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Learning Adaptive Grasping From Human Demonstrations

Shuaijun Wang, Wenbin Hu, Lining Sun, Xin Wang, Zhibin Li

Abstract—This work studied a learning-based approach to learn grasping policies from teleoperated human demonstrations which can achieve adaptive grasping using three different neural network (NN) structures. To transfer human grasping skills effectively, we used multi-sensing state within a sliding time window to learn the state-action mapping. By teleoperating an anthropomorphic robotic hand using human hand tracking, we collected training datasets from representative grasping of various objects, which were used to train grasping policies with three proposed NN structures. The learned policies can grasp objects with varying sizes, shapes, and stiffness. We benchmarked the grasping performance of all policies, and experimental validations showed significant advantages of using the sequential history states, compared to the instantaneous feedback. Based on the benchmark, we further validated the best NN structure to conduct extensive experiments of grasping hundreds of unseen objects with adaptive motions and grasping forces.

I. INTRODUCTION

With limited prior-knowledge of the objects, humans can grasp objects with diverse shapes and stiffness and adapt grasping forces during the interaction. In contrast, for robotic grasping, despite the significant progress in object recognition [1] and grasp synthesis [2] [3] [4], generating adaptive grasping forces to various objects remains an open problem. Most object grasping uses manually designed rules that apply constant joint torques or fix a threshold of motor current, which is practical for most rigid objects but not suitable or adaptive for various deformable and fragile items, and therefore such simple control policies limit the performance, and can potentially damage the objects.

Robotic grasping is an integrated task of object recognition, motion planning and reactive grasp control. Visual information can be used for selecting contact points and pre-grasp poses, but unknown physical properties such as stiffness cannot be inferred from vision. Therefore, during physical interactions, proprioceptive, force, and/or tactile information is needed to generate appropriate adaptive grasping motions and forces, which are crucial for handling a large variety of daily objects with different stiffness.

Conventionally, separate controllers are designed for objects with different physical properties [5], [6], which requires prior-knowledge of target objects, and lacks the adaptability to various object properties. The scope of this paper is to study effective learning to extract policies based on limited real demonstration data, and to achieve local feedback control

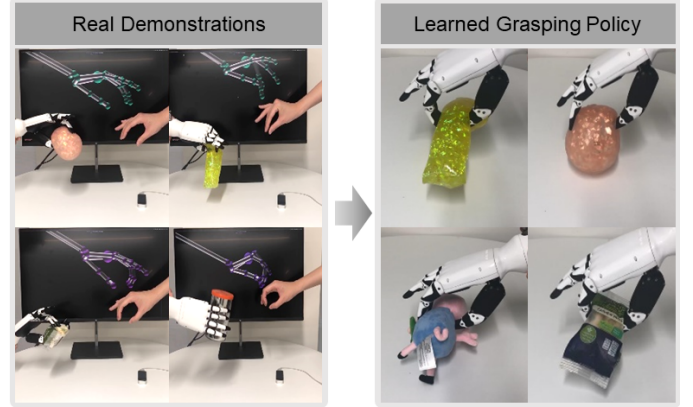


Fig. 1: Learning from human demonstrations using small real-world data to transfer skills for robotic grasping of various objects.

policies of robotic fingers that can adapt grasping forces to various daily objects.

Learning based methods such as deep reinforcement learning (DRL) have promising performances in dexterous manipulation. However, learning from scratch without demonstrations requires large training data and long training hours [7], which is not a problem in simulation but problematic with real hardware in the loop. Moreover, the unpredictable emergent behaviours can be unnatural or unsafe on real robots [8]. Unlike the traditional problems that can be modeled by rigid body dynamics, for complex physical interactions such as grasping soft deformable objects, there is no high-fidelity and yet computationally efficient simulations to support the trial-error approach of learning in simulation that can be deployed on real systems directly.

Real experimental data is very scarce for grasping various assorted daily objects that are soft, irregular-shaped, deformable, or rigid. To attain sample-efficiency in the cases where only real experimental data are available, an effective approach for the control design is to learn from demonstrations and capture the policies of human grasping skills. Methods for providing human demonstrations include motion capture [9], customized tools [10] [11] or human signals detection device [12] [13]. With appropriate learning frameworks, the real grasping data can be used to train deep neural networks to map multi-modality sensory feedback to the control actions.

