1	Sulfonated MCM-41 as potential catalyst to obtain
2	biolubricants from vegetable oil
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17 ABSTRACT

18 Biolubricants may be obtained from vegetable oils using appropriate chemical modifications, to improve their physicochemical properties for lubrication applications. In this study, the esterification 19 of a free fatty acid (FFA) with long chain alcohols was performed using sulfonated mesoporous silica 20 as catalyst. Fresh and functionalized MCM-41 samples were prepared to evaluate the effect of the 21 sulfonic surface group on the esterification reaction. MCM-41 was functionalized via post-synthesis 22 modification using 3-mercaptopropyltrimethoxysilane (MPTS) as silylating agent. The synthesized 23 catalysts were characterized using several techniques. After the functionalization, a decrease in the 24 apparent surface area and pore diameter were observed. The MCM-41/SO₃H sample was applied in 25 26 the esterification reaction of oleic acid (as a FFA model) using two different long-chain alcohols (octanol and 2-ethylhexanol). The catalytic results reveal that sulfonated catalyst showed excellent 27 conversions (~100% mol when using octanol and >93% mol using 2-ethylhexanol) and selectivities to 28 29 esters (ca. 90%).

30 Keywords: mesoporous materials; MCM-41; heterogeneous catalysis; esterification; fatty acids.

32 **1. INTRODUCTION**

Alternative routes to obtain lubricants using renewable sources have been attracting 33 intense research studies lately. Besides the environmental benefit, bio-based lubricants 34 obtained from vegetable oil may present very good lubricity characteristics and other 35 physicochemical properties (Owuna et al. 2020). Vegetable oils present some advantages over 36 mineral oil, such as better lubricating effects, biodegradable nature, high viscosity index and 37 low volatility. However, they present very low chemical stability and thus must undergo 38 chemical modifications to be used as biolubricants (Owuna et al. 2020; Madankar, Dalai and 39 Naik 2013). These modifications may be carried out by esterification of free fatty acids (FFA) 40 41 obtained from a vegetable oil using a long-chain alcohol to allow appropriate properties for eventual lubricant applications (Saboya et al. 2017). 42

Several studies have been reported using esterification reactions between FFA and longchain alcohols, such as 2-ethylhexanol (Ferreira et al. 2020; Oliveira et al. 2020; Saboya et al. 2017; Russo et al. 2021; Pindit et al. 2021; Salimon, Salih and Yousif 2012). As well known, using heterogeneous instead of homogeneous catalysts convey several advantages, such as reduction in waste water, catalyst recovery and mitigation of potential corrosion problems in plant equipment (Radhakrishnan et al. 2011).

MCM-41 material belongs to a family of silicas called M41S that were discovered in the 90s by researchers at Mobil R&D Corp. These were the first materials containing ordered and uniform mesoporous structures, with a narrow pore size distribution (Blin and Su 2002; Vartuli et al. 1994; Wang et al. 2013; Ciriminna et al. 2014; Al-Hazmi and Apblett 2011). These materials emerged from the need to process bulky molecules, a problem that microporous molecular sieves were not able to address due to their small pore diameter. Thereby, these materials have attracted attention of researchers over the world due their excellent properties, such as high surface area, regular pore shape, large pore volume, narrow
pore size distribution, tunable pore size (Chermahini et al. 2015).

For catalytic applications, modification of the surface chemistry in MCM-41 is mandatory due to the low acidity of the silanol (Si-OH) groups. To this end, the silanol groups play a major role since these will be used as anchoring sites for the desired functionality. Based on this concept, many researchers have been studying the incorporation of functional groups, thus generating Brønsted and/or Lewis acid sites upon request (Kister et al. 2012; Ding et al. 2018; Wagh et al. 2018; Tayade et al. 2015; Piscopo et al. 2012).

