.92 (0.66–1.29), P = 0.658].  
282 There were no statistically significant associations between serum ferritin, as Z score or  
283 quantiles (lowest vs. highest), and CEVD or CHD in the analyses by sex and menopausal  
284 status. Combining data for pre- and post- menopausal women in a single category and  
285 adjusting for menopausal status did not result in significant associations across the above  
286 mentioned sensitivity analyses.

### 287 *Multivariate models*

288 Additionally, we verified the associations between the covariates used for adjustments and  
289 CMD to create multivariate models with variables significantly associated. All the covariates  
290 used in the full adjustment (Table 2) were significantly associated with development of type 2  
291 diabetes in a univariate analysis (P value < 0.1 as filter to enter in the multiple analysis). In  
292 the multiple analyses for type 2 diabetes the variables in the final a model after backwardly  
293 removing variables with P value >0.05 were age, GGT levels, smoking, alcohol consumption,  
294 BMI, HDL-C levels and diastolic blood pressure. For CHD final model was composed by  
295 age, sex/menopausal status, smoking, HDL-C levels, total cholesterol levels and diastolic  
296 blood pressure. See Models in Supplemental Table 3. When used the above multivariate  
297 models to adjust the associations between ferritin and incident CMD, the associations  
298 described in Table 2 practically remained unaltered.

301 *Use of medications or vitamins/supplements, and comorbidities across ferritin levels*

302 Supplemental Table 4 describes use of medications and vitamin or dietary supplements in the  
303 total sample and by quartiles of ferritin levels. Proportions for use medications were low  
304 given that the sample was CMD free at baseline of the study. Only use of anti-hypertensive  
305 drugs showed difference across ferritin levels being slightly higher in the highest quartile.  
306 When adding the use of each kind of medication/supplement as covariates in the full adjusted  
307 models did not substantially affect the associations between ferritin levels and each CMD  
308 previously described in table 2 and Figure 1.

309 Regarding comorbidities, Supplemental Table 5 describes proportions of individuals with  
310 several types of long-standing comorbidities at baseline of the study across quartiles of serum  
311 ferritin. Only having diseases affecting musculoskeletal system (e.g. osteoarthritis,  
312 rheumatoid arthritis, sarcopenia, hip dysplasia, etc.) significantly varied across ferritin levels  
313 with a higher proportion of these cases in higher ferritin levels. However, further adjustment  
314 for these comorbidities did not substantially affect the associations between ferritin levels and  
315 incident CMD.

316 *Other analyses related to the adjustment for C reactive protein (SHeS 1998) and exclusion of*  
317 *individuals according to high levels of ferritin, fibrinogen and GGT levels are reported in the*  
318 *supplemental material.*

319 **Discussion and Conclusions**

320 *Excess iron, and specifically free iron, is known to trigger the production of reactive oxygen*  
321 *species. Iron and inflammation are intertwined in a bidirectional relationship. Iron potentiates*  
322 *the inflammatory phenotype and inflammatory cells secrete inflammatory mediators such as*  
323 *cytokines and nitric oxide, implicated in the pathophysiology of T2D and CMD. In the*

324 present study, we have reported the associations between iron stores and incidence of several  
325 CMDs in a nationally representative population at high cardiovascular risk over a mean  
326 follow-up of 14 years. We found a statistically significant association between high ferritin  
327 and development of CEVD when we used higher percentiles to define high ferritin  
328 concentration. Interestingly, these cut-off points were still within the normal reference values  
329 of ferritin. The findings also confirm previous observations of an association between serum  
330 ferritin and T2D and no evidence of an association with CHD.

### 331 *Ferritin and T2D*

332 The results for the association between serum ferritin and incident T2D are consistent with  
333 the positive and significant association reported in a meta-analysis of prospective studies  
334 published up to 2012 [1]. In this meta-analysis, the pooled relative risk (95% CI) for incident  
335 T2D for the highest ferritin quintile vs. the lowest quintile was 1.73 [1]. The association in  
336 the meta-analysis is slightly stronger than that in the Scottish population studied here [HR  
337 (95% CI) 1.59(1.10–2.34), P = 0.006], presumably because several studies of the meta-  
338 analysis lacked adjustment for transaminase levels. In the present study, the HR for the  
339 association between highest compared to lowest quartile of ferritin levels and incident T2D  
340 decreased by 16% when GGT levels were added to the adjustment model. Some few studies  
341 failed to find a significant independent association between serum ferritin and development  
342 of T2D. A case-cohort study of the Atherosclerosis Risk in Communities study (ARIC) (599  
343 cases and 690 controls) did not find a significant association between ferritin levels in the  
344 highest quintile (v. the lowest) and T2D after adjustment for BMI and traditional  
345 cardiovascular risk factors [HR 95% CI 0.81 (0.49–1.34) [21]. However, the study did not  
346 disclose which covariate/s had more weight in the attenuation of the association. In a recent  
347 age and sex-matched case-control prospective study (327 cases, 641 controls) involving

348 Japanese workers, the association between ferritin and incident T2D was weakened after  
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2 349 further adjustment for transaminase and lipid levels [HR (95% CI) 1.40 (1.01–1.93), P = 0.02  
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5 350 before adjustment; HR (95% CI) 1.20 (0.86–1.67), P = 0.16 after adjustment] [22]. It is  
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7 351 possible that limited statistical power explains the non-statistically significant findings in the  
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10 352 ARIC and Japanese cohorts. A large study conducted in a sub-cohort of the European  
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12 353 Multicenter InterAct study found a significant association between serum ferritin and T2D in  
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14 354 both sexes [23]. The association was markedly attenuated in men but not in women when  
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16 355 individuals with signs of inflammatory or hepatic disease, high alcohol intake, and who were  
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18 356 overweight were excluded from the analysis. Similarly, the association was stronger for  
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20 357 women but not for men when analyses were restricted to ferritin values lower than 1000  
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22 358 µg/L. However, the associations in women were no longer observed when standardized units  
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24 359 of ferritin were used. Our findings are consistent with these observations Apparently stronger  
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26 360 associations with T2D in women using natural units of ferritin in some analyses might be a  
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28 361 statistical artifact arising from the different distributions of ferritin in men and women, as  
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30 362 suggested in the InterAct study [23]  
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### 363 *Ferritin and CEVD*

