# Non-stoichiometry, structure and properties of proton-conducting

### perovskite oxides

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## Abstract

The demand for clean and sustainable energy has garnered great interest in new energy materials. Among them, high temperature proton-conducting perovskite oxides are, or can be widely used in clean energy applications (including fuel cells, electrochemical reactors, solid-state separators and supports of catalytic components via various reduction and oxidation reactions) in the intermediate temperature range. The control of defect chemistry is the main strategy to fine tune properties for these applications. This review provides a critical discussion about non-stoichiometry-structure-property relation in terms of structure distortions by intrinsic octahedral titling and extrinsic acceptor doping, chemical stability, hydration behavior, transport properties, and catalytic effects in some typical classes of proton conducting perovskites and perovskite-related derivatives. A good understanding of A-site and B-site non-stoichiometry is also given to allow the perovskite structure with desired properties.

## 1. Introduction

Oxide ion conductors are considered as conventional separator materials in solid oxide fuel cells (SOFCs). Despite current well-established SOFC technology, operation over a relatively high temperature range (typically 700-1000 °C) is still the major challenge in terms of practical considerations, e.g., materials choice and compatibility, thermal and redox cycles, long-term reliability and cost effectiveness [1-4]. Proton-conducting oxides are expected to be suitable alternatives at intermediate or lower temperatures due to the substantial advantages. The proton transport dominates the conduction mechanism under saturated water vapor atmosphere and in the temperature regime of 300-700 °C [5, 6], exhibiting the activation energy of 0.4-0.6 eV lower than that of oxide ion conductors (0.6-1.2 eV) [7]. Compared to SOFCs, the arrangement of

protonic ceramic fuel cells (PCFCs) allows water production at the air electrode to avoid the dilution of fuel and thus enable more fuel utilization. When PCFCs are operated in reverse, i.e., protonic ceramic electrolysis cells (PCECs), water splitting at the air electrode can alleviate the Ni coarsening and oxidation at the fuel electrode. In the meantime, pure and dry hydrogen produced at the fuel electrode makes a less complicated system to storage hydrogen. Besides as the solid electrolyte for fuel cells and electrolysis cells, proton-conducting ceramics have great potential to serve as supports or reactors in many fields (e.g., ammonia synthesis [8, 9], natural gas upgrading[3, 10], syngas production [11-13], hydrogen pumps [14, 15], hydrogen sensors [16, 17]).

In the past decades, considerable attempts have been devoted to developing protonconduction oxides since Iwahara and colleagues discovered  $A^{2+}B^{4+}O_3$  perovskites (BaCeO<sub>3</sub> and BaZrO<sub>3</sub> based families) with appreciable properties [18]. Disordered, and derivative perovskites also have been well-developed in recent years, including  $A^{1+}B^{5+}O_3$  (KTaO<sub>3</sub>) [19],  $A^{3+}B^{3+}O_3$  (LaInO<sub>3</sub>) [20],  $A_2BB'O_5$  (Ba<sub>2</sub>In<sub>2</sub>O<sub>5</sub>) [21],  $A_2(BB')O_6$ (Sr<sub>2</sub>(ScNb)O<sub>6</sub>) [22] and  $A_3(BB'_2)O_9$  (Ba<sub>3</sub>(CaNb<sub>2</sub>)O<sub>9</sub>) [23, 24]. In all these cases, proton (H<sup>+</sup>) is not an essential constituent in the perovskite structure due to the extremely small proton without electron shell. Instead, a bare proton bonding to a lattice oxygen, denoting proton defect (OH<sub>0</sub><sup>o</sup>), could accommodate the lattice site that fulfills the requirements for proton transport. According to the Grotthuss type mechanism explained by Kreuer [25], a proton migrates from one site to another site in the lattice via trapping and hopping between neighboring O sites under saturated water pressure and lower temperatures, in which mobile protons are incorporated into the lattices by water dissociation filling in oxygen vacancy sites (hydration reaction), as described in Eq.1.

$$H_2O(g) + V_0^{\bullet\bullet} + O_0^{\times} \rightarrow 2OH_0^{\bullet}$$
(1)

Thus, Oxygen vacancies are the necessities for proton transport in proton-conducting oxides, strongly associated with the concentrations of oxygen ions, protons and electrons/ holes charge carriers in different conditions. In case of BaCeO<sub>3</sub>, for example,

oxygen vacancies can either be created by intrinsic octahedral titling [26] or extrinsic acceptor doping [27] (e.g.,  $Y^{3+}$ ,  $Yb^{3+}$ ,  $Gd^{3+}$ ,  $Sm^{3+}$ ,  $Nd^{3+}$ , giving formula: BaCe<sub>1-x</sub>M<sub>x</sub>O<sub>3-x/2</sub>) to stabilize Ce<sup>4+</sup> valence state. The charge neutrality can be compensated in Eq.2. The substitution of trivalent cations for the Ba site (such as La<sup>3+</sup>and Sm<sup>3+</sup>), however, gives rise to a decrease of oxygen vacancy concentration (Eq. 3), thereby causing the suppression of proton transport in the lattice.

$$2Ce_{Ce}^{\times} + O_{O}^{\times} + M_{2}O_{3} \rightarrow 2M_{Ce}^{\prime} + V_{O}^{\bullet \bullet} + 2CeO_{2}$$
<sup>(2)</sup>

$$2Ba_{Ba}^{\times} + M_2O_3 + V_O^{\bullet\bullet} \rightarrow 2M_{Ba}^{\bullet} + O_O^{\times} + 2BaO$$
(3)

Very recently, an electron doping strategy [28] was employed to introduce proton defects into SmNiO<sub>3</sub> perovskite via hydrogenation in the absence of oxygen vacancies (Eq.4), which may be ascribed to the strong electron–lattice coupling in the presence of a Coulomb gap. Though this new finding probably implies the unconventional proton incorporation and diffusion in perovskite oxides, the underlying mechanisms require further investigation in great detail.

$$Ni^{3+} + O_0^{\times} + \frac{1}{2}H_2 \to Ni^{2+} + OH_0^{\bullet}$$
(4)

Even though good progress has also been made in non-perovskite oxides (e.g., pyrochlore-type structure [29] and fergusonite-type structure [30]), current and nearterm research is still geared toward perovskite oxides (BaCeO<sub>3</sub> and BaZrO<sub>3</sub> based systems) due to their superior proton conductivity [3]. A good understanding of proton transport mechanism, synthesis, and applications has been reviewed by Kreuer *et al.* [1, 31], Medvedev *et al.* [32, 33], Marrony *et al.* [34], and other authors [3, 35-37] in this field. Nonetheless, the impact of defect chemistry on structures and properties of perovskite proton conductors is not fully understood and the relation between non-stoichiometry, structure and property requires more discussion. This review aims to establish a clear non-stoichiometry--structure-property relationship in perovskites, providing a critical strategy for rational design and tailoring of new proton-conducting oxides. In order to simplify text proton conductors should be assumed to be the cerate-and zirconate-based perovskite oxides, unless stated otherwise.

# 2. Crystal structure of A<sup>2+</sup>B<sup>4+</sup>O<sub>3</sub> perovskite

Proton-conducting perovskite oxides comprise a large family of A- and B-site doped compositions, accompanied with cation and anion non-stoichiometries. Before discussing them, the basic knowledge of simple perovskite structure should first be understood. A typical ABO<sub>3</sub> perovskite consists of large-sized 12-coordinated  $A^{2+}$  cations occupying the center of a cubic cell (Fig.1(a)), while small-sized 6-coordinated  $B^{4+}$  cations are located at the corner of the unit. The structure also can be represented by 8 cages of corner-shared BO<sub>6</sub> octahedral centering in  $B^{4+}$  cation to form cubo-octahedral cavities (Fig.1(b)) [38]. However, most of them deviate from the ideal cubic perovskite structure (space group,  $Pm\bar{3}m$ ) and show different types of disordered structures. Goldschmidt tolerance factor, *t*, has been widely accepted as a criterion for perovskite deviation, measured by the mismatch between the average equilibrium A-O and B-O bond lengths:

$$t = \frac{r_A + r_O}{\sqrt{2}(r_B + r_O)} \tag{5}$$

Where  $r_A$ ,  $r_B$  and  $r_O$  is the ionic radius of A, B and O ions, respectively. Cubic symmetry is generally obtained in the range of  $0.95 \le t \le 1.04$ . The value of t = 1, the ideal cubic perovskite, is simply calculated from the relationship between the length of face diagonal and the edge of the cube. If t > 1, tensile A-O bonds and compressive B-O bonds result in the displacement of the B-site cation within the BO<sub>6</sub> octahedral or even form hexagonal structure [39].



**Fig.1.** Crystal structure of ideal cubic perovskite: (a) 12-fold coordination of the A-site cation, (b) 8 cages of corner-shared BO<sub>6</sub> octahedral.

The factor t < 1 indicates the cation at the A site is small relative to the cage of the surrounding oxygen ions and BO<sub>6</sub> octahedra, enabling the shortening of A-O bonds. In this case, the octahedral tilting (including in-phase and antiphase tilt) is able to retain the perovskite structure but with lower symmetry. A decrease in the angle of B-O-B bonds from 180° and in the coordination number of the A-site cation from 12 to 8–10 are two possible observations derived from octahedral tilting [38]. Note that the degree of octahedral tilting generally decreases with increasing temperature, i.e., the crystal symmetry is high at high temperatures and defects in perovskites can be created by control of structure tends to reduce in a highly distorted symmetry (e.g., orthorhombic, tetragonal, trigonal structures) with decreasing the tolerance factor (*t*). Until *t* <0.75, the perovskite structure collapses and instead the ilmenite structure forms due to the similar size of A-site and B-site cations.

