

1 **Model development and numerical analysis of a vertical falling** 2 **film absorption heat pump**

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11 **ABSTRACT:** Traditional gas fired boilers and air-source heat pumps are not
12 efficient for heating when the outdoor temperature is low, while the air-source heat
13 pump is still one of the most promoting measures for building energy-efficient
14 heating. In this study, a novel air-source gas-fired absorption heat pump with vertical
15 falling film exchangers has been proposed for district heating. Compared with
16 conventional gas fired boilers, the proposed system has higher efficiency, since it can
17 absorb heat from the ambient air. A lumped and distributed parameter coupled
18 numerical model is established to analyze its thermodynamic performance, together
19 with a test rig established to validate the numerical model. Experimental results
20 indicated that when the evaporator temperature increased from -10 °C to -5 °C, the
21 coefficient of performance rose from 1.53 to 1.62, and heating capacity improved
22 from 36.88 kW to 45.32 kW. Additionally, the coupled model showed high
23 prediction accuracy, with the maximum error less than 8%. Due to the opposite
24 contributions of the supply water temperature and water flow rate to the coefficient
25 of performance, the genetic algorithm was adopted to identify the optimal solution of

26 a multi-objective optimization procedure. Results displayed that the proposed system
 27 was feasible and efficient for heating in cold region under different operating
 28 conditions.

29

30 **KEYWORDS:** numerical analysis; coupled model; falling film heat transfer;
 31 absorption heat pump

32

Nomenclature		Subscript	
c_p	constant pressure specific heat (J/kg·K)	abs	absorber
COP	coefficient of performance	cond	condenser
Cl_i	proximity index	evap	evaporator
EEV	electronic expansion valve	env	environment
ΔH_m	the heat of mixing of the R22-DEGDME (J/mol)	gen	generator
h	specific enthalpy (J/kg)	he	heat exchanger
H	molar enthalpy (J/kg)	in	inlet
J	heat equivalent of work (g·m/J)	intf	interface
M	molecular weight (g/mol)	ng	natural gas
m	mass flow rate (g/s)	out	outlet
P	pressure (MPa)	r	refrigerant
Q	heat transfer rate (kW)	s	strong
T	temperature (K)	w	weak/water
t	temperature (°C)	Greek symbols	
u	velocity in the x direction (m/s)	η_b	boiler efficiency (%)

v	velocity in the y direction (m/s)	μ	Dynamic viscosity (kg/m·s)
W_p	power input (kW)	ξ	mass concentration (%)
Y	molar concentration (%)	λ	Thermal conductivity (W/m·K)
x,y	coordinate directions (m)	δ	film thickness (m)

33 1. Introduction

34 With the growth of the thermal comfort requirements and improvements of
35 human living standard, there has been a significant increase in building energy
36 consumption, accounting for 20.6% of China's total energy consumption (Liu et al.,
37 2020). While in northern China, the proportion of energy consumed by the district
38 heating system in energy consumption of building sector is approximately 40%,
39 mainly relying on fossil fuel (Zhang et al., 2020). Burning these fuels, however, will
40 cause serious environmental issues, such as global warming and air pollution (Wu et
41 al., 2019). Decreasing the energy demand of buildings is one of the greatest
42 challenges for our society. However, according to the International Energy Agency
43 (IEA), building energy consumption rose by 39% from 2000 to 2018, and it is
44 expected to increase by more than 25% by 2040 (IEA., 2018). Therefore, the
45 development of clean energy technologies and efficient heating solutions becomes
46 necessary (Khalid R., 2019). As air is rich, clean and easy to access, many
47 researchers have been investigating in developing air-source solutions for district
48 heating (Wei et al., 2020).

49 In practice, the most efficient way of using the energy within the ambient air for

50 space heating is the heat pump technique, which can be categorized into two main
51 types: the air-source absorption heat pump (ASAHP) and the air-source compression
52 heat pump (ASCHP). The ASCHP systems have been widely studied by researchers.
53 The coefficient of performance (COP) of the ASCHP systems can be higher than 3,
54 although it highly relies on the ambient air temperature. Since the ASCHP is driven
55 by electricity, the power generation efficiency should also be considered, when
56 calculating the total COP from the primary energy (Lu et al., 2019). The ASAHP is
57 superior over the conventional ASCHP in energy saving, environmental protection
58 and low cost, since the total COP of the ASAHP from the primary energy is higher
59 than that of the ASCHP (Wu et al., 2016). Anna et al. (2014) found that the
60 absorption heat pump (AHP) has a lower impact on the environment than that of the
61 compression heat pump (CHP). Zhang et al. (2014) compared an AHP system and a
62 CHP system under the uniform heating quantity condition. They indicated that the
63 district heating systems driven by AHP have lower energy consumption. With the
64 same heating capacity and heating conditions, the primary energy efficiency (PEE)
65 of the district heating systems driven by AHP can be higher for approximately 42%
66 than those driven by CHP.

67 Although AHP systems are efficient methods for district heating, there are still
68 limitations when using it at low ambient temperature in cold regions. Garimella et al.
69 (1996) indicated that the COP of the generator-absorber heat exchange systems will
70 rise from 1.05 to 1.4, when the ambient temperature increases from -30 °C to 5.6 °C.
71 Additionally, there are also some problems in choosing the working fluid. As the

72 water-lithium bromide working fluid will freeze at evaporation temperature below
73 0 °C, while the ammonia-water working fluid is toxic and flammable. The two main
74 approaches for tackling these problems are designing novel systems and seeking for
75 new working fluids.

76 For designing novel systems, there have been numerous researches focused on
77 absorption-compression hybrid heat pump systems, which are superior in working at
78 low evaporation pressure and high absorption pressure (Wu et al., 2016). Wu et al.
79 (2018) investigated a compression-assisted AHP to extend the applicable conditions
80 and improve the heating performance. Results showed that the primary energy
81 efficiency of the compression-assisted AHP can be improved by 0.2-54% compared
82 with the conventional AHP. They also compared the thermodynamic performance of
83 AHP and compression-assisted AHP with different low-GWP working fluids. They
84 indicated that the compression-assisted AHP can improve the absorption pressure
85 and strengthen absorption process, leading to higher concentration difference (Wu et
86 al., 2017). This form of system improves the system performance by adding a
87 compressor, which in turn increases its complexity and initial cost. Another
88 improvement measure is to recover the excess heat of the flue gas (Lu et al., 2020).
89 There is considerable amount of waste heat contained within the high temperature
90 flue gas (Shang et al., 2017). Hence, recovering the flue gas waste heat is an efficient
91 way to improve the system energy efficiency (Khalid R., 2019). But in many cases,
92 the recovered waste heat is utilized by heating the return water of the district heating
93 system, and sometimes the return temperature is higher than the dew point of the

94 flue gas, which will lead to inefficient utilization of the excess heat from the flue gas
95 (Yang et al., 2018). Qu et al. (2014) designed a gas-liquid heat exchanger for the
96 AHP system. Both latent heat and sensible heat of the flue gas are recovered by this
97 system. They concluded that the heat recovery efficiency can be increased by 10%.
98 In addition, falling film heat exchangers applied in the AHP system has high heat
99 transfer coefficient under low heat flux compared with the conventional heat
100 exchangers in the refrigeration and air conditioning application (Hu et al., 2019).

101 Falling film heat exchangers with less working fluid and smaller size have been
102 widely used in AHP systems to achieve higher efficiency and lower cost (Robabeh et
103 al., 2020). However, most existing literature mainly involves the numerical and
104 experimental investigation on the single heat exchange tube flow and heat transfer.
105 Bundles falling film heat exchange process is more dependent on heat exchange
106 tubes steam direction and arrangement which have more complex mechanism of heat
107 transfer compared with single tube falling film. Saeed et al. (2021) investigated the
108 phase change mass transfer of the falling thin film on the surface of a vertical tube
109 and a series of remarkable evaporative heat transfer correlations are collected. Hu et
110 al. (2019) conducted a falling film heat exchanger simulation model applied to a
111 water vapor heat pump system. The temperature distributions of both the heat
112 exchanger and working tubes were obtained by finite element method. Liu et al.
113 (2017) proposed a system with four main vertical falling film heat exchangers and
114 set up a testing rig to examine the system's performance. They concluded that when
115 the pressure increases from 190 kPa to 260 kPa, the bilateral falling film renders the

116 absorbers generate steam more easily. [Cheng et al. \(2020\)](#) studied the falling film
117 heat transfer characteristics of vertical tubes based on a series of experiments, and
118 developed a model to accurately predict the performance of falling film. [Liu et al.](#)
119 [\(2021\)](#) established a falling film heat exchanger experiment rig to measure the outer
120 circumferential distribution of local heat transfer coefficient. According to their
121 experimental data, the local heat flux distribution on tube surfaces was obtained by
122 numerical calculation.

123 The existing gaps of improving the performance of air-source AHP systems are
124 summarized as follows: 1) studies on new working fluid are needed, which can
125 operate with high efficiency under cold ambient conditions; 2) hybrid
126 absorption-compression heat pump systems is a good solution but with high cost
127 compared with single AHP systems; 3) studies on flue gas heat recovery utilization
128 below dew point is rare; 4) studies on the heat exchanger process of falling films in
129 tube bundles are not sufficient. Therefore, this study has presented a novel gas-fired
130 absorption heat pump using air-source for district heating. The proposed system is
131 driven by natural gas and the working fluid is chosen as the R22-DEGDME. The
132 ASAHP system can operate at the evaporation temperature below $-10\text{ }^{\circ}\text{C}$ with higher
133 COP. To reduce the flow rate and pump power, both the absorber and generator are
134 considered as falling film heat exchangers, which have high heat transfer coefficients.
135 A coupled lumped parameter and distributed parameter numerical model is
136 developed to analyze the system performance, together with an experimental rig
137 designed for model validation. In addition, a multi-objective optimization approach

138 is applied to optimize the system performance using the NSGA-II approach and
139 TOPSIS decision-making method. This system provides a feasible and efficient
140 method to collect more energy from ambient air for district heating, and it is
141 technologically available in different heating capacities with the following
142 contributions:

- 143 1) The system can sufficiently recover the flue gas waste heat and operate at low
144 outdoor temperature with high efficiency.
- 145 2) A coupled model with satisfied prediction accuracy including distributed
146 parameter numerical and lumped parameter model has been established.
- 147 3) The two-dimensional distributed parameter models of the generator and absorber
148 in the ASAHP system are proposed and validated.

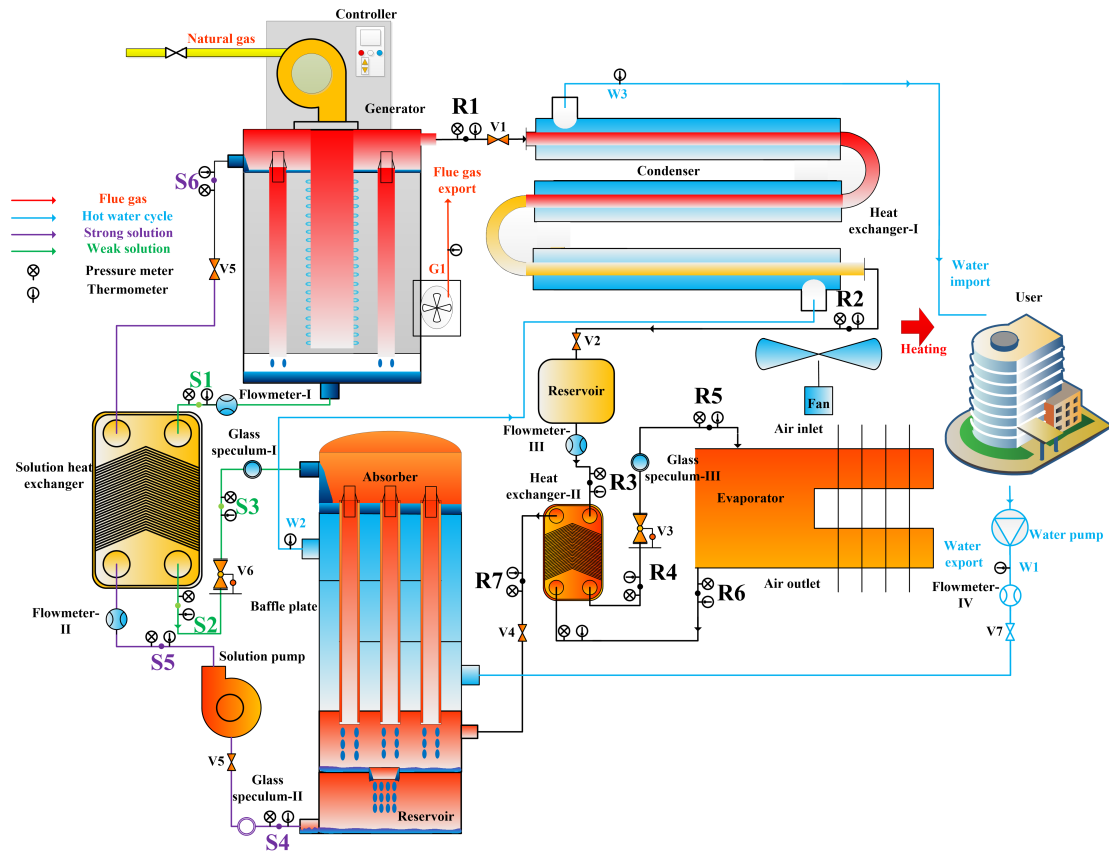
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150 **2. System description**

151 **2.1. Fundamental**

152 A schematic diagram of the ASAHP systems is displayed in [Fig. 1](#). The ASAHP
153 consists of three circuits, the black line for working fluid circuit, the blue line for the
154 hot water circuit, the green and purple lines for solution circuits. In the refrigerant
155 loop, the refrigerant vapor generated from the generator enters the condenser to heat
156 the supply water. The refrigerant vapor condenses into liquid and goes to the
157 reservoir. In the exchanger-II, the refrigerant vapor from the evaporator is heated by
158 the liquid refrigerant from the reservoir. Then the refrigerant condensing pressure
159 decreases to the evaporation pressure in the EEV. The low pressure and temperature

160 refrigerant is heated by the ambient environment in the evaporator. Finally, the
 161 refrigerant vapor from the evaporator turns to the absorber.