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41 364 As previously mentioned, there have been very few studies on ferritin and CEVD in general  
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43 365 populations, and those that exist show inconclusive findings. Two longitudinal studies  
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45 366 involving 1,134 Dutch post-menopausal women (aged 40–70 years, mean follow-up, 4.3  
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47 367 years) [24] and a sub-cohort (n = 1612) of the Busselton Health study (age 40–89 years, 17-  
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49 368 years of follow-up) [25] reported similar positive associations between serum ferritin levels  
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51 369 in the highest tertile (vs. lowest) and stroke of any subtype but without reaching statistical  
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53 370 significance in fully adjusted models [HR(95%CI) 1.45(0.76–3.85) and 1.43(0.78–2.64),  
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55 371 respectively]. Analyses by sex in the Busselton Health study did not show statistically  
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1 372 significant associations either. Both studies had comparable age ranges and number of  
2 373 incident cases of stroke (Dutch cohort, 63 cases; Busselton Health study's sub-cohort, 55  
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4 374 women and 63 men). In the Dutch cohort of post-menopausal women, by using serum ferritin  
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7 375  $\geq 200$   $\mu\text{g/L}$  compared to ferritin lower than that cut-off point, the positive association between  
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9 376 ferritin and stroke of any subtype was borderline statistically significant [HR(95%CI)  
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11 377 1.77(1.03–3.05)]. Although the cut-off point for highest tertile of ferritin for men in the  
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13 378 Busselton Health study was 233  $\mu\text{g/L}$ , for women the cut-off point for this tertile was only  
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15 379 122  $\mu\text{g/L}$ . Therefore, it is uncertain whether by comparing extreme values of ferritin, the  
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17 380 association with stroke might have been strengthened in the Busselton Health Study.  
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23 381 By exploring additional higher cut-off points for increased ferritin, the association between  
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25 382 serum ferritin and CEVD became more evident. Since CEVD is less common than T2D and  
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27 383 CHD, it is likely that clearer associations can be observed when incident and/or prevalent  
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29 384 cases are more concentrated into very high categories of distribution of a predictor variable,  
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31 385 such as iron stores. Despite using sextiles of ferritin distribution, the cut-off points defining  
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33 386 increased ferritin were still within the normal range for ferritin levels, and the exclusion of  
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35 387 subjects with ferritin values  $> 300$   $\mu\text{g/L}$  did not affect the ferritin-CEVD association. This  
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37 388 suggests that there may be an increased risk of CEVD in the general population that does not  
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39 389 have extremely high concentrations of stored iron if this is not a chance finding. However, a  
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41 390 graphical evaluation of the ferritin-CEVD relationship by using standardised values of ferritin  
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43 391 in our analysis suggested, a threshold effect. Studies with more incident CEVD cases are  
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45 392 required to establish whether this is a true finding, since statistical tools for testing non-  
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47 393 linearity demand high statistical power. Our analysis, to the best of our knowledge, is the first  
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49 394 attempt to graphically describe the shape of the association between serum ferritin level and  
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51 395 the risk of CEVD.  
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397 *Ferritin and the lack of an independent association with CHD*

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3 398 Serum ferritin levels were associated with CHD in unadjusted and age/sex-menopausal  
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6 399 status-adjusted models but not in the fully-adjusted model used in these analyses. In this latter  
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8 400 model, several cardiovascular risk factors, such as cholesterol markers, SBP, and BMI,  
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10 401 markedly attenuated the ferritin-CHD association (data not shown). The lack of an  
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12 402 independent association is consistent with the findings from a recent meta-analysis by Das et  
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15 403 al. on several iron markers and CHD which evaluated 17 prospective studies with a total of  
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18 404 9,236 cases of CHD and 156,427 participants [2]. In this meta-analysis, the pooled  
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20 405 association between serum ferritin in the highest tertile (vs. lowest tertile) and CHD was not  
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22 406 significant [OR 95% (CI) 1.03 (0.87–1.23)]. Paradoxically, transferrin saturation was  
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25 407 significantly and inversely associated with incident CHD. The authors acknowledged  
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27 408 difficulties in inferring causality due to potential reverse causality and residual confounding.  
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30 409 However, they did not ignore the likely role of anaemia in the inverse association, since a  
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32 410 higher iron status could prevent the onset of anaemia, which is associated with symptomatic  
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35 411 CHD[2]. It is still unclear why the directions of associations with iron status markers are  
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37 412 inconsistent. If iron deficiency and anaemia are related to CHD, the effect estimates for the  
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40 413 relationship with serum ferritin should show an inverse significant pattern as well. This  
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42 414 discrepancy reinforces the notion that the iron markers are differentially associated with  
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45 415 cardiometabolic risk through mechanisms other than iron metabolism.

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48 416 The fully adjusted effect estimate described in this work for the non-significant positive  
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50 417 association between high ferritin (comparison of extreme quartiles), [HR (95%CI) 1.08  
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53 418 (0.76–1.52)] is similar to those reported in three studies out of ten from the meta-analysis by  
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55 419 Das et al. on ferritin and CHD [2]. Among the remaining seven studies, four reported effect  
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58 420 estimates much lower than 1.0, and three, higher than 1.0. However, Das et al. did not find  
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1 421 any source of statistically significant heterogeneity among factors of location, degree of  
2 422 adjustment for confounders, sex, or case definition across meta-regression analyses [2].  
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6 423 *Limitations and strengths*  
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9 424 Several limitations need to be acknowledged. SHeS did not measure serum triglycerides, and  
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11 425 it is unknown to what extent this risk factor could have attenuated the associations found.  
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14 426 **Additionally, blood samples in the SHeS were not taken at fasting state, and although none of**  
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16 427 **the biomarkers measured required fasting is important to observe differences or similarities**  
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18 428 **with future studies using fasted samples.** Two issues may have led to underestimating the  
19  
20 429 total number of cases of T2D and people with undiagnosed diabetes may have been included  
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22 430 in the non-diabetic population. First, there could be an underestimation of incident T2D cases  
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24 431 between 1995 and 2003, because cases of T2D for people who died before 2004 were only  
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26 432 identified using information on hospital admissions, since the Scottish Diabetes Register is  
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28 433 only thought to have been complete since 2004. There is also potential for erroneous  
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30 434 inclusion of people with undiagnosed diabetes both at baseline and follow-up in the non-  
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32 435 diabetic group. However, the above issues did not affect the statistical power to find a  
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34 436 consistent association between ferritin and T2D in the Scottish population, and this may be  
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36 437 related to the long follow-up of this study, which is the longest to date. **Regarding**  
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38 438 **cardiovascular disease, we have not identified CHD or CEVD without hospital admission.**  
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46 439 Future studies on iron and cardiometabolic disease should explore additional iron markers  
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48 440 since SHeS, the source of this investigation, only had available serum ferritin. However, it is  
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50 441 important to take into account that serum ferritin is the iron marker most consistently  
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52 442 associated with insulin resistance and metabolic syndrome. Markers such as soluble  
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54 443 transferrin receptors or transferrin have shown inconclusive findings among studies and  
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56 444 opposite associations with cardiometabolic risk to those found for serum ferritin. This latter  
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445 observation might reflect different pleiotropic influences and/or roles, besides iron  
446 metabolism, for each iron marker. Therefore, is highly relevant to characterize the  
447 relationship of several iron markers and risk of different cardiometabolic diseases in large  
448 samples and prospective studies as this study has done with serum ferritin.