Here we exemplify BaCeO<sub>3</sub> as a stoichiometric perovskite (ABO<sub>3</sub>) (t=0.94) to dictate the evolution of symmetry structures. Due to the nature of octahedral tilting like GdFeO<sub>3</sub>-type perovskite at lower temperatures, the *Pbnm* settings with  $\sqrt{2}a_p \times \sqrt{2}a_p \times 2a_p$  metric axes ( $a_p \approx 4$  Å) corresponding to b<sup>-</sup>b<sup>-</sup>a<sup>+</sup> tilt system derived from the notation of Glazerunit [40, 41], are employed to demonstrate orthorhombic distortion [42, 43]. Octahedral rotation towards [100]<sub>p</sub>, [010]<sub>p</sub>, [001]<sub>p</sub> directions from primitive cubic perovskite lead to two antiphase ( [100]<sub>p</sub>, [010]<sub>p</sub>) and one in-phase tilting ( [001]<sub>p</sub>, c-axis in *Pbnm*). Both antiphase rotation behaviors could be supposed to be a net tilting towards [110]<sub>p</sub> direction (b-axis in *Pbnm*). Thus, two possible deformation schemes were proposed: a small variation from the shift of an O site towards a B site, as expressed by

$$\gamma_{\rm BO} = \left( \mathbf{B} - \mathbf{O}_{\rm long} \right) / \left( \mathbf{B} - \mathbf{O}_{\rm short} \right) \tag{6}$$

and a distortion in basal plane edge lengths,

$$\gamma_{\rm O2-O2} = (O2 - O2_{\rm long}) / (O2 - O2_{\rm short})$$
 (7)

Knight *et al.* [44, 45]explicated crystallography of BaCeO<sub>3</sub> polymorphs from high-resolution neutron power diffraction data by using a setting of space group No.62,

*Pmcn* ( $a^+b^-b^-$  system, axial setting  $2a_p \times \sqrt{2}a_p \times \sqrt{2}a_p$ ). The results imply that the unit cell is orthorhombic (*Pmcn*,  $a^+b^-b^-$ ) at room temperature, and undergoes three phase transitions upon heating: firstly losing an in-phase tilt to body-centered orthorhombic (*Incn*,  $a^0b^-b^-$ ) at about 300 °C, then gaining a tilt to rhombohedral (*F*- $\overline{3}2/n$ ,  $a^-a^-a^-$ ) at about 400 °C, and finally to cubic aristotype (*Pm3m*,  $a^0a^0a^0$ ) at approximately 900 °C [45, 46]. The unusual phase transformation from *Incn* to *F*- $\overline{3}2/n$  was also reported to increase the octahedral titling with ramping temperature, which is contradictory to general concept, as presented in Fig.2. Moreover, this phase transition is considered to be first order due to the absence of group-subgroup relationship, along with approximately 0.5% volume change, and the rest belongs to second order transitions [47]. To avoid misleading the use of space group, it should be mentioned that the space groups of *Pmcn*, *Incn*, *F*- $\overline{3}2/n$  and *Pm3m* determined by Knight are corresponding to the standard settings of *Pnma*, *Imma*, *R3c* and *Pm3m* space groups, respectively.



Fig.2. Schematic of BaCeO<sub>3</sub> phase transitions.

Compared to multiple structural phase transitions in BaCeO<sub>3</sub>, diffraction techniques indicate the cubic phase of BaZrO<sub>3</sub> perovskite remains down to T=0 K, as sufficient quantum fluctuations in BaZrO<sub>3</sub> can suppress the transition [48, 49]. While several DFT calculations imply a very weak out-of-phase antiferrodistortive transition in BaZrO<sub>3</sub> associated with rigid rotations of the ZrO<sub>6</sub> oxygen octahedra. This is primarily due to different exchange-correlation functionals used in the calculations. Indeed, BaZrO<sub>3</sub> with a tolerance factor of 1.01, a bit larger than unity [50], has the lowest distortion and largest cell volume among those proton-conducting perovskites, which is strongly related to the chemical stability and conductivity.

In addition, BaCeO<sub>3</sub> also shows superior conductivity than SrCeO<sub>3</sub> in the presence of the phase transition at *ca*. 300 °C, with a remarkable increase in octahedral titling occurs from orthorhombic to rhombohedral phase. While SrCeO<sub>3</sub> has no structure phase change until the temperature up to 1000 °C [51]. The distinct difference is probably ascribed to the smaller ion radii of Sr<sup>2+</sup> (1.26 Å) than that of Ba<sup>2+</sup> (1.42 Å), which results in a strong strain from large tilting of CeO<sub>6</sub> octahedra in SrCeO<sub>3</sub>.

### 3. Defect chemistry influence on structure

Pristine ABO<sub>3</sub> perovskites (A=Ca, Ba, Sr; B=Ce, Zr) show poor proton conduction due to low intrinsic oxygen vacancies. In this regard, defect chemistry in perovskite oxides associated with cation and oxygen non-stoichiometries, plays a crucial role on microstructures and cell parameters, which in turn substantially affect proton transport properties. In this section we place an emphasis on the impact of defect chemistry on structural distortion and parameters, and discuss a little about correlated proton conductivity. Some Kröger–Vink notations are used to interpret these variations.

### 3.1 A-site non-stoichiometry

Undoped BaCeO<sub>3</sub> may accommodate Ba deficiency since high temperature sintering can exacerbate Ba evaporation, as determined by Shima and Haile [52]. Meanwhile, small Ba loss at high temperatures enables the formation of an A-site-cation deficient perovskite and, however, can facilitate the partial decomposition of perovskite structure [53]. As a result, measurable quantities of CeO<sub>2</sub> segregate from Ba<sub>x</sub>CeO<sub>3- $\delta$ </sub> (0.96  $\leq x < 1$ , even with 1mol% Ba deficiency), rendering an unstable perovskite phase. A similar study investigated by Ma *et al.* [54] suggested that compositions with 0.95  $< x \leq 1.10$  showed a single orthorhombic perovskite, while the composition with x  $\leq$  0.95 showed the mixed phases of perovskite and CeO<sub>2</sub>. A slight deviation of results can be ascribed to different fabrication and annealing processing, leading to subtle variations in the chemistry or microstructure. One can consider Ba deficiency corresponds to Ce excess relative to stoichiometric composition such that four possible defect reactions can be provided to explain CeO<sub>2</sub> segregation in undoped

compositions. Eq. 8-10 are not taken into account, because in Eq.8 highly charged Ce interstitials and O interstitials are unfavorable due to a decrease in unit cell volume with increasing Ba deficiency, as shown in Fig. 3(b); In Eq.9 the ion radius of  $Ba^{2+}$  is too big and thus undoped BaCeO<sub>3</sub> is unlikely to accommodate both barium and oxygen vacancies simultaneously; In Eq.10 O interstitials are impossible in oxygen non-stoichiometric compositions. Thus, the alternative approach tends to the slightly partial decomposition of barium cerate to BaO and CeO<sub>2</sub>, as given in Eq.11.

$$\operatorname{CeO}_2 \to \operatorname{Ce}_i^{\text{max}} + \angle O_i^{\prime\prime}$$
 (8)

$$\operatorname{CeO}_{2} \to \operatorname{Ce}_{\operatorname{Ce}} + 2\operatorname{O}_{\operatorname{O}} + \operatorname{V}_{\operatorname{Ba}}'' + \operatorname{V}_{\operatorname{O}}^{\operatorname{II}}$$

$$\tag{9}$$

$$2\text{CeO}_2 \rightarrow \text{Ce}_{\text{Ce}} + \text{Ce}_{\text{Ba}}^{\text{II}} + \mathcal{IO}_{\text{O}} + \text{O}_{\text{i}}''$$
(10)

$$Ba_{Ba}^{\times} + Ce_{Ce}^{\times} + 3O_{O}^{\times} \rightarrow CeO_{2} + 2BaO \uparrow$$
(11)

$$2BaO \rightarrow Ba_{Ba} + Ba_{Ce}'' + 2O_O + 2V_O^{\bullet\bullet}$$
(12)

Analogous to Ba-deficient BaCeO<sub>3</sub>, undoped BaZrO<sub>3</sub> is also unable to tolerate Ba deficiency [55]. Nevertheless, small amount of excess Ba can occupy the perovskite structure of both undoped BaCeO<sub>3</sub> and BaZrO<sub>3</sub>, as shown in Fig.3(a). Despite the great size mismatching of 6-coordinated Ba<sup>2+</sup> (1.35 Å) and Ce<sup>4+</sup>(0.87 Å), a small portion of excess BaO is expected to incorporate into the B site, which is similar to the substitution of trivalent dopants (such as Y, Yb and Gd). Such replacement of Ce with Ba is verified by an increase of unit cell volume with increasing Ba concertation (Fig. 3b). Also, the incorporation of BaO increases the concentration of oxygen vacancies via Eq.12 and thereby exhibits higher conductivity compared to stoichiometric composition. Alternatively, most of excess Ba is assumed to accumulate in grain boundary regions and promote grain growth and densification during high temperature sintering, which also accounts for the enhanced conductivity [56].



**Fig. 3.** (a) XRD patterns of Ba<sub>x</sub>CeO<sub>3- $\delta$ </sub> (0.96  $\leq x \leq 1.1$ ) at room temperature [54]. (b) Unit cell volumes of Ba<sub>x</sub>CeO<sub>3- $\delta$ </sub> and Ba<sub>x</sub>CeO<sub>3- $\delta$ </sub> (0.96  $\leq x \leq 1.04$ ) compositions upon calcining (1300 °C) and sintering (1600 °C) [52].

The fluorite phase, however, may emerge in the non-stoichiometry of doped BaCeO<sub>3</sub> and BaZrO<sub>3</sub> compositions (Ba deficiency and Ba excess) depending on the nature of acceptor dopant and its concentration, as determined in Ba<sub>x</sub>Zr<sub>0.85</sub>Y<sub>0.15</sub>O<sub>3- $\delta}$  (*x* = 0.96-1.04) [55], Ba<sub>x</sub>Ce<sub>0.85</sub>M<sub>0.15</sub>O<sub>3- $\delta$ </sub> (*x* = 0.85-1.20; M = La, Sm, Nd, Gd, Yb) [57, 58], Ba<sub>x</sub>Ce<sub>0.50</sub>Zr<sub>0.40</sub>Y<sub>0.10</sub>O<sub>3- $\delta$ </sub> (*x* = 0.95-1.05) [59], Ba<sub>x</sub>Ce<sub>0.7</sub>Zr<sub>0.1</sub>Y<sub>0.1</sub>Yb<sub>0.1</sub>O<sub>3- $\delta$ </sub> (*x* = 0.9-1.1) [60]. This means that B-site acceptor doping is capable of accommodating A-site deficiencies and excess, even with 4 mol% nominal Ba deficiencies and excess in doped compositions. In this scenario, dopants at the B site appear to partially incorporate into the A site so that the dopant partitioning could stabilize the perovskite in compensation for Ba deficiency (or Ba loss) and result in the decrease in cell constant with increasing deficiency concentration (in Fig. 3b). Such a cross-substitution approach will be discussed in section 3.3. In addition, Yamazaki *et al.* [61] observed that the measured stoichiometries of Ba-deficient Ba<sub>1-x</sub>Zr<sub>0.8</sub>Y<sub>0.2</sub>O<sub>3- $\delta$ </sub> compositions were slightly smaller by *ca.* 0.02 than nominal values, despite that measures were taken to prevent Ba evaporation. If *x* is further increased, the cubic phase could hold up to the Ba deficiency</sub>

of 0.06 and then the zirconium-doped yttrium phase emerged in the Ba-deficient range of  $0.08 \le x \le 0.2$ . If x increases at a higher level from 0.3 to 0.4, the yttrium-doped zirconia phase appeared, the lattice constant of which is comparable to that of undoped barium zirconia, suggesting a successive segregation of yttria with increasing x. Therefore, it is very important to control the stoichiometry of cations and dopants to obtain desirable perovskite structure and proton conduction. In contrast to Ba nonstoichiometry,  $Sr_{1+x}CeO_{3-\delta}(-0.02 \le x \le 0.04)$  seemed to be a single crystal structure and Sr content was more coincident with the nominal stoichiometry, as determined by ICP-AES [62]. The examination of strontium deficiency indicated that  $Sr_{1-x}Ce_{0.9}Yb_{0.1}O_{3-\delta}$  compositions in the range of  $0 \le x \le 0.06$  showed the orthorhombic structure, in which in-phase and out-phase tilting in the superlattice were confirmed by SEAD, based on complex octahedral tilting using the a 'b<sup>+</sup>a' settings (space group *Pnma*). And this type of defect was further confirmed by twinning and anti-phase boundaries along [010]<sub>p</sub> zone axis in HRTEM image [63].

