- 1 The missing Myopus: plugging the gaps in Late Pleistocene small
- 2 mammal identification in western Europe with geometric
- 3 morphometrics
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Abstract

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Lemmus and Myopus are two lemming species with distinct habitat requirements but which show very similar dental morphologies. They are thus extremely difficult to distinguish from one another in the fossil record on the basis of their dental remains, leading to poor understanding of the palaeobiogeographical evolution of Myopus as well as inaccurate paleoenvrionmental reconstructions. Currently, the presence of *Myopus* in the fossil register from the Pleistocene is still debated and no firm occurrence of this lemming in western Europe has yet been confirmed during the Late Pleistocene. In this paper, we used geometric morphometrics on modern material to establish morphological differences between *Lemmus* and Myopus teeth (first lower and third upper molars). Morphological data was then used to build a robust linear discriminant model able to confidently classify isolated teeth of these two genera, and finally, linear discriminant models were used on fossil remains of *Lemmus/Myopus* from two Late Pleistocene archaeological/palaeontological sites (Grotte des Gorges and Gully Cave). This study demonstrates, for the first time, the presence of *Myopus schisticolor* in west European Late Pleistocene sites between the end of MIS 3 and the beginning of Holocene, during climatic events that favoured the development of taiga forest of birch and pine in these regions.

Keywords

- 38 Geometric morphometrics, Lemming, Late Glacial, Paleobiogeography, Molar shape, Boreal
- 39 environment, Taxonomy

1. Introduction

- 41 Rodents are characterized by a significant taxonomic diversity associated with various
- 42 ecological and biological traits, thereby ensuring their widespread occurrence throughout all
- 43 modern biotopes. They have been intensively studied with respect to the fields of evolution,

biochronology and palaeoenvironmental change (e.g. Chaline and Mein, 1979; Cox and Hautier, 2015; Fejfar and Heinrich, 1990; Hernandez Fernandez, 2006; van Kolfschoten, 1995; Kowalski, 1995; Montuire et al., 1997; Rekovets and Kovalchuk, 2017). Their remains, well preserved in many archaeological and palaeontological contexts, have therefore contributed to the reconstruction of patterns of Quaternary palaeoclimatic change, still a major challenge in our understanding of continental chronostratigraphies today. Among the methods applied for paleoclimate reconstructions, many are based on species associations, such as the presence/absence or relative abundance of species, hence the need to have accurate taxonomic identifications (e.g. Gobalet 2001; Lyman, 2002, 2019; Royer et al., 2020; Stahl, 1996). Numerous obstacles hinder the identification of small-bodied animals, even when their skeletal remains are recovered (Stahl, 1996). One problem is that while modern zoologists have many criteria by which to identify animals (e.g. fur, size, skull and post-cranial anatomy, geographical range), most of these criteria cannot be applied to fossils. A second problem is that identification of small mammals is based on limited types of remains, generally the teeth, which are most resistant to mechanical taphonomical processes. Despite dental remains being the most diagnostic elements at the genus or species levels, teeth may exhibit very similar morphologies, which could potentially prevent confident identifications. The last problem also reflects the differential state of diagnostic information for relevant taxa (Lyman, 2019; Stahl, 1996). Many criteria have been proposed to identify these smallest-size species, but most of them rely on the "holotypic" morphology (i.e. the typical form as defined by characteristic specimens of this species), without taking into account geographical or inter-population variability, except to point out very unusual cases. The ensuing large number of criteria proposed for species identification often leads either to disagreement or to differential use of these criteria among taxonomists. As said by Stahl (1996), it must always be remembered that higher level taxonomic categories are necessarily dynamic and constantly open to revision.

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Among the rodents, voles and lemmings, which show substantial tooth variability throughout species, time and space, are key taxa for tracking Quaternary environmental and climatic changes (e.g. Kowalski, 2001; Montuire et al., 2019; Nadachowski 1982; Royer, 2013). Lemmings are reliable biomarkers of specific and typical high latitude environments (Chaline, 1972; Kowalski, 1995). In Eurasia, four lemming genera are found in fossil contexts: 1) Dicrostonyx and Lagurus, which are regularly present during the coldest or driest phases of the Late Pleistocene extending as far as the south of France (Chaline, 1972; Marquet, 1993; Royer et al., 2016); 2) Lemmus, which is also frequently found throughout Eurasia in open and cold environments, but still in modest abundance (Kowalski, 1995, 2001), and 3) Myopus, which has so far only been attested in Russia at this time, associated with more temperate species (e.g. Markova et al., 2019; Ponomarev et al., 2013a, 2013b, 2013c). As Lemmus (Link, 1795) and Myopus (Miller, 1910) have two strong but divergent ecological signals, their taxonomic discrimination in the fossil assemblages is essential in order to investigate past faunal associations and understand their significance in paleoclimatic reconstructions. The True Lemming genus (Lemmus) is a complex of several species which have a northern distribution restricted to Arctic tundra environments associated with high seasonality and little vegetation. These taxa use the thick snow covering as a shelter, giving them access to the vegetation persisting under this natural shield during winter (Domine et al., 2018; Reid et al., 2011; Stenseth and Ims, 1993), as well as using bogs and wetlands during summer and eschewing forest as much as possible (Le Vaillant et al., 2018; Wilson et al., 2017). The wood lemming (Myopus schisticolor [Lilljeborg, 1844]) is the only representative of its genus and inhabits exclusively coniferous forest with a thick moss cover, which constitutes its main food source (Bobretsov and Lukyanova, 2017; Calandra et al., 2015; Eskelinen, 2002). Contrary to Lemmus, this taxon avoids bogs and marshes and is present throughout the taiga forests from Scandinavia to the eastern coast of the Sea of Okhotsk.

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Although *Myopus* and *Lemmus* are separated by a large phylogenetic distance (Abramson and Petrova, 2018) and are easily distinguishable in their external appearance (Gromov and Polyakov, 1992; Wilson et al., 2017), they express a great deal of similarity in terms of their dental morphology (Borodin, 2009; Chaline et al., 1988; Koenigswald and Martin, 1984; Kowalski, 1995), resulting in their fossil remains often being impossible to separate or being lumped together as "*Lemmus-Myopus*" (Kowalski, 2001). This problem of differentiation has therefore greatly reduced the palaeoecological utility of these taxa, which is particularly problematic considering their occurrence in many Quaternary deposits. Several authors have accordingly attempted to separate these two genera through morphometrical analysis of the first lower molar (m1) and the third upper molar (M3) but with varying degrees of accuracy (Chaline et al., 1988; Markova et al., 2018; Ponomarev et al., 2013b; Smirnov et al., 1997).

The present paper aims to resolve this problem by providing a robust means of differentiating between the teeth of these two genera, *Lemmus* and *Myopus*. This study uses geometric morphometrics, which has never been applied on these two genera, despite its efficiency in identifying similar species in modern and fossil record (e.g. McGuire, 2011; Cucchi et al., 2014; Hulme-Beaman et al., 2018; Stoetzel et al., 2017; Navarro et al., 2018; Kolendrianou et al., 2020). Several modern populations were considered, both to establish differences in their dental morphologies and to evaluate the reliability of traditional criteria previously used to separate the two genera. The geometric morphometric approach is then coupled with a discriminant function analysis in order to separate these two genera before being applied to European fossil specimens from two Late Pleistocene sites. The outcomes of this paper consequently propose not only a novel and replicable means of identifying and separating the two genera, but also allow a new view of the past environments and biogeographical evolution of lemming populations.

