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# RTS,S/AS01E malaria vaccine induces IgA responses against CSP and vaccine-unrelated antigens in African children in the phase 3 trial

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1 **Title: RTS,S/AS01<sub>E</sub> malaria vaccine induces IgA responses against CSP and vaccine-**  
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#### 43 **Abbreviations:**

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57

58 **Abstract**

59 **Background:** The evaluation of immune responses to RTS,S/AS01 has traditionally focused on  
60 immunoglobulin (Ig) G antibodies that are only moderately associated with protection. The role of  
61 other antibody isotypes that could also contribute to vaccine efficacy remains unclear. Here we  
62 investigated whether RTS,S/AS01<sub>E</sub> elicits antigen-specific serum IgA antibodies to the vaccine  
63 and other malaria antigens, and we explored their association with protection.

64 **Methods:** Eighty-one children (age 5-17 months old at first vaccination) from the RTS,S/AS01<sub>E</sub>  
65 phase 3 clinical trial who received 3 doses of RTS,S/AS01<sub>E</sub> or a comparator vaccine were  
66 selected for IgA quantification 1 month post primary immunization. Two sites with different malaria  
67 transmission intensities (MTI) and clinical malaria cases and controls, were included.  
68 Measurements of IgA against different constructs of the circumsporozoite protein (CSP) vaccine  
69 antigen and 16 vaccine-unrelated *Plasmodium falciparum* antigens were performed using a  
70 quantitative suspension array assay.

71 **Results:** RTS,S vaccination induced a 1.2 to 2-fold increase in levels of serum/plasma IgA  
72 antibodies to all CSP constructs, which was not observed upon immunization with a comparator  
73 vaccine. The IgA response against 13 out of 16 vaccine-unrelated *P. falciparum* antigens also  
74 increased after vaccination, and levels were higher in recipients of RTS,S than in comparators.  
75 IgA levels to malaria antigens before vaccination were more elevated in the high MTI than the low  
76 MTI site. No statistically significant association of IgA with protection was found in exploratory  
77 analyses.

78 **Conclusions:** RTS,S/AS01<sub>E</sub> induces IgA responses in peripheral blood against CSP vaccine  
79 antigens and other *P. falciparum* vaccine-unrelated antigens, similar to what we previously  
80 showed for IgG responses. Collectively, data warrant further investigation of the potential  
81 contribution of vaccine-induced IgA responses to efficacy and any possible interplay, either  
82 synergistic or antagonistic, with protective IgG, as identifying mediators of protection by  
83 RTS,S/AS01<sub>E</sub> immunization is necessary for the design of improved second-generation vaccines.

84

85 **Clinical trial registration:** ClinicalTrials.gov: NCT008666191.

86

87 **Keywords:** IgA, RTS,S vaccine, IgG, African children, *Plasmodium falciparum*, malaria

88

## 89 1 Introduction

90 The number of malaria cases globally was estimated to be 228 million in 2018, 93% in Africa  
91 [1], and most of them caused by the *Plasmodium*'s deadliest species, *Plasmodium falciparum*  
92 [2]. In endemic settings, infections become asymptomatic with age and continuous exposure to  
93 *P. falciparum* as a result of naturally acquired immunity (NAI) that is rarely sterilizing [3]. NAI is  
94 considered to be mainly mediated by IgG [4] but other isotypes such as IgM, IgE and IgA can  
95 also be induced upon natural exposure [5–7] although their relevance is less clear.

96 IgA is well known for being the principal antibody isotype present in the mucosal surfaces as a  
97 first line of defence [8]. However, IgA in serum is the second most abundant isotype after IgG  
98 (2-3 mg/mL) [8]. Serum IgA protects against invasive pathogens that traverse mucosal barriers  
99 and can mediate protection through the interaction with specific receptors of the immune  
100 system. Recently, IgA has gained appreciation due to the protective effects of monoclonal IgA  
101 against tumour cells, intracellular viruses as rotaviruses and bacteria such as *Mycobacterium*  
102 *tuberculosis* [9–11]. IgA mediates phagocytosis and killing of bacteria such as *Bordetella*  
103 *pertussis*, *Streptococcus pneumoniae*, and *Neisseria meningitidis* [12–14]. Furthermore, IgA is  
104 induced in the natural course of the Severe Acute Respiratory Syndrome coronavirus 2 (SARS-  
105 Cov-2) infection and has been linked to disease severity [15]. IgA response to SARS-CoV-2 is  
106 stronger and more prolonged than that of IgM [15,16]. IgA effector functions are mediated via  
107 the IgA Fc receptor called FcαRI which is expressed on neutrophils, monocytes, macrophages  
108 and eosinophils, [17]. Its interaction with monomeric IgA induces an inhibitory signal as FcαRI is  
109 a low affinity receptor. However, when IgA forms immunocomplexes during an infection, it binds  
110 with higher avidity to the receptor resulting in the induction of pro-inflammatory responses [19].  
111 The effector processes elicited are antibody-dependent cellular cytotoxicity (ADCC), superoxide  
112 generation, release of cytokines, and antigen presentation [19]. Indeed, Kupffer cells from the  
113 liver (specialized FcαRI+ macrophages) can eliminate IgA-coated microorganisms from the  
114 bloodstream [19].

115 Few studies have investigated IgA responses in malaria-exposed subjects and the conclusions  
116 about their protective role are contradictory. In endemic settings in India, elevated levels of *P.*  
117 *falciparum*-specific IgA were detected in some individuals [6]. In another study in India, 20% of  
118 individuals had *P. falciparum*-specific IgA, which negatively correlated with IgM levels and were

119 age-dependent [20]. In a study in Brazil, IgA predominated in children with five or less clinical  
120 infections and decreased in those with NAI and asymptomatic malaria [21]. Recently, IgA  
121 directed to the erythrocyte binding antigen (EBA) region IV ligand was found to inhibit merozoite  
122 invasion in mice [23]. To explore the mechanism(s) by which IgA may mediate a protective  
123 effect, a recombinant IgA against a single blood stage (BS) antigen (merozoite surface protein  
124 [MSP]1<sub>19</sub>) was developed and tested in mice transgenic for Fc $\alpha$ RI gene but no protection was  
125 observed [22]. In a phase 2b clinical trial of a candidate malaria vaccine containing the apical  
126 membrane antigen (AMA)1 and MSP1, IgA specific for these *P. falciparum* BS antigens was  
127 induced in most volunteers. Its role in protection was not assessed [24]. Even though IgA  
128 specific for MSP1 was not protective, there is no clear evidence to discard the potential role in  
129 protection of this immunoglobulin in malaria.

130 RTS,S/AS01<sub>E</sub> (Mosquirix™), the most advanced malaria vaccine at present [25], provided an  
131 estimated 55% protection in children aged 5-17 months over 12-months of follow up post  
132 primary immunization in a multicentre phase 3 trial in Africa [25–29]. RTS,S is a pre-erythrocytic  
133 (PE) vaccine that includes 19 NANP repeats of the central region of the circumsporozoite  
134 protein (CSP) and its C-terminal region (C-term), fused to the hepatitis B surface antigen [30],  
135 and formulated with the AS01<sub>E</sub> adjuvant [31]. RTS,S/AS01<sub>E</sub> vaccination induces potent IgG  
136 responses that are associated with efficacy but an absolute correlate of protection has not been  
137 established [26,32–34]. We previously showed that RTS,S/AS01<sub>E</sub> immunization affected IgG  
138 responses to non-vaccine *P. falciparum* PE and BS antigens, and that this could have an impact  
139 on protective immunity to malaria [35]. Little is known about IgA responses to RTS,S/AS01<sub>E</sub>,  
140 although CSP-specific IgA secreting cells have been reported in vaccinated malaria-naïve  
141 adults [36]. In controlled human malaria infection (CHMI) studies with naïve adults, IgA2 levels  
142 specific for CSP correlated with protection and this was also tied to higher concentrations of  
143 IgA1 to CSP [37]. Given a possible role for serum IgA in malaria protection, we investigated  
144 whether IgA responses are induced against CSP in RTS,S-vaccinated children, which could  
145 potentially contribute to efficacy. We further investigated whether the interaction with NAI  
146 responses previously shown for IgG was also observed with IgA.

147 In this study, we set out to quantify the levels of total IgA in serum/plasma samples from RTS,S-  
148 vaccinated and non-vaccinated (comparator) children from the multicentre paediatric African

149 phase 3 trial of RTS,S/AS01<sub>E</sub> [25–29] before and after primary immunization. We included  
150 children from two African sites with different MTI, and who were included in our previous  
151 immunology studies [32,38]. We explored association of IgA levels with site, sex and malaria  
152 exposure and protection. To this end, we developed and optimized a quantitative suspension  
153 array assay (qSAT) to measure antigen-specific IgA against multiple *P. falciparum* antigens.

