The role of a family history of psychosis for youth at clinical high risk of psychosis

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National Institute of Mental Health, Grant/ Award number: U01MH08185705 Aim: On average, there is a 10% to 12% likelihood of developing a psychotic disorder solely based on being at familial high risk. However, the introduction of the criteria for clinical high risk (CHR) of psychosis suggested for CHR individuals, 20% to 30% will go on to develop a fullblown psychotic illness within 3 years. Several studies suggest a role for family history in conversion to psychosis among those at CHR. However, we know very little about those who meet the CHR criteria and have a positive family history for psychosis compared to those at CHR with no known family history. The aim of this study was to compare these 2 groups on demographics, clinical symptoms, social and role functioning, IQ, environmental factors and conversion to psychosis.

Method: A total of 762 participants met criteria for being at CHR, 119 of whom had a family history (CHR + FH) and 643 without (CHR-FH). Groups were compared on attenuated symptoms, role and social functioning, IQ, past trauma, perceived discrimination and cannabis use. Survival analysis was used to compare groups on conversion rates.

Results: There were no major differences between the groups in symptoms, functioning, IQ, cannabis use or in the rate of conversion between the groups. The CHR + FH group reported increased amounts of early trauma.

Conclusion: There is a possibility that CHR + FH individuals believe that it is more difficult for them to cope with circumstances such as abuse or potential abuse. Future research on this subject should investigate family environment and its role in conversion to psychosis among CHR + FH individuals.

KEYWORDS

family risk, prodromal, psychosis

1 | INTRODUCTION

Research suggests that on average there is a 10% to 12% likelihood of developing a psychotic disorder solely based on being at familial high risk (Gottesman & Erlenmeyer-Kimling, 2001; Lewis, Reveley, Reveley, Chitkara, & Murray, 1987). However, the introduction of the clinical high risk (CHR) criteria of psychosis based on early signs of subthreshold or attenuated psychotic symptoms, started a new era of high risk research in psychosis. These are individuals experiencing attenuated psychotic symptoms, and/or brief limited full-blown psychotic symptoms, and/or a significant decrease in functioning that coincides with a genetic risk (McGlashan, Walsh, & Woods, 2010). Of those identified as being at CHR of psychosis, 20% to 30% will go on to develop a full-blown psychotic illness within 3 years (Fusar-Poli et al., 2012).

In the epidemiological literature, it has been suggested that risk factors such as history of childhood trauma, migration, perceived discrimination, urban upbringing and cannabis use may be associated with the future onset of psychosis (Cantor-Graae & Selten, 2005; Read, van Os, Morrison, & Ross, 2005; van Os, Kenis, & Rutten, 2010; Veling et al., 2007). However, a comprehensive review concluded that evidence is accumulating demonstrating that these environmental exposures have an impact on the risk of psychosis in conjunction with inherited risk genes and that the impact of environmental or inherited risk genes on their own are minimal (van Os, Rutten, & Poulton, 2008). Second, there are a few studies suggesting a role for a family history of psychosis in later conversion to psychosis for those at CHR, namely in conjunction with a deterioration in functioning, unusual thoughts and substance use (Bora & Murray, 2013; Cannon et al., 2008), with neurocognition (Addington et al., 2007; Seidman et al., 2010) and with psychosis proneness (Tandon et al., 2012). Thus, such synergistic relationships suggest that possible combinatory effects may occur when known psychosis risk factors and a family history of psychosis coincide. However, we know very little about those who meet CHR criteria and have a positive family history for psychosis compared to those at CHR with no known family history.

The purpose of this study was to determine if there are differences between CHR individuals who have or do not have a family history of psychosis. The first aim was to compare these 2 groups on demographics, clinical symptoms, social and role functioning, IQ and environmental factors (cannabis use, trauma and perceived discrimination) previously reported as risk factors for psychosis. Second, the groups were compared on rates of conversion to psychosis.

