

1 **A New Stakeholder Opinion-based Rapid Sustainability Assessment Method (RSAM) for**
2 **Existing Residential Buildings**

3

4 **Abstract**

5 In many developing countries, several strategies and programs have been established to support
6 the green building initiative, but overall progress is too slow to keep up with the global advances.
7 To accelerate progress in building sustainability as well as to aid the decision-making process of
8 different parties involved, a tailored quantification method for the sustainability performance of
9 buildings is needed. The study presents a Rapid Sustainability Assessment Method (RSAM) – a
10 fast and easy-to-implement system developed using indicators and their respective weights
11 obtained from stakeholders and an assessment approach based on residents' responses. It was then
12 applied to measure the sustainability performance of several residential buildings (from eras:
13 before 1991, from 1991-1998, and after 1998) in the capital of Kazakhstan's, Nur-Sultan (formerly
14 Astana). Results differentiated well between the buildings of different era, revealing that even new
15 buildings certified via international green building rating systems do not entirely satisfy the vision
16 of sustainability of the capital's residents. Although the resident's opinion-based method was
17 developed for existing residential buildings, it is flexible enough to accommodate future changes
18 e.g. including data obtained from other stakeholders (e.g. building management) and assessing
19 non-residential buildings. RSAM is further applicable to residential buildings constructed after
20 1950s in other similar regions including post-Soviet and Eastern Bloc countries.

21

22 **Keywords:** Central Asia; developing countries; green building; householder opinion; Kazakhstan;
23 sustainability assessment tools; sustainability ranking; sustainability rating

24 **1 Introduction**

25

26 The increasing number of environmental disasters between 1950s and 1970s has raised public
27 concerns about the environmental impact of anthropogenic activities. This forced governments to
28 take action and, as a result, they pushed for sustainable development initiatives in various areas
29 including construction which accounts globally for 34% of energy use (IIASA 2012), 19% of
30 greenhouse gas emissions (IPCC 2014), and along with the demolition of buildings 36% of waste
31 production (UNEP 2015). After first attempts to build sustainably, it was clear that some sort of
32 measurement is required to evaluate the level of success in achieving sustainability goals. An
33 ability to quantify the sustainability performance of given structures aids the decision-making
34 process and limits arbitrary choices on the path to achieve a desired level of sustainability (AlWaer
35 et al. 2008, Yudelson 2008). The best method for assessing the sustainability level of different
36 structures, including buildings, is to use sustainability rating systems (Haapio and Viitaniemi
37 2008). There are currently numerous sustainability assessment tools developed worldwide to
38 address this challenge, and a review of some of these methods is provided in the following section.
39 In Kazakhstan, several strategies, concepts, and memorandums aim to support the sustainability
40 and these initiatives have also led to the establishment of Kazakhstan’s Green Building Council
41 (KazGBC) – a member of World Global Building Council (WGBC). KazGBC, in cooperation with
42 the United Nations Development Programme (UNDP), aims to introduce green construction
43 standards and to motivate construction companies to certify buildings under BREEAM and LEED
44 systems. Although the number of certified buildings is growing, the certification rate is too low to
45 meet the 2030 target set by KazGBC (ITE Build & Interiors 2016). The progress by the green
46 building initiative in Kazakhstan is relatively low due to following reasons: inadequate

47 consideration given to green building principles by the outdated construction standards and
48 regulations, a limited participation among construction industry members in green projects mostly
49 due to their overall higher cost, and insufficient academic and research background on the
50 sustainable buildings in the context of Kazakhstan. These root causes of overall poor sustainability
51 practices, among others, are related to a lack of respective policies and regulations, guidelines,
52 methodologies, practical examples, and technologies as well as low levels of awareness among the
53 general public and the construction industry (UNDP 2013). Moreover, all certified buildings have
54 only been constructed within the last decade which comprises only a small portion of the whole
55 building stock in the country, whereas the sustainability of the existing buildings remains
56 unassessed. In order to have a better understanding of the situation regarding these buildings, there
57 is a need to develop a quick and effective sustainability assessment method tailored to
58 Kazakhstan's context which would be used for numerous types of buildings while at the same time
59 without inquiring large resources.

60 Including the stakeholders in the development process of an assessment methodology is key for
61 achieving solutions that are environmentally, functionally, aesthetically, and economically viable
62 for all involved (Bal et al. 2013, Stephan and Menassa 2015). In general, the stakeholders are
63 defined as people who have interests in, can influence, or be influenced by a company or
64 organization (Freeman 1984, Freeman et al. 2007, Freeman et al. 2010). A few studies assert the
65 importance of stakeholders' engagement in construction (Mathur et al. 2008, Bal et al. 2013,
66 Herazo and Lizarralde 2016). In particular, Mathur et al. (2008) pointed out three distinct
67 approaches for conceptualizing stakeholder engagement in construction projects which relate to
68 viewing stakeholder engagement as a management technique, an ethical requirement, or a forum
69 for dialogue to facilitate mutual social learning. The benefits of using all these methods are clear

70 and the opinions of stakeholders are critical in the proper assessment and analysis of requirements
71 (Bryson 2004, Boecker et al. 2009, Gan et al. 2015). The involvement of multiple stakeholders
72 plays a pivotal role in achieving sustainability goals.

73 The sustainability of buildings in Central Asia and in particular in Kazakhstan has yet to be studied
74 in detail. To the authors' knowledge, the only published work on the subject covering the
75 construction sector in Kazakhstan has been recently performed by Akhanova et al. (2020). The
76 authors developed a Kazakhstan's Building Sustainability Assessment Framework (KBSAF) using
77 the stepwise weight assessment ratio analysis (SWARA) technique for estimating weights of the
78 system's categories and indicators, however; the framework focuses on assessing the sustainability
79 performance of commercial buildings only, including office and retail buildings. Furthermore, the
80 system involves a total number of 200 items to assess and requires extensive data collection for
81 proper sustainability assessment, which the authors strive to achieve through BIM technologies.
82 The method of data acquisitions along with the focus on the assessment of commercial buildings
83 creates an approach to the evaluation of buildings' sustainability that is completely different from
84 the one discussed in the present paper.

85 The present research aims to develop a systematic approach using stakeholders' perceptions and
86 opinions for evaluating building sustainability: Rapid Sustainability Assessment Method (RSAM).
87 It then specifically aims to obtain a snapshot of the current level of sustainability of existing
88 residential buildings in Nur-Sultan (formerly Astana), Kazakhstan by the application of RSAM to
89 selected buildings. RSAM can also be used for the assessment of buildings erected in the second
90 half of the 20th century in other contexts directly (e.g. cities of post-Soviet and Eastern Bloc
91 countries with very similar building characteristics and construction practices) as well as indirectly
92 following minor modifications (e.g. cities of other developing countries).

93

94 **1.2 Review of existing sustainability assessment methods**

95

96 In the past, various sustainability tools with distinct goals and scopes have been introduced. These
97 include environmental impact assessment (focusing on the impact of a project based on its
98 localization on various modules of environment e.g. fauna, flora, communities, etc.), life cycle
99 assessment/analysis (overall impact of a product over its lifetime), total quality assessment
100 (focusing on all pillars of sustainability i.e. environmental, economic, and social), cumulative
101 energy demand (focusing on energy consumption), and building assessment tools which is the
102 focus of the following discussion. According to Reijnders and van Roekel (1999), the assessment
103 tools can be roughly classified as either qualitative tools (that are based on criteria and scoring)
104 and quantitative ones (which use life-cycle approach and quantitative input and output data of
105 matter and energy flows). Two of the most commonly used qualitative tools are BREEAM
106 (Building Research Establishment Environmental Assessment Method) and LEED (Leadership in
107 Energy and Environmental Design), whereas tools based on life-cycle assessment (LCA) approach
108 include EcoEffect, EcoQuantum, Environmental Load Profile (ELP), BEES (Building for
109 Environmental and Economic Sustainability), BEAT (Building Environmental Assessment Tool,
110 Denmark), and ITACA (Forsberg and von Malmberg 2004, Asdrubali et al. 2015). A similar
111 classification as provided by Ali and Al Nsairat (2009) that classifies existing building assessment
112 methods as either life-cycle assessment-based or multicriteria-based. The most notable multi-
113 criteria rating systems that acquired worldwide recognition in the last decades include but are not
114 limited to BREEAM (UK), LEED (USA), CASBEE (Comprehensive Assessment System for Built

115 Environment Efficiency, Japan), and Green Star (Australia, New Zealand, South Africa) (Zhang
116 et al. 2017).

117 In BREEAM, the overall sustainability score of the building is calculated by evaluating the number
118 of credits for each of its ten categories, multiplying them by weighting factor based on the
119 category's importance, and summing them up (Gou and Xie 2017). LEED, on the contrary, awards
120 points in its nine categories based on the number of requirements satisfied which are then added
121 up to 110 total points (Castro-Lacouture et al. 2009). Green Star has adopted many of the features
122 presented in LEED but has adjusted them to the regional context. CASBEE utilizes a completely
123 different approach to score calculation: the system evaluates the building's sustainability
124 performance using "Building Environmental Efficiency (BEE)" which is a ratio of "Building
125 Environmental Quality and Performance (Q)" to "Building Environmental Loadings (LR)"
126 (Banani et al. 2013). All of these sustainability assessment tools consider the building's various
127 stages including its design, construction, and operation where CASBEE further investigates the
128 deconstruction phase of the building's lifecycle.