154

## 155 **2 Materials and methods**

### 156 **2.1 Study design and subjects**

157 We analysed IgA responses in 95 children (age 5-17 months) randomly selected from a subset  
158 of volunteers participating in a prior set of analyses [33,35,38] within the immunology ancillary  
159 study (MAL067) to the phase 3 trial (MAL055). The reason to include this subset of children with  
160 previous data was to gather information about immune features of the same individuals to  
161 conform a comprehensive picture of the RTS,S/AS01E elicited immunity. However, we only  
162 included a subset of these due to the exploratory nature of the study and because the sample  
163 size was adequate to answer our primary objective and to establish a basis for further larger  
164 studies. Samples were collected at month (M)0 (before primary vaccination) and at M3 (one  
165 month after the 3<sup>rd</sup> dose) in two sites: Manhiça (plasma) in Mozambique, a low MTI area, and  
166 Kintampo (serum) in Ghana, a high-moderate MTI area [25,29,30]. These two sites were  
167 prioritized due to higher availability of sufficient numbers and volumes of samples from both  
168 study visits and age cohorts. Clinical malaria was determined by passive case detection (PCD)  
169 starting 14 days after sample collection at M3 for the subsequent 12 months. Among the 95  
170 children included in the study, 66 were vaccinated with RTS,S/AS01<sub>E</sub> (40 from Manhiça and 26  
171 from Kintampo) and 29 received a comparator vaccine (15 from Manhiça and 14 from  
172 Kintampo). Among the RTS,S/AS01<sub>E</sub> vaccinated, 29 children had malaria (10 from Manhiça and  
173 19 from Kintampo) whereas among the comparator group, 18 children were malaria cases (5  
174 from Manhiça and 13 from Kintampo) (Figure 1). The study protocol was approved by the Ethics  
175 Committees from Spain, Mozambique and Ghana, and written informed consent was obtained  
176 from parents or guardians before starting study procedures.

177

### 178 **2.2 Antigens**

179 Nineteen *P. falciparum* antigens were selected for the multiplex qSAT panel (Table 1) based on  
 180 previous data on IgG responses in RTS,S/AS01<sub>E</sub> vaccinees from our group, and on IgA data  
 181 from the literature. The panel included 6 antigens from the PE stage and 12 from the BS.  $\alpha$ -Gal  
 182 (Gal $\alpha$ 1-3 Gal $\beta$ 1-4GlcNAc-BSA) was added to the panel because antibodies against this  
 183 carbohydrate antigen have recently been associated with malaria protection [39,40].

184

185 **Table 1: Antigens included in the multiplex panel**

Antigens	TAG	Life cycle stage	Comments	References
Pre-erythrocytic				
CeITOS		Sporozoite	Sporozoite exposure; Cell-Traversal Protein for Ookinetes and Sporozoites	[41]
CSP full length		Sporozoite	Component of RTS,S	[42]
CSP NANP repeat	GST-Fused	Sporozoite	Component of RTS,S	[32]
CSP C-term	GST-Fused	Sporozoite	Component of RTS,S	[32]
SSP2		Sporozoite	Sporozoite exposure; Sporozoite surface protein 2	[43]
LSA1		Liver	Infected hepatocytes; liver surface antigen 1	[44]
Blood stage				
AMA1		Merozoite	Involved in erythrocyte invasion; apical membrane antigen 1	[45]
EBA140	GST-Fused	Merozoite	Involved in erythrocyte invasion; erythrocyte binding antigen 140	[46]
EBA175 R3-5	GST-Fused	Merozoite	Involved in erythrocyte invasion	[46]
EXP1		Merozoite	Involved in erythrocyte invasion, exported protein 1	[47]
MSP1 Block 2	GST-Fused	Merozoite	Involved in erythrocyte invasion; merozoite surface protein 1	[48]
MSP1 <sub>42</sub>		Merozoite	Involved in erythrocyte invasion	[48]
MSP3 3C		Merozoite	BS exposure	[49]
MSP5		Merozoite	BS exposure	[50]
MSP6	GST-Fused	Merozoite	BS exposure	[51]
Rh2 (2030)	GST-Fused	Merozoite	Involved in erythrocyte invasion;	[52]

			reticulocyte binding protein homologue 2	
Rh4.2	GST-Fused	Merozoite	Involved in erythrocyte invasion	[53]
Rh5		Merozoite	Involved in erythrocyte invasion	[54]
Other antigens				
$\alpha$ -Gal			Involved in malaria protection	[39,40]

186

187 **2.3 Antibody assays**

188 Antigens were coupled to MAGPLEX 6.5  $\mu$ m COOH-microspheres from Luminex Corporation  
189 (Austin, TX) as explained elsewhere [55]. Antigen-coupled beads were added to a 96-well  
190  $\mu$ Clear® flat bottom plate (Greiner Bio-One, Frickenhausen, Germany) at 1000  
191 beads/analyte/well in a volume of 40  $\mu$ L/well of phosphate buffered solution with 0.05% Tween  
192 20 (PBS-BN). For more accurate IgA measurements, all samples were diluted in GullSORB™  
193 IgG Inactivation Reagent (Meridian Bioscience™) prior to testing for IgA levels, in order to  
194 deplete IgG and reduce competition for the same epitopes that might interfere with the  
195 quantification of antigen-specific IgA [56]. Thus, 40  $\mu$ L/well of the diluted sample (final dilutions:  
196 1/150 and 1/12150) in PBS-BN with GullSORB™ at a 1:10 concentration were added to the  
197 plates and incubated at 4°C overnight (ON) in a shaker at 600 rpm and protected from light.  
198 After the ON incubation, beads were washed three times with 200 $\mu$ L/well of PBS-BN using a  
199 manual magnetic washer platform (Bio-Rad, Hercules, CA, USA). A hundred microliters of a  
200 goat anti-human IgA ( $\alpha$ -chain specific) F(ab')<sub>2</sub> fragment-biotinylated secondary antibody  
201 (Sigma, SAB3701227) was added (1/250 in PBS-BN) to all wells. Plates were incubated at RT  
202 for 45 min at 600 rpm and protected from light and then washed three times. A 100  $\mu$ L of  
203 streptavidin-R-phycoerythrin (Sigma, 42250) were added (1/1000 in PBS-BN) to all wells and  
204 incubated at RT for 30 min at 600 rpm protected from light. Plates were washed three times and  
205 beads were resuspended in 100  $\mu$ L of PBS-BN and read using a Luminex xMAP™100/200  
206 analyser (Luminex Corp., Texas). At least 50 beads per analyte were acquired per sample.  
207 Crude median fluorescent intensity (MFI) and background fluorescence from blank wells were  
208 exported using the xPONENT software.

209 The detection range of the assay, adequate sample dilutions and assay reproducibility, were  
210 established before analysing test samples. A pool made of serum samples from CSP IgG high  
211 responders from the same study was prepared to construct a standard curve with 12-serial

212 dilutions starting at 1/150 in a 1:3 sample:buffer passage. Plasma from malaria unexposed  
213 adults (1/150) were used as negative controls. Two blank control wells with beads in PBS-BN  
214 were set up to measure background signal.

215 Samples were tested in two separate experiments comparing IgA levels at M0 and M3. The first  
216 experiment included 66 RTS,S vaccinees and was designed to evaluate whether RTS,S  
217 induced antigen-specific IgA responses at M3. The second experiment included 28 RTS,S-  
218 vaccinees from the first experiment and 29 comparators and intended to assess whether the  
219 IgA responses observed in the first experiment were RTS,S-specific and not just due to malaria  
220 exposure.

221

#### 222 **2.4 Data Pre-processing**

223 MFI data was normalised across plates using the standard curves per each antigen. For each  
224 plate, a normalization factor was calculated as the average of the MFIs corresponding to the  
225 maximum slope of the curves from all plates divided by the MFI corresponding to the maximum  
226 slope of the curve from each plate. MFIs of each plate were multiplied by the resulting  
227 normalisation factor. Two different dilutions were used in the study and one of them was chosen  
228 to further perform the analysis. The chosen dilution used for the analysis was antigen and plate  
229 specific and was calculated using the standard curves. The dilution with an MFI closer to the  
230 MFI corresponding to the maximum slope was selected. To obtain the final MFI values taking  
231 into account the dilution selected, a correction factor was used based on the maximum slope of  
232 the curve for each antigen and plate. The formula used to obtain the final corrected MFI is  
233  $y = mx + n$ , where  $y$  is the final corrected MFI value,  $m$  is the maximum slope,  $x$  is the  $\log_{10}$   
234 dilution factor, and  $n$  is the normalised MFI corresponding to the selected dilution factor. Final  
235 normalised and corrected MFI data was  $\log_{10}$ -transformed to perform the statistical analysis.

236

#### 237 **2.5 Statistical analysis**

238 IgA levels ( $\log_{10}$  MFI) were compared between vaccine groups by t-tests or between timepoints  
239 by paired t-tests, and represented by boxplots depicting means, medians and interquartile  
240 ranges. Comparisons between timepoints were also stratified by vaccine group, site and sex. P-  
241 values were corrected for multiple comparisons by the Benjamini-Hochberg approach and

242 considered significant after adjustment when  $<0.05$ . Fold-changes between timepoints were  
243 calculated as the difference between  $\log_{10}$  MFI levels at M3 vs  $\log_{10}$  MFI levels at M0.  
244 Correlations between IgA and IgG levels ( $\log_{10}$  MFI) were assessed using scatterplots and the  
245 Spearman method and raw p-values  $<0.05$  were considered significant due to the exploratory  
246 nature of the analysis. Data analysis was performed in R software (version 3.6.1.) [57], and the  
247 data were managed using devtools package (version 2.2.2.) [58].