2 | METHODS

2.1 | Participants

Participants were recruited as part of the multi-site NIMH-funded North American Prodrome Longitudinal Study 2 (NAPLS-2) at all 8 -NAPLS-2 sites (Emory University, Harvard University, University of Calgary, UCSD, UCLA, UNC at Chapel Hill, Yale University and Zucker-Hillside Hospital).

The overall NAPLS-2 sample consisted of 764 CHR individuals (436 males and 328 females) who were help-seeking and were referred from health care providers, educators, social service agencies, or were self-referred in response to community educational efforts. Participants were evaluated using the Structured Interview for Psychosis-risk Syndromes (SIPS; McGlashan et al., 2010) to determine if they met criteria for one or more of the following high risk syndromes: attenuated psychotic symptoms syndrome (APSS), brief intermittent psychotic symptoms syndrome (BIPS) or genetic risk and deterioration syndrome (GRD). Two participants did not have details on family history and were thus excluded from this study. The final sample for this study consisted of 762 CHR individuals who met Criteria of Prodromal Syndromes (COPS). Individuals were considered high risk if they met COPS criteria, or if they were under the age of 18 and met schizotypal personality disorder. A total of 741 participants met COPS criteria, and an additional 21 were considered high risk due to the presence of schizotypal features and being under the age of 18. Participants had to be between 12 and 35 years of age. Participants were excluded from the study if they met criteria for any current or lifetime axis I psychotic disorder, had an IQ below 70 or had a past or current history of a clinically significant central nervous system disorder. We have previously reported a more detailed description of recruitment procedures, ascertainment and inclusion and exclusion criteria (Addington et al., 2012).

One hundred and nineteen had a first degree relative with a history of psychosis (CHR + FH) and 643 had no family history (CHR-FH).

2.2 | Measures

The SIPS and the Scale of Prodromal Symptoms (SOPS; McGlashan et al., 2010) were used to determine the criteria for a prodromal syndrome and to determine severity of prodromal symptoms (19 items in 4 symptom domains; ie, positive, negative, general and disorganized symptoms). The presence of a first-degree relative with psychosis was determined with The Family Interview for Genetics Studies (FIGS; Maxwell, 1996), severity and frequency of cannabis use in the last month with the Alcohol and Drug use Scale (AUS/DUS; Drake, Mueser, & McHugo, 1996), and functioning with the Global Functioning Scale: Social (GF:S) and the Global Functioning Scale: Role (GF:R) (Cornblatt et al., 2007). The Perceived Discrimination Scale (Janssen et al., 2003) was used to determine whether participants felt they had experienced discrimination over their lifetime because of skin colour, ethnicity, gender, age, appearance, disability, sexual orientation or religion. The Childhood Trauma and Abuse Scale (Janssen et al., 2004) examined trauma and abuse with regard to emotional neglect, and psychological, physical or sexual abuse before age 16. The Wechsler Abbreviated Scale of Intelligence (WASI) was used to assess IQ.

2.3 | Procedures

The study was approved by the Institutional Review Boards of all 8 - NAPLS-2 sites. Written informed consent, including parental consent, was obtained from all adult participants and parents/guardians of

TABLE 1 Demographic characteristics

Variable	CHR + FH n = 119	CHR-FH n = 643	Test statistic
	Mear	Mean (SD)	
Age	19.34 (4.83)	18.36 (4.10)	-2.08*
Years of education	11.42 (2.49)	11.26 (2.84)	-0.57
	Ν	N (%)	
Sex			
Male	60 (50.4%)	376 (58.5%)	2.66
Female	59 (49.6%)	267 (41.5%)	
Race			
Asian	8 (6.8%)	45 (6.9%)	8.27
West/Central Asia/Middle East	2 (1.7%)	5 (0.8%)	
White	77 (64.7%)	359 (55.9%)	
Interracial	14 (11.8%)	83 (12.9%)	
Black	14 (11.8%)	104 (16.2%)	
Central/South American	2 (1.7%)	32 (5.0%)	
Other	2 (1.7%)	14 (2.2%)	
Marital status			
Single never married	109 (91.6%)	609 (95.5%)	6.98
Married/Common law	4 (3.4%)	8 (2.9%)	
Living with significant other	3 (2.5%)	5 (1.8%)	
Currently enrolled as a student	92 (77.3.0%)	531 (83.4%)	2.53
Family history relation			
Mother	37 (31.1%)	N/A	N/A
Father	39 (32.8%)	N/A	
Brother	22 (18.5%)	N/A	
Sister	9 (7.5%)	N/A	
>than 1 first degree	8 (6.7%)	N/A	
Unknown relation	4 (3.4%)	N/A	