129 Due to differing approaches these methods utilize, the same building might be given different
130 performance scores depending on the rating system used. To address this issue, Asdrubali et al.
131 (2015) proposed a methodology to normalize the overall sustainability performance scores which
132 they apply to two buildings in Central Italy evaluated using LEED and ITACA, respectively. They
133 identified the differences between the methods, established key categories (or "macro-areas") (site,
134 water, energy, indoor environment quality, and materials) based on common indicators, reassigned
135 new scores based on the new macro-areas, and compare the resultant values. A similar approach
136 has been employed in the present study: a simplified normalization procedure of the four rating
137 systems was performed to compare their agendas in sustainability performance evaluation (Figure

138 1) (JSBC 2005, GBCA 2009, USGBC 2009, BRE 2011). The present study established five key
139 categories (“sustainable sites”, “energy”, “water”, “materials and resources”, and “indoor
140 environment quality (IEQ)”) common to all of the examined sustainability assessment methods
141 and the importance of each key category was determined in percentages. All discussed rating
142 systems have distributed some credits outside of the identified key categories, but, for the sake of
143 comparison, the credits attributed to these five key categories were assumed to comprise 100% of
144 the total score.

145 Although the sustainability assessment tools selected for the present discussion share a consensus
146 on their basic structures, the approach to achieving sustainability goals in these categories that
147 each rating system demonstrates is unique. For example, LEED and Green Star consider the key
148 category as “energy”, awarding the highest amount of points – 40% and 33.3%, respectively.
149 CASBEE, on the other hand, awards an equal amount of credits (28.5%) to both “energy” and
150 “IEQ”, the former being the most essential category within “LR” and the latter being the lead key
151 category of “Q”. Meanwhile, BREEAM chooses “materials and resources” category as the most
152 essential one allocating 29.5% of the credits to this key category and only 22.5% to “energy”
153 category. An agreement is observed between LEED, BREEAM, and Green Star for “IEQ”
154 category as they award 19-21.3% of credits to this category, which is considerably less compared
155 to CASBEE. The least significant key category in all rating systems is “water” category: Green
156 Star – 13.3%, LEED – 13%, BREEAM – 10%, and CASBEE awarding the least number of points
157 – 3%. There is also a discrepancy amidst the methods on how they approach “sustainable sites”
158 category: whilst CASBEE awards as high as 21.5% in this category, LEED restricts the number of
159 achievable credits to 12% of the maximum score. The basis of these four sustainability assessment
160 tools is built upon the discussed five key categories, but the differences in importance levels these

161 methods allocate to the key categories, not to mention the indicators left outside of the comparative
162 (sensitivity) analysis, demonstrate a general lack of agreement on how the global building sector
163 should approach sustainability.

164 Banani et al. (2013) performed a comparative analysis of five different SA tools including
165 BREEAM, LEED, Green Star, and CASBEE; which shed a light on how the tools assess important
166 indicators falling outside of the scope of five key categories. All four tools recognize the
167 contribution of buildings to the global pollution problem; but BREEAM, Green Star, and CASBEE
168 assess pollution as an individual category whereas LEED distributes restrictions to emissions
169 across several other categories. In addition, BREEAM and Green Star consider management as
170 well as transportation as separate categories whereas LEED and CASBEE choose to distribute
171 these parameters across different assessment categories. Moreover, BREEAM, LEED, and Green
172 Star reward innovative approaches in achieving sustainability goals, whereas CASBEE does not
173 include this criterion in evaluation, instead choosing to consider region-specific parameters such
174 as “earthquake resistance” and “restriction of wind damage”. This comparative analysis along with
175 the differences between the key categories addressed here show that the discussed methods have
176 a common perspective on components of sustainable building but approach the evaluation process
177 in different fashions best fitting their country of origin.

178 Issues with the applicability of global sustainability assessment methods to certain regions has led
179 to numerous studies attempting to adapt international tools such as LEED and BREEAM to
180 country-specific conditions and to propose their own model for sustainability assessment. Ali and
181 Al Nsairat (2009) developed a green building assessment tool for residential buildings in Jordan
182 tailored to various domestic regions considering variances in climate and geography within the
183 country. Al-Jebouri et al. (2017) proposed a sustainability assessment system which can be further

184 customized for different types of buildings in Oman by reviewing existing international and
185 regional sustainability rating systems, identifying categories and indicators distributed among five
186 pillars of sustainability (environmental, economic, social, cultural, and governance), and
187 evaluating their relative weights. They claim that Middle Eastern countries (UAE in particular)
188 admit the importance of regional context and culture in achieving sustainability and therefore
189 regard them as a fourth pillar and include these in their sustainability-rating systems known as
190 UAE Estidama. Following the example of UAE in developing their own domestic system, Banani
191 et al. (2016) compared five major green building assessment tools to establish a framework for
192 sustainability assessment of non-residential buildings, despite the adoption of the U.S. LEED
193 sustainability rating system by the Saudi Green Building Council as its official tool for
194 sustainability performance evaluation. They claimed that, at that moment, the country lacked
195 specific assessment methods that would address the unique economic, social, and cultural aspects
196 of Saudi Arabia. Mahmoud et al. (2019) addressed the issue of the inapplicability of contemporary
197 methods outside of their country of origin and developed a sustainability assessment tool for
198 existing buildings with a weighting system based on Fuzzy Hierarchal Process Method that can be
199 used globally while demonstrating how regional variations affect the sustainability assessment
200 process. These studies acknowledge and confirm the need for substantial effort arising whenever
201 a sustainability rating tool is adapted to the country-specific conditions.

202 Although existing buildings provide multiple challenges regarding urban sustainability, the
203 sustainability assessment tools designed for them are limited. Amidst all phases of the building's
204 lifecycle (i.e. raw materials extraction and processing, production of construction materials,
205 construction of the building, operation, maintenance, and demolition), the operation and
206 maintenance stage (involving: electricity use in the outlets, HVAC and lighting, heat in ventilation

207 and conduction, materials in internal surfaces and HVAC services, and the use of water and
208 wastewater) accounts for 45-75% of the total environmental impact (Seppo 2004). This underlines
209 the importance of evaluating the sustainability level of existing buildings and suggesting a way to
210 reduce their adverse impact on the pillars of sustainability.

211 As there are numerous sustainability assessment tools for building sustainability assessment, there
212 are also some rating systems focusing specifically on the evaluation of existing buildings. Two
213 important examples of these commercial tools are “LEED for Existing Buildings: Operations and
214 Maintenance (LEED-EBOM)” (USGBC 2014) and “Green Star South Africa – Existing Building
215 Performance (SA EBP)” (GBCSA 2014). The scope of LEED-EBOM involves the certification of
216 sustainability levels of ongoing operations at existing institutional buildings, including offices,
217 retail and service establishments, institutional buildings, hotels, and residential buildings of four
218 or more habitable stories. It aims to provide the individual rating of a whole building, whether
219 owner-occupied, multi-tenant, or multiple-building campus projects. Moreover, the rating system
220 encourages the implementation of sustainable practices and reduction in the environmental impacts
221 of existing buildings over their functional life cycles. It addresses exterior building site
222 maintenance programs, water and energy use, environmentally preferred products and practices
223 for cleaning and alterations, sustainable purchasing policies, waste stream management, and
224 ongoing indoor environmental quality. There is a slight variation between weighting systems of
225 LEED-EBOM and LEED for New Construction: e.g. the former accounts for solid waste
226 management but the latter does not. This leads to differing environmental footprints addressed by
227 each rating system (USGBC 2014).

228 Green Star SA EBP was developed based on the Green Star system proposed by the Green Building
229 Council of Australia by tailoring its sustainability assessment criteria relevant to the South African

230 context. It covers the same environmental categories addressed in the Green Star: new building
231 tools which are management, indoor environment quality, energy, transport, water, materials, land
232 use and ecology, emissions, and innovation. However, the focus is on the operations and
233 management stage of the building's lifecycle to optimize its performance. The scope of the rating
234 system spans from commercial buildings including office buildings, retail buildings, public
235 assembly buildings, and low-risk industrial buildings to institutional and multi-unit residential
236 buildings; addressing effectively relationships between buildings' landlords and tenants (GBCSA
237 2014).

238 Since the proposed LEED-EBOM and Green Star SA EBP both focus on the existing structures
239 only, they share a relatively similar structure except for differences mainly due to the regional
240 context (Table 1). Both sustainability assessment tools recognize the importance of the efficient
241 use of energy and allocate a large weighting to this key category correspondingly. However,
242 LEED-EBOM promotes the use of both on-site and off-site renewable energy sources which is
243 completely overlooked by Green Star SA EBP. Another striking difference between the methods
244 is that Green Star SA EBP treats transportation, emissions, and management as separate categories
245 whereas LEED-EBOM distributes these parameters among other categories. There is a
246 considerable similarity in how these tools evaluate IEQ category: they award almost equal amounts
247 of credits to this category which assesses indoor air quality, lighting, acoustic and thermal comfort,
248 daylight, and views. Both methods also promote building-scale metering and monitoring,
249 sustainable land use, landfill diversion, efficient water use, control of refrigerants leaking, green
250 cleaning practices, green procurement and purchasing, as well as innovations in sustainable
251 solutions. They also give more credits to the existing building that has been certified with their
252 ratings before and has accredited professionals consulting the owner or the building's management

253 team. In summary, LEED-EBOM and Green Star SA EBP share more similarities than differences
254 in evaluation of existing structures' sustainability performance.

255

256 **1.3 Identified gaps of current sustainability rating tools**

257

258 The sustainability assessment methods discussed above as well as other established rating systems
259 share some common implementation issues. One of the major drawbacks of the tools is the
260 complexity of their structure resulting from attempts to make the assessment framework as
261 comprehensive as possible. The current state of many sustainability assessment methods requires
262 a substantial amount of data and time, and any attempt to simplify procedures may result in the
263 consideration of less indicators important for overall sustainability rating (Taisch et al. 2013,
264 Alhumaidi 2016).