248

### 249 **3. Results**

#### 250 **3.1 Increased IgA levels to CSP after RTS,S vaccination**

251 Three doses of RTS,S/AS01<sub>E</sub> administered at one-month intervals induced a statistically  
252 significant increase of IgA levels ( $\log_{10}$ MFI) against CSP one month after the last dose. IgA  
253 levels to CSP full length (FL), NANP repeat and C-term constructs, increased from baseline  
254 (M0) to post-vaccination (M3) ( $p<0.001$ ; Figure 2). The highest increase (2-fold) was recorded  
255 for CSP FL followed by NANP repeat (1.5-fold) and then C-term (1.2-fold), whose levels  
256 overlapped between baseline and post-vaccination. RTS,S vaccinees had higher IgA levels  
257 than comparator vaccinees for all CSP constructs at M3 ( $p<0.001$ ). Children who received the  
258 comparator vaccine showed no increase after vaccination (Figure 2B).

259

#### 260 **3.2 Increased IgA levels to vaccine-unrelated antigens following RTS,S vaccination**

261 RTS,S vaccination in children significantly increased IgA levels to most vaccine-unrelated  
262 antigens from pre- to post-vaccination, including  $\alpha$ -Gal, AMA1, CeITOS, EBA140, EBA175,  
263 LSA1, MSP1 block 2, MSP3 3C, MSP5, MSP6, Rh2, Rh4.2, Rh5 and SSP2/TRAP ( $p<0.001$ ;  
264 Figure 3). IgA response did not increase for EXP1 and MSP1<sub>42</sub> antigens.

265 Further evaluation of IgA responses was performed with a smaller set of RTS,S vaccinees and  
266 including children from the comparator vaccine group. IgA levels against  $\alpha$ -Gal, CeITOS,  
267 EBA140, LSA1, MSP1 block 2, MSP6, Rh4.2, Rh5 ( $p<0.002$ ; Figure 4) increased after RTS,S  
268 vaccination. In the case of  $\alpha$ -Gal and MSP6, IgA levels were not statistically significant different  
269 between comparator and RTS,S vaccinated children at M3. No differences were observed for  
270 AMA1, EBA175, EXP1, MSP1<sub>42</sub>, MSP5, Rh2 and SSP2/TRAP IgA responses.

271

### 272 **3.3 IgA levels by sites of different MTI, sex and malaria disease**

273 IgA responses after RTS,S/AS01<sub>E</sub> vaccination to the CSP constructs and to most of the *P.*  
274 *falciparum* antigens were similar between sites (Figure 5). However, Kintampo (higher MTI)  
275 tended to have higher IgA responses than Manhiça (lower MTI), although differences did not  
276 always reach statistical significance. Baseline IgA levels to AMA1, EXP1, MSP1<sub>42</sub> were  
277 significantly higher in Kintampo than in Manhiça (p=0.006) and a similar tendency was found for  
278 LSA1 (p=0.056) (Figure 5). We did not detect any differences in IgA levels to CSP or other *P.*  
279 *falciparum* antigens by sex (Supplementary Figure 1). In exploratory analysis, we did not find  
280 significant differences in antigen-specific IgA levels between malaria cases and controls  
281 (Supplementary Figure 2).

282

### 283 **3.4 IgA levels correlated with IgG, IgG1 and IgG3 levels against CSP antigens**

284 Correlation of IgA with IgG [35] and IgG<sub>1-4</sub> [38] levels for each of the antigens was assessed  
285 post-vaccination in 57 samples for which prior IgG data were available. IgA to CSP moderately  
286 correlated with IgG specific for CSP. IgA to NANP had moderate significant correlations with  
287 IgG to C-term and low significant correlation with IgG to CSP (Figure 6A). IgA to NANP  
288 correlated modestly and non-significantly with IgG specific for NANP (Figure 6A). IgA to NANP  
289 showed moderate significant correlations with IgG1 and IgG3 specific for CSP (Figure 6B and  
290 C, respectively).

## 291 **4. Discussion**

292 Our data show that the RTS,S/AS01<sub>E</sub> vaccine elicits a robust IgA response against CSP. Very  
293 little is known about IgA responses to *P. falciparum* and its induction by malaria vaccines. To  
294 our knowledge, this is the first study showing that IgA responses can be elicited following RTS,S  
295 vaccination in a field clinical trial in malaria endemic areas. The magnitude of the IgA response  
296 against CSP was particularly high for the CSP FL construct, and lower for the NANP and C-term  
297 regions. This contrasts with the IgG response that is generally higher to the immunodominant  
298 region of the molecule, the central NANP repeats. Overall, there was a poor correlation between  
299 IgA and IgG to CSP constructs, suggesting that IgA responses may be differentially regulated to  
300 IgG and its subclass responses in the vaccinated children.

301 Interestingly, IgA levels increased after RTS,S vaccination also for other *P. falciparum* antigens  
302 not included in the vaccine, and this appeared to be specific to RTS,S vaccination as it was not  
303 observed in comparator vaccinees. These antigens included CeITOS, EBA140, LSA1, MSP1  
304 block 2, MSP3 3C, MSP6, Rh4.2 and Rh5, and also  $\alpha$ -Gal, which is a widely distributed  
305 carbohydrate not specific to *P. falciparum* but thought to be present in sporozoites [39]. Some  
306 additional antigens showed increased levels when testing more RTS,S vaccinees, probably  
307 because of the increased sample size (e.g. SSP2). All of these antigens showed significant  
308 differences between RTS,S and comparator vaccinees, with the exception of  $\alpha$ -Gal, whose  
309 increase in IgG levels has also been associated with age in children 5 to 17 months of age [39].  
310 We had previously observed an increase of IgG, IgG1 and IgG3 levels to certain non-vaccine *P.*  
311 *falciparum* antigens after RTS,S vaccination [35,38], therefore the IgA increase was not  
312 unexpected.

313 On one hand, we hypothesize that RTS,S could be making the sporozoite more visible to the  
314 immune system by means of opsonisation, which could be enhancing antigen presentation and  
315 the production of IgA (and IgG) against PE *P. falciparum* antigens due to natural exposure. The  
316 RTS,S-induced antibodies against the CSP antigens could slow down the sporozoite invasion of  
317 the hepatocytes, allowing a prolonged sporozoite exposure to the immune system, thus  
318 facilitating the response to PE antigens [38]. On the other hand, the increase in IgA to *P.*  
319 *falciparum* BS antigens might be related to the fact that RTS,S confers partial protection and is  
320 non sterilizing, acting as a “leaky” PE vaccine. As such, it may reduce hepatocyte invasion, liver  
321 stage development and merozoite release from the liver, resulting in a low BS parasitaemia  
322 [38,59]. It is possible that high parasite densities may lead to less effective adaptive immune  
323 responses, while sustained partially controlled infection would result in low parasitaemia that  
324 could elicit enhanced IgA (and IgG) production to certain antigens. Thus, natural exposure to *P.*  
325 *falciparum* between M0 and M3 could induce the increase in IgA specific for BS antigens in  
326 RTS,S vaccinees [38,59]. Alternatively, the adjuvant could stimulate antigen-specific responses  
327 to natural exposure during vaccination increasing the antibody levels [38]. However, we cannot  
328 ignore the possibility that CSP antibodies are cross-reactive and also recognise vaccine  
329 unrelated antigens, even though there is no homology at the primary amino acid sequence level  
330 between these proteins [35]. There is some support for this idea in previous findings that

331 asparagine rich sequences in CSP, such as NANP, give rise to cross-reactive antibodies that  
332 recognize asparagine rich sequences in BS proteins [60].

333 IgA has been predominantly associated only with mucosal protection and thus neglected in  
334 studies of blood-borne pathogens, but it may have a potentially protective role against *P.*  
335 *falciparum* infection. The biological and immune mechanisms by which B cells produce IgA to  
336 this vaccine or to *P. falciparum* infections are not known. In humans, there are two subclasses  
337 of IgA, IgA1 (monomeric) and IgA2 (dimeric) [8], but serum IgA is predominantly IgA1 (~90%).  
338 Here, we measured total IgA, but probably most of the response was IgA1, although this should  
339 be confirmed in subclass-specific assays, as function differs by subtype.

340 Isotype switching is initiated in activated B cells and can be induced by two pathways: T cell-  
341 dependent or T cell-independent class switching [61]. T cell-dependent IgA class switch  
342 essentially depends on two signals in addition to MHC-TCR: CD40-CD40L interaction and  
343 transforming growth factor  $\beta$ 1 (TGF- $\beta$ 1) [61]. TGF $\beta$ 1 is a potent immunoregulator that may  
344 downregulate IgG production and induce regulatory T-cells in humans [62]. This cytokine is anti-  
345 inflammatory at high concentrations and proinflammatory at low concentrations [63] and IgA is  
346 induced at low TGF- $\beta$ 1 concentrations [63]. During early *P. falciparum* infection, TGF- $\beta$ 1  
347 promotes T<sub>H</sub>-1 (e.g. IFN- $\gamma$ ) inflammatory responses that control parasite growth. Later, TGF- $\beta$ 1  
348 downregulates this response to limit pathology related to an exacerbated inflammation [64]. It  
349 might be possible that at the beginning of a malaria infection, TGF- $\beta$ 1 is present at very low  
350 concentrations and stimulates antibody production of IgA and IgG in a proinflammatory milieu.  
351 An inverse correlation between IgG to CSP and TGF- $\beta$ 1 levels has been observed after RTS,S  
352 vaccination [62]. TGF- $\beta$ 1 levels might be low when IgG is being produced. Given the fact that  
353 IgA is induced at low concentrations of TGF- $\beta$ 1, IgA could also be produced in vaccinated  
354 children. T cell-dependent responses take from 5 to 7 days to develop. There are specific B cell  
355 subsets specialized in producing IgM that also class switch to IgG and IgA in a CD40L-  
356 independent manner (T-cell independent responses) [61]. This response is elicited by antigens  
357 on the surface of pathogens that are organised and repetitive and cross-link the B cell receptor,  
358 resulting in short-lived responses [65]. Some BS antigens from *P. falciparum*, such as hemozoin  
359 and the schizont fraction of *P. falciparum* antigen, are thought to induce this kind of response  
360 [66]. The highly repetitive sequence of NANP included in the RTS,S vaccine could also be

361 inducing a T cell-independent response, which might be related to the production of short-lived  
362 antibodies and vaccine efficacy [38].