MCM-41 functionalization may be carried out through a direct synthesis route, which 64 65 involves a one-step strategy based on co-condensation from two sources of silicas, or via postsynthesis modification. The latter approach involves the surface modification by grafting or 66 coating (Liu et al. 2009; Huang et al. 2016; Wang et al. 2019; Krishna et al. 2018; Noda et al. 67 68 2016). Several studies have described the efficiency in the insertion of acidic groups on the surface of MCM-41 for application in catalysis (Chermahini et al. 2015; Díaz et al. 2005; Wang 69 70 et al. 2017; Rossetto et al. 2018; Mohino et al. 2002; Debasish, Jyh-Fu Lee and Cheng 2001). Some studies described that this material has an efficient catalytic performance when applied 71 in esterification reactions, due to the combination of high acidity and proper porous structure 72 73 (Díaz et al. 2005; Díaz et al. 2001; Díaz et al. 2001). However, to our knowledge, the activity of sulfonated MCM-41 as a potential catalyst for the esterification of FFA, using long-chain 74 alcohols for biolubricants synthesis, has not been reported in the open literature. 75

In this study, a fresh MCM-41 sample was synthesized using a standard reported method. That sample was then functionalized with sulfonic groups, via post-synthesis modification using 3-mercaptopropyltrimethoxysilane (MPTS) as silylating agent, followed by oxidation with H₂O₂. The MCM-41 samples (non-functionalized and functionalized) were characterized using several techniques. Then the catalysts were evaluated in the esterification of oleic acid using two different long-chain alcohols (octanol and 2-ethylhexanol). The reaction
 conversions and selectivities were monitored using Nuclear Magnetic Resonance (H¹ NMR).

83

84 2. EXPERIMENTAL SECTION

85 2.1. Materials

Tetraethyl orthosilicate (TEOS, 98% wt.), 3-mercaptopropyltrimethoxy-silane (MPTS),
chloroform-d (CDCl3, 99.8%) and trimethylolpropane (>98% wt.) were purchased from SigmaAldrich (USA). The oleic acid (90% wt., Sigma-Aldrich/USA) was used as free fatty acid model for
the esterification reactions. Cetyltrimethylammonium bromide (99% wt.) was provided by Vetec
(Brazil). Octanol (>99% wt.) and 2-ethylhexanol (≥ 99% wt.) were purchased from Merck (Germany).
The hydrogen peroxide (35% wt.) was provided by Dinâmica (Brazil) and the ethyl acetate (≥ 99%
wt.) was purchased from Fluka (Germany).

93

94 2.2. Preparation and functionalization of mesoporous silica

The procedure to obtain the MCM-41-type mesoporous silica was modified from Araújo et al.
(2013), with a reaction mixture composition as shown in Eq. 1. The moles of ethanol in the composition
refer to the hydrolysis of the silica source (TEOS). The obtained silica material was then calcined in
three steps: first, at 150 °C with a heating rate of 5 °C/min for 2 h; second, at 300 °C, 10 °C/min for 2
h; and third at 550 °C in heating rate 10 °C/min for 5 h, as previously reported by Campos et al. (2020).
1 SiO₂:0.3 CTABr:0.5 NaOH:144 H₂O:4 EtOH (Eq. 1)

101

102 MPTS was used as organosilane in the silvlation reaction and the procedure was adapted from 103 Borrego et al. (2010). The silvlation was carried out in N₂ atmosphere under reflux. Approximately 104 0.8 g of MCM-41 was added into 19.6 mL of a solution of the dry toluene and MPTS (10% wt.), the reaction medium was stirred for 24 h at 85 °C. After that, the material was washed with acetone and
toluene, and then it was dried for 12 h at 100 °C.

107 The oxidation of the –SH group (Scheme 1) was carried out with H_2O_2 . Briefly, 0.78 g of 108 material was added into approximately 15 mL of H_2O_2 (ratio solid:liquid of 1:20 g/ml) and the mixture 109 was stirred at 60 °C for 24 h. The catalyst sample was separated by filtration, washed with distilled 110 water and then the material was acidified with 0.1 M H_2SO_4 . This treatment aims to assure total 111 oxidation of the thiol groups (-SH) that might not have been completely oxidized in the previous step 112 with H_2O_2 . Finally, the sample was washed with distilled water to remove all traces of liquid acid.