Compared to the aforementioned human-robot motion transfer, gesture recognition requires only one depth camera to map human-robot motions, alleviating the complexity in experiment setup and dependency on extra devices. In this paper, to collect demonstration data, we used the vision-based human hand tracking to teleoperate a robotic hand to grasp objects

with distinct physical properties, as shown in Fig. 1. The proprioceptive data from the demonstrations are recorded and used to extract the underlying human state-action mapping.

The proposed learned-based grasping can produce adaptive grasping forces solely based on the measured proprioceptive data (joint positions and forces), which can be integrated with many existing motion planning algorithms that generate pre-grasp poses, or used for prosthetic hand control. Given guided hand poses, the learned controller can produce fine motor skills with adaptive grasp motions. In contrast to the analytical approaches, learning-based controllers encode the grasping policies into the weights and biases of neural networks, which are efficient to store and easy to update when new policies are learned by augmenting better demonstrations.

To compare the effectiveness of learning from demonstrations, we studied different combinations of the sensory data feedback and different neural network designs. Specifically, spatiotemporal data with history information and instantaneous data without history information are compared and evaluated. We realized effective learning of reactive motor control of the anthropomorphic robotic fingers from few representative demonstrations, with adaptive grasping motions and forces.

The contributions of this paper are as follows:

- A framework of learning adaptive robotic grasping from human demonstrations using an anthropomorphic robotic hand;
- Study and evaluation of the effectiveness of different state feedback for learning the state-action mapping;
- Comparison study of three neural network structures with and without the history input, and their performance validation by grasping objects with various shapes and stiffness.

The paper is organized as follows. Section II introduces the related works. Section III presents the teleoperation system and the collection of human grasping demonstrations. Section IV presents the methodology, including the state combination analysis, controller design, policy learning and the policy evaluation. The experimental results are presented in Section V. The discussion is given in Section VI. Finally, we draw the conclusion and discuss future work in Section VII.

II. RELATED WORK

A. Analytic methods

Conventional grasping controllers are designed using analytic models based on the feedback of actuator torques and positions [14]–[17], but subject to limited adaptive ability, especially in grasping objects with various physical properties.

Pfanne et al. proposed an object-level impedance controller for dexterous in-hand manipulation capable of handling dynamic changes in the grasp configuration [14]. The proposed algorithm in [18] switches between force and position control according to the external force. Romano et al. introduced a framework which divided the grasping process into discrete phases based on the tactile information [19]. Most of this paradigm of solutions are based on human ingenuity and handcraft of control rules [20].

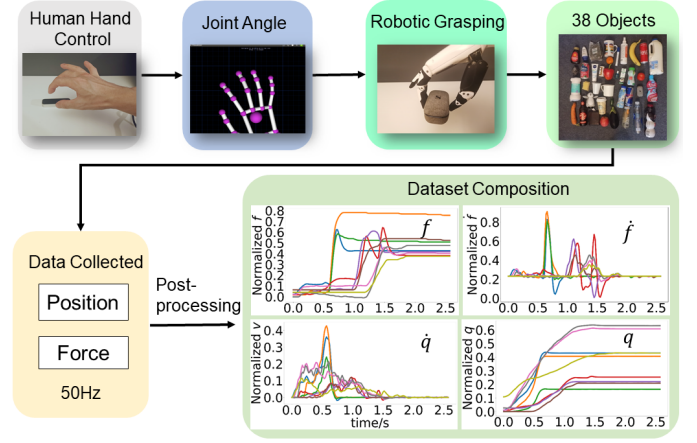


Fig. 2: Dataset collection for teleoperated learning from demonstrations.