363 To our knowledge, serum IgA has not been studied in other parasites that infect erythrocytes. In  
364 contrast, it has been quantified in response to pathogens that infect the mucosa like  
365 *Toxoplasma gondii*, and in vaccines against this parasite [67–69]. A monoclonal IgA  
366 administered intraperitoneally protected against *Acanthamoeba keratitis* [70]. Natural infection  
367 by *Entamoeba histolytica* induced shared specificities in serum IgG and IgA in baboons [71].  
368 Serum IgA against the amoeba *Naegleria fowleri* were higher in infected patients [72].  
369 Importantly, IgA could also have a negative effect on protection. IgA antibodies binding to Env  
370 protein in HIV were positively correlated with risk of infection and negatively correlated with  
371 vaccine efficacy [73]. Unfortunately, our study was not designed nor powered to assess the  
372 correlation of IgA with RTS,S-induced malaria protection but we cannot discard that differences  
373 would be observed between malaria protected and non-protected in larger studies. Emerging  
374 data suggest that IgG interactions with complement and Fc $\gamma$ -receptors on immune cells may be  
375 mechanisms mediating protection with RTS,S [74,75]. Evaluating interactions of IgA with  
376 complement and Fc $\alpha$ -receptors in future studies may help understand the potential role of IgA in  
377 vaccine-induced immunity.

378 Having observed the increase in IgA levels after RTS,S vaccination, and considering the IgA  
379 immune mechanisms described in previous studies, we postulate that IgA blood responses  
380 could have an important role in the defence against blood borne pathogens such as *P.*  
381 *falciparum* and deserve further investigation.

382

## 383 **5. Conclusion**

384 RTS,S/AS01<sub>E</sub> elicited IgA responses against CSP constructs and vaccine-unrelated *P.*  
385 *falciparum* antigens, as previously observed for IgG responses, which may be related to its  
386 partial protection and ‘leaky’ effect, suggesting a beneficial interaction with NAI. Further studies  
387 are required to establish the dynamics of the response, including the booster dose, and its  
388 relation to vaccine efficacy. Overall, our study underscores the need to include IgA assessment  
389 and understanding in malaria vaccine and NAI studies, considering that its role in immunity may  
390 go beyond mucosal protection.

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408

409 **Declaration of interest**

410 - Ethics approval and consent to participate

411 The study protocol was approved by the Ethics Committees from Spain, Mozambique, and  
412 Ghana, and written informed consent was obtained from parents or guardians before starting  
413 study procedures.

414 - Consent for publication

415 Not applicable.

416 - Competing interests

417 The authors declare that they have no competing interests.

418 **Authors contribution**

419 RS, CD, and GM conceptualized the study and wrote the first draft of the manuscript. RS, RA,  
420 MV, CD and GM contributed to the writing and the review and editing of the manuscript. RS,  
421 GR, MV contributed to data curation. RS contributed to the formal analysis. CD and GM  
422 contributed to the project administration. MV and RA contributed to the methodology. GM, CD,  
423 JC, CJ, AN, BG, DD, KPA, SO, performed Mal067 study and sample collection. LI, DC, RC, VC,  
424 EA, SD, DG, JB contributed to the antigen resources. All authors read and approved the final  
425 manuscript.

426

427 **Figure captions**

428 **Fig. 1: Flowchart of the study design.**

429

430 **Fig. 2: RTS,S-induced IgA response to CSP antigens. Boxplots** showing A) an increase in  
431 the IgA levels (median fluorescence intensity, MFI) against CSP antigens between the two  
432 timepoints month 0 (M0) and month 3 (M3, adjusted  $p$ -value $<0.001$ ) and B) comparing  
433 RTS,S/AS01E and comparator vaccinated children at M3, showing that the increase between  
434 timepoints was only observed in the RTS,S group ( $p$ -value $<0.001$ ). Boxes depict median MFIs,  
435 interquartile ranges (IQR) and log<sub>10</sub> geometric mean (diamonds); the lower and upper hinges  
436 correspond to the first and third quartiles; whiskers extend from the hinge to the highest or  
437 lowest value within 1.5 x IQR of the respective hinge. Paired t-test (A) and t-test between M3  
438 vaccinated and comparator children (B) were used to assess statistically significant differences  
439 in antibody levels between groups. T-test results are given as  $p$ -values and adjusted  $p$ -values  
440 for multiple testing (shown in parenthesis). Horizontal lines indicate groups compared in the t-  
441 test. Only adjusted  $p$ -values  $<0.05$  were considered. The y axis MFI is shown in log<sub>10</sub> scale.  
442 Numbers in parenthesis indicate total of individuals in each category.

443

444 **Fig. 3: IgA levels against vaccine-unrelated *P. falciparum* antigens in RTS,S/AS01E-**  
445 **vaccinated children.** IgA levels (median fluorescence intensity, MFI) were higher at month 3  
446 (M3) compared to month 0 (M0) for  $\alpha$ -Gal, AMA1, CelTOS, EBA140, EBA175, LSA1, MSP1

447 block 2, MSP3 3C, MSP5, MSP6, Rh2, Rh4.2, Rh5 and SSP2/TRAP (adjusted p-value<0.001).  
448 Boxes depict median MFIs, interquartile ranges (IQR) and log<sub>10</sub> geometric mean (diamonds);  
449 the lower and upper hinges correspond to the first and third quartiles; whiskers extend from the  
450 hinge to the highest or lowest value within 1.5 x IQR of the respective hinge. Paired t-tests were  
451 used to assess statistically significant differences in antibody levels between groups. T-test  
452 results are given as p-values and adjusted p-values for multiple testing (shown in parenthesis).  
453 Horizontal lines indicate groups compared in the t-test. Only adjusted p-values <0.05 were  
454 considered. The y axis MFI is shown in log<sub>10</sub> scale. Numbers in parenthesis indicate total of  
455 individuals in each category.

456

457 **Fig. 4: IgA levels against vaccine-unrelated *P. falciparum* antigens in RTS,S/AS01<sub>E</sub>- and**  
458 **comparator-vaccinated children.** IgA levels (median fluorescence intensity, MFI) increased for  
459 some *P. falciparum* vaccine-unrelated antigens between month 0 (M0) and month 3 (M3) in  
460 RTS,S vaccinated children unlike the comparator group. These antigens were α-Gal, CeITOS,  
461 EBA140, LSA1, MSP1 block 2, MSP6, Rh4.2, Rh5 (adjusted p-value<0.001). Boxes depict  
462 median MFIs, interquartile ranges (IQR) and log<sub>10</sub> geometric mean (diamonds); the lower and  
463 upper hinges correspond to the first and third quartiles; whiskers extend from the hinge to the  
464 highest or lowest value within 1.5 x IQR of the respective hinge. T-test between M3 vaccinated  
465 and comparators and paired t-tests between M0 and M3 groups were used to assess  
466 statistically significant differences in antibody levels between groups. T-test results are given as  
467 p-values and adjusted p-values for multiple testing (shown in parenthesis). Horizontal lines  
468 indicate groups compared in the t-test. Only adjusted p-values <0.05 were considered. The y  
469 axis MFI is shown in log<sub>10</sub> scale. Numbers in parenthesis indicate total of individuals in each  
470 category.

471

472 **Fig. 5: Antigen-specific IgA levels stratified by site at timepoints month 0 and month 3.**  
473 IgA levels (median fluorescence intensity, MFI) from Kintampo (K) and Manhiça (M) RTS,S  
474 vaccinated children are shown in the graph for CSP antigens (A) and vaccine-unrelated *P.*  
475 *falciparum* antigens (B). Specific IgA against AMA1, EXP1 and MSP1<sub>42</sub> showed higher levels in  
476 Kintampo than in Manhiça (adjusted p-value <0.05) at M0. Boxes depict median MFIs,

477 interquartile ranges (IQR) and  $\log_{10}$  geometric mean (diamonds); the lower and upper hinges  
478 correspond to the first and third quartiles; whiskers extend from the hinge to the highest or  
479 lowest value within  $1.5 \times$  IQR of the respective hinge. Paired t-tests were used to assess  
480 statistically significant differences in antibody levels between groups. T-test results are given as  
481 p-values and adjusted p-values for multiple testing (shown in parenthesis). Horizontal lines  
482 indicate groups compared in the t-test. Only adjusted p-values  $<0.05$  were considered. The y  
483 axis MFI is shown in  $\log_{10}$  scale. Numbers in parenthesis indicate total of individuals in each  
484 category.

485

486 **Fig. 6: Correlation scatterplots between IgA and IgG levels at month 3 in RTS,S**  
487 **vaccinees.** IgA against CSP antigens moderately or lowly correlated with IgG (A) IgG1 (B) and  
488 IgG3 (C) specific for the same antigens. IgA specific for CSP correlated with IgG against the  
489 same antigen. IgA specific for NANP correlated with IgG against CSP full length, NANP and C-  
490 term peptides, and with IgG3 specific for CSP full length. Both y and x axes are median  
491 fluorescent intensities (MFI) in  $\log_{10}$  scale. Correlation estimate and its p-value were calculated  
492 with the Spearman method. Correlation estimate is given as (R) and p-value as (p). Low  
493 correlation:  $0.2 < R < 0.39$ . Moderate correlation:  $0.4 < R < 0.59$ .