Meanwhile, Kruth *et al.* [64] found anomalous structural variations in refined unit cell parameters when in comparing three A-site-deficient cerate systems, including Ba<sub>1- $\delta$ </sub>Ce<sub>0.9</sub>Y<sub>0.1</sub>O<sub>2.95- $\delta$ </sub>, Sr<sub>1- $\delta$ </sub>Ce<sub>0.9</sub>Gd<sub>0.1</sub>O<sub>2.95- $\delta$ </sub>, and Ba<sub>1- $\delta$ </sub>Ce<sub>0.9</sub>Yb<sub>0.1</sub>O<sub>2.95- $\delta$ </sub>, as presented in Fig.4. Compared to doped barium cerate, doped strontium cerate showed greater orthorhombic distortion, despite different acceptor dopant incorporation. In all three cases, the anomalous variation of structure parameters occurred close to an oxygen stoichiometry of 2.94, but with some extent of deviation from Vegard's law. In this law, the unit cell volume should vary in linearly with composition variations over the entire range of solid solutions. Such nonsystematic behaviors in Fig. 4, nonetheless, are probably induced by lower crystal symmetry due to the size mismatch of dopants at the B site. BaCe<sub>0.9</sub>Y<sub>0.1</sub>O<sub>2.95</sub> with tolerance factor of 0.938, for example, is more slightly distorted with respect to *t* = 0.940 for BaCeO<sub>3</sub>. Another possible assumption is that the high concentration of oxygen vacancies facilitates the oxygen vacancies ordering in local structure, forming a different superstructure at certain doping levels [65]. Further evidence indicates that this abnormal change not only occurs in A-site-deficient

situation, but also in B-site-substitution and dual-doping cases. A reasonable scenario is the formation of defect clusters or associations (such as  $[Y'_{Ce}-V^{\square}_{O}-I_{Ce}]^{\times}$  and  $[Y'_{Ce}-V^{\square}_{O}]$ ) accounts for the non-linear dependence. Thus, the strain-tension balance existing in high distortion of the octahedral is probably driven by oxygen defects, structure disordering and defect associations. No matter what the main contribution is, these different defect mechanisms interplay and dominate in different compositional ranges, giving rise to the abnormal lattice change at a constant oxygen deficiency.



**Fig.4.** Unit cell parameters and volume dependence of A-site deficiency ( $\delta$ ): (a) Ba<sub>1- $\delta$ </sub>Ce<sub>0.9</sub>Y<sub>0.1</sub>O<sub>2.95- $\delta$ </sub>, (b) Sr<sub>1- $\delta$ </sub>Ce<sub>0.9</sub>Gd<sub>0.1</sub>O<sub>2.95- $\delta$ </sub> and Ba<sub>1- $\delta$ </sub>Ce<sub>0.9</sub>Yb<sub>0.1</sub>O<sub>2.95- $\delta$ </sub> [62].

#### 3.2 B-site substitution

The substitution of divalent or trivalent cations at the B site can create more oxygen vacancies (acceptor doping) in lattices as a result of charge compensation (Eq.2), associated with structure distortion. Most of crystal structure retain pseudo-cubic symmetry or orthorhombic distortion with increasing concentration of dopants at the B site up to 20 mol% [46, 66-69], despite some observations that acceptor doping exerts the formation of tetragonal or trigonal distortion [70], likely due to using lower-resolution neutron and X-ray powder diffraction data. That is, the effects of B-site doping on crystal phases and defect structures of proton-conducting perovskites are still in dispute.

Knight *et al.* [68, 71] investigated several doped strontium and barium cerate (BaCe<sub>0.9</sub>Y<sub>0.1</sub>O<sub>2.95</sub>, BaCe<sub>0.9</sub>Gd<sub>0.1</sub>O<sub>2.95</sub>, BaCe<sub>0.85</sub>Pr<sub>0.15</sub>O<sub>3</sub> and SrCe<sub>0.95</sub>Y<sub>0.05</sub>O<sub>2.975</sub>) with the refinement of high-resolution neutron powder diffraction. All of them were corresponding to orthorhombic space group *Pmcn* with GdFeO<sub>3</sub> structure, and the cation substitution had slight effect on structure parameters, showing good matching

between dopants and B-site cations (for example, the ionic radius of Y<sup>3+</sup>, Gd<sup>3+</sup> andCe<sup>4+</sup> in 6-fold coordination is 0.90, 0.94 and 0.87 Å, respectively). The main difference is that doped strontium cerate shows a great distortion by octahedral titling. Subsequently, Knight et al. [65] further verified the crystal structure was independent of doping levels in BaCe<sub>1-x</sub>Nd<sub>x</sub>O<sub>3- $\delta$ </sub> compositions ( $0 \le x \le 0.2$ ). However, Takeuchi *et al.* [69] reported that BaCe<sub>1-x</sub>Y<sub>x</sub>O<sub>3- $\delta$ </sub> compounds ( $0 \le x \le 0.3$ ) had orthorhombic *Pmcn* for  $x \le 0.1$  and rhombohedral  $R\overline{3}c$  for  $x \ge 0.15$ . Kruth *et al.* [46] argued that over solid solution limit range, all compositions exhibited primitive orthorhombic and the phase transition was not observed, which is in accord with the results of Knight et al.. The contradictory results from Takeuchi et al. are primarily related to the nature of dopants, samples pretreatment and exposure to different atmospheres. Moreover, an axial metric of a>b was further observed in refined unit cell parameters of BaCe<sub>0.9</sub>Y<sub>0.1</sub>O<sub>2.95</sub> on basis of orthorhombic *Pbnm* setting conducted by Kruth *et al.* [42], as exhibited in Fig.9 (x=0). This suggests that the BO<sub>6</sub> octahedral is highly enlarged along the *a*-axis. The calculated orthorhombic strain (Eq.6),  $\gamma$ , is greatly negative, indicative of a highly distorted structure. This is because the regular octahedral along *b*-axis results in the metric of b>a and shows a positive value. The calculated angles of Ce/Y-O1-Ce/Y(c-axis) and Ce/Y-O2-Ce/Y (ab plane) show a large deviation from 180° and the octahedral rotates preferentially in the  $[001]_p$  direction than in the  $[110]_p$  plane.

Amsif [72] conducted a detailed study of the effects of rare-earth doping on structure parameters and proton mobility of BaCe<sub>0.9</sub>Ln<sub>0.1</sub>O<sub>3- $\delta$ </sub> (Ln= La, Nd, Sm, Gd, Yb, Tb and Y). The free volume, referring to the subtraction between the lattice unit cell volume and the ionic volume, shows an increasing linear dependence of the radius of dopant ions in Fig.5, whereas the tolerance factor decreases with the increasing ionic radius. Generally, more free volume can allow for more oxygen vacancies accommodation, while lower octahedral distortion favors oxygen ion and proton transport within perovskite structure. Such an opposite trend further demonstrates that the limited proton transport is a tradeoff between ion radii and its intrinsic chemical properties (such as electron structure and electronegativity). It can be seen that Gd and Sm doping near the intersection of two curves are reported to show highest total

conductivity among the same doping level compared to other rare earth substitutions [73, 74]. Further results of lattice parameters and distortions on BaCe<sub>0.9</sub>Ln<sub>0.1</sub>O<sub>3- $\delta$ </sub> (Ln= Nd, Sm, Gd, Dy, Yb and Y) compositions indicate that the lattice spaces along *b*- and *c*-axis are constant irrespective of ion radii of dopants, while the values along a-axis increase with ion radii of dopants, responsible for the crystal deformation and oxygen vacancies contribution, leading to higher total conduction [75].



**Fig.5.** Dependence of free volume and tolerance factor on dopant ion radius in  $BaCe_{0.9}Ln_{0.1}O_{3-\delta}$  compositions [72].

There is evidence in analysis of Extended X-Ray Absorption Fine Structure (EXAFS) [76] that ionic size matching is not the necessary criterion for dopant accommodation at the B site. For instance, the ion radii of dopant  $In^{3+}(0.80 \text{ Å})$ ,  $Y^{3+}(0.90 \text{ Å})$  and  $Gd^{3+}$  (0.938 Å) are smaller, nearly equal and larger than that of  $Ce^{4+}(0.87 \text{ Å})$ , respectively.  $Gd^{3+}$  and  $Y^{3+}$  dopants are found to have limited solubility in BaCeO<sub>3</sub>, triggering local perturbation in host matrix to produce high disorder structure. While dopant  $In^{3+}$  with the complete solubility arranges the reorganization of the host lattice in a highly symmetrical coordination around local dopant site.

In case of doped BaZrO<sub>3</sub>, the site selectivity of Sc, Y, Sm, Eu, and Dy dopants into A site and B site was investigated by Han *et al.*[77-79]. Given that the ionic radii of cations in 6-fold coordination of  $Y^{3+}$  (0.900 Å),  $Dy^{3+}$  (0.912 Å),  $Eu^{3+}$  (0.947 Å) and  $Sm^{3+}$  (0.958 Å) are much larger than that of the Zr<sup>4+</sup> cation (0.72 Å), and ionic radii in 12-fold coordination ( $Y^{3+}$  (1.251 Å),  $Dy^{3+}$  (1.255 Å ) and  $Eu^{3+}$  (1.295 Å)) are by close

orders of magnitude smaller than that of  $Ba^{2+}$  cation (1.61 Å), Y, Sm and Eu dopants appear to mainly occupy B-site and show a partial A-site occupation, as well as the expansion of lattice volume with the increasing dopant concentration (Fig.6). While for doping of Sc, since the radius of the Sc<sup>3+</sup> cation (0.745 Å) is close to that of the Zr<sup>4+</sup> cation, the occupancy entirely lie in the B site and the lattice volume shows no obvious change.



**Fig.6.** The lattice volumes of the samples of BaZr<sub>1-y</sub>M<sub>y</sub>O<sub>3- $\delta$ </sub> (M = Sc, Y, Sm, Eu, Dy;  $0 \le x \le 0.2$ ) sintered at 1600 °C for 24 h [77].