113 Then, the catalyst was dried for 12 h at 60 °C (Debasish, Jyh-Fu Lee and Cheng 2001).

- 114
- 115

<Scheme 1>

116

117 *2.3. Characterization of catalyst samples*

The textural properties of the prepared catalyst samples were characterized using nitrogen 118 119 adsorption/desorption at -196 °C in an Autosorb IQ3 equipment from Quantachrome Instruments (USA). Approximately 40 mg of sample is degassed under vacuum using a turbo-molecular pump, 120 heated from room temperature to 150 °C for 6 hours under heating rate of 1 °C/min. The specific 121 122 surface area (S_{BET}) was calculated using the BET method (relative pressures between 0.1 and 0.3) and the total pore volume was obtained from the N₂ volume adsorbed at a relative pressure of 0.95. The 123 pore size distributions were calculated using the density functional theory method (DFT), following 124 the approach reported by Jagiello and Thommes (2004). 125

The X-Ray Diffraction was carried out in the Bruker D8-Advance with Göebel mirror (nonflat samples) with high temperature chamber (up to 900 °C), with an X-ray generator KRISTALLOFLEX K 760-80 F (Power: 3000 W, Voltage: 20-60 KV and Current: 5-80 mA) equipped with an RX tube with copper anode. The distance between the planes of the synthesized material was estimated from Equation 2, where the Bragg angle (θ) is given by the diffractogram and the lattice parameter (a_0), calculated using the Equation 3 (Campos et al. 2020).

132
$$d=(n.\lambda)/(2.\sin\theta)$$
 (Eq. 2)

133
$$a_{100} = (2.d_{100})/\sqrt{3}$$
 (Eq. 3)

A transmission electron microscope (TEM) model JEOL JEM-1400 Plus (Japan), that works at 120 keV and is equipped with an Orius camera (Gatan), was used for image acquisition. The resolution achieved is 0.38 nm between points and 0.2 nm between lines. It has four grid holders, which saves time when changing samples. A scanning electron microscope (Hitachi S3000N, Japan) equipped with an X-ray detector Bruker XFlash 3001 for microanalysis (EDS) was used to characterize the catalysts. The scanning microscope is able to work in variable pressure mode for observation of nonconductive specimens without coating of samples.

A spectrometer K-ALPHA of Thermo Scientific (USA) was used to measure the X-ray 141 photoelectron spectra of the catalysts. All spectra were collected using Al-Ka radiation (1486.6 eV), 142 monochromatized by a twin crystal monochromator, yielding a focused X-ray spot (elliptical in shape 143 144 with a major axis length of 400 μ m) at 3 mA \times 12 kV. The alpha hemispherical analyzer was operated at 200 eV and 50 eV to measure the whole energy band and to measure the particular elements. The C 145 1s core level was used as reference binding energy, and it is located at 284.6 eV. The samples were 146 147 pressed and mounted on the sample holder and placed in the vacuum chamber. Before recording the spectrum, the samples were maintained in the analysis chamber until a residual pressure of ca. 5×10^{-7} 148 N/m^2 was reached. The quantitative analysis was estimated by calculating the integral of each peak, 149 after subtracting the S-shaped background, and by fitting the experimental curve to a combination of 150 Lorentzian (30%) and Gaussian (70%) lines. 151

The infrared spectra were recorded on an ABB Bomem FTLA 2000-102 FTIR instrument (USA). The spectra were acquired by accumulating 100 scans at 4 cm⁻¹ resolutions in the range of 400-4000 cm⁻¹ using samples (2 wt.%) with KBr. Thermal stability of catalysts was measured by thermal gravimetric analysis (TGA-QMS
 customized, model STA 409 CD/403/5/G SKIMMER – Netzsch, Germany) with a heating ramp of 10
 °C/min, from 30 °C to 800 °C.

158

159 *2.4. Catalytic activity*

The catalytic activity of the synthesized samples was evaluated in the esterification of oleic acid (OA) using different long-chain alcohols: octanol and 2-ethylhexanol (see Scheme 2). The reaction was carried out under inert atmosphere at 90 °C in a three-neck round bottom reactor, using a mass ratio of 4:100 (catalyst to oleic acid), as previously reported by Ferreira et al. (2020). This was performed for 6 h under agitation of 500 rpm, which should eliminate any external mass transfer constraints (Russo et al. 2021).

- 166
- 167

<Scheme 2>

168

First, the oleic acid (3.0 g, for molar ratio 1:4 OA:alcohol) was heated to 90 °C and then the long-chain alcohol was added in the appropriate molar ratios. After that, the catalyst sample (MCM-41 or MCM 41/SO₃H) was added to the reaction medium and samples were then collected at given time intervals.

