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Associations of PTEN and ERG with Magnetic Resonance by Imaging Visibility and Assessment of Non organ Pathology and Biochemical Recurrence After Radical Prostatectomy

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1	The association of PTEN, ERG and MRI visibility and assessment of non-organ confined				
2	pathology and biochemical recurrence after radical prostatectomy				
3					
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23	Abstract	
-		

24	Background: Diagnosing clinically significant prostate cancer (PCa) is challenging. Biomarkers
25	and multiparametric magnetic resonance imaging (MRI) may facilitate this.
26	
27	<b>Objective:</b> The main objective was to determine the association between biomarkers PTEN and
28	ERG to visible and invisible PCa lesions in MRI. Furthermore, clinical, MRI and biomarker-related
29	data were integrated for prediction of non-organ confined (OC) PCa and biochemical recurrence
30	(BCR) after radical prostatectomy (RP).
31	
32	Design, Setting and Participants: A retrospective analysis of a population-based cohort of men
33	with PCa who underwent a preoperative MRI and RP during 2014-2015 in Helsinki University
34	Hospital was conducted (n=346). A tissue microarray corresponding to the MRI visible and
35	invisible lesions in RP specimens was constructed and stained for PTEN and ERG.
36	
37	Outcome Measurements and Statistical Analysis: Association of PTEN and ERG with MRI
38	visible and invisible lesions was examined (Pearson's $\chi^2$ test), and the significance to predict non-
39	OC disease together with clinical and MRI parameters was determined (ROC AUC and logistic
40	regression analyses). BCR prediction was analyzed in Kaplan-Meier and Cox proportional hazards
41	analyses.
42	
43	<b>Results and Limitation:</b> Patients with MRI invisible lesions (n=35) had less PTEN loss and
44	ERG positive expression compared with patients (n=90) with MRI visible lesions (17.2% vs $43.3\%$
45	[p=0.006]; 8.6% vs 20.0% [p=0.125]). Patients with invisible lesions had better, but not statistically
46	significant, BCR-free survival probability in Kaplan-Meier (p=0.055). BCR (5.7% vs 21.1%;

47	p=0.039), extraprostatic extension (11.4% vs 44.6%; p<0.001), seminal vesicle invasion (0% vs
48	21.1%; p=0.003), and lymph node metastasis (0% vs 12.2%; p=0.033) rates differed between the
49	groups in favor of patients with MRI invisible lesions. Biomarkers did not have an independent
50	significant role in predicting non-OC disease or BCR. Relatively short follow-up period was a
51	limitation.
52	
53	Conclusion: PTEN loss, BCR and non-OC RP findings were more often encountered with MRI
54	visible lesions.
55	
56	Patient summary: Magnetic resonance imaging of the prostate misses some cancer lesions. MRI
57	invisible lesions seem less aggressive when compared with MRI visible lesions.
58	
59	Keywords: biomarkers, ERG, multiparametric MRI, PI-RADS, prostate cancer, PTEN, radical
60	prostatectomy
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## 69 Introduction

Prostate biopsies (Bx) may repeatedly miss clinically significant prostate cancer (csPCa) resulting in undersampling [1]. The indiscriminate testing for elevated PSA has resulted in detection of lowrisk PCa lesions and possible overtreatment [2]. Due to the challenges in early diagnostics and risk stratification, there is unmet need for better tools in decision-making for individual patients.

74

Multiparametric magnetic resonance imaging of the prostate (MRI) is typically reported in a structured manner using Prostate Imaging Reporting and Data System (PI-RADS) [3]. MRI may miss up to 20% of tumor lesions, especially those small in size and low in grade [4–7]. Delineating the biological properties of the MRI invisible vs visible lesions is of clinical importance, and could further help risk stratify men suspected for PCa.