B. Learning-based methods

Many recent work on autonomous grasping used learning-based approaches [21]–[24]. Lee et al. used multimodal sensory fusion, including visual, kinesthetic and ontological information, to achieve decision making and continuous control based on self-supervised learning [25]. Shahid et al. [26] leveraged deep reinforcement learning to train a unified policy for reaching, grasping, and lifting the objects in the simulation. The work in [27] used spatio-temporal information and an long short-term memory (LSTM) network to learn the classification of object materials and grasping phases. The high-dimensional saptio-temporal human grasping data can be embedded into a lower-dimensional space for modeling and recognition of grasping actions [28], which demonstrated the effectiveness of saptio-temporal data in learning grasping policies. Also, the history data is effective in slippage detection [27], [29].

C. Learning from demonstration for robotic grasping

Learning the robotic grasping from human demonstrations has been widely researched recently [30], [31]. Misimi et al. used the support vector regression (SVR) to formulate the grasping controller with both vision and tactile feedback, in order to grasp the compliant food objects [32]. In [33], the researchers used sequential grasping data to achieve grasp recognition, which shows the potential of history proprioceptive data to realize autonomous grasping. Most of the prior works using human demonstrations focused on the grasp planning that generates the pre-grasp pose and contact points for the target object [10], [32], [34]. While little attention has been paid to the finger control and the grasp execution. In this paper, we utilize the history data of multi-sensing feedback in the framework of learning from human demonstrations, to directly learn the policy of continuous, adaptive grasping of a wide range of objects.

III. COLLECTION OF HUMAN DEMONSTRATION DATA

This section presents the tele-operation system and the collection of human demonstration data, and the composition of the dataset that used for training.

1) *Teleoperation and data collection*: We use the leap motion hand tracking device to detect real-time human fingers motion, and teleoperate the robotic hand via kinematics mapping from human fingers to robotic actuators, as shown in Fig. 2. Then the robot proprioception data including actuators forces, positions and their first order derivatives are recorded as the training data. In this work, the thumb, index and middle fingers are used for grasping a single object.

At the beginning of each demonstration, given a randomly placed object, the robot hand is held and placed at a proper pre-grasp pose by the human operator. During the grasp, the pose of the robotic hand remains fixed. Then, the robotic fingers are teleoperated by the demonstrator to grasp the object, with adaptation to the object's physical properties. Note that the human hand is merely providing the grasping motion, without grasping any real object.

The teleoperation approach of providing demonstrations can mitigate the discrepancies between robot hand and human hand, because the operator can learn to adapt motor skills such that the reflected skills at the robot side are feasible and suitable for the robot itself, so as to ensure successful grasping. Though the robot hand has less degrees of freedom compared to human hand, our trials show that the robotic three-finger grasping motion is very similar to that of humans, since the robot hand has a similar size and morphology to the human hand.

2) *Composition of dataset*: The training data consists of the forces and positions of the linear actuators that drive the fingers during the grasping motion. 38 objects with variations in shape, size and stiffness are used to provide the grasp demonstrations. To fully take advantage of the data, the K-fold cross-validation method is applied in the training. We randomly choose 5 objects as the validation dataset and the rest is the training dataset.

We define q and f as the measurements of positions and forces of the linear actuators, and hereby their computed derivatives are \dot{q} , \dot{f} respectively. The linear actuator drives the intermediate linkage mechanism, which then enables the revolute finger joint to rotate. Note that the force sensor is placed outside of the drive chain so the measured forces are directly applied to the finger joints via the linkage mechanism.

During the online grasping, the q and f are recorded at 50Hz and post-processed by lowpass filters (first-order with cutoff frequency of 10 Hz).

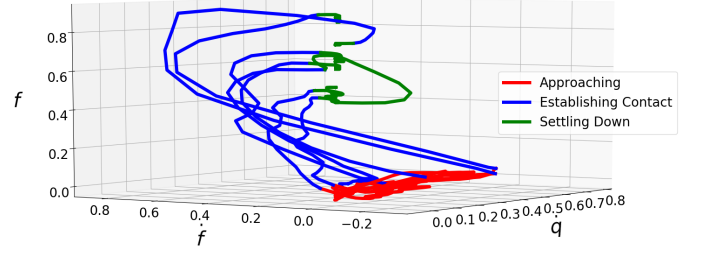
IV. METHOD

A. Description of proprioceptive policies

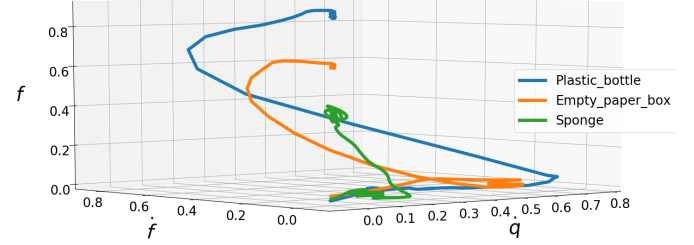
The policy of adaptive grasping which maps the robot proprioception to the control signals, can be represented as

