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Title: The Effect of Mechanical Activation on Synthesis and Properties of MgAl₂O₄ Ceramics

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Corresponding Author: Dr. Nina Obradovic,

Corresponding Author's Institution: Institute of technical sciences SASA

First Author: Nina Obradovic

Order of Authors: Nina Obradovic; William G Fahrenholtz; Suzana Filipovic; Darko Kosanovic; Aleksandra Dapcevic; Antonije Djordjevic; Igor Balac; Vladimir Pavlovic

Abstract: Magnesium aluminate, MgAl₂O₄ and other alumina-based spinels are ceramics with high hardness, high melting point mechanical strength. Spinels can also be used as dielectrics in microwave applications. The goal of this study was to examine the effects of mechanical activation and sintering temperatures on physico-chemical properties of spinel. MgAl₂O₄ was produced by solid state reaction between MgO and γ -Al₂O₃. The starting powders were mixed by ball milling to homogenize without significant particle size reduction. Mechanical activation of mixed powders was performed in a high-energy planetary ball mill in air for 1 h. Powders were compacted at 300 MPa. Heat treatments were performed in air, at temperatures ranging from 1200 to 1600 °C with 2 h dwell time, to determine the amount of spinel formation as a function of temperature. Phase composition and microstructure of initial powders and heated samples were determined by means of X-ray diffraction, particle size analysis, and scanning electron microscopy. The influences of milling and consolidation parameters were studied by electrical measurements and mechanical characterization.

The main conclusion of this study was that mechanical activation for 60 minutes initiated a mechano-chemical reaction, resulted in spinel formation at much lower temperatures than within non-activated powders, and indicated that final sintering stage started at much lower temperatures for activated powders. Changes in microstructure parameters, as a consequence of mechanical treatment and subsequent heating of investigated powder mixtures, strongly affect electrical and mechanical properties of the final ceramics.

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4 **The Effect of Mechanical Activation on Synthesis and Properties of MgAl₂O₄ Ceramics**
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9 N. Obradović^{1,*}, W. G. Fahrenholtz², S. Filipović¹, D. Kosanović¹, A. Dapčević³,
10
11 A. Đorđević^{4,5}, I. Balać⁶, V. B. Pavlović¹
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15
16 ¹*Institute of Technical Sciences of the Serbian Academy of Sciences and Arts,*
17
18 *11000 Belgrade, Serbia*
19
20

21 ²*Materials Science and Engineering, Missouri University of Science and Technology,*
22
23 *Rolla, Missouri, United States*
24
25

26 ³*Department of General and Inorganic Chemistry, Faculty of Technology and Metallurgy,*
27
28 *University of Belgrade, 11120 Belgrade, Serbia*
29
30

31 ⁴*School of Electrical Engineering, University of Belgrade, 11000 Belgrade, Serbia*
32

33 ⁵*Serbian Academy of Sciences and Arts, 11000 Belgrade, Serbia*
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36 ⁶*Faculty of Mechanical Engineering, University of Belgrade, Belgrade, Serbia*
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41 **Abstract**
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45 **Magnesium aluminate, MgAl₂O₄ and other alumina-based spinels are ceramics with high**
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48 microwave applications. The goal of this study was to examine the effects of mechanical
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50 activation and sintering temperatures on physico-chemical properties of spinel.
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38 **Keywords:** mechanical activation; sintering; microstructure; electrical properties; mechanical
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40 properties; spinel.
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45 *Corresponding author: nina.obradovic@itn.sanu.ac.rs (Dr. Nina Obradović)
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1. Introduction

Magnesium aluminate, MgAl_2O_4 , is a ceramic of great importance in modern technologies due to its properties, such as high hardness, high melting point and low dielectric constant. It can be used as a dielectric in microwave applications [1]. When fully dense, pure MgAl_2O_4 can be transparent. Owing to its high mechanical strength and low cost, spinel ceramics have been used extensively in various applications, e.g., as transparent armor, IR windows, and radomes [2, 3].