It should be concerned that, generally, the maximum value of these dopants to achieve the highest conductivity is up to 15-25 mol% depending on the nature of dopants. For example, a typical composition of BaZr<sub>0.8</sub>Y<sub>0.2</sub>O<sub>3- $\delta$ </sub> with the highest hydration contains 0.2 protons per unit cell, indicating only 6.7% of the oxygen atoms as proton defects. The other oxygen atoms contribution is ascribed to M-O-M bridges (M =Zr or Y) [80]. This implies that only low effective proportion of oxygen vacancies can facilitate proton migration. Kilner *et al.* [81] and Mather *et al.* [82] suggested that the presence of maximum concentration was related to the formation of associated defect pairs between a neighboring dopant ions and oxygen vacancies or neutral trimer clusters. Typical dopant-vacancy association reactions of Y-doped barium zirconate are expressed as follows:

$$Y'_{Zr} + V_0^{\bullet \bullet} \rightarrow [Y'_{Zr} - V_0^{\bullet \bullet}]^{\bullet} \quad \text{defect pairs}$$
(13)

$$Y'_{Zr} + V_0^{\bullet \bullet} + Y'_{Zr} \rightarrow [Y'_{Zr} - V_0^{\bullet \bullet} - Y'_{Zr}]^{\times} \text{ neutral trimer clusters}$$
(14)

The trapped oxygen vacancies in the dopant-vacancy associations are difficult to

participate in proton transport, which in turn lower the concentration of free oxygen vacancies, which is analogous to the concept of free volume. The evidence of the minimum activation energy is correlated with the maximum proton conductivity as the dopant content increases, which is inspired from the ceria solid solution investigated by Faber *et al.* [83]. With higher dopant levels, more associated defects tend to give rise to an ascending activation energy (Fig.7). Recently, Yamazaki *et al.* [80] also noted that protons had to overcome both proton-dopant associated energy (29 kJ mol<sup>-1</sup>) and activation energy (16 kJ mol<sup>-1</sup>) to achieve long-range transport motion. An extended range of  $0.2 \le x \le 0.6$  in BaZr<sub>1-x</sub>Y<sub>x</sub>O<sub>3-δ</sub> composition investigation [84] indicates larger proton uptake but lower conductivity with increasing yttrium content. This means that the proton conductivity is not equal to proton uptake, in good agreement with proposed defect association mechanisms. This insight provides a motivation to seek suitable alternative dopants with reduced association energies to obtain higher conductivity.



Fig.7. Activation energy as a function of dopant concentration in ceria solid solution [83].

### 3.3 Cross substitution

Cation cross-substitution may occur in A-site defects stemming from A-site

deficiency or cations evaporation, in which the acceptor dopant at the B site is acceptable to partially incorporate into A site. Such undesirable accommodation mechanisms seem to be plausible at the expense of oxygen vacancies to stabilize the perovskite phase. In case of  $Ba_xCe_{1-y}Y_yO_{3-\delta}$ , the defect reaction is expressed in Eq. 15 or Eq. 16.

$$2Ba_{Ba}^{\times} + Y_2O_3 + V_O^{\bullet\bullet} \rightarrow 2Y_{Ba}^{\bullet} + O_O^{\times} + 2BaO \uparrow$$
(15)

$$2\text{CeO}_2 + Y'_{\text{Ce}} + V_{\text{O}}^{\bullet\bullet} \to Y_{\text{Ba}}^{\bullet} + 2\text{Ce}_{\text{Ce}} + 4\text{O}_{\text{O}}^{\times}$$
(16)

The dopant partitioning over A and B sites is strongly dependent on the nature of dopant ions, as well as its ion radii. For example, the size of 12-coordinated  $Y^{3+}(1.25 \text{ Å})$  is *ca.* 29% smaller than that of 12-coordinated Ba<sup>2+</sup>(1.61 Å) and by *ca*. 30% larger than Ce<sup>4+</sup> (0.87 Å) in 6-fold coordination. Thus, size mismatching of  $Y^{3+}$  at the A and B site is comparable, making cation cross-substitution possible. Recent atomistic studies [85, 86] revealed that the solution energy of dopant partitioning into A site was about 2-3 times larger than that of the dopant occupying the B site. The calculated solution energies mean that rare earth cations are mainly accommodated at the B site and prefer to partition into the A site with increasing the ionic size. Among them, Nd is considered to be a promising partitioning dopant with the lowest positive reaction energy (Fig.8). Note that trivalent dopants should accommodate preferentially at the B site due to the large-size requirement for the B site. Some other experimental and computational results suggest that alkali metals (K, Na, Rb, Cs) may be as efficient A-site acceptor dopants in BaZrO<sub>3</sub> to reduce complex formation between the acceptors and the mobile protons and thus impede partitioning in perovskite oxides [87-89].



**Fig.8.** Solution energies of different ion sizes for trivalent dopants on Ba and Ce site in orthorhombic BaCeO<sub>3</sub>[85].

The strategy of co-doping with  $La^{3+}$  at the A site and  $Y^{3+}$  at the B site, giving the formula of Ba<sub>1-x</sub>La<sub>x</sub>Ce<sub>0.9-x</sub>Y<sub>0.1+x</sub>O<sub>2.95</sub> ( $0 \le x \le 0.15$ ) compositions, is taken to suppress  $Y^{3+}$  dopant cross-substitution into A site and thereby retain a maximum oxygen vacancy concentration in the lattice [42]. The results of refined unit parameters plotted in Fig.9(a) indicates that the stretch of  $CeO_6$  octahedral reduces along the a-axis with doping x. In Fig.9(b), the Ce/Y-O2-Ce/Y bond angles decrease to minimum at 0.05 and then increases with further doping. This implies that for  $0 \le x \le 0.05$ , octahedral titling increases in [110]<sub>p</sub> (ab plane) and reduces after binary join of 0.05. While octahedral rotation decreases monotonically along [001]<sub>p</sub> (c-axis) with doping, as evidenced by reduced Ce/Y-O1-Ce/Y bond angles. The orthorhombic strain,  $\varepsilon$ , is found to increase with increasing x in Fig.9(c), which means that a reversed axis is observed from a > bto b > a. Furthermore, the octahedral basal plane (*ab* plane) elongation,  $\gamma$ , is significant in the compositional range of  $0 \le x \le 0.05$  in Fig.9(d). Such these evidences indicate that the octahedral elongation along c-axis and distortion of octahedral plane are competing in co-doping compositions. For  $0 \le x \le 0.05$ , the deformation of *ab* plane is more significant. If high doping levels are increased (x > 0.05), the octahedral titling along *c*-axis is dominated. These structural variations suggest that two competing

distortion mechanisms occur over the compositional range, which has significant influence on the oxygen vacancy concentration, as well as the protonic defect concentration.



**Fig.9.** Structure parameters of  $Ba_{1-x}La_xCe_{0.9-x}Y_{0.1+x}O_{2.95}$  with dependence of composition *x*. (a) unit cell parameters, (b) variations of bond angles, (c) Orthorhombic strain, (d) Octahedral deformation [42].

### 4. Defect Chemistry in relation to its properties

The tailoring of cation non-stoichiometry, oxygen vacancies, proton defects, as well as electronic holes in oxides are primary strategies to manipulate functional properties (such as proton transport, chemical stability and electrochemical catalysis) for proton-conducting applications. A fundamental understanding of compositionstructure-property relationship is still incomplete, making it difficult to tune existing materials.

### 4.1 Transport properties

### 4.1.1 Non-stoichiometry at A and B sites

Numerous efforts have been devoted to exploring transport behaviors of SrCeO<sub>3</sub>, BaCeO<sub>3</sub> and BaZrO<sub>3</sub> based perovskites, particularly in acceptor-doped compositions. The strong effects of A-site-cation deficiency and excess indicate that undoped BaCeO3 and BaZrO<sub>3</sub> compositions with small Ba deficiencies show slight or similar conductivity as stoichiometric ones, whereas the conductivity is significantly increased in Ba-excess compositions, with their Ba-grain boundary enrichment, as dictated in section 3.1. However, varying trends in conduction properties are observed in different acceptor-doped compositions. The proton conductivity of Gd-doped barium cerate, Ba<sub>x</sub>Ce<sub>0.85</sub>Gd<sub>0.15</sub>O<sub>3- $\delta$ </sub> (0.96  $\leq x \leq 1.04$ ), was reported to increase with increasing Ba content in H2O-saturated argon atmospheres at 500 °C, in which the presence of CeO2 precipitates in 4 mol% Ba-deficient composition significantly lowered the conductivity by a half order of magnitude compared to stoichiometric compositions [52]. Whereas the composition of 5 mol% Ba deficiency in Y-doped barium cerate (Ba<sub>x</sub>Ce<sub>0.9</sub>Y<sub>0.1</sub>O<sub>3-δ</sub>,  $0.80 \le x \le 1.20$ ) showed the highest conductivity in the temperature range of 600-800 °C, regardless of atmosphere dependence [90]. Proton and total conductivity of  $Ba_x Ce_{0.97}Nd_{0.03}O_{3-\delta}$  (0.90  $\le x \le 1.10$ ) in humid air from 700-900 °C measured by Sharova *et al.* [91], however, were found to increase with increasing Ba content ( $x \le 1.0$ ), but weakly dependent on Ba excess  $(x \ge 1.0)$ . There are several explanations that account for the compositional dependence of conductivity: (i) Both A-site-deficient levels and B-site doping levels affect oxygen vacancies and proton defects to some extent; (ii) The concentration of protons, oxygen ions or electron holes charge carriers is greatly related to the exposed atmosphere and operating temperature; (iii) Defect clustering and/or association with dopant ions is expected to occur at low temperatures.

In contrast to Ba deficiency, the proton conductivity of Sr-deficient stoichiometry  $(Sr_{1-x}Ce_{0.9}Yb_{0.1}O_{3-\delta}, 0 \le x \le 0.06)$  [63] is likely to increase with increasing *x* in the range of lower deficient levels ( $x \le 0.04$ ) probably due to the formation of additional oxygen vacancies via Eq.17.

$$\mathrm{Sr}_{\mathrm{Sr}}^{\times} + \mathrm{O}_{\mathrm{O}}^{\times} \to \mathrm{V}_{\mathrm{Sr}}'' + \mathrm{V}_{\mathrm{O}}^{\bullet\bullet} + \mathrm{SrO}$$
(17)

But for high levels of Sr defects, partial cross-substitution of Yb dopant over A and B

sites consumes oxygen vacancies, responsible for the decreased conductivity. This change of defects is associated with the maximum volume to relieve octahedral strain.