2. Taxonomy and Morphology

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Lemmus and Myopus genera belong to the tribe Lemmini (Gray, 1825), which is part of the Arvicolinae subfamily (Gray, 1821). The genus *Lemmus* is currently divided into four distinct species (Abramson and Petrova, 2018; Wilson et al., 2017): the Nearctic North American brown lemming Lemmus trimucronatus (Richardson, 1825) and the Palearctic Norway lemming Lemmus lemmus (Linnaeus, 1758), Siberian lemming Lemmus sibiricus (Kerr, 1792) and the Amur lemming *Lemmus amurensis* (Vinogradov, 1924). Originally, *Myopus* was recognized as a member of the genus Lemmus and named as Lemmus schisticolor (Lilljeborg, 1844) due to osteological similarities, such as the general shape of the skull (Ognev, 1948), the convergence of the maxillary tooth rows and the inclination of the mandibular tooth rows (Abramson, 1993). Miller (1910, 1912) separated Myopus from Lemmus, leading to the recognition of Myopus schisticolor, a species characterized by a combination of *Lemmus* characters (skull and teeth) and vole characters (general body-form and foot structure). Recent DNA studies clearly attest to the separation of these two genera (Abramson and Petrova, 2018; Buzan et al., 2008; Cook et al., 2004; Robovský et al., 2008). Molars of *Lemmus* and *Myopus* are hypsodont with a flat grinding surface and have a prismatic structure composed of alternate triangles and deep re-entrant angles filled with cement (fig. 1). The first lower molar (m1) is constituted by a posterior loop (PL), three closed alternating triangles (T1-T3), two confluent triangles (T4 and T5) and an anterior loop (AL). The third upper molar (M3) shows a similar structure (fig. 1), with an anterior loop, five triangles and a posterior loop (PL). For these two molars, some authors note a high variation in the outer loops (anterior loop for m1, posterior loop for M3), which exhibit different stages of complexity, with the development of supplementary re-entrant angles on both labial and buccal sides (e.g. Markova et al., 2018; Ponomarev et al., 2013b). In general, *Lemmus* shows a higher tendency to complexity (i.e. development of supplementary re-entrant angles) and *Myopus* has a simpler morphology. However, due to a large overlap, these trends cannot be used to definitively attribute individuals to one or the other of these genera. Based on biometrical approaches, linear measurements are the most commonly used features to distinguish these two genera on isolated teeth (*e.g.* Borodin, 2009; Ponomarev et. al., 2013b; Roberts and Parfitt, 1999; Tiunov and Panasenko, 2011), with the following features identified as being of potential significance: 1) differences in the length/width ratio of M3 (Smirnov et al., 1997), with the M3 shape in *Myopus* tending to be more compact and that in *Lemmus* tending to be more elongated; 2) ratios obtained from several linear measurements to characterize the global shape of the different molars (Chaline et al., 1988); and 3) the size of the teeth, with a greater tooth length in *Lemmus* (Chaline et al., 1988; Smirnov et al., 1997). Finally, a supplementary criterion based on cementum was recently proposed by Ponomarev et al. (2013b). This last work shows that the frequency of cementum deposits in the last re-entrant angles of the outer loops of both M3 and m1 (LRA3 and BRA4 of m1) differs between the two genera. The cementum deposits are absent in *Myopus* teeth, whereas they are present in 40 to 70% of *Lemmus* individuals (Cheprakov, 2016; Markova et al., 2018; Ponomarev et al., 2013).

3. Materials and methods

3.1. Modern specimens

Since the focus of this paper is the application of the geometric morphometrics method to western European fossil sites, modern *Lemmus* and *Myopus* individuals were targeted from Scandinavia and Russia. The modern samples are composed of research laboratory collections and Snowy Owl (*Bubo scandiacus*) pellets and are housed in the research laboratory collections in Biogéosciences at the University of Burgundy and in the Arctic Research Station of Labytnangi in Russia. In total, 30 *Myopus* and 96 *Lemmus* m1 specimens, and 31 *Myopus* and 91 *Lemmus* M3 specimens were analysed (see table 1 for details). Since the *Myopus* genus is monospecific, our sample was only composed of individuals of *Myopus schisticolor*. To

describe most of the current morphological variability of the *Lemmus* genus, our sample included specimens from the three different species. Most of our sample belong to two palearctic species, with 50 Norway Lemming (*L. lemmus*) and 34 western Siberian Lemming (*L. sibiricus*). The two species are closely related in their phylogeny but were already separated during the Late Pleistocene (Abramson and Petrova, 2018). Few individuals of eastern *L. sibiricus* from Chukotka district were included to capture the range of potential variability of the genus. Additionally, 12 m1 and 8 M3 of North American Brown Lemming (*L. trimucronatus*) were included to clarify the distinction amongst the different representatives of *Lemmus*. Numbers and origins of the specimens are detailed in table 1 and figure 2. Only well-preserved and complete molars were used in the analyses; all broken and digested teeth were discarded.

3.2. Fossil samples

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- Fossil specimens come from two archaeological/palaeontological sites from Late Pleistocene,
- la Grotte des Gorges (France) and Gully Cave (England) (fig. 2). As for the modern material,
- broken and digested teeth were not included in this study. All dates of la Grotte des Gorges
- have been calibrated using IntCal13 (Reimer et al., 2013).

La Grotte des Gorges is located close to Amange village (Jura, France) and was excavated 184 between 2008 and 2017. Two layers (1a and 1b) yielded archaeological material (David et al., 185 2014, 2017), from the end of MIS 3 with AMS dates ranging from $33,030 \pm 750$ to $29,390 \pm$ 186 170 uncal. BP. The site yields a diverse association of mammalian species demonstrating a 187 transition from arctic toward steppe environment. Lemmus/Myopus remains come from the 188 upper part of the sequence (layer 1a), dominated by typical taxa of cold-climate phases such as 189 reindeer (Rangifer tarandus), mammoth (Mammuthus primigenius), rhinoceros 190 (Rhinocerotidae) and collared lemmings (Dicrostonyx torquatus) The second phase of the 191 sequence (layer 1b) is dominated by bison (Bison priscus), which is typical taxa of steppe 192

environment. A total of 11 first lower and 3 third upper molars attributed to *Lemmus/Myopus*has been analysed (table 1 and figure 2).

Gully Cave is located in Ebbor Gorge (Somerset, UK) and has been the subject of ongoing excavation since 2006. The upper stratigraphic units (of relevance here) consist of matrix-rich limestone breccias of Lateglacial Interstadial and Holocene age respectively, separated by a coarse, open-framework limestone breccia deposited during the Younger Dryas, the stratigraphy underpinned by radiocarbon dates on mammalian faunal remains from throughout the sequence. The cave was completely filled by around 10,000 cal years BP and the sequence was capped by a discontinuous flowstone. A total of 35 m1 teeth were analyzed, 12 from the Younger Dryas levels (date range 12409-12037 cal. BP to 11950-11259 cal. BP) and the other 23 from the boundary level between the Allerød interstadial and the Younger Dryas (date range 12379-12637 cal. BP to 13214-13444 cal. BP), and 43 M3s, with 17 from the Younger Dryas and 26 from the Allerød interstadial-Younger Dryas transition. The mammalian assemblage from the Younger Dryas levels sampled here includes Lepus timidus, Myodes sp., Dicrostonyx torquatus, Lemmus/Myopus, Microtus agrestis or arvalis, Lasiopodomys gregalis, Alexandromys oeconomus, Vulpes sp. and Rangifer tarandus. The sample from the Allerød interstadial-Younger Dryas transition has yielded L. timidus, Ochotona pusilla, Arvicola amphibius, Clethrionomys glareolus, D. torquatus, Lemmus/Myopus, M. agrestis or arvalis, L. gregalis, Al. oeconomus, V. lagopus, R. tarandus and Cervus elaphus.