*P < .05.

minors. After the initial screening, vignettes were developed for each participant and presented on a weekly consensus call, chaired by Dr Jean Addington and attended by the clinical raters from each of the 8 sites. Submitted vignettes were individually reviewed, and a consensus was reached on each symptom rating, diagnosis and ultimate admission into the study. Clinical raters were experienced research clinicians. Gold standard post-training agreement on determining the prodromal diagnoses was excellent ($\kappa = 0.90$; Addington et al., 2012).

2.4 | Statistical analysis

Groups were compared using independent *t*-tests for continuous variables and χ^2 for percentages. Kaplan-Meier was used to estimate the survival function for time to onset of psychosis for both the CHR + FH and the CHR-FH groups.

3 | RESULTS

There were 762 CHR participants (436 males and 326 females). The majority of the sample was white, were students and lived at home. One hundred and nineteen had a first degree relative with a history of psychosis and 643 did not. Among those with a family history, the

majority had a mother or father with psychosis. The CHR + FH group was significantly older than the CHR-FH group; otherwise there were no other demographic group differences. Baseline demographics are presented in Table 1. Information of the breakdown of diagnostic categories is presented in Table 2.

Comparisons between the groups are presented in Table 3. CHR-FH individuals had higher severity ratings on total attenuated psychotic symptoms, specifically unusual thought content and perceptual abnormalities. However, 27 of the CHR + FH individuals met only GRD criteria for being at CHR. When this group was removed

 TABLE 2
 Prodromal diagnostic criteria

Prodromal criteria	Number	%
Attenuated Psychotic Symptom Syndrome (APSS)	641	83.8
Genetic Risk and Deterioration (GRD)	34	4.4
Brief Intermittent Psychotic Symptoms (BIPS)	6	0.8
Under 19 plus Schizotypy	21	2.7
APSS plus GRD	48	6.3
APSS plus BIPS	12	1.6
BIPS plus APSS plus GRD	2	0.3
Total	764	100

TABLE 3 Group comparisons

Variable	CHR + FH n = 119	CHR-FH n = 643	Test statistic
	Mea	Mean (SD)	
Symptoms			
Total positive symptoms	10.36 (5.12)	12.20 (3.44)	3.72****
Total negative symptoms	12.02 (6.08)	11.88 (6.07)	-0.23
Total disorganization symptoms	5.27 (3.07)	5.14 (3.17)	-0.40
Total general symptoms	9.08 (4.11)	9.18 (4.30)	0.23
Unusual thought content	2.72 (1.61)	3.45 (1.24)	39.73****
Suspiciousness	2.55 (1.63)	2.81 (1.49)	6.89
Grandiose ideas	0.92 (1.26)	1.01 (1.31)	4.36
Perceptual abnormalities	2.59 (1.67)	3.16 (1.45)	16.55*
Disorganized communication	1.58 (1.54)	1.77 (1.45)	6.74
Social functioning	6.20 (1.62)	6.18 (1.56)	-0.13
Role functioning	5.84 (2.08)	5.97 (2.17)	0.62
WASI IQ score	102.70 (14.53)	103.86 (15.44)	0.72
	N (%)		X^2
Cannabis			
Ever smoke: Yes	68 (59.1%)	337 (54.4%)	0.89
	Mean (SD)		X^2
Current severity of use	1.28 (0.52)	1.28 (0.54)	0.50
Frequency of use in last month	0.74 (1.58)	0.57 (1.22)	13.43*
	Mean (SD)		t Score
No. of times smoked cannabis	140.35 (128.50)	123.97 (124.68)	-0.99
Age first tried cannabis	15.83 (3.05)	15.66 (2.77)	0.62
Perceived discrimination			
Lifetime	2.58 (2.09)	2.82 (2.28)	1.00
Trauma			
Total trauma	1.54 (1.49)	1.07 (1.26)	-3.38**
	N (%)		X^2
Emotional neglect	57 (53.8%)	219 (40.6%)	6.33**
Psychological abuse	47 (44.8%)	185 (34.1%)	4.32*
Physical abuse	38 (36.5%)	112 (20.8%)	12.03**
Sexual abuse	21 (19.8%)	71 (13.2%)	3.12