The properties of ceramics strongly depend on the composition, nature of raw materials, impurities or additives, and fabrication methods [4]. Two main approaches have been used to obtain fully dense spinel ceramics. The first one is densifying by hot pressing, pressureless, spark plasma, and microwave sintering utilizing spinel powder as the raw material, with or without sintering aids [5–12]. The advantages of this approach include obtaining fully dense transparent ceramics with excellent electrical and mechanical properties. On the other hand, calcination to react precursors prior to sintering and/or heating for several hours at very high temperatures ($> 1700\text{ }^\circ\text{C}$) with or without a high pressure for densification are disadvantages in terms of energy consumption and control of microstructure. The second approach is reactive sintering, which usually uses oxides or hydroxides of magnesia and alumina as starting powders [13, 14]. A homogeneous precursor is produced by wet chemical methods, like co-precipitation, sol-gel, etc. [15–17]. These methods are cheaper due to the reduced energy consumption, but are also more complicated and production of large quantities of powders is difficult.

Preparation conditions and consolidation parameters have a great impact on the final structure and properties of ceramic materials [18]. Solid-state reaction between MgO and α -

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4 Al_2O_3 represents the common approach to produce spinel ceramics due to its simplicity, low
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6 cost, and ability to produce larger amounts of products that are necessary for detailed
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8 investigations or commercial production. Kinetic or diffusion limitations are common in solid-
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10 state reactions, but can be overcome by mechanochemical activation [19]. Mechanical activation
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12 is commonly used to enhance the reactivity of materials, reduce particle sizes, increase diffusion
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14 rates, and accelerate the reaction [20]. Mechanochemical treatments can lead to a significant
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16 increase in the concentration of structural defects. Attrition of the starting material,
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18 amorphization, and introduction of defects, phase transformations, and possible chemical
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20 reactions during the treatments typically decrease synthesis and densification temperatures. In
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22 addition, the time needed for chemical reactions can be shortened, which leads to energy savings
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24 [21]. Furthermore, mechanical activation can also affect the final electrical and mechanical
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26 characteristics, which provides motivation to explain changes that occur during high-energy ball
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28 milling [22]. Such milling processes are attractive method because they enable the formation of
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30 submicrometer and/or nanostructured materials with desirable properties [23].
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39 There have been attempts to investigate the effect of mechanical activation on synthesis
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41 of MgAl_2O_4 ceramics, and influence of those parameters on densification, and physico-chemical
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43 properties of the final product, but not using MgO and $\alpha\text{-Al}_2\text{O}_3$ as precursors [24, 25]. The
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45 objective of this work is to investigate the effects of the high-energy ball milling on phase
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47 composition, microstructure, electrical, and mechanical properties of sintered MgAl_2O_4
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49 ceramics.
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2. Experimental procedure

A mixture of high-purity MgO and α -Al₂O₃ starting powders (all 99.9 % purity Sigma–Aldrich, p.a.) was used in these experiments. The starting MgO and α -Al₂O₃ powders were added in a one-to-one molar ratio to produce stoichiometric MgAl₂O₄. The powders were mixed by ball milling to homogenize them without significant particle size reduction. Part of the powder mixture was mechanically activated for 60 minutes in a high-energy planetary ball mill (Planetary Ball Mill Retsch PM 100) in air. Mechanical activation was performed by using Y-stabilized ZrO₂ vials and balls. The media were 5 mm in diameter. The ball-to-powder weight ratio was 40:1 with a rotation speed of 400 rpm. Powders were sieved after milling. The powder mixtures were labeled based on the activation time as AM–0 (ball milled only) and AM–60 (ball milled plus 60 min mechanical activation). The binder-free powders were compacted at 300 MPa using a uniaxial double action pressing process with an 8 mm diameter tool (hydraulic press RING, P-14, VEB THURINGER). Compacts were placed in an alumina boat and heated in a tube furnace (Lenton Thermal Design Typ 1600). Conventional sintering was performed in air, at temperatures ranging from 1200 to 1600 °C with heating rates of 10 °C·min⁻¹ and a 2 h dwell time. The bulk densities were calculated from measurements of mass, diameter, and thickness of the sintered specimens, according to equation:

$$\rho = \frac{4 \cdot m}{D^2 \cdot \pi \cdot h} \quad (1)$$

where m is the mass of the sintered sample, D is the diameter, and h is the height. After sintering, the sintering temperatures were added to the specimen designations such that AM–60–1200

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4 indicates a specimen that was produced from powder that was mechanically activated for 60 min
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6 and sintered at 1200 °C. The theoretical density (TD) of the mixtures was assumed to be
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9 3.60 g·cm⁻³ based on previous reports [26].