494

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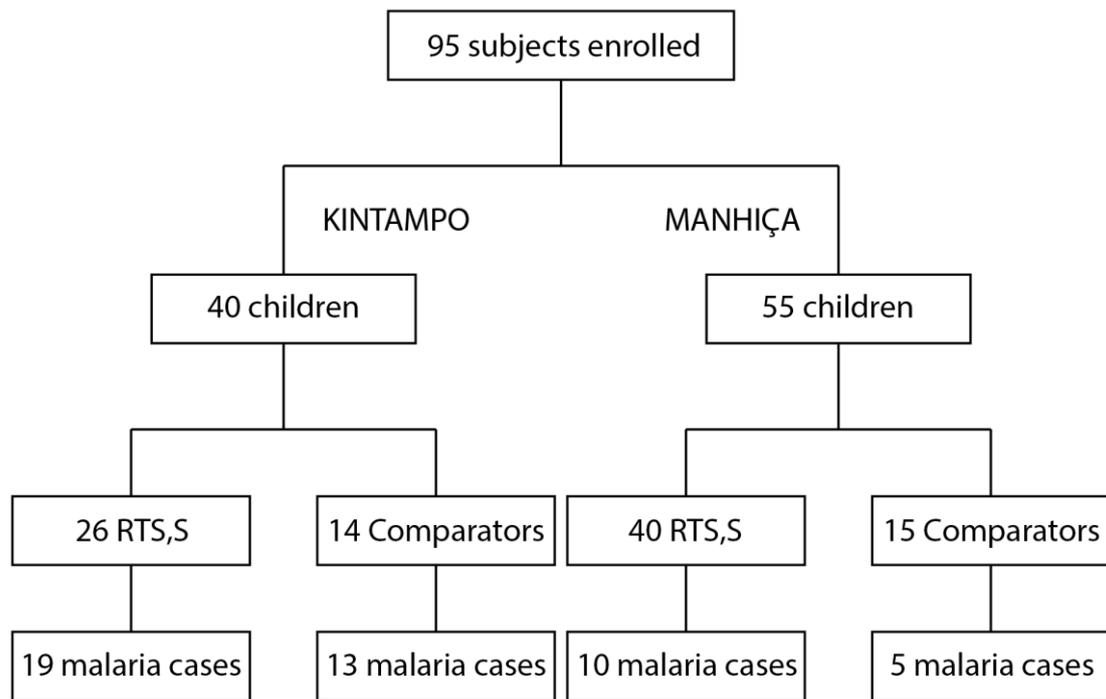
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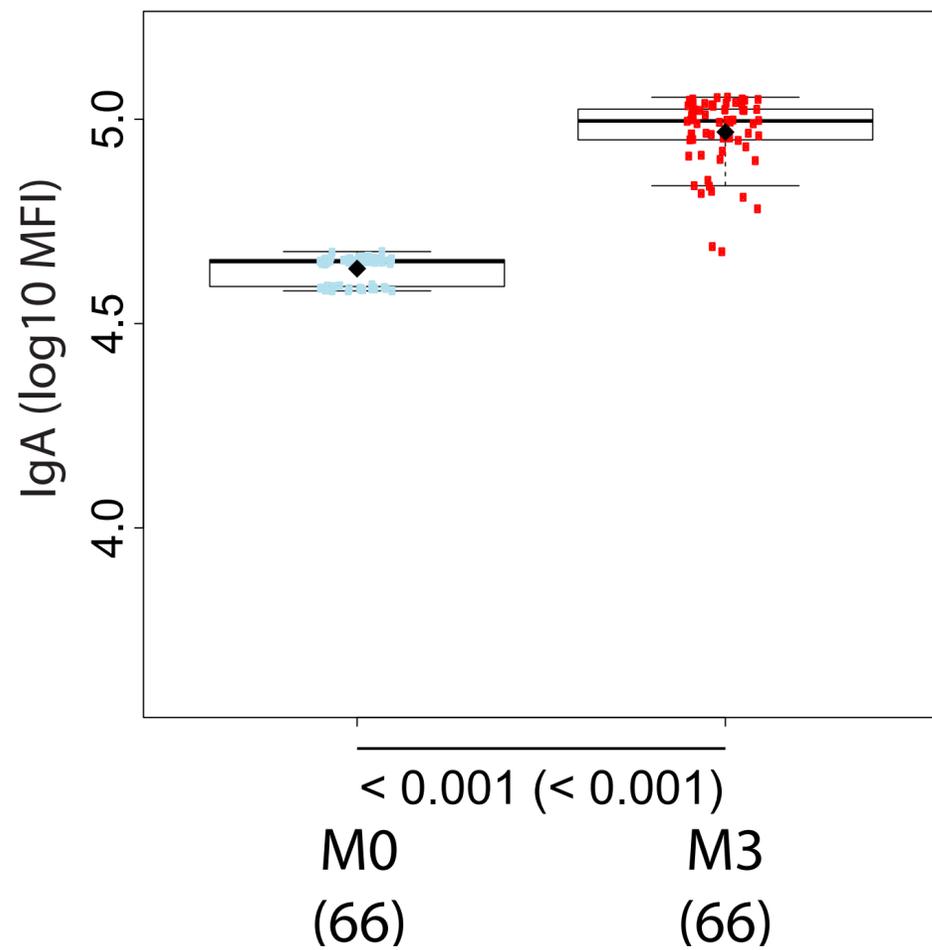
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Figures