449 Despite the longitudinal significant associations between serum ferritin and CMD described  
450 in this study, we cannot establish that there is causal relationship. In terms of the adjustments  
451 use in our analysis, it seems that inflammation, liver injury and well-known cardiometabolic  
452 risk factors would not influence or confound the ferritin-CMD association. However, residual  
453 confounding may persist given the high chance of ferritin might behave in relation to  
454 cardiometabolic risk on the basis of biological roles o pleiotropic influences others than iron  
455 metabolism. Therefore, future studies should try to test new potential co-variables or  
456 confounding factors related to different kinds of metabolic and endocrine pathways and  
457 biological events (e.g. thyroid function markers, oxidative stress). In this same way, the role  
458 of nutraceuticals should be specifically addressed in upcoming research since these food  
459 ingredients might affect ferritin levels and /or cardiometabolic risk [20]. In the present study,  
460 we did not find effects of use of vitamin and dietary supplements on the ferritin-CMD  
461 associations. However, there was not available precise information on the specific  
462 nutraceuticals used by the subjects.”

463 The proportion of excluded cases with missing values for exposure/outcome variables or  
464 covariates may have introduced bias in the associations found. Estimation of outcome  
465 variables might have been affected by the loss of follow-up. If the outcomes were  
466 overestimated, the associations with serum ferritin may have been biased toward the null  
467 hypothesis, as in the case of CHD. If the outcomes had been underestimated, it is possible  
468 that the loss of statistical power led to weaker associations, as observed for CEVD. Time

469 trends in background interventions for prevention and treatment of cardiovascular disease  
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2 470 may mean that it is inappropriate to extrapolate findings from the SHeS analysis to  
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5 471 contemporary populations given the long follow-up period for SHeS participants. For  
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7 472 instance, the proportions of people who take aspirin and statins have increased over that time  
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10 473 period and these treatments have been linked (along with angiotensin converting enzyme  
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12 474 inhibitors, thrombolysis, and coronary artery bypass graft surgery) to a 25-55% of the fall in  
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14 475 cardiovascular mortality rates in Scotland (2000-2010) [26]. It is not clear how this might  
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16 476 have affected the association between ferritin and cardiovascular outcomes.

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20 477 To the best of our knowledge, this study is the first to have simultaneously evaluated the  
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23 478 association between ferritin and incidence of several CMDs. Moreover, this is the first study  
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25 479 in a nationally representative population. The study also explored different upper categories  
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28 480 of ferritin concentration in relation to the risk of CMD and evaluated the potential non-linear  
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30 481 relationships between ferritin and each CMD outcome. Our findings along with previous  
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32 482 literature remark the importance of a deeper evaluation of high ferritin levels in daily clinical  
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35 483 practice since iron deficiency uses to be the main concern. An emerging body of evidence has  
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37 484 linked serum ferritin levels to major clinical outcomes such as cardiovascular mortality in  
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40 485 patients with type 2 diabetes [27]. This has the potential to attribute to serum ferritin the  
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42 486 value of risk stratification and prognosis, regardless of causal or non-causal relationship.  
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45 487 Future efforts are required to fully explore this potential in well-designed prospective large-  
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47 488 scale cohorts.

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51 489 In conclusion, serum ferritin levels were positively and independently associated with  
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53 490 incident T2D, and with incident CEVD if higher cut-off points for upper ferritin levels were  
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55 491 considered. The lack of an independent association between serum ferritin and CHD reported  
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6 492 in previous studies was confirmed in this Scottish population. Further studies on ferritin and

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9 493 CEVD are required to confirm the association described here.

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16 494 **Abbreviations**

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19 495 CMD: Cardiometabolic disease

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22 496 T2D: Type 2 diabetes

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25 497 CHD: Coronary heart disease

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28 498 CEVD: Cerebrovascular disease

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31 499 SHeS: Scottish health survey

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34 500 T1D: type 1 diabetes

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37 501 GGT: Gamma-Glutaryltransferase

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40 502 BMI: Body mass index

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43 503 SBP: Systolic blood pressure

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46 504 DBP: Diastolic blood pressure

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49 505 WC: Waist circumference

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52 506 CRP: C reactive protein

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3 **508 Ethics approval**

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5 The secondary analysis of the SHeS-linked dataset was approved by the Ethics Research  
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8 **510** Subgroup of the Centre for Population Health Sciences of the University of Edinburgh.

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11 **511 Availability of data and materials**

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13 The datasets used and/or analysed during the current study are available from the  
14  
15  
16 **512** corresponding author on reasonable request.

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18  
19 **514 Competing interests**

20  
21 The authors declare that they have no known competing financial interests or personal  
22  
23  
24 **515** relationships that could have appeared to influence the work reported in this paper.

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32 **518** commercial, or not-for-profit sectors.

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35 **520 Author contributions**

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38 MFSO conceived the study design, analysed data, and wrote the first draft of the  
39  
40  
41 **521** manuscript. SM, AA, TPT, and JMFR reviewed/edited the manuscript and contributed to the  
42  
43  
44 **522** discussion. SHW, supervised the analysis, contributed to study design, and reviewed/edited  
45  
46  
47 **523** the manuscript and contributed to the discussion.

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53 **526** Not applicable.

