

1 **Undrained cyclic response of K_0 -consolidated stiff Cretaceous clay**
2 **under wheel loading conditions**

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4 **Abstract**

5 Optimal whole life design for railways, highways, runways and metro lines requires
6 accurate assessment of how their underlying geomaterials respond to large numbers
7 of wheel-loading cycles. This paper presents an experimental study on a natural UK
8 stiff clay with cyclic triaxial (CT) and hollow cylinder apparatus (CHCA) that imposed
9 K_0 and wheel-loading stress conditions. The focus is on Gault clay, a high OCR marine
10 clay deposited in the Cretaceous, whose mechanical behavior is significantly
11 anisotropic and in-situ K_0 values exceed unity. The clay outcrops under sections of
12 most major highways radiating out of London, as well as the HS1 and new HS2
13 high-speed railways. The experimental investigation explored how the principal stress
14 rotation implicit in wheel loading increases the magnitudes and changes the sign of
15 vertical strain accumulation, as well as accelerating resilient modulus degradation
16 and accentuating stress-strain hysteresis, all of which affect pavement or rail-track
17 serviceability. The clay's deformation and pore pressure responses are categorized
18 into stable, metastable, and unstable patterns. Comparisons with related studies on

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19 low OCR, low K_0 soft clay from Wenzhou in southeastern China, confirm the Gault
20 clay's generally stiffer pre-failure behavior and different cyclic response. The stiff
21 clay's greater brittleness is also emphasized; particle re-orientation occurs readily
22 along distinct shear bands, leading to dramatic shear strength reductions that have a
23 major impact on slope and foundation stability and call for appropriate caution in
24 practical design.

25 **Key words:** Stiff clay; cyclic wheel loading; principal stress rotation; strain
26 accumulation; pore pressure

27

28 **Introduction**

29 Optimal whole-life design for transport infrastructure foundations requires accurate
30 analysis of how long-term cyclic wheel loading generated by moving axle loads
31 affects the deformation and resilient behavior of the underlying geomaterials
32 (Puppala et al. 1999; Gu et al. 2012; Guo et al. 2013; Wang et al. 2013; Qian et al.
33 2019). Surface settlements are generally most critical for routes passing over clay
34 terrains and assessment of the clays' response to undrained cyclic straining is an
35 important first step in exploring the potential service settlements; further
36 consideration of movements generated as pore pressures dissipate is required to
37 complete the picture; Guo et al (2018). Cyclic triaxial (CT) tests provide one means of
38 assessing the clay's cyclic response (Hyde et al. 1976; Yasuhara et al. 1982; Zhou and
39 Gong 2001; Puppala et al. 2009). Numerous one-way CT test programs have been
40 conducted to aid traffic loading analysis (see Monismith et al. 1975; Li and Selig 1996;

41 Chai and Miura 2002; or Xiao et al. 2014) as well as two-way CT laboratory studies
 42 (Shahu et al. 1999; Suiker et al. 2005) with symmetrical and/or asymmetrical cycling
 43 about the isotropic axis. The major (σ_1) and minor (σ_3) principal stresses undergo
 44 jump rotations when the isotropic axis is crossed, and the major and minor principal
 45 stresses are alternately axial or radial. CT programs have identified how different soils'
 46 cyclic responses are governed by their particulate make-up, initial states and cyclic
 47 stress conditions, and so aided the development of permanent accumulation models
 48 that consider the impacts of varying cyclic stress levels and load cycle numbers.

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50 However, CT tests cannot capture important aspects of the undrained wheel-loading
 51 stress paths, which implicitly include cyclic principal stress axis rotation (PSR) caused
 52 by the wheels' application of horizontal shear stress cycles that accompany the
 53 alternating changes in vertical and horizontal normal stresses. To do this requires test
 54 equipment such as hollow cylinder apparatus (HCA) which can provide four degrees
 55 of freedom and vary the vertical (σ_z), radial (σ_r), circumferential (σ_θ), and shear ($\tau_{z\theta}$)
 56 stresses to apply independent control of the stress parameters (p' , q , α , and b)
 57 defined as below

$$58 \quad p' = \frac{\sigma_1' + \sigma_2' + \sigma_3'}{3} = \frac{\sigma_1 + \sigma_2 + \sigma_3}{3} - u \quad (1)$$

$$59 \quad q = \sqrt{\frac{1}{2} \left[(\sigma_1' - \sigma_2')^2 + (\sigma_2' - \sigma_3')^2 + (\sigma_3' - \sigma_1')^2 \right]} \quad (2)$$

$$60 \quad \alpha = \frac{1}{2} \tan^{-1} \left(\frac{2\tau_{z\theta}}{\sigma_z' - \sigma_\theta'} \right) \quad (3)$$

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$$b = \frac{\sigma'_2 - \sigma'_3}{\sigma'_1 - \sigma'_3} \quad (4)$$

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where p' is effective mean principal stress, q is the generalized deviatoric stress, α is the orientation of the major principal stress axis relative to the vertical, b is the intermediate principal stress ratio, u is pore water pressure, and σ'_1 , σ'_2 and σ'_3 are the effective major, intermediate, and minor principal stresses, respectively.

Hight et al. (1983), Towhata and Ishihara (1985), Zdravkovic and Jardine (1997), Nakata et al. (1998), Nishimura et al. (2007), Yang et al. (2007), Brosse et al. (2017a, b) and Qian et al. (2018), inter-alia, have shown that rotating α (PSR) has a marked influence on strain development, stiffness degradation, and pore pressure generation in soils under undrained conditions, even when all other factors are held constant. Cyclic HCA (CHCA) studies reported by Gräbe and Clayton (2009; 2014), Xiao et al. (2014) and Cai et al. (2015) involving isotropically consolidated samples verified that strain development is more severe with low OCR sediments than in equivalent CT tests when stress paths representing all the traffic loading components are applied. Wu et al. (2017), Cai et al. (2017) and Qian et al. (2018) argued that CHCA tests on anisotropically consolidated samples represent field conditions more faithfully and reported tests on low OCR sediments (with $K_0 = \sigma'_r/\sigma'_z < 1$) that show how incorporating PSR in CHCA tests leads to greater permanent vertical strain accumulation, especially when the deviatoric stress changes become large. CT or isotropic CHCA tests are likely to lead to non-conservative assessments for transport infrastructure founded in terrains such as the wide level areas of soft (low OCR, low

83 K_0) post-glacial (Holocene) clays encountered in southeastern China.

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85 The question remains open, however, as to how the undrained imposition of wheel-
86 loading stress paths might affect other types of clays, including the stiff,
87 geologically-aged plastic high OCR clays that are found worldwide and cover
88 approximately 50% of the southern UK (Wilkinson 2011). Much of the UK's transport
89 links, such as expressways, rail lines, and airport runways, have been built on such
90 high OCR strata, within which the in-situ K_0 values generally exceed unity, leading to
91 their initial σ_1 axes being oriented horizontally, rather than vertically, as is usual in
92 low OCR soils.

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94 This paper addresses the question by exploring in undrained CT and CHCA tests the
95 cyclic response of a high OCR, stiff clay deposit from the UK. The examined Gault clay,
96 which outcrops under sections of most major highways radiating out of London, as
97 well as the HS1 and new HS2 high-speed railway lines, was deposited in the lower
98 Cretaceous 99–112 million years ago and experienced monotonic loading as several
99 hundred meters of other sediments built up after deposition. Unloading by erosion
100 followed that led to the stratum's present shallow depth; Wilkinson (2011). Glacial
101 and periglacial activity, forest growth and weathering followed that led to the profiles
102 of properties reported for the Authors' sampling site by Hosseini Kamal et al. (2014)
103 and Brosse et al. (2017a, b). As described later, their work assessed $K_0 \approx 1.8$ over the
104 depth range of interest.

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106 This study reports undrained CT and CHCA experiments on saturated intact samples
107 re-consolidated to $K_0 \approx 1.8$ insitu stresses before experiencing idealized undrained
108 cyclic wheel loading stress paths that included PSR. The permanent strain, resilient
109 stiffness and pore pressure characteristics are presented and analyzed before a
110 unified interpretation is made to synthesize the observed responses. Comparisons
111 with parallel studies on natural (low OCR, low K_0) Holocene soft clay from Wenzhou
112 in SE China help identify the different trends associated with the far stiffer, older and
113 higher OCR Gault clay.

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115 **Material and equipment**

116 The Gault clay was sampled at High Cross, 3 km west of Cambridge, UK, at a site
117 where the water table varies seasonally around an average depth ≈ 1 m below
118 ground level; Hosseini Kamal et al. (2014). Two different sampling methods were
119 employed. Careful block sampling to 3 m from a trench offered the best possible
120 samples. Continuous, high-quality, wireline, triple-barrel, rotary sampling was also
121 undertaken to 13 m depth in two boreholes with the Geobore 'S' system. The latter
122 employed a natural polymer-based drilling mud to maintain borehole stability and
123 alleviate the possibility of swelling of the clay due to the flush fluid. The cores
124 extracted from the boreholes were immediately cleared of all drilling mud and
125 softened material before being preserved in several layers of alternating plastic film
126 and wax, with a final layer of aluminum foil, before being retained in the split plastic

127 lining tubes and bubble wrap in strong core boxes and stored in a cool and humid
128 environment. Hosseini-Kamal et al. (2014) showed that the two approaches both led
129 to high-quality samples that gave similar outcomes in parallel triaxial tests conducted
130 on specimens taken by both methods over similar depth ranges. Further check
131 triaxial tests conducted several years at ZJUT later showed similar outcomes to the
132 earlier Imperial College tests, demonstrating that the experiments described in this
133 paper had not been affected unduly by the long storage times or careful air transport.
134 The experiments reported in this paper concentrated on specimens prepared from
135 block samples taken at 3m and rotary cores from 5.28 to 8.45 m depth. As illustrated
136 in Table 1, the high plasticity, high OCR, Gault clay in-situ water contents fell around
137 the plastic limits at both of the depths considered.

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139 The blocks were taken from an area that had become covered by shrubs and trees
140 whose roots had desiccated the clay at shallow depths and accelerated chemical
141 weathering (Wilkinson 2011). Their micro-to-meso structures were investigated by
142 Wilkinson (2011) and Hosseini Kamal (2012). The degree of biological (e.g., tree roots)
143 and chemical (e.g., oxidation) weathering decreased with depth, with the Gault's
144 colors darkening markedly below the weathered layers. Weathering affects the
145 structure and mechanical behavior of the soil in different manners. Piezocone and
146 Seismic Cone Penetration tests conducted by Hosseini Kamal (2012) indicated
147 reduced CPT cone resistances and sleeve frictions in the weathered zone which were
148 not evident below the base of the light-colored weathered clay. Weathering is

149 interpreted as the main reason for the shallow samples' different response to
150 undrained cycling. At the micro-scale, Wilkinson (2011) observed that weathering
151 caused a major reduction in the degree of the Gault clay's preferred particle
152 orientation while at the meso-scale the shallow samples were more intensively
153 fissured.

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155 *Monotonic experiments conducted at Imperial College London (ICL)*

156 The monotonic behavior of the Gault clay is illustrated in Fig. 1, which presents
157 undrained triaxial compression test results by Hosseini Kamal (2012) on Gault clay
158 samples from two depths (3.5 and 6.5 m) at the same sampling site. The shallower
159 sample was sheared after isotropic re-consolidation ($p'_0 = 70$ kPa, $q_0 = 0$), while the
160 deeper rotary sample was reconsolidated to its in-situ K_0 state ($p'_0 = 125$ kPa, $q_0 = -60$
161 kPa). Peak undrained shear strengths S_u of ≈ 70 and 120 kPa were found with the
162 shallow-weathered and deep samples, respectively. While the pre-peak pore
163 pressure changes shown in Fig. 1(b) were larger for the deeper sample, both tests
164 show pore pressures declining post-peak due to the stiff clay's dilative tendency as it
165 attempts to shear towards critical state conditions. Hosseini-Kamal (2012) terminated
166 his deeper sample test after smaller strains for operational reasons, but both
167 specimens bifurcated and started to develop shear discontinuities post peak.
168 Large-displacement ring shear tests show that the Gault clay develops remarkably
169 low residual angles of shearing resistance within such shear bands due to clay
170 particle reorientation. Hosseini Kamal (2012) also noted higher compressibility and

171 swelling coefficients in oedometer tests on his shallow-weathered samples. His
172 results suggest yield stress ratios (YSRs) in the 25 to 37 range, which are lower than
173 the OCRs inferred from the Gault clay's maximum past burial depth, reflecting the
174 Gault's complex post-depositional geological history.