3.3. Landmark schemes and data acquisition

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All teeth were photographed using a macro objective (Canon EOS6D mark II, macroscopic objective MP-E 65mm f/2.8) and saved in .jpg format with a 72-dpi resolution. To avoid between-picture deformation, all specimens were photographed one by one with the same distance from the objective and with the same focus. Outlines were then extracted from pictures using ImageJ v.1.52a. The m1 outline was then orientated with a manual marking of two

landmarks (Lm 3 and 7, fig. 1), which define the tooth's vertical axis, in order to correctly position the tooth following the protocol of Navarro et al. (2018). No satisfactory orientation could be obtained with this protocol on the M3, so the procedure was adapted as follows. The outline pixels were rotated along the first principal component axis of the outline pixel coordinate matrix. These standardized orientations then enabled landmarks located at the extreme tips of the salient and reentrant triangles to be automatically detected, as well as those at the extreme tips of the posterior and anterior loops. All landmarked teeth were further inspected for gross error, and the entire procedure was performed by a single operator, thereby avoiding inter-operator biases. In total, seven landmark schemes and two biometric measurements were defined to describe the morphological variability of the m1 and M3 (table 2 and fig.1). The global shape of each tooth was analysed with a fixed landmark scheme (FL), using 14 landmarks for the m1 (m1-FL) and 11 landmarks for the M3 (M3-FL). A total of 20 semi-landmarks for m1 and 50 semilandmarks for M3 were used to characterize the outer loops (anterior loop dataset m1-AL and posterior loop dataset M3-PL). The SL schemes, m1-SL and M3-SL correspond to FL datasets combined with semi-landmarks of outer loops (m1-AL or M3-PL). Another semi-landmark scheme describes the alternation between T2 and T3 of M3 (M3-TR) by using a total of 4 fixed landmarks and 30 semi-landmarks. In all semi-landmark configurations, few fixed landmarks were removed to treat whole loops only using semi-landmarks (landmark removed for each dataset: m1-SL/AL: Lm8, 9, 10; M3-SL/PL: Lm 9, M3-TR: Lm3).

- In addition, the tooth length (TL) of the two teeth was estimated based on the Euclidean distance
- between the most anterior and posterior landmarks (Lm1 and 9 for m1; Lm1 and 7 for M3).
- 3.4. Geometric morphometrics

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- All configurations of each landmark dataset (m1-FL, m1-SL, m1-AL, M3-FL, M3-SL, M3-
- 242 PL, M3-TR) were superimposed using partial Generalized Procrustes Analysis (GPA),

performed independently for each dataset. This procedure aims to separate the shape component from the position, size and orientation components contained within the coordinates of landmark configurations. GPA proceeds by 1) translating each individual configuration so that their centroids coincide, 2) scaling each landmark configuration to unit centroid size, and 3) rotating each configuration onto the dataset mean shape by minimizing Procrustes distances between each configuration and this mean shape (Dryden and Mardia, 1998; Rohlf and Slice, 1990; Zelditch et al., 2012). For m1-SL, m1-AL, M3-SL, M3-PL and M3-TR, semi-landmarks are allowed to slide to minimize the bending energy between the specimen configurations and the meanshape of the dataset under consideration (Bookstein, 1997). The obtained coordinates (Procrustes coordinates) were then projected onto the Euclidean tangent space (the tangent point being the mean shape of the dataset). These tangent space coordinates are finally rotated by a Principal Component Analysis (PCA) to keep only principal components with non-null eigenvalues (the rank deficient nature of tangent space data resulting from loss of degree of freedom during GPA, and, in our case, to some datasets with fewer individuals than shape variables). For each dataset, a few outliers were identified as those individuals with high Procrustes distances compared to their mean shape (i.e. lying beyond the third quartile increased by 1.5 times the interquartile range) and were sequentially removed after a new GPA (table 2). In order to avoid any influence of fossil specimens on the molar shape variation depicted by the tangent space, which was then used to build the discriminant model among both genera, we treated fossil specimens as supplementary specimens in our analyses (see Navarro et al. 2018). Fossil specimens were so aligned on the modern mean shape so that, for a given dataset, they lay on the same shape and tangent spaces as the modern individuals. Then, the rotation computed on the tangent space for the modern individuals was used to rotate the projection of fossil specimens in the same way.

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All geometric morphometrics analyses were performed with the geomorph package version

269 3.2.0 on R v3.6.2 (R Core team, 2019).

3.5. Statistical classification

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The predictive model was built using linear discriminant analysis (LDA) quantifying the shape differences between the two genera (Myopus vs Lemmus), and the quality of the obtained model was assessed by Leave-One-Out Cross-Validation (LOOCV). This procedure removes one specimen from the sample at a time and predicts its classification between groups using LDA functions calculated on the remaining specimens. Then, the removed specimen is classified by a new function, computed using data that do not take the specimen into consideration, thereby reducing potential inflation of the prediction error and avoiding issues of circular reasoning and over-fitting problems (Kovarovich et al., 2011). LDA can be extremely sensitive to the relation between the number of groups, the number of predictor variables and the total sample size. As rule-of-thumb, the total number of predictor variables needs to be smaller than the sample size of the smallest predicted group (Kovarovich et al., 2011). Special attention has been paid to this rule due to our small sample size and the high number of predictor variables. Firstly, we reduced the number of predictors used to build the discriminant functions by applying the method of Baylac and Friess (2005). This method substitutes primary data (Procrustes coordinates) by PCs scores to build the model. This was done by building each model and its LOOCV based on the first k PCs, with k varying from 1 to p (p being the sample size of the smallest group under consideration). The optimal number of PCs for the most efficient model was determined by the lowest prediction error rate (i.e. the maximum number of correctly assigned specimens). Secondly, the method of Evin et al. (2013) was used to evaluate possible biases due to our unbalanced dataset. For a given unbalanced dataset, 100 balanced samples were built by

randomly picking a subset of individuals from the largest group, and for each such sample,

LDA was computed. The observed classification score for each unbalanced dataset was then compared with these 100 balanced samples. Models were also compared with the null hypothesis of no shape difference between genera (hence the classification would be only due to chance, resulting in a 50% error rate). 100 such null models were generated for balanced samples of the two species by randomly reassigning specimens to genera. Finally, the quality of the models was evaluated by checking at posterior probabilities of correctly assigned genus for each dataset.

All codes including automatic landmark placement and data treatment are available on request.