*P < .05, **P < .01, ***P < .001, ****P < .0001.

from the CHR + FH there were no differences between the groups in attenuated positive symptoms (Table 4). Compared to CHR-FH, the CHR + FH group reported increased trauma (emotional neglect, psychological abuse and physical abuse). Although the CHR + FH reported a higher frequency of cannabis use within the month preceding the assessment, the groups did not differ on past history of use, age when they first used or severity of use. There were no statistical differences between the 2 groups in functioning, perceived discrimination or IQ. As the groups differed on age and trauma we conducted multinomial logistic regression to control for age, trauma and the interaction between age and trauma. Results of this interaction testing indicated that the interaction effect has no impact on the results of the univariate group comparisons.

Of the 85 CHR participants who converted to psychosis during the 2-year study period, 17 were CHR + FH (14.3%), and 68 were CHR-FH (10.6%). The cumulative incidence rates of transition to psychosis during the follow-up period were estimated with Kaplan-Meier survival analysis.

TABLE 4 Group comparisons of symptoms with GRD group removed

Variable	CHR + FH n = 119	CHR-FH n = 643	Test statistic
	Mean (SD)		t Score
Total positive symptoms	12.14 (4.08)	12.20 (3.44)	0.15
Unusual thought content	3.18 (1.44)	3.45 (1.24)	1.94
Perceptual abnormalities	3.09 (1.44)	3.16 (1.45)	0.48

Figure 1 presents the Kaplan-Meier estimate of the survival function for time to onset of psychosis for both groups. For the CHR-FH group, the mean time from baseline to conversion to psychosis was 198.5 days (SD = 168.09, median = 154.0). The cumulative incidence rate of conversion was 12.71% for year 1 (SE = 0.0168, 95% CI = 0.0981-0.1647). For the CHR + FH group, the mean time from baseline to conversion to psychosis was 274.9 days (SD = 146.36,

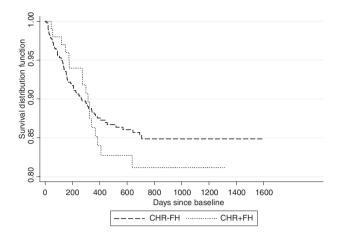


FIGURE 1 Kaplan-Meier estmates of the cumulative survival for CHR-FH and CHR + FH groups

median = 299.0). The cumulative incidence rate of conversion was 14.61% for year 1 (SE = 0.0407, 95% CI = 0.0847-0.2521).

The 2 survival curves cross each other near 300 days since baseline. Thus, CHR + FH individuals experience lower rates of conversion to psychosis than the CHR-FH individuals in the early period; however, in the later period, CHR + FH individuals experience greater rates of conversion to psychosis than CHR-FH individuals. We performed the Peto-Peto-Prentice test of equality for the 2 groups. This test is appropriate when hazard functions are thought to vary in ways other than proportionally. The tests conclude that the survival rates in the 2 groups are not significantly different (P = .5636).

4 | DISCUSSION

The purpose of this paper was to examine in a large CHR sample clinical differences between those who have a family history and those who do not. Approximately 16% had a family history of psychosis with the most common relative being a mother or a father. Those without a family history tended to be younger, and had increased ratings on attenuated positive symptoms. However, this seemed to be a function of the fact that all participants who met only GRD criteria would be in the CHR + FH group. After those 27 participants were removed the 2 groups no longer differed on attenuated psychotic symptoms. That is those who had APSS plus a family history did not differ from those with APSS and no family history. Although those in the CHR + FH reported using more cannabis in the past month, there were no other significant differences with respect to cannabis use. It is likely that although statistically significant, this difference in frequency is not clinically significant in that both means fall in the range of 1 to 2 times per month.