With regard to nominally stoichiometric Ba content, nearly all of rare earth elements (e.g., Gd, Pr, Nd, Y, Yb, Dy, In, Sm, Ho, Er) have been incorporated into the B site to tune defect chemistry and thus control the proton transport properties in great detail [72, 92-96], in addition to some transition metals like Ni, Cu, Co, Zn as sintering aids. Among them, Gd-doped BaCeO<sub>3</sub> shows the highest conductivity regardless of atmospheres and temperatures in agreement with the evidence of the tradeoff between free volume and tolerance factor in Fig. 5 [72], which exerts a critical ionic radius for ion transport. In contrast, 20% Y-doped BaZrO<sub>3</sub> shows a total conductivity of over 0.01 S cm<sup>-1</sup> achieved even at 500 °C, outperforming other doped zirconate [70, 97]. In BaCeO<sub>3</sub>-BaZrO<sub>3</sub> mixed system, Y-containing samples generally have higher proton conductivity than Gd-doped ones. Obviously, Gd is a poor dopant for BaZrO<sub>3</sub>, but an excellent dopant for BaCeO<sub>3</sub>. From the lattice basicity point of view, with increasing the basicity of the B-site cation (i.e., reducing the electronegativity of the B-site cation), the proton transport behavior is more significant. The truth is Y dopant almost doesn't change the lattice oxygen basicity, whereas other reported dopants, in fact, tend to reduce the proton mobility with entropic destabilization of protonic defects [1]. In addition, the proton transport is not only related to the symmetry of the perovskite, but also to the local structure and percolation induced by the acceptor dopant at the B site [98]. For example, though the ionic radii of  $In^{3+}$  and  $Sc^{3+}$  relatively fit to that of  $Zr^{4+}$ , In- and doped BaZrO<sub>3</sub> show much lower proton conductivity compared to Y-doped BaZrO<sub>3</sub> [99]. On the other hand, the electrical conductivity of Y-doped barium cerate is generally higher than Y-doped barium zironate in same doping level, whereas the bulk conductivity is in opposite trend. This is because the conductivity measurement is usually performed above 600 °C, where the grain boundary contribution is unavailable from impedance spectra (the similar case in Fig.10 (f)). Typically, the bulk and grain boundary contribution show capacitance values of pF cm<sup>-1</sup> and nF cm<sup>-1</sup>, respectively. Only when the temperature below 250 °C, the grain boundary and grain interior processes can be separated completely by fitting the data with equivalent circuits, as showed in Fig.10(a)-(e). It can be seen that Gd-doped compositions show the lowest bulk and grain boundary resistance, resulting in the highest conductivity of the series of doped BaCeO<sub>3</sub> samples. Due to the refractory nature of BaZrO<sub>3</sub>, poor densification and small grain size give rise to a dramatic increase in grain boundary resistance relative to BaCeO<sub>3</sub>. To overcome this issue, a small amount of ZnO [100], NiO [101], CoO [102] and CuO [103] dopants are incorporated to the B site to reduce the grain boundary resistance and thereby improve the proton mobility. Recently, NiO-assisted solid-state reaction has been intensively adopted to enhance the sinterability of BCZY electrolyte. As a result, the formation of Ni-doped BCZY composition readily enables *in situ* exsolution of Ni nanoparticles anchoring on the BCZY surface under reducing conditions, which is responsible for the enhancement of conductivity and durability [104, 105].



**Fig.10.** Impedance spectra for BaCe<sub>0.9</sub>Ln<sub>0.1</sub>O<sub>3- $\delta$ </sub> pellets sintered at 1400 °C for 4 h: Ln = (a) La, (b) Nd, (c) Sm, (d) Gd and (e) Yb measured at 125 °C and (f) Tb in wet 5% H<sub>2</sub>-Ar and at 600 °C [72].

Furthermore, Han *et al.* [78] thoroughly investigated the correlation between structure and transport properties on a series of rare earth element doped BaZr<sub>0.8</sub>M<sub>0.2</sub>O<sub>3- $\delta$ </sub> (M= Sc, Y, In, Pr, Nd, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm, Yb and In). The summarized bulk conductivities in Fig.11(a) show that the apparent high bulk conductivities of doped zirconates are primarily relied on the ion radii of dopants, excluding grain boundary contribution. The dopants with the ion radii ranging from 0.87 to 0.91 (Yb, Tm, Er, Y and Ho) tend to incorporate into parent perovskites and significantly increase proton conductivity, associated with a large lattice expansion upon hydration. In most cases, the samples with high proton concentrations have high proton conductivity. Only

Sc-doped behaves a low proton conductivity, as well as a small lattice expansion, most likely due to proton trapping in the local structure [106]. This indicates that the apparent proton conductivity and the lattice expansion via hydration are well correlated. In addition, an interesting relationship was observed between various Y concentration and the corresponding conductivity, as showed in Fig.11(b) [107]. The low grain boundary contribution primarily accounts for the low proton conduction, especially when Y content is from 0.02 to 0.15. Unfortunately, the intrinsic correlation of dopants and grain boundary conductivity is not fully demonstrated in terms of defect chemistry. To better understand the influence of different non-stoichiometric mechanisms on proton conductivity, further analysis of relationships between various defects formation, octahedral distortion and conduction, associated with high-resolution neutron, X-ray and electron diffraction and simulation studies, are required.



**Fig.11.** Bulk conductivity of BaZr<sub>0.8</sub>M<sub>0.2</sub>O<sub>3- $\delta$ </sub> in wet and dry H<sub>2</sub> atmospheres [78]. Bulk conductivity and effective grain-boundary conductivity of BaZrO<sub>3</sub> doped with various Y content at 300°C in wet H<sub>2</sub> (*P*(*H*<sub>2</sub>*O*)= 0.05 atm) [107].

### 4.1.2 $P(H_2O)$ , $P(O_2)$ and temperature dependences

The transport properties of proton conductors are found to be as functions of temperature, vapor partial pressure  $(P_{H_2O})$ , and oxygen partial pressure  $(P_{o_2})$ . For high temperature and high  $P_{o_2}$ , the composition exhibits both ionic and p-type electronic conductivity; When at low  $P_{o_2}$  and high temperatures or under wet oxidizing atmospheres and low temperatures, it shows a mixed ionic (proton and oxygen ion) conductor; If at low  $P_{o_2}$  and low temperatures, it turns to a pure protonic conductor.

While under dry oxidizing atmospheres and low temperatures, it may be a pure oxygen ionic conductor. These peculiarities in different conditions are determined by the defect chemical equilibriums of oxygen vacancies  $(V_O^{\bullet\bullet})$ , doping defects  $(M'_{Ce})$ , protons  $(OH_O^{\bullet})$ , electron holes  $(h^{\bullet})$  and electrons (e') in perovskite oxides.

Most acceptor doped proton-conducting oxides (whether in dry or wet atmospheres) have a general tendency (Fig.12(a)): the observed  $\sigma$  decreases with  $P_{o_2}^{-1/4}$  dependence (n-type conduction) at very low oxygen partial pressures, in which oxygen vacancies and electrons are created according to Eq.18.

$$O_0^* \rightarrow 1/2O_2 + V_0^{\bullet \bullet} + 2e'$$
(18)

$$1/2O_2 + V_0^{\bullet \bullet} \to O_0^{\times} + 2h^{\bullet}$$
<sup>(19)</sup>

Whereas for some perovskite oxides, the  $\sigma$  keeps constant at low oxygen partial pressures. In contrast, the total conductivity is increased with  $P_{o_2}^{1/4}$  dependence at high oxygen partial pressures (p-type conduction)[108, 109], where electron holes can be introduced via Eq.19. To be more precise, Fig.12(b) shows simulated plots of main defects on a 5 mol% Y-doped strontium cerate at 700 °C conducted by Song et al. [110]. It can be seen that the concentrations of oxygen vacancies, doping defects and protons are nearly constant with dependence of oxygen partial pressure, except for an increase at extremely low  $P_{O_2}$ . The concentration curves of holes and electrons are symmetrical around n-p transition region, in which a offset is established due to some defect equilibriums happen, e.g.,  $[Y'_{Ce}] = 2[V_O^{\bullet \bullet}]$  at low water vapor pressure and  $[Y'_{Ce}] =$ 2[OH<sub>0</sub>] at high water vapor pressure, leading to a plateau region in Fig.12(b). These model results are in good agreement with experimental investigations that the concentration of oxygen vacancies in BaCe<sub>0.9</sub>Ln<sub>0.1</sub>O<sub>3- $\delta$ </sub> (Ln= Sm, Tb, Yb and Y) are  $P_{O_2}$ independent within a certain oxygen partial pressure range [111]. However, the conductivity of BaCe<sub>0.9</sub>Nd<sub>0.1</sub>O<sub>3- $\delta$ </sub> was found to increase with increasing P<sub>02</sub> above 700°C, whereas the trend was reverse under 700 °C [112]. This can be explained by the changes of oxygen vacancies derived from the oxidation of Nd ions from trivalent to tetravalent as an increase in  $P_{o_2}$ .



**Fig.12.** (a)The electrical conductivity of  $SrCe_{0.9}Y_{0.1}O_{3-\delta}$  [109] and (b) main defect concentrations in  $SrCe_{0.95}Y_{0.05}O_{3-\delta}$  as a function of P(O<sub>2</sub>) at fixed  $P(H_2O)=10^{-2}$  atm and 700 °C [110].

Fig.13(a) shows  $P(H_2O)$  dependence of calculated  $\sigma$  of different defects on BaCe<sub>0.9</sub>Y<sub>0.1</sub>O<sub>3- $\delta$ </sub> oxides [111]. It can be seen that the conductivity of proton defects increases, while the values of electron holes and oxygen vacancies slightly decrease with increasing vapor partial pressure, corresponding to the concentration results of different defects showed in Fig.13(b). Interestingly, The value of total  $\sigma$  shows a weak dependence on  $P(H_2O)$ . This is due to oxygen vacancies and proton domination in this condition. Oishi et al. [111] also reported the temperature dependence on experimental conductivities, as depicted in Fig.13(c) and (d). As expected, the  $\sigma$  (O<sup>2-</sup>) and  $\sigma_h$  are increased with increasing temperature, while the  $\sigma$  (OH<sub>0</sub>) increases with increasing temperature below 700 °C and decreases above 700 °C. It is reasonable to conclude that proton conduction is predominant at low temperatures, and upon heating, protons are gradually dehydrated from the lattice, accompanying with large numbers of free oxygen vacancies available for mobility. During dehydration process the mixed oxide-ion and p-type conduction becomes dominant, instead of proton conduction. A comparison of slight P(O<sub>2</sub>) variation between Fig.13(c) and (d) suggests that proton conductivity is weakly dependent of oxygen partial pressure ( $P(O_2)$  range from 1 to  $10^{-4}$  bar) and that p-type conduction is higher in more oxidizing conditions.



**Fig.13.** Plots of P(H<sub>2</sub>O) dependence of (a) the calculated conductivities and (b) the concentrations of defects at P(O<sub>2</sub>)=  $10^{-2}$  bar and 800 °C. The values of conductivities as a function of the reciprocal temperature at (c) P(O<sub>2</sub>) = 1 bar and (d) P(O<sub>2</sub>) =  $10^{-4}$  bar [111].