80

Biomarkers may offer help in PCa detection. Inactivating mutations of tumor suppressor gene 81 82 phosphatase and tensin homolog (PTEN) drive PCa progression and associate with several clinical outcomes [8-11]. There is loss of PTEN in 18-42% of PCa patients, and it associates with Gleason 83 Grade Group (GGG) upgrading in radical prostatectomy (RP), biochemical recurrence (BCR) after 84 85 RP, activation of castration-resistance mechanisms in metastatic PCa during androgen deprivation therapy, and altogether worse prognosis of PCa compared to patients with intact PTEN 86 87 [8,10,12,13]. Another biomarker that has aroused a lot of interest is ERG. Fusion of the androgen receptor-regulated transmembrane protease serine 2 (TMPRSS2) with proto-oncogene ERG 88 activates transcriptional pathways that promote PCa development [9,12]. PTEN and ERG protein 89 expression detected by immunohistochemistry (IHC) correlate to large extent with genomic changes 90 [13–15]. ERG IHC positive expression is found in 36-78% of primary PCa [8–10,12,13]. 91 TMPRSS2:ERG fusion is considered an earlier change than PTEN loss [9,12] There is still largely 92 unexplained association between ERG and PTEN status in human PCa and, increasing evidence 93

94 indicates that PCa without ERG expression combined with PTEN loss have worse outcome95 [8,12,13,16]

96

97 The association between MRI and biomarkers has been addressed [17–20], but knowledge on the
98 interrelation between PI-RADS and biomarkers is scarce. As the use of PI-RADS is recommended
99 by guidelines, this interrelation should be further investigated [21].

100

Here, we studied whether biomarkers PTEN and ERG, as surrogates for tumor aggressiveness, are
associated with the visibility of the PCa lesions in MRI. Also, biomarker expression in RP
specimens was compared with preoperative MRI PI-RADS scores, BCR and preoperative imagingbased assessment of non-organ confined (OC) cancer.

105

### **106** Material and methods

The study was approved by the institutional Ethics Committee (386/13/03/02/2014). In total, 598 107 consecutive patients underwent robot-assisted laparoscopic prostatectomy (RALP) as their primary 108 therapy at the Department of Urology in Helsinki University Hospital during the study period 109 January 2014 through September 2015. An extended lymphadenectomy was performed in patients 110 with GGG  $\geq$ 3 or >5% risk of lymph node positive disease according to the Memorial Sloan 111 112 Kettering nomogram [22]. A preoperative MRI was performed at urologist's discretion and was done for 387 patients, whereas 211 patients did not have a preoperative MRI, but the groups' 113 demographics did not differ significantly (Table 1). When tumor location data, preoperative MRI 114 and biomarker data were matched, 41 patients were excluded due to insufficiency of data. Finally, 115 346 patients were available for biomarker analysis. The cohort was further divided according to 116 PCa visibility in MRI based on evaluation of the RP specimen: patients with MRI visible lesions 117 only (n=90, Group A), patients with MRI visible and invisible lesions (n=221, Group B), and 118

patients with MRI invisible lesions only (n=35, Group C) (Figure 1, Table 2). MRI visible lesions
were considered as PI-RADS score 3-5 lesion with corresponding cancer in RP histopathological
analysis. MRI invisible lesions were considered as tumor lesions of >0.5cm3 in RP
histopathological analysis and absence of corresponding tumor lesion in preoperative MRI (PIRADS scores 0-2). Clinical variables of interest included preoperative PSA, age, and clinical stage
as assessed by digital rectal examination.

125

126 MRI

A prostate MRI was done either at diagnosis or before RALP using a Philips Achieva 3.0T MRI scanner producing 3.0 mm-thick image slices. The parameters included T2-weighted imaging, diffusion-weighted imaging with apparent diffusion coefficient mapping, and dynamic contrast enhancement. Imaging and image interpretation followed the European Society of Urogenital Radiology Guidelines [3]. MRI data was systematically reported using PI-RADS version 1 including the number of lesions, tumor volume, and non-OC findings such as extraprostatic extension (EPE), seminal vesicle invasion (SVI), and lymph node metastasis (LNM).

134

#### 135 **RALP tissue microarray**

After RP, formalin fixation and paraffin embedding of the prostate and LNs were performed at 136 HUSLAB Laboratory services, HUS. The central parts of the prostate were mounted in horizontal, 137 apex and basis in sagittal, and seminal vesicles in individual slices. Obturator and inguinal LNs 138 139 were mounted separately from both sides. Diagnostic microscope slides were stained with hematoxylin and eosin (H&E) and areas selected for the tissue microarray (TMA) construction were 140 punched with 1.0 mm puncher core according to annotations made by three uropathologists (KS, 141 SN and TM). The TMA was designed as follows: three cores per each cancer focus representing the 142 primary region of interest (ROI1) on the MRI, two cores per secondary and tertiary ROIs (ROI2 and 143

ROI3), and one adjacent benign core per each RP specimen for staining control. Additionally, all csPCa invisible lesions in the MRI were marked on the RP H&E slides, and the most significant missed lesion was punched into TMA, representing the MRI invisible lesion when applicable. From each TMA block, 4 µm-thick sections for H&E, PTEN and ERG staining were cut and mounted on electrically charged glass slides.