The evaluation of the reaction products was carried out by Nuclear Magnetic Resonance of Hydrogen (¹H NMR) using a Bruker spectrometer (AVANCE model) operating at a frequency of 400 MHz. The solvent used for these analyses was deuterated chloroform at a temperature of 25 °C. The peak of the -CH₂- bond, at 2.25-2.5 ppm, was taken as reference for monitoring the conversion of oleic acid and the peak for the -HC=CH- bond was used for calculation the selectivity in oleate by the Equations 4-6:

179 $CO=(a_1/2).100\%$ (Eq. 4)

180
$$DC = ((2-a_2)/2).100\%$$
 (Eq. 5)

where: a_1 is the area of the new binding peak, concerning $-CH_2$ - bond, approximately 4.0 ppm; a_2 is the peak area of double bond, approx. 5.5 ppm; CO is the conversion (% mol) to oleate; DC is the double bond conversion (% mol) and S is the selectivity for oleic ester (Ferreira et al. 2020).

(Eq. 6)

185

186 **3. RESULTS AND DISCUSSION**

187 *3.1. Characterization of catalysts*

The nitrogen adsorption and desorption isotherms at -196 °C of the catalysts are shown 188 189 in Figure 1a (data included in Table 1). The catalysts exhibited a type IV isotherm, according to the IUPAC classification (González et al. 2012), with narrow H4 type hysteresis. As shown 190 in Table 1, the specific surface area (S_{BET}) decreases from 855 m^2/g , in the original MCM-41 191 sample, to 600 m²/g, after the anchoring of the sulfonic groups. The total pore volume also 192 decreased significantly (from 0.71 to 0.39) after the funcionalization. Furthermore, changes in 193 the porous structure are also evident from the pore size distributions (PSD) shown in Figure 194 1b. The PSD curve of the fresh material indicates pore sizes predominantly in the range 195 between 2 and 4 nm, whereas the functionalized sample presented a shift of those pore sizes to 196 197 a lower range (between 1.5 and 3.3 nm). Debasish et al. (2001) reported the reduction in the pore diameter of MCM-41 after functionalization, this observation being a clear proof of the 198 successful anchoring of the sulfonic groups within the mesoporous tubes, with no deterioration 199 200 of the mesoporous network.

- 201
- 202

<Figure 1>

<Table 1>

- 203
- 204

205	Although MCM-41 silicas are amorphous solids (long-range) and do not exhibit a well-
206	defined XRD pattern, as compared to zeolites (Figure 2a,b and Figure 3), they present a short-
207	range order due to the hexagonal arrangement of the mesopores, thus explaining the XRD peaks
208	observed at low 2 θ values. As seen in Figures 2c-f, the modification with the organosilane
209	apparently has not affected the morphology of the catalyst. Nevertheless, the XRD's presented
210	in Figure 3 display peaks at low angle in both curves showing greater intensity in the sample
211	without modification (black line). The MCM-41/SO ₃ H silica showed a lower intensity at the
212	same peak (around 3 θ) which indicates that the functionalization was indeed carried out.
213	However, there was no displacement of the peak which means that the values of the interplanar
214	distance and the hexagonal arrangement parameter (d_{100} and a_0 , respectively) are essentially
215	the same as in the fresh MCM-41 sample (see Table 1).
216	
217	<figure 2=""></figure>
217 218	<figure 2=""> <figure 3=""></figure></figure>
218	
218 219	<figure 3=""></figure>
218 219 220	<pre><figure 3=""></figure></pre> The sample which was oxidized to obtain the -SO ₃ H groups was assessed by high-
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confirm the anchoring of the sulfonic groups. The spectrum shows a broad band at 1080 cm^{-1}