$$\dot{q}_d = \pi(s_t), \quad (1)$$

where \dot{q}_d is the desired velocities of the finger linear actuators, which are monotonic with the finger joint velocities. s_t denotes the vector of the state feedback at t time (see more in Section IV-C); π denotes the policy that maps the state feedback to the desired actions, which is represented by a neural network trained from human demonstration data.



(a) Grasping phases: different colors represent different phases.



(b) Grasping of objects of different stiffness.

Fig. 3: Normalized feedback states from the index finger during the grasping of three representative objects.

B. Framework of learning from grasping demonstrations

Fig. 4 demonstrates the algorithm framework which consists of three modules: data generation, offline training, and online grasping. The training dataset is obtained from human demonstrations in the data generation module. Given the training data, the grasping skills from human demonstrations are transferred to the NN-based controllers via supervised learning in the offline training module.

We propose and evaluate three controllers with different structures (see more in Section IV-D). Once trained, the learned NN-based policies are used in the online grasping module as a feedback controller, where the measured robot proprioceptive data are post-processed and fed as input. As shown in Fig. 4, the outputs are the desired velocity commands for the finger actuators.

C. Analysis of grasping data and state combination selection

In this section, we analyse the characteristics of robot proprioceptive data during grasping, in order to provide theoretical support for the selection of effective input states combination for learning the policy.

1) *Data analysis of the grasping process*: During the grasping, the state vector $[\dot{q}, f, \dot{f}]$ can be used to distinguish grasping phases. The actuator position q is less indicative, because it can not demonstrate the phase of establishing contact, and the equilibrium of q depends on the shape/size of the object which is unnecessary for our controller. When a grasp reaches the equilibrium, \dot{q} and \dot{f} converge to zero, and f converges to a settled value. \dot{q}, \dot{f} can encode the information of object stiffness during the early stage of contact. f indicates the grasping force, and f, \dot{f} can reflect the contact transitions. Therefore, the tuple of state vector $[\dot{q}, f, \dot{f}]$ is used for the policy learning.

Fig. 3 shows representative trajectories of $[\dot{q}, f, \dot{f}]$ from the index finger during the grasping of different objects. In

TABLE I: Clustering analysis on different feedback states.

NO. of clusters	f, \dot{f}	\dot{q}, f	\dot{q}, \dot{f}	\dot{q}, f, \dot{f}	q, \dot{q}, f, \dot{f}
10	0.0058	0.0060	0.0049	0.0061	0.0051
12	0.0058	0.0086	0.0112	0.0115	0.0051

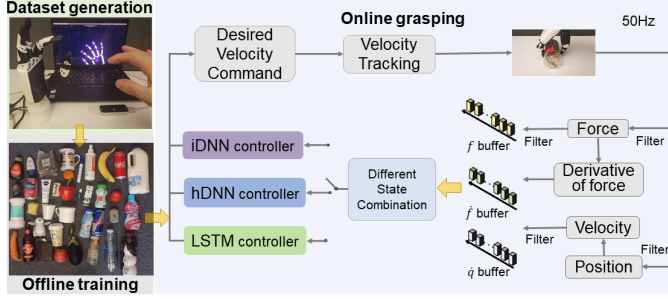


Fig. 4: The proposed framework include three parts: data generation module, offline training module and online grasping module.

this state space, grasping different objects shows different trajectories, where the process can be categorized into 3 sequential phases as: approaching, establishing contact, and settling down.