149

#### 150 Immunohistochemistry

IHC staining was performed on TMA sections using an autostainer (Dako A/S, Glostrup, Denmark)
as previously published [8]. In short, TMAs were deparaffinized, heated in a microwave oven for
antigen retrieval, blocked for endogenous peroxidase and then incubated with 1:100 dilution for
PTEN antibody (D4.3 XP; Cell Signaling Technology, Danvers, MA, USA), and 1:300 dilution for
ERG antibody (EPR 3864; Abcam PLC, Cambridge, UK). Each slide was then digitalized using a
Pannoramic P250 Flash II whole slide scanner (3DHistec, Budapest, Hungary) equipped with 20x
objective producing a resolution of 0.33 μm/pixel.

158

#### 159 Antibody scoring

A WebMicroscope digital microscope platform (FIMM, Helsinki, Finland) was used for visual 160 scoring of the TMA sections for antibody staining by three individual observers (JE, KoS and TM). 161 Inconsistencies were solved by consensus. Benign prostatic epithelium served as positive staining 162 control for PTEN and endothelial cells for ERG. Cancer epithelial cell cytoplasmic PTEN 163 expression was dichotomously interpreted as positive or negative in comparison to benign 164 epithelium. Cancer epithelial cell nuclear ERG staining was compared to the endothelial cell nuclei 165 and assessed as negative, low, intermediate or strong, and later for statistical purposes dichotomized 166 as negative (negative or low) or positive (intermediate or strong). Scoring was performed in 167 concordance with previous studies [8,15,16]. 168

#### 169 Statistical analysis

Pearson's χ<sup>2</sup> and Fisher's exact tests were used for comparing groups A, B and C (Table 2). Logistic
regression including ROC AUC analysis, with MRI and clinical data before RALP as variables, was
used to study a) non-OC findings in RP and b) BCR after RP. Kaplan-Meier survival curves for
BCR (two consecutive PSA values above 0.2ng/ml after RALP) and Cox proportional hazards
models were calculated. All statistical analyses were performed using R Statistical Software v.3.6.1
(R foundation for statistical Computing, Vienna, Austria) using the packages survival and mice. A p

177

176

### 178 **Results**

The full clinical, radiological and biomarker characteristics of the patients included in the study aswell as a non-MRI RP cohort for comparison are presented in Table 1.

value of <0.05 was considered statistically significant.

181

Our primary aim was to characterize the properties of MRI invisible vs visible lesions. Biologically, invisible lesions had less PTEN loss than visible lesions (17.2% vs 43.3%; p=0.006), which indicates a less aggressive behavior. ERG expression was more frequent in patients with MRI visible lesion(s) than in those with invisible lesion(s) (Group A 20.0%, Group B 11.3%, and Group C 8.6%), but these differences were not statistically significant (Table 2). As expected, we found that the invisible lesions had lower GGGs in RP and have less frequently spread beyond the prostate (Table 2).

189

190 Next, we studied whether the MRI characteristics are able to add to the clinical variables in 191 predicting non-OC disease at RP. Additionally, we analyzed the impact of adding the biomarker 192 status to the MRI and clinical parameters in adverse stage correlation. In ROC AUC analysis, we 193 found that MRI has a significant additional role in predicting non-OC disease after RP (p=0.006, 194 Figure 2). The biomarker expression, however, does not improve the prediction significantly. In the

multivariate logistic regression analysis, the clinical (preoperative PSA, age,  $cT \ge 3$ ) and MRI (any

- 196 non-OC finding, prostate volume) variables significantly predicted non-OC disease, while PI-
- 197 RADS  $\geq$ 3, and ERG or PTEN expression status did not (Supplementary Table 1).