230	that represents the antisymmetric vibration of the Si-O bond, in that same region one would
231	also expect sulfonic acid bonds, which would be attributed to the O=S=O and S=O, asymmetric
232	and symmetric stretching, respectively (Chermahini et al. 2015). Approximately at 970 cm ⁻¹
233	the vibrational band can be assigned to Si-O-Si, the broad band between 3100 and 3700 cm ⁻¹
234	is assigned to hydroxyl groups both in silanols and water (Kister and Roessner 2012). The
235	MCM-41 sample (Figure 5, black line) used in this analysis has organic material inside of pores
236	(CTA ⁺ , surfactant CTABr without the bromide ion). Then the vibration bands at 2900 and 1470
237	cm ⁻¹ are related to bond C-H stretches that come from the surfactant used to synthesize the
238	material (Reyes et al. 2015).
239	
240	<figure 5=""></figure>
241	
242	The thermal stability of the catalyst samples was measured by TG (see Figure 6). A
243	weight loss up to 100 °C may be identified for both samples, attributed to the loss of adsorbed
244	water. In Figure 6a, it may be observed a more level of loss weight, where up to 350 °C is
245	attributed to the elimination of organic material from CTA ⁺ (surfactant) (Díaz et al. 2001).
246	Above this temperature, the weight loss may be attributed to condensation of the silanol groups
247	thus releasing H_2O . For the sulfonated sample (Figure 6b), only two levels of weight loss were
248	detected, one for water at 100 $^{\circ}$ C (as already mentioned) and other between 200 $^{\circ}$ C and 500
249	°C, due to the decomposition of sulfonic groups which occurs up to approximately 350 °C
250	(Debasish, Jyh-Fu Lee and Cheng 2001).
251	
252	<figure 6=""></figure>
253	
254	3.2. Catalytic experiments

The conversions and selectivities were monitored using Nuclear Magnetic Resonance 255 256 of Hydrogen (¹H NMR). The spectra of samples obtained from the esterification reaction of oleic acid with octanol and 2-ethylhexanol are shown in Figure 7. The oleic acid molecule has 257 in its chemical structure two highly reactive functional groups: terminal carboxyl group (2.25-258 2.5 ppm) and unsaturation at ninth carbon (~5.5 ppm). The esterification reaction is confirmed 259 in Figure 7 with the appearance of ester peak at ~4.0 ppm, corresponding to hydrogen of the 260 261 CH₂ group attached to the oxygen of the ester. The conversion values were calculated using the area of the acid function peak as reference (equals to 2.00 ppm). 262

- 263
- 264

<Figure 7>

265

Oleic acid conversions versus reaction time (up to six hours) for all samples are shown in Figure 8. For all experiments, the non-functionalized MCM-41 sample exhibits a low catalytic activity in the oleic acid esterification, with maximum conversion values around 20 % mol. On the contrary, the conversion of oleic acid using the MCM-41/SO₃H sample was above 90 % mol after 3 h for both alcohols. The functionalized MCM-41 sample presents excellent conversion values (*ca.* 100% when using octanol and above 93 % when using 2ethylhexanol) after 6 h of reaction.

- 273
- 274

<Figure 8>

275

It may be observed that, when using the MCM-41/SO₃H sample, there is a decreasing trend in conversion with increasing branching level of the alcohols. This is related to the higher steric hindrance in the molecules of 2-ethylhexanol that causes a reduction in the interaction between the FFA and alcohol in the active sites of catalysts. High ester selectivities were obtained (ca. 90%) for both alcohols in the MCM-41/SO3H sample, as shown in Table 2, much
higher than the values obtained for the non-functionalized samples.

- 282
- 283

<Table 2>

284

However, for the MCM-41/SO₃H sample, the ester selectivity, using 2-ethylhexanol, 285 286 was slightly higher than that observed for octanol. A reported mechanism for the esterification of FFA using heterogeneous acid catalysts (Fraile et al., 2015) consists of three steps: initial 287 protonation of the FFA, followed by an attack of the alcohol on the carbocation, leading to a 288 289 tetrahedral intermediate, and, finally, water elimination, resulting in the catalyst regeneration. The slightly higher selectivity of 2-ethylhexanol when compared to n-octanol for the MCM-290 41/SO₃H sample may be related to a likely lower packing of the branched alcohol molecules 291 292 (compared to linear molecules), which makes the attack of the FFA carbocation slightly more favorable, thus improving the ester selectivity. 293

For non-functionalized sample, the values of selectivities to esters showed decreasing trend with increasing branching level of alcohols. The sulfonated MCM-41 showed better performances than fresh sample for both alcohols. Thus, the selectivity to esters increased with the presence of the sulfonated catalyst, in all cases in such a way that the modified catalyst exhibited a selectivity trend (2-ethylhexyl oleate > octyl oleate) after 6 h of reaction.