4. Results

- 4.1. Morphospaces and morphological variation
- 303 4.1.1. The first lower molar

In the tooth shape analyses (**m1-FL**, **m1-SL** and **m1-AL**), the two first PCs account, respectively, for 32.6%, 56.6% and 69.6% of the explained variance (table 3, fig. 3). The number of PCs explaining at least 90% of the initial shape variation is 13, 12 and 6, respectively. For the **m1-FL** configuration, shape changes mainly concern the buccal triangles (T2-T4) shifting labially and the Lm1 and 9 shifting laterally. For the **m1-SL**, the main behaviour is focused on the anterior loop, which tends to roundness enhancing the confluence of T4/T5 (fig. 3B). The shape variation on **m1-AL** exhibits a more pronounced development of the re-entrant angles (fig. 3C). The most important part of the variation is expressed by PC1 and tends to separate the two genera for all datasets, with the least overlap for the **m1-SL** analysis and the largest one for the **m1-FL** (fig. 3A). On the two first PCs from these three landmark schemes, one can note no specific distinction between *Lemmus* species (fig. 3). The tooth lengths of *Lemmus* and *Myopus* range from 1.6 to 2.4 mm and from 1.4 to 1.7 mm, respectively (fig. 3H). A large overlap in the tooth length range is observed between the two genera, with 86% of the

317 Myopus specimens being included within Lemmus's tooth length range. Within the Lemmus

genus, *L. sibiricus* is the species which has the longest tooth length compared to the two others.

4.1.2. The third upper molar

- The same pattern as m1 is observed on the M3 with the first two PCs explaining 46.1%, 70.0%,
- 75.7% and 57.7% of variation respectively M3-FL, M3-SL, M3-PL and M3-TR (table 3, fig.
- 3). The number of PCs explaining at least 90% of the initial shape variation is 10, 8, 5 and 6,
- respectively. The shape variation illustrated by PC1 for M3-FL concerns the width and length
- of the teeth, with a tendency to be more "compact" (i.e. thinner and smaller) (fig. 3D). For M3-
- 325 **SL**, the main changes occur on the lingual triangles and anterior loop, with an enlargement on
- the lingual side (fig. 3E). Similar changes on the posterior loop are also observed on M3-PL.
- The first PC of **M3-TR** describes the T3/T4 alternation, with a T3 tending to close. As for m1,
- 328 the PC1 tends to separate the two genera, with least overlap for the M3-SL analysis and the
- largest overlap for the **M3-TR** for which no separation is observed between the two genera (fig.
- 330 3G). Again, the two first PCs do not show any clear difference between *Lemmus* species across
- all landmark schemes. The M3 tooth lengths follow the same pattern as for m1 with a large
- overlap between the two genera. Lemmus range from 1.2 to 1.8 mm and Myopus from 1.0 to
- 333 1.4 mm, with 90% of *M. schisticolor* being included in the *Lemmus* tooth length interval (fig.
- 334 3I).
- 4.2. Statistical classification of modern specimens and shape differences
- Although there was only a relatively small number of individuals from *Myopus* (the smallest
- sample), the unbalanced prediction error curves of the landmark schemes do not show particular
- bias compared to the balanced ones (fig. 4a). Nonetheless, a bias was noted between the
- dimensionality of the predictor (the number of PCs included to build the LDA) and the
- prediction error, with an increase when a high number of predictors is used (fig. 4a). This pattern
- could be due to the small size of the model samples in relation to the large dimensionality of

the shape space, as encountered by Evin et al. (2013). It is likely that with a larger dataset, such as that presented by Navarro et al. (2018), this pattern would not be observed. The optimal number of predictors (PCs), *i.e* the one giving the lowest prediction error rate, was selected to build the discriminant functions of the models and are detailed in table 3. Six models (m1-FL, m1-SL, m1-AL, M3-FL, M3-SL and M3-PL) have low prediction errors inferior to 5% for unbalanced samples (the real samples). Models of the m1 tend to have a lower prediction error compared to those from the M3 (fig. 4b; table 3). Landmark schemes of the m1 taking in account the anterior loop (m1-SL and m1-AL) give the best classifications, up to 0.96 and 0.93. The same pattern is visible on the M3 and the posterior loop, with M3-SL having 0.95 optimal classification score, with M3-FL and M3-PL having comparable scores of 0.89 and 0.88. In contrast, the tooth length (m1-TL and M3-TL) has very low classification scores, with 0.41 and 0.42 respectively, which is comparable to a classification only due to chance.

Figure 5 illustrates the shape differences between *Lemmus* and *Myopus* for modern individuals along the first discriminant axis from the LDA regarding the different landmark schemes. In terms of the m1 shape, *Myopus* tends to differ from *Lemmus* with: 1) a different orientation of the lingual triangles, tending to sweep upwards; 2) a simpler anterior loop with a less marked labial peak; and 3) a LRA3 that extends less deeply over the BRA2 without generating a new re-entrant angle on the buccal side of the anterior loop. For the M3, *Myopus* tends to differ from *Lemmus* as follows: 1) an overall stockier tooth; 2) a simpler posterior loop, almost flat; and 3) a shorter distance between Lm2 and Lm8. For m1 and M3, tooth lengths show a large overlap with almost all *Myopus* plotting with the smaller *Lemmus* specimens.

4.3. Fossil identifications

The best performing model (**m1-SL**) obtained with modern specimens allows the identification of at least one *Myopus* individual in Grotte des Gorges and one in Gully Cave from the Allerød interstadial-Younger-Dryas transition level, with posterior probabilities at 0.998 and 0.935,

respectively (table 4, figure 6a). For these two individuals, similar attributions to *Myopus* were obtained with other models (**m1-FL**, **m1-AL**) (see supplementary table A). The **m1-TL** model (table 4, figure 6b) cannot attribute any individuals as a *Myopus* with significant posterior probabilities and only 9 individuals are confidently identified as *Lemmus*. Most of the specimens are undetermined.

For the M3 (table 4, figure 6c), one tooth has been attributed to *Myopus* at Gully Cave for the same level, with the best model (M3-SL) giving 0.994 of posterior probability. This attribution was equally obtained with M3-FL and M3-PL models. No model suggests the presence of *Myopus* in the M3 sample from Grotte des Gorges, but only three teeth were analysed. As for m1-TL, the M3-TL model cannot attribute any individual to *Myopus* with high posterior probabilities (figure 6d), and the model is unable to confidently identify a large majority of the specimens (table 4, figure 6).

5. Discussion

Myopus schisticolor and Lemmus sp. are two lemmings that are currently widespread throughout north Eurasia. They have two distinct habitat preferences, the first inhabits exclusively taiga forest, whereas the second prefers an open tundra environment (e.g. Mitchell-Jones et al., 1999; Niethammer and Krapp, 1982). They both consume mosses, but with variable seasonal proportions: the diet of Myopus schisticolor is restricted only to this vegetation type, whereas Lemmus sp. diversifies its diet during spring and summer with dicots, grasses and sedges being consumed (e.g Batzli and Pitelka, 1983; Calandra et al., 2015; Eskelinen, 2002; Rodger and Lewis, 1986). If distinguishing between these two species is relatively easy at the present day, due to their distinctive external morphology, they are extremely difficult to identify with fossil material, on account of their similar tooth morphologies.

