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## Considerations and Challenges of Islet Transplantation and Future Therapies on the Horizon

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1 **Considerations and Challenges of Islet Transplantation and Future Therapies On The**  
2 **Horizon**

3

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18 **Abbreviated Title: Challenges and Future Therapies for Islet Transplantation**

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31 **Abstract**

32 Islet transplantation is a treatment for selected adults with Type 1 diabetes and severe  
33 hypoglycemia. Islets from two or more donor pancreases, a scarce resource, are usually  
34 required to impact on glycemic control but the treatment falls short of a cure. Islets are  
35 avascular when transplanted into the hypoxic liver environment and subjected to  
36 inflammatory insults, immune attack and toxicity from systemic immunosuppression. The  
37 Collaborative Islet Transplant Registry with outcome data on over 1000 islet transplant  
38 recipients has demonstrated that larger islet numbers transplanted and older age of recipient  
39 are associated with better outcomes. Induction with T cell depleting agents and the TNF- $\alpha$   
40 inhibitor Etanercept and maintenance systemic immunosuppression with mTOR inhibitors in  
41 combination with calcineurin inhibitors also appear advantageous, but concerns remain over  
42 immunosuppressive toxicity. We discuss strategies and therapeutics which address specific  
43 challenges of islet transplantation, many of which are at the pre-clinical stage of  
44 development. On the horizon are adjuvant cell therapies with mesenchymal stromal cells  
45 and regulatory T cells that have been used in preclinical models and in humans in other  
46 contexts; such a strategy may enable reductions in immunosuppression in the early peri-  
47 transplant period when the islets are vulnerable to apoptosis. Human embryonic stem-cell  
48 derived islets are in early phase clinical trials and hold the promise of an inexhaustible  
49 supply of insulin producing cells; effective encapsulation of such cells or, silencing of the  
50 HLA complex would eliminate the need for immunosuppression, enabling this therapy to be  
51 used in all those with Type 1 diabetes.

52

## 53 **Introduction**

54 Diabetes affects over 422 million people worldwide, has an adult prevalence of 8.5% and is  
55 the fastest increasing chronic disease with substantial economic impact [1, 2]. Type 1  
56 diabetes (T1D) is an autoimmune condition characterised by the absence or near absence of  
57 circulating C-peptide and affects up to 15% of the diabetic population [3]. People with the  
58 condition are reliant on exogenous insulin therapy but complications of insulin treatment  
59 include severe hypoglycemia (SH) [4, 5]. SH, defined as a low blood glucose requiring  
60 external assistance associated with a blood glucose value < 70mg/dL (3.9mmol/L) [5, 6],  
61 occurs in 35-42% of T1D patients with a rate of between 90-130 episodes/100 patient years  
62 [7]. Repeated episodes of hypoglycemia leads to impairment of the counter-regulatory  
63 system with the potential for the development of hypoglycemia unawareness [8, 9]. Despite  
64 advances in technology which can have profound benefits for subgroups of patients  
65 particularly in the current era of continuous glucose monitoring systems and hybrid closed-  
66 loop systems, overall the prevalence of SH in people with T1D remains unchanged [10].

67

### 68 *Islet transplantation, history, indications and outcomes*

69 Allogeneic islet transplantation whereby islets are isolated from a donor pancreas and  
70 transplanted most commonly into a recipient with T1D, is a clinically proven intervention for  
71 T1D associated with recurrent SH. This can eliminate exogenous insulin injections, stabilise  
72 blood glucose control, prevent or diminish hypoglycemia, restore symptoms of hypoglycemia  
73 and halt the progression of T1D related complications [4, 11-14]. The history of islet  
74 transplantation and main clinical trials are outlined in **Figure 1** [13, 15-35]. Insulin  
75 independence is not a primary aim and 5 year insulin independence rates are < 50%, with  
76 attrition in islet function seen over time in the majority [36]. Nevertheless, minimal graft  
77 function protects against hypoglycemia [37-39]. Islet transplantation is associated with a  
78 reduction in the progression of microvascular complications, including neuropathy and