- *Approaching*: \dot{f} , f are around 0, and \dot{q} becomes non-zero due to the movement.
- *Establishing contact*: \dot{f} , \dot{q} , f are evolving during this transition. \dot{f} and \dot{q} will rise from 0, reach peaks and then drop to 0. f will rise and reach a constant. Objects with different sizes and stiffness will result in distinct and different trajectories in the state space.
- *Settling down*: f maintains at a constant value, while \dot{f} , \dot{q} settles around 0.

2) *Clustering analysis of feedback states*: To select the most effective combination of input states that can differentiate different physical interaction phases with different objects, the complete training dataset is partitioned into a number of clusters (10 and 12 are used here based on the scale of the dataset) by the unsupervised clustering method *K-means* [35]. Every data-point for clustering is the temporal state feedback within a fixed time-window $s_{t-H:t}$, here H refers to the size of time window. The clustering results are evaluated by *Dunn index* [36], i.e. a larger number indicates the more distinguishable clusters. Table I shows that the state vector $s_t = [\dot{q}, f, \dot{f}]$ has the highest Dunn indexes and captures at least 10 to 12 most distinct phases in temporal sensory measurements.

3) *Selection of state input*: Based on the grasping data analysis and clustering analysis above, the state combination $s_t = [\dot{q}, f, \dot{f}]$ can differentiate object properties and characterize the grasping phases. To further evaluate the effectiveness of history data, we designed two types of state input for policy learning: (1) the instantaneous state vector s_t at the current timestep, and (2) the temporal state tuple $s_{t-H:t}$ using history data within a fixed time window.

D. Design of grasping controllers

As for the effective skill transfer, we used supervised learning, which is computationally efficient to train the grasping policy π directly with the demonstration data. To focus on

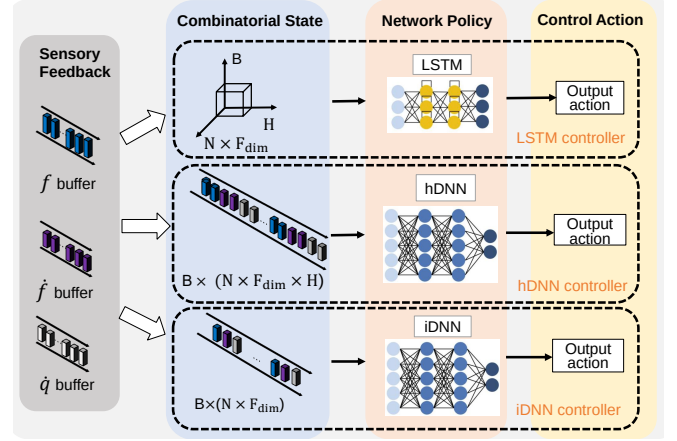


Fig. 5: Three network structures and their feedback states.

the evaluation of history information, and to alleviate any influence to the results introduced by the network structures, we used the simplest network structure – fully connected neural network – as the structure of iDNN (DNN with instantaneous information) using s_t , and hDNN (DNN with history information) using $s_{t-H:t}$. LSTM network is widely used in processing sequential data. Hence we also designed an LSTM-based controller using history input $s_{t-H:t}$. The time window used in this work is 0.4s, which can cover the transition phase of contact in most robotic grasping. Moreover, an over-long history will include unneeded information and increase computation, while a too-short history is not enough to distinguish different grasping phases. Empirically, we choose this parameter based on the empirical knowledge of the average contact phase during most tasks. The detailed structures and state inputs of three controllers are shown in Fig. 5.

1) *iDNN*: The input is the instantaneous state $s_t = [\dot{q}, f, \dot{f}]$, with dimension $I_1 = B \times (N \times F_{dim})$, where B denotes the batch size. N denotes the degree of freedom and F_{dim} denotes the feature dimension. The output is the finger action vector with dimension $N \times 1$. The network has two fully connected hidden layers.

2) *hDNN*: The input is the temporal state tuple $s_{t-H:t}$ including the history state within a time window, with dimension $I_2 = B \times (N \times F_{dim} \times H)$, where H denotes the size of time window. Except the input size, the rest of the network structure is the same with iDNN, with two fully connected hidden layers and one output layer.