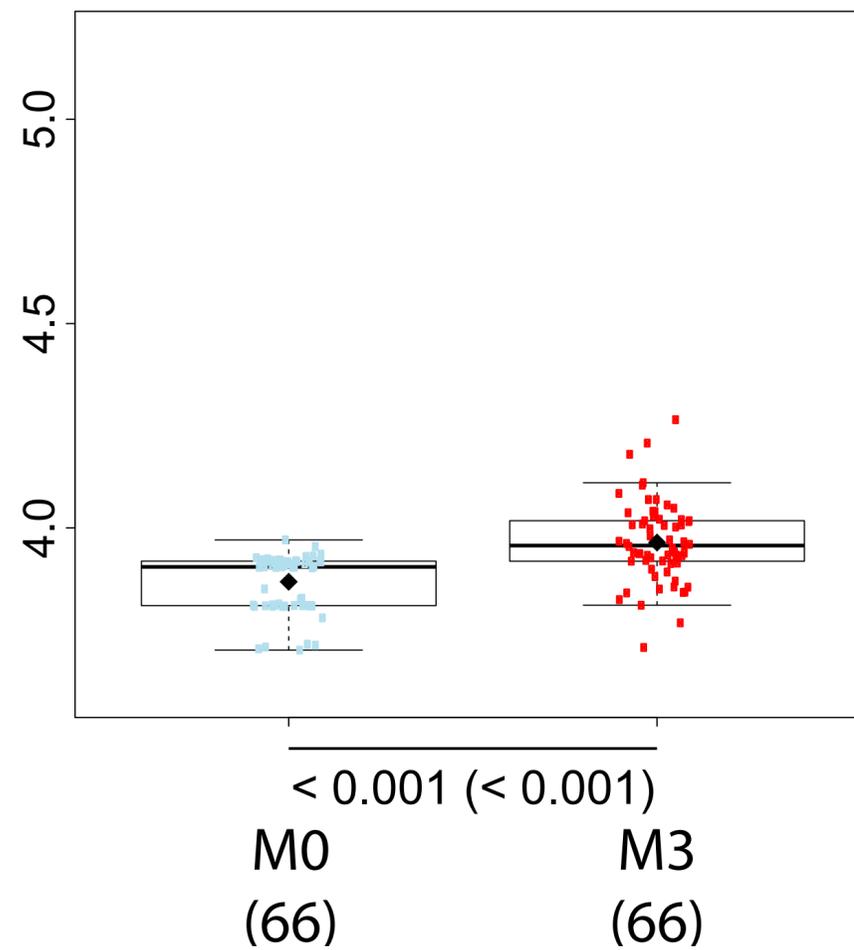


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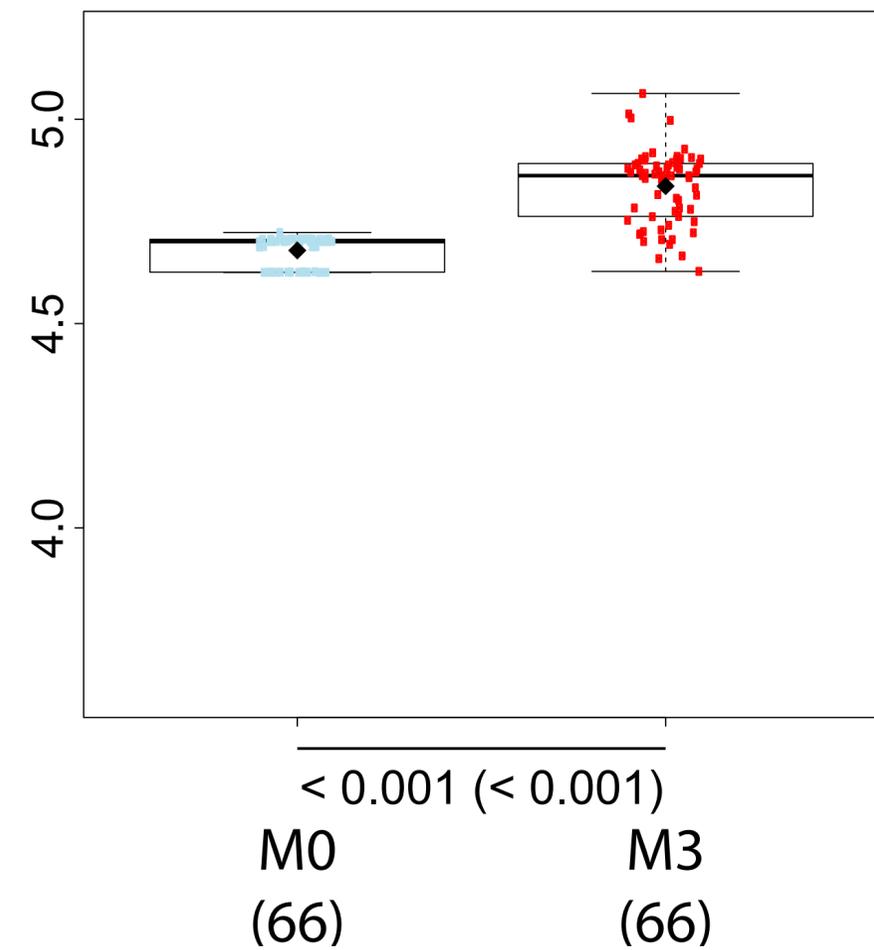
CSP full length



peptide CSP C-term

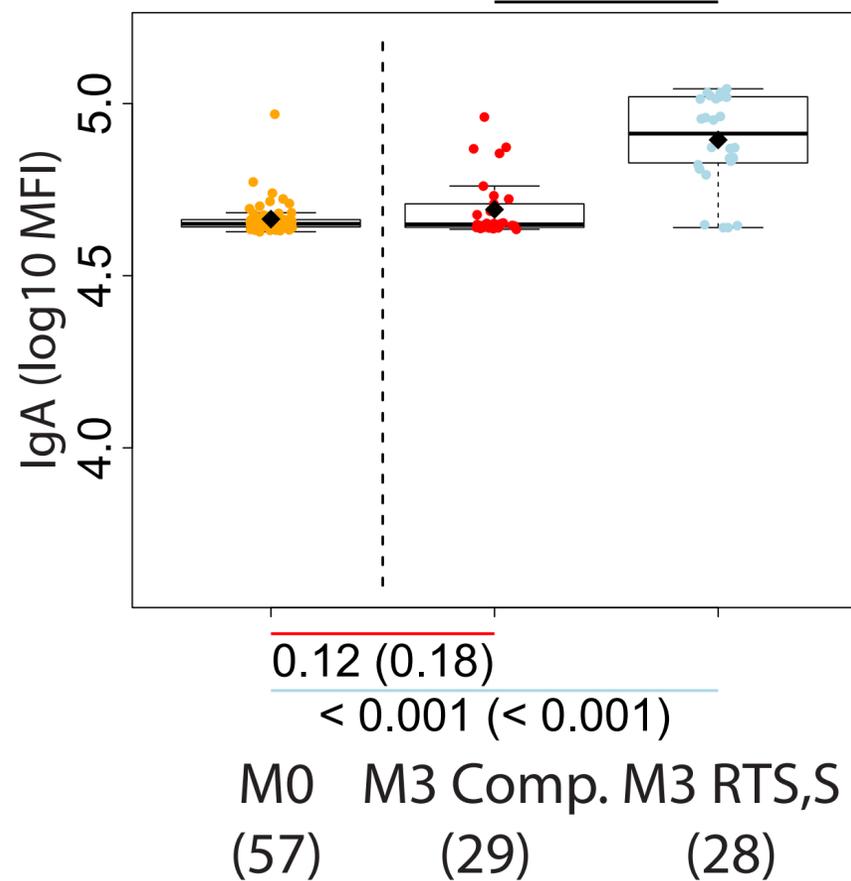


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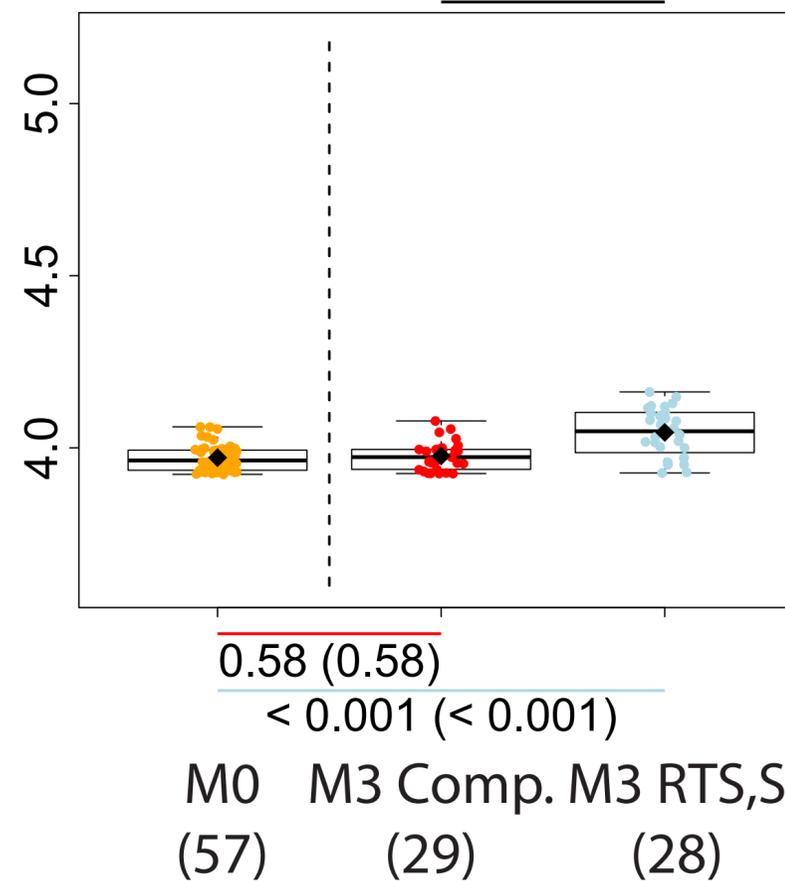