#### 4.2 Chemical stability and hydration behavior

Even though BaCeO<sub>3</sub>-based perovskite oxides have high proton conductivity, low chemical stability under acidic (especially for CO<sub>2</sub>) and steam atmospheres is still a great problem [113, 114] due to high basic property, giving rise to the decomposition of BaCeO<sub>3</sub> to form barium carbonate and barium hydroxide, respectively, as given in Eq.20 and Eq.21.

$$BaCeO_3 + CO_2 \rightarrow BaCO_3 + CeO_2$$
(20)

$$BaCeO_3 + H_2O \rightarrow Ba(OH)_2 + CeO_2$$
(21)

For this reason, research interests are towards tailoring  $BaCeO_3$ -based materials by doping with smaller ionic size to retain high conductivity and endure harsh environments. Substitution Zr for Ce is the conventional strategy to show excellent stability to  $CO_2$  and  $H_2O$ , accompanying with high conductivity [115]. The doping level

of 40 mol% Zr appears to the best candidate in terms of the tradeoff between the chemical stability and conductivity, as shown in Fig.15(a) [116]. However, the sinterability of Zr-rich BaCeO<sub>3</sub>-based compounds needs high temperatures (ca. >1600 °C) due to its refractory nature, leading to small grain sizes and high grain boundaries density. As a result, high resistance of grain boundary shows lower ionic conductivity [117]. NiO, CuO and ZnO are reported to be the most effective sintering aids, most of which accumulate in the grain boundary region, in order to accelerate grain growth and enhance grain boundary conductivity [118, 119]. Indeed, small amounts of ZnO and NiO, for instance, are proved to slightly incorporate into the perovskite lattice to prevent carbonation under CO<sub>2</sub> atmospheres [3, 120, 121]. Compared to BaCe<sub>0.8</sub>Y<sub>0.2</sub>O<sub>2.9</sub> (Fig.15(b)), the increasing Zr content moderately decreases CO<sub>2</sub> sensitivity, but still suffer from carbonation. Further addition of small ZnO could enhance chemical stability significantly and behave similar to Zr to stabilize perovskite structure. It is evident that the substitution of In [122], Ga [123] and Pr [124] dopants with higher electronegativity can improve chemical stability, as well as sinterability. Although the addition of Ga can markedly promote the sinterability of Ydoped BaZrO<sub>3</sub>, the conductivity is significantly decreased. The light doping of In improves the sinterability moderately, but with a slight decreased conductivity [125]. If further increases In concentration up to 30 mol%, heavily In-doped BaZrO<sub>3</sub> shows a great sinterability and lower bulk conductivity, along with good chemical stability against CO<sub>2</sub> and H<sub>2</sub>O [122]. The doping Pr to Y-doped BaZrO<sub>3</sub> might be a good candidate to compromise the excellent chemical stability, great sinterability and high proton conductivity for practical applications [124]. Therefore, these dopants can improve the grain boundary sintering, and thus obtain appreciable grain boundary conductivity, but may inevitably decrease the bulk conductivity to some extent.



**Fig.15.** XRD patterns of BCZY powders after  $CO_2$  treatment at 900 °C [116]. TGA analysis of different compositions in pure  $CO_2$ , with heating and cooling rate of 5°C/min [120].

As previously noted, water incorporation into the lattice (hydration) through filling into oxygen vacancies to form proton defects for proton transport, is distinct from the behavior of water vapor sensitivity. The induced chemical expansion and weight uptake by hydration process are observed for all proton-conducting oxides during the polymorphic phase transition [126, 127]. Fig.16 shows weight evolution of hydrated and dehydrated BaCe<sub>0.9</sub>Y<sub>0.1</sub>O<sub>3- $\delta$ </sub> investigated by Kruth *et al.* [128]. It is found that the initial weight loss occurs at the Pbnm to Imma phase transition of ca. 230°C, where nearly 80% oxygen vacancies are filled by water. Considering the fact that the significant dehydration is in the phase transition of  $R\overline{3}c$  to  $Pm\overline{3}m$  at  $ca.720^{\circ}$ C, the protonic conduction domination transforming to oxygen ions conduction is reported to be in the temperature range of 700-800 °C [35]. That means hydration and dehydration process are closely related to the switch between proton and oxygen ions conduction domination. When in humid atmosphere and low temperatures, most oxygen vacancies occupied by hydroxide ions impede long-range oxygen vacancy migration. In this scenario, the protonic ions formation and diffusion dominate in charge carriers (i.e., high proton conduction shown in this case). Instead, at high temperatures, dehydration from the lattice releases more free oxygen vacancies participating in long-range oxygen ions transport (i.e., mixed ionic conduction shown in this case). Despite lattice expansion during the phase transition, undoped BaCeO<sub>3</sub> shows no significant hydration behavior, suggesting the transition may drive the dehydration process. As expected, the degree of hydration is strongly correlated to oxygen vacancies in oxides and in linear dependence of Y dopant concentration.



**Fig.16.** Thermal history of hydrated and dehydrated  $BaCe_{0.9}Y_{0.1}O_{3-\delta}$  in wet 5% H<sub>2</sub>/Ar atmosphere [128].

To our best knowledge, the expansion/ contraction of lattice crystal is heavily associated with hydration/ dehydration process over a wide temperature range. A detailed investigation of thermal behavior in undoped and doped BaZrO<sub>3</sub> samples was conducted by Hiraiwa et al. [129], as indicated in Fig.17. When a hydrated sample is heated in a dry atmosphere, the lattice shrinkage occurs due to the dehydration process (Fig.17(a)). When measured in a wet atmosphere again, water-unsaturated lattice can further adsorb water during heating, resulting in the lattice expansion upon heating (Fig.17(b)). In this case, the lattice is unlikely to contract upon dehydration due to in wet conditions. After oxygen vacancies are completely filled by water in second case, the lattice constant retains unchanged upon heating or cooling procedure (Fig.17(c)). When changing to dry atmospheres, the dehydration process occurs again (Fig.17(d)). These abnormal changes in lattice constant suggests proton incorporation can be reversibly manipulated upon heating and cooling treatment, which is induced by the defect equilibrium of protons, oxygen vacancies and other defects that rely on temperature and atmosphere conditions, as in accord with the results of other doped samples [124, 130]. In contrast to doped BaZrO<sub>3</sub>, but similar to undoped BaCeO<sub>3</sub> [128], undoped BaZrO<sub>3</sub> also has no change of lattice expansion and hydration/ dehydration properties with temperature, as shown in Fig.17(e). The abnormal change in lattice constant increases with the increasing Y content up to 20 mol% doping level, well in



agreement with the fact that 20mol% Y doped BaZrO<sub>3</sub> presents the highest proton conductivity [107].

**Fig.17.** (a-d) The temperature dependence of lattice constant of BaCe<sub>0.8</sub>Y<sub>0.2</sub>O<sub>3- $\delta$ </sub> in dry and wet atmospheres. (e) The temperature dependence of lattice constant of BaZr<sub>1-y</sub>Y<sub>y</sub>O<sub>3- $\delta$ </sub> (x = 0, 0.05, 0.1, 0.15, 0.2, and 0.25) pellet samples in a dry oxygen atmosphere [129].

### 4.3 Catalytic properties (Water gas shift reaction)

Proton-conducting perovskite oxides are versatile scaffolds as active supports for rare earth and 3d transition metal catalysts on the B site, e.g., Pt, Pd, Ni, Co, Cu, Mn and Fe. These catalytic activities are most likely due to the exsolved nanoparticles upon reduction to enhance heterogenous catalysis on the surface [131]. The strategy of defect chemistry is adopted to explore various catalytic applications, including water gas shift reaction, methane reforming and ammonia synthesis. The first two offer the prominent advantage of the separation of hydrogen production (fuel electrode) and water splitting reaction (air electrode). The latter promises a potential enhanced electrochemical synthesis of  $N_2$  to  $NH_3$  at ambient atmosphere in comparison to conventional Haber-Bosch process [132, 133]. As limited information is available on catalytic activities of proton conducting oxides in methane reforming and ammonia synthesis, proton conducting oxides prefer to serve as solid state separators [134, 135]. Here we mainly focus upon the (reverse) water gas shift reaction.

The water gas shift (WGS) reaction is reversible and exothermic, as expressed in Eq.22.Typically, the reaction is divided into two-stage processes [136, 137]. At higher temperatures of 310-450 °C, Fe/Cr catalysts are used to increase CO conversion. In this case, the shift is close to chemical equilibrium, indicating that the reverse water gas shift (RWGS) reaction is most likely to occur. At lower temperatures of 160-250 °C, Cu/Zn oxide catalysts are the efficient catalysts to increase CO conversion.

$$H_2O + CO \leftrightarrow H_2 + CO_2 \quad \Delta H_{298K}^\circ - -41.1 \text{kJ/mol}$$
 (22)

The incorporation of dense proton-conducting membrane in the WGS reaction can extend the operating temperature up to 700 °C. By driving proton pumping from the anode to cathode side, such as an electrochemical reactor promotes catalytic kinetics of the WGS reaction considerably, which is considered to be a different electrochemical mode from the conventional solid-state proton conductors. In this regard, Li *et al.* studied hydrogen transport in a tubular  $SrCe_{0.9}Eu_{0.1}O_{3-\delta}$  membrane reactor [137]. He found that the WGS reaction was constrained by thermodynamic equilibrium limitation and the membrane degradation was observed in WGS conditions, due to the unstable cerate structure and catalyst deactivation by carbon deposition. Rajesh *et al.* [138] argued that Pt-doped BaCeO<sub>3</sub> perovskite showed the moderate activity for the WGS reaction, in which oxygen vacancies could be reduced by water dissociation and thus render the membrane proton conductive in the WGS reaction, rather than thermal effects. This discrepancy is ascribed to that Eu metallic nanoparticles are impossible to emerge on the surface of the SrCe<sub>0.9</sub>Eu<sub>0.1</sub>O<sub>3-δ</sub> membrane, and that Pt nanoparticles can

be exsolved as a second active site to accelerate the WGS reaction.

Despite the WGS reaction for hydrogen production, the RWGS reaction is favorable at high temperatures, as well as in turn producing CO from CO<sub>2</sub> studied by Viana et al. [139], which can be used as a feedstock for Fischer–Tropsch synthesis. As we can see in Fig.18, BCY10 (BaCe<sub>0.9</sub>Y<sub>0.1</sub>O<sub>2.95</sub>) exhibits the highest conversion rate but not wellsuited as long-term catalyst in RWGS reaction due to the sensitivity to H<sub>2</sub>O and CO<sub>2</sub>. When exposed to  $H_2/CO_2$  conditions, recent developed BCN18 (Ba<sub>3</sub>Ca<sub>1.18</sub>Nb<sub>1.82</sub>O<sub>8.73</sub>, belonging to perovskite derivatives, discussed later) is suggested to incorporate water and possible  $CO_3^{2-}$  from  $CO_2$  into the lattice, achieving a good catalytic performance at high temperatures [140, 141]. While SCZT (Sr<sub>3</sub>CaZr<sub>0.5</sub>Ta<sub>1.5</sub>O<sub>8.75</sub>) is capable of adsorption of H<sub>2</sub>O and CO<sub>2</sub> into the lattice, showing a better conversion rate than the other proton-conducting oxides at low temperatures but declining to 36% conversion at 900 °C. Lower onset temperature of good performance of SCZT promises an excellent catalytic candidate, most likely due to an easy hydration process at lower temperatures and weakly dependent of the proton conduction of the given electrolyte. Albeit with the increase of proton conduction from water uptake, the consumption of water is reasonable to shift the reaction to left. It should also be noted that CO<sub>2</sub> uptake in SCZT and BCN18 compositions probably provide additional active sites for promoting catalytic properties. In this regard, both H<sub>2</sub>O and CO<sub>2</sub> occupancy mechanisms appear to compete to enable the catalytic activity in the RWGS reaction.