198

195

BCR was less common in patients with MRI invisible lesions only than in patients with MRI visible 199 lesions only (5.7% vs 21.1%; p=0.039, Table 2). However, in survival analysis, BCR-free survival 200 between Groups A (invisible lesions), B (invisible and visible lesions) and C (invisible lesions only) 201 (p=0.093, Figure 3a) or between groups dichotomized as Group A (visible) versus Groups B+C 202 203 (MRI invisible and additional  $\geq 1$  visible lesion) (p=0.055, Figure 3b) did not meet the consensus criteria for statistical significance. For comparison, the analysis was done also between patients 204 with visible lesions (Groups A+B) vs. invisible lesions (Group C), but the difference was not 205 206 significant (p=0.1, Figure 3c). In ROC AUC analysis for BCR prediction, there were significant differences between the clinical versus clinical and MRI data (p<0.001, Supplementary Figure 1). 207 PTEN intact/loss or ERG negative/positive expression did not separate the patients into two groups 208 with significantly different BCR-free survival curves on a patient-based (Figure 4) or lesion-based 209 (Supplementary Figure 2) analysis, and neither were they associated with tumor volume 210 211 individually or combined (Supplementary Figure 3). Cox proportional hazards models with the MRI visibility groupings (A vs B vs C; and A vs B+C) or the biomarker status were not significantly 212 different from a model without these variables (data not shown). 213

214

All in all, compared to patients with MRI invisible lesions, patients with MRI true-positive lesions
seem to harbor higher GGG, more BCR, more PTEN loss, and more non-OC findings, such as EPE,
SVI and LNM.

### 219 **Discussion**

10

In this study, we found that PTEN staining was more often lost in MRI visible than invisible
lesions. Furthermore, MRI invisible PCa lesions appeared less aggressive than MRI visible lesions
in terms of high GGG, EPE, SVI, and LNM in RP. Also, BCR after RP was less common among
the patients with MRI invisible lesions only. This suggests a less aggressive phenotype for MRI

invisible lesions. Further, we evaluated the effect of MRI visibility on a more clinical end-point, i.e.

BCR, and found that in Kaplan-Meier survival analysis with a median follow-up time of 3.3 years,

226 MRI visibility was not statistically significantly related to BCR.

227

228 Evidence suggests that MRI invisible lesions are less aggressive than MRI visible lesions [4,5,23– 27]. MRI most likely misses small (diameter 1-5mm), less aggressive (GGG 1), and multifocal 229 nonindex lesions [25]. However, the prognostic significance and biological characteristics of these 230 MRI invisible lesions are not yet fully understood. A recent study reported no difference in 231 detection rates of csPCa among men who had  $\geq 2$  negative MRIs and who were divided into groups 232 of Bx-naïve and prior negative Bx [28]. Also, a study of over 4200 men showed csPCa disease-free 233 survival of 99.6% among men with only PI-RADS≤2 lesions [29]. In our study, we could not 234 demonstrate a statistically significant difference in BCR-free survival analysis between PTEN, 235 ERG, and MRI visibility (Supplementary Figure 2). 236

237

Biomarkers and MRI may aid in correctly defining csPCa. Lee et al. studied the biomarker
characteristics of MRI visible and invisible lesions in a small cohort of 48 patients [7]. The two
groups had different molecular characteristics with e.g. CHD1-deletions being present in only MRI
invisible lesions while SPINK1-biomarker was not expressed in MRI invisible lesions. In their
study, PI-RADS was retrospectively assigned. Li et al. analyzed the differences in gene expression
of MRI visible and MRI invisible lesions [30]. Gene expression profile in MRI invisible tumors was

associated with better PCa prognosis and the result was not fully explained by GGG or tumor
volume. Also, MRI invisible lesions have been shown to have lower risk for early metastasis than
MRI visible lesions in the commercially available Decipher® gene panel [27]. Likewise, MRI
visible tumors are enriched with genes of increased mutational burden and higher prevalence of
cribriform architecture [4]. Thus, MRI lesion visibility associates with its biological behavior. All
these studies, however, suffer from small cohort sizes and short follow-up time.