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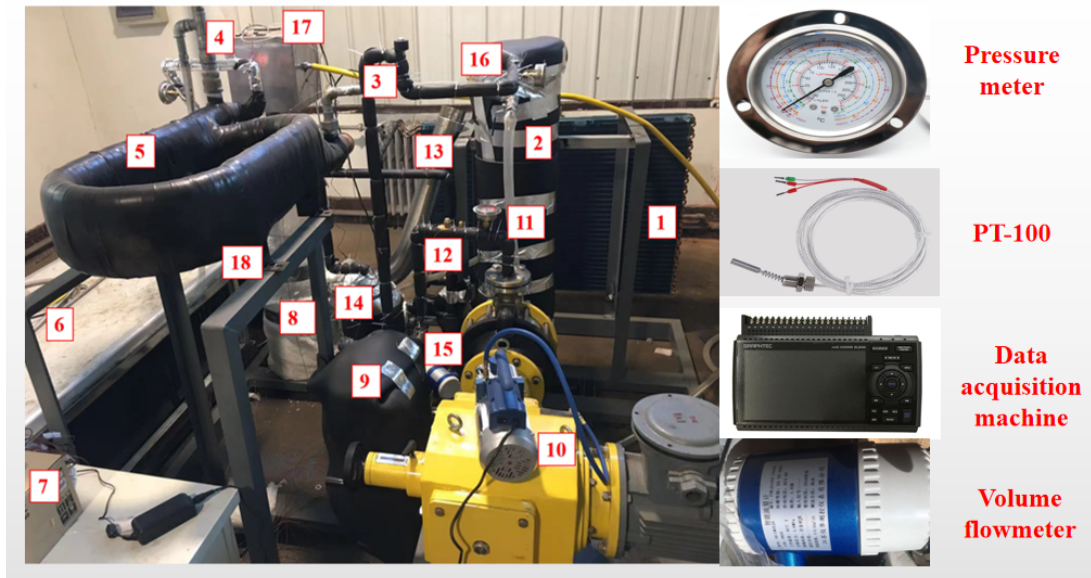
163 Fig. 1 Schematic view of a typical ASHP system

164 There are three working fluids which are refrigerant, strong solution and weak
 165 solution. The strong solution contains more refrigerant with the refrigerant mass
 166 fraction of 30%; while the weak solution contains less refrigerant with the refrigerant
 167 mass fraction of about 18%. The whole circulation starts from the absorber. The
 168 refrigerant vapor from the evaporator is absorbed by the weak solution in the
 169 absorber, and becomes strong solution. The supply water is heated by the mixture of
 170 the refrigerant vapor and weak solution. In the solution heat exchanger, the strong
 171 solution extracted from the absorber exchange heat with the weak solution from the
 172 generator. Then the strong solution pumped by the solution pump enters the

173 generator. The strong solution absorbs heat from the combustion of natural gas and
174 produces refrigerant vapor when the solution temperature reaches the refrigerant
175 boiling temperature. Then the refrigerant concentration of the solution decreases and
176 the strong solution becomes weak solution. The weak solution goes to the solution
177 heat exchanger while the refrigerant vapor enters the condenser. The generator
178 pressure reduces to the absorber pressure by the EEV throttling process and the weak
179 solution is returned to the absorber. In the condenser and absorber, the return water
180 from the user is heated to the set supply water temperature.

181 According to the existing theoretical analysis, a test rig has been developed for
182 the ASAHP system, as shown in [Fig. 2](#). All the valves and two EEVs controlled by
183 independent controllers are opened before the startup of the system. Due to the
184 limitation of experimental conditions, the flue gas waste heat was not recovered, but
185 left for future investigations. The experimental system runs based on the following
186 steps: 1) the combustion chamber is opened by the controller; 2) it turns on the fixed
187 volume solution pump and started the circulation of the solution, and 3) it starts fan
188 coils and water pumps to for water circulation.

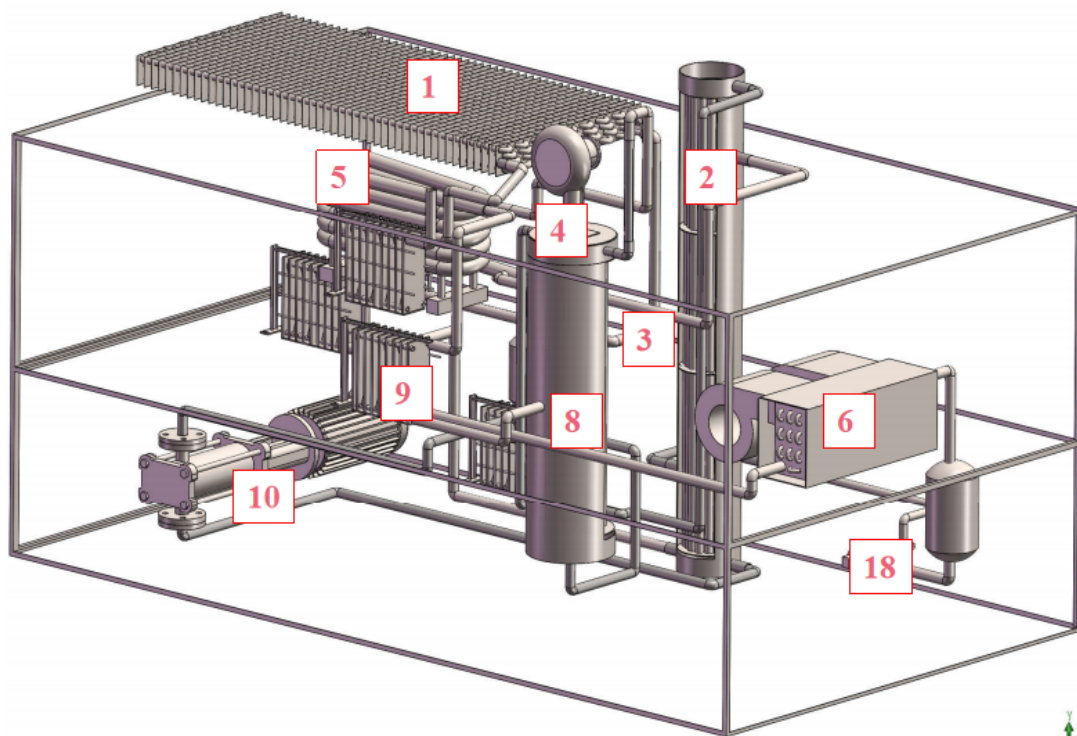
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(a) Photo of the test bench



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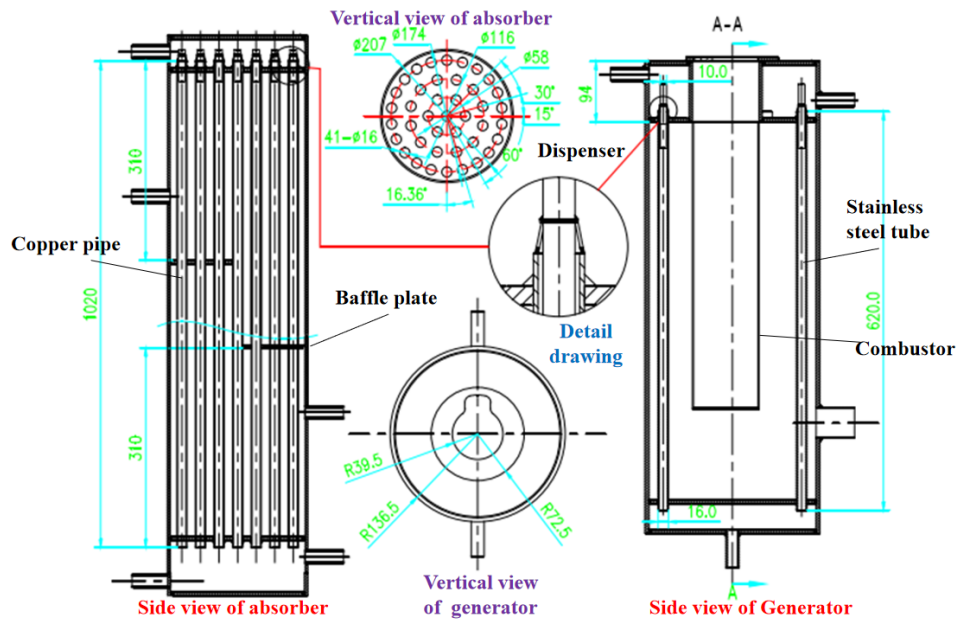
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(b) 3-D geometric model of the test bench

194 1-Evaporation, 2-Absorber, 3-EEV, 4-Combustor, 5-Condenser, 6-Fan coil, 7-Data acquisition

195 machine, 8-Generator, 9-Solution heat exchanger, 10-Solution pump, 11-Pressure meter,

196 12-Valve, 13-Chimney, 14-Reservoir, 15-Volume flowmeter, 16-Glass speculum, 17- Controller,



198

199

(c) Design drawings of absorber and generator

200

Fig.2 Test rig and design drawings of absorber and generator

201

Suitable selection of working fluids is significant which has the following

202

advantages: 1) improving energy conversion efficiency; 2) reducing irreversible

203

destruction. AHP systems with ammonia-water and water-lithium bromide pairs have

204

been studied by many researchers. However, both working pairs have disadvantages.

205

For example, a system with water-lithium bromide as the working fluid will not

206

work at an evaporation temperature below 0 °C because water freezes, which cannot

207

be used in cold regions (Nico et al., 2020). Ammonia, however, is flammable and

208

toxic (Yuan et al., 2018). In the air-source gas-fired AHP, the system with

209

ammonia-water as the working fluid has obvious hazards. Solution of

210

R22-DEGDME is non-toxic and non-flammable, which can work below the outdoor

211

temperature of 0 °C (Wu et al., 2020). This solution with high GWP and ODP,

212 however, cannot be extensively applied in the future (Ando et al., 1984). As system
 213 with R22-DEGDME working pair has better operating performance, this, however,
 214 indicates some different direction and operating guidance for system design and
 215 working pair selection. According to the Kigali Amendment, R22 with high GWP
 216 and ODP cannot be applied. In the Table A1, some properties of the solution are
 217 displayed.

218 2.2. Experimental components and operating conditions

219 Table 1 presents the main equipment of the proposed system, and Table 2
 220 displays the detailed experimental design conditions.