265 Another limitation of the existing methods is that most of them have a unique set of objectives or
266 a certain niche they were designed for forcing their users to utilize a combination of different
267 methods for a complete sustainability assessment of one project (Taisch et al. 2013). Moreover,
268 the absence of a commonly agreed scientific way to develop a weighting system for criteria of
269 varying significance leaves space for subjectivity and, therefore, possible misinterpretation of the
270 actual sustainability level of the building (Alhumaidi 2016).

271 Due to global variations in geography, climate, economics, history, culture, and government
272 regulations, tailored sustainability assessment tools have been generated for a number of countries
273 (e.g. Asdrubali et al. 2015, Kridlova Burdova and Vilcekova 2015, Banani et al. 2016), since the
274 assessment methods developed for one country/region may not be fully applicable to others (Cole
275 1999, Darus et al. 2009, Banani et al. 2013, Alhumaidi 2016). Contemporary sustainability

276 assessment tools vary in aspects of their assessment models including indicators and weighting
277 systems due to unique regional context involving climate and geographical features, level of
278 development, priorities established by the governments, public awareness etc. (Banani et al. 2013).
279 The origin of a specific tool determines the importance of different aspects of sustainability, and
280 therefore, their inclusion in the assessment criteria (Todd and Geissler 1999). Moreover, the lack
281 of consensus on how to calculate weights for each indicator and the subsequent emergence of
282 different approaches to developing weighting systems also defined by the country of origin
283 contributes to a globally inapplicability of these tools (Ding 2008). Mateus and Bragança (2011)
284 state that the global tools require prior adaptation which needs time. These inconsistencies among
285 the established sustainability tools lead to sophisticated and, thus, time-consuming and resource-
286 intensive process of their adaptation to the regions outside of their origin.

287 In building sustainability assessment tools, occupants' involvement in assessments is either not
288 considered at all or is optional accompanied by a minor weight in the overall assessment score.
289 However, the opinions of residents can be used to provide a valuable basis that reflects the
290 sustainability level of existing buildings. Residents living in a building are in a particularly good
291 position to effectively evaluate different aspects of that building's performance as they spend the
292 highest amount of time there and have a great interest in improving their experience and comfort
293 levels. For example, Green Star SA EBP has an indicator called "occupant comfort survey" which
294 facilitates the inclusion of householders in the sustainability assessment of the building they
295 occupy and gives an insight of overall comfort levels. The survey is basically a 7-point scale
296 questionnaire that assesses the respondent's satisfaction with acoustic comfort, thermal comfort,
297 lighting, indoor air quality and ventilation, and building management (cleanliness, odors, etc.).
298 However, conducting occupant surveys is not mandatory and is awarded only up to two points out

329 of 110; based on population coverage, occupants' satisfaction level, improvement compared to
330 previous survey (if applicable), and development of correction plan (GBCSA 2014).

331 A similar survey is a part of LEED-EBOM rating system, however; the tool only awards one point
332 out of 110 if a survey is conducted covering at least 30% of the building's occupants; assessing
333 the occupants' comfort including aspects such as thermal comfort, acoustics, indoor air quality,
334 lighting levels, and building cleanliness. The tool requires, though, developing corrective actions
335 plan based on the survey results (USGBC 2014). To conclude, LEED-EBOM and Green Star SA
336 EBP seem to include the householders' opinions into the assessment of the building performance;
337 however, this is optional and at an insignificant level.

338 The present research aims to develop a new sustainability rating system, RSAM, using
339 stakeholders' perceptions and opinions for evaluating buildings. The rating tool uses the opinions
340 of residents identified through questionnaires tailored to their level of knowledge of the building
341 and expertise in sustainability providing rapid, low-cost, and dependable data to assess the
342 building's sustainability performance. Although such a method might lack some of the
343 comprehensiveness of existing and yet time-consuming and resource-intensive methods, it
344 prioritizes the occupants' perspectives on the sustainability performance of the building with
345 which they are quite familiar. It then specifically aims to obtain a snapshot of the current level of
346 sustainability of existing residential buildings in Nur-Sultan, Kazakhstan by the application of
347 RSAM to selected buildings. RSAM can also be directly used for assessment of buildings erected
348 in the second half of the 20th century in other contexts (e.g. in cities of post-Soviet and Eastern
349 Bloc countries with very similar building characteristics and construction practices) as well as
350 indirectly following minor modifications (e.g. in cities of other developing countries).

351

322 **2 Methodology**

323

324 The RSAM method covers three pillars of sustainability (environmental, economic, social and
325 functional) employing several assessment parameters hierarchically subcategorized into factors,
326 then to indicators, and finally to sub-indicators. It measures stakeholder opinions on the existing
327 applications of the building's structural elements and provided service systems. Specific weights
328 have been assigned to calculate scores with a bottom-up approach based on the judgments of
329 stakeholders. The model along with the indicator weights can be easily modified as a basis for
330 evaluating buildings in other contexts. A graphical summary of the proposed method is given in
331 Figure 2 and a detailed method (MethodsX) file is provided.

332 The three factors covered by RSAM are represented by the abbreviations: ENV - Environmental
333 factor, S&F - Social and Functional factor, and ECO - Economic factor. Subsequently, indicators
334 and sub-indicators of any factor are presented as level numbers following the corresponding factor
335 abbreviation, e.g. ENV4.3, ECO2.1, or S&F3.2 (Table 3).

336

337 **2.1 Identification of factors and indicators**

338

339 The indicator selection stage has been completed via activities falling into two domains: people
340 and knowledge resources (Figure 2). Information from stakeholders (people) and research
341 (literature review) were utilized. Stakeholders (n = 68) between 19 and 57 years old from the
342 following groups (Table 2) have been interviewed: "Public" (with a relevant engineering
343 background, graduate degree (PG) and undergraduate degree or with high-school degree (PU)),
344 "Academy" (sustainability expert academicians (AC)), and "Construction industry" (office

345 workers (CO) and field workers (CF)). As a result, a total 12 indicators and 39 sub-indicators have
346 been identified (Table 3).

347

348 **2.2 Quantification of priorities and weights**

349

350 Weights were collected from stakeholders via interviews and surveys. Likert rating scale (1-5) (i.e.
351 “not important at all” (1), “not important” (2), “neutral” (3), “important” (4), and “very important”
352 (5)) was used. The average values of all responses were calculated and used in the model as score
353 multipliers (weights) (Table 3). After collecting the weights, a three-step statistical approach was
354 conducted for further evaluation via SPSS 25.0 software. Firstly, the reliability of the considered
355 data was tested using Cronbach’s Alpha test. It is a common measure of the internal consistency
356 of a set of items in a survey to gauge its reliability (Cronbach 1951, George and Mallery 2003). If
357 Cronbach’s alpha is as low as 0.50-0.60, then the data set is appropriate only for exploratory
358 research, while 0.70 is generally perceived as well acceptable (Nunnally 1967, Hair et al. 2010).
359 The result confirmed that the survey outputs are reliable.

360 Shapiro-Wilk normality test was used to identify the nature of the collected data ($p < .05$ for all
361 stakeholder opinions on 51 indicators (Table 4)). It showed that the collected data can be analyzed
362 using non-parametric statistical techniques. Kruskal-Wallis test is a non-parametric test, and its
363 dependency on fewer assumptions leads to more reliable results (Reimann et al. 2008). It was used
364 for identifying the differences in opinions between various stakeholder groups. Some other similar
365 studies used the Analysis of Variance (ANOVA) for analysis of multiple sample means (e.g. Toor
366 and Ogunlana 2009, Mascarenhas et al. 2014, Heravi et al. 2015). However, since the sample size

367 of the collected surveys was not very large and the normality of the data was under question, the
 368 Kruskal-Wallis test was more appropriate to use for the present study.
 369 Finally, ranking using Mean Score Analysis (MS) was used to indicate the overall respondents’
 370 perception of the indicators. It is commonly used (e.g. Makuei and Oladapo 2014, Aigbavboa et
 371 al. 2017) to assess respondents’ understanding of sustainable construction practices and
 372 prioritization of all the indicators measured with Likert scales (Ojoko et al. 2018). MS can be
 373 calculated as follows:

$$374 \quad MS = \frac{5n_5 + 4n_4 + 3n_3 + 2n_2 + 1n_1}{(n_5 + n_4 + n_3 + n_2 + n_1)} \quad (1)$$

375 Where, n_1 to n_5 are the number of respondents who choose the options: 1 (“not important at all”),
 376 2 (“not important”), 3 (“neutral”), 4 (“important”), 5 (“very important”); respectively.

377

378 **2.3 Ten points scaling (TPS) system and RSAM score**

379

380 RSAM performs a quantitative assessment based on a TPS assessment. The main aim of assigning
 381 points in this method was to reveal exact implementations that were better or superior to the
 382 average or common applications in the context of the selected city. The measurement for each sub-
 383 indicator should support the rapid assessment based on householder opinion i.e. it should not be
 384 complicated or highly technical. After reviewing the existing literature along with the feedback
 385 from the stakeholders, householder opinion/information-based measurement methods were
 386 suggested for all sub-indicators (further details presented in the methods (MethodsX) file).