79 retinopathy [4, 40, 41]. Effects on nephropathy may be complicated by coexisting kidney  
80 transplantation and the impact of immunosuppression, the latter leading to an early decline  
81 in renal function; however, there is evidence to suggest renal outcomes stabilise in the long  
82 term [4]. Short-term studies have demonstrated a positive impact of islet cell transplantation  
83 on surrogate markers of macrovascular disease but this has not been examined in  
84 randomised controlled trials [4, 40]. This minimally invasive procedure has an excellent  
85 safety profile which may be considered in patients with co-morbidities that would not be fit  
86 enough to undergo the major intra-abdominal surgery involved in pancreas transplantation  
87 [42-44].

88 The first randomised controlled trial in islet transplantation demonstrated superior metabolic  
89 endpoints with improved hypoglycemic awareness versus insulin therapy and also improved  
90 quality-of-life [1, 34]. The requirement for immunosuppression is the main consideration and  
91 the increased risk of infections [45], nephrotoxicity [46] and the x4 fold risk of cancer [36],  
92 limits patient selection to those age  $\geq 18 < 65$  years without a history of cancer.

93

#### 94 Challenges in islet transplantation

95 Multiple challenges exist in islet transplantation as demonstrated in **Figure 2** [47-67] and the  
96 procedure currently falls short of a cure for T1D. A donor pancreas contains approximately 1  
97 million islets but following digestion, purification and culture of islets, <50% of this number  
98 are isolated [68-70].

99 Islets are avascular when transplanted into the liver and susceptible to apoptosis in the liver  
100 in the first few days peri-transplant [71, 72]. Following transplantation, islets are subject to  
101 oxidative stress, inflammation, including the instant blood mediated inflammatory reaction  
102 (IBMIR) and rejection from alloimmune and autoimmune mechanisms [70] and <60% of  
103 transplanted islets successfully engraft into the liver [73]. Angiogenesis commences at day 3  
104 post-transplant and takes approximately four weeks to complete. Attrition in graft function is

105 seen post-transplant but is incompletely understood [32, 36, 70, 74-77]. The liver is a  
106 tolerogenic organ and one of the only sites where transplanted islets have been associated  
107 with insulin independence [78, 79]. Typically, islets from  $\geq 2$  donor pancreases given as  
108 sequential infusions are required to impact glycemic control however successful single graft  
109 islet transplantation is seen [36] and has been reported in a number of single centres [80,  
110 81] as well as in the Collaborative Islet Transplant Registry (CITR) [36]. A recent study in  
111 islet transplant recipients receiving two versus one islet graft demonstrated that despite  
112 transplant recipients of two grafts receiving 1.9 times the number of islets compared to single  
113 graft recipients (median(IQR) 12,218(9,291-15,417) versus 6,442(5,156-7,639) IEQ/kg;  
114  $p < 0.0001$ ), 90 minute C-peptide concentrations following a mixed meal tolerance test at 1  
115 year post first transplant, were not significantly different [80]. Furthermore, the numbers of  
116 islets received in the first graft were associated with graft function in those receiving one and  
117 two grafts [80]. This result although requiring confirmation, highlights the importance of the  
118 first transplant and many programs aim to deliver high numbers of islets with the first islet  
119 infusion.

120 Islet transplant programs have pooled their data and the CITR has allowed meaningful  
121 interpretation of transplant outcomes. This registry (latest CITR – 2015, 10<sup>th</sup> annual report  
122 [36]) consists of outcome data from 1086 patients world-wide that have undergone islet  
123 allotransplantation. Donor and recipient selection meet strict criteria [48] as do release  
124 criteria of islets for transplant, which include sterility standards, numbers isolated ( $\geq 5,000$   
125 IEQ/kg), purity  $\geq 30\%$  and viability  $\geq 70\%$  [82]. Induction and immunosuppression regimens  
126 differ from centre to centre and over time, which has allowed an understanding of the impact  
127 of these factors on islet transplant outcomes.