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56 **527 Consent for Publication**

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60 **528** Not applicable  
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**Table 1. Baseline characteristics of participants and incidence of outcome diseases by sex-and menopausal stats-specific quartiles of ferritin level in the study cohort (n=6497) [weighted values]\***

	Ferritin quartiles (µg/L)					P for trend
	All	Q1	Q2	Q3	Q4	
<b>Age</b>	41(31-52)	39(29-50)	40(30-50)	40(31-52)	44(34-54)	<0.001
<b>Sex (Pre-W/Post-W/ Men) ,%</b>	32.9/16.6/50.5	32.9/16.4/50.7	32.2/16.9/50.9	33.3/17.2/49.5	33.0/15.9/51.1	0.887
<b>BMI (Kg/mts<sup>2</sup>)</b>	25.7(23.1-28.6)	24.9(22.7-27.8)	25.3(22.8-28.1)	25.8(23.3-28.5)	26.6(24.1-29.9)	<0.001
<b>Systolic blood pressure (mmHg)</b>	126(117-137)	125(117-135)	125(116.5-135)	125.5(116.5-137)	128.5(118-140)	<0.001
<b>Diastolic blood pressure (mmHg)</b>	70(63-79)	69(62-77)	69(62-78)	70(64-78)	73(65-81)	<0.001
<b>Smoking status (%)</b>						
<b>Never smoker</b>	39	45.4	41.1	36.1	33.0	
<b>Ex-regular or Ex-occasional smoker</b>	26.3	24.4	26.3	26.1	28.4	
<b>Current smoker</b>	34.7	30.2	32.6	37.8	38.6	<0.001
<b>Alcohol consumption. Categories of rating units/week Prevalence (%)</b>						
<b>Never drank</b>	4.2	5.1	5.4	3.6	2.6	
<b>Ex-drinker</b>	2.8	3.2	3.1	2.7	2.4	
<b>Trivial drinker/Non-zero but under 1</b>	10.3	12.6	10.7	9.1	8.5	
<b>1-20</b>	63.4	64.5	62.9	65.4	60.6	<0.001
<b>≥ 21</b>	19.3	14.6	17.8	19.2	26.0	
<b>Total cholesterol (mmol/L)</b>	5.4(4.7-6.2)	5.3(4.6-6.0)	5.4(4.6-6.2)	5.4(4.8-6.2)	5.6(4.9-6.4)	<0.001
<b>HDL-cholesterol (mmol/L)</b>	1.4(1.2-1.7)	1.4(1.2-1.7)	1.4(1.2-1.7)	1.4(1.2-1.7)	1.4(1.1-1.7)	0.007**
<b>GGT (IU/L)</b>	20(14-32)	17(13-25)	18(14-27)	21(15-33)	27(18-45)	<0.001
<b>Fibrinogen (g/L)</b>	2.9(2.4-3.5)	2.8(2.3-3.4)	2.9(2.4-3.4)	3.0(2.5-3.6)	3.1(2.6-3.7)	<0.001
<b>Ferritin (µg/L)</b>	61(32-108)	25(13-43)	62(28-78)	91(42-116)	161(86-216.8)	<0.001
<b>Ferritin range by sex/menopausal status*</b>						
<b>premenopausal women (Pre-W) (n=2239)</b>	2.0-950	2.0-18	19-30	31-47	48-950	
<b>postmenopausal women (Post-W) (n=1343)</b>	3.0-1000	3.0-34	35-58	58-91	92-1000	
<b>men (n=2915)</b>	3.0-2251	3.0-61	62-96	97-151	152-2251	

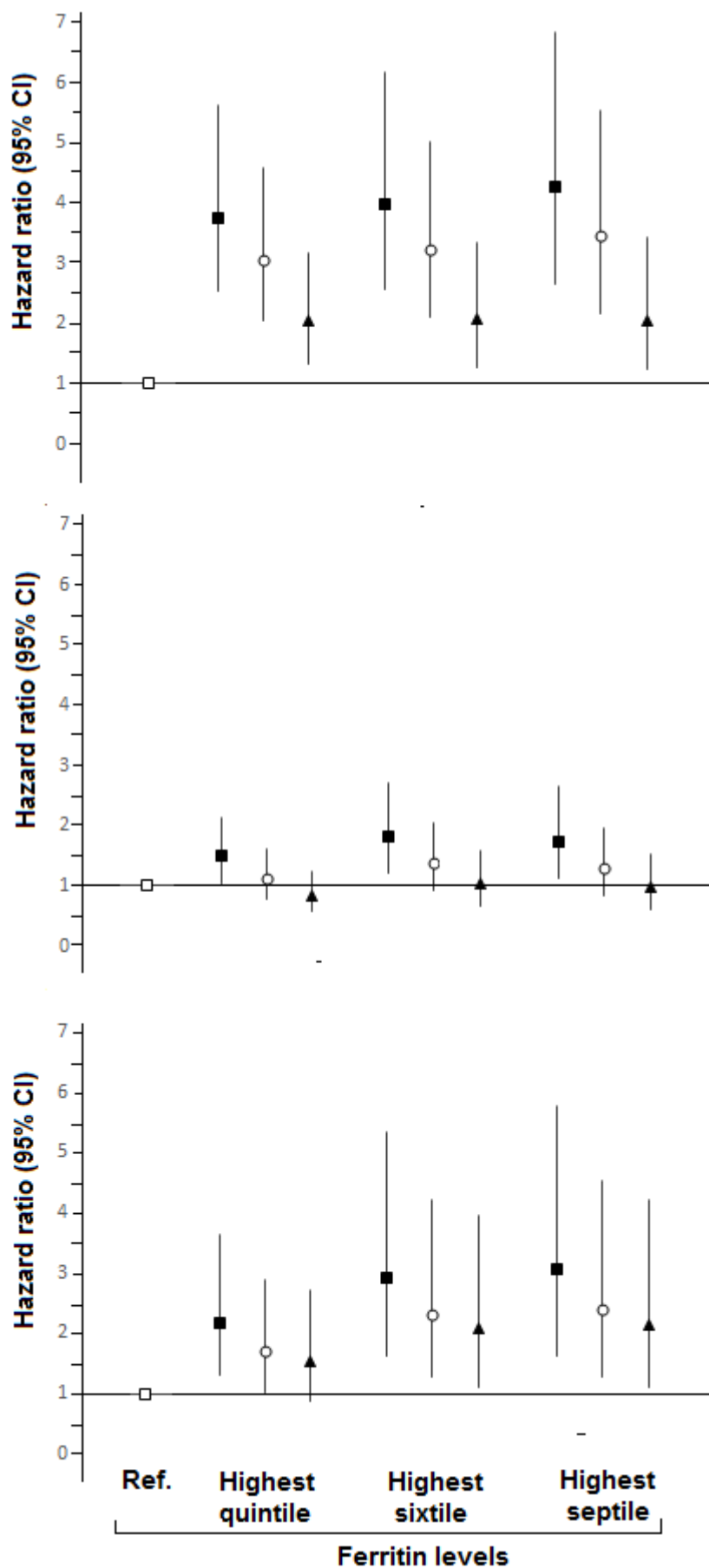
Data for continuous variables are median (interquartile range) \*Samples sizes, quartiles and ranges of ferritin levels are based on unweighted values.