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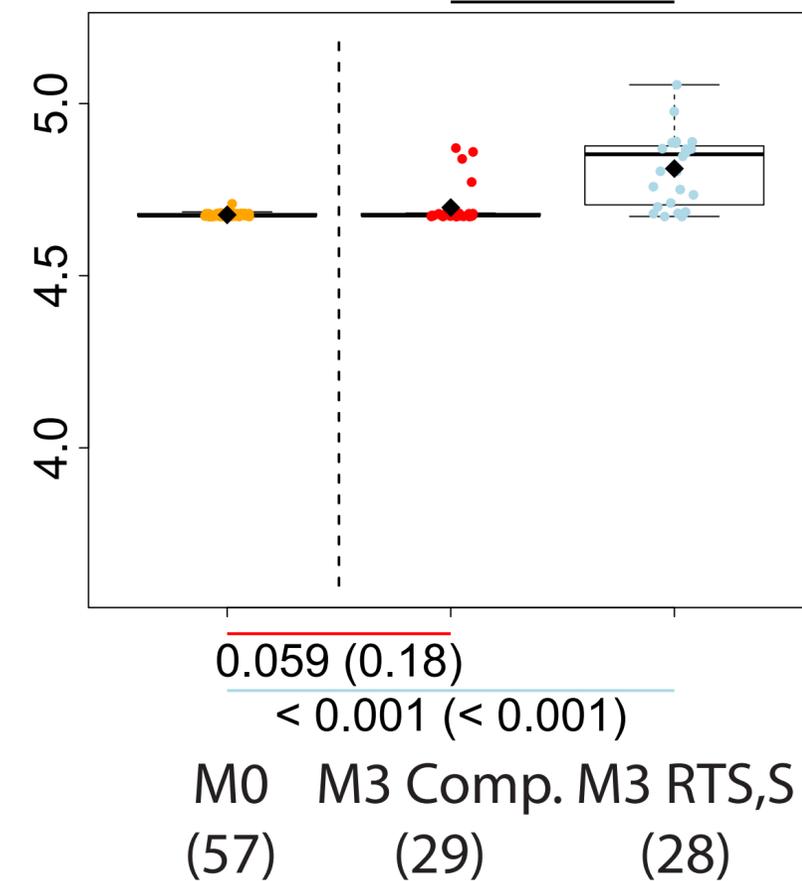
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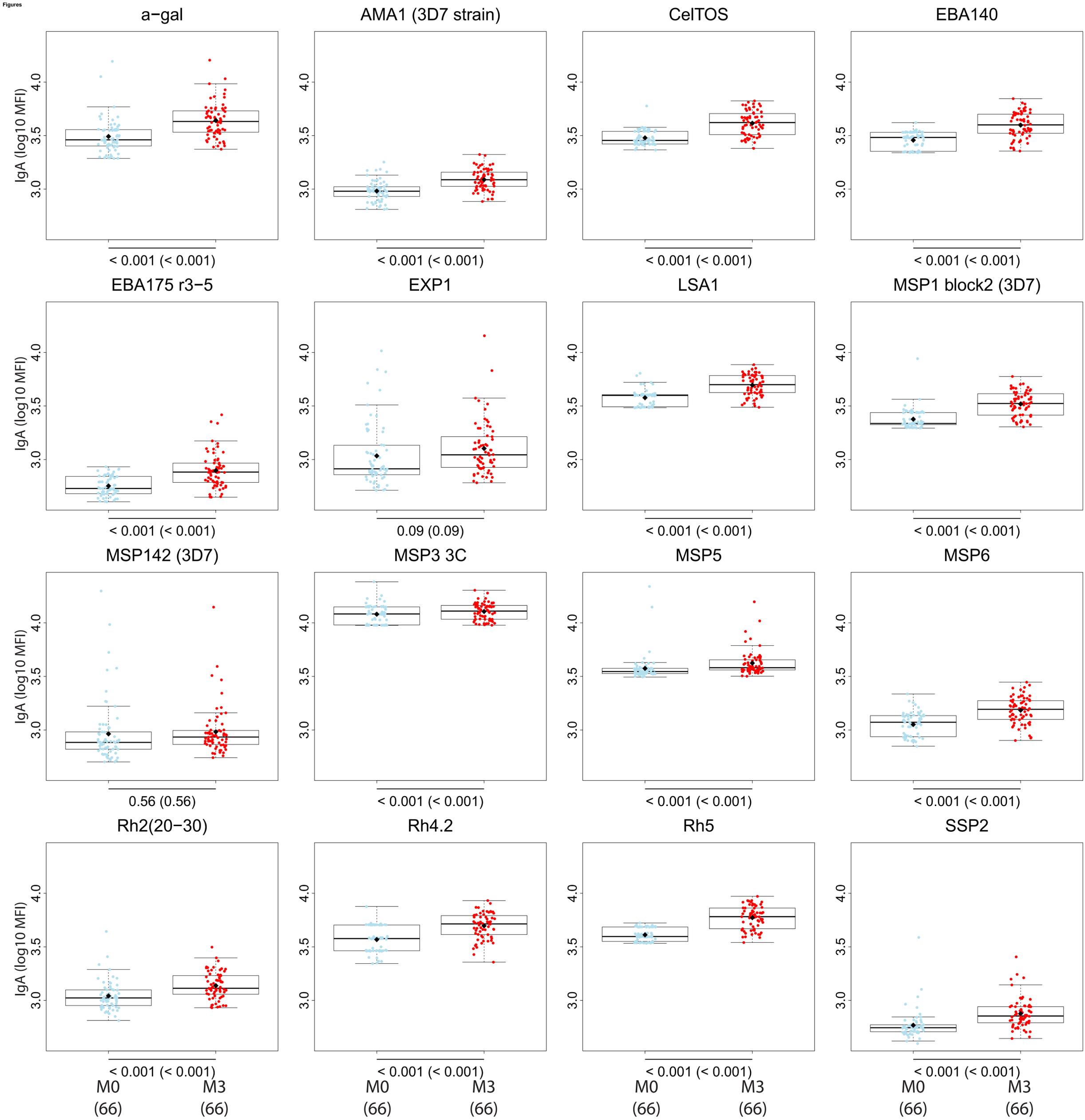


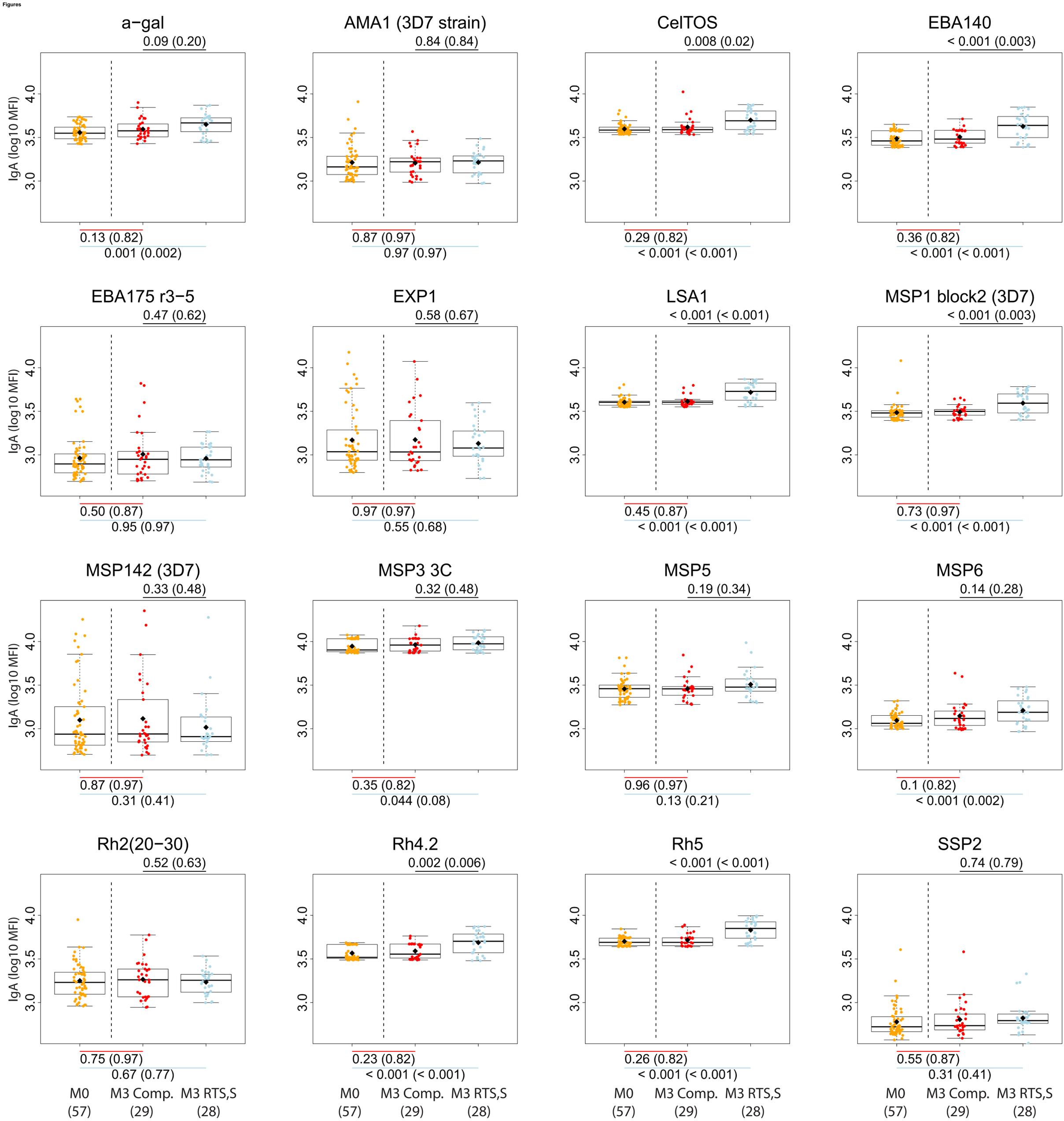
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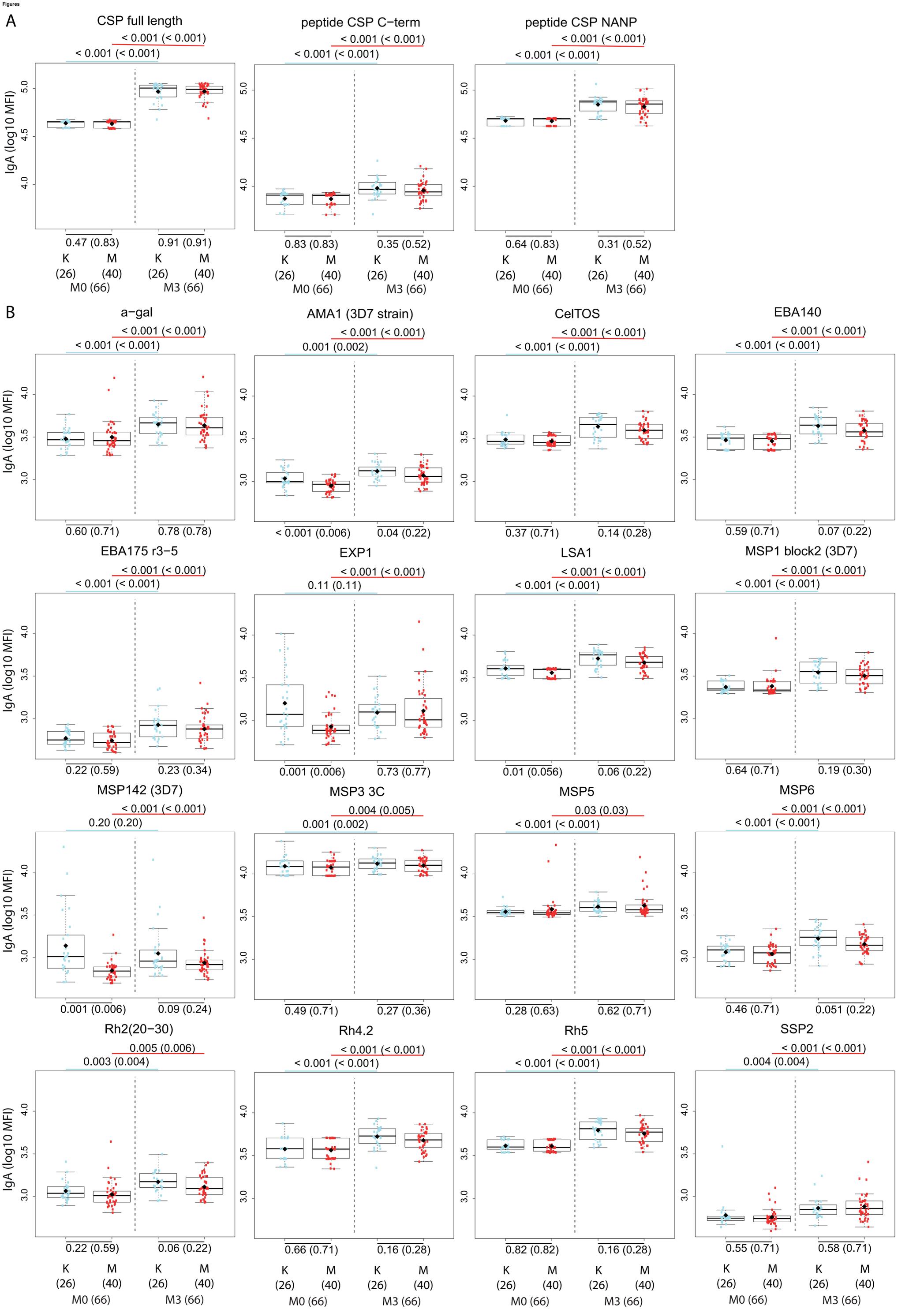