128 Factors associated with islet transplant outcomes are discussed and therapies that may  
129 address challenges in islet transplantation are highlighted and shown in **Figure 2**.

130

131 Factors known to influence islet transplant outcomes

132 *Islet numbers:* Islet numbers  $\geq 325,000$  islet equivalent units (IEQs) and  $>10,000$  IEQs per  
133 kilogram recipient body weight are associated with insulin independence [83] although  
134 results differ from centre to centre [84]. Islet mass at first transplant appears critical [14, 84]  
135 and some programs aim for greater first islet transplant mass [14]. A time interval of  $>6$   
136 months between the first and second transplant may negatively impact on transplant  
137 outcomes [84]; donor specific antibody mediated rejection may play a role but has not been  
138 conclusively shown [84].

139 *Age of recipient:* recipient age  $\geq 35$  years are associated with better outcomes likely related  
140 to diminished autoimmune attack of transplanted  $\beta$ -cells [85]. Studies have demonstrated a  
141 negative correlation between increasing age and islet cell autoantibody positive status in  
142 Type 1 diabetes, consistent with this observation [84]. The mean(SD) age of people  
143 receiving islet allografts in the CITR is  $46(\pm 10.5)$  years [36].

144 *Continuation of insulin therapy post-transplantation:* in the Edmonton protocol published in  
145 2000, insulin therapy post-transplant was withheld unless serum glucose concentrations  
146 exceeded  $11.1$  mmol/L, at which stage another islet transplant was undertaken [13].  
147 Currently, in order to in theory limit the stress on the transplanted islets, insulin therapy is  
148 now reinstated following islet transplant until satisfactory glucose control is observed [86].  
149 However, controlled trials in humans in this area demonstrating the benefits on islet survival  
150 post transplantation are lacking but nevertheless the administration of insulin to control  
151 glucose concentrations is pragmatic and overall beneficial.

152 *Induction with T-cell depletion and/or TNF- $\alpha$  inhibition:* induction with the anti-CD52 antibody  
153 alemtuzumab that targets mature lymphocytes is associated with lymphopenia and a  
154 decrease in *de novo* antibody formation post allotransplant [75] and this, in combination with  
155 the TNF- $\alpha$  inhibitor Etanercept, is associated with positive long-term graft outcomes. Anti-  
156 thymocyte globulin (ATG), is also associated with improved graft function [55]. Anti-CD3

157 agents block T cell differentiation and proliferation, induce regulatory T cells [87] and have  
158 recently been shown to preserve C-peptide concentrations in those with newly diagnosed  
159 type 1 diabetes [88] and are currently being utilised in early clinical trials in islet  
160 transplantation.

161 *Mammalian target of rapamycin (mTOR) inhibition in combination with calcineurin inhibitors*  
162 *(CNI):* mTOR inhibitors such as sirolimus were used in the original Edmonton protocol and  
163 were thought to have decreased renal toxicity and diabetogenic effects [13, 89]. When  
164 combined with CNIs such as tacrolimus, an agent that impairs transcription of (IL)-2 and  
165 several other cytokines in T lymphocytes, mTOR inhibitors are associated with positive islet  
166 graft outcome measures. mTOR inhibitors are now less commonly used: previously 86.9 %  
167 in 1999 to 2002 to 59 % in 2011 to 2014 to no reported use in 2015-2018 [90]. This  
168 decrease is due to less well-tolerated side effects without the advantage of better outcomes  
169 [89]. The most common immunosuppression now is mycophenolate mofetil (MMF), an  
170 inhibitor of inosine-5'-monophosphate dehydrogenase leading to inhibition of proliferation of  
171 T and B lymphocytes, with suppression of cell-mediated immune responses and antibody  
172 formation in combination with CNIs. Adverse effects of tacrolimus include insulin resistance  
173 and renal dysfunction, which are ameliorated with dose reductions but  $\beta$ -cell mediated  
174 toxicity is a concern [4] and alternative immunosuppressive agents hold promise.