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176 Table 2 lists the compressibility, triaxial critical state line, residual shear strength and
177 other parameters determined in triaxial and ring-shear tests run at Imperial College
178 London (ICL), after Hosseini Kamal et al. (2014), which confirmed the Gault clay's
179 marked brittleness with residual ϕ'_r values that fall far below the clay's peak or critical
180 state triaxial shear values. Low residual shear strengths impact slope and foundation
181 stability and call for great caution in practical design.

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183 Wilkinson (2011), Hosseini Kamal (2012) and Brosse (2012) confirmed that the Gault
184 clay has significant structural anisotropy owing to its complex grain interactions and
185 void distributions, as well as patterns of meso-scale discontinuities and fissures.
186 Brosse (2012) found that the deep samples typically featured three sets of
187 meso-scale discontinuities: one subvertical, one at approximately 45° from the
188 vertical, and the last at approximately 75° . The discontinuity spacings were generally
189 greater than 100 mm. The shallow-weathered Gault clay is more intensively fissured.
190 Monotonic triaxial and HCA experiments by Brosse et al. (2017a, b) revealed
191 significant strength and stiffness anisotropy for the Gault clay. Probing tests on
192 samples from around 11 (+/- 1.5) m depth indicated E_h^U , E_v^U and G_{vh} maxima of 690,

193 132 and 57 MPa respectively; Brosse et al. (2017b).

194

195 *Cyclic experiments run at Zhejiang University of Technology (ZJUT)*

196 The CT tests run at ZJUT were conducted on 38 mm diameter, 76 mm high specimens
197 with an advanced electromechanical Dynamic Triaxial Testing System (DYNTTS) with
198 the servo-loading and signal conditioning systems detailed by Wang et al. (2013).
199 CHCA tests were performed with dynamic hollow cylinder apparatus (DYNHCA)
200 manufactured by GDS Instruments Ltd, which employs servomotors to control axial
201 and torsional movements and can cycle stresses at frequencies up to 5 Hz. Digital
202 controllers apply the back, inner, and outer cell pressures, through de-aired water
203 systems. The measurement ranges and resolutions of the transducers are listed in
204 Table 3; further apparatus details are given by Grabe and Clayton (2014), Cai et al.
205 (2015) and Guo et al. (2017). The measurement systems inevitably suffer from a
206 degree of apparatus compliance and their limited resolutions lead to “stepwise”
207 trends at very small axial strains ($< 0.005\%$ in CT and $< 0.001\%$ for CHCA tests), as
208 shown later. Such discontinuities dominate the torsional shear deformation
209 observations when shear strain $< 0.036\%$, and a smoothing routine was applied to
210 help reduce their impact on the strain measurements. The CHCA specimens have 200
211 mm height (H) and outer and inner diameters (d_o and d_i) of 100 and 60 mm, giving
212 d_i/d_o and H/d_o ratios of 0.6 and 2, respectively. While the degree of stress uniformity
213 achieved in HCA specimens depends on their geometry and the platen arrangements,
214 the degrees of variation appear tolerable for apparatus with the GDS CHCA’s d_i/d_o

215 and H/d_o ratios; Hight et al. (1983). The expressions summarized in Table 4 were
216 employed to calculate the average specimen stresses and strains (Yang et al. 2007).

217

218 **Test procedures**

219 The CT and CHCA experiments run at ZJUT on natural samples of Gault clay employed
220 similar preparation procedures to Hosseini Kamal et al. (2014) and Brosse et al.
221 (2017a). Specimens were trimmed from cores or blocks to the specified d_o
222 (approximately 38 and 100 mm in CT and CHCA tests, respectively) using a wire saw
223 and a rigid knife in a rotary soil lathe. The CT tests on the shallow-weathered Gault
224 clay relied on block samples with length, width, and height of 300, 300, and 300 mm,
225 respectively, which were pre-divided by bandsaw into prismatic sections of
226 appropriate dimensions before trimming to cylinders. The necessary inner cylindrical
227 voids required for CHCA tests (on rotary samples) were formed by encasing the
228 trimmed cylinders in a rigid mold and using a metal working lathe to achieve the
229 desired d_i with minimal disturbance by advancing rotating drill bits of ascending
230 outer diameter. After trimming the ends flat, the specimens were carefully installed
231 with (external or internal/external) latex membranes over systems of surface filter
232 paper drains. To ensure the application of sufficient traction during torsional shearing,
233 the sharp edges of shear vanes were anchored into the CHCA sintered bronze vertical
234 platens. Fully saturated specimens (with Skempton's B -values exceeding 0.95) were
235 obtained by applying a back pressure of 600 kPa for 48 hours to dissolve gas bubbles
236 as completely as possible. Maintaining high backpressure over the subsequent

237 re-consolidation and creep stages ensured still higher final, pre-shearing, B -values.

238

239 Hosseini Kamal et al. (2014) and Brosse et al. (2017a) assessed a potential in-situ K_0
240 range 1.5 to 3.0 for the Gault clay by considering its high OCR values. However, a
241 relatively low limiting K_0 of 1.8 was found necessary to avoid excessive straining of
242 the specimens during triaxial reconsolidation stages, and this value was adopted as
243 the most appropriate for the Authors' tests. Applying $K_0 = 1.8$ with the measured unit
244 weights and water table depth led to in-situ effective stresses estimates of $\sigma'_{z0} = 39$
245 kPa, $\sigma'_{r0} = 70$ kPa and $\sigma'_{z0} = 77$ kPa, $\sigma'_{r0} = 139$ kPa respectively, for the shallow (3 m)
246 and deep (7 m average) sample groups, as shown in Table 5.

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248 Specimens were isotropically consolidated at a p' rate of 3 kPa/h from their initial
249 states of suction (typically equal to 25 kPa, point A) before imposing (at either point B
250 or D, depending on sample depth) a pause period of several days to allow for
251 complete drainage and stabilization. Once the axial strain creep rates had reduced
252 below $2 \cdot 10^{-3}$ %/h (as recommended by Brosse 2012), the specimens were extended
253 under drained conditions, i.e., paths B→C and D→E depicted in Fig. 2. During this
254 stage, σ'_r was increased at a rate of 2/3 kPa/h, while simultaneously decreasing σ'_z at
255 $-4/3$ kPa/h to maintain constant p' while q decreased by 2 kPa/h. These stress
256 conditions were maintained again until the axial creep strain rate fell below the
257 $2 \cdot 10^{-3}$ %/h rate limit.

258

259 Schemes of undrained cyclic loading were then applied at 1 Hz, as suggested for
 260 traffic loading by Ishihara (1996) and Cai et al. (2017). The CT tests involved cycling
 261 vertical stresses sinusoidally from minima, representing in-situ σ'_{z0} stresses, to
 262 maxima of $(\sigma'_{z0} + \Delta\sigma'_z)$, while the radial stress (σ'_{r0}) was held constant. The CHCA
 263 experiments varied both the vertical (σ'_z) and torsional shear ($\tau_{z\theta}$) stresses, following
 264 the mathematical expressions set out by Guo et al. (2018). The test data reported for
 265 one test in Fig. 3 demonstrate that the apparatus could represent idealized versions
 266 of the wheel-loading stress path closely. As shown in Fig. 3(a), σ'_z decreased to its
 267 minimum (point A) as $\tau_{z\theta}$ increased at the start of each cycle; then $\tau_{z\theta}$ was raised to
 268 the maximum (point B) before σ'_z reached its peak at point C, after which a $\tau_{z\theta}$ cycle
 269 was applied with the opposite sign, leading to a trough at point D as σ'_z crossed the
 270 minimum (point E) and finally returned to its initial value.

271

272 This idealized loading scheme matches numerical and experimental results from
 273 earlier pavement engineering studies (e.g., Brown 1996; Lekarp et al. 2000; Powrie et
 274 al. 2007; Ishikawa et al. 2011), which identified the cardioid incremental $2\tau_{z\theta}-(\sigma'_z-\sigma'_\theta)$
 275 stress path shape shown in Fig. 3(b). Two key parameters defined below, the vertical
 276 cyclic stress ratio (VCSR) and η (the ratio between the maximum increments of
 277 torsional shear stress and vertical cyclic stress) were employed to characterize the
 278 test paths:

$$279 \quad \text{VCSR} = \Delta\sigma'_z / 2p'_0 \quad (5)$$

$$280 \quad \eta = \Delta\tau_{z\theta} / \Delta\sigma'_z \quad (6)$$

281 where $\Delta\sigma'_z$ is the maximum increment of vertical cyclic stress and $\Delta\tau_{z\theta}$ is the
 282 maximum increment of torsional shear stress. The angle α varied according to Eq. (7)
 283 below.

$$284 \quad \tan \alpha = \frac{2\tau_{z\theta}^{cyc}}{\sigma_z^{cyc} + \sigma_z^0} \quad (7)$$

285 The inner and outer cell pressures (p_i and p_o) were kept equal in CHCA, leading to $b =$
 286 $\sin^2(\alpha)$. Table 5 summarizes the combinations of parameters applied in 28 CT and
 287 CHCA tests, which are divided into three series based on the loading schemes and
 288 sample types. The tests on shallow-weathered and deep samples generally extended
 289 to 10,000 or 50,000 cycles respectively, unless they failed at earlier stages, as
 290 occurred in two CHCA experiments and one CT test. Cyclic failure was defined as
 291 occurring when either: i) the axial strains exceed 10% or ii) the strain rates become
 292 too high for the actuators to maintain the desired stress paths.

293

294 **Test results and discussion**

295 Fig. 4(a) and (b) illustrates the vertical (ε_z) and shear ($\gamma_{z\theta}$) strain components
 296 developed during an individual CHCA stress cycle. In Fig. 4(a), ε_z^t is the total vertical
 297 strain developed over loading while ε_z^r is the resilient vertical strain developed over
 298 unloading, and ε_z^p is the permanent vertical strain developed over single cycle.
 299 Similar rules applied to the $\gamma_{z\theta}$ strains as shown in Fig. 4(b). The onset of hysteretic
 300 stress-strain loops that open-up as permanent strains accumulate is taken as
 301 indicating a second form of kinematic yielding, which is termed Y_2 within the
 302 framework proposed by Jardine (1992).

303

304 *Permanent strain accumulation*

305 Fig. 5 presents the typical responses of deep samples under CT conditions when
306 purely positive cycles of compressive vertical stress increments were applied. The
307 vertical strains that are the primary cause of surface settlement remained small in
308 the lowest VCSR CT tests and showed no significant tendency to accumulate as
309 cycling continued. More significant compressive vertical strains developed in tests
310 with $VCSR > 0.2$ that grew with increasing VCSR and tended to stabilize with N in
311 tests involving moderate VCSRs. When imposed from $K_0 = 1.8$, the low VCSR cyclic
312 stress paths tended to reduce the deviatoric stresses by loading vertically and led to
313 stable outcomes. However, the application in higher VCSR tests of $\sigma'_z > \sigma'_r$ led to pore
314 pressure build-up under cycling and gradually increasing effective stress t/s' ratios at
315 each cyclic peak, and stress paths that headed towards compressive failure.
316 Discontinuous steps were seen when shear bands developed in some (higher VCSR)
317 cases, such as the $VCSR = 0.6$ case, which mobilized its first shear band at around $N =$
318 500, accompanied by sharp increase in ϵ_z accumulation. A second band appeared at
319 approximately $N = 18,600$ showing similar phenomena. However, the pre-existing
320 discontinuities required to enable such stepped patterns were not present in all
321 specimens. The highest VCSR (0.699) case showed continuous (compressive) strain
322 accumulation that decelerated towards a plateau with $\epsilon_z \approx 6\%$ over the later stages of
323 cycling.

324

325 Fig. 6 shows the equivalent vertical strain trends from four CHCA tests conducted on
326 deep samples, employing varying VCSR values combined with constant $\eta = 1/3$. As
327 illustrated in Fig. 3, the initial stage of each CHCA cycle involved σ'_z reductions, which
328 led to the absolute (generalized) deviatoric stress rising and the effective stress
329 points moving towards failure with α close to 90° . Reductions in α followed as $\tau_{z\theta}$
330 increments were applied, which became more marked as σ_z reversed and grew to
331 achieve its maximum. CHCA tests with VCSR greater than ≈ 0.25 led to $\sigma'_z > \sigma'_r$ and α
332 rotating fully to 0° at the right hand extreme of the stress cycle.