221 Table 1 Equipment of the proposed system

Equipment	Types	Specifications
Heat exchanger-II	PL26-20	Heat load: 3 kW; heat exchanger area: 1.5 m ² .
Condenser	Double-pipe exchangers TH-SCE25	Heat load: 25 kW ; heat exchanger area: 12 m ² .
Water pump	LRS-9	Flow rate: 0 -7.8 m ³ /h
Generator	Vertical falling film	Heat load: 24 kW; heat exchanger area: 0.36 m ² .
Absorber	Vertical falling film	Heat load: 15 kW; heat exchanger area: 1.2 m ² .
Fan coil	BFP-70WD	Cooling capacity: 50 kW; air volume: 7000 m ³ /h
Evaporator	Finned tube exchanger DYG-15	Heat load: 15 kW; heat exchanger area: 28 m ² .
Flowmeter	YH-LWGYJD	Flow rate: 0-6.62 m ³ /h
Solution heat exchanger	PL95-86	Heat load: 130 kW; heat exchanger area: 10 m ² .
EEV	PCH-SD1N-002	-
Pressure meter	RL-2088	-
Thermometer	PT-100	Pressure: -0.1 to 60 MPa
Solution pump	Metering pump TL-D1800	Flow rate: 1.74 m ³ /h
Data acquisition machine	GRAPHTEC-GL840	-

222

223 Table 2 System working conditions

Variables	Range
Condensing temperature	38 °C - 45 °C

Evaporating temperature	-10 °C - 0 °C
Generator temperature	150 °C - 190 °C
Water flow rate	1.5 m ³ /h - 2.4 m ³ /h
Volume of the solution pump	70% - 100%

224

225 2.3. Uncertainty analysis

226 In the system shown in Figs. 1 and 2, temperature, flow rate and pressure are
 227 obtained by the data acquisition instrument in the experiment for further analysis.
 228 Therefore, uncertainty analysis becomes necessary to evaluate the experimental data.
 229 The total uncertainty is divided into random uncertainty and system uncertainty, as
 230 defined by Equation 1 (Lu et al., 2020),

$$\sigma_T = \sigma_R + \sigma_s \quad (1)$$

231 According to the uncertainty analysis theory, if there are n measured variables,
 232 the related directly-measured parameters are applied to calculate the total uncertainty
 233 (Lu et al., 2020). If y is a function of x_1, x_2, \dots, x_n , as defined by Equation 2,

$$y = f(x_1, x_2, \dots, x_n) \quad (2)$$

234 The function y total uncertainty can be calculated by Equation 3 (Lu et al., 2020),

$$\sigma_T = \sqrt{\left(\frac{\partial f}{\partial x_1} \sigma_{T,x_1}\right)^2 + \left(\frac{\partial f}{\partial x_2} \sigma_{T,x_2}\right)^2 + \dots + \left(\frac{\partial f}{\partial x_n} \sigma_{T,x_n}\right)^2} \quad (3)$$

235 Taking the heat load as an example, the total uncertainty could be written as follows
 236 (Lu et al., 2020),

$$Q = c_{p,w} \rho V (T_1 - T_2) \quad (4)$$

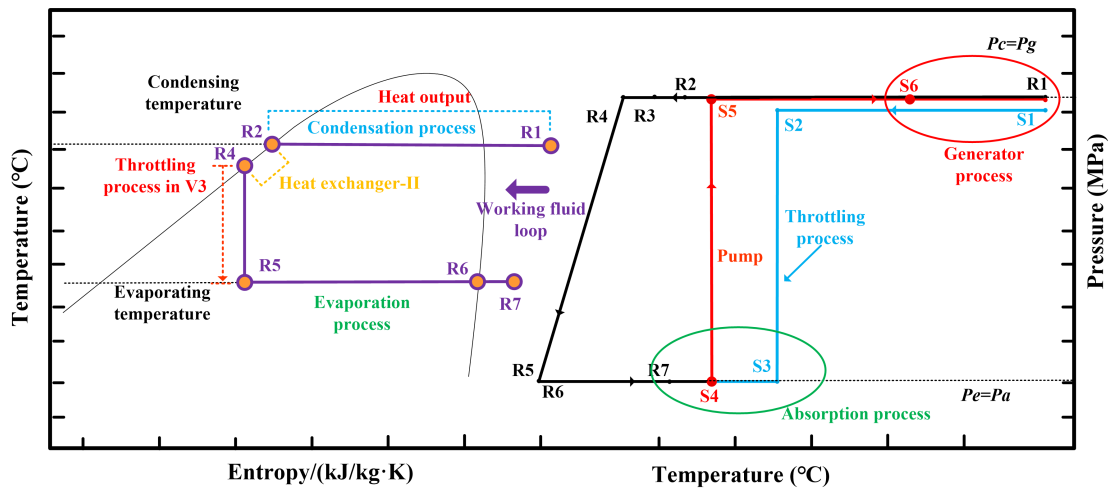
$$\begin{aligned} \sigma_T &= \sqrt{\left(\frac{\partial f}{\partial V} \sigma_{T,V}\right)^2 + \left(\frac{\partial f}{\partial T_1} \sigma_{T,T_1}\right)^2 + \left(\frac{\partial f}{\partial T_2} \sigma_{T,T_2}\right)^2} \\ &= c_{p,w} \rho \sqrt{(T_1 - T_2)^2 \sigma_{T,V}^2 + V^2 (\sigma_{T,T_1}^2 + \sigma_{T,T_2}^2)} \end{aligned} \quad (5)$$

237 **3. Numerical model**

238 **Fig.3** displays the P-T and T-S figure of different processes. To analyze the
 239 system performance, a coupled model including a lumped parameter model and a
 240 distributed parameter model have been established, with the following assumptions
 241 for simplification:

- 242 1) Both system components and thermodynamic processes are stable.
- 243 2) Constant pressure in the absorber and generator.
- 244 3) At the condenser and evaporator outlets, refrigerants are considered as
 245 saturated.
- 246 4) Ignoring the pressure drop and heat loss (Lu et al., 2019).
- 247 5) The two-dimensional model for the heat and mass transfer process is
 248 employed for the falling film absorber and generator.
- 249 6) The liquid and vapor flows are considered to be steady, laminar, and
 250 Newtonian (Robabeh et al., 2018).

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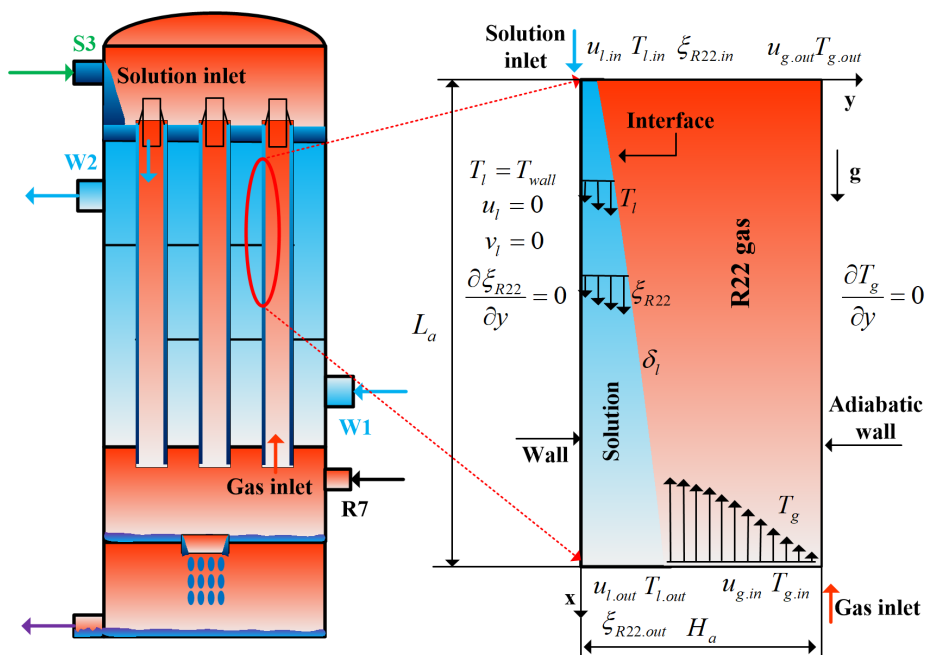
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Fig. 3 P-T diagram of the ASAHP system

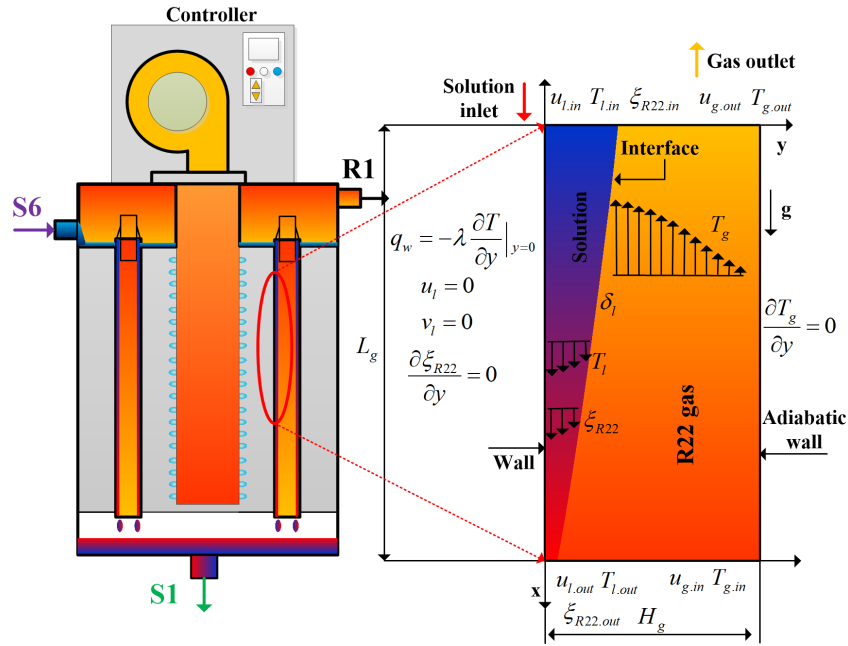
254 **3.1. The coupled model**

255 Solution equilibrium equations and absorption cycle model have been listed in
 256 Appendix B. Figs. 4(a) and 4(b) show the diagram of the two-dimensional
 257 distributed parameter model for both the absorber and the generator. A fully
 258 developed two-phase of R22-DEGDME solution flows downward and the pure R22
 259 gas flows upwards in a copper pipe. The solution film with even inlet temperature
 260 and mass fraction goes to the pipe with an initial thickness of $\delta_{l.in}$. In the absorber,
 261 the liquid film thickness increases due to the continuous absorption of R22 vapor
 262 during the falling film process; while in the generator, the liquid film thickness
 263 decreases because of the constant release of R22 vapor during the process. The
 264 two-dimensional distributed parameter model is defined in Cartesian coordinates,
 265 and x represents the pipe length direction and y represents the pipe diameter
 266 direction, respectively.

267



268



270

271

(b) Generator

272 Fig.4 Domain definition and boundary conditions for two-phase absorption in two phase

273

absorption and generation

274

In the absorber and the generator, the governing equations of energy, mass, and

275

momentum are listed as follows. In the liquid phase, the mass balance equations of

276

liquid is governed by Equation 6,

277

$$\frac{\partial \rho_l}{\partial \tau} + \frac{\partial(\rho_l u_l)}{\partial x} + \frac{\partial(\rho_l v_l)}{\partial y} = 0 \quad (6)$$

278

In both x and y directions, the momentum equation in the liquid phase can be

279

described by Equations 7 and 8,

280

$$\frac{\partial(\rho_l u_l)}{\partial \tau} + \frac{\partial(\rho_l u_l u_l)}{\partial x} + \frac{\partial(\rho_l v_l u_l)}{\partial y} = -\frac{\partial P_l}{\partial x} + \mu_l \left(\frac{\partial^2 u_l}{\partial x^2} + \frac{\partial^2 u_l}{\partial y^2} \right) + \rho_l g_x \quad (7)$$

281

$$\frac{\partial(\rho_l v_l)}{\partial \tau} + \frac{\partial(\rho_l u_l v_l)}{\partial x} + \frac{\partial(\rho_l v_l v_l)}{\partial y} = -\frac{\partial P_l}{\partial y} + \mu_l \left(\frac{\partial^2 v_l}{\partial x^2} + \frac{\partial^2 v_l}{\partial y^2} \right) + \rho_l g_y \quad (8)$$

282

In the solution energy conservation equations, the energy transfer due to

283 inter-diffusion should be considered in the energy balance equation. The energy
 284 conservation equation of the liquid solution is written as Equation 9,

$$285 \quad \frac{\partial(\rho_l c_{p,l} T_l)}{\partial \tau} + \frac{\partial(\rho_l c_{p,l} u_l T_l)}{\partial x} = \lambda_l \left(\frac{\partial^2 T_l}{\partial x^2} + \frac{\partial^2 T_l}{\partial y^2} \right) + \rho_l D_l \frac{\partial^2 \xi_{R22}}{\partial y^2} (\bar{h}_{R22} - \bar{h}_{DEG}) \quad (9)$$

286 As there is no concentration equation for pure vapor, the R22 component
 287 equilibrium equation in the liquid phase can be defined by Equation 10,

$$288 \quad \frac{\partial(\rho_l \xi_{R22})}{\partial \tau} + \frac{\partial(\rho_l u_l \xi_{R22})}{\partial x} + \frac{\partial(\rho_l v_l \xi_{R22})}{\partial y} = \rho_l D_l \left(\frac{\partial^2 \xi_{R22}}{\partial x^2} + \frac{\partial^2 \xi_{R22}}{\partial y^2} \right) \quad (10)$$