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**Table 2 HRs and 95% CI for the incidence of diabetes and cardiovascular diseases by serum ferritin levels**

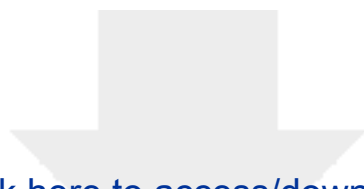
	Type 2 diabetes			Coronary heart disease			Cerebrovascular disease		
	Unadjusted	Age- and sex/menopausal status- adjusted	Fully adjusted*	Unadjusted	Age- and sex/menopausal status- adjusted	Fully adjusted*	Unadjusted	Age- and sex/menopausal status- adjusted	Fully adjusted*
<b>Z score of log-ferritin</b>	<b>1.57</b> (1.39-1.78) <b>P&lt;0.001</b>	<b>1.45</b> (1.28-1.64) <b>P&lt;0.001</b>	<b>1.22</b> (1.07-1.39) <b>P=0.002</b>	<b>1.22</b> (1.08-1.38) <b>P=0.001</b>	<b>1.11</b> (1.00-1.25) <b>P=0.049</b>	1.02 (0.90-1.15) P=0.669	<b>1.28</b> (1.09-1.51) <b>P=0.002</b>	<b>1.18</b> (1.01-1.37) <b>P=0.029</b>	1.12 (0.95-1.33) P=0.163
<b>Ferritin</b>									
<b>Quartile 1</b>	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)
<b>Quartile 2</b>	1.01 (0.67-1.50)	0.95 (0.64-1.41)	0.99 (0.66-1.50)	1.02 (0.71-1.46)	0.95 (0.66-1.36)	0.89 (0.61-1.29)	1.10 (0.66-1.82)	1.04 (0.62-1.73)	1.05 (0.63-1.75)
<b>Quartile 3</b>	1.34 (0.92-1.97)	1.23 (0.84-1.80)	1.10 (0.73-1.65)	1.04 (0.74-1.47)	0.93 (0.66-1.31)	0.79 (0.56-1.13)	1.23 (0.75-2.00)	1.11 (0.68-1.81)	1.05 (0.63-1.75)
<b>Quartile 4</b>	<b>2.73</b> (1.94-3.85)	<b>2.28</b> (1.61-3.21)	<b>1.59</b> (1.10-2.34)	<b>1.70</b> (1.23-2.36)	1.35 (0.97-1.87)	1.07 (0.76-1.51)	<b>1.86</b> (1.18-2.95)	1.52 (0.96-2.40)	1.36 (0.81-2.27)
<b>P for trend</b>	<b>P&lt;0.001</b>	<b>P&lt;0.001</b>	<b>P=0.006</b>	<b>P=0.002</b>	P=0.070	P=0.700	<b>P=0.007</b>	P=0.065	P=0.253

\* Adjusted for age, sex/menopausal status, fibrinogen levels, GGT levels, alcohol intake, smoking, systolic blood pressure, diastolic blood pressure, total cholesterol, HDL cholesterol, body mass index and year of survey.

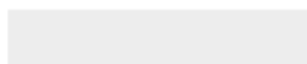


**Figure 1. Risk of type 2 diabetes (T2D), coronary heart disease (CHD) and cerebrovascular disease (CEVD) by several sex-specific upper quantiles of ferritin levels (v. respective lowest categories). Ferritin range for highest quintile: Premenopausal women (Pre-MW) 53-950  $\mu\text{g/L}$ , Postmenopausal women (Post-M) 102-1000  $\mu\text{g/L}$ , Men 169-2251  $\mu\text{g/L}$ . Ferritin range for highest sextile: Pre-MW 58-950  $\mu\text{g/L}$ , Post-MW 114-1000  $\mu\text{g/L}$ , Men 183-2251  $\mu\text{g/L}$ . Ferritin range for highest**

septile; Pre-MW 62-950  $\mu\text{g/L}$ , Post-MW 124-1000  $\mu\text{g/L}$ , Men 197-2251  $\mu\text{g/L}$ . □ Reference (lowest quintile, sextile or heptile). ■ Unadjusted. ○ Adjusted for age and sex/menopausal status. ▲ Adjusted for age, sex/menopausal status, fibrinogen levels, GGT levels, alcohol intake, smoking, systolic blood pressure, diastolic blood pressure, total cholesterol, HDL cholesterol, body mass index and year of survey. The above analysis included survey weights.



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*Prof. Enrique Fisman, MD  
Editor in Chief  
Cardiovascular Diabetology*

*January 20<sup>th</sup>, 2022.*

*CVDB-D-21-01050  
Serum ferritin and incident cardiometabolic diseases in Scottish adults*

Dear Dr. Fisman,

Thank you for your e-mail of November 23<sup>rd</sup>. We want to thank the Editor and the Reviewers for the helpful and encouraging comments and insights, which have been instrumental to produce a substantially improved version of our manuscript.

In this revised version, we have addressed all the points raised and we have included, following the advice of the Editor and Reviewers, the changes that have been requested. A point-by-point response is enclosed.

We would be pleased to further modify the text or provide additional information if necessary.

Thank you very much for your attention to our manuscript. We look forward to hearing from you soon.

Sincerely yours,

**José-Manuel Fernández-Real**  
**Full Professor**  
**School of Medicine**  
**University of Girona. Spain.**

**EDITOR**

**Response:** We want to thank the Editor for the helpful and encouraging comments and insights, which have been instrumental to produce a substantially improved version of our manuscript.

In this revised version, we have addressed all the points raised and, following the advice of the Editor and Reviewers, we have performed the changes that have been requested.

A detailed point-by-point response to all comments of the Referees (**#1, #2 and #3**) is included below.

**REVIEWER#1**

**Summary of Research:** *This manuscript is a well-designed and well written. And the topic is important in this area. However, authors put too many points in this single article and the description was not condensed, so it was a little difficult to focus.*

*Overall, I recommend you should make the sentences be shorter, but included what you want to mention. Please cut branches of results and discussion for readers to focus your main findings.*

**Response:** *We thank the Reviewer for the positive view of our manuscript.*

**Some comments**

**1. In methods for biochemical and clinical variables, you mentioned VIKING study from line 117, p5, Why? What is the VIKING study? You did not mention this study before line 117 and did not use this study in the analysis. Very confusing. From line 117 to 150, Please remove the description about VIKING study.**

*R/ We apologize for this mistake. The VIKING study was not part of the analysis since it is a cross-sectional study. In this revised version we have removed any mention of this study in the manuscript.*

**2. You explained the rationale of your biomarkers from not-fasting blood, nevertheless, it is a limitation I think. please add this in the limitation section (but short).**

*R/ Many thanks for this recommendation. In this revised version in discussion section at the end we have added the limitation of using non-fasting samples as follows:*