333

334 The CHCA stress conditions led to key differences with the CT tests. Strain
335 accumulation became significant from lower VCSR values, as their paths involved
336 deviatoric loading from the $K_0 = 1.8$ conditions towards extension failure.
337 Consequently, the specimens tended to extend axially rather than compress and the
338 first 'left hand' stages of the cardioid path (Fig. 3(b)) inflicted the greatest damage. In
339 addition, stepped traces indicating shear band mobilization involving extension were
340 seen at lower VCSRs than in the CT tests. There was also a less clear tendency for
341 straining to stabilize with increasing N and the highest VCSR (0.450) case underwent
342 full cyclic failure. The same points are shown by comparing the vertical strain trends
343 of the CT and CHCA experiments directly in Fig. 7.

344

345 It might be argued that the different CT and CHCA trends might be artefacts of the
346 apparatus employed or specimen size effects. However, Nishimura (2006), working

347 another geologically aged, high K_0 , stiff high OCR plastic UK (London) clay conducted
348 identical tests in an HCA with comparable dimensions to the Authors' (inner/outer
349 diameter of 38/76 mm) and triaxial cells. Intact (non-fissured) specimens of London
350 clay from the same site and depth were sheared under $\eta = 0$ conditions from their
351 in-situ stresses at $b = 1$ and good agreement was observed between the peak shear
352 'triaxial' strengths obtained in the different apparatuses. Hosseini Kamal et al. (2014)
353 addressed specimen-size effects in detail for four stiff UK clays, relating these to their
354 natural meso-structures of fissures and discontinuities. While important effects were
355 noted in some cases, the Gault clay's particular meso-structure led to no clear trend
356 between tests on specimens with 38, 50 and 100 mm diameters.

357

358 Some key observations relating to the effects on residual creep rates on vertical
359 strain accumulation at lower VCSRs should be also be noted. The rate, $d\varepsilon_z^p/dN$, of
360 vertical extension strain shown in Fig. 7(a) for a CHCA test with $VCSR = 0.127$ was
361 broadly compatible with the residual (extensile) creep strain rate $d\varepsilon_z^p/dt$ tolerated
362 before cycling started after consolidation with $\sigma'_r > \sigma'_z$ and added to any response to
363 cycling. In contrast, the compressive straining developed in the equivalent CT test
364 was counteracted by the specimen's residual extensile creep rate, leading to a
365 negligible overall apparent $d\varepsilon_z^p/dN$ development.

366

367 The CHCA and CT tests' ε_z^p trends with VCSR and N are synthesized and illustrated in
368 Fig. 8. Cai et al. (2017) and Guo et al. (2018) reported closely similar tests on soft, low

369 OCR, Wenzhou clay samples that were normally consolidated (with $K_0 = 0.55$) to
370 achieve undrained triaxial shear strengths ≈ 25 kPa. In keeping with the jargon
371 adopted by these authors, the Gault clay specimens manifested “stable”,
372 “metastable”, or “unstable” responses. As shown in Fig. 8(a), any (extensive) ε_z^p
373 strain accumulation in CHCA ($\eta = 1/3$) tests on deep samples appeared negligibly
374 small until VCSR exceeded 0.15, the upper ‘stable cyclic threshold’ condition for Gault
375 clay, which is comparable with the Y_2 yielding condition defined by Jardine (1992).
376 Cycling above the Y_2 limit led to ε_z^p values (developed after fixed numbers of cycles N)
377 that grew linearly with VCSR up to VCSR ≈ 0.35 with a “metastable” style of response
378 that generated permanent strains, but no failure within 50,000 cycles. The growth of
379 ε_z^p with respect to N becomes steeper at higher VCSRs, showing an “unstable” trend;
380 shear bands developed in some cases and full cyclic failures occurred after numbers
381 of cycles, N , that reduced with VCSR. The permanent shear strains $\gamma_{z\theta}^p$ determined in
382 the CHCA tests conducted with $\eta = 1/3$ are synthesized and presented in Fig. 9,
383 showing comparable trends with VCSR to the axial strains and similar
384 stable-to-metastable and metastable-to-unstable region boundaries.

385

386 Significantly higher equivalent threshold VCSR values (0.23 and 0.55) are interpreted
387 for the “stable” and “metastable” limits applying to the deep samples under CT ($\eta = 0$)
388 loading, as shown in Fig. 8(b). Equally, raising η from $1/3$ to $1/2$ while keeping fixed
389 VCSR leads to steeper rates of strain accumulation and earlier onsets of instability, as
390 shown earlier in Fig. 7(b). However, as only one CHCA test with $\eta = 1/2$ was

391 conducted in this study, the thresholds for the $\eta = 1/2$ case cannot be identified
392 definitively. CT tests on the shallow-weathered Gault clay presented in Fig. 8(c)
393 indicated marginally lower “stable” and “metastable” VCSR limits (0.2 and 0.5
394 respectively) than the deep samples, showing that desiccation and weathering
395 rendered the Gault less resistant to cyclic loading.

396

397 The equivalent trends from Cai et al. (2017) and Guo et al’s (2018) CT tests on soft
398 normally ($K_0 = 0.55$) consolidated Wenzhou clay are included in Fig. 8(c), showing
399 distinctly lower threshold VCSRs than either the weathered or deeper Gault clay
400 samples. Guo et al (2018) showed that still steeper rates of compressive vertical
401 straining develop in CHCA tests on Wenzhou clay conducted at comparable VCSRs.
402 The insensitive, stiff and geologically aged high OCR (high K_0) Gault clay has
403 significantly greater cyclic resistance than the Holocene Wenzhou clay. However, the
404 Gault clay extends rather than compresses in response to undrained wheel loading. It
405 is also brittle and susceptible to developing very low shear strength on shear bands, a
406 feature that was not seen with the Wenzhou clay.

407

408 *Resilient stress–strain responses*

409 The Gault clay’s monotonic stiffness response is highly anisotropic; Brosse et al.
410 (2017b) and involves marked degradation with strain once its initial elastic limits is
411 exceeded after very small strains. For the cyclic stress conditions considered herein,
412 the stiffness represented by the vertical (M_z^r) and shear ($G_{z\theta}$) resilient moduli can be

413 defined as the backbone curve slopes of the vertical and shear stress–strain
414 hysteretic loops, as shown in Fig. 4(a) and (b), respectively. Under the test conditions
415 considered the M_z^r modulus is directly comparable to the E_v^U moduli reported by
416 Brosse et al. (2017b) and the $G_{z\theta}$ shear modulus to their G_{vh} stiffness. Fig. 10 shows
417 the typical hysteretic responses of CT ($\eta = 0$) and CHCA ($\eta = 1/3$) tests conducted at
418 the same VCSR (= 0.343) with the overall loops presented in Fig. 10(a) and (c)
419 exhibiting the permanent strain trends, showing again the different strain signs and
420 rates developed in the two tests series. The strain scales adopted in Fig. 10(b) and (d)
421 have their strain origins re-zeroed at the specified cycle numbers (i.e., $N = 1, 10, 100,$
422 $1,000, 10,000,$ and $50,000$) to highlight changes in resilient moduli with increasing N ,
423 indicating again far steeper reductions in modulus with N in the CHCA experiments.

424

425 Fig. 11 compares the CT and CHCA tests conducted at VCSR = 0.343 further, adding
426 the experiment with $\eta = 1/2$ and considering the ‘re-zeroed strain’ loops found after
427 $N = 10, 100, 1,000,$ and $10,000$. The degree to which the near elliptical loops’ rotate
428 clockwise as η and N increases reflects the degrees to which the cardioid paths lead
429 to softer responses and accelerate stiffness degradation; the $\eta = 1/2$ case cannot be
430 plotted in Fig. 11 (d) because it failed before N reached 2,500.

431

432 Fig. 12 reports more directly how M_z^r degrades with N in different test series,
433 showing both engineering units and normalized ratios M_z^r/ρ'_0 . The maximum
434 stiffness observed, around 125 MPa, in the CT-I test at VCSR = 0.067 shown in Fig.

435 12(b) is compatible with, and only slightly lower than, the elastic $E_v^U = 132$ MPa
436 reported by Brosse et al. (2017b) from very small strain, locally instrumented, triaxial
437 probing tests on (slightly deeper) samples from ≈ 11 m depth. While progressively
438 lower M_z^r values apply in the CT-I tests conducted at higher VCSRs, their degradation
439 with increasing N remains relatively modest. The CT-II tests on shallow-weathered
440 samples indicate lower absolute moduli in Fig. 12(c), but broadly comparable
441 normalized M_z^r/ρ'_0 trends. While the CHCA test at $VCSR = 0.067$ (with $\eta = 1/3$) also
442 shows M_z^r comparable to the elastic $E_v^U = 132$ MPa in Fig. 12(a), CHCA tests show
443 much steeper decays of modulus with N than the equivalent CT tests.

444

445 Horizontal shear stress–strain hysteretic loops are shown in Fig. 13 for the $N = 1, 10,$
446 $100, 1,000, 10,000,$ and $50,000$ stages of four CHCA tests, which indicate stiff
447 behavior at the lowest VCSR plotted, with neither hysteresis nor shear modulus
448 degradation. The hysteretic loops open-up once Y_2 yielding develops, at the onset of
449 metastable behavior. They also rotate clockwise as η and N increase at higher VCSRs.
450 The variations of shear modulus $G_{z\theta}$ with respect to VCSR, η and N are presented
451 directly in Fig. 14. The maximum $G_{z\theta}$ value found in the lowest VCSR (0.067) test
452 appears independent of N and matches the elastic $G_{vh} = 57$ MPa value reported by
453 Brosse et al. (2017b). The experiments confirm the marked reductions of non-linear
454 shear stiffness with N and η that develops at the higher VCSR ratios.

455

456 *Pore pressure development*

457 The cyclic experiments demonstrate how excess pore pressure builds up during
458 undrained cyclic loading after Y_2 yielding, in parallel with strain accumulation and
459 stiffness degradation. Dissipation of pore pressures after cycling leads to volume
460 changes and further strains that make important contributions to the settlement of
461 pavements or rail-tracks in service; Guo et al (2018). Fig. 15 presents the cyclic pore
462 pressure increments developed in CT tests on deep samples, normalized by the initial
463 mean effective stress as $\Delta r_u = u/p'_0$ and plotted against N , identifying also the ratios
464 Δr_u^p found from the permanent pore pressures applying at the end of each stress
465 cycle. While the lowest VCSR (0.088) test shows a stable trend for pore pressures to
466 remain constant with N , tests involving higher VCSRs show initial increases in pore
467 pressures that appeared to stabilize as N grew, with the Δr_u^p ratios growing
468 systematically with VCSR.

469

470 The equivalent CHCA plots presented in Fig. 16 confirm negligible Δr_u^p at low VCSR
471 (0.067) and values that increase systematically with increasing VCSR until failures
472 start to develop on shear bands. High OCR clays attempt to dilate as they shear to
473 large strains and Figs. 16(c) and (d) show how this feature led to Δr_u^p dipping in tests
474 that were progressing to unstable outcomes.

475

476 The CHCA and CT tests' Δr_u^p ratios are synthesized and plotted against VCSR in Fig. 17,
477 revealing again the three styles of response noted for strain development in Figs. 8
478 and 9. Stable response patterns in pore pressure generation can also be observed at

479 low VCSRs that change to indicate metastable trends above the indicated threshold
480 ratios. However, the unstable CHCA tests indicate less systematic changes than the CT
481 equivalents over the unstable range of VCSRs, primarily due to the onset of dilatancy
482 as failure approaches, as discussed earlier. The threshold VCSRs applying to the
483 shallow-weathered CT-II samples again appear lower than for the deep CT-I cases. As
484 with the earlier strain development plots, comparative trends are shown from CT
485 tests performed on (low OCR and low K_0) Wenzhou clay (Guo et al. 2018) in Fig. 17(c)
486 for comparison. The Wenzhou and Gault clays share broadly similar Δr_u^p evolutionary
487 trends. However, the softer, younger clay is more susceptible to pore pressure
488 accumulation at lower VCSRs than the stiff, heavily over-consolidated Gault clay.

489

490 Brosse (2012) and Brosse et al. (2017a) investigated the Gault clay's strength
491 anisotropy through triaxial compression and extension and HCA tests run at Imperial
492 College in London. The HCA tests on nominally identical rotary samples from 9.5 to
493 12.5 m depth, consolidated to in-situ K_0 stress conditions, were taken to undrained
494 failure with specified σ_1 axis orientations α ranging from 0 to 90°, while maintaining b
495 = 0.5 to give nominally plane strain conditions; triaxial compression and extension
496 tests were conducted in parallel. The results identified significant effects of b value,
497 or Lode angle, on the peak undrained shear strength (S_u) as well as mild anisotropy.
498 More marked anisotropy was found in the maximum effective stress ratio (t/s') and
499 mobilized ϕ'_{mob} (as assessed taking $c' = 0$), varying with α in monotonic HCA ($b = 0.5$)
500 and triaxial ($b = 0, 1$) tests, as illustrated in Fig. 18. The effective stress conditions at

501 which the three new undrained cyclic tests (reported in this paper) reached full
502 failure first engaged their failure criteria are also plotted in Fig. 18 for comparison.
503 Close correspondence can be seen for the two CHCA tests (106 and 108) confirming
504 that cyclic failure develops when the pore pressures generated by repeated loading
505 bring the specimens' stress states into contact with the Gault clay's anisotropic
506 monotonic failure envelope. The single triaxial test CT-II (311) plots at a higher t/s'
507 than expected, although this may be an accidental consequence of the various
508 specimens' patterns of discontinuities.