Fig.18. The conversion rate of  $CO_2$  to CO of different proton-conducting oxides and reference membranes [139].

### 5. Other related perovskite oxides

Apart from  $A^{2+}B^{4+}O_3$  simple perovskite, other types with different charges at A and B sites (e.g.,  $A^{1+}B^{5+}O_3$ ,  $A^{3+}B^{3+}O_3$ ) are also discovered. Early studies of  $A^{1+}B^{5+}O_3$ perovskites, referring to undoped KTaO<sub>3</sub> with an ideal cubic structure of high symmetry ( $Pm \overline{3}m$ ) like SrTiO<sub>3</sub> [142, 143], show good proton conduction in water vapor conditions. The acceptor dopants Co<sup>2+</sup>, Mn<sup>2+</sup>, Cu<sup>2+</sup>, and Fe<sup>3+</sup> create oxygen vacancies (formula of KTa<sub>1-x</sub>Me<sub>x</sub>O<sub>3-x</sub>) and thus form proton defects [144]. Fe-doped composition exhibits the highest proton incorporation compared to other doped potassium tantalate. This is probably due to Fe<sup>3+</sup> oxidizing to Fe<sup>4+</sup> (Fe'<sub>Ta</sub>) or reducing to Fe<sup>2+</sup> (Fe''<sub>Ta</sub>), enabling two distinct local symmetries in the lattice [145, 146].

Most  $A^{3+}B^{3+}O_3$  types exhibit the *Pnma* orthorhombic structure by octahedral titling towards the [110] and [001] directions of the cubic sublattice [147, 148]. Lanthanumbased perovskite oxides are known to show oxygen ion, proton and electron hole defects dependent on trivalent cations at the B site. As a result, doped LaCoO<sub>3</sub> and

LaMnO<sub>3</sub>, for instance, are mixed oxide ion and electron hole conductors. While doped LaGaO<sub>3</sub> exhibit pure oxide ion conductivity [149]. It is reported that doped LaLnO<sub>3</sub> (Ln=Al, Sc, In, Lu, Er, Yb, Y) show proton transport domination under wet reducing conditions [150]. Even though the substitution of divalent cations (like Ca, Sr, Ba) for La can create more oxygen vacancies for proton incorporation [151], the proton conductivity of A<sup>3+</sup>B<sup>3+</sup>O<sub>3</sub>-type perovskites is much lower than that of BaCeO<sub>3</sub>-based proton conductors. Furthermore, such doped LaLnO<sub>3</sub> (Ln= Al, Sc, In, Lu, Er, Yb, Y) have a common tendency that the conductivities decrease with increasing ionic radii of B-site ions, with exception of the mixed ionic conduction of In > Sc (In= 0.80 Å, Sc= 0.75 Å). This is probably ascribed to the fact that some oxygen vacancies in local MO<sub>5</sub> polyhedral of La<sub>0.9</sub>Sr<sub>0.1</sub>ScO<sub>3-δ</sub> are structurally stable, not allowing for proton mobility. On the other hand, some oxygen vacancies may be associated with dopants to form dopant-vacancy defects ( $[Sr'_{La} - V_O^{\bullet \bullet} - Sr'_{La}]^{\times}$ ). One possible reason is that the ion size of doped ions should be within a certain range. This means the ion radii shorter or longer than the suitable range might not participate in proton incorporation [150], as well as the consideration of valences of doped ions.

The brownmillerite structured oxide of Ba<sub>2</sub>In<sub>2</sub>O<sub>5</sub> (A<sub>2</sub>BB'O<sub>5</sub>) named after mineral Ca<sub>2</sub>FeAlO<sub>5</sub>[152], is a derivative of the perovskite structure. It retains orthorhombic *Icmm* structure from room temperature to 925 °C, shown in Fig.19(a), where the twodimensional layers of corner-shared InO<sub>6</sub> octahedral and corner-shared InO<sub>4</sub> distorted tetrahedral alternate along c-axis, leading to a vacancy of one-sixth of oxygen sites. As a result, there are three distinct oxygen sites in brownmillerite structure: O(1) in the equatorial plane of the octahedral, O(2) at the corner-shared sites of the octahedral and tetrahedral, and O(3) belonging to the equatorial plane of the tetrahedral. Though the concentration of vacancies is in local ordering in tetrahedral planes, this enables *ca*. 16.7 % oxygen vacancies for each formula unit compared to less than 4% oxygen vacancies in a simple perovskite [36]. These ordering vacancies are relatively immobile and unviable to oxygen transport at lower temperatures, although this does not need to limit proton mobility. From 925 to 1040 °C, the crystallography of Ba<sub>2</sub>In<sub>2</sub>O<sub>5</sub> remains tetragonal *I4cm* phase (Fig.19(b)). In this condition, some oxygen vacancies exist in O(1) and O(2) sites, not all at O(3) sites, resulting from O(1)–In(1)–O(2) and O(3)–In(2)–O(2) octahedral tilting. This means oxygen vacancies move from ordering oxygen site (O(3)) to all oxygen sites. Oxygen vacancies are completely distorted until the temperature up to 1040 °C (the phase transition from tetragonal *I4cm* to cubic  $Pm\bar{3}m$  phase), indicating oxygen vacancies are free to move at all oxygen sites (Fig.19(c)). However, the temperature of 925°C is interpreted to be order-disorder transition due to the disordered oxygen vacancies accommodation, imparting fast oxygen ion conduction [153-156].



**Fig.19.** Crystal structures of Ba<sub>2</sub>In<sub>2</sub>O<sub>5</sub>: (a) orthorhombic *Icmm*, (b) tetragonal *I4cm* and (c) cubic  $Pm\overline{3}m$  [153].

The relationship between structural property and proton conductivity is established when the Ba<sub>2</sub>In<sub>2</sub>O<sub>5</sub> pellet measured in dry and wet air conditions [21]. Three regions can be divided within the operation temperatures in Fig.20. In Region I (<400 °C), the proton conductivity is dominant in water-saturated atmospheres. The enhanced proton conductivity can be explained by the fact that water incorporation into ordering oxygen vacancies. The formed Ba<sub>2</sub>In<sub>2</sub>O<sub>5</sub>·H<sub>2</sub>O compound facilitates a structure transformation from orthorhombic to tetragonal phase at 320°C, creating a very high proton content environment at low temperatures [156-158]. The oxide ion conductivity is then gradually significant in total conductivity in Region II (400~925 °C), where the proton conductivity sharply increases at the order-disorder transition temperature, as well as the oxygen ion conductivity. It should be noted that no obvious conductivity change in the tetragonal-to-cubic transition can be corresponding to continuously disordered oxygen vacancies, a characteristic of a lambda transition.



Fig.20. The conductivity of Ba<sub>2</sub>In<sub>2</sub>O<sub>5</sub> in dry and wet air atmospheres [21].

Due to the fact, the strategy of doping at A or B sites is able to stabilize the cubic phase down to lower temperatures to obtain more disordered oxygen vacancies [159-161]. This is very important to develop potential proton conductive ceramic materials for application in the intermediate temperature range, apart from BaCeO<sub>3</sub>-BaZrO<sub>3</sub> systems. For example, the partial substitution of  $Sr^{2+}$  for  $Ba^{2+}$  is not a good choice, which could increase the phase transition temperature and Region II disappears in  $Ba_{1.5}Sr_{0.5}In_2O_5$  [162]. While the substitution of  $Ga^{3+}$  for  $In^{3+}$  shows a lower orderdisorder transition temperature. When increasing the Ga content, the conductivity in Region III decreases, but the conductivity in Region I increases [159, 163]. Likewise, Ce- and La-doped  $Ba_2In_2O_5$  experience this transformation at lower temperatures, but shows several orders of magnitude lower conductivity in hydrogen containing atmosphere compared to undoped  $Ba_2In_2O_5$  [164]. These findings reveal that the doping not only enables the orthorhombic to cubic structure to increase the crystal symmetry at low temperatures but also stabilizes the disordered oxygen vacancies of defective perovskite structure. Based on the results, undoped  $Ba_2In_2O_5$  may be a promising candidate for proton conductors. It should be noted, however, that  $Ba_2In_2O_5$  has poor chemical resistance against CO<sub>2</sub> or H<sub>2</sub>O atmosphere [165] and readily decomposes above 500°C in reducing atmospheres [166], which will impede its electrochemical application for electrolytes.

Alternative non-stoichiometric complex perovskites, formula as A<sub>3</sub>B'<sub>1+x</sub>B"<sub>2-x</sub>O<sub>9-δ</sub> and A<sub>2</sub>B'<sub>1+x</sub>B"<sub>1-x</sub>O<sub>6-δ</sub> (A=Ba and Sr, B"=Ca, Zn, Gd, Nd, B=Nb and Ta), are expected to have excellent proton conductivity, which is comparable or superior to that of cerate based perovskites [23, 167]. Such structure and transport properties are strongly determined by the exact distributions of B-site cations on (111) planes, including 1:2 and 1:1 ordering. From a structural point of view, several studies have investigated the B-site ordering structure due to some inconsistent structural analysis from highresolution neutron or X-ray diffractions [168-170]. In terms of typical composition of Ba<sub>3</sub>Ca<sub>1+x</sub>Nb<sub>2-x</sub>O<sub>9- $\delta$ </sub> (BCN), the shift of crystal structure from the two-phase mixture of 1:1 and 1:2 ordering to pure 1:1 ordered phase is verified by X-ray diffractions on basis of  $Fm\overline{3}m$  space group [170, 171]. From Fig 21(a), the 1:2 ordered unit cell consists of three perovskite units along [111] directions, in which Nb ions accommodate two of three, and Ca ions occupy the third (111) plane in a cubic closed pack cell of Ba cations. In a 1:1 ordered cubic cell (Fig.21(b)), Nb ions occupy a plane perpendicular to [111] directions and in other planes Nb and Ca ions are accommodated randomly. For -0.03  $\leq x \leq 0.03$ , the crystal structure shows 1:2 ordering at the B sites with rhombohedral  $P\overline{3}m1$  symmetry. Further increasing x to 0.09, 1:1 ordered phase ( $Fm\overline{3}m$ ) appears and two ordering phases coexist in compositional region of  $0.09 \le x \le 0.24$ . That implies that the structure of Ba<sub>3</sub>Ca<sub>1+x</sub>Nb<sub>2-x</sub>O<sub>9-8</sub> gradually transforms from rhombohedral to cubic phase  $0 \le x \le 0.24$ . They also considered the broad phase transition was due to local structural change induced by large valence and ion radius difference (Ca2+: 1.14 Å and Nb<sup>5+</sup>: 0.78 Å). However, considering the relationship between the ion radii of B-site cations and the variations of bond lengths and polyhedral volumes of [BO<sub>6</sub>] octahedral, Oikawa et al. [169] argued that the most suitable setting was found to be rhombohedral  $R\overline{3}m$  space group and the two-phase mixture was not detected in all compositions (x = 0.10, 0.18, 0.25, 0.35). To more precisely confirm the structure of Ba<sub>3</sub>Ca<sub>1+x</sub>Nb<sub>2-x</sub>O<sub>9- $\delta$ </sub>,