5.1. Molar shape differentiation

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Despite the similarity in tooth morphology of these two lemming genera, the geometric morphometric approach used in this paper has been able to describe and quantify intergeneric shape differentiation of the first lower molar (m1) and the third upper molar (M3) of modern specimens. Describing the whole shape of the molars with a set of landmarks associated with semi-landmarks on the anterior loop of m1 or posterior loop of M3 (m1-SL and M3-SL) appears to be the best landmark scheme among those tested here for the modern reference framework. These two landmark schemes have allowed us to separate the two genera with high statistical probabilities, around 0.95 confidence (table 3). These landmark schemes suggest that the main differences between these two genera relate to the tooth complexity with the outer loops more developed in Lemmus than in Myopus. The anterior loop on the m1 or the posterior loop on the M3 tend to exhibit a supplementary angle, which is not observed in *Myopus*. For *Myopus*, teeth tend to be stockier and simpler in shape. This increased complexity of the anterior m1 loop in Lemmus, always absent in Myopus, and the organisation of the buccal triangles shifting anteriorly on *Lemmus*, are congruent with the taxonomic literature (Chaline et al., 1988; Markova et al., 2018; Smirnov et al., 1997; Ponomarev et al., 2013b). Some of these authors also noted that on the M3, the T3/T4 alternation and asymmetry could be used to distinguish both genera. The morphometrical approach developed in this paper thus offered the opportunity to evaluate these criteria (M3-TR). Despite the relevance of these criteria, we demonstrate here that they cannot be used to clearly differentiate the two genera because of a large overlap in their morphology (figure 3; table 3). Among the external morphological criteria allowing differentiation between these two genera, their differences in sizes have been regularly mentioned, with *Myopus* being smaller (Borodin,

2009). This criterion was often applied to fossil material through measurement of the length of

the teeth or dental row (e.g. Borodin, 2009; Kowalski, 1977; Rhodes et al., 2018). Nonetheless, as illustrated in figure 3, there is a high overlap between the two genera in terms of tooth length. This overlap is mainly observed between *M. schisticolor* and *L. lemmus*, whereas *L. sibiricus* teeth are taller, with a clear separation from other lemmings (figure 3H, I). Applying the criterion of size to Western European fossil assemblages with lemmings is therefore likely to conflate *L. lemmus* and *M. schisticolor*, affirming presence of the former but obscuring the presence of the latter. In any case, size always has to be used very cautiously on fossil species because it can be highly variable according to the time period, the climate or even the latitude and altitude (e.g. Delpech, 1999; Klein, 1986, Klein and Scott, 1989).

By its ability to capture the whole geometry of an object, geometrics morphometrics has therefore become increasingly popular in archaeological and palaeontological studies (e.g. Cucchi et al., 2014; Escudé et al., 2008; Evin et al., 2013; Killick., 2012; Marr, 2016; McGuire, 2011; Miele et al., 2020; Navarro et al., 2018). Associated with classification analysis, such as a LDA, it is a powerful approach that allows differentiation of morphologically close species, thereby assisting in the robust identification of fossil remains and in our understanding of changing faunal communities.

5.2. Myopus fossil identifications: palaeobiogeographical implications

During Late Pleistocene glaciations, cold environmental conditions were favourable to lemmings and facilitated their dispersal throughout a large part of Europe (Markova et al., 2019; Royer, 2013), from the Urals (Ponomarev et. al., 2013) to Ireland (Monaghan, 2017; Sutcliffe and Kowalski, 1976; Woodman et al., 1996), including for example France (Marquet, 1993; Royer et al., 2016), Denmark (Bennike et al., 1994; Larsen and Mangerud, 1990) or Czechoslovakia (Horacek and Sanchez-Marco, 1984). Until the present paper, the presence of Myopus in Western Europe was either not envisaged at all or, at best, still questioned. Our results attest its presence in two sites from two distinct periods of Late Pleistocene: la Grotte

des Gorges, located in East of France, which is from the end of MIS 3, around 30 ka cal BP, 440 441 and Gully Cave, situated in the Somerset (England), from the Allerød interstadial - Younger 442 Dryas transition level. 443 Occurrences of M. schisticolor have already been suggested for European Early and Middle Pleistocene site at Boxgrove (England, Roberts and Parfitt, 1999) and Vergranne (France, 444 Chaline et al., 1989) and suspicions of its presence (records of Myopus/Lemmus) have raised in 445 446 Chlum-4 (Czech Republic, Kowalski, 2001), Nyaravai-2 (Lithuania, Kowalski, 2001), and Sudmer-Berg 2 (Germany, von Koenigswald, 1972). In general, these suspicions are based on 447 both the morphology of the teeth and on the faunal associations, which are characteristic of a 448 449 forest environment. However, these tentative suggestions were not uniformly accepted (van Kolfschoten, 1995; 1996; Kowalski, 1995, 2001); for example at Vergranne, Kowalski (1995) 450 stated that the *Myopus* identification cannot be accepted as definitive. His opinion was that it is 451 not possible to separate *Lemmus* and *Myopus* in the fossil record, and that *Myopus* did not reach 452 Europe during Pleistocene (Kowalski, 2001). Approaches as geometric morphometrics or 453 454 ancient DNA, which provide reliable identification, imply reconsidering certain determinations 455 based on presuppositions of past studies (geographical area, morphological features, faunal assemblages). The same issues were recently underlined for other species as for example *Sorex*, 456 457 with the identification of the boreal Sorex tundrensis in Germany during the end of MIS3 (Freund, 1998; Prost et al., 2013), a species that was not supposed to reach Europe. 458 Lemming specimens from older Early and Middle Pleistocene sites are generally assigned to 459 ancestral forms of Lemmus, possibly Lemmus kowalskii. This extinct species is then assumed 460 to have lived under temperate conditions in a more wooded environment, as suggested by the 461 462 forest taxa with which it was associated (van Kolfschoten, 1995; 1996; Kowalski, 1995; 2001). However, identification of Lemmus kowalskii is highly controversial, since it is 463

morphologically similar to *Myopus*, but much closer in size to *Lemmus* (Harrison et al., 1989).

For the Late Pleistocene, several studies attest the presence of *Myopus* in the Ural (Ponomarev et al., 2013a, b, c) by using the ratios of Smirnov et al. (1997) or morphotype differentiation associated with non-metric multidimensional scaling (Ponomarev et al., 2013a, c). In Europe, Lemmus / Myopus remains from this period are always identified as Lemmus (Lemmus lemmus in the western part of Europe and *Lemmus sibiricus* in the eastern part). To our knowledge, only one previous occurrence of *Myopus schisticolor* has been suggested for this period in western part of Europe, in Level 4 of King Arthur's Cave (Wye Valley, England) (Price, 2003), which has been attributed to the Bølling-Allerød interstadial (Bronk Ramsey, 2002). Nevertheless, these determinations (obtained on 12 teeth from a total sample of 17) were only based on tooth length (Price, 2003) and were not corroborated by another approach, although the presence of boreal species in the same level, such as Clethrionomys rufocanus, tends to strengthen this hypothesis (Price, 2003). The identification of Myopus in the Allerød - interstadial layer of Gully Cave corroborates Price's suppositions, suggesting the presence of this species during milder climatic phases in the southern part of England. This presence throughout the septentrional region of Western Europe (i.e. latitude > 45°N) during the Late Pleistocene, raises the question of whether its occurrence was (semi)continuous, or sporadic related to specific climatic events favouring its expansion from eastern regions.