509

510 **Summary and conclusions**

511 Series' of cyclic triaxial (CT) and hollow cylinder apparatus (CHCA) tests have been
512 conducted on high-quality K_0 -consolidated samples of intact (high OCR, high K_0) stiff
513 Cretaceous (UK) Gault clay to explore their response to cyclic wheel loading. The CT
514 tests involved vertical stress cycling, while the cardioid-shaped stress paths applied in
515 the CHCA tests incorporated the principal stress rotation caused by wheel shear
516 tractions. The permanent strain accumulation, resilient modulus degradation and
517 pore pressure generation observed in the Gault clay experiments have been reported
518 in detail, providing a unique dataset for geologically aged high OCR plastic stiff clays.
519 Outcomes from Holocene soft clay from Wenzhou (SE China) conducted under
520 identical cyclic loading conditions on specimens that were normally consolidated
521 with $K_0 = 0.55$ in an earlier program have been shown to help to identify the
522 distinctly different responses observed in the Gault clay. The following conclusions

523 are drawn from the experiments:

- 524 1. The cyclic experiments indicated ranges of permanent strain, stiffness
525 degradation and pore pressure response that could be classified as fully stable,
526 metastable or unstable.
- 527 2. Principal stress rotation (PSR), which is implicit in wheel-loading, led to cyclic
528 loading having a greater impact under metastable or unstable conditions at given
529 vertical cyclic ratios (VCSRs) than in cyclic triaxial tests. PSR also led to the
530 undesired impacts of cyclic loading appearing at VCSR thresholds that reduced as
531 the PSR parameter η increased from zero (in CT tests) to 1/3 and 1/2 in the CHCA
532 experiments.
- 533 3. High OCR, stiff Gault clay shows greater resistance to undrained CT and CHCA
534 loading than the soft, low OCR Wenzhou clay. In addition, metastable and
535 unstable CHCA tests conducted from $K_0 = 1.8$ consolidation conditions on the stiff
536 clay led to extensive permanent axial strains developing, rather than the
537 compressive straining seen in CT experiments and in both types of cyclic test on
538 the soft, low K_0 Wenzhou clay.
- 539 4. Very significant excess pore pressures developed in metastable and unstable CT
540 and CHCA tests that would be free to dissipate under roads or railways and so
541 generate compressive volume strains and therefore surface settlements.
- 542 5. The stiffness responses seen in the cyclic tests run on Gault clay at ZJUT were
543 shown to be fully compatible with high resolution measurements undertaken at
544 ICL in triaxial and hollow cylinder tests which established the Gault clay's stiffness

545 and shear strength anisotropy under monotonic conditions in different triaxial
546 and hollow cylinder apparatus.

547 6. The effective stress t/s' , b and α conditions at which failures occurred in three of
548 the 28 cyclic tests were also compatible with the outcomes of the earlier
549 monotonic experiments. The cyclic tests confirmed that Gault clay features a
550 brittle process with shear bands forming within which residual clay fabrics form
551 that offer very low angles of shearing resistance. The brittleness can impact
552 foundation and slope stability and calls for caution in practical engineering
553 design.

554

555 The cyclic laboratory experiments undertaken on Gault clay help to identify the
556 limiting conditions under which fully stable or metastable responses can be expected
557 and so aid the optimal design of pavement or railway foundations and drainage
558 systems to resist the development of excessive ground movements in service.
559 Further studies are required on how long-term consolidation of cyclic pore pressures
560 affects overall ground movements to complete the characterization of ground
561 straining under wheel loading.

562

563 **Data availability statement**

564 Some or all data, models, and code that support the findings of this study are
565 available from the corresponding author upon reasonable request.

566

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574

575 **Notation**

576 *The following symbols are used in this paper:*

577 b = intermediate principal stress ratio

578 d_i, d_o = inner and outer diameters of specimen

579 E_h^U, E_v^U = undrained Young's moduli for cross-anisotropic elastic soil

580 e_0 = initial void ratio

581 G_{vh} = shear modulus in vertical plane

582 $G_{z\theta}$ = shear resilient modulus

583 K_0 = coefficient of earth pressure

584 M_z^r = vertical resilient modulus

585 N = number of cycles

586 p', p'_0 = mean effective stress and initial mean effective stress, respectively

587 q = deviatoric stress

588 S_u = peak undrained shear strength

- 589 $s' = (\sigma'_1 + \sigma'_3)/2$
- 590 $t = (\sigma'_1 - \sigma'_3)/2$
- 591 u = pore water pressure
- 592 VCSR = vertical cyclic stress ratio
- 593 Y_2 = second yielding surface described by Jardine (1992)
- 594 α = orientation of major principal stress relative to vertical axis
- 595 $\gamma_{z\theta}$ = shear strain
- 596 $\gamma_{z\theta}^p, \gamma_{z\theta}^r, \gamma_{z\theta}^t$ = permanent, resilient, and total shear strains
- 597 $\Delta r_u, \Delta r_u^p$ = pore pressure ratio and permanent pore pressure ratio, respectively
- 598 $\Delta\sigma'_z, \Delta\tau_{z\theta}$ = maximum increments of vertical cyclic stress and torsional shear stress
- 599 $\epsilon_1, \epsilon_2, \epsilon_3$ = major, intermediate, and minor principal strains
- 600 $\epsilon_z, \epsilon_r, \epsilon_\theta$ = vertical, radial, and circumferential strains
- 601 $\epsilon_z^p, \epsilon_z^r, \epsilon_z^t$ = permanent, resilient, and total vertical strains
- 602 η = ratio between shear stress and vertical cyclic stress
- 603 $\sigma_1, \sigma_2, \sigma_3$ = major, intermediate, and minor principal stresses
- 604 $\sigma'_1, \sigma'_2, \sigma'_3$ = major, intermediate, and minor effective principal stresses
- 605 $\sigma_z, \sigma_r, \sigma_\theta$ = vertical, radial, and circumferential stresses
- 606 $\sigma'_z, \sigma'_\theta$ = vertical and circumferential effective stresses
- 607 $\sigma'_{z0}, \sigma'_{r0}$ = initial vertical and radial stresses
- 608 $\sigma_z^{cyc}, \tau_{z\theta}^{cyc}$ = cyclic vertical and shear stresses
- 609 $\tau_{z\theta}$ = torsional shear stress
- 610 ϕ'_{mob} = mobilized shear angle

611

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719

720 **Figure captions**

- Fig. 1.** (a) Deviatoric stress and (b) pore pressure versus axial strain for Gault clay in triaxial compression; redrawn from Hosseini Kamal (2012)
- Fig. 2.** Schematic of the re-consolidation to in-situ stresses
- Fig. 3.** Example of stress conditions in CHCA test with $VCSR = 0.127$; (a) cyclic stresses versus elapsed time; (b) incremental stress paths
- Fig. 4.** Typical (a) vertical and (b) shear stress-strain hysteresis loops in a single cycle
- Fig. 5.** Vertical strain evolutions of deep samples in CT-I tests
- Fig. 6.** Vertical strain evolutions of deep samples in CHCA tests with $\eta = 1/3$
- Fig. 7.** Comparison between CT-I and CHCA tests in vertical strain
- Fig. 8.** Permanent vertical strain after different cycles versus $VCSR$: (a) CHCA series with $\eta = 1/3$; (b) CT-I series; (c) CT-II series
- Fig. 9.** Permanent shear strain after different cycles versus $VCSR$ in CHCA tests with $\eta = 1/3$
- Fig. 10.** Typical hysteretic responses in CT-I and CHCA tests with $VCSR = 0.343$
- Fig. 11.** Vertical hysteresis loops at different cycles in CT-I and CHCA tests with $VCSR = 0.343$
- Fig. 12.** Variation of normalized resilient modulus with N in three series tests: (a) CHCA tests ($\eta = 1/3$); (b) CT-I tests; (c) CT-II tests
- Fig. 13.** Typical shear stress-strain hysteresis loops in CHCA tests
- Fig. 14.** Variation of $G_{2\theta}$ with N in CHCA tests
- Fig. 15.** Pore pressure generation of deep samples in CT-I tests

- Fig. 16.** Pore pressure generation of deep samples in CHCA tests with $\eta = 1/3$
- Fig. 17.** Permanent pore pressure after different cycles versus VCSR: (a) CHCA series with $\eta = 1/3$; (b) CT-I series; (c) CT-II series
- Fig. 18.** Variations of maximum stress ratio t/s' with the orientation of major principle stress α covering both the monotonic and cyclic shear tests

Table 1. Index properties of tested Gault clay

Index property	Shallow-weathered samples	Deep samples
Specific gravity, G_s	2.59	2.59
Initial density, ρ_0 (g/cm^3)	1.92-1.97	1.95-2.02
Natural water content, w_n (%)	28-30	26-29
Plastic limit, w_p (%)	24	25
Liquid limit, w_l (%)	65	67
Plasticity index, I_p	41	42
Clay fraction (%)	60-63	60-63
Undrained shear strength ^a , S_u (kPa)	≈ 70	≈ 120

^a after Hosseini Kamal (2012)

Table 2. Summary of compression and strength parameters of Gault clay; after Hosseini Kamal et al. (2014)

Parameter	Value
Location of intrinsic normal compression line, N^*	2.99
Location of intrinsic critical state line, Γ^*	2.85
Gradient of intrinsic normal compression line, λ^*	0.215
Gradient of intrinsic swelling line, κ^*	0.04
Intrinsic compression index, C_c^*	0.496
Intrinsic swelling index, C_s^*	0.168
Intact compression index, C_c	0.221
Intact swelling index, C_s	0.095
Ratio of swell sensitivity, C_s^*/C_s	1.77
Critical state angle of shearing resistance, ϕ'_{cs}	24.8°
Residual angle of shearing resistance, ϕ'_r	10°

* effective stress parameters applying to reconstituted clay

Table 3. Measurement ranges and resolutions of transducers in DYNHCA

Transducer	Measurement range	Resolution
Vertical load cell	0-3 kN	0.3 N
Torque transducer	0-30 Nm	0.03 Nm
Vertical displacement transducer	0-93 mm	0.0002 mm
Rotating angle transducer	Without restriction	0.0011°
Pressure transducers (inner/outer cell pressure & back pressure)	0-2 MPa	0.5 kPa
Volume change transducers (inner/outer cell pressure & back pressure)	0-200 cm ³	1 mm ³
Pore water pressure transducer	0-1 MPa	0.5 kPa

Table 4. Equations of stresses and strains in a CHCA; after Yang et al. (2007)

	Stresses	Strains
Vertical	$\sigma_z = \frac{W}{\pi(r_o^2 - r_i^2)} + \frac{p_o r_o^2 - p_i r_i^2}{(r_o^2 - r_i^2)}$	$\varepsilon_z = -\frac{\Delta H}{H}$
Radial	$\sigma_r = \frac{p_o r_o + p_i r_i}{r_o + r_i}$	$\varepsilon_r = -\frac{\Delta r_o - \Delta r_i}{r_o - r_i}$
Circumferential	$\sigma_\theta = \frac{p_o r_o - p_i r_i}{r_o - r_i}$	$\varepsilon_\theta = -\frac{\Delta r_o + \Delta r_i}{r_o + r_i}$
Shear	$\tau_{z\theta} = \frac{3T}{2\pi(r_o^3 - r_i^3)}$	$\gamma_{z\theta} = \frac{2\Delta\theta(r_o^3 - r_i^3)}{3H(r_o^2 - r_i^2)}$
Major principal	$\sigma_1 = \frac{\sigma_z + \sigma_\theta}{2} + \sqrt{\left(\frac{\sigma_z - \sigma_\theta}{2}\right)^2 + (\tau_{z\theta})^2}$	$\varepsilon_1 = \frac{\varepsilon_z + \varepsilon_\theta}{2} + \sqrt{\left(\frac{\varepsilon_z - \varepsilon_\theta}{2}\right)^2 + \left(\frac{\gamma_{z\theta}}{2}\right)^2}$
Intermediate principal	$\sigma_2 = \sigma_r$	$\varepsilon_2 = \varepsilon_r$
Minor principal	$\sigma_3 = \frac{\sigma_z + \sigma_\theta}{2} - \sqrt{\left(\frac{\sigma_z - \sigma_\theta}{2}\right)^2 + (\tau_{z\theta})^2}$	$\varepsilon_3 = \frac{\varepsilon_z + \varepsilon_\theta}{2} - \sqrt{\left(\frac{\varepsilon_z - \varepsilon_\theta}{2}\right)^2 + \left(\frac{\gamma_{z\theta}}{2}\right)^2}$

Note: H , initial specimen height; r_i and r_o , inner and outer radii; ΔH , vertical displacement; Δr_i and Δr_o , inner and outer radial displacements; $\Delta\theta$ = relative torsional angle between the specimen top and bottom; p_i and p_o , inner and outer cell pressures; W , vertical load; T , torque.