299

300 4. CONCLUSIONS

MCM-41 mesoporous silica was synthesized and then modified by post synthesis using MPTS as silylating agent to obtain MCM-41/SO₃H. The results of N_2 physisorption and XRD showed that the modification on the MCM-41 effectively took place. Furthermore, SEM/TEM and XRD results indicated that the mesoporous arrangement did not change with the presence of the sulfonic group. The -SO₃H on the surface of the mesoporous silica was confirmed by
XPS through the peak around 170 eV which is the binding energy of sulfonic groups.

The catalyst samples were applied in the esterification reaction of oleic acid with two 307 different alcohols and the MCM-41/SO₃H sample exhibited promising results in the catalytic 308 activity. In contrast, the original MCM-41 sample had much lower catalytic activity when 309 compared to the sulfonated sample. The conversion values for MCM-41/SO₃H reached ~100% 310 311 mol when using octanol and >93% mol when using 2-ethylhexanol. The selectivity to obtain esters was improved when sulfonated MCM-41 was used. Despite the branching of 2-312 ethylhexanol, the MCM-41/SO₃H was more selective in the esterification reaction reaching *ca*. 313 90 % for 2-ethylhexyl oleate. 314

315

316 **DECLARATION OF INTERESTS**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

319

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TABLE CAPTIONS

463	Table 1	. Textural	properties	of the MCN	M-41 and	MCM-41/S	O ₃ H samples.
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- **Table 2.** Selectivity in oleate esters for the esterification of oleic acid with octanol and 2-
- 466 ethylhexanol at 90 °C after 6 h.

Catalysts	SBET Total pore		d 100	a ₀ (nm)
	(m²/g)	volume		
		(cm ³ /g)		
MCM-41	855	0.71	1.50	1.73
MCM-41/SO ₃ H	600	0.39	1.49	1.72

Table 2

	Selectivity (%)		
Esters	Octyl	2-Ethylhexyl	
Catalysts	oleate	oleate	
MCM-41	76.0	63.8	
MCM-41/SO ₃ H	87.0	89.3	

474 SCHEME CAPTIONS

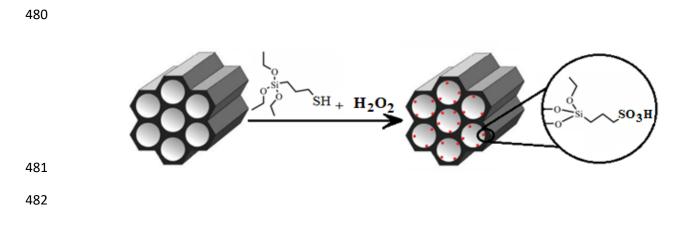
475

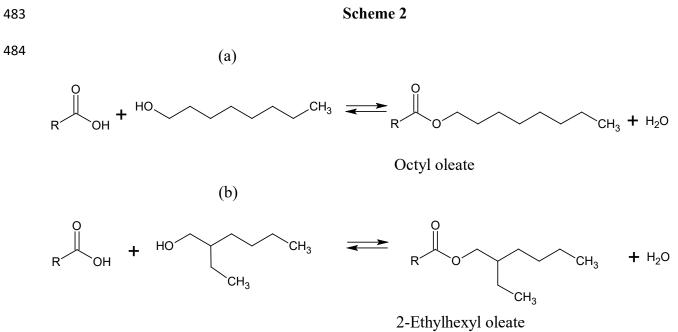
476 Scheme 1. Representation of sulfonic groups formation in MCM-41 by post-synthesis method.