175

#### 176 *Therapies in early clinical trials and on the horizon*

177 Human embryonic stem cell (hESC) derived islets are in early phase 1/2 clinical trials and  
178 this may lead to an inexhaustible supply of islets which could transform the field [91]  
179 although tumorigenicity, while unlikely, is a concern [92]. Encapsulation of hESC islets could  
180 eliminate the need for immunosuppression [93, 94], as could HLA silencing [66, 95-99], both  
181 of which would enable children to be treated. Transplantation of hESC islets in a device in  
182 the subcutaneous space is theoretically advantageous but in practice has been difficult due



183 to scar formation around the site limiting the release of insulin [100]. Alternative strategies  
184 [101] and exploitation of specific biomaterials in the subcutaneous site are a focus of  
185 research [60], as are human induced pluripotent stem cell (hiPSC) [99] and xenogeneic  
186 sources of islets [50, 60]. Bioscaffolds are becoming increasingly investigated as a potential  
187 aid to islet engraftment – for example, dexamethasone-loaded microplate enriched collagen  
188 coated polydimethylsiloxane scaffolds enhance islet function and prolong graft survival [102].  
189 The manipulation of self-reactive T-cells to delete the responsiveness to self, known as  
190 tolerance, is also being investigated [103].

191 Most adjuvant therapies that may improve human islet transplant outcomes are at the  
192 preclinical phase of development. Cellular therapies including mesenchymal stem cells  
193 (MSCs) as well as their products [104] hold particular promise as they have already been  
194 used in humans for other conditions [105]. MSCs are pro-regenerative, anti-inflammatory  
195 and immunomodulatory [67] enabling in theory a reduction in the dose of  
196 immunosuppression [104]. Autologous bone marrow derived MSCs transplanted in people  
197 with new onset T1D where there are remaining  $\beta$ -cells with detectable C-peptide, shows that  
198 C-peptide concentrations are preserved to a greater degree than when MSCs are not given  
199 [106]. Meta-analyses of islet transplant outcomes in humans have shown that less pure islet  
200 preparations, where there are conceivably more pancreatic MSCs, are associated with better  
201 islet graft function [107]. Other cellular therapies including regulatory T cells may also hold  
202 promise as a co-therapy for islet transplantation due to their pro-regenerative, anti-  
203 inflammatory and immunomodulatory properties and have been given in man [108].

204 Modulation of the liver niche with growth factors [109] and anti-inflammatory agents [110]  
205 have been used with some success and polymer properties may be exploited to regulate  
206 release of such factors when islets are immediately transplanted and particularly vulnerable  
207 to apoptosis [109, 111], but these are still at a very early pre-clinical stage. Accelerating the  
208 vascularisation of transplanted islets with a number of approaches including gene therapy

209 methods [112] may be a relevant strategy to accelerate islet engraftment and may improve  
210 transplantation outcomes.

211

## 212 Conclusions

213 Islet transplantation stabilises glycemic control, reduces hypoglycemia and restores  
214 hypoglycemic awareness. However long term insulin independence rates are low. Despite its  
215 success, major factors limit the application of islet transplantation including scarcity of  
216 appropriate organ donors, poor islet engraftment rates, long-term deterioration in islet  
217 function, and formation of allo- and auto-antibodies in patients receiving multiple grafts. The  
218 use of immunosuppression is associated with an increased risk of cancer and infections and  
219 limits the procedure to selected adult patients. Since no replenishable source of islets or  $\beta$ -  
220 cells exists for routine clinical use the best use of donated pancreases is imperative;  
221 adjuvant cellular therapies have shown benefit in pre-clinical studies and these co-therapies  
222 are on the horizon. Other more experimental techniques targeting the liver niche hold  
223 promise. The field of islet transplantation may be transformed by the use of hESC islets,  
224 already in early stage clinical trials, which would enable more people to be treated to achieve  
225 insulin independence. The use of these islets as well as other alternative sources of islets  
226 with no requirement for immunosuppression would open up the possibility of islet  
227 transplantation for all with T1D including children.