Table 5. Summary of three series of cyclic tests for Gault clay

Series	Sample type	Depth (m)	e_0	$\Delta\sigma'_z$ (kPa)	$\Delta\tau_{z\theta}$ (kPa)	VCSR	η	N	Test ID
CHCA	Deep ($\sigma'_{z0} = 77$ kPa $\sigma'_{r0} = 139$ kPa)	7.38	0.77	15.9	5.3	0.067	1/3	50000	101
		5.66	0.80	30	10	0.127	1/3	50000	102
		5.41	0.71	53.1	17.7	0.225	1/3	50000	103
		7.74	0.72	67.5	22.5	0.286	1/3	50000	104
		7.99	0.73	81	27	0.343	1/3	50000	105
		5.91	0.83	81	40.5	0.343	1/2	2460	106
		8.30	0.74	94.5	31.5	0.400	1/3	50000	107
		7.01	0.80	106.2	35.4	0.450	1/3	5020	108
		CT-I	Deep ($\sigma'_{z0} = 77$ kPa $\sigma'_{r0} = 139$ kPa)	7.01	0.79	15.9	0	0.067	0
7.01	0.79			21	0	0.088	0	50000	202
7.01	0.80			30	0	0.127	0	50000	203
7.38	0.77			60.5	0	0.256	0	50000	204
8.30	0.74			81	0	0.343	0	50000	205
7.38	0.77			88.5	0	0.375	0	50000	206
7.38	0.77			118.5	0	0.502	0	50000	207
8.30	0.74			141.6	0	0.600	0	50000	208
8.30	0.73			165	0	0.699	0	50000	209
CT-II	Shallow- weathered ($\sigma'_{z0} = 39$ kPa $\sigma'_{r0} = 70$ kPa)	3	0.76	10.5	0	0.088	0	10000	301
		3	0.80	19.8	0	0.165	0	10000	302
		3	0.73	24.6	0	0.205	0	10000	303
		3	0.72	29.4	0	0.245	0	10000	304
		3	0.73	34.3	0	0.286	0	10000	305
		3	0.69	36	0	0.300	0	10000	306
		3	0.79	48	0	0.400	0	10000	307
		3	0.80	54	0	0.450	0	10000	308
		3	0.72	66	0	0.550	0	10000	309
		3	0.73	78	0	0.650	0	10000	310
	3	0.76	96	0	0.800	0	2280	311	

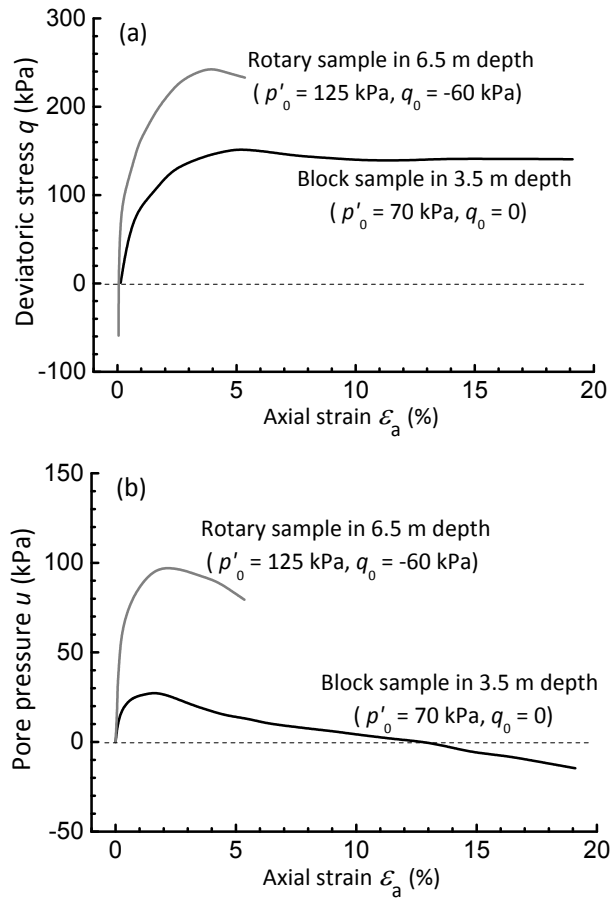


Fig. 1. (a) Deviatoric stress and (b) pore pressure versus axial strain for Gault clay in triaxial compression; redrawn from Hosseini Kamal (2012)

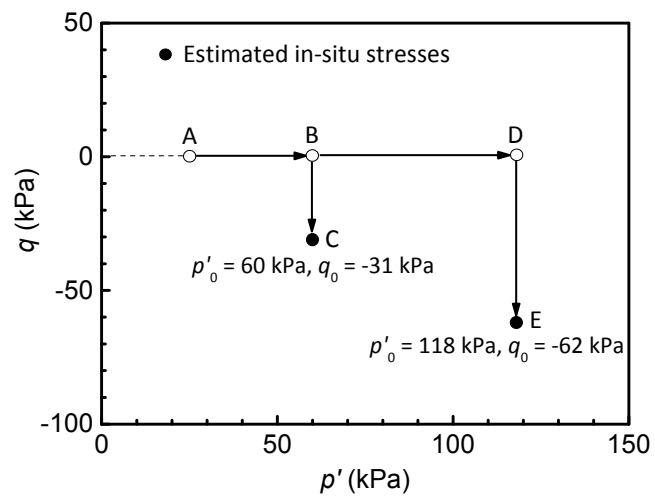


Fig. 2. Schematic of the re-consolidation to in-situ stresses

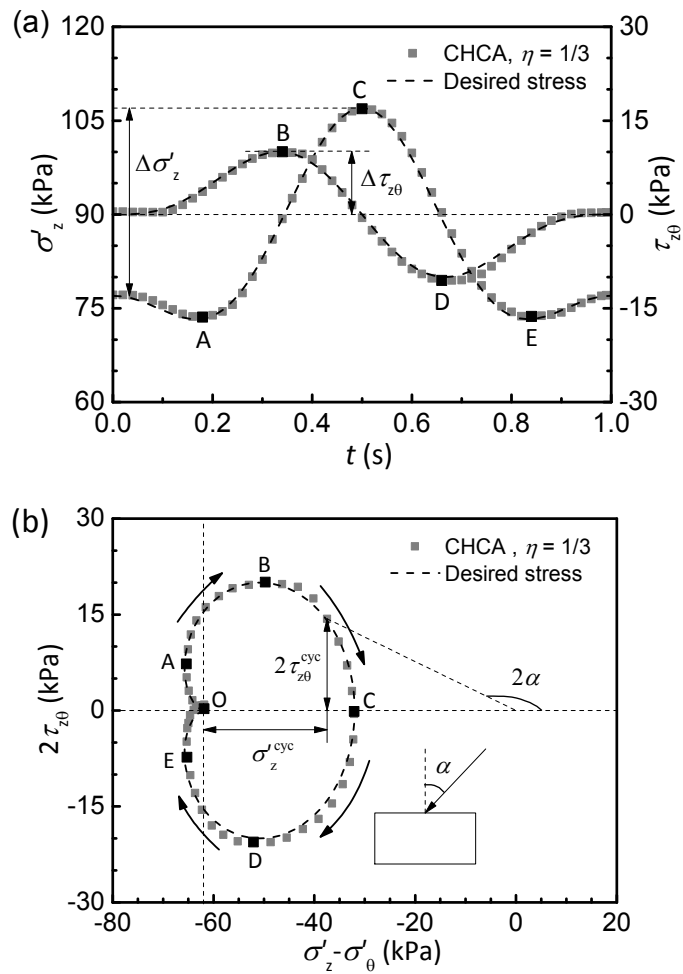


Fig. 3. Example of stress conditions in CHCA test with $VCSR = 0.127$; (a) cyclic stresses versus elapsed time; (b) incremental stress paths (Note: point O denotes state after K_0 consolidation)

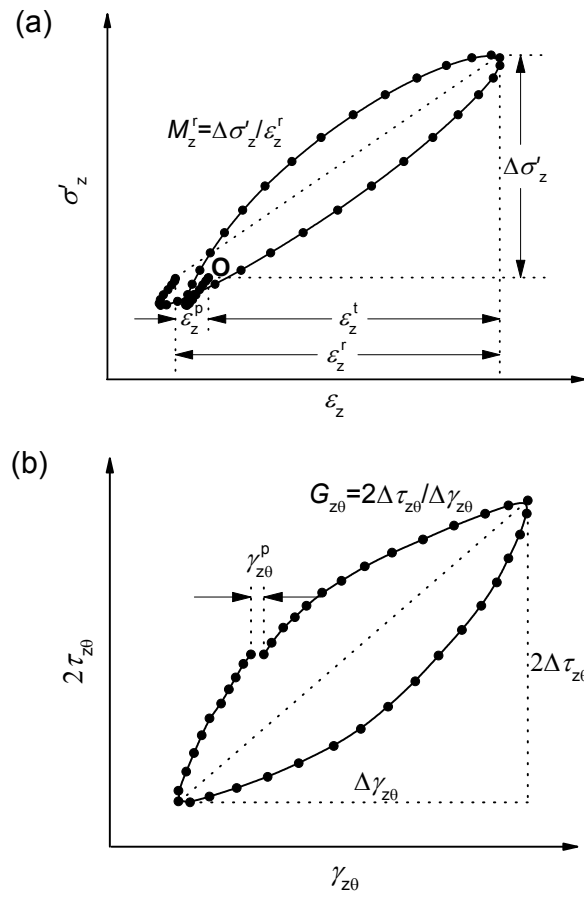


Fig. 4. Typical (a) vertical and (b) shear stress-strain hysteresis loops in a single cycle