289 In the gas phase, mass, momentum, and energy conservation equations are
 290 described by Equations 11-14,

$$291 \quad \frac{\partial \rho_g}{\partial \tau} + \frac{\partial(\rho_g u_g)}{\partial x} + \frac{\partial(\rho_g v_g)}{\partial y} = 0 \quad (11)$$

$$292 \quad \frac{\partial(\rho_g u_g)}{\partial \tau} + \frac{\partial(\rho_g u_g u_g)}{\partial x} + \frac{\partial(\rho_g v_g u_g)}{\partial y} = -\frac{\partial P_g}{\partial x} + \mu_g \left(\frac{\partial^2 u_g}{\partial x^2} + \frac{\partial^2 u_g}{\partial y^2} \right) + \rho_g g_x \quad (12)$$

$$293 \quad \frac{\partial(\rho_g v_g)}{\partial \tau} + \frac{\partial(\rho_g u_g v_g)}{\partial x} + \frac{\partial(\rho_g v_g v_g)}{\partial y} = -\frac{\partial P_g}{\partial y} + \mu_g \left(\frac{\partial^2 v_g}{\partial x^2} + \frac{\partial^2 v_g}{\partial y^2} \right) + \rho_g g_y \quad (13)$$

$$294 \quad \frac{\partial(\rho_g c_{p,g} T_g)}{\partial \tau} + \frac{\partial(\rho_g c_{p,g} u_g T_g)}{\partial x} + \frac{\partial(\rho_g c_{p,g} v_g T_g)}{\partial y} = \lambda_g \left(\frac{\partial^2 T_g}{\partial x^2} + \frac{\partial^2 T_g}{\partial y^2} \right) \quad (14)$$

295 3.2. Boundary conditions

296 To solve the distributed parameter model mentioned above, the boundary
 297 conditions for the model are necessary. Firstly, some assumptions were made:

- 298 1) The velocity in the y direction is ignored ([M.Mittermaier et al. 2015](#)).
- 299 2) The velocity of solution and vapor in the x direction is constant
 300 ([M.Mittermaier et al. 2015](#)).
- 301 3) The solution is an in-compressible and the film thickness is constant

302 (Mittermaier et al. 2014).

303 4) The convection term in the y direction and the diffused term in the x
304 direction is neglected (E.García-Rivera et al. 2019).

305 5) The wall temperature of the absorber and the heat flux density in the
306 generator is constant (Robabeh et al. 2018).

307 According to Figs. 4(a) and 4(b), the boundary conditions were defined. At the
308 inlet of the absorber and the generator (x=0), the inlet temperature, velocity and
309 mass fraction of R22 are given by Equations 15 and 16,

$$310 \quad u_{l.in} = u, \quad T_{l.in} = T_{S3}, \quad \xi_{R22.in} = \xi_{R22.S3}, \quad \frac{\partial T_g}{\partial x} = 0 \quad \text{Absorber} \quad (15)$$

$$311 \quad u_{l.in} = u, \quad T_{l.in} = T_{S6}, \quad \xi_{R22.in} = \xi_{R22.S6}, \quad \frac{\partial T_g}{\partial x} = 0 \quad \text{Generator} \quad (16)$$

312 At the wall beside the liquid phase (y=0), the conditions for wall temperature,
313 velocity and mass fraction of R22 are given. The wall liquid temperature equals to
314 the pipe wall temperature in the absorber; while the pipe wall is defined by the
315 second type of boundary conditions in the generator. The mass fraction gradient at
316 the wall is 0 as there is no mass transfer.

$$317 \quad u_l = 0, \quad v_l = 0, \quad T_l = T_{wall}, \quad \frac{\partial \xi_{R22}}{\partial y} = 0 \quad \text{Absorber} \quad (17)$$

$$318 \quad u_l = 0, \quad v_l = 0, \quad q_w = -\lambda_l \frac{\partial T_l}{\partial y} \Big|_{y=0}, \quad \frac{\partial \xi_{R22}}{\partial y} = 0 \quad \text{Generator} \quad (18)$$

319 At the wall beside the R22 gas phase (y=H_a, H_g), the wall with a temperature
320 gradient of 0 is an adiabatic wall.

$$321 \quad \frac{\partial T_g}{\partial y} = 0 \quad (19)$$

322 At the outlet of the pipe ($x=L_a, L_g$), the boundary conditions are defined as:

323
$$\frac{\partial T_l}{\partial x} = 0, \quad \frac{\partial \xi_{R22}}{\partial x} = 0, \quad u_{g.in} = u_2, \quad T_{g.in} = T_{R7} \quad \text{Absorber} \quad (20)$$

324
$$\frac{\partial T_l}{\partial x} = 0, \quad \frac{\partial \xi_{R22}}{\partial x} = 0, \quad u_{g.in} = u_2, \quad T_{g.in} = T_{l.out} \quad \text{Generator} \quad (21)$$

325 At the interface, the temperature is continuous and the solution temperature
 326 equals to the vapor temperature. Both absorption heat and generator heat are
 327 transferred into the film by the heat conduction.

328
$$T_{l.intf} = T_{g.intf} \quad (22)$$

329
$$-\lambda_l \frac{\partial T_l}{\partial y} \Big|_{y=\delta} = m_{intf} \cdot \Delta h \quad (23)$$

330 where m_{intf} is the mass flux at the interface and Δh is the absorption heat and
 331 generator heat which has been defined as a function of the enthalpy of refrigerant
 332 vapor and the partial enthalpy of R22 in the solution.

333
$$m_{intf} = -\rho_l D_l \frac{\partial \xi_{R22}}{\partial y} \Big|_{y=\delta} \quad (24)$$

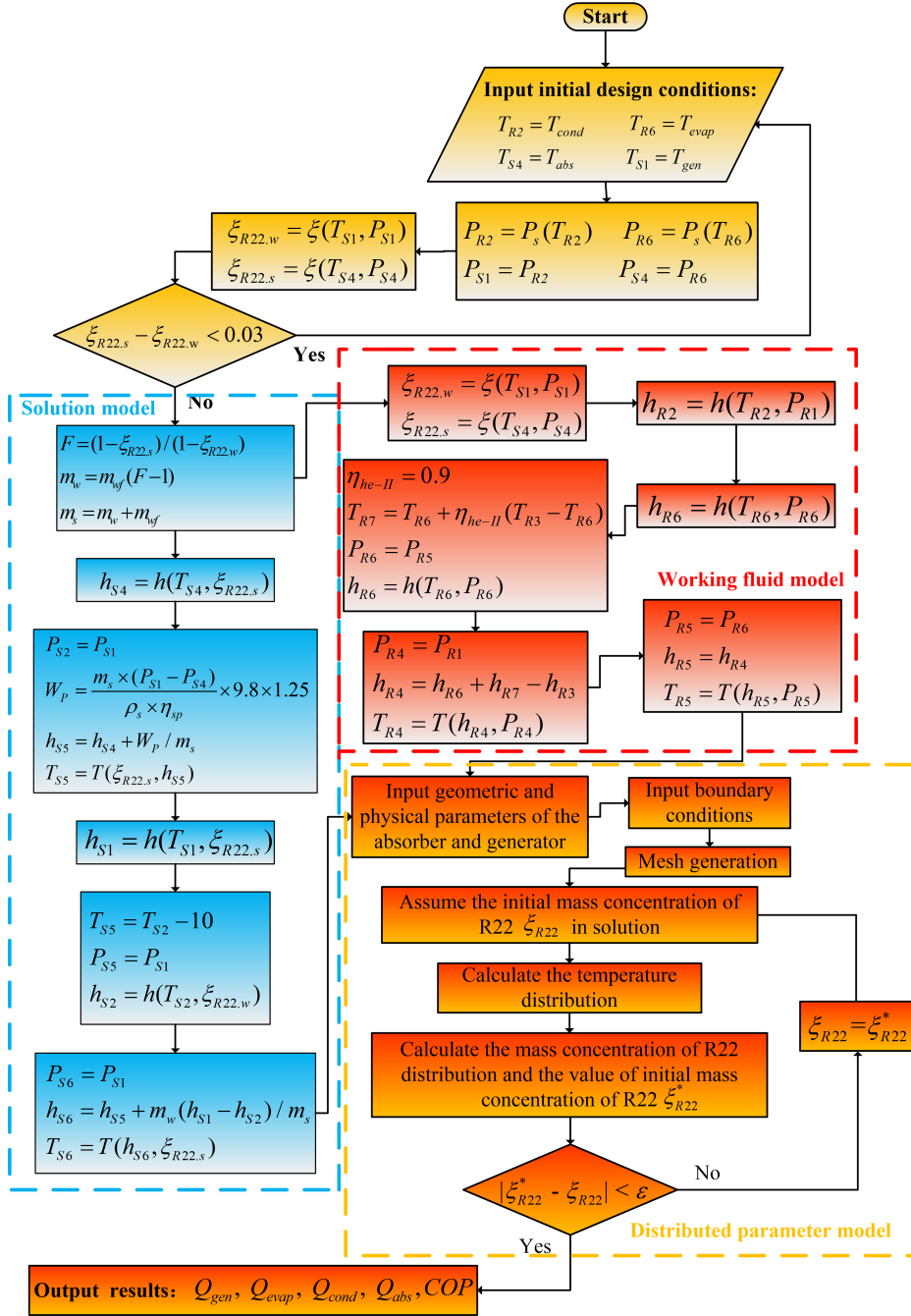
334
$$\Delta h = (h_{R22,g} - \bar{h}_{R22,l})_{intf} \quad (25)$$

335 3.3. Solution method

336 For the solution of the coupled model, the specific code was developed through
 337 Python. In the distributed parameter model, central difference scheme is applied to
 338 discretize the diffusion terms, and first-order upwind difference scheme is applied to
 339 discretize convection terms. The flowchart of the distributed parameter model
 340 algorithm is shown in [Fig. 5](#).

341 **3.4. System multi-objective optimization**

342 According to both experimental and simulation results, with increasing water
343 flow rate, the COP increased while the supply water temperature decreased.
344 Therefore, a system multi-objective optimization method should be conducted as it
345 can help to identify the optimal solution at the same time for the two objectives ([Wu
346 et al., 2020](#)). There are two objective functions, which are COP and supply water
347 temperature calculated by the lumped parameter model. The decision variables
348 include water flow rate, generator temperature and condensing temperature which
349 have significant effect on the supply water temperature and COP ([Jain et al., 2017](#)).



350

351

Fig. 5 Flow chart of the distributed parameter model.

352

The safety operating conditions are applied to formulate the inequality

353

constraints while the lumped parameter model are used to determine the equality

354

constraints. Jain et al., (2017) stated that the NSGA-II approach, a valid evolutive

355

optimization method to find the optimal solutions with the details pseudo codes, can

356

be applied to optimize the decision variables. In addition, Pareto frontier and

357 TOPSIS decision-making method should also be discussed and adopted (Wang et al.,
 358 2017). The non-dimensionalization of both supply water temperature and COP
 359 should be conducted by the Fuzzy method (Wu et al., 2019):

$$COP_i^n = \frac{\max(COP_i) - COP_i}{\max(COP_i) - \min(COP_i)} \quad (26)$$

$$T_{w,i}^n = \frac{\max(T_{w,i}) - T_{w,i}}{\max(T_{w,i}) - \min(T_{w,i})} \quad (27)$$

360

361 To find the optimized result on the Pareto frontier, the TOPSIS decision-making
 362 method has been applied in this study. In this process, there are non-ideal and ideal
 363 points, with ideal points maximizing objective functions and non-ideal points
 364 minimizing them. On the Pareto frontier, the distance of any points to the ideal and
 365 non-ideal points was calculated by Equations 28 and 29 (Wu et al., 2020),

$$d_{i+} = \sqrt{(COP_i - COP^{ideal})^2 + (T_{w,i} - T_w^{ideal})^2} \quad (28)$$

$$d_{i-} = \sqrt{(COP_i - COP^{non-ideal})^2 + (T_{w,i} - T_w^{non-ideal})^2} \quad (29)$$