228

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233

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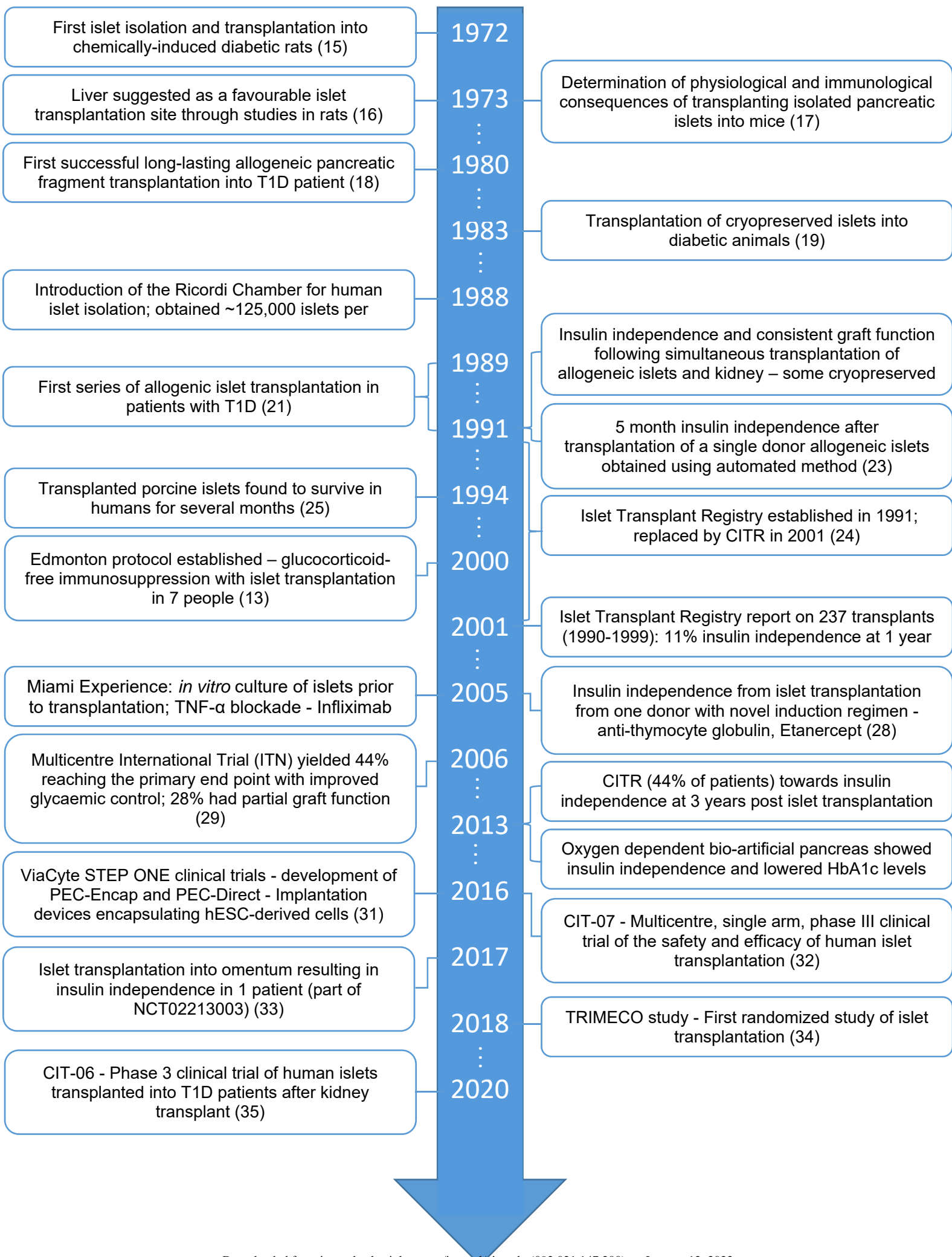
517 **Figure 1. Timeline of important developments and clinical trials in islet**  
518 **transplantation.**