477

478 Scheme 2. Esterification reaction of oleic acid with octanol (a) and 2-ethylhexanol (b).

Scheme 1

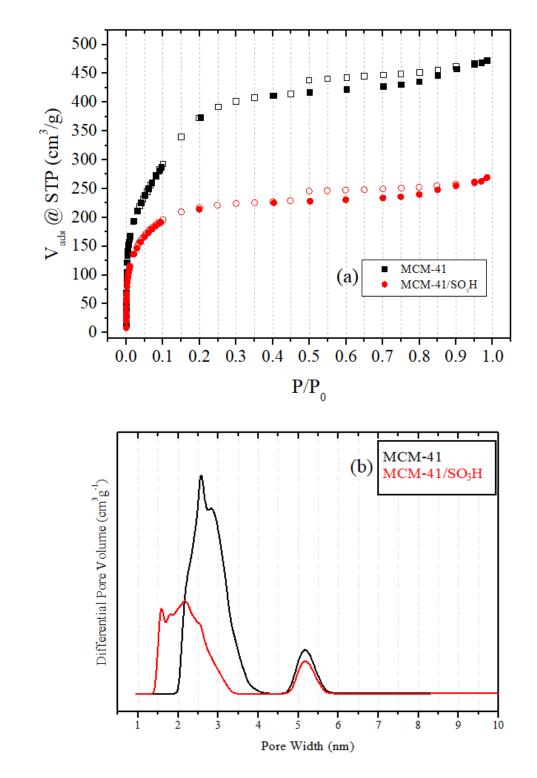




485 FIGURE CAPTIONS

487	Figure 1. (a) Nitrogen adsorption (full) and desorption (open) isotherm at -196 °C and (b) Pore
488	size distribution of the MCM-41 and MCM-41/SO ₃ H samples.
489	
490	Figure 2. SEM images (a,b) and TEM images (c,d,e,f) of the MCM-41 and MCM-41/SO ₃ H.
491	
492	Figure 3. XRD of the MCM-41 and MCM-41/SO ₃ H samples.
493	
494	Figure 4. XPS spectrum of high-resolution S 2p of the MCM-41/SO ₃ H sample.
495	
496	Figure 5. FTIR spectra of the MCM-41 and MCM 41/SO ₃ H sample.
497	
498	Figure 6. TGA curves (black lines) and DTG curves (blue lines) in N ₂ atmosphere: (a) MCM-
499	41 and (b) MCM-41/SO ₃ H.
500	
501	Figure 7. ¹ H NMR of product obtained from the esterification reaction with (a) octanol and (b)
502	2-ethylhexanol.
503	
504	Figure 8. Oleic acid conversion values over time using (a) octanol and (b) 2-ethylhexanol.





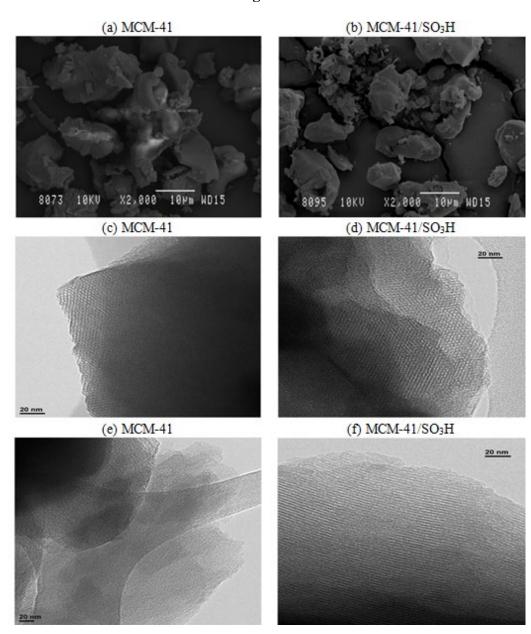
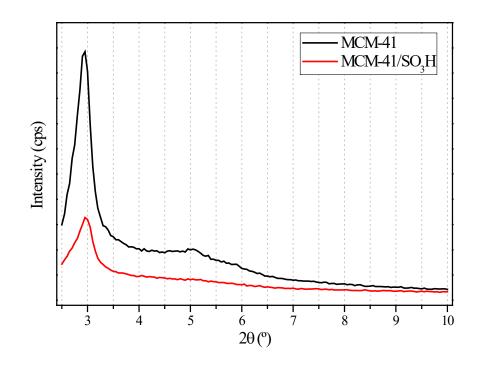


Figure 3





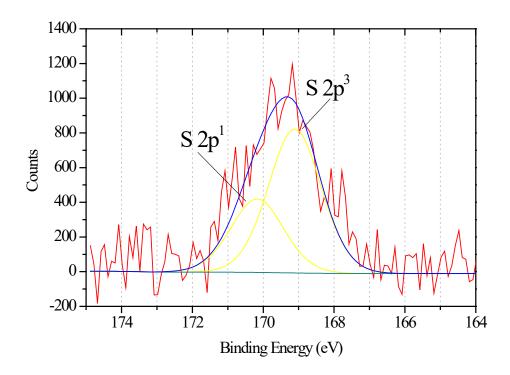


Figure 5