366

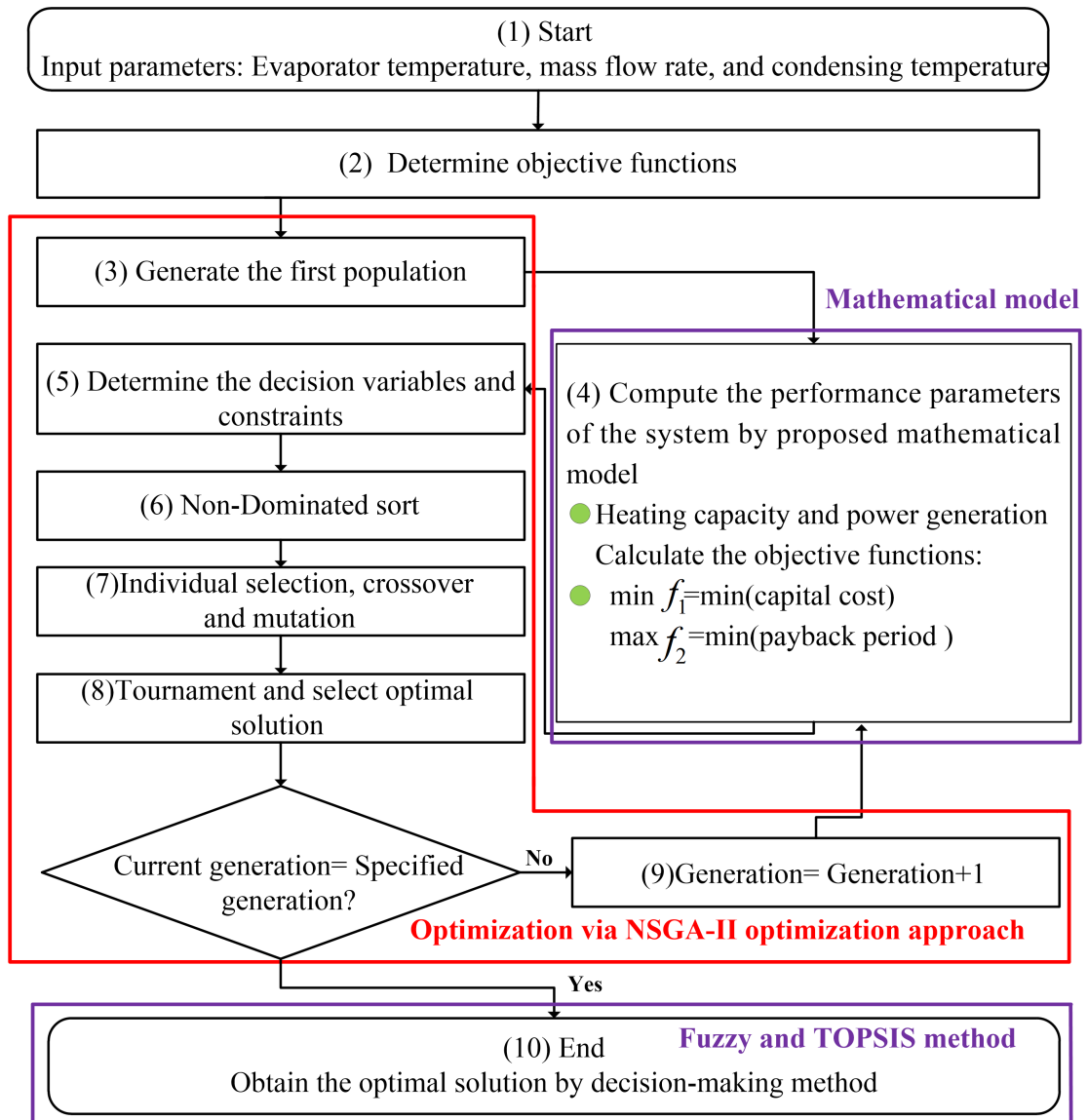


Fig. 6 Flowchart of multi-objective optimization

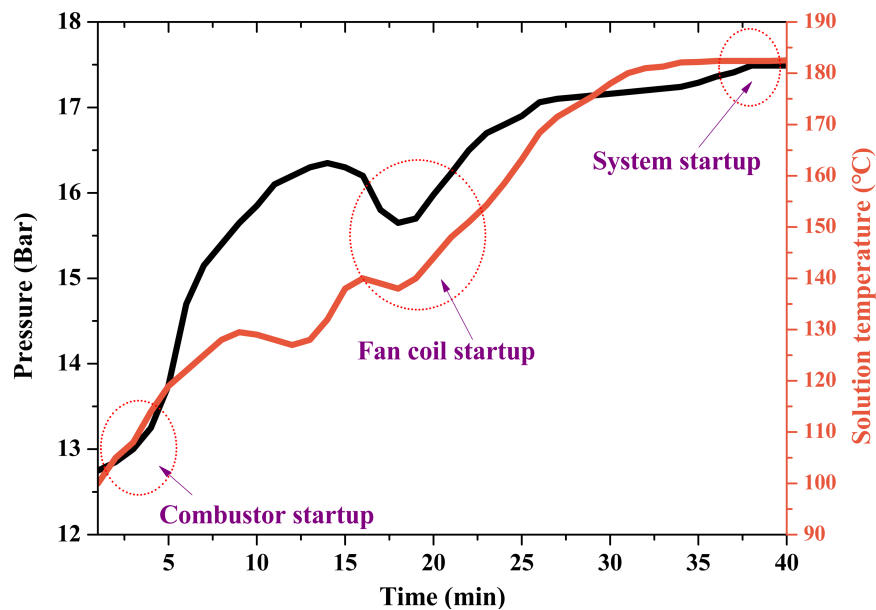
The proximity index can be calculated by Equation 30 (Wu et al., 2020). The maximum Cl_i is chosen as the final solution and Fig. 6 provides the optimization flow chart.

$$Cl_i = \frac{d_{i-}}{d_{i-} + d_{i+}} \quad (30)$$

374 4. Results and discussions

375 The generator is heated separately to achieve set conditions as soon as possible,
376 before the system starts. Fig. 7 shows the start-up performance consisting of both
377 pressure and temperature in the generator. As shown in Fig. 7, it took 40 minutes for
378 the solution temperature to increase from 100 °C to 190 °C and the pressure to rise
379 from 12.75 bar to 17.49 bar. The solution temperature and pressure increased
380 approximately in a linear nature with time. After 15 minutes, fan coils and water
381 pumps started to result in decreased solution temperature and pressure. After 40
382 minutes, the system achieved the set operating condition.

383



384

Fig. 7 Starting performance of the system

385 4.1. Thermal performance analysis

386 At a typical operating condition calculated by the lumped parameters model,
387 Table 3 indicates uncertainty within the system and the experimental parameters. The
388 pressure meter, PT-100, and volume flowmeter have been applied to collect

389 experimental values which are recorded data acquisition machine. The average
 390 values are the average of five measurements and the system uncertainty is the error
 391 of the device. Table 4 displays the thermodynamic analysis of the heat load and COP
 392 under a typical condition. The absorber load, condenser load, evaporator load and
 393 generator load calculated by the Equations (B-6)-(B-9) are 11.42 kW, 24.98 kW,
 394 14.79 kW and 23.02 kW, respectively. Heating capacity calculated by Equation
 395 (B-10) is the sum of the condenser load and absorber load while the COP defined by
 396 the Equation (B-13) is 1.58.

397

398 Table 3 Experimental values and the uncertainty of different parameters

Variables	State points	Average values	System uncertainty
Working fluid temperature in generator outlet	R1	191.5 °C	±0.1 °C
Working fluid temperature in condenser outlet	R2	46.3 °C	±0.1 °C
Working fluid temperature in reservoir outlet	R3	46.1 °C	±0.1 °C
Working fluid temperature in heat exchanger-II outlet	R4	25.8 °C	±0.1 °C
Working fluid temperature in evaporator inlet	R5	-9.2 °C	±0.1 °C
Working fluid temperature in evaporator outlet	R6	-8.9 °C	±0.1 °C
Working fluid temperature in absorber inlet	R7	28.4 °C	±0.1 °C
Water temperature in absorber inlet	W1	24.5 °C	±0.1 °C
Water temperature in condenser inlet	W2	31.3 °C	±0.1 °C
Supply water temperature	W3	38.4 °C	±0.1 °C
Weak solution temperature in generator outlet	S1	190.9 °C	±0.1 °C
Weak solution temperature in heat exchanger outlet	S2	50.8 °C	±0.1 °C

Weak solution temperature in absorber inlet	S3	48.2 °C	±0.1 °C
Strong solution temperature in absorber outlet	S4	43.5 °C	±0.1 °C
Strong solution temperature in solution pump outlet	S5	44.5 °C	±0.1 °C
Strong solution temperature in generator inlet	S6	131.8 °C	±0.1 °C
Water flow rate	Flowmeter-IV	2.3 m ³ /h	±0.1 m ³ /h
Working fluid flow rate	Flowmeter-III	0.25 m ³ /h	±0.02 m ³ /h
Strong solution flow rate	Flowmeter-II	1.71 m ³ /h	±0.02 m ³ /h
Weak solution flow rate	Flowmeter-I	1.38 m ³ /h	±0.02 m ³ /h
Natural gas flow rate	Controller	2.42 m ³ /h	±0.02 m ³ /h
Generator pressure	-	1.85 MPa	±0.01 MPa
Evaporation pressure	-	0.35 MPa	±0.01 MPa

399

400 Table 4 Performance analysis of the heat load under a typical condition

Variables	Values	Accuracy
Absorber load	11.42 kW	±0.42 kW
Condenser load	24.98 kW	±0.38 kW
Evaporator load	14.79 kW	±0.42 kW
Generator load	23.02 kW	±0.43 kW
COP	1.58	±0.05
Heating capacity	36.41 kW	±0.52 kW

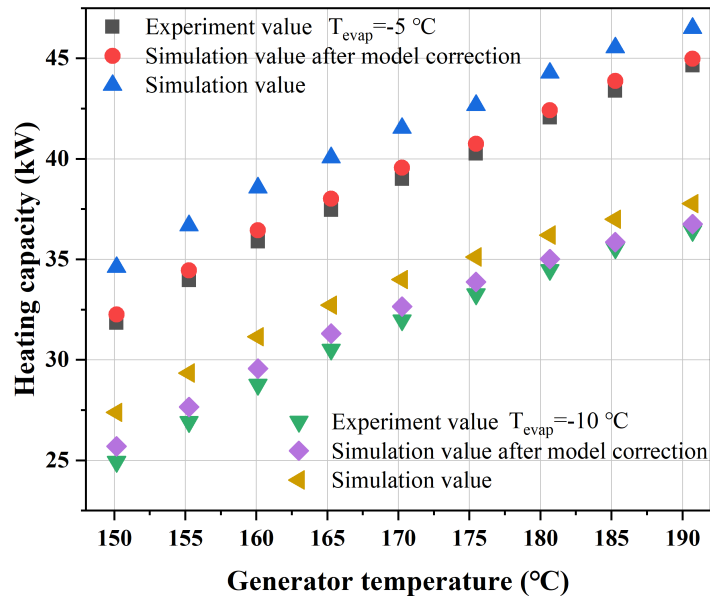
401

402 [Fig. 8](#) depicts the variations of the COP and heating capacity in both experiment
403 and simulation, with rising generator temperature at different evaporation
404 temperatures. In [Fig. 8](#), there are three sets of data which are experimental value,
405 simulation value and simulation value after model correction. The simulation values
406 were calculated according to the lumped parameter model, while simulation values
407 after model correction were calculated based on the coupled model shown in [Section](#)
408 [3.1](#). From [Figs. 8\(a\)](#) and [8\(b\)](#), all experimental values, simulation values and

409 simulation values after model correction of the heating capacity rose with the
410 increasing generator temperature at the evaporation temperatures of -10 °C and -5 °C.
411 When the generator temperature was 190 °C, the simulation heating capacity,
412 simulation heating capacity after model correction and the experimental heating
413 capacity were 37.77 kW, 36.75 kW and 36.41 kW, respectively, at the evaporation
414 temperature of -10 °C; while they were 46.51 kW, 44.65 kW and 44.98 kW, when the
415 evaporation temperature was -5 °C. Relative errors of experimental heating capacity
416 to simulation heating capacity and simulation heating capacity after model correction
417 were 3.61% and 2.69% at the evaporation temperatures of -10 °C, and they were
418 3.99% and 3.27% at the evaporation temperatures of -5 °C. It could be observed in
419 [Figs. 8\(c\)](#) and [8\(d\)](#) that with the increase of generator temperature, the COP
420 increased at both evaporation temperatures of -10 °C and -5 °C. At the generator
421 temperature of 190 °C, the simulated COP was 1.66, simulated COP after model
422 correction was 1.61 and the experimental COP was 1.58, at the evaporation
423 temperature of -10 °C, and they were 1.64, 1.66 and 1.71, when the evaporation
424 temperature was -5 °C. Under this condition, the relative errors of experimental COP
425 to simulated COP and simulated COP after model correction were 4.47% and 2.73%
426 at the evaporation temperatures of -10 °C, and they were 4.03% and 3.11% at the
427 evaporation temperature of -5 °C. These trends can be interpreted that increasing
428 generator temperature causes decreasing weak solution concentration. If the strong
429 solution concentration determined by the evaporation temperature keeps unchanged,
430 the deflation ratio will rise leading to the increasing absorption ability. Furthermore,

431 the working fluid mass flow rate increased which caused the increase of both heating
 432 capacity and COP. In addition, the increase of evaporation temperature caused the
 433 increase of absorber pressure, leading to an increase of strong solution concentration.
 434 If the weak solution concentration determined by the generator temperature was
 435 unchanged, the deflation ratio would rise, leading to the increase of absorption
 436 ability. The experimental value was lower than the simulated value, and this is
 437 because there were pressure and heat loss under the experimental conditions.