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5.3. Palaeoenvironmental implications of *Myopus* occurrence in Late Pleistocene

Since *Myopus* is today closely linked to the taiga, its identification has strong implications for palaeoenvironmental reconstructions, suggesting past local environments with boreal forest cover mainly composed of *Pinus*, *Betula* and *Picea*, which is favourable to the development of the thick moss cover that constitutes nearly all of its diet (Bobretsov and Lukyanova, 2017; Eskelinen, 2002). Our results from both sites (Grotte des Gorges and Gully Cave) suggest the association of individuals from both genera *Lemmus* and *Myopus*. While this might appear contradictory due to their distinct environmental preferences, they were found within a

diversified faunal association, including for instance Dicrostonyx torquatus, Alexandromys oeconomus or Lasiopodomys gregalis. Although these fossil faunal communities are 'disharmonious' by comparison to present-day small mammal associations and might therefore reflect a palimpsest of distinct phases, the stratigaphical integrity of our study samples is clear. It is therefore more likely that these diverse assemblages reflect the mosaic environment of boreal forest and steppes that are increasingly recognised as typical of the Late Pleistocene of northern Europe. Multi-proxy investigations on sediment archives have demonstrated continental vegetation to be highly sensitive to Dansgaard-Oeschger events (Fletcher et al., 2010), consequently impacting small mammal communities. Pollen from the Bergsee lacustrine record in Germany demonstrates a high frequency succession of steppe and boreal forest phases, consistent with stadial-interstadial oscillations, and underpinned by increases in *Juniperus* and *Pinus* pollen during Greenland Interstadials 8 and 7 (Becker et al., 2006; Duprat-Oualid et al., 2017). The presence of Myopus in la Grotte des Gorges could therefore be related to one of these Greenland Interstadial events. For Gully Cave, two levels have been investigated, one attributed to the Allerød interstadial -Younger Dryas transition, and one from the Younger Dryas. Among individuals from the older level, at least two teeth suggest the presence of M. schisticolor according to the most efficient models (m1-SL: 1, M3-SL: 1), but for the cold-climate level of Younger Dryas, no specimens have been attributed to *M. schisticolor*. Vegetation in Britain was highly sensitive through Late Glacial stadials and interstadials, with regional variation depending of the latitude (e.g. Birks and Birks, 2014; Huntley and Birks, 1983; Jones and Keen, 2012; Pennington, 1977; Walker et al., 2003). In southern England, the Allerød interstadial was a temperate-climate phase characterized by an increase in forest taxa (Hill et al., 2007; Walker et al., 2003), in particular the spread of Betula (Birks and Birks, 2014). The development of this semi-open boreal

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environment in southwestern England (Hills et al., 2007) is echoed by the apparent disappearance of *Equus ferus* at this time (Kaagan, 2000), and favoured the coexistence of both arctic and boreal species, such as *Cervus elaphus* and *Rangifer tarandus* in Cheddar Gorge (Currant and Jacobi, 2011). King Arthur's Cave, which is located 80 km north of Gully Cave, has equally yielded both forested and cold-adapted taxa (e.g. *Dicrostonyx torquatus*, *Alexandromys oeconomus*, *Lasiopodomys gregalis*, *Clethrionomys rufocanus*, *Microtus agrestis*, *Lemmus lemmus*; Price, 2003), suggesting a mosaic environment. This environment was able to support both *Lemmus* and *Myopus* populations during the Allerød interstadial, with the presence of both open and forested areas. The abruptness of the succeeding Younger Dryas cooling event led to drastic vegetation changes, with a decline in forested environments, a decrease in *Betula*, and a rise of *Artemisia* in southern England (Birks and Birks, 2014). European *Betula* macrofossils from this period only belong to *Betula nana* (Birks and Birks, 2014), reflecting the reduction of forest and inhibiting the development of the moss cover needed by *Myopus*.

6. Conclusion

Our results highlight that: i) the presence of *Myopus schisticolor* is confirmed for the first time in the Late Pleistocene fossil record of western Europe, although it remains to be established whether its occurrence was (semi)continuous or sporadic, only related to specific climatic events favouring its expansion from eastern regions; ii) its presence has important consequences for palaeoenvironmental interpretations, implying the existence of boreal open/semi open environments.

Author contributions

Conceptualization, L.A., S.M. and A.R.; Methodology and Software, L.A. and R.L.; Formal analysis, Investigations and Data Curation, L.A.; Validation: R.L.; Resources, S.M., D.S. and

539 S.D.; Writing original draft preparation and Visualisation, L.A., S.M. and A.R.; Writing,

Review and Editing, L.A., S.M., A.R., D.S., R.L. and S.D.

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- lemming's specimens. Danielle Schreve acknowledges the support of the National Trust and
- Natural England in the excavation of Gully Cave.

Figure captions

- Figure 1: Landmark schemes (in grey squares) defined for Lemmini first lower molar (m1) and
- third upper molar (M3). Hand-placed landmarks are in white, automatically detected landmarks
- are in black, and semi-landmarks are in blue (for m1-SL, m1-AL, M3-SL and M3-AL) and in
- yellow (for **M3-TR**). Abbreviations: T = triangles, LRA = lingual re-entrant angles, BRA =
- buccal re-entrant angles, AL = anterior loop, PL = posterior loop. Modified after van der Meulen
- 555 (1973).

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- Figure 2: A) Global climate evolution and chronology of the last part of the last glacial
- following the NGRIP-ice record (Andersen et al., 2004) with the position of the two fossil sites.
- Abbreviations: MIS: marine isotope stage, HE: Heinrich events, LGM: last glacial maximum,
- 560 BA: Bølling Allerød interstadial, YD: Younger-Dryas. B) Geographical range of Lemmus
- *lemmus* (blue), *Lemmus sibiricus* (red) and *Myopus schisticolor* (yellow) with the overlap area
- 562 (orange). Circles indicate the location of the modern samples and squares (brown) the
- localisation of the fossil samples. Site number: 1. Karigasniemi, 2. Kilpisjärvi, 3. Pällasjarvi-
- Muonio, 4. Rovaniemi, 5. Sabetta, 6. Erkuta, 7. Bely Island, 10. Sotkamo area, 11. Posio, 12.
- Grotte des Gorges, 13. Gully Cave. Localities from North America and Eastern Siberia are not
- shown (see text for detail).

Figure 3: Morphospaces (PC1 – PC2 planes) of modern specimens with associated shape variation for all landmark schemes (A: m1-FL; B: m1-SL; C: m1-AL; D: M3-FL; E: M3-SL; F: M3-PL) and violin plots for tooth length (H: m1-TL; I: M3-TL). For PCA, displayed shape variation corresponds to morphological changes along the 1st PC axis, depicted by lollipop graphs (grey outline and black dots for the mean shape, black segments for the shape deviation from the mean shape to the most distant individual score on the considered PC). Percentage of shape variation explained by each PC are reported. *Myopus* are in yellow, *Lemmus lemmus* in blue, *Lemmus sibiricus* in red and *Lemmus trimucronatus* in cyan.