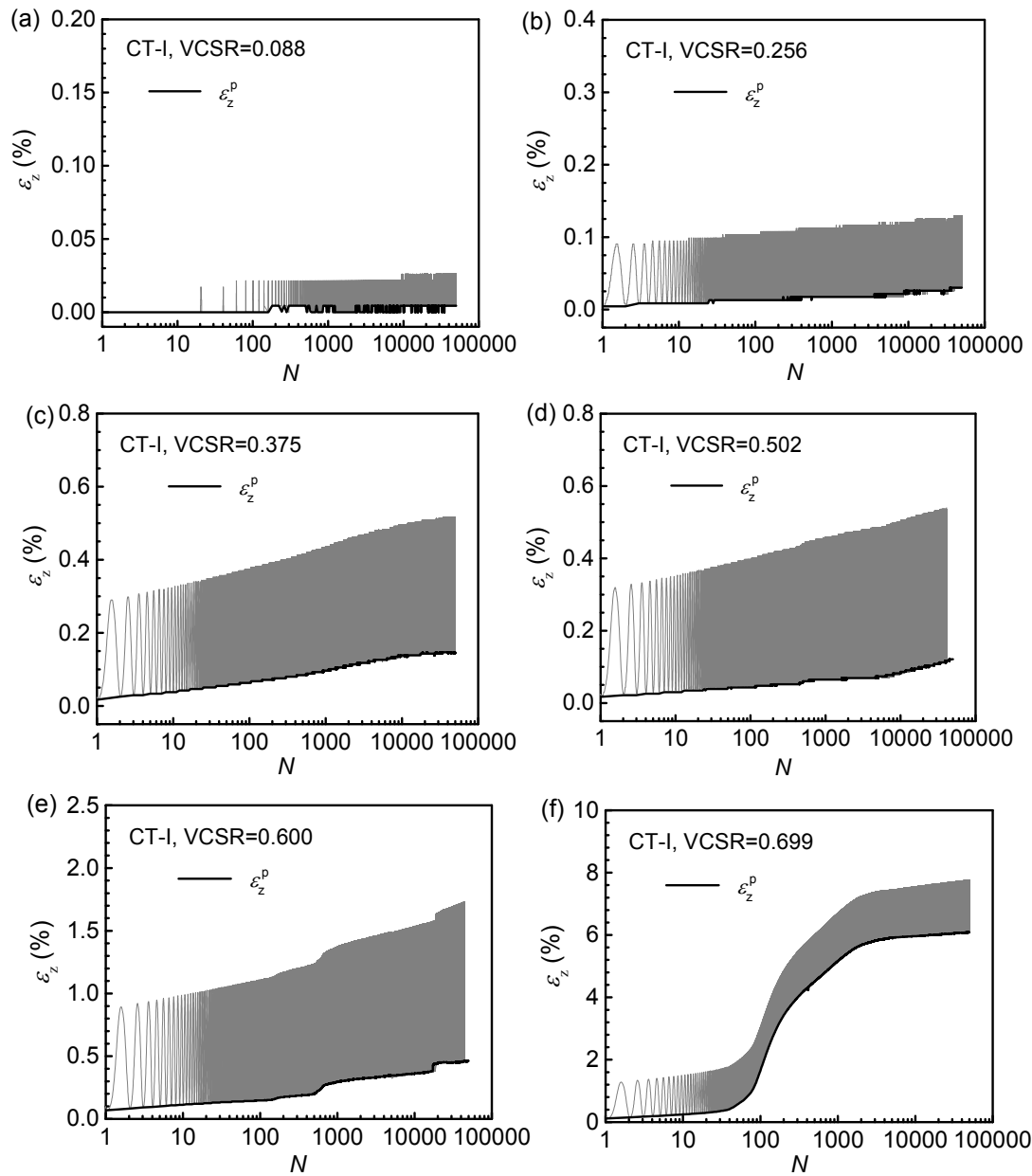


Fig. 5. Vertical strain evolutions of deep samples in CT-I tests: (a) VCSR = 0.088; (b) VCSR = 0.256; (c) VCSR = 0.375; (d) VCSR = 0.502; (e) VCSR = 0.600; (f) VCSR = 0.699

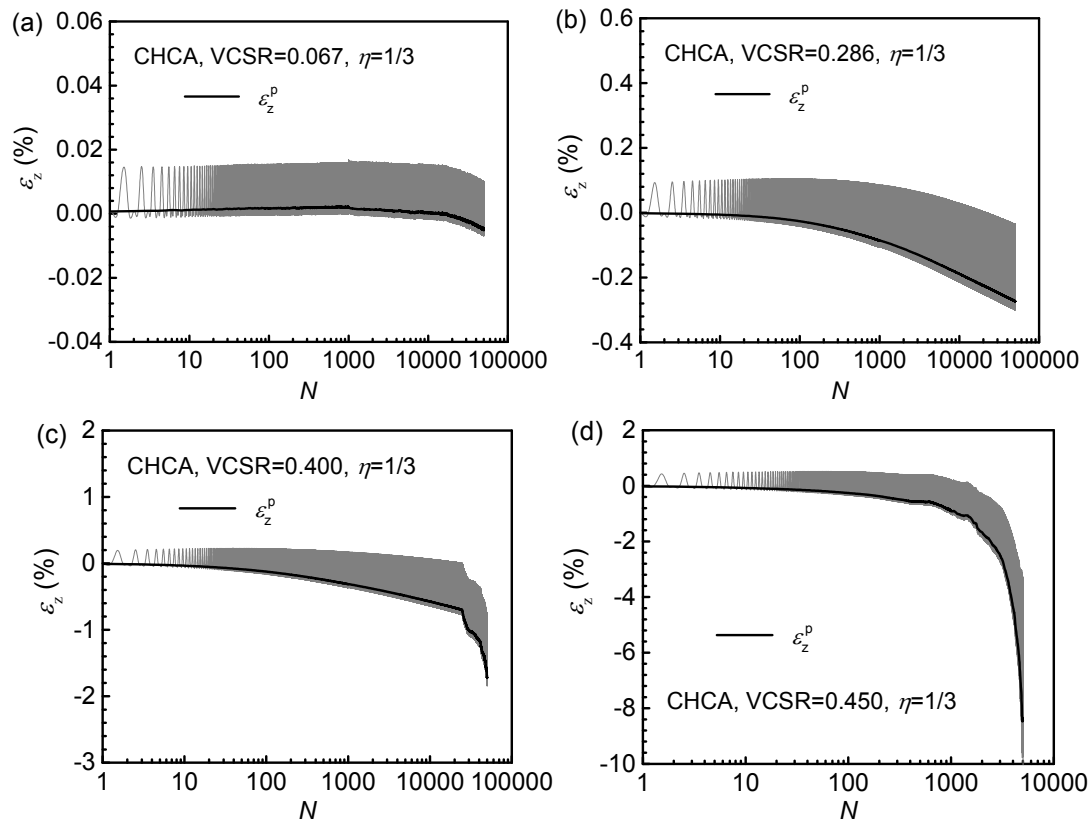


Fig. 6. Vertical strain evolutions of deep samples in CHCA tests with $\eta = 1/3$: (a) VCSR = 0.067; (b) VCSR = 0.286; (c) VCSR = 0.400; (d) VCSR = 0.450

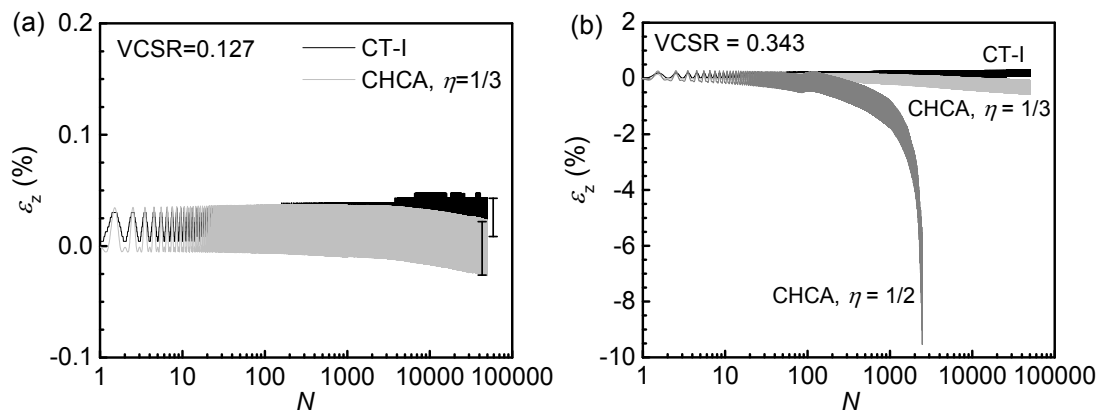


Fig. 7. Comparison between CT-I and CHCA tests in vertical strain: (a) $VCSR = 0.127$; (b) $VCSR = 0.343$

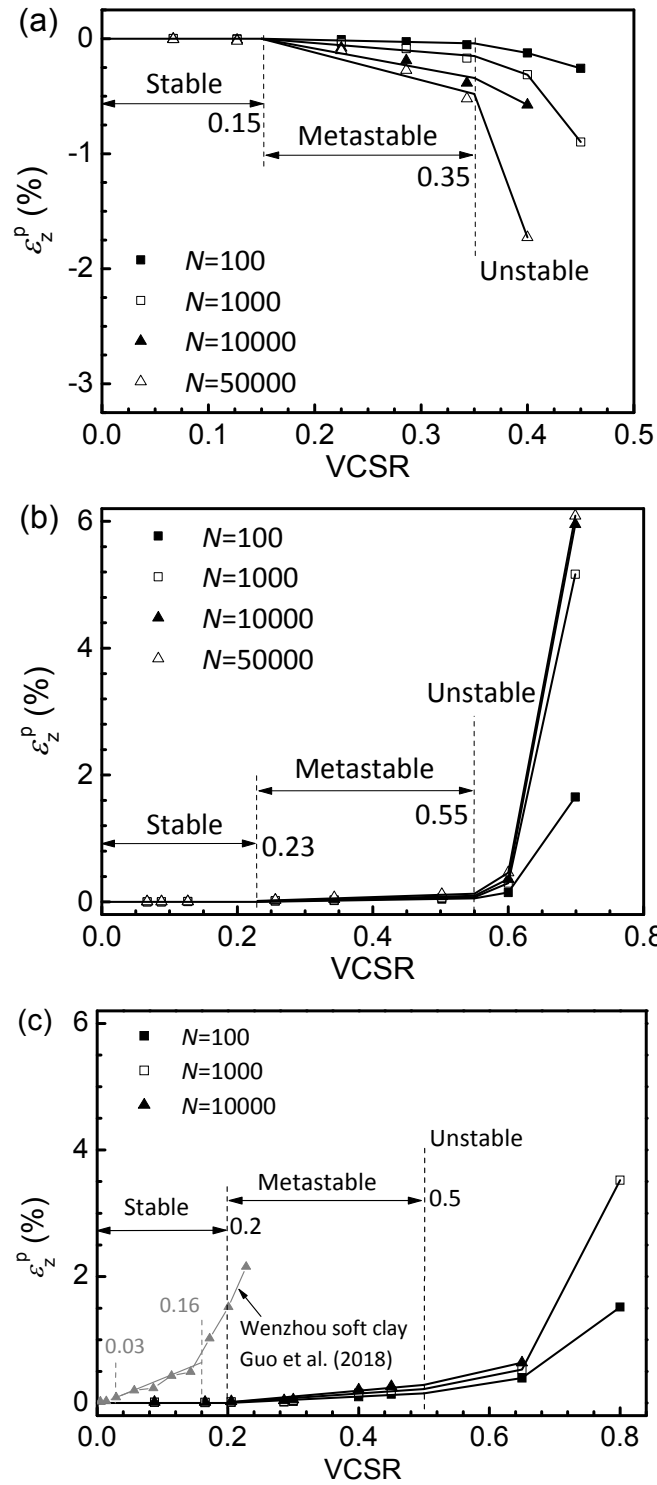


Fig. 8. Permanent vertical strain after different cycles versus VCSR: (a) CHCA series with $\eta = 1/3$; (b) CT-I series; (c) CT-II series

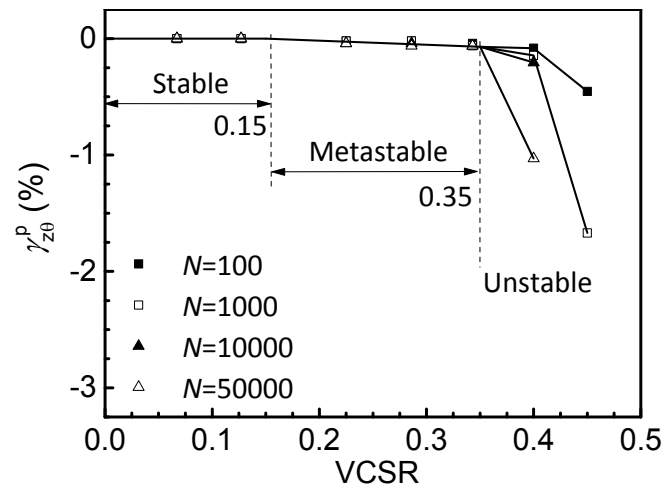


Fig. 9. Permanent shear strain after different cycles versus VCSR in CHCA tests with $\eta = 1/3$