387 The weighted RSAM scores for objective sets: indicators, factors, and overall, are calculated as:

$$388 \quad I_j = \frac{\sum_{i=1}^n W_i \times S I_i}{\sum_{i=1}^n W_i}, F_k = \frac{\sum_{j=1}^m W_j \times I_j}{\sum_{j=1}^m W_j}, S = \frac{\sum_{k=1}^3 W_k \times F_k}{\sum_{k=1}^3 W_k},$$

389 Where n , m , and k are the number of sub-indicators, indicators, and factors belonging to those
390 objective sets, respectively; $W_{i,j,k}$ is the related weights; and I_j , F_k , and S are the RSAM scores of
391 the indicators, factors, and overall, which are the weighted averages of all objective sets,
392 respectively. All ratings are between 0 and 10.

393

394 **3 Results and Discussion**

395

396 **3.1 Stakeholder opinions on sustainability indicators**

397

398 The descriptive statistics of all stakeholder groups' perception of the indicators denotes a mean
399 value of 4.22, variance of 0.11, and a standard deviation of 0.33. The analyses showed that the
400 coefficient of variation is not high (<8%). The reliability test of the various stakeholder groups'
401 opinions about the presented indicators reveals that the Cronbach's alpha score is 0.84, which is
402 >0.70 – the threshold value for considering whether data have a good internal consistency
403 (Nunnally 1967; Hair et al. 2010). A further investigation using the Kruskal-Wallis test was
404 performed to find significant differences between stakeholder groups on the indicators. It showed
405 that various groups (PG, PU, AC, CO, and CF) have a significant difference in opinion on
406 sustainability indicators ($p < 0.05$) (Table 5), all stakeholder groups' opinions on the considered
407 indicators showed significant differences.

408 In order to elaborate and further discuss the main differences in the stakeholders' opinions, the
409 average weights of the groups for Level 1 parameters (“environmental”, “economic”, and “social
410 and functional”) are illustrated in Figure 3. The economic factor was rated as the second important
411 factor at around 4.5 in all groups except for “field workers”, who assigned equal weights (4.6) to

412 all three factors. Meanwhile, the most and the least important factors vary significantly between
413 the remaining groups. Both “graduate degree holders” and “office workers” gave priority to “social
414 and functional factor”, whereas “academy” and “university or high school diploma holders” rated
415 it as the least important factor. A substantial difference in the weights was observed in the opinions
416 of the graduate degree holders against the other groups since they are the only group to rate the
417 environment factor as the least important one (at 4.3). Despite some disagreement among the
418 stakeholder groups on the order of priorities given to Level 1 parameters, the range of the weights
419 of these parameters are slim i.e. spanning only from 4.2 to 4.7 out of 5, and the stakeholder groups
420 assign them similar significance in the overall assessment.

421 The results of Mean Score Analysis (Table 6) showed that in “environmental” category (ENV),
422 respondents rated both “water” and “energy” indicators as the most important and relevant ones,
423 whereas “soil use and biodiversity” was perceived as the least important. More specifically, “heat
424 loss/insulation” and “water consumption” are considered as the most important sub-indicators by
425 not only the study stakeholder groups but also the residents of Nur-Sultan. This can be explained
426 by harsh winters and relative water scarcity due to extreme continental climate of the region
427 (characterized by long winters and relatively dry summers). Typically, occupants were not
428 satisfied with the drops in room temperature when windows are opened for ventilation purposes.
429 Energy provider companies in Nur-Sultan also highlight decreasing heat losses throughout the city
430 as their operational priority, though Nur-Sultan has significantly lower heat losses (13.6%)
431 compared to Almaty (20%), the second major city in Kazakhstan (ESMAP 2018). The local
432 population finds the hardness of the city’s tap water too high to drink without any further treatment;
433 therefore, the majority prefers filtered (obtained by installing a filtering device in the apartment)
434 or bottled water (ordered from local suppliers or bought in stores) for drinking purposes (Lee

435 2016). Filtering tap water is appreciated significantly by “AC” stakeholder group (comprised
436 mostly of citizens of other countries), who uses mainly bottled water for drinking and finds its
437 delivery timing issues problematic.

438 During the evaluation of “economic” category (ECO), which encourages an integrated design
439 process optimizing building performance, the stakeholders of all groups voted for “operational
440 costs” of the building. The main costs which influence “operational costs” and represent
441 sustainability of the building are “energy” and “water consumption” costs. This result (rank 9,
442 Table 6) clearly indicates that the residents are more concerned with the costs on energy and water
443 consumption in Nur-Sultan mainly due to their income levels. Kazakhstan has a developing
444 economy with a GDP recently moved up into the level of middle-income country. Generally,
445 average-income households are able to pay for energy and water, yet low-income population has
446 issues with paying these bills. For this reason, heat tariffs are highly subsidized and thus kept
447 artificially low (at about one-fifth of the actual cost), but the energy and water costs cannot be
448 lowered further without substantial financial support from the state (ESMAP 2018). Although
449 Kazakhstan has initiated water and energy efficiency programs (“Energy Efficiency 2020”,
450 “Integrated Water Resources Management and Water Efficiency up to 2025”), the population is
451 still concerned about the costs on consumption of water and energy.

452 Among the stakeholders' ratings, “social and functional” category (S&F) parameters, “indoor air
453 quality” indicator was considered as the most critical issue for Nur-Sultan’s residents since all
454 survey respondents identified this indicator as the highest priority (rank 1, Table 6). As respondents
455 are aware that poor indoor air quality causes numerous health issues, the need for better indoor air
456 quality monitoring to combat health risks and enhance occupants’ comfort becomes more apparent.
457 Moreover, it is important to use the right combination of strategies of passive design and active

458 measures (e.g. cooling, heating, solar energy, electric ventilation). The survey showed that the
459 population rated “mobility plan” and “passive systems” as the least important indicators, whilst
460 “ventilation” and “temperature” were given 2nd and 4th priority levels after “indoor air quality”.

461

462 **3.2 Classification and assessments of residential buildings (case studies)**

463

464 Nur-Sultan, as the new capital of Kazakhstan since 1998, is a rapidly developing city with the
465 greatest construction output in the country. With a nearly three-fold population growth over the
466 last two decades since the city was appointed as the new capital, Nur-Sultan has previously
467 struggled to provide sufficient housing stock to meet the growing demand. The government has
468 been continuously providing substantial financial support to tackle this issue, which has led to a
469 notable construction boom and has made the construction sector one of the leading industries (Cole
470 1999, Kridlova Burdova and Vilcekova 2015). At present, the city has a diverse residential
471 building stock ranging from Soviet-era buildings to the ones built after the establishment of the
472 newly independent government in 1991 until 1998, and finally, the new generation buildings built
473 after the Kazakh government appointed Nur-Sultan as the capital city in 1998.

474 The previous research by the authors has investigated the sustainability level of residential
475 buildings in Kazakhstan and has pointed out that the level of sustainability has a correlation with
476 the building’s age and comfort levels (Tokbolat et al. 2018). The study classified residential
477 buildings as (a) “old”: panel and brick multistory buildings depending on materials and
478 components used for construction, and houses typical single-standing dwellings; and, (b) “new”:
479 buildings built using mainly concrete, different filling materials, and bricks, subcategorized as
480 ‘economy, comfort, business, and premium’ class buildings based on their comfort level. The latter

481 category covers nearly two-thirds of the housing needs of the city’s population (Tokbolat et al.
482 2018).

483 The present study uses RSAM to assess the sustainability of residential buildings and complexes
484 in Nur-Sultan using a slightly different classification than Tokbolat et al. (2018). The building-
485 related information about the case studies was gathered mainly from the buildings’ residents
486 whereas a small amount of additional data acquired from other stakeholder groups such as building
487 management and construction companies. For example, “old” buildings were classified in two
488 categories: (1) buildings constructed in the period between industrialization in 1950-60s and the
489 dissolution of the Soviet Union in 1991, (2) buildings constructed in the period from the
490 independence of Kazakhstan in 1991 to the appointment of Nur-Sultan as the capital city in 1998.
491 At the same time, “new” buildings were not further categorized based on their comfort levels but
492 were rather considered as one group, since dividing buildings by their comfort level is a
493 predominantly commercial initiative developed by the construction companies for marketing
494 purposes, which may be biased. Therefore, the present study used a third generalized category -
495 (3) “new buildings”. Categories (1) and (2) had one sample building per category and category (3)
496 included ten buildings selected from various districts of Nur-Sultan. The greater prevalence of new
497 buildings in the samples pool is due to (a) the interest in new buildings as they will be in service
498 longer than the older buildings, (b) their ever increasing share in the city’s building portfolio, and
499 (3) a larger availability of data which supports sustainability assessment. Householders’ data were
500 collected from a minimum five randomly selected samples per building, and results were reported
501 and discussed based on the average values.

502 The assessment scores of all case studies are summarized in Figure 4 where first two bars present
503 the overall and weighted factor contributions of the “old buildings”, while the following three bars

504 illustrate the lowest, average, and best scores of the “new buildings”, respectively. In general, the
505 assessment results have shown that the “Case 1” building (built before 1991) has the lowest
506 sustainability performance score overall as well as in each factor individually. “Case 2” building
507 (built between 1991-1998) presents a rather unexpected and competitive sustainability level
508 compared to some of the more recent structures – “new residential buildings” (“Case 3” and “Case
509 4”), mainly due to its great performance in the economical aspect. Another significant finding of
510 the assessment is that the environmental parameter scores are either low or not satisfactory across
511 all building categories, and yet there seems to be a gradual improvement in the environmental
512 aspect of buildings’ sustainability over time. Moreover, to validate the occupants’ assessment of
513 the building in “Heat loss/Insulation” sub-category, the authors estimated R-value, the thermal
514 resistance of the wall materials (the greater the R-value – the better the insulating properties of the
515 building), of the case study buildings and compared them to the responses. The findings suggest
516 that there is an as strong correlation between estimated R-values and the assessment of the
517 building’s insulation done by occupants. The subsections below present the details of the selected
518 case studies and their assessment results.