*“Additionally, blood samples in the SHeS were not taken in a fasting state, and although none of the biomarkers measured required fasting, it is important to observe differences or similarities with future studies using fasted samples”*

**3. You used follow-up data until 2011. Why? Is there any reason not to link from 2011~? Actually, the number of cases of CHD and CEVD was small. However, if you linked them, you could obtain more cases and increase the statistical power.**

*R/ The reviewer is right. For this analysis, 2011 was the most recent update available. Unfortunately, it has not yet been possible to obtain an approval to extend the follow-up period. We have added this explanation in the manuscript.*

**4. You should cut the results especially the volume of description about sensitivity analyses and supplemental tables/figures.**

*R/ We have moved the section of sensitivity analyses to supplemental information, highlighting this as follows in the Results section:*

*“Other sensitivity analyses related to adjustments for C reactive protein (SHeS 1998) and exclusion of individuals according to high levels of ferritin, fibrinogen and GGT levels are reported in supplemental material”*

**5. In the beginning of the discussion section, in line 335-336, you told more consistent association in pre-menopausal women than in men. Actually, this point was not found in the main Table and Figure. If you wanted to focus this point, you should add table or figure in the main part.**

*R/ Many thanks for this observation. The finding by sex and menopausal status was part of an additional analysis and effect estimates were reported in a paragraph of the results section. However, for this revised version we decided not to overestimate this finding since the associations between ferritin levels and CMD in pre-menopausal women were not statistically significant, and were of just borderline statistical significance after some sensitivity analyses. Thus, we maintained the report of findings by sex and menopausal status in the results section but we have removed it from the highlights at the beginning of discussion section. This decision also helped us to shorten the discussion. In this revised version we have emphasized in results section that the findings in premenopausal women were no statistically significant as follows:*

*“In these analyses (Supplemental Table 2) the statistically significant ferritin Z score-T2D association **persisted only in men** [HR (95% CI) 1.20 (1.01–1.43), P = 0.033; **pre-menopausal 1.25 (0.92–1.69), P = 0.149**; post-menopausal women 1.14 (0.87–1.49), P = 0.325]. When cases with ferritin levels above the normal range were excluded, the **association between ferritin Z score and T2D was not significant in any of sex/menopausal status groups** [pre- menopausal women HR (95% CI) 1.32 (0.96–1.82), P = 0.079; men HR (95% CI) 1.17 (0.93–1.46) P = 0.159; Postmenopausal women HR (95% CI) 1.12 (0.93–1.46) P=0.170]. **A similar lack of association** was observed when the analysis was restricted to subjects without high levels of fibrinogen and/or GGT [HRs (95% CI) **pre- menopausal women 1.38 (0.95–1.99), P = 0.085**; men 1.11 (0.92–1.34), P = 0.246); post- menopausal women 0.92 (0.66–1.29), P = 0.658].”*

*In discussion section we modified a paragraph as follows:*

*“A large study conducted in a sub-cohort of the European Multicenter InterAct study found a significant association between serum ferritin and T2D in both sexes [21]. The association was markedly attenuated in men but not in women when individuals with signs of inflammatory or hepatic disease, high alcohol intake, and who were overweight were excluded from the analysis. Similarly, the association was stronger for women but not for men when analyses were restricted to ferritin values lower than 1000 µg/L. However, the stronger associations in women were no longer observed when standardized units of ferritin were used. Our findings are consistent with these observations Apparently stronger associations with T2D in women using natural units of ferritin in some analyses might be a statistical artefact arising from the different distributions of ferritin in men and women, as suggested in the InterAct study [21].”*

**6. In discussion section, the explanation of the association between ferritin and T2D in pre-menopausal women and men was very confusing. Please it should be re-written.**

*R/ Please see above our response in which we have addressed this valid point.*

**REVIEWER#2**

***This manuscript evaluated the association between serum ferritin and risk of T2D, CHD and CEVD in Scottish population over a wide range of ferritin levels. There are many questions could beneficially be addressed:***

We thank the Reviewer for the comments on our manuscript.

**1. Overall, the associations between iron stores and diabetes, coronary heart disease have been reported in previous studies (PMID: 31975563, 31074789). What novel information can be reached by studying those associations in a same population?**

*R/ The reviewer is right. However, the previous studies have evaluated iron-diabetes and iron-coronary heart disease associations separately. We have been clear about that in the manuscript. Following the suggestion of the reviewer we have added a paragraph in the introduction section supporting our choice of using both diabetes and coronary heart disease as outcomes of interest within the same population:*

*“As the distribution of iron biomarkers, confounders, covariates and outcomes can be highly varying among populations from different countries and regions, comparability between studies become harder as well as overall interpretation of findings. Since diabetes and cardiovascular disease are CMD, using a same population represents a context in which both evaluations, iron-diabetes and iron-cardiovascular disease, are conducted under the same analytical conditions providing an association pattern regarding CMD rather than for a single disease event separately.”*

**2. Abbreviations should be defined for the first time.**

*We have taken due care of this. Thank you.*

**3. The English expression needs thoroughly improved.**

*R/We apologize for this. We have now reviewed extensively the use of English in the manuscript.*

**4. Methods**

**(1) How the follow-up was conducted? How long is the follow-up interval? What information was obtained?**

*R/ These are important points. In the methods section we have now added:*

*“Records from which outcomes are identified are population based expecting all diagnosed diabetes and all hospital admissions for CHD.CEVD to be identified.”*

*And in discussion we have added under study limitations:*

*“Regarding cardiovascular disease, we have not identified CHD or CEVD that occurred without hospital admission”*

*With regard of the length of the follow up we have now added in Results:*

*“During a mean-follow-up of 14.1 (SD 2.8) years, 4.9% of the participants developed T2D, 5.3% developed CHD, and 2.3% developed CEVD.”*

**(2) What is the VIKING study? The current study uses data from the SHeS, why the methods of the VIKING study was described?**

*R/ We apologize for this mistake. The VIKING study was not part of the analysis since it is a cross-sectional study. In this revised version we have removed any mention of this study in the manuscript.*

**(3) How much of the difference between the two serum ferritin assay methods? It is unreasonable to adjust this difference by adjusting the year of survey. This is a fundamental limitation.**

*R/ We have now added information about the comparability of the two serum ferritin assay methods:*

*“Both methods are non-radiometric. A recent study by Garcia-Casal et al. reported a highly comparability among different ferritin assays including radiometric and non-radiometric methods in a systematic review and meta-analysis [9]. For non-radiometric assays such as MEIA and EIA, the correlation coefficient was 0.989.”*