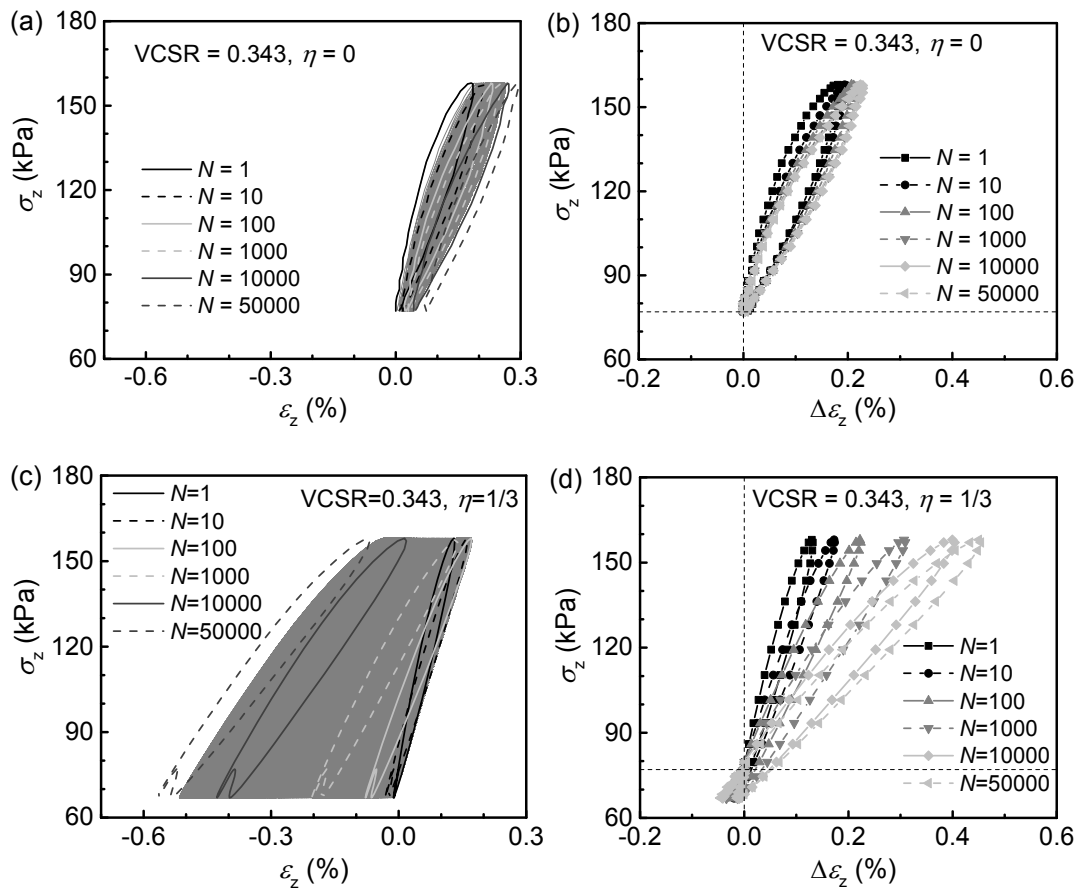


Fig. 10. Typical hysteretic responses in CT-I and CHCA tests with VCSR = 0.343: (a) and (c) complete vertical stress-strain loops; (b) and (d) vertical stress-strain loops at different cycles

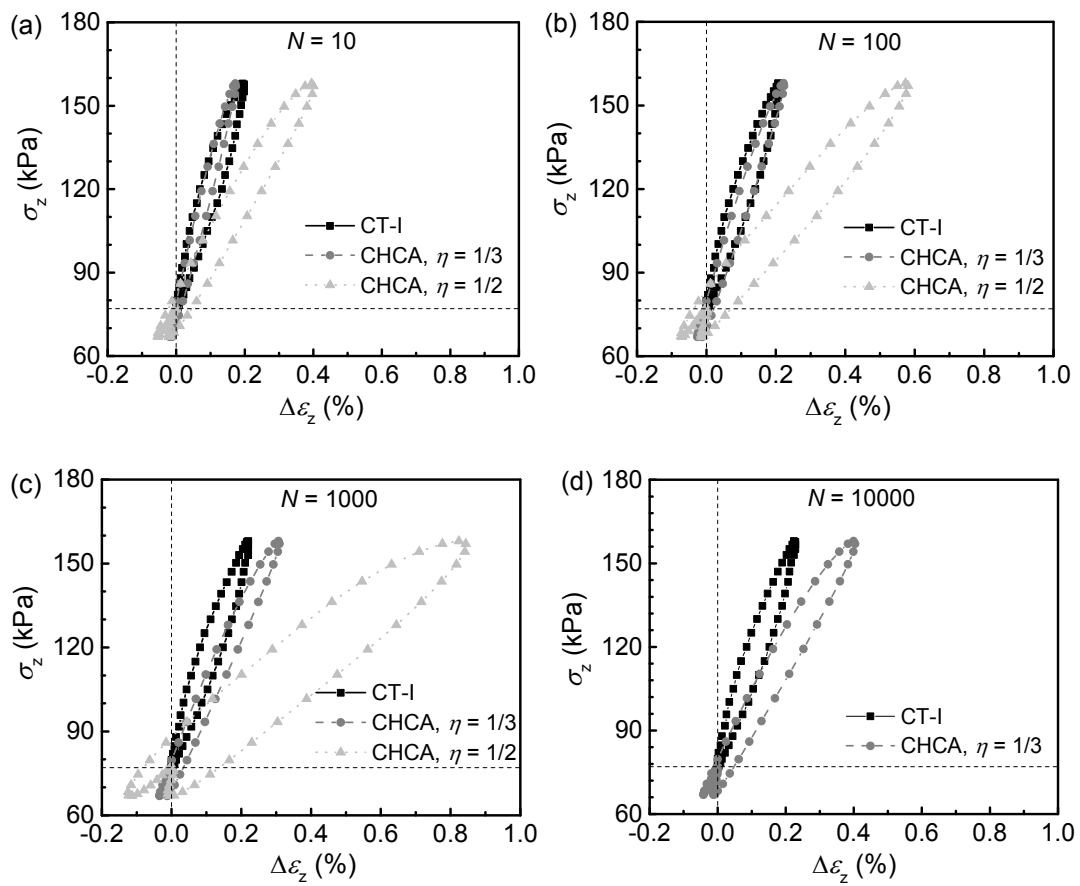


Fig. 11. Vertical hysteresis loops at different cycles in CT-I and CHCA tests with VCSR = 0.343: (a) $N = 10$; (b) $N = 100$; (c) $N = 1000$; (d) $N = 10000$

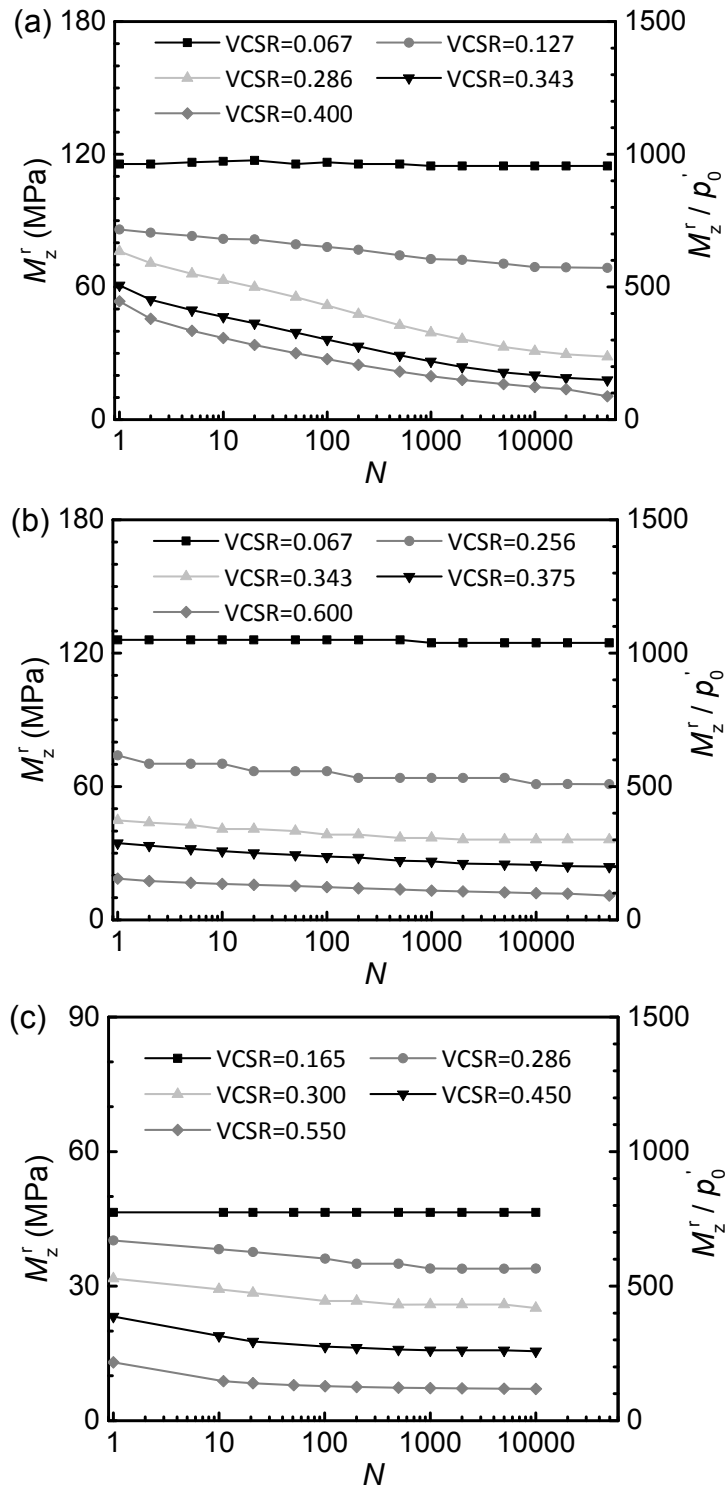


Fig. 12. Variation of normalized resilient modulus with N in three series tests: (a) CHCA tests ($\eta = 1/3$); (b) CT-I tests; (c) CT-II tests

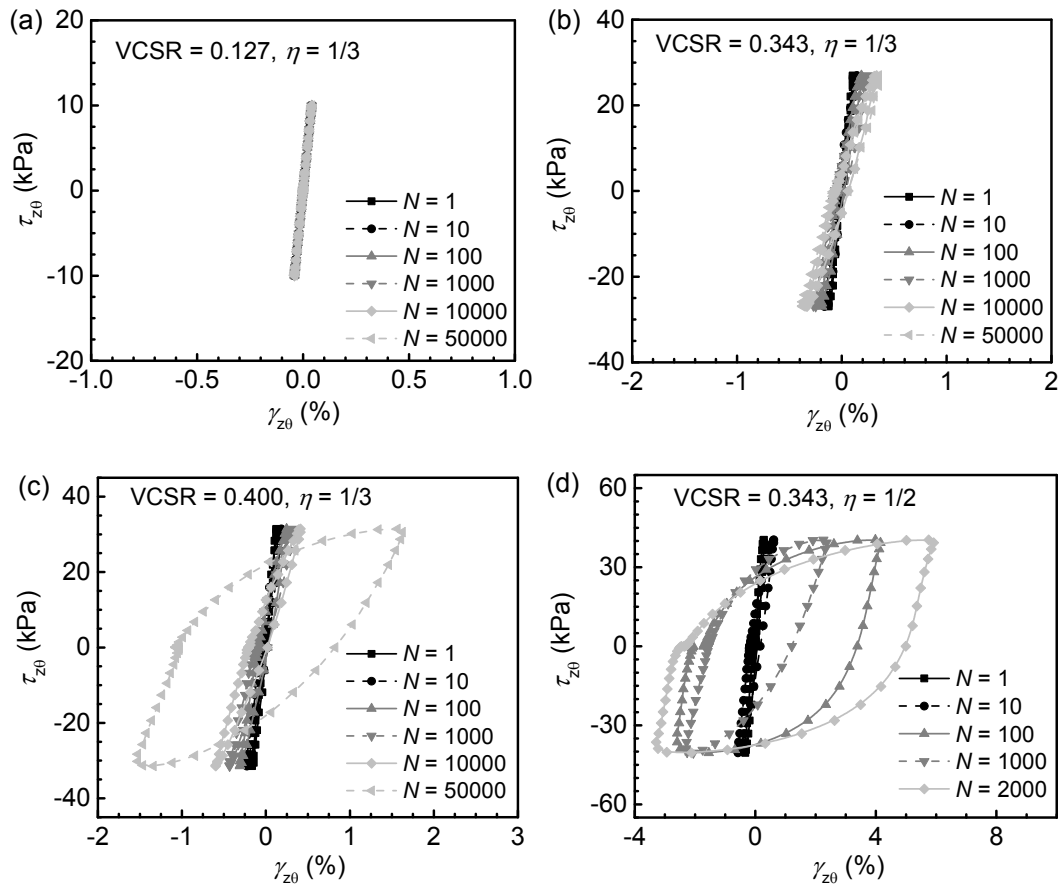


Fig. 13. Typical shear stress-strain hysteresis loops in CHCA tests: (a) VCSR = 0.127, $\eta = 1/3$; (b) VCSR = 0.343, $\eta = 1/3$; (c) VCSR = 0.400, $\eta = 1/3$; (d) VCSR = 0.343, $\eta = 1/2$