519

520 ***3.2.1 Case 1: residential building built before 1991***

521

522 A typical residential building representative of the structures built before 1991 is selected from a
523 suburban area in Nur-Sultan. The neighborhood where the building is located, including the
524 building itself, was constructed at the end of 1980s (relatively new as a Soviet-era building, and
525 thus comparable to other buildings) in accordance with the construction standards and regulations
526 of the Soviet Union. The neighborhood was initially planned as a ‘residential district’ consisting

527 of similar buildings, and now contains two schools, two kindergartens, one clinic, and several
528 grocery and convenience stores. The area has many large trees which enhances the overall image
529 of the neighborhood. Currently, the average price of the apartments of this kind of buildings is
530 relatively low due to unfavorable conditions (e.g. old elevators or none, inconvenient floor plans,
531 smell from basement, old pipes that break often, limited parking space) and the unwillingness of
532 city residents to live in old buildings. The assessed building has four floors with 126 apartments
533 in total. The average monthly energy consumption is 133 kWh per person, which is higher than
534 the average energy consumption by the city's residents (101 kWh per person) (ESMAP 2017).
535 This can be explained by the age of the building, associated losses through the building's envelope
536 (R-value of the external wall components is calculated as 14.7 W/m²K), and inefficient household
537 equipment. Nevertheless, the building received a relatively high score of 5.3 in S&F factor
538 improved by the location of the building in a vibrant and socially comfortable area. The fact that
539 the building is surrounded by rich vegetation and trees native to the region has also contributed
540 positively to the S&F score. However, results show that ENV score of the building is very low
541 (2.3). The overall RSAM score for the building is 3.9, which corresponds to a low sustainability
542 performance level and can be explained by the age of the building and the poor/non-existing
543 sustainability agenda at the time of its design and construction.

544

545 ***3.2.2 Case 2: residential building built from 1991 to 1998***

546

547 A residential building typical to this category was selected among the buildings constructed prior
548 to Nur-Sultan becoming a capital in 1998. The area consists of several dozens of multistory
549 residential buildings built starting from 1997 and located on the embankment of Ishim River. It

550 also has a wide range of commercial amenities such as convenience stores, development centers,
551 beauty salons, and flower shops among others, which are usually located in the buildings’
552 basement or ground floor. The notable difference of this neighborhood from the one described in
553 the previous case is a yard with various children’s playgrounds and football and basketball courts.
554 Currently, apartments in these buildings are privately owned or rented out. The location of the
555 neighborhood on the river’s bank as well as in the geographic center of the city makes this
556 residential area attractive to city residents. The case study building has 16 floors and a total of 64
557 apartments. The average energy consumption is around 120 kW/h per person, which is higher than
558 the average energy consumption in Nur-Sultan, but lower than that of “Case 1” building. The R-
559 value for the building materials used for wall construction was calculated to be 17.2 W/m²K. The
560 best performing category is ECO with an exceptionally high score of 8.0 which is the maximum
561 achieved score in this category among all assessed buildings. The overall RSAM score of this
562 residential building is 5.5 which is an impressive result given the average performance score (5.3)
563 of the supposedly more sustainable new-generation buildings evaluated in the present study.

564

565 ***3.2.3 Case 3: new residential building (lowest sustainability score)***

566

567 A building built in 2004 was selected from a residential area of Nur-Sultan located in a wealthier
568 part of the city. This area has been constructed in order to provide accommodation for the fast-
569 growing population of Nur-Sultan after its appointment as the capital city. However, not all the
570 new buildings were good quality construction. This, in the past, has been evident after strong wind
571 events during which façade materials of buildings of poor-quality build got damaged easily. Such
572 residential areas are quite common and at present, they usually provide accommodation for people

573 working in nearby governmental agencies. The case study building consists of seven floors and a
574 total of 114 apartments. The average monthly energy consumption was 98 kWh per person, which
575 is slightly lower than the city-wide average. Overall, the building’s sustainability performance was
576 rated low in many sub-categories. The lowest score category-wise was obtained in ENV category
577 (2.9), it is possible that sustainability in general, as well as environmental aspects, have not been
578 considered in the building’s design at that time. Despite the proximity to various amenities, the
579 occupants expressed dissatisfaction with the accessibility of amenities, and social and functional
580 dimensions of the residential area. More specifically, S&F5 indicator (“facilities”) was estimated
581 to be only 4.1. Another significantly lower score of 2.5 was scored in ECO2 (“local economy”)
582 indicator indicating the absence of affordable solutions for householders. However, the building
583 scored high results in indicators such as, for example, S&F4 (“space flexibility and adaptability”)
584 and S&F1 (“user’s health and comfort”). These results suggest that such buildings would tend to
585 be more attractive for higher-income occupants. All in all, the overall RSAM score of the building
586 is 4.4, which is lower than the score of “Case 2” building built much earlier.

587

588 ***3.2.4 Case 4: new residential building (highest sustainability score)***

589

590 A representative complex from the newest generation buildings group which received high scores
591 was selected from one of the favorable areas of the city near the entertainment center Khan-Shatyr.
592 The selected residential complex is built close to an artificial lake and is positioned as a green
593 neighborhood with the integration of renewable energy technologies such as solar panels, wind
594 turbines, and piezoelectric energy harvesting devices mainly used to supply outdoor lighting
595 devices. The apartments are privately owned by individuals or rented out. The location of the

596 complex is convenient in terms of transport accessibility, presence of various outdoor amenities,
597 and proximity to business and cultural areas of the city. The case study residential complex
598 contains buildings with 8, 10, 12, and 15 floors with a total number of 620 apartments. The average
599 monthly energy consumption is 110 kWh per person and the calculated R-value of the exterior
600 wall for the assessed buildings is 8.7 W/m²K. The complex showed outstanding results in S&F
601 category by scoring 7.9 points. ECO factor is another area where the building performed well by
602 scoring 7.2. In addition, the residential complex is one of the first buildings in the country that
603 implemented a wide set of green building measures. For example, it is estimated that the complex
604 saves up to 19% of energy due to various energy-efficient solutions and passive design. Moreover,
605 the complex collects and reuses greywater achieving reduction up to 32% in water consumption
606 compared to conventional buildings in Nur-Sultan. However, the residents rated ENV1 (“energy”)
607 and ENV4 (“water”) poorly resulting in poor performance of the complex in ENV factor (3.7),
608 which is still the best score among all assessed residential buildings. Overall, “Case 4” building
609 complex achieved a higher level of sustainability in two out of three main areas of RSAM
610 assessment, and its sustainability performance was assessed as 6.3.

611

612 **3.4 Comparison of RSAM structure with established methods for existing buildings**

613

614 Two well-established sustainability rating systems dedicated to the assessment of existing
615 buildings, LEED-EBOM (USGBC 2014) and Green Star SA EBP (GBCSA 2014), were compared
616 to the resultant structure of the RSAM framework. All three methods recognize the importance of
617 the efficient use of energy and allocate correspondingly great weighting to this category. However,
618 neither LEED-EBOM nor Green Star SA have incorporated heat loss and insulation into their

619 assessment framework, while RSAM emphasizes its essence due to the considerable negative
620 impact of coal-powered combined heating and power systems widespread in Kazakhstan on the
621 environment. Moreover, LEED-EBOM and RSAM promote the use of renewable energy sources
622 which is overlooked by Green Star SA. Nonetheless, RSAM considers only green energy produced
623 on-site, whereas LEED-EBOM takes into account the use of off-site renewable energy, too. All
624 three methods encourage the building's owner or management to support and protect the site's
625 ecology as well as favor previously built areas. Moreover, all sustainability assessment tools
626 include solid waste management (i.e. waste separation and storage) and water use efficiency into
627 the sustainability level evaluation process. Though they all require proper collection and
628 management of stormwater (e.g. use it for irrigation purposes), only RSAM considers the recycling
629 and reuse of greywater with its separation from black water.

630 One key difference between RSAM and the existing two methods is that the former accounts for
631 the economic aspect of sustainability by measuring initial and operational costs of the building and
632 promoting the use of local goods and services which helps to balance the evaluation outcome
633 between the pillars. Another considerable difference between RSAM and the available methods is
634 that the proposed rating system encourages the use of natural ventilation, but LEED-EBOM and
635 Green Star SA do not differentiate between mechanical and natural ventilation systems as long as
636 the desired level of indoor air quality is achieved. The toxicity levels of interior spaces seem to be
637 a major concern for all of the methods, as well as the use of natural light and the thermal and visual
638 comfort of occupants. However, only RSAM encourages the incorporation of passive systems and
639 considering the layout and orientation of the building for minimizing the need for cooling, heating,
640 and mechanical ventilation.

641 LEED-EBOM, Green Star SA EBP, and RSAM all emphasize the importance of the availability
642 of alternative transport options, but RSAM performs a thorough assessment of sustainability
643 performance of the building by including occupant safety, accessibility, availability of social areas
644 for bringing people together, and space optimization and flexibility in the equation. What RSAM
645 does not include, in comparison to LEED-EBOM and Green Star SA EBP, is the evaluation of
646 parameters such as green cleaning, sustainable purchasing, innovative approach to sustainability,
647 and refrigerants management – concepts which are still new to Kazakhstan, therefore, might
648 compromise the survey speed and quality as they may require detailed explanations for
649 householders and if not understood may lead to poor quality answers. All in all, there are numerous
650 similarities along with some important differences between the established rating systems and the
651 proposed method mainly due to three reasons: (1) the RSAM method aims to cover the pillars of
652 sustainability evenly, (2) a few indicators are left out as they cannot be effectively evaluated via
653 occupant surveys, and (3) the method’s content is significantly affected by the regional context, in
654 the present case, of Kazakhstan.