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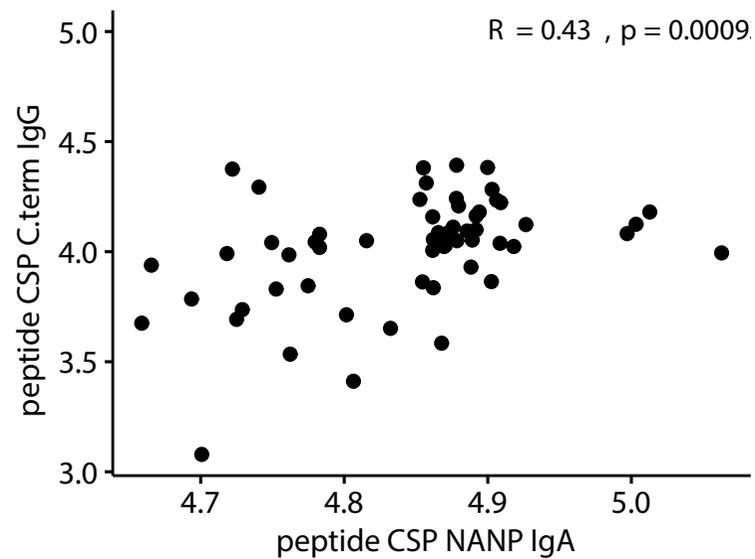
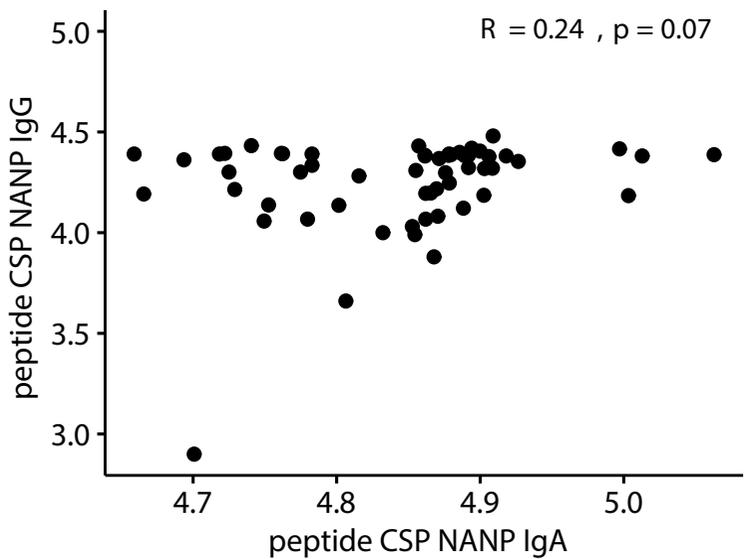
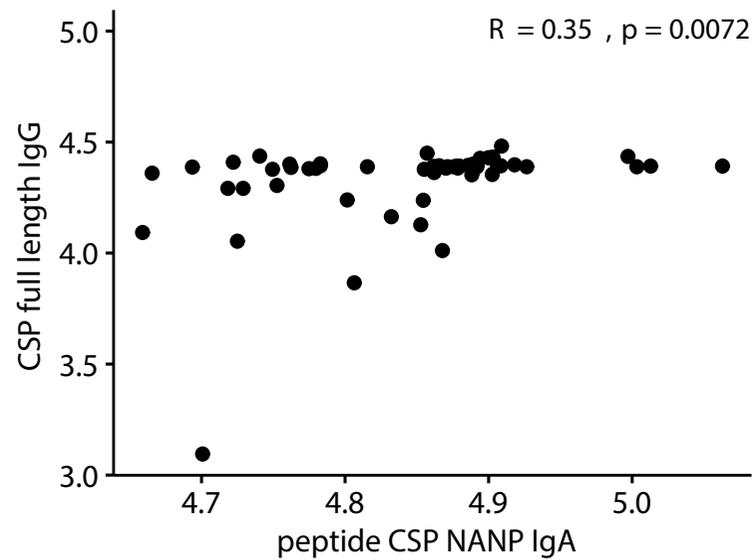
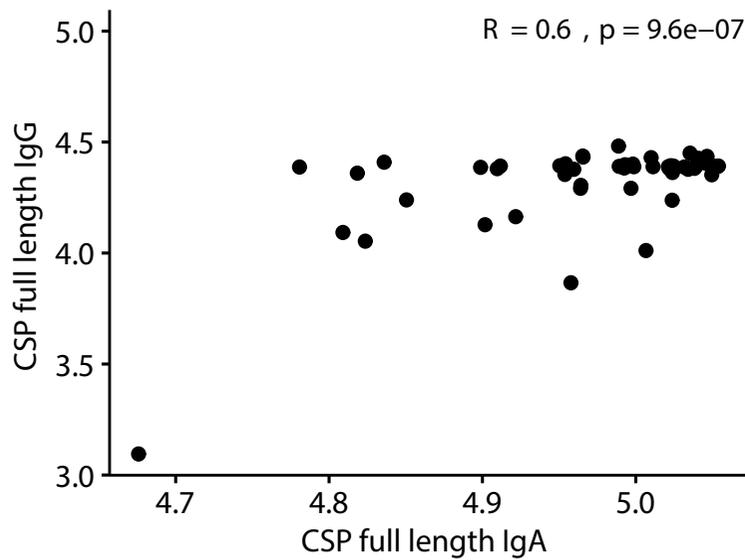




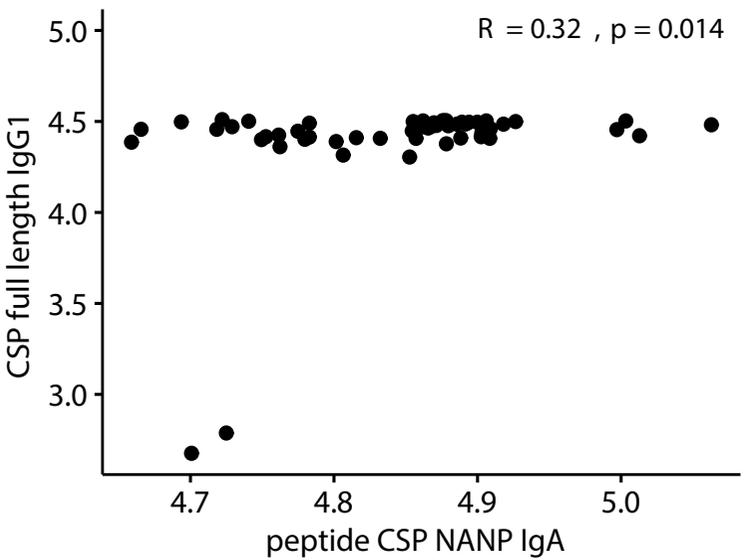




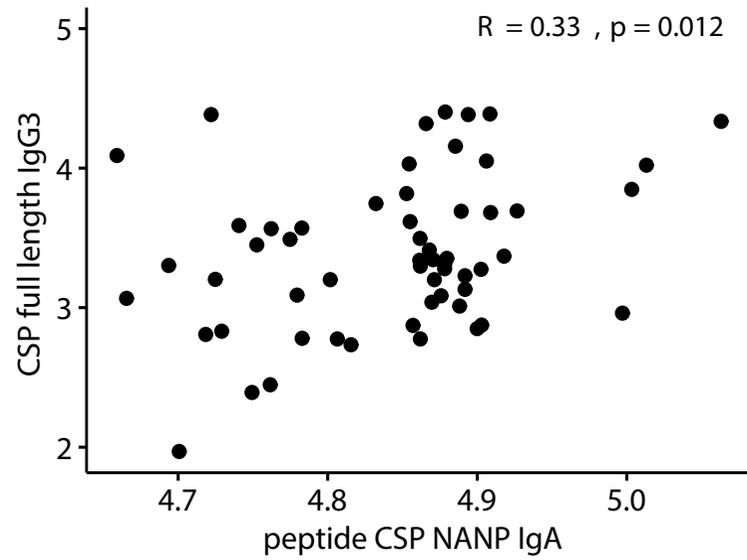
# A



# B



# C



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