3) *LSTM*: Recurrent neural network (RNN) is applied to construct the LSTM grasping controller. The input state with dimension $I_3 = B \times (N \times F_{dim}) \times H$ is fed into two LSTM layers and one fully connected output layer.

E. Policy learning

With the collected training dataset, the aforementioned controllers are trained via supervised learning. The loss function is defined as mean squared errors between the ground truth and the output of control actions (\dot{q}_d) plus L2 regularization:

$$loss = \sum_{i=1}^n (y_i - y_i^d)^2 / n + \lambda \sum_{i=1}^k \omega_i^2, \quad (2)$$

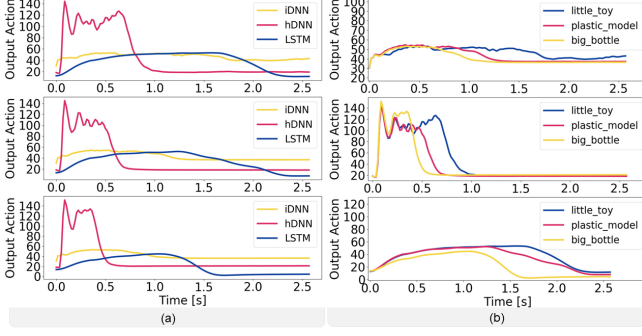


Fig. 9: Comparison of three controllers. (a) Output actions (actuator velocity of index finger) for grasping a small toy, a plastic model, and a bottle respectively (top to bottom); (b) The same data sorted by iDNN, hDNN, LSTM controllers respectively (top to bottom).

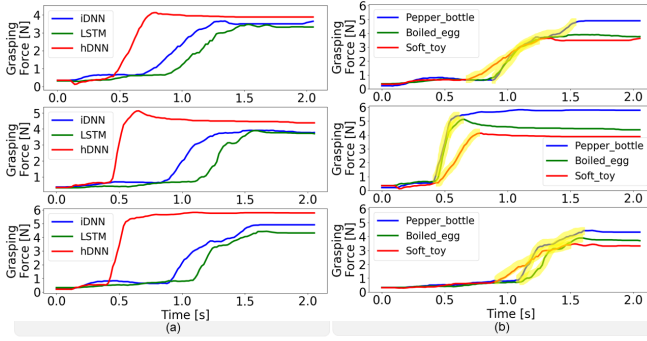


Fig. 10: Comparison of three controllers. (a) Grasping forces during grasping a soft toy, a peeled boiled egg, and a pepper bottle respectively (top to bottom). (b) The same data sorted by iDNN, hDNN, and LSTM controllers respectively (top to bottom), and the transitions are in yellow highlight.

the time-series action curves of hDNN and LSTM are more distinct for different objects shown in Fig. 8(a) - (c), indicating that the history data contributes to the disambiguation of object sizes, and can potentially lead to better adaptability.

2) *Grasping objects with similar sizes and different stiffness*: For grasping objects shown in Fig. 8(d) - (f), though the finger joint configuration is similar once settled, the dynamic transitions are very different during the contact. With $s_{t-H:t} = [\dot{q}, f, \dot{f}]$ capturing such transitional features within a time window, Fig. 10 (b) shows that hDNN and LSTM controllers have distinct force adaptations, e.g. 3 different stable grasping forces for 3 different objects. The LSTM controller has smaller grasping forces γ than the other two controllers in general as can be seen in Fig. 10 (a), resulting in softer grasps, which is consistent with the statistical results in Fig. 7.

D. Ablation study

To evaluate the effect of each feedback as the controller input, the ablation study has been conducted. Fig. 11 shows the profiles of output actions during grasping the pepper bottle using the three proposed controllers trained with all the possible state combinations. Empirically, the controllers that generate distinct output actions at different grasping stages are regarded as adaptive and reactive, and the corresponding state combinations are effective. As demonstrated in the Fig. 11(a),

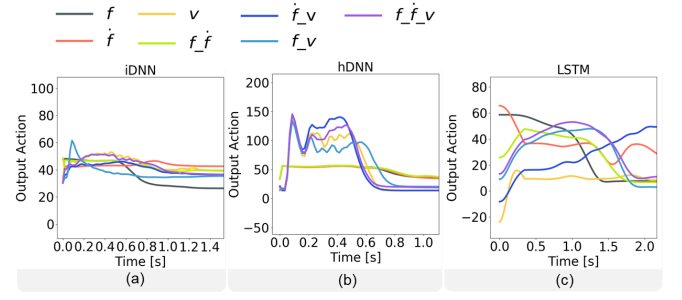


Fig. 11: Output velocity of index finger during grasping the pepper bottle using three controllers trained with different state inputs.