655

656 **4 Conclusions and Implications**

657

658 A fast and resource-efficient sustainability assessment method, Rapid Sustainability Assessment
659 Method (RSAM), has been designed based on stakeholders’ perceptions and opinions evenly
660 covering the three pillars of sustainability (environmental, economic, social and functional). Then,
661 it has been used to rate the sustainability performance of selected existing residential buildings
662 representative of different eras in Nur-Sultan (formerly Astana), Kazakhstan. The assessments
663 were based on the responses of the buildings’ occupants to questionnaires. It has identified key

664 differences in the sustainability performances of buildings of three different generations (built
665 during the Soviet era i.e. prior to 1991, built between 1991 and 1998 following Kazakhstan's
666 independence, and built after 1998 when Nur-Sultan city has become the country's capital). Out
667 of three main sustainability categories, the environmental aspect of the residential building sector
668 has the lowest performance rating, which nonetheless has gradually improved over the years. For
669 further improvement, adopting the developed methodology will allow the construction sector and
670 governmental agencies to understand the sustainability condition of individual residential
671 buildings in the city or country for a relatively low cost. The method can also be modified to
672 expand the assessment to non-residential buildings. These, in combination, would further enable
673 the use of assessment results for decision-making at governmental level for the improvement of
674 building sustainability performances for new constructions in the future.

675 There were certain limitations to the present research. First, the sustainability research in
676 Kazakhstan in general and sustainability of buildings in particular is limited to only a few studies
677 (Tokbolat and Calay 2015, Tokbolat et al. 2018, Akhanova et al. 2020). Furthermore, the domain
678 of sustainability is new to the general public requiring some on-site education on the subject prior
679 to the survey. This, along with the subjectivity of responses to certain sub-indicator questions (e.g.
680 perceived average temperature) made the data collection and analysis a labor-intensive process. In
681 the case of older buildings, the data were often less elaborate and required additional processing
682 due to the absence of measuring devices (meters) or unavailability of records, preventing the
683 residents from reporting accurate data such as energy or water consumption.

684 One of the most important features of RSAM framework is its flexibility allowing modifications
685 on the structure (addition or omission of any indicator or sub-indicator) and the weighting system
686 (assigning weights acquired for a specific region or context). This flexibility gives an opportunity

687 to re-purpose the framework to either include wide range of buildings or focus on a particular type
688 depending on the goals of such sustainability assessment.

689 Given the relatively young age of the capital city and very limited construction before the second
690 half of 20th century, traditional and historic buildings in Nur-Sultan are rare. Moreover, the current
691 state of construction sector in the city favors new construction which is much more profitable than
692 renovating old buildings. However, if the framework is to be applied to an older city (e.g. Almaty,
693 the cultural center of Kazakhstan with over a century-long history), it can be adjusted to account
694 for cultural, social, and other benefits that renovating traditional and historical buildings brings.
695 The existing structure of the framework already favors reusing old buildings with an inclusion of
696 sub-indicators like “reuse of previously built or contaminated areas”, but it may omit other
697 significant factors. Some of the suggested major aspects of adaptive reuse of old historic buildings
698 include “heritage preservation” and “appropriateness of the new scope” of the building, which can
699 be easily added as indicators or sub-indicators to social and functional factor (S&F). Other aspects
700 such as “the contribution of the building to revitalization of the area” and “increased tourism” may
701 also be important (Misirlisoy and Gunce 2016), but they might pose a challenge in finding rapid
702 and easy ways to rate these aspects. On the contrary, some sub-indicators including the initial costs
703 of construction might have to be changed or overlooked in order to assess renovation costs. These
704 kinds of adjustments would require iterating the process of framework development starting from
705 choosing appropriate indicators and sub-indicators as well as ways to measure them and ending
706 with developing a new weighting system derived from stakeholders’ opinions adding a great
707 prospect in RSAM improvement in the future.

708 RSAM has the potential to become a good alternative to elaborate and resource-intensive
709 international sustainability certification tools. The recommended future work includes (1) the

710 development of a user-friendly online tool with an easy-to-navigate structure (to make the adoption
711 of RSAM easier for stakeholders), (2) building a city-wide sustainability map with the help of
712 stakeholders and governmental agencies to access larger quantities of building information (to aid
713 the decision-making process of the municipality in improving urban sustainability), and (3) to
714 develop a causality model for RSAM parameters which can measure householders' loyalty and
715 satisfaction levels for housing developers (to understand the correlation between various
716 sustainability-related variables and the clients' satisfaction, to view subsequent changes in
717 satisfaction levels after making adjustments to building-related variables during design and
718 construction phases).

719

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721

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723

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879 Table 1. Comparison of sub-indicators of Rapid Sustainability Assessment Method (RSAM) with
 880 LEED - Existing Buildings: Operations & Maintenance (EBOM) and Green Star for Existing
 881 Building Performance (SA EB)

Sub-indicators of RSAM	LEED - EBOM	Green Star SA EB
ENV1.1: Primary energy consumption/area (or volume) (Energy efficiency rating)	X	X
ENV1.2: Heat loss/Insulation		
ENV1.3: Local energy production	X	
ENV2.1: Layout optimization		
ENV2.2: Soil sealing		
ENV2.3: Reuse of previously built or contaminated areas	X	X
ENV2.4: Ecological protection of the site	X	X
ENV2.5: Rehabilitation of the surrounding	X	
ENV2.6: Use of native plants		
ENV3.2: Reused products and recycled materials	X	X
ENV3.3: Waste separation and storage	X	X
ENV4.1: Water consumption	X	X
ENV4.2: Recycling and reuse of grey water		
ENV4.3: Rain and storm water collection and use	X	X
ENV4.4: Separation of black water		
ECO1.1: Initial costs (cost of the building)		
ECO1.2: Operational costs (e.g. energy and water consumption costs)		
ECO2.1: Hiring local goods and services		
S&F1.1: Natural ventilation		
S&F1.2: Toxicity of finishing materials	X	X
S&F1.3: Thermal comfort	X	X
S&F1.4: Visual comfort	X	X
S&F1.5: Acoustic comfort		X
S&F1.6: Indoor air quality	X	X
S&F1.7: Natural light	X	X
S&F2.1: Layout and orientation		
S&F2.2: Passive systems (e.g. no electric ventilation, cooling and heating, etc.)		
S&F3.1: Occupant safety		
S&F3.2: Accessibilities		
S&F4.1: Availability and accessibility to social areas		
S&F4.2: Space optimization, flexibility and adaptability		
S&F5.1: Accessibility to public transport	X	X
S&F5.2: Local amenities		
S&F5.3: Low impact mobility	X	X
S&F5.4: Building management and availability of services		X

882 Table 2. Data on participants belonging to one of the three stakeholder groups (n = 68)

	Stakeholder groups	Description of participants
Public	PG: People with graduate degree (n = 15)	Specialists, managers, graduate students, engineers (civil, environmental, mechanical, electrical), research assistants, teaching assistants
	PU: People with undergraduate degree and/or with high school degree (n = 20)	Accountants, auditors, students, high school graduates, businessmen, teachers, doctors
Academy	AC: University professors (n = 15)	Professors at various levels
Construction industry	CO: Office workers (n = 9)	Architects, computing engineers, project managers, structural engineers, pumping engineers, electrical engineers
	CF: Field workers (n = 9)	Foremen, chief managers, project managers, technical document specialists, project group specialists, chief engineers, site engineers

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885 Table 3. Hierarchical structure of RSAM framework with weight summaries

Level 1 (Factors)	Weights [1-5]	Level 2 (Indicators)	Weights [1-5]	Level 3 (Sub-indicators)	Weights [1-5]		
ENV: Environmental	4.57	ENV1: Energy	4.65	ENV1.1: Primary energy consumption/area (or volume) (Energy Efficiency rating)	4.47		
				ENV1.2: Heat loss/insulation	4.56		
				ENV1.3: Local energy production	4.08		
		ENV2: Soil use and biodiversity	3.99	ENV2.1: Layout optimization	4.03	ENV2.2: Soil sealing	4.05
						ENV2.3: Reuse of previously built or contaminated areas	3.51
						ENV2.4: Ecological protection of the site	4.20
						ENV2.5: Rehabilitation of the surrounding	3.95
						ENV2.6: Use of native plants	3.71
						ENV3: Materials and Solid Waste	4.15
		ENV4: Water	4.58	ENV4.1: Water consumption	4.53		
						ENV4.3: Rain and storm water collection and use	3.53
						ENV4.4: Separation of black water	3.79
						ECO: Economic	4.41
		ECO1.2: Operational costs (e.g. energy and water consumption costs)	4.63				
ECO2: Local Economy	4.13	ECO2.1: Hiring local goods and services	4.13				
	4.51		4.53	S&F 1.1: Natural ventilation	4.61		