**(4) Besides, there were many inconsistencies in measurement methods, including biochemical and clinical variables.**

*R/ Many thanks for this comment. Those inconsistencies were because of the inclusion of the VIKING study in the methods section. In this revised version we have removed any mention of this study in the manuscript. Methods section is now concise about SHeS methods.*

**(5) Line 210: "we used restricted cubic splines with knots at the following percentiles of ferritin : 5th, 27. 5th, 50th, 72.5th, and 95th, as suggested by Harrell". Given that the authors used quartile of ferritin levels in table 2, why chose five knots but not three?**

*R/ Many thanks for this comment. We have now added in the methods section:*

*“To explore the shape of the relationship between serum ferritin and each incident CMD, we used restricted cubic splines. Since we explored use of different categories of ferritin concentration (sextiles in addition to quartiles) we used five knots as the maximum, with knots at the following percentiles of ferritin: 5th, 27.5th, 50th, 72.5th, and 95th, as suggested by Harrell [19]. “*

## **5. Results**

**(1) Please adjust the order of cluttered contents in table 1. Maybe authors can note the classification, such as the history of disease and laboratory results. Moreover, the proportion of subjects who developed diabetes, CHD, and CEVD during follow-up should not be included in a baseline characteristics table.**

*R/ We have re-arranged Table 1 extensively and removed the proportions of people developing incident outcomes.*

**(2) The Results section is too confusing. The authors should add several headings/subheadings.**

*R/ In this current revised version, we have now added the following subheading across the Results section:*

*Study variables across ferritin levels*

*Association between serum ferritin and the different CMD*

*Association between serum ferritin and the different CMD using additional categories of ferritin concentration*

*Shape of the associations*

*Analyses by sex*

*Multivariable models*

*Use of medications or vitamins/supplements, and comorbidities across ferritin levels*

**(3) Line 230-232: "In unadjusted and age-and sex/menopausal status-adjusted models, ferritin as a continuous variable and ferritin levels in the highest quartile (compared to the lowest quartile) were positively and significantly associated with all types of incident CMD". However, in the age-and sex/menopausal status-adjusted model, ferritin levels in the highest quartile (compared to the lowest quartile) were not significantly associated with CHD and CEVD.**

*R/ Many thanks for this comment. We have modified that paragraph to clarify differences between associations with ferritin as continuous variable and with categories of ferritin concentrations, as follows:*

*"Table 2 shows the HRs for the longitudinal association of serum ferritin levels, as Z score and quartiles, with the different CMDs. In unadjusted and age- and sex/menopausal status-adjusted models, ferritin as a continuous variable was positively and significantly associated with all types of incident CMD. The associations of ferritin as continuous variable with CEVD and CHD were no longer statistically significant after full adjustment for covariates. Similarly, for CHD and CEVD, the HRs for associations comparing highest to lowest quartiles of ferritin, were attenuated after adjustments when compared to unadjusted models and were no longer statistically significant (Table 2). In fully adjusted models, individuals with high levels (highest quartile) of ferritin had 1.70 times the risk of developing T2D as compared to people with low concentrations (lowest quartile) ( $P=0.002$ ), and an increase in SD units of log ferritin was associated with higher risk of T2D [HR IC 95% 1.22 (1.08-1.38)  $P=0.001$ ] (Table 2)."*

**(4) Line 234: "The fully adjusted hazard of T2D was 71% higher". This result was inconsistent with table 2.**

*R/ We have corrected this as follows:*

*"In fully adjusted models, individuals with high levels (highest quartile) of ferritin had 1.70 times the risk of developing T2D as compared to people with low concentrations (lowest quartile) ( $P=0.002$ ), and a one SD increase in log ferritin was associated with higher risk of T2D [HR IC 95% 1.22 (1.08-1.38)  $P=0.001$ ] (Table 2)."*

**(5) Line 247-249: "It is worth noting that the sex/menopausal status-specific cut-off points for the highest quintile and sextile were in the clinical normal range for serum ferritin ( $\leq 300 \mu\text{g/L}$ ) (Figure 1)." This result cannot be reached according to the reported information.**

*R/ We have now added in the Figure 1 legend the range of ferritin levels for highest quintile, sextile and septile that supports the sentence, as follows:*

*"Figure 1. Risk of type 2 diabetes (T2D), coronary heart disease (CHD) and cerebrovascular disease (CEVD) by several sex-specific upper quantiles of ferritin levels (v. respective lowest categories). Ferritin range for highest quintile: Premenopausal women (Pre-MW) 53-950  $\mu\text{g/L}$ , Postmenopausal women (Post-M) 102-1000  $\mu\text{g/L}$ , Men 169-2251  $\mu\text{g/L}$ . Ferritin range for highest sextile: Pre-MW 58-950  $\mu\text{g/L}$ , Post-MW 114-1000  $\mu\text{g/L}$ , Men 183-2251  $\mu\text{g/L}$ . Ferritin range for highest septile; Pre-MW 62-950  $\mu\text{g/L}$ , Post-MW 124-1000  $\mu\text{g/L}$ , Men 197-2251  $\mu\text{g/L}$ . □*

*Reference (lowest quintile, sextile or heptile). ■ Unadjusted. ◻ Adjusted for age and sex/menopausal status. ▲ Adjusted for age, sex/menopausal status, fibrinogen levels, GGT levels, alcohol intake, smoking, systolic blood pressure, diastolic blood pressure, total cholesterol, HDL cholesterol, body mass index and year of survey. The above analysis included survey weights"*

**(6) Line 308: "(quintiles 2 and 3 o quartile 1)". Please check.**

*R/ Thank you for noting this. We have corrected as follows:*

*"No associations were found between low ferritin (quartile 1 vs. quartiles 2-3 or quintile 1 vs. 3rd quintile) and CMD in adjusted models"*

**6. Discussion**

**(1) Please add mechanisms to explain the current discovery.**

*R/ We have now added the following lines at the beginning of the Discussion:*

*“Excess iron, and specifically free iron, is known to trigger the production of reactive oxygen species. Iron and inflammation are intertwined in a bidirectional relationship. Iron potentiates the inflammatory phenotype and inflammatory cells secrete inflammatory mediators such as cytokines and nitric oxide, implicated in the pathophysiology of T2D and CMD”.*

**(2) How did the authors consider the death competition risk?**

*R/ Many thanks for this comment, we have added the following in methods section:*

*“Follow-up was censored at date of death as is appropriate for aetiological research”*

**REVIEWER#3:** *This paper reports on the association between serum ferritin and incident cardiometabolic diseases in Scottish adults. It is well conducted, however, there are some problems, as described below.*