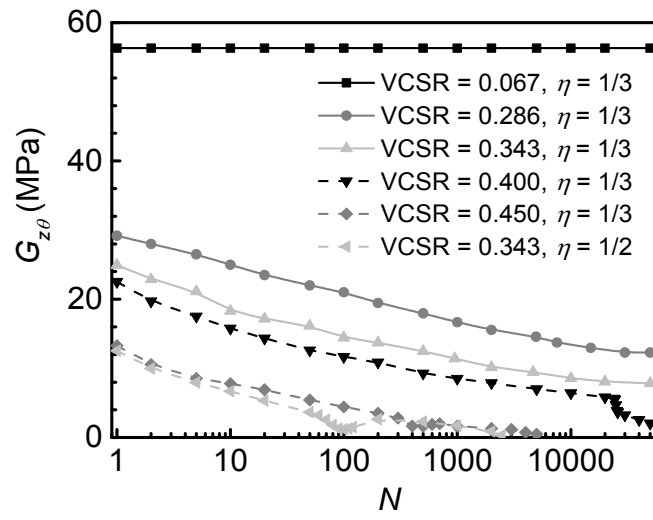


Fig. 14. Variation of $G_{z\theta}$ with N in CHCA tests

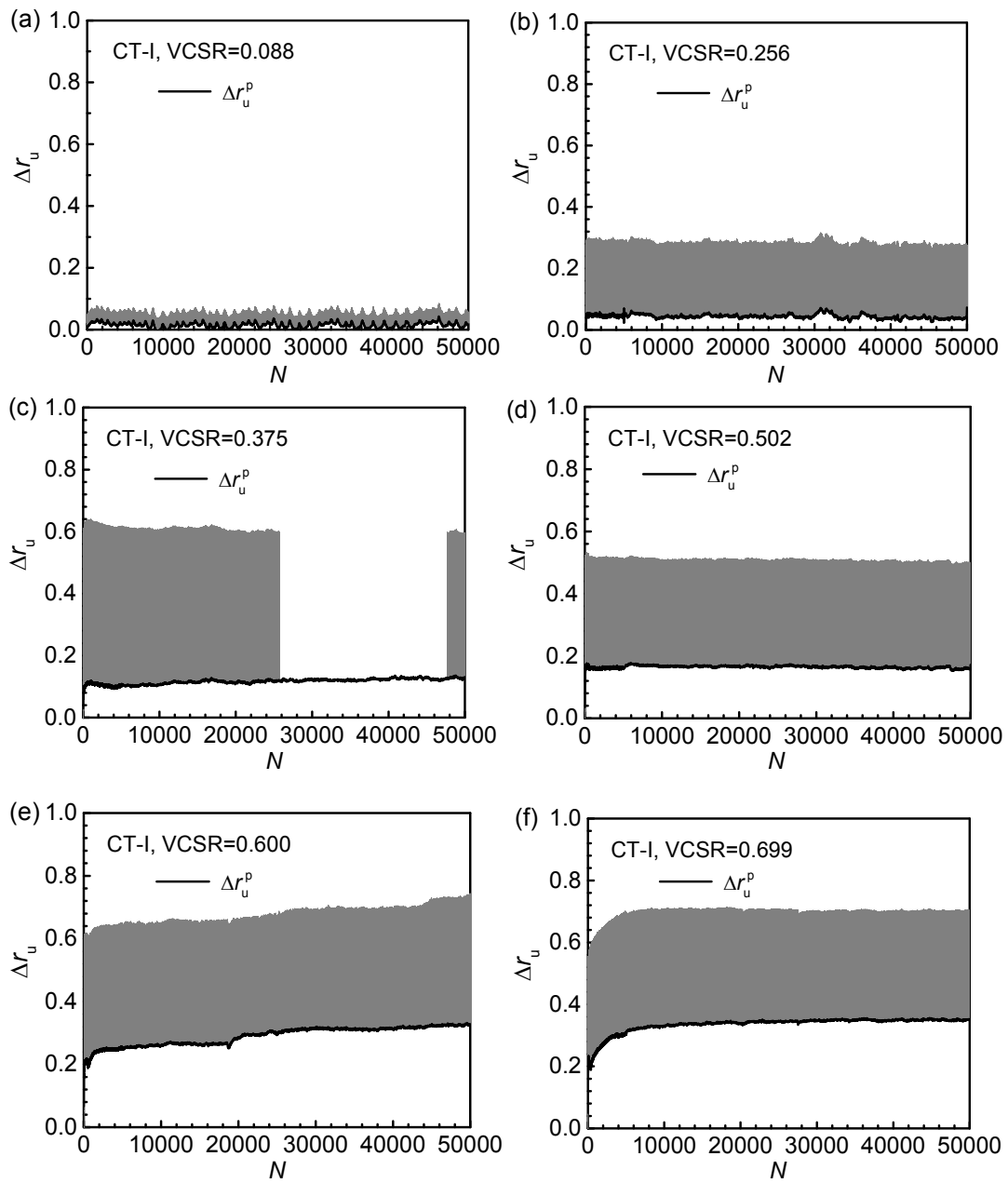


Fig. 15. Pore pressure generation of deep samples in CT-I tests: (a) VCSR = 0.088; (b) VCSR = 0.256; (c) VCSR = 0.375; (d) VCSR = 0.502; (e) VCSR = 0.600; (f) VCSR = 0.699

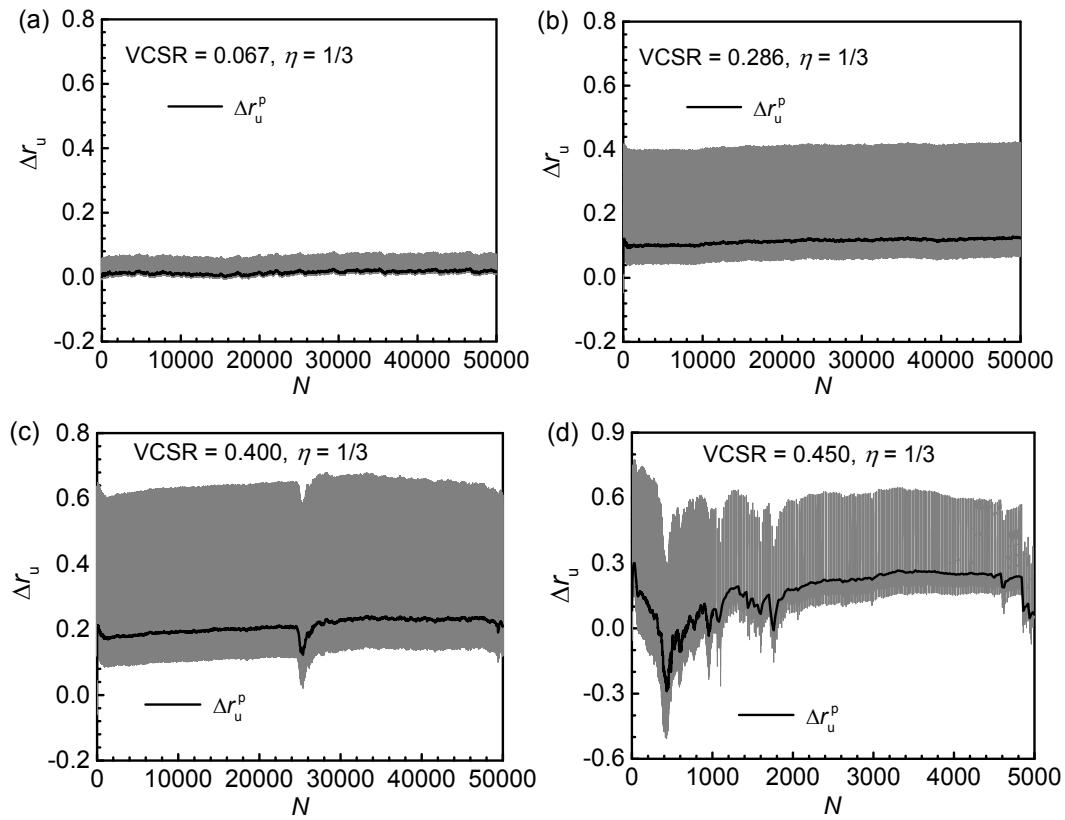


Fig. 16. Pore pressure generation of deep samples in CHCA tests with $\eta = 1/3$: (a) VCSR = 0.067; (b) VCSR = 0.286; (c) VCSR = 0.400; (d) VCSR = 0.450

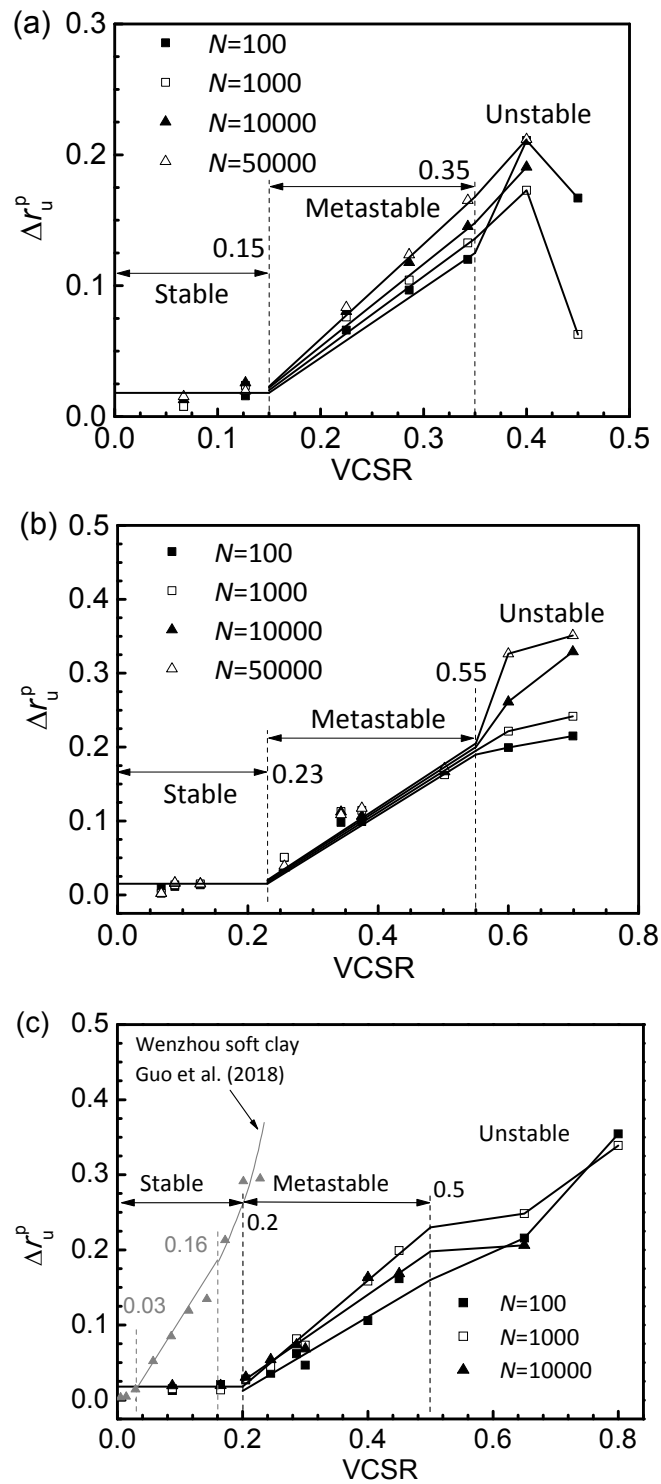


Fig. 17. Permanent pore pressure after different cycles versus VCSR: (a) CHCA series with $\eta = 1/3$; (b) CT-I series; (c) CT-II series

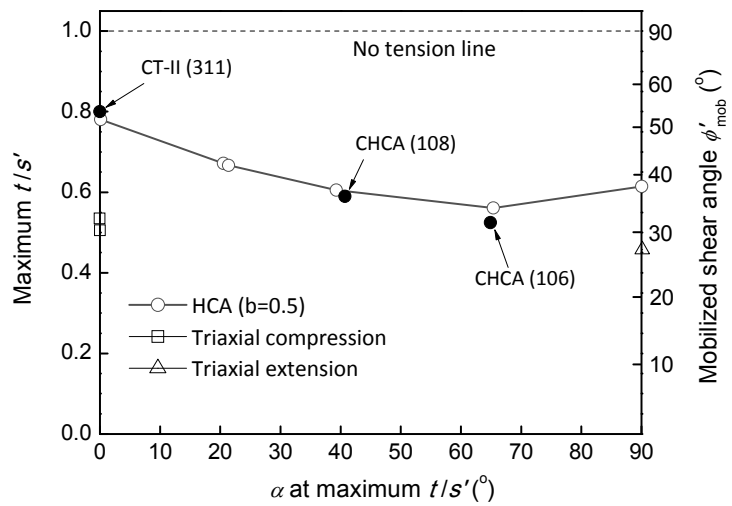


Fig. 18. Variations of maximum stress ratio t/s' with the orientation of major principle stress α covering both the monotonic and cyclic shear tests (Note: hollow symbols represent monotonic test data from Brosse (2012) and solid symbols represent cyclic test data from this study)