Thus, although some earlier studies did suggest a role for a family history of psychosis in later conversion to psychosis for those at CHR, but in conjunction with a deterioration in functioning, unusual thoughts and substance use, (Bora & Murray, 2013; Cannon et al., 2008) and neurocognition (Addington et al., 2007; Seidman et al., 2010) we did not find that our 2 CHR groups differed on those factors.

Current literature and reviews (Fusar-Poli et al., 2017; Gibson, Alloy, & Ellman, 2016) have shown trauma to be an important risk

factor among those at high risk of psychosis. Interestingly, although our sample all met criteria for being at CHR, we found a further distinction between those with and without a family history. The groups did differ on traumatic experiences prior to age 16 with the CHR + FH group reporting more experiences in all categories except sexual abuse. It is possible that growing up in a home where one parent may have a serious mental illness or may be absent due to that illness, may increase the risk for experiencing more trauma. It is possible that an individual who is vulnerable to psychosis may not have the necessary skills or available resources to get help with potential abuse or may just perceive themselves to be disadvantaged. This fits with previous research reporting an association between a positive family environment and lower prevalence of psychosis in those at genetic risk (Gonzalez-Pinto et al., 2011), and an increased impact of a family environment for those with a biological predisposition to psychosis (O'Brien et al., 2006: Tienari et al., 2004). A recent epidemiological study provides strong evidence that it is the stress reaction which is the first sign of incipient psychosis. In this study, there was a positive interaction between trauma disorder and family history of schizophrenia on the subsequent incidence of schizophrenia in dually affected individuals (Okkels, Traibierg, Arendt, & Perdersen, 2017). Additionally, a recent review has summarized some biological links between genes, stress and dopamine in the development of psychosis. The proposal is that there are a number of genetic risk factors which converge on the dopamine system, particularly, those involving glutamatergic systems. One possible model is that genes and environmental factors may sensitize the dopamine system so it is vulnerable to acute stress, leading to dysregulation and eventually psychosis (Howes, McCutcheon, Owen, & Murray, 2017).

There were no differences in conversion rates between the 2 groups. Interestingly, in an earlier study (Tandon et al., 2012) examining the risk of conversion in a sample of young people with a first or second degree relative with schizophrenia, the assessment of psychosis proneness had a high specificity and sensitivity in predicting the likelihood of a person already at risk developing a psychotic disorder over a 2-year period. However, in this family high risk sample 17 participants met criteria for being "prone to psychosis" of whom 10 converted to psychosis which is very high relative to our sample. There are clear differences in the studies in that in the Tandon study they started with a Family High Risk (FHR) sample with well diagnosed probands whereas in our sample we started with those at CHR and examined reported family history of any psychotic disorder. For our sample, it may have been that having a family history of psychosis was a vulnerability factor for developing attenuated psychotic symptoms versus being a vulnerability factor for transitioning from a high risk state to psychosis.

The strength of this study is that it is a large sample. However, there are limitations. We do not know the specific diagnoses of the affected family member only that they had a definite psychotic illness. We do not have an assessment of the family environment and make assumptions that growing up with an ill parent or sibling has an impact on family environment or at least the individual's perception of it. We do not know if help-seeking varies between the 2 groups or that those with a family history are more or less likely to seek help. In conclusion, this is, to the best of our knowledge, the first study to examine differences between CHR individuals with and without a family history of psychosis. Future studies should investigate the family environment of those at CHR. Furthermore, although a family environment may be poor before the onset of psychosis, it can actually become worse with the progression of the illness (O'Brien et al., 2006). Thus, clinical implications are that any effort to improve the family environment for those at CHR especially those with a family history would be beneficial.

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