**Response:** *We thank the Reviewer for the positive view of our manuscript.*

**1.** *The author should pay more attention to abbreviations, which must be defined at the first mention both in abstract and manuscript and should be coined only for unwieldy names that occur frequently. For example, type 2 diabetes should be used in a unified way, rather than mixed-use (Page 4, Line 74 and Page 14, Line 313). T2D diabetes in Line 74 is an obvious mistake. Please add the full names of CMDs, CHD and CEVD. (Page 4, Line 75). Besides, what is the full name of the VIKING study? Is it described in the text (Page 5, Line 118)?*

*R/ The issues with regard to abbreviations have been addressed. In the case of the VIKING study, we apologize for this mistake. The VIKING study was not part of the analysis since it is a cross-sectional study. In this revised version we have removed any mention to this study in the manuscript.*

**2.** *Page 3, Line 78: the author puts forward that "In addition, threshold effects of ferritin concentration on the risk for CMDs or the shape of the relationships have not been investigated in most of the previous studies". To the best of our knowledge, most previous studies have explored the shape of the association between serum ferritin concentration and the risk for T2D. e.g. PMID: 26861925; PMID: 34352498. Besides, after a careful reading, we still have doubts about the thresholds mentioned in the manuscript (Page 18, Line 413).*

*R/ In this revised version we have clarified our idea by being more specific regarding T2D or CHD-CEVD as follows:*

*"Increased iron stores, reflected by high serum ferritin levels, have been associated with the development of type 2 diabetes (T2D) [1]. Reports on the association between iron stores and other cardiometabolic diseases (CMDs) (coronary heart disease (CHD), cerebrovascular disease (CEVD)) for which diabetes is also a risk factor are inconsistent [2]. Few studies have investigated the association between iron metabolism and CEVD. To date, no published study has focused on simultaneous evaluation of associations between iron stores and CMDs in the same population or in nationally representative samples. In addition, there has been limited exploration of potential threshold effects of ferritin concentration on the risk for CMDs. Although the shape of serum ferritin-T2D risk have been studied in a few studies, the shape of relationships between serum ferritin and CHD or CEVD have not been investigated."*

**3.** *Page 3, Line 81: "In Scotland, CHD persists as a leading cause of illness and death [3, 4] and prevalence of all types of diabetes has increased over the last decade, from 3.2% to 5.1%, with decreasing mortality and stabilized T2D incidence contributing to this pattern [5]", this sentence is not well understood, so I suggest changing it into two sentences.*

*R/ We agree in that this sentence was not clear. This has been modified accordingly:*

*"In Scotland, CHD persists as a leading cause of illness and death [3,4] and prevalence of all types of diabetes has increased over the last decade, from 3.2% to 5.1%. Increasing prevalence of diabetes is partially explained by decreasing T2D mortality and stable or small declines in T2D incidence [5]."*

**4.** *We recommend that the 1995 and 1998 sample selections be presented separately in Supplementary Figure 1, rather than being integrated from the outset. It would be*



**better if the differences between the two sample collections and tests reflected in the flow chart.**

**R/ Done accordingly. Please see new Supplemental Figure 1.**

**5. Page10, Line 234: "The fully adjusted hazard of T2D was 71% higher in individuals with high levels (highest quartile) of ferritin compared to people with low concentrations (lowest quartile), and an increase in SD units of log ferritin was associated with a 22% increase in hazard ( $P \leq 0.001$ )", please check the value.**

**R/ We have modified that part of results section accordingly:**

*"In fully adjusted models, individuals with high levels (highest quartile) of ferritin had 1.70 times the risk of developing T2D as compared to people with low concentrations (lowest quartile) ( $P=0.002$ ), and an increase in SD units of log ferritin was associated with higher risk of T2D [HR IC 95% 1.22 (1.08-1.38)  $P=0.001$ ] (Table 2)."*

**6. Page 10, Line 237 "The associations of ferritin with CEVD and CHD were no longer statistically significant after full adjustment for covariates although effect estimates remained above 1 and there was the suggestion of a dose-response relationship (Table 2)". In the fully adjusted model, the increase in SD units of log ferritin was not associated with CHD and CEVD, and both the P for trend > 0.05. A dose-response relationship was not observed.**

**R/ We have modified that part of results section accordingly:**

*"The associations of ferritin as continuous variable with CEVD and CHD were no longer statistically significant after full adjustment for covariates. Similarly, for CHD and CEVD, the HRs for associations comparing highest to lowest quartiles of ferritin, were attenuated after adjustments when compared to unadjusted models and were no longer statistically significant (Table 2)."*

**7. In the part of sensitivity analysis (Line 250~Line 327), it is recommended that the results of the sensitivity analysis be presented in a supplementary table and that the description of the results of the sensitivity analysis be further streamlined. The results section is too trivial to highlight the point.**

**R/ Many thanks for this comment. Since reviewer #1 recommended to shorten the results section in terms of sensitivity analyses, we decided to extract the sub-analyses by sex from sensitivity analyses to remain in the main manuscript and the rest of sensitivity analyses to be sent to the supplemental material. Following the suggestion of presenting data on tables for the additional analyses, we created a new supplemental table which shows the analyses by sex (Supplemental Table 2).**

**8. Line 307 - line 307: "No associations were found between low ferritin (quintiles 2 and 3 o quartile 1) and CMD in adjusted models." Is there missing some words in the phrase of "quintiles 2 and 3 o quartile 1"?**

**R/ We have now corrected as follows:**

*"No associations were found between low ferritin (quartile 1 vs. quartiles 2-3 or quintile 1 vs. 3rd quintile) and CMD in adjusted models"*

**9. The section of the discussion needs to be improved. It is better to cover some of the possible mechanisms. In addition, the description of the significance of conducting this study should be strengthened.**

**R/ Thank you for these comments: We have now added the following to the discussion:**

*Excess iron, and specifically free iron, is known to trigger the production of reactive oxygen species. Iron and inflammation are intertwined in a bidirectional relationship. Iron potentiates the inflammatory phenotype and inflammatory cells secrete inflammatory mediators such as cytokines and nitric oxide, implicated in the pathophysiology of T2D and CMD.*

***In the introduction, we have now added this to support the relevance of the study:***

*“As the distribution of iron biomarkers, confounders, covariates and outcomes can be highly varying among populations from different countries and regions, comparability between studies become harder as well as overall interpretation of findings. Since diabetes and cardiovascular disease are CMD, using a same population represents a context in which both evaluations, iron-diabetes and iron-cardiovascular disease, are conducted under the same analytical conditions providing an association pattern regarding CMD rather than for a single disease event separately.”*