Level 1 (Factors)	Weights [1-5]	Level 2 (Indicators)	Weights [1-5]	Level 3 (Sub-indicators)	Weights [1-5]
S&F: Social and functional		S&F1: User's health and comfort		S&F 1.2: Toxicity of finishing materials	4.61
				S&F1.3: Thermal comfort	4.61
				S&F1.4: Visual comfort	4.31
				S&F1.5: Acoustic comfort	4.53
				S&F1.6: Indoor air quality	4.69
				S&F1.7: Natural light	4.43
		S&F2: Passive design	3.86	S&F2.1: Layout and orientation	3.92
				S&F2.2: Passive systems (e.g. no electric ventilation, cooling and heating, etc.)	3.73
		S&F3: Mobility plan	3.86	S&F3.1: Occupant safety	4.64
				S&F3.2: Accessibilities	4.28
		S&F4: Space flexibility and adaptability	3.88	S&F4.1: Availability and accessibility to social areas	4.16
				S&F4.2: Space optimization, flexibility and adaptability	4.09
		S&F5: Facilities	4.09	S&F5.1: Accessibility to public transport	4.23
				S&F5.2: Local amenities	3.93
				S&F5.3: Low impact mobility	3.71
				S&F5.4: Building management and availability of services	4.12

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888 Table 4. Shapiro-Wilk normality test performed on the collected data from the participants

Stakeholder group	Shapiro-Wilk test parameters		
	Statistic	df	Sig.
AC	0.934	51	0.006
CF	0.934	51	0.007
CO	0.915	51	0.001
PG	0.943	51	0.015
PU	0.945	51	0.019

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891 Table 5. Kruskal-Wallis test on overall score of stakeholder groups' opinion on all indicators

Levels	df	H value	Critical value	P value	Conclusion
Level 3 - Sub-indicators	4	3644237.8	0.7	<0.000	Not all group medians are equal. Differences between some of the medians are statistically significant. Rejection of null hypothesis.
Level 2 - Indicators	4	138402.8	0.7	<0.000	
Level 1 - Factors	4	106709.7	0.7	<0.000	

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894 Table 6. Indicator priorities by all stakeholder groups

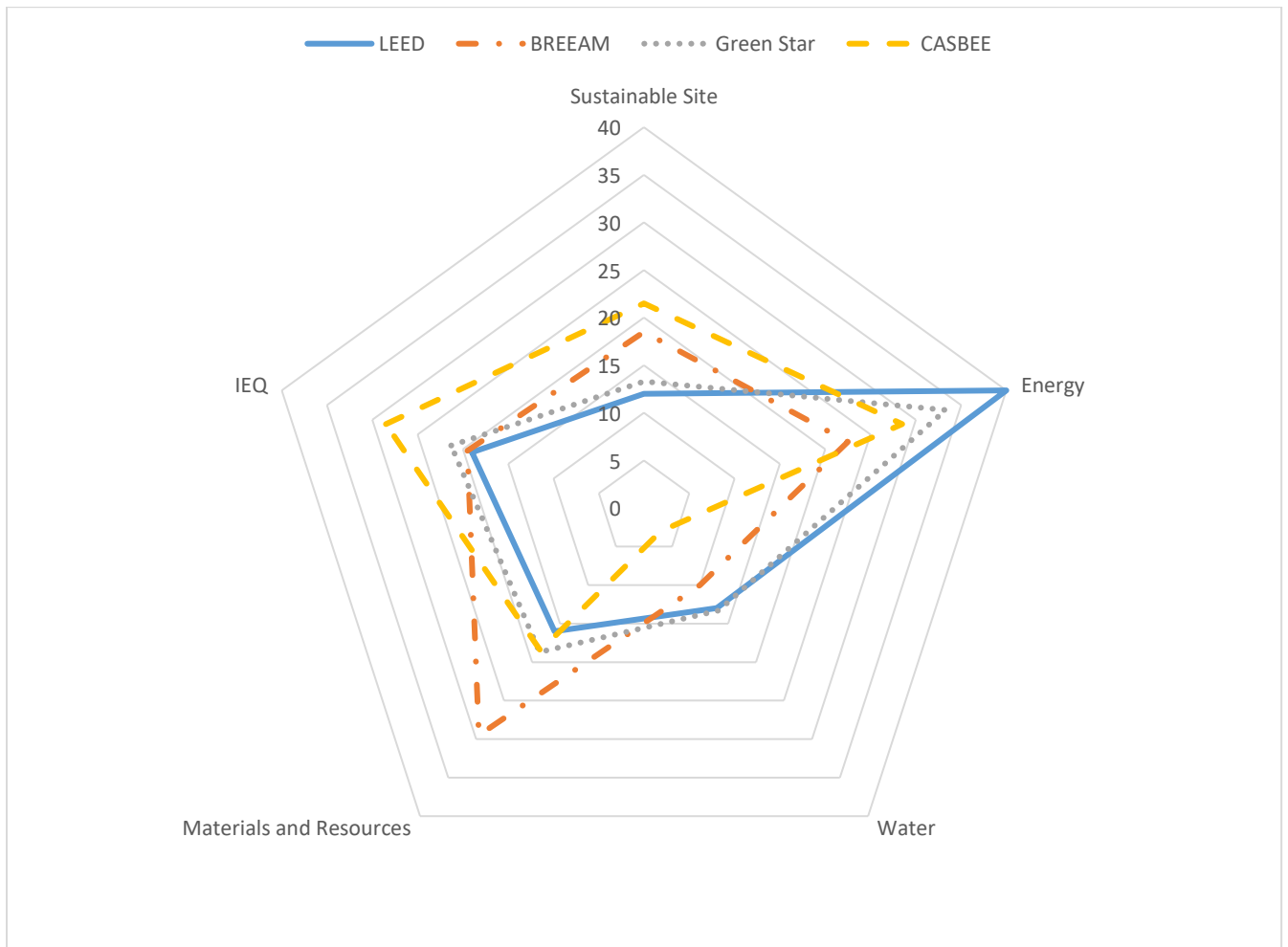
Parameters	Number of responses and priority ranking						
	1	2	3	4	5	MS	Rank
A. LEVEL 3 PARAMETERS							
Indoor air quality	0	2	6	17	49	335	1
Natural ventilation	0	1	6	25	40	320	2
Heat loss/Insulation	0	2	2	26	40	314	3
Thermal comfort	0	0	2	33	35	313	4
Acoustic comfort	0	2	5	22	41	312	5
Toxicity of finishing materials	0	1	3	20	44	311	6
Water consumption	0	2	8	13	46	310	7
Natural light	0	4	8	23	37	309	8
Occupant safety	0	2	3	19	44	309	8
Primary energy consumption	0	2	5	23	38	301	9
Operational costs	0	0	2	20	43	301	9
Visual comfort	0	3	10	27	31	299	10
Accessibilities	0	5	6	34	27	299	10
Waste separation and storage	0	2	7	27	32	293	11
Availability and accessibility to social areas	0	5	8	38	21	291	12
Accessibility to public transport	0	4	9	30	26	285	13
Initial costs (cost of the building)	1	3	5	22	35	284	14
Ecological protection of the site	0	3	10	24	30	282	15
Space optimization, flexibility and adaptability	0	4	14	30	22	280	16
Reused products and recycled materials	0	5	8	32	23	277	17
Building management and availability of services	0	2	11	32	21	270	18
Local Energy production	1	4	11	23	27	268	19
Construction waste	1	6	11	27	22	263	20
Use of native plants	3	9	19	30	13	260	21
Recycling and reuse of grey water	2	4	13	29	19	258	22
Local amenities	0	4	12	33	16	256	23
Soil sealing	0	2	16	19	24	248	24
Separation of black water	3	6	16	27	16	248	25
Layout and Orientation	0	3	11	37	12	247	26
Rehabilitation of the surrounding	2	5	11	28	17	240	27
Reuse of previously built or contaminated areas	6	7	21	22	14	235	28
Passive Systems	3	5	15	24	15	226	29
Rain and storm water collection and use	3	6	20	26	9	221	30
Heat island effect	3	7	15	19	14	205	31

Low impact mobility	1	5	19	16	9	176	32
B. LEVEL 2 PARAMETERS							
User's health and comfort	0	2	6	20	43	317	1
Water	0	1	6	22	41	313	2
Cost of Building	0	2	4	28	36	308	3
Energy	0	1	5	15	46	307	4
Materials and Solid Waste	2	1	15	27	26	285	5
Local Economy	0	2	12	29	25	281	6
Facilities	0	2	6	41	16	266	7
Space flexibility and adaptability	0	3	17	38	11	264	8
Passive design	0	4	14	32	13	243	9
Mobility plan	0	4	19	30	10	235	10
Soil use and biodiversity	0	1	14	32	12	232	11
C. LEVEL 1 PARAMETERS							
Environmental	0	4	7	18	45	326	1
Economic	0	1	4	31	36	318	2
Social and functional	0	1	6	28	34	302	3

895 * 1 – Not at all important, 2 - Not important, 3 - Neutral, 4 – Important, 5 - Very important