250

In our study, MRI visible lesions tended to progress faster based on the Kaplan-Meier survival 251 analysis, albeit the common criteria for statistical significance were not reached. When compared 252 253 with the other clinical parameters, MRI visibility as an independent prognostic factor for BCR could not be established. Literature suggests that PCa lesions with ERG negative and PTEN loss 254 expression seem to progress faster [8,13]. ERG rearrangement is reported to occur in 36-78%, and 255 256 PTEN loss in 18-42% of PCa cases [8–10]. Here, we showed ERG rearrangement in 14% (28/205) in MRI invisible lesions and 27% (80/299) in MRI visible lesions. Also, we showed a rate of PTEN 257 loss of 15% (28/192) in MRI invisible lesions and 39% (113/292) in MRI visible lesions. Our data 258 is in line with the literature. Likely due to lack of follow-up time and limited number of patients, 259 PTEN and ERG expressions showed no added benefit in logistic regression analysis or Kaplan-260 261 Meier survival analysis.

262

Limitations of the study include relatively small number of patients with only MRI invisible or only MRI visible lesions, relatively short follow-up time, and lack of a validation cohort. Furthermore, as the PI-RADS recommendations were first published in 2012, the inherent deduction is that PI-RADS era cohorts with long enough follow-up time to study definitive clinical end-points, such as PCa specific mortality, do not exist.

Strengths of the study include a large cohort consisting of all RP patients in non-tertiary referral hospitals and no obvious bias for MRI selection as illustrated in Table 1. Also, using RP wholemount pathology as a control for MRI findings, and matching the histopathological findings exactly using PI-RADS, allows for detailed analysis of the biology of the MRI invisible vs visible lesions.

Future studies are needed to elucidate the use of combined biomarker and MRI data for prediction of PCa behavior. Whether MRI invisible lesions are clinically as significant as their MRI visible counterparts needs to be addressed in studies with long-term follow-up after negative MRI. To fully elucidate the full clinical potential of biomarkers, such as PTEN and ERG, in conjunction with MRI should ideally be evaluated already at diagnosis using biopsies as a source for tissue and expanding the cohorts to include lower-risk PCa.

280

# 281 Conclusions

Our results suggest that false-negative MRI lesions, as judged by their biomarker status, may not be as aggressive as true-positive MRI lesions. This adds to the debate on whether systematic biopsies are needed in men with negative prebiopsy MRI.

285

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289

290

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- 361

364	Figure 1
365	Flowchart of the study population.
366	MRI: magnetic resonance imaging; PI-RADS: prostate imaging reporting and data system
367	
368	Figure 2
369	Prediction of non-organ confined disease at radical prostatectomy histopathological analysis. ROC
370	AUC analysis with DeLong's test for significance between different groups of variables.
371	
372	Figure 3
373	Kaplan-Meier curves for biochemical recurrence-free survival between the groups with different
374	mpMRI visibility of prostate cancer lesions.
375	a.) Groups A vs B vs C.
376	b.) Groups A vs $B + C$ .
377	c.) Groups A + B vs C.
378	
379	Group $A = mpMRI$ visible lesions only, $n=90$ .
380	Group $B = mpMRI$ visible and invisible lesions, $n=218$ .
381	Group $C = mpMRI$ invisible lesions only, $n=35$
382	
383	Figure 4
384	Kaplan-Meier curves for biochemical recurrence-free survival between the groups with different
385	biomarker status.
386	a.) PTEN intact/loss
387	b.) ERG positive/negative
388	

#### 390 Supplementary figure 1

- 391 Prediction of biochemical recurrence after radical prostatectomy. ROC AUC analysis with
- 392 DeLong's test for significance between different groups of variables.

393

#### 394 Supplementary figure 2

- 395 Kaplan-Meier curves for biochemical recurrence-free survival between the groups with different
- 396 PTEN and ERG expression.
- a.) PTEN intact/loss staining in mpMRI visible lesions.
- b.) PTEN intact/loss staining in mpMRI invisible lesions
- 399 c.) ERG positive/negative staining in mpMRI visible lesions.
- 400 d.) ERG positive/negative staining in mpMRI invisible lesions.
- 401 mpMRI: multiparametric magnetic resonance imaging; ROI = region of interest

402

#### 403 Supplementary figure 3

- 404 Box plot analysis of tumor volume and PTEN, ERG and MRI visibility.
- 405 a.) Solitary biomarkers
- 406 b.) Combined biomarkers

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# Table 1. Patient characteristics of a RP cohort with and without a preoperative MRI.