Figure 4: A) Variation of the LDA's prediction error according to the number of PCs included to build the model for each landmark scheme, with m1 on the left and M3 on the right. For each plot, continuous coloured lines show the prediction error for the original samples, dotted coloured lines show the prediction error for the set of 100 balanced samples, and the grey line show the prediction error for the set of 100 randomly reassigned samples with the associated error bars standing for the 95% confidence intervals (null hypothesis). B) Model prediction error with the optimal number of PCs for each landmark scheme. Coloured points correspond to the observed prediction error on the real datasets, black points correspond to the prediction error for the set of 100 balanced samples with the associated 95% confidence interval, grey points show the prediction error for the set of 100 randomly reassigned samples with the associated error bars standing for the 95% confidence interval (null hypothesis).

Figure 5: Distribution histograms of the modern samples along the linear discriminant axis with associated shape changes. *Lemmus* are in blue, *Myopus* are in yellow. The displayed shapes correspond to the extreme individual scores on the discriminant axis for each landmark scheme.

Figure 6: Distribution histograms of the fossil samples along the discriminant axis from the model based on modern samples for m1-SL, m1-TL, M3-SL and M3-TL datasets. Fossil samples are reported by archaeological/palaeontological levels (scatter plot). *Lemmus* are in blue, *Myopus* are in yellow.

Table captions

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- Table 1: List of the analysed specimens with their origin and counts (neighbour localities are
- 597 merged). See figure 1 for localities.
- Table 2: Datasets used in the present study. FL = Fixed landmarks, SL= Semi landmarks
- 599 (including FL and AL for m1 and FL and PL for M3), PL = Posterior loop, AL = Anterior loop,
- TL = ToothLength.
- Table 3: Abbreviations: Tot. PCSs= Total Number of PCs, Opt. PCs = Optimal Number of PCs
- used in the model, PC1+PC2 = cumulative proportion of variance explained by PC1 + PC2, %
- Opt. class. actual = percentage of prediction error of modern specimens with the optimal
- number of PCs.
- Table 4: Fossil identification for m1 and M3. The number of specimens identified with
- associated posterior probabilities > 0.9 are in brackets
- 607 Supplementary data captions
- 608 Supplementary table 1: classification of fossil specimens for each dataset with associated
- posterior probabilities for m1 and M3.

610 Data availability statement

- All data and codes are available on request from the authors.
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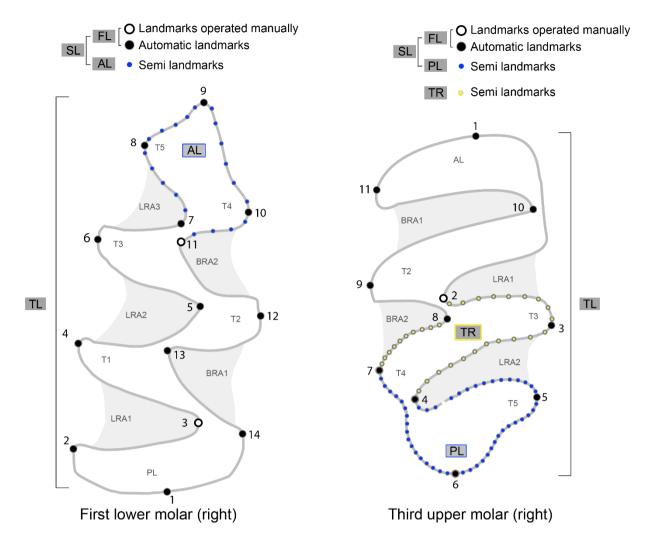