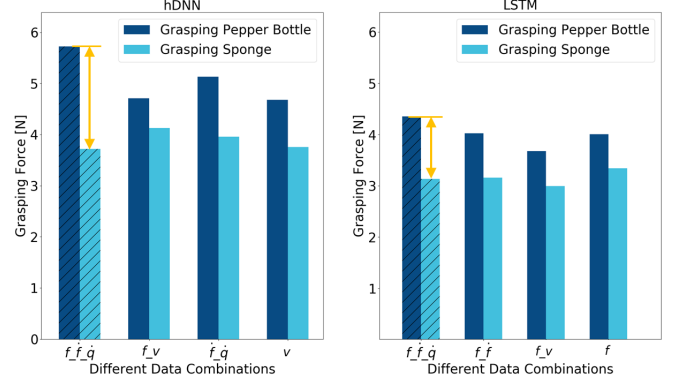


Fig. 12: Settled grasping force using different state feedback from the hDNN (left) and LSTM (right) controller respectively. The combination in the left bar filled with slash has the best performance.

none of the iDNN controllers are adaptive, generating relatively constant actions during the grasping; While in Fig. 11(b) and (c), some state combinations are effective in training adaptive hDNN and LSTM controllers. The effective state combinations for three controllers are listed in Table II.

The iDNN controller using instantaneous feedback s_t without the history data merely generated constant finger actions, which suggests that s_t does not capture sufficient information for encoding human grasp skills. Contrarily, with history information $s_{t-H:t}$, both hDNN and LSTM controllers achieve more human-like grasping, though the effective input combinations vary as shown in Table II.

Further, Fig. 12 compares grasping forces over rigid and deformable objects, suggesting that the combination of multi-sensory data is more effective in learning adaptive grasping. For hDNN and LSTM controllers, the learned policies using the complete state combination $[\dot{q}, f, \dot{f}]$ can generate the most distinct grasping forces for rigid and soft objects.

E. Comparison with the baseline controller

To evaluate the effectiveness and adaptiveness of the learned controllers, we compared them with a pre-programmed baseline controller, which generates constant joint velocities and has a threshold on the grasping force computed as in Eq. (3). Once the grasping force exceeds the threshold, fingers will stop moving and maintain the current joint positions. The force threshold is pre-defined and fixed during the experiments. A paper card is chosen as the target object so that its deformation can be visually observed to evaluate the grasping performance.

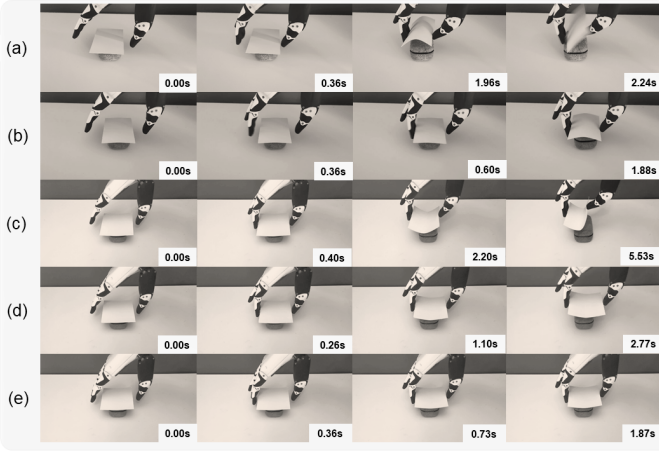


Fig. 13: Comparison by grasping the unseen card: (a-b) Baseline controller with a high and low force thresholds, respectively; (c) iDNN controller; (d) hDNN controller; (e) LSTM controller.