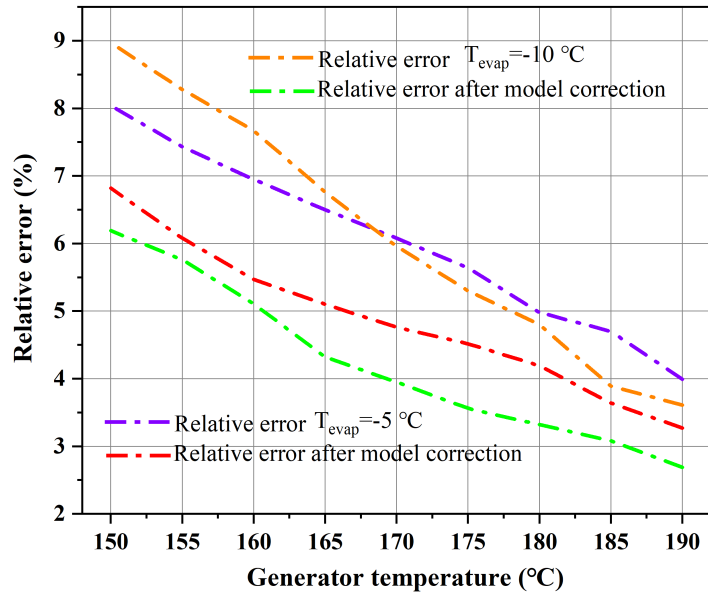
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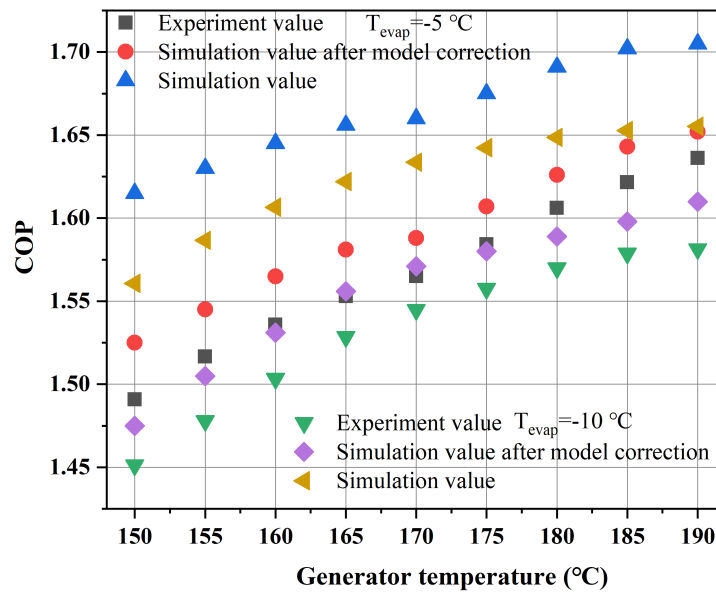
(a)



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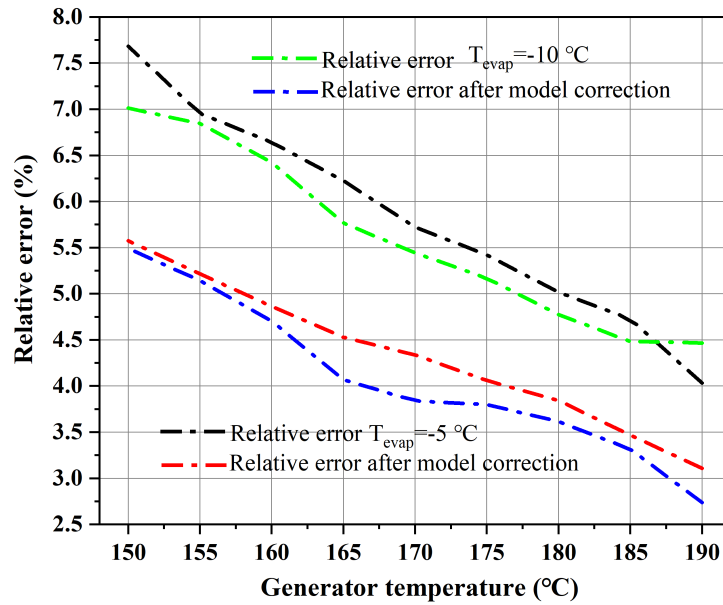
(b)



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(c)



(d)

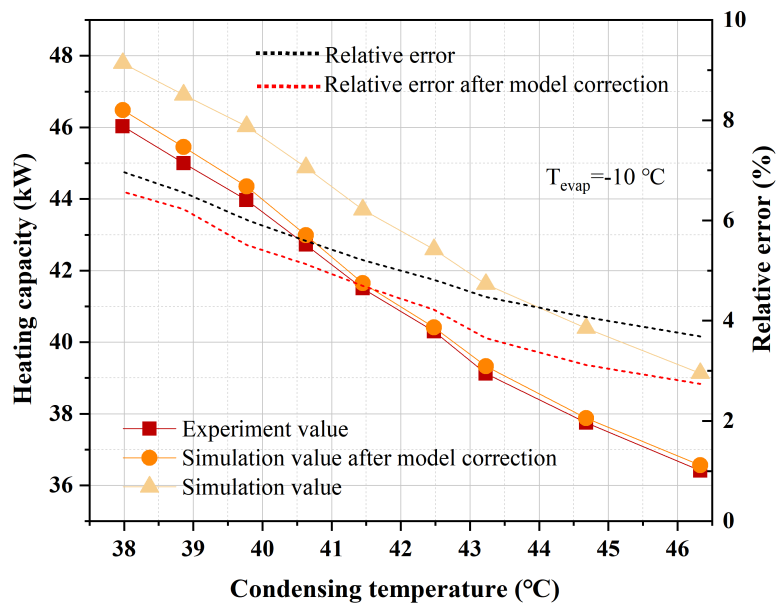
Fig. 8 Variation of COP and heating capacity under different generator and evaporation temperature

445
446
447
448
449

450 **Fig. 9** illustrates the variation of heating capacity and COP at different
 451 condensing and evaporation temperature. It can be seen from the **Figs. 9(a)** and **9(c)**,
 452 the heating capacity decreased with the rising condensing temperature at the
 453 different evaporation temperature. When the condensing temperature was 46.5 °C,
 454 the simulation and experiment heating capacity were minimized; the simulation
 455 heating capacity, simulation heating capacity after model correction and experiment
 456 heating capacity were 39.13 kW, 35.65 kW and 36.41 kW with the relative error of
 457 3.69% and 2.74% at the evaporation temperature of -10 °C; these heating capacity
 458 were 48.55 kW, 44.65 kW and 44.85 kW with the relative error of 3.41% and 2.86%
 459 at the evaporation temperature of -5 °C. **Figs. 9(b)** and **9(d)** indicate the COP
 460 decreased with the increasing condensing temperature. The COP reached minimum
 461 value at the condensing temperature of 46.5 °C; the simulation COP, simulation COP

462 after model correction and experiment COP were 1.58, 1.48 and 1.44 with the
 463 relative error of 4.46% and 2.74%, respectively, when the evaporation temperature
 464 was -10 °C; the simulation COP, simulation COP after model correction and
 465 experiment COP were 1.62, 1.52 and 1.48 with the relative error of 4.03% and
 466 2.64%, respectively, at the evaporation temperature of -5 °C. This could be explained
 467 by that the increase of condensing temperature resulted in a growing working fluid
 468 enthalpy in the condenser outlet which would lead to the decrease of COP and
 469 heating capacity.

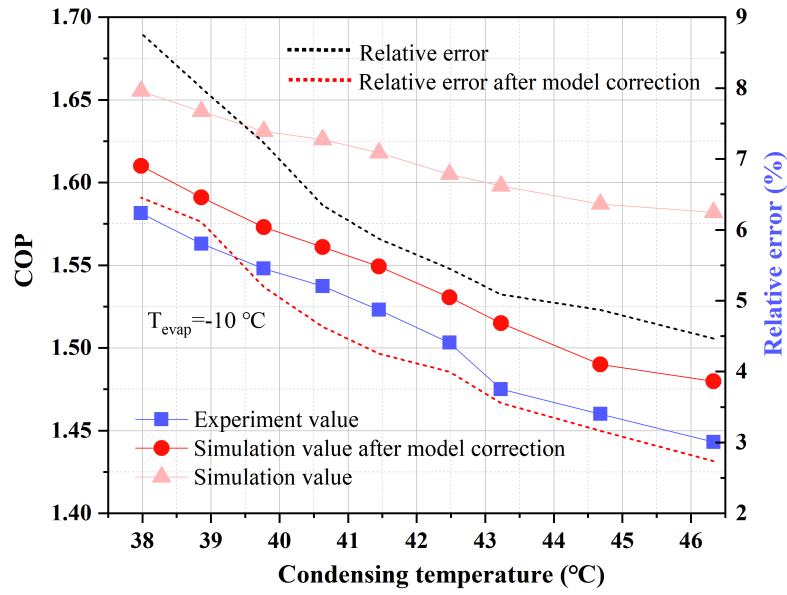
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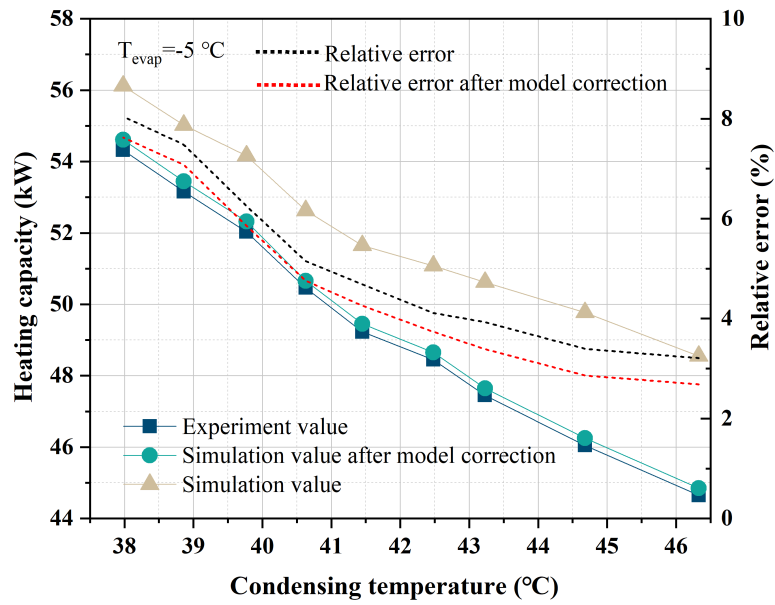
(a)



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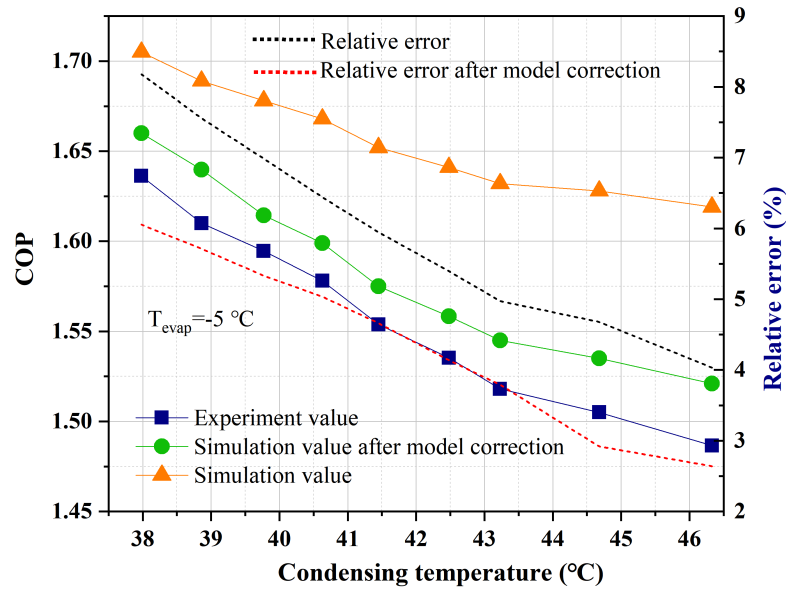
(b)



475

476

(c)