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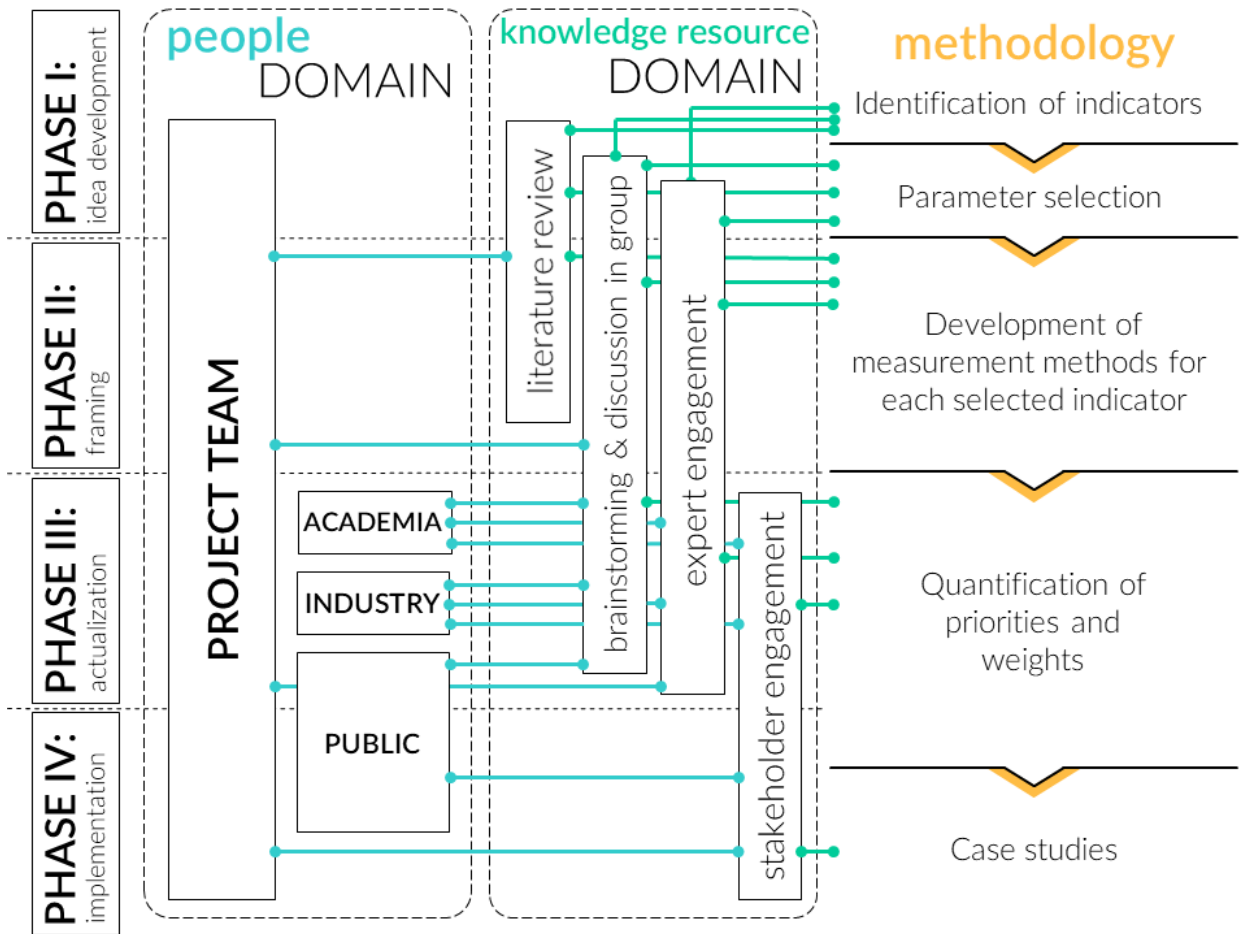
897 Figure 1. Comparative (sensitivity) analysis for LEED, BREEAM, Green Star, and CASBEE in
898 terms of major key categories



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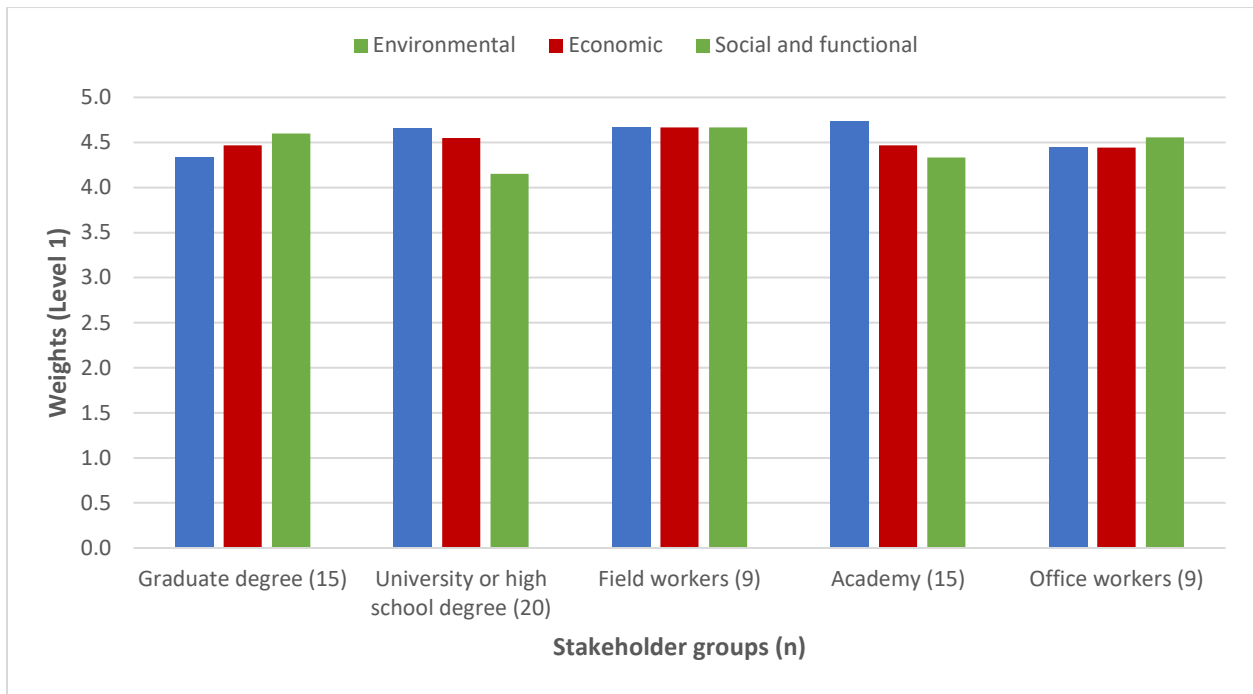
901 Figure 2. Development methodology for Rapid Sustainability Assessment Method (RSAM)



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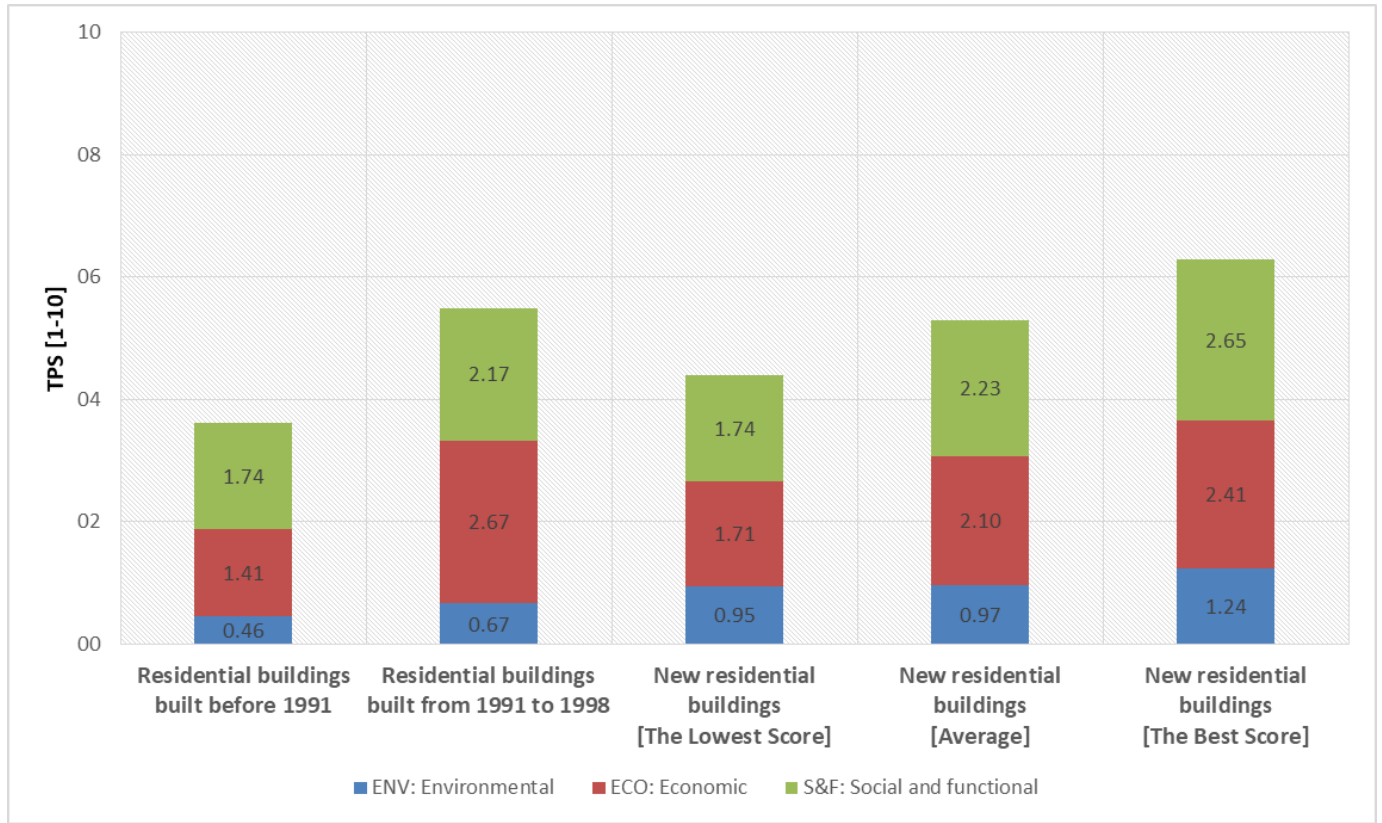
904 Figure 3. Stakeholder groups' weights for Level 1 parameters



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907 Figure 4. Overall RSAM scores of all case studies



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