Fig. 13 (a) and (b) show the grasping motion of the baseline controller with a high (4N) and low (2N) force threshold respectively. The difference in the performances shows the importance of a proper force threshold, which requires the prior-knowledge of the object. The iDNN controller generated excessive forces and bent the card, leading to a failure and poor adaptation to low object stiffness during the interaction. In contrast, hDNN and LSTM controllers can hold the card stably without prior-knowledge of the card’s physical properties, indicating that they have certain adaptability to the unknown object stiffness and can generate different grasping forces while interacting with different objects. On contrary, the iDNN controllers can only apply constant grasp forces with no self-adaptation, and the baseline controller requires a properly tuned force threshold.

F. Similarities of human and robot policies

The aforementioned results indicate that the history states play an important role in distinguishing the robot grasping phases, and encoding latent information of object shape and stiffness, which enables adaptive grasping of various objects.

Though hDNN and LSTM controllers have comparable performance, the former is better because it has a simpler network structure, larger grasping force and better adaptability to various objects. We constructed the nearest sample neighbours by t-distributed stochastic neighbor embedding (t-SNE) as shown in Fig. 15, using actuator measurements from human tele-operated demonstrations and hDNN-based grasping. The visualisation of large overlapping areas suggest the underlying similarities between the human and learned policies, as well as the effectiveness of history states in representing and extracting the state-action mapping from human grasp policies.

G. Investigation of failure cases

The success of grasping an object depends on the selection of contact points by the user, especially for the positioning the fingertips. Fig. 14 and Fig. 16 demonstrate both the success and failed grasping of representative objects using hDNN

controller. Fig. 16(a) and (b) show the failures caused by unbalanced and unstable contact points. Due to the characteristics of point contact, it is also difficult to grasp heavy and slippery objects, as shown in Fig. 16(c).

VI. DISCUSSION

In this paper, we focused on the dexterous grasping and adaptive control of the robotic fingers, which is important while lacking of the prior-knowledge of the object’s material and stiffness. With human demonstrations, the proposed hDNN grasping controller is capable of generating adaptive forces to grasp objects with various sizes and stiffness, solely based on the robot proprioception data.

The proposed grasping controller requires the minimum user input: merely a 0-1 activation to start and stop the grasp motion. Therefore the controller can be implemented in many scenarios, e.g. teleoperation system or prosthesis system where the arm motion is controlled by the user and the grasping motion is executed by the proposed controller, which alleviates the operator’s mental load from complex grasping control. Also, the grasping controller can be integrated with any off-the-shelf grasp planning algorithms.

VII. CONCLUSION AND FUTURE WORK

In this paper, we proposed a learning-based approach of adaptive grasping with an anthropomorphic robotic hand based on few real human demonstrations. We studied different multi-sensing state combinations to encode the state-action mapping of human grasping skills. Three different neural network structures are designed to compare the effectiveness of using the instantaneous and history states in the policy learning. The ablation study and data analysis showed the importance of history data in differentiating grasping phases and generating more robust and adaptive grasping actions. Finally, we extensively tested the learned hDNN controller with 100 unseen objects. The experimental results showed that the learned controller was capable of grasping objects with different shapes and stiffness, based on the transferred state-action mapping.

One future extension is to integrate the adaptive grasping controller with grasp planning algorithms which generate suitable pre-grasp poses given vision-guided object semantics. Therefore, more automatic “reach and grasp” motion can be integrated. Furthermore, we will study the usage of more sensory feedback, e.g. tactile and visual information, to improve environmental perception and enable the learning of more intelligent and versatile grasping policies.

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Human Demo

Learned Policy

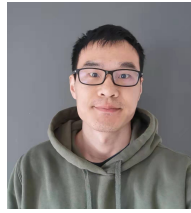
Figure 1 consists of three rows of four images each, labeled (a), (b), and (c). Row (a) shows a robotic arm with a black and white patterned sleeve and a white hand placing a small white block on top of another small white block. Row (b) shows the same arm placing a green fruit (like an apple) into a small green cup. Row (c) shows the arm placing a small orange cup on top of a small orange block. The background is a plain white surface.

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