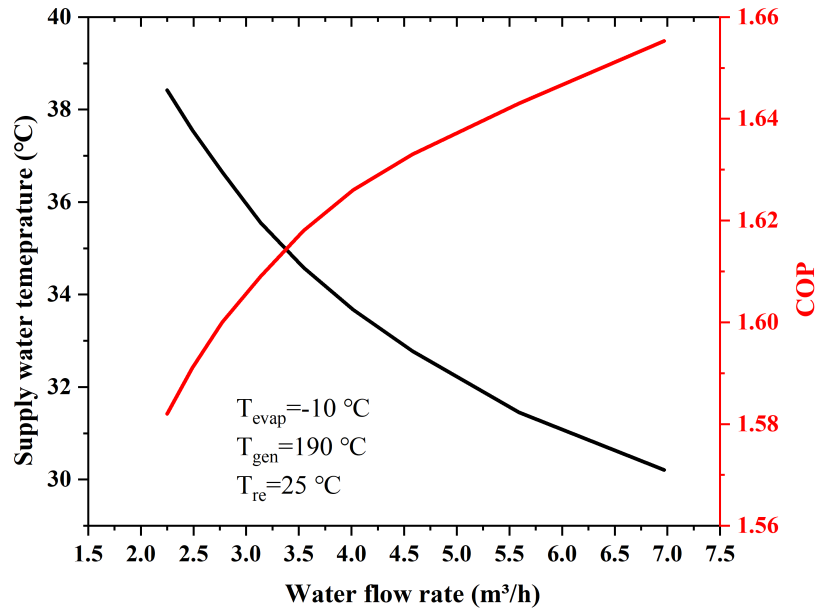


(d)

Fig. 9 Variation of COP and heating capacity under different condensing and evaporation temperature

477
478
479
480
481

482 [Fig. 10](#) presents the change of COP and supply water temperature with different
483 flow rate of water. It can be seen that with the rising flow rate of water, the COP rose
484 but the supply water temperature decreased. [Fig. 10](#) illustrates that while the flow
485 rate of water was 2.25 m³/h, the COP was 1.58 and the supply water temperature was
486 38.8 °C; while the flow rate of water increased from 2.25 m³/h to 6.98 m³/h, the COP
487 increased from 1.58 to 1.66 while the supply water temperature decreased from
488 38.8 °C to 30.6 °C. This could be explained by that the increase of water flow rate
489 caused the reducing condensing temperature which leading to the increase of
490 refrigerant enthalpy difference in the condenser inlet and outlet. This would lead to
491 the increase of heating capacity and COP, when the working fluid mass flow rate
492 kept unchanged.



493

494 Fig. 10 Variation of supply water temperature and COP with the increase of water flow rate

495 4.2. Coupled model validation

496 The coupled model should be validated to ensure its accuracy and correctness.

497 Table 5 shows the comparison between experimental values and simulated values

498 after model correction in the generator. It is shown that the maximum relative error

499 of T_{R1-Ex} and T_{R1-Sim} was 3.12%, while the maximum relative error of T_{S1-Ex} and

500 T_{S1-Sim} was 4.75%. Table 6 displays the comparison between experimental values and

501 simulated values in the absorber. It shows the maximum relative error of T_{S4-Ex} and

502 T_{S4-Sim} of 5.03%. The simulated solutions were in good agreement with the

503 experimental results under the condition of the necessary assumptions and

504 instrument errors. In addition, the distributed parameter model can be used to predict

505 the thermal performance of both generators and absorbers.

506 Table 5 Experimental values and simulation values in generator under different conditions

T_{evap}	Case	T_{W1} (°C)	T_{W3} (°C)	T_{S6} (°C)	T_{R1-Sim} (°C)	T_{R1-Ex} (°C)	RE (%)	T_{S1-Sim} (°C)	T_{S1-Ex} (°C)	RE (%)
------------	------	------------------	------------------	------------------	----------------------	---------------------	-----------	----------------------	---------------------	-----------

T _{evap} = -10 °C	1	24.5	38.4	131.1	193.1	190.3	1.47	195.7	190.6	2.71
	2	24.5	37.9	129.4	187.8	184.8	1.58	190.8	185.1	3.06
	3	24.5	37.5	127.7	183.3	180.2	1.73	186.4	180.2	3.41
	4	24.6	37.1	126.1	178.8	175.1	2.16	181.1	175.1	3.48
	5	24.6	36.6	124.5	174.1	169.8	2.50	176.9	170.1	3.96
	6	24.6	36.3	123.1	169.4	164.8	2.75	172.3	165.2	4.33
	7	24.6	35.9	121.6	164.4	159.7	2.93	167.1	159.9	4.39
	8	24.6	35.6	120.2	159.5	154.9	3.05	162.3	155.1	4.60
	9	24.6	34.9	119.1	154.4	149.7	3.12	157.2	150.1	4.75
T _{evap} = -5 °C	10	24.4	39.7	122.1	193.2	190.2	1.59	192.5	190.2	1.23
	11	24.5	39.3	120.4	189.3	184.7	2.52	187.3	184.7	1.42
	12	24.5	38.9	118.9	184.1	180.1	2.24	182.7	180.1	1.46
	13	24.5	38.5	117.4	178.2	174.9	1.88	177.5	174.9	1.49
	14	24.5	38.1	115.9	172.4	169.7	1.62	172.5	169.7	1.68
	15	24.5	37.7	114.6	166.9	164.7	1.36	167.9	164.8	1.94
	16	24.5	37.3	113.3	163.8	159.5	2.67	162.7	159.5	1.99
	17	24.5	37.0	112.1	158.5	154.7	2.45	158.1	154.7	2.17
	18	24.5	36.3	110.9	153.1	149.6	2.32	153.4	149.6	2.53

507

508 Table 6 Experimental values and simulation values in absorber under different conditions

T _{evap}	Case	T _{w1} (°C)	T _{w3} (°C)	T _{s3} (°C)	T _{R7} (°C)	T _{S4-Sim} (°C)	T _{S4-Ex} (°C)	RE (%)
T _{evap} = -10 °C	1	24.5	38.4	48.5	24.8	43.5	41.9	3.73
	2	24.5	38.1	47.5	27.6	41.3	39.7	3.92
	3	24.5	37.9	46.5	26.9	40.5	38.9	3.96
	4	24.5	37.8	45.5	26.2	39.8	38.3	3.99
	5	24.5	37.7	44.5	25.5	38.7	37.2	4.18
	6	24.5	37.6	43.5	24.8	37.2	35.6	4.44
	7	24.5	37.6	42.5	24.1	36.2	34.6	4.49
	8	24.5	37.5	41.5	23.4	35.3	33.7	4.67
	9	24.5	37.4	40.4	22.7	34.6	33.1	5.03

509

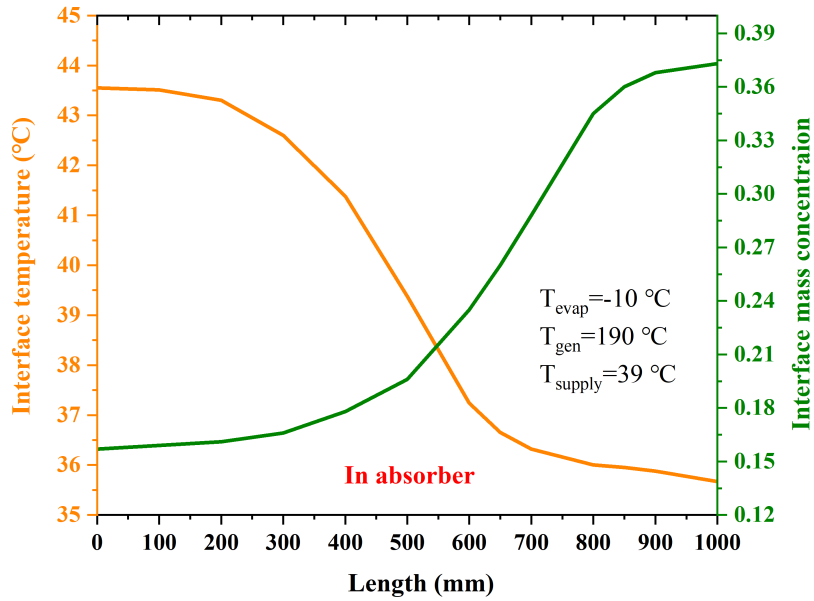
510 4.3. Performance analysis in both absorbers and generators

511 Fig. 11 shows the interface temperature and mass concentration in both

512 generators and absorbers, according to the distributed parameter model described in

513 [Section 3.2](#). It is shown in [Fig. 11\(a\)](#) that the interface temperature decreased slowly
514 in the initial part of the pipe and dropped rapidly after 300 mm. This is because that
515 in the initial stage, the solution concentration is low and the ability to absorb steam is
516 strong; more absorbed heat is released when the R22 vapor is absorbed, leading to
517 little change in interface temperature. Then, the rising mass fraction of the solution
518 leads to the gradual decrease of absorptive capacity and the conductive heat transfer
519 rate through the wall becomes greater than the absorption heat. Therefore, the
520 interface temperature gradually decreases and basically reaches the same
521 temperature as the wall temperature at the pipe exit. The variation trend of interface
522 mass concentration is opposite to that of interface temperature. [Fig. 11\(a\)](#) indicates
523 that the interface temperature increased rapidly in the initial part of the pipe and
524 reached 190 °C at 200 mm. After 200 mm, the interface temperature remained
525 almost constant. This is because at the inlet, the solution temperature is low and the
526 R22 mass concentration is high; the heat released by the combustor is partly given to
527 the solution, and partly to generating heat of the refrigerant. And the interface
528 temperature is lower, releasing less refrigerant vapor. When the solution temperature
529 reached 190 °C, the heat released by the combustor provided the generating heat for
530 the refrigerant leading to the constant interface temperature. According to a reference
531 ([Robabeh et al., 2020](#)), a two-dimensional numerical model of a vertical falling film
532 absorber was proposed, and their results showed that the interface temperature
533 decreased while the interface mass concentration increased with the increasing tube
534 length, which is similar to the results from the proposed model .

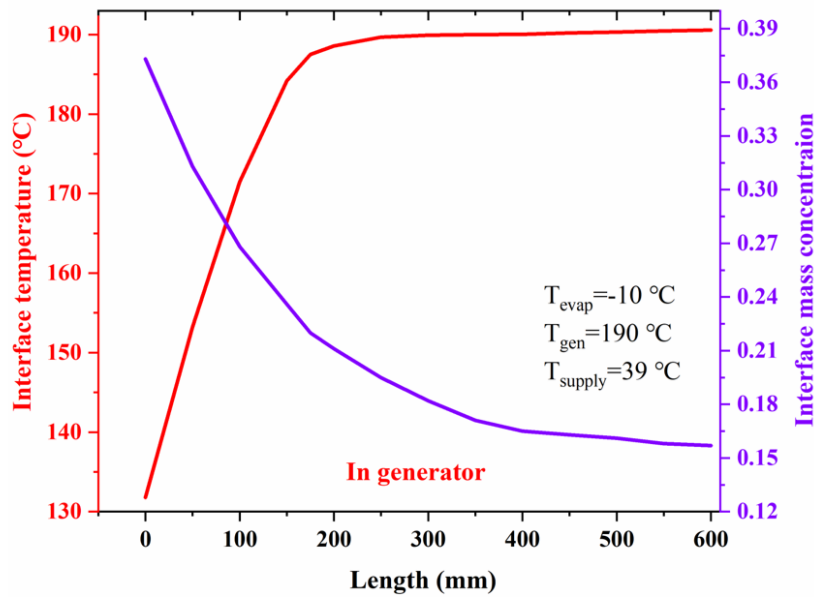
535



536

537

(a)



538

539

(b)

540 Fig. 11 Interface temperature and mass concentration in the generator and absorber

541 **4.4. System optimization**

542 It can be seen from Fig. 10 that the COP increased but the water supply

543 temperature reduced, with the growing flow rate of water. Under the same

544 evaporation and generation temperature, the higher the COP, the lower the water

545 supply temperature, and vice versa. According to the NSGA-II approach and
546 TOPSIS decision-making method, the multi-objective optimization elaborated in
547 [Section 3.6](#) is carried out in this study. [Table A3](#) displays the optimization process
548 tuning parameters, and these parameters have been used in a similar study as well
549 ([Jain et al., 2017](#)).

550 According to Equations 26 and 27, the normalized COP and the normalized
551 supply water temperature on the Pareto frontiers optimized by the NSGA-II
552 approach are both displayed in [Fig. 12](#). The supply water temperature and the COP
553 will be maximum at normalized supply water temperature and COP of 1. However,
554 the non-ideal points can be determined at the normalized supply water temperature
555 and COP are 0. In fact, there is no i non-ideal and deal points. While the normalized
556 supply water temperature is 1 and the normalized COP is 0, the system will be
557 optimally designed based on supply water temperature. When the normalized supply
558 water temperature is 0 and the normalized COP is 1, however, the system can be
559 optimally designed based on COP. To find the optimal result on the Pareto frontier,
560 therefore, the TOPSIS decision-making method was applied.