	Preoperative MRI (n=346)		No preoperat	ive MRI (n=211)	
	Result % of total/IOR		Result	% of total/ IOR	
Median age, yr	65	60-69	66	61-70	
Median preoperative PSA, ng/ml	8.9	6.2-13.0	8.3	3.6-9.9	
Biochemical recurrence	52	15	NA	NA	
Lymph node dissection performed	158	46	79	37	
Gleason Grade Group at RP					
1	14	4.0	11	5.3	
2	138	40	89	42	
3	153	44	78	37	
4	10	3.0	8	3.8	
5	31	9.0	20	9.5	
Data missing	-	-	5	2.4	
Pathological stage at RP					
≤pT2	207	60	124	59	
Seminal vesicle infiltration	41	12	18	8.5	
Extraprostatic extension	137	40	79	37	
Lymph node metastasis	21	6.1	17	8.1	
PTEN, visible lesions (n=311) *					
Intact	179	58	-	-	
Loss	113	36	-	-	
NA or data missing	19	6.1			
PTEN, invisible lesions (n=256) *					
Intact	164	64	-	-	
Loss	28	11	-	-	
NA or data missing	64	25			
ERG, visible lesions (n=311) *					
Negative	219	70	-	-	
Positive	80	26	-	-	
NA or data missing	12	3.9			
ERG, invisible lesions (n=256) *					
Negative	177	69	-	-	
Positive	28	11	-	-	
NA or data missing	51	20			
IQR = interquartile range; RP = radical prostatectomy; * All patients did not have both visible and invisible lesions.					

acteristics of RP patients in MRI visible and MRI invisible groups, and characteristics of tumor lesions between groups (n=346)

	A: Only visible lesions,		B: Visible and invisible lesions,		C: Only invisible lesions,			p vai
		n = 90		n = 221	•	n = 35	A vs B	B vs C
	Result	IOR/% of total	Result	IOR/% of total	Result	IOR/% of total		
	65	60 - 69	65	60 - 69	61	55 - 65	0.8°	0.001°
ative PSA. ng/ml	10.0	6.5 - 16	8.4	6.3 - 12	7.1	5.2 - 11	0.08°	0.1°
urrence	19	21	31	14	2	5.7	0.1ª	0.3 <sup>b</sup>
Group at RP			01		-	017	0.11	0.0
	1	1.1	5	2.3	8	23	0.7 <sup>b</sup>	<0.001 <sup>1</sup>
	30	33	90	41	18	51	0.2ª	0.2ª
	38	42	107	48	8	23	0.3ª	0.005 <sup>a</sup>
	4	4.4	6	2.7	0	0	0.5ª	1 <sup>b</sup>
	17	19	13	5.9	1	2.8	0.001 <sup>a</sup>	0.7 <sup>b</sup>
ge at RP					-			
5	49	55	127	58	31	89	$0.6^{a}$	<0.001*
tatic extension	41	46	92	42	4	11	0.5ª	0.001ª
esicle infiltration	19	21	22	10	0	0	0.008ª	0.052 <sup>b</sup>
de metastasis	11	12	10	4.5	Ő	Ő	0.014 <sup>a</sup>	0.4 <sup>b</sup>
rations (n=311)			10		Ũ	Ũ		011
	50	56	129	58	_	_	0.6ª	NA
	39	43	74	34	_	_	0.0	NA
a missing	1	11	18	8 1	_	_	NA	NA
lesions $(n \neq 256)$	1		10	0.1			1.11	1.11
	_	_	139	63	25	71	NA	0 3ª
	_	_	22	10	6	17	NA	0.2ª
a missing	_	_	60	27	4	11	NA	NA
ions(n=311)			00	27	I	11	1.1.1	1 17 1
	72	80	147	67	_	_	0 018 <sup>a</sup>	NA
	18	20	62	28	_	_	0.010	NA
a missing	-	_	12	5 4	_	_	NA	NA
esions $(n=256)$			12	5.1			1.1.1	1 17 1
	_	_	148	67	29	83	NA	0 059ª
	_	_	25	11	3	86	NA	0.059
a missing	_	_	48	22	3	8.6	NA	NA
h Fisher's exact: c Ma	nn-Whitney I	I IOR = interquartile	range: RP =	= radical prostatectomy	5	0.0	101	1171
	ini- w indicy c		range, Ki	Tadical prostatectomy				















BCR free survival probability

447 Figure 3b

- -55







463 Figure 3c