Singh *et al.* [172] revisited the structural properties with  $Fm\overline{3}m$  and  $R\overline{3}m$  settings in a wide range of Ca/Nb ratio (x = 0, 0.09, 0.18, 0.27, 0.36, 0.45) by combined synchrotron X-ray and neutron (time-of-flight) diffractions. Over all compositions, both  $Fm\overline{3}m$  and  $R\overline{3}m$  space groups could be used to simulate the X-ray and neutron diffractions due to A-site and B-site cations occupying in very similar environments. As expected, no twophase mixture exists in the composition of Ba<sub>3</sub>CaNb<sub>2</sub>O<sub>9</sub>. However, on basis of  $Fm\overline{3}m$ model, two-phase mixture increases with x from 1.3% for x=0.18 to 7.3% for x=0.45. Surprisingly,  $P \overline{3} m l$  model could not be suited for all compositions, even for Ba<sub>3</sub>CaNb<sub>2</sub>O<sub>9</sub>. This suggests that 1:2 ordering may not be in crystal structure. Further evidence indicates ordering phase is dependent on synthesis conditions. That is, such perovskites may undergo structural ordering transitions with temperature ramping and annealing processing, probably localizing domains of different structure ordering on a nanoscale. For example, Ba<sub>3</sub>CaNb<sub>2</sub>O<sub>9</sub> can fully crystallize in  $P\overline{3}mI$  at 1500 °C for 32 h and  $Fm\overline{3}m$  at 1600 °C at 2 h, respectively [173]. Some observations also occur in doped niobate, BaCa<sub>0.335</sub>M<sub>0.165</sub>Nb<sub>0.5</sub>O<sub>3- $\delta$ </sub> (M = Mn, Fe, Co). It crystallizes in  $Pm\overline{3}m$  structure at 900 °C, and then transforms to B-site 2:1 ordering perovskite ( $P\overline{3}mI$ ) at 1200 °C, eventually converts into B-site 1:1 ordering phase at1300 °C [174]. Indeed, more investigations need to be conducted to clarify the B-site ordering evolution in terms of chemical compositions and synthesis conditions.



**Fig.21.** Structures of  $A_3B'_{1+x}B''_{2-x}O_{9-\delta}$ : (a) 1:2 type B-site ordered trigonal unit cell and (b) 1:1 type B-site ordered cubic unit cell.

Similar to other perovskites, stoichiometric compositions show negligible proton

conductivity due to lack of oxygen vacancies. When substituting  $Ca^{2+}$  with lower valence for Nb<sup>5+</sup>, oxygen vacancies are created by the compensation for negatively charged  $Ca''_{Nb}$ , as described by Eq. 23. From water uptake measurement, only about 1/3 oxygen vacancies are filled by proton in BCN compositions, while nearly 80% of oxygen vacancies are absorbed in cerate based perovskites [171].

$$3O_{O}^{\times} + 2CaO + 2Nb_{Nb}^{\times} \rightarrow 2Ca_{Nb}^{\prime\prime\prime} + 3V_{O}^{\bullet\bullet} + Nb_{2}O_{5}$$
<sup>(23)</sup>

As a result, BCN18 composition is demonstrated to be the highest oxide ion conduction but show slightly lower conductivity compared to other compositions under a humid atmosphere (Fig.22). For higher Ca/Nb ratio, the reduced conductivity can be explained from two aspects: (1) the disappearance of 1:2 ordered structure releases more random oxygen vacancies in 1:1 ordered cubic phase; (2) negative Ca<sup>m</sup><sub>Nb</sub> defects can trap oxygen vacancies  $V_0^{\bullet\bullet}$  to form defect-dopant associations (such as  $[V_0^{\bullet\bullet} - Ca_{Nb}'']$  ), and the ordered  $V_0^{\bullet\bullet}$  are not available to proton and oxide ion conduction, as similar explanation for other perovskites. In addition, BCN is much more stable than BaCeO<sub>3</sub>- BaZrO<sub>3</sub> systems when exposed to CO<sub>2</sub> and humid atmospheres and has no hole conduction in oxidizing atmospheres up to 900 °C due to the presence of wide band gap in energy band [140, 141]. Similar to BaCeO<sub>3</sub>-BaZrO<sub>3</sub> systems, however, the sinterability of BCN is relatively poor, which requires a high sintering temperature (1600 °C) to densify the BCN electrolyte. Due to the limitation of proton concentration, substitutions of cations with lower valences, such as Ti [175], Ce [176], Y [141], Zr [177] can introduce more oxygen vacancies and manipulate the ordering phase at the B site. Ba<sub>3</sub>Ca<sub>1.18</sub>Nb<sub>1.52</sub>Y<sub>0.3</sub>O<sub>9-8</sub> shows the highest conductivity among all doped compositions.

A detailed study of Ba<sub>3</sub>Ca<sub>1+x</sub>Ta<sub>2-x</sub>O<sub>9-3/2x</sub> (BCT, x = 0.18), chemically and structurally similar to BCN analogue, was conducted by Irvine *et al.* [168, 178, 179]. The neutron and X-ray diffractions indicate that 1:1 ordered cubic phase is the main phase in BCT systems. It is further evident that synthesis procedure plays a critical role in B-site ordering, determining the phase ratio and local compositional distribution. For example, the phase ratio of 1:2 and 1:1 ordering is 15/85 at the sintering temperature of 1450 °C, and increase to 50/50 at 1500 °C. It also implies that the B-site ordering allows CO<sub>2</sub> occupying oxygen vacancies to form carbonate ion defects or coupling with other defects to form association defects, rather than forming carbonate species on the surface or stuck in grain boundaries. In case of Zr-doped compositions [179], the lattice can accommodate excess water, oxygen and carbon dioxide without any loss of ordered perovskite. Approximately 55% of oxygen vacancies are filled by water in the lattice, showing an improvement in conductivity compared to BCN18. However, higher gain boundary resistances are unfavorable in practical applications.



**Fig.22**. Arrhenius plots of total conductivities of BCN18 under different atmospheres [172]. **Overview** 

Following the aforementioned discussion, total conductivities of state-of-the-art oxygen oxide and proton conductors are compared in Fig.23 to screen the best promising candidate for practical applications to date. It is generally accepted that the ionic conductivity of the electrolyte should be more than 0.01 Scm<sup>-1</sup> for its practical electrolyte in fuel cells. Obviously, the proton conducting perovskite oxides highlight significantly superior conductivity than other conventional solid-state conductors in the intermediate temperature range, especially for highly textured BZY films that can be achieved by PLD processing [180]. Despite the comparable conductivity of Ni-doped BCN18 in comparison to some BCZY compositions, as well as excellent chemical

tolerance against H<sub>2</sub>O and CO<sub>2</sub>, the use of the electrolyte is unviable due to low power output in fuel cells [181]. Besides, thermal cycling, redox tolerance, thermal expansion coefficient (TEC) and chemical combability with the electrode are required to consider. Very recently, the newly developed hexagonal perovskite Ba<sub>7</sub>Nb<sub>4</sub>MoO<sub>20</sub> with high oxygen ion and proton conductivity show excellent chemical and electric stability [182]. Further work as a dual-ion electrolyte in a ceramic fuel cell is anticipated. In fact, the most common protonic ceramic electrolyte tends to converge towards BCZY series, for which Yb-doped BCZY compositions offer an excellent balance of performance, stability, cost and fabrication [105, 183]. For this reason, we aim to build strategies to maximize proton conduction by careful tailoring and control of non-stoichiometry of the electrolyte, understanding the correlation of defect chemistry and transport properties, optimizing manufacturing parameters and adjusting operating conditions.



**Fig.23**. Arrhenius plots of total conductivities of state-of-the-art oxygen oxide and proton conductors: BaZr<sub>0.8</sub>Y<sub>0.2</sub>O<sub>3- $\delta$ </sub> (BZY) films [180], BaZr<sub>0.8</sub>Y<sub>0.2</sub>O<sub>3- $\delta$ </sub> (BZY) and BaCe<sub>0.8</sub>Y<sub>0.2</sub>O<sub>3- $\delta$ </sub> (BCY) [184], BaCe<sub>0.7</sub>Zr<sub>0.1</sub>Y<sub>0.1</sub>Yb<sub>0.1</sub>O<sub>3- $\delta$ </sub> (BCZYYb) [185], Ba<sub>3</sub>Ca<sub>1.18</sub>Nb<sub>1.82</sub>O<sub>9- $\delta$ </sub> (BCN18) [176], Ba<sub>2</sub>In<sub>2</sub>O<sub>5</sub> [21], Ba<sub>7</sub>Nb<sub>4</sub>MoO<sub>20</sub> [182], La<sub>0.99</sub>Ca<sub>0.01</sub>NbO<sub>4</sub> (LCN) [30], La<sub>0.8</sub>Sr<sub>0.2</sub>Ga<sub>0.2</sub>Mg<sub>0.2</sub>O<sub>3- $\delta$ </sub> (LSGM)[186], Ce<sub>0.8</sub>Gd<sub>0.2</sub>O<sub>2- $\delta$ </sub> (GDC) [187], Zr<sub>0.92</sub>Y<sub>0.08</sub>O<sub>1.96</sub> (YSZ) [188].

# 6. Conclusion

Oxides with perovskite-type structures show great potential in proton-conducting applications. Recent advances of defect chemistry have been focused on simple  $A^{2+}B^{4+}O_3$  perovskites (BaCeO<sub>3</sub>-BaZrO<sub>3</sub> system), as well as disordered ( $A^{1+}B^{5+}O_3$  and  $A^{3+}B^{3+}O_3$ ), and new derivative perovskites ( $A_2BB'O_5$  and  $A_3(BB'_2)O_9$ ). The strategy of A- and B-site non-stoichiometry on parent perovskites control over structure, chemical stability, transport and catalytic properties, inclusion of the creation of oxygen vacancies to enable proton incorporation and transport but associated with undesired mixed oxygen ion, hole conduction and defect association. A deep understanding of composition-structure-property relationships should be further established to guide the far-reaching development of proton conducting perovskite materials are urgent to be sought, providing new prospects for the near-future application.

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