561

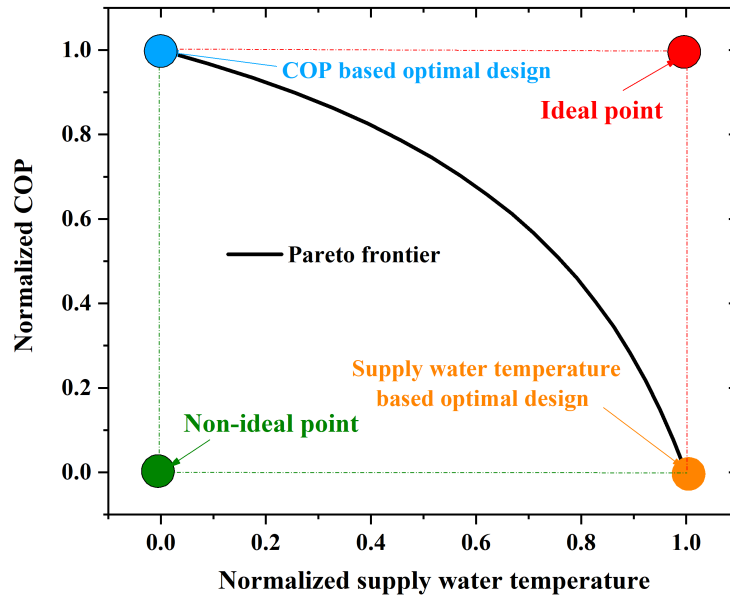
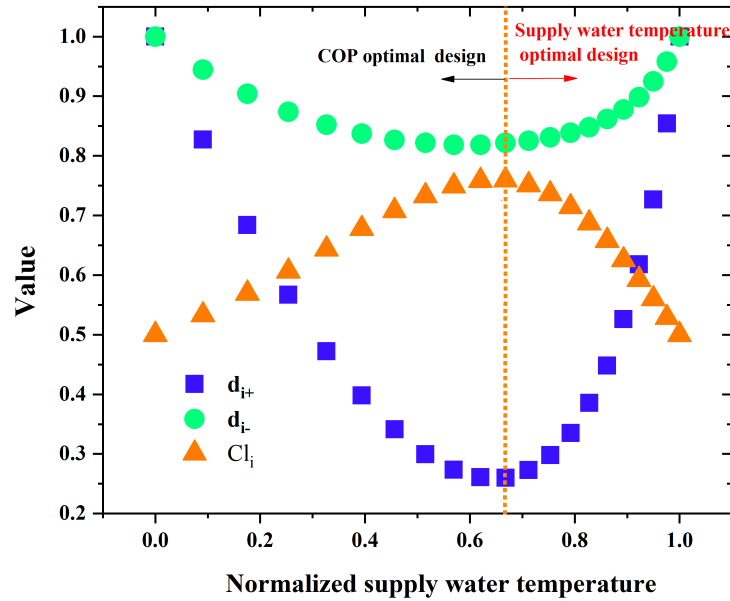


Fig. 12 Pareto frontier in optimization

562 According to Equations 28-30, d_{i+} , d_{i-} and Cl_i was calculated and the
 563 maximum Cl_i was chosen as the final result of the proposed system. The variations
 564 of d_{i+} , d_{i-} and Cl_i with normalized supply water temperature are presented in
 565 Fig. 13. From Fig. 13, when the maximum Cl_i was 0.761, the normalized supply
 566 water temperature was 0.678. Under this optimal result, the COP was 1.63 with the
 567 supply water temperature of 36.3 °C. It can be seen from Fig. 13 that the system had
 568 better COP performance on the left hand side of the orange line while it had better
 569 supply water temperature on the right hand side.



572

573

Fig. 13 The distance values and proximity index calculated by the TOPSIS method

574

Table 7 presents the normalized supply water temperature, the supply water

575

temperature, the normalized COP and the COP in different optimization cases. The

576

results showed that if the system design only considered the COP, the design scheme

577

cannot fully meet the water supply temperature design, and vice versa. If the

578

optimized COP system was chosen, the supply water temperature was 20.98% lower

579

than the maximum possible solution. If the optimized supply water temperature

580

system was determined, the COP was 4.24% lower than its maximum possible

581

solution. However, the COP and supply water temperature were 1.21% and 5.95%

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lower than their maximized values as the multi-objective optimization design was

583

chosen. Therefore, the multi-objective optimization result can be considered as the

584

optimized working condition.

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Under the optimal operating conditions, the multi-objective optimization COP

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is 1.63 and the supply water temperature is 36.3 °C at the evaporation temperature

587

-10 °C. Lu et al. (2020) have proposed an air-source AHP for heating. They indicated

588 that the system had 30kW heating capacity and the water could be heated from
589 34.2 °C to 55.1 °C with the COP of 1.66 at the evaporation temperature of 0 °C.
590 When evaporation temperatures increased from to -10 °C to -5 °C, the COP
591 increased from 1.40 to 1.51, respectively. It can be seen that the COP in this study is
592 higher than the COP in reference ([Lu et al., 2020](#)) under the evaporation temperature
593 -10 °C. This can be explained by that the system in this study has a low condensation
594 temperature (46.3 °C) and the heat recovery of flue gas.

595 Table 7 Values under different optimization process

Parameters	COP	Supply water temperature (°C)	Normalized COP	Normalized supply water temperature
COP optimal design	1.65	30.5	1	0
Supply water temperature optimal design	1.58	38.6	0	1
Multi-objective optimization design	1.63	36.3	0.621	0.678

596 **5. Conclusions**

597 In this study, a novel air-source gas-fired absorption heat pump system with
598 vertical falling film exchangers has been proposed for heating buildings. A coupled
599 model was established and a testing rig was built up. The effects of different
600 operating parameters were studied and analyzed. Multi-objective optimization was
601 carried out to find the optimized working conditions. The following main
602 conclusions could be drawn from this study:

- 603 1. The proposed system had good stability and feasibility. With the evaporator
604 temperature increasing from $-10\text{ }^{\circ}\text{C}$ to $-5\text{ }^{\circ}\text{C}$, the experimental coefficient of
605 performance increased from 1.58 to 1.64, and experimental heating capacity rose
606 from 36.41 kW to 44.98 kW.
- 607 2. The proposed coupled model with a maximum error less than 8% is more accurate
608 than the lumped parameter model with a maximum error less than 10%.
- 609 3. The variation trend of interface temperature was opposite to that of interface mass
610 concentration in the absorber and generator. The absorber required longer pipes
611 while the generator required more tubes.
- 612 4. Under the multi-objective optimization condition, the supply water temperature
613 was 5.95% lower than the maximum values and COP was 1.21% lower than the
614 maximum values which were better than that of single-objective optimization.

615 This study has fulfilled the gap of improving the use of outdoor heat for heating
616 buildings in cold region, through a novel air-source gas-fired absorption heat pump

617 system. The proposed system can greatly extend the applications of absorption heat
618 pumps in the district heating and the vertical falling film exchangers can promote the
619 development of this technology. In the future, the stability and compatibility of the
620 ASHP system with the optimal operating condition should be tested by engineering
621 in cold region. Further research should include the heat exchanger optimization and
622 the new environmental working fluid pair.

623

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Appendix A

The tables for this article are shown below.

Table A1 R22-DEGDME solution properties ([Wu et al., 2020](#))

Parameters	DEGDME	R22
Corrosivity	No	No
Toxicity	No	No
Density (kg/m ³) (t=20 °C)	944	1210
Critical temperature (°C)	328.85	96.15
Boiling point (°C) (P=101325 Pa)	162	-40.8
Molecular weight (g/mol)	134.17	86.46

Table A2 Coefficients in Equations.(B-1)-(B-4) (Wu et al., 2020)

n	A_n	B_n	C_n	$A_n^{c_p}$	$B_n^{c_p}$	$C_n^{c_p}$	G_n
0	5.21E+01	-5.58E+03	-6.34E+00	2.79E+02	-4.2E-02	2.31E-03	-1.90E+04
1	1.27E+01	3.39E+03	-1.43E+00	-1.51E+02	5.98E-01	-5.97E-03	8.72E+03
2	-1.39E+02	-8.60E+03	2.23E+01	1.01E+02	-1.37E+00	3.43E-03	-1.39E+03
3	7.32E+02	-3.16E+03	-1.14E+02	-1.28E+02	1.02E+00	1.05E-03	-6.42E+02
4	-1.19E+03	3.04E+04	1.81E+02	-	-	-	-
5	5.47E+02	-1.90E+04	-8.19E+01	-	-	-	-

Table A3 Multi-objective optimization tuning parameters (Jain et al., 2017)

Tuning parameters	Value
Probability of mutation	0.9
Maximum number of generations	400
Population size	100
Tournament size	2
Selection process	Tournament
Probability of crossover	0.75

Appendix B

Solution equilibrium equations and absorption cycle model in this study.

According to literature (Takshito et al., 1984), the solution equilibrium equation consists of three aspects which are solution pressure P , molar concentration Y of R22 and solution temperature T . It can be defined by Equation B-1,

$$\ln P = \sum_{n=0}^5 A_n Y^n + \frac{1}{T} \sum_{n=0}^5 B_n Y^n + \ln T \cdot \sum_{n=0}^5 C_n Y^n \quad (\text{B-1})$$

The R22-DEGDME solution specific heat composes of R22 molar the solution temperature T and concentration Y , defined by Equation B-2 (Takshito et al., 1984),

$$c_p = \sum_{n=0}^3 A_n^{c_p} Y^n + t \sum_{n=0}^3 B_n^{c_p} Y^n + t^2 \cdot \sum_{n=0}^3 C_n^{c_p} Y^n \quad (\text{B-2})$$

The mixed heat is written as a function of R22 molar concentration Y (Takshito et al., 1984). A_n , B_n , C_n , $A_n^{c_p}$, $B_n^{c_p}$, $C_n^{c_p}$ and G_n are the constant coefficients

which have been shown in [Table A2](#).

$$\Delta H_m = Y(1-Y) \cdot \sum_{n=1}^4 G_n (1-2Y)^{n-1} \quad (\text{B-3})$$

Molar enthalpy of solution is defined as a function of specific heat c_p , mixed heat ΔH_m , molar concentration of R22, Y , molecular weights of DEGDME M_D and R22 M_{R22} , thermal equivalent of work J , and solution temperature t . Molar enthalpy is expressed by Equation B-4 ([Takshito et al., 1984](#)),

$$H = \frac{\Delta H_m + \int_{10}^t c_p dt + \int_0^{10} c_p(Y=0)dt + Y \left(\int_0^{10} c_p(Y=1)dt - \int_0^{10} c_p(Y=0)dt \right)}{J(M_D(1-Y) + M_{R22}Y)} + 100 \quad (\text{B-4})$$

Based on the first law of thermodynamics, each components heat load was defined. In the generator, the thermal load consists of solution enthalpy and mass flow rate, and working medium mass flow rate and enthalpy, which can be defined by Equation B-5 ([Wu et al., 2020](#)),

$$Q_{gen} = m_{wf} \cdot h_{R1} + m_w \cdot h_{S1} - m_s \cdot h_{S6} \quad (\text{B-5})$$

Since the combustion of natural gas is to driven the generator, the thermal load Q_{gen} is defined by Equation B-6,

$$Q_{gen} = q \cdot V_{ng} \quad (\text{B-6})$$

where V_{ng} is the natural gas flow rate of the and q is the calorific value.

Heat is transferred from ambient air to refrigerant in the evaporator, with heat determined by Equation B-7 ([Wu et al., 2020](#)),

$$Q_{evap} = m_{wf} (h_{R6} - h_{R5}) \quad (\text{B-7})$$

Refrigerant vapor condenses and releases heat to the supply to the target temperature water in the condenser. A mixed heat of solution and refrigerant heats

the supply water in the absorber. The heating capacity is consisted of absorber heat load and condenser heat load, which is shown as:

$$Q_{cond} = m_r(h_{R2} - h_{R2}) = c_{p.w}\rho_w V_w(T_{W3} - T_{W2}) \quad (\text{B-8})$$

$$Q_{abs} = m_r \cdot h_{R7} + m_w \cdot h_{S3} - m_s \cdot h_{S4} = c_{p.w}\rho_w V_w(T_{W2} - T_{W1}) \quad (\text{B-9})$$

$$Q_{hc} = Q_{cond} + Q_{abs} = c_{p.w}\rho_w V_w(T_{W3} - T_{W1}) \quad (\text{B-10})$$

The heat load in the heat exchanger-II and solution heat exchanger are calculated by Equations B-11 and B-12, respectively,

$$Q_{she} = m_s(h_{S6} - h_{S5}) = m_w(h_{S1} - h_{S2}) \quad (\text{B-11})$$

$$Q_{he-II} = m_r(h_{R4} - h_{R3}) = m_r(h_{R7} - h_{R6}) \quad (\text{B-12})$$

The COP of the system is written by Equation B-13 (Wu et al., 2020),

$$COP = \frac{Q_{cond} + Q_{abs}}{Q_{gen} / \eta_b + W_p} \quad (\text{B-13})$$

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