519 The timeline of significant studies, developments and clinical trials in islet transplantation are  
520 shown.

521

522 **Figure 2. The challenges and potential future therapies for islet transplantation.**

523 The main challenges of islet transplantation are demonstrated along with potential future  
524 solutions. Such solutions include expanding the source of insulin producing cells to meet  
525 demand, reducing inflammation post islet transplantation using pharmacotherapies and cell  
526 therapies, using alternative immunosuppression and eliminating the need for  
527 immunosuppression by using biomaterials and HLA silencing.

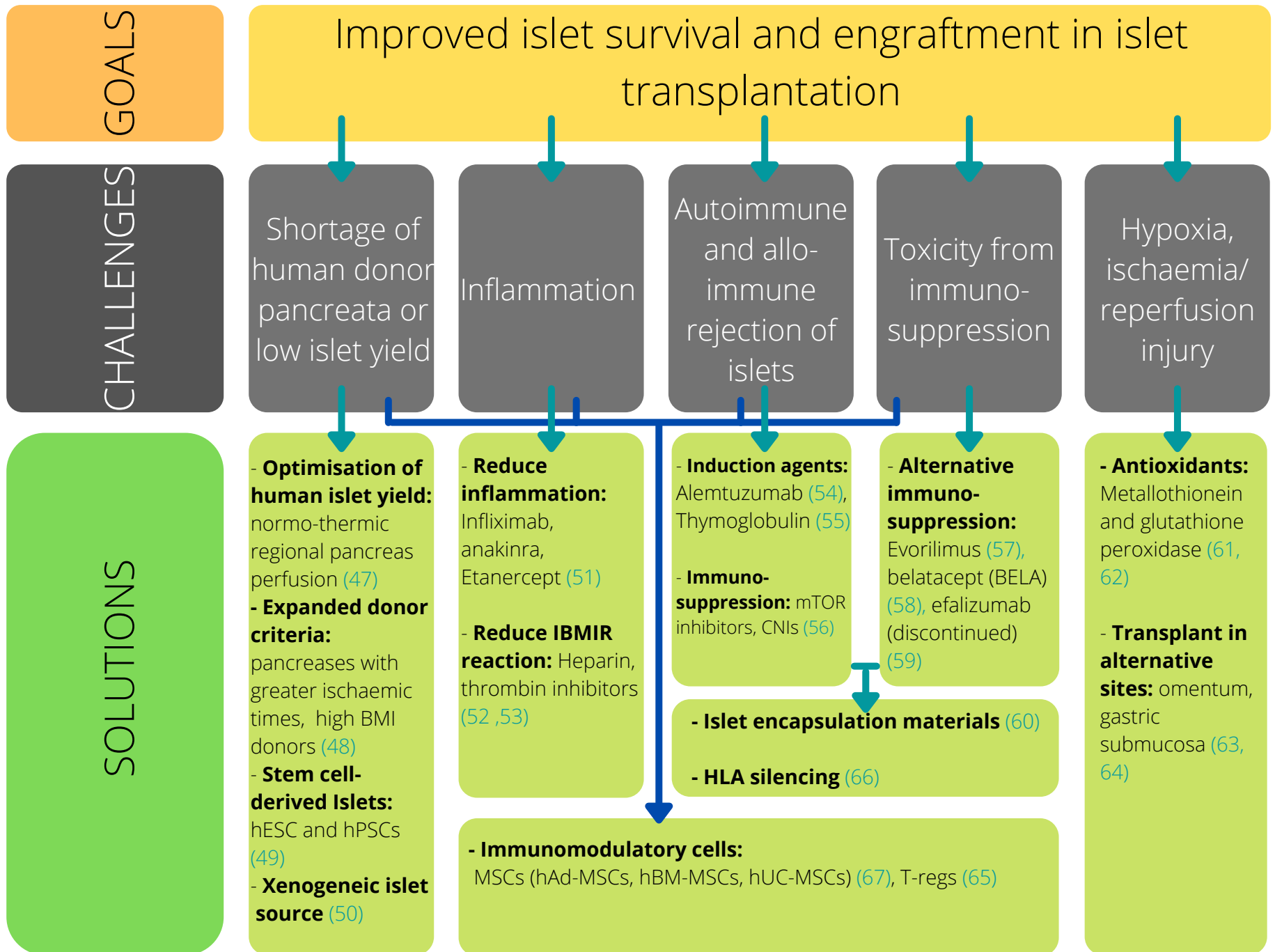


## Figure 1: Timeline of important developments and clinical trials in islet transplantation.

### Timeline References:

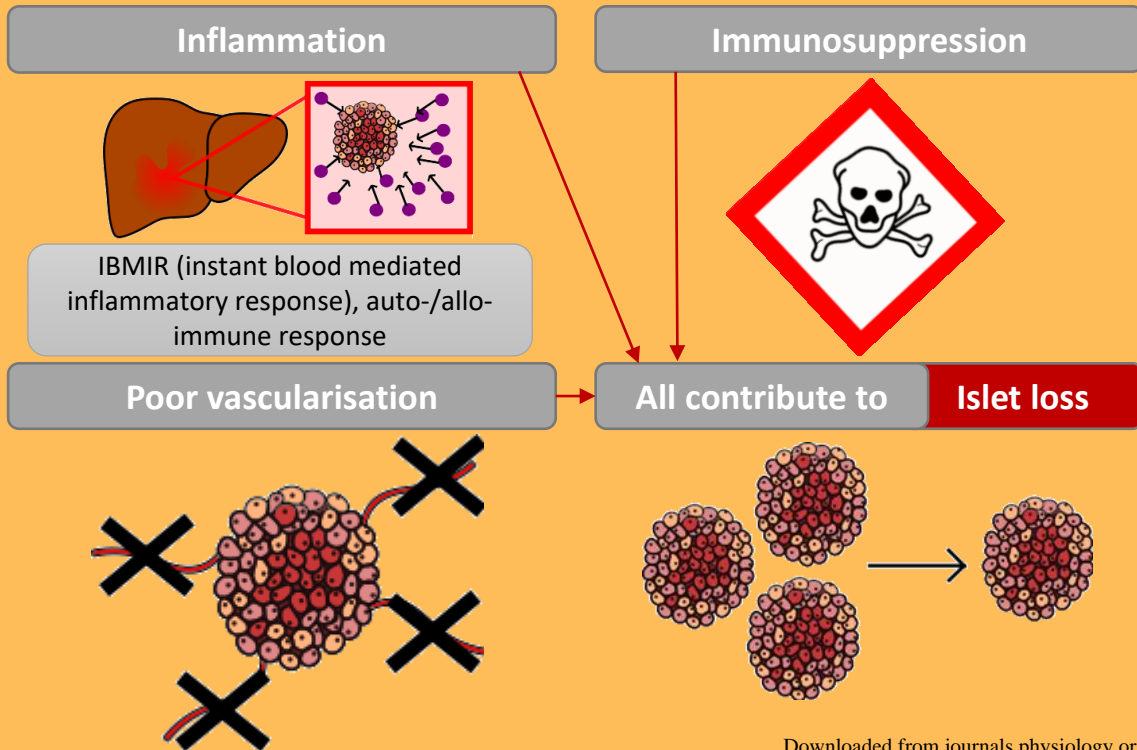
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# Challenges of islet transplantation and future strategies

## Current problems



## Future directions