Figure 1

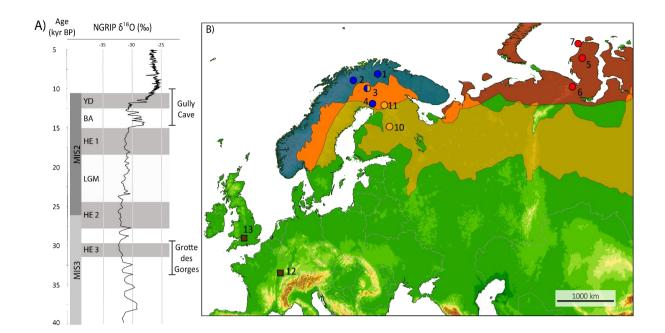


Figure 2

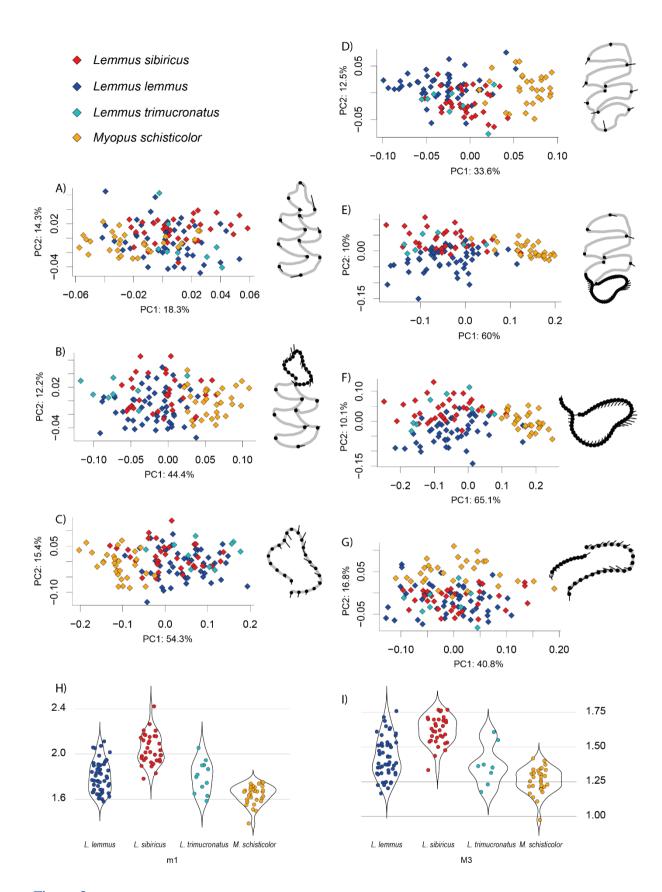


Figure 3

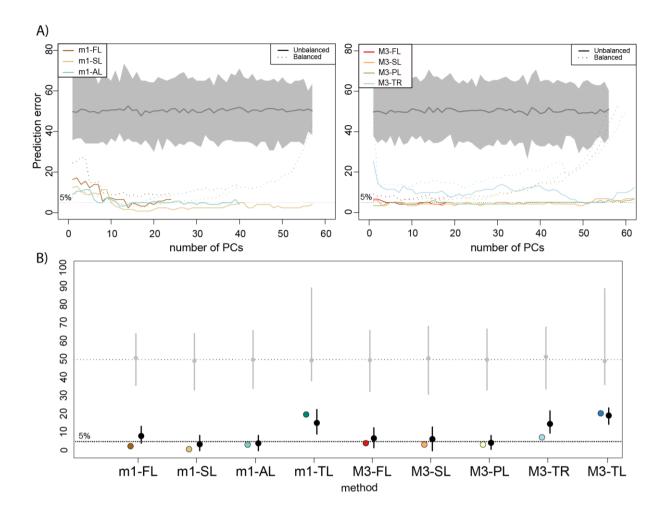


Figure 4

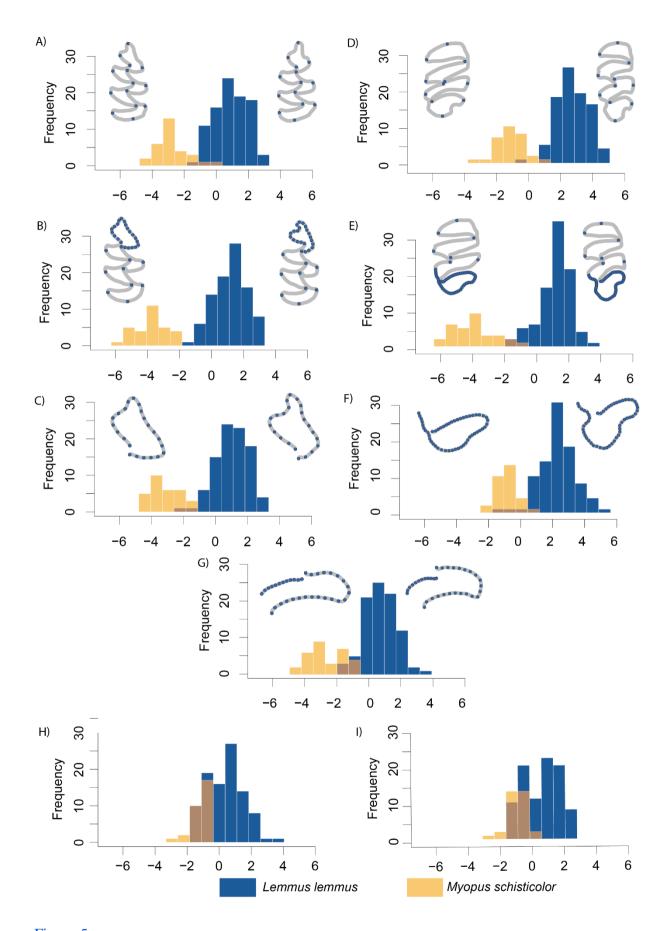


Figure 5

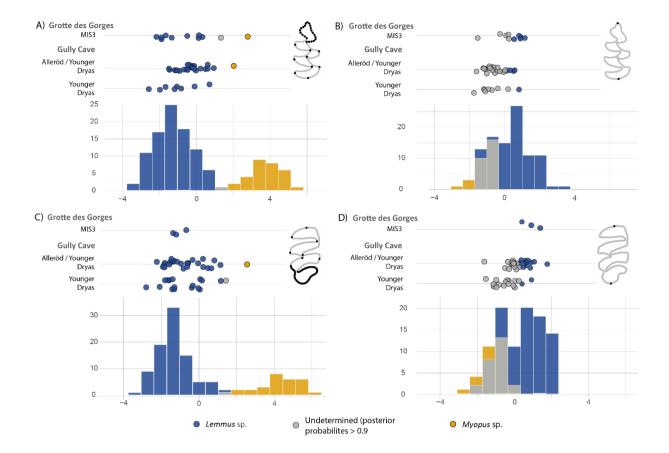


Figure 6

Table 1: List of the analysed specimens with their origin and counts (neighbouring localities are merged). See figure 1 for localities.

	Species	Location	Lower m1	Upper M3
Modern specimens	Lemmus lemmus (50)	1- Karigasniemi	3	3
		2- Kilpisjärvi	15	14
		3- Pallasjärvi, Muonio	11	10
		4- Rovaniemi	21	18
	Lemmus sibiricus (34)	5- Sabetta	9	11
		6- Erkuta	10	10
		7- Bely Island	11	11
		(8)- Wrangel Island	4	1
	Lemmus trimucronatus (12)	(9)-Victoria Island	12	8
	Myopus schisticolor (31)	10-Sotkamo, Valtimo, Kuhmo, Lieka,	20	21

		11-Posio	1	1
		(3)-Pallasjarvi, Muonio	8	8
Fossil specimens	Amange	MIS3	11	3
		Boundary Allerød / Younger Dryas	23	26
	Gully Cave (M3: 43; m1: 35)	Younger Dryas	8	17
		Younger Dryas?	4	0

Table 2: Datasets used in the present study. FL = Fixed landmarks, SL = Semi landmarks (including FL and AL for m1 and FL and PL for M3), PL = Posterior loop, AL = Anterior loop, TL = ToothLength.

	Landmark	Number of			Reference		
	scheme	Landmarks Semi-landmarks		Evaluated criteria			
	FL	11	-	Width of the teeth	Chaline, 1988		
	PL	2	50	Complexity of the posterior loop	Ponomarev et al., 2013, Markova et al., 2017		
	SL	9	50	Complete shape	-		
M3				Depth of A1	Chaline, 1988		
	TR	4	10 + 20	Confluence of FL2	Ponomarev et al., 2013		
				Asymmetry of FL2	Markova et al., 2017		
	TL	2	-	Tooth length	Chaline, 1988		
	FL	14	-	Organisation of the buccal triangles	Chaline, 1988		
N/1	AL	2	20	Complexity of the anterior loop	Ponomarev et al., 2013, Markova et al., 2017		
M1	SL	11	20	Complete shape	-		
	TL	2	-	Tooth length	Chaline, 1988		

Table 3: Abbreviations: Tot. PCSs= Total Number of PCs, Opt. PCs = Optimal Number of PCs used in the model, PC1+PC2 = cumulative proportion of variance explained by PC1 + PC2, % Opt. class. actual = percentage of prediction error of modern specimens with the optimal number of PCs (see text for details).

	Dataset	Dataset Tot. PCs	Opt. PCs	PC1 + PC2	% Pred.	% Opt. class.	% Opt. class.	Fossil identification	
			.		Error	actual	fossil	Lemmus	Myopus
	FL	28	14	32.6	2.5	85.5	80.4	38	8
3.51	SL	62	19	56.6	0.8	95.9	97.8	44	2
M1	AL	44	12	69.6	3.3	93.4	71.7	27	19
	TL	-	-	-	19.8	41.3	19.6	39	7
М3	FL	18	17	46.1	4.1	89.3	80.4	36	1
	SL	99	26	70	3.4	94.9	100	42	1
	PL	92	3	75.7	3.4	88.1	65.2	22	1
	TR	62	19	57.7	7.3	83.6	76.1	27	5
	TL	-	-	-	20.5	41.8	34.8	13	5

Table 4: Fossil identification for m1 and m3. The number of specimens identified with associated posterior probabilities > 0.9 are in brackets

		m1				M3				
Sites	Genera	m1FL	m1SL	m1AL	m1TL	M3FL	M3SL	M3PL	M3TR	M3TL
Gully Cave (Younger Dryas)	Myopus	0 (0)	0 (0)	3 (3)	3 (0)	2 (0)	0 (0)	2 (0)	1 (1)	3 (0)
	Lemmus	12 (12)	12 (12)	9 (2)	9 (0)	15 (15)	17 (16)	15 (10)	16 (1)	14 (2)
Gully Cave (Allerød-Younger Dryas)	Myopus	6 (4)	1 (1)	13 (9)	3 (0)	2(1)	1 (1)	10 (6)	3 (1)	1 (0)
	Lemmus	17 (10)	22 (22)	10 (9)	20 (3)	24 (19)	25 (25)	16 (9)	23 (19)	25 (12)
Grotte des Gorges	Myopus	2 (2)	1 (1)	3 (3)	1 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)
	Lemmus	9 (9)	10 (9)	8 (7)	10 (6)	3 (2)	3 (3)	3 (3)	3 (3)	3 (3)