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11 Average particle size and particle size distribution were determined by a laser light-
12 scattering particle size analyzer (PSA; Mastersizer 2000, Malvern Instruments Ltd., UK),
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14 covering the particle size range of 0.02–2000 μm. For the PSA measurements, the powders were
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16 dispersed in distilled water in an ultrasonic bath (low-intensity ultrasound, at a frequency of
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18 40 kHz and power of 50 W) for 5 minutes.
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24 The morphology of the powders and sintered specimens was analyzed by the scanning
25 electron microscopy (JEOL JSM-6390 LV). Prior to SEM observations, the powders and crushed
26 sintered samples were coated with gold to minimize charging. The phase composition of
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28 powders was identified based on x-ray diffraction (XRD) patterns obtained on a Philips PW-
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30 1050 diffractometer with Cu-Kα radiation ($\lambda = 1.5418 \text{ \AA}$) and a step/time scan mode of 0.05 °·s⁻¹
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32 in the 2θ range 15–90 °. The XRD patterns for pulverized sintered specimens were collected on
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34 an Itai Structure APD 2000 X-ray powder diffractometer using CuKα radiation ($\lambda = 1.5418 \text{ \AA}$) in
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36 a range $2\theta = (20 - 80 \text{ °})$ with a step-width of 0.02 ° and a constant counting time of 1 s per step.
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38 The program PowderCell [27] was used for the determination of approximate phase contents
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40 using a Rietveld-like refinement process. The theoretical density of pulverized sintered samples
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42 was determined using equation (2):
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$$\rho = (M Z) / (V N_A) \quad (2)$$

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4 where M is the molar mass of MgAl_2O_4 , Z is the number of chemical formula units per unit cell,
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7 V is the volume of unit cell determined by XRD analysis, and N_A , Avogadro's number. The unit
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9 cell was calculated from the lattice parameter, a , of the cubic spinel unit cell by $V = a^3$, where a
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11 was determined using a least-squares method with the LSUCRIPC program [28]. The relative
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13 dielectric permittivity (dielectric constant) and the loss tangent of the sintered specimens were
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15 measured in the frequency range between 10 and 500 MHz using an Agilent E5061A network
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17 analyzer. The specimens were placed in a coaxial test chamber. The reflection coefficient of the
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19 chamber was measured by the analyzer and the relative complex permittivity of the specimens
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21 was extracted using an electrostatic model.
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26 The strength of the sintered specimens was determined by diametral compression of
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28 sintered cylinders [29]. A revised formula (Equation 3) for calculating the tensile strength that
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30 takes into account the thickness to diameter ratio of the cylinder was proposed by Yu et al. [30].
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32 In this method, a relatively thin circular disc/cylinder is compressed diametrically until failure,
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34 which occurs due to tensile stresses that develop normal to direction of the applied compressive
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36 load. For valid tests, the tensile stresses are essentially constant across a region around the center
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38 of the specimen. The indirect tensile strength is typically calculated based on the assumption that
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40 the failure occurs at the point of maximum tensile stress, which is at the center of the disc:
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$$\sigma_t = (1 + 0.2621k) \frac{2P}{\pi Dt} \quad (3)$$

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53 where P is the load at failure, D is the diameter of the test specimen, t is the thickness of the test
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55 specimen measured at the center, and k is the ratio of the thickness to the diameter (t/D) of the
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57 disc specimen [30]. For the tests conducted as part of the present study, the lower platen was
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4 fixed, while the upper was moveable and applied the load. The load was slowly increased until
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6 failure, which occurred when a vertical crack split the specimen into two parts. The applied force
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8 (P) and the displacement (δ) were recorded until failure.
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11 12 13 14 **3. Results and discussion** 15 16 17 18

19 The phase composition of the starting powders is presented in Figure 1. All diffraction
20 lines were identified using corresponding JCPDS cards (74–1081 for α -Al₂O₃, 45–0946 for
21 MgO, and 33–0853 for MgAl₂O₄). A mixture of the starting oxides (α -Al₂O₃ and MgO) was
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23 detected in the non-activated powder (AM–0). The sharp, intense peaks indicated the
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25 microcrystalline nature of the starting components.
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33 **Figure 1.** XRD patterns of powders: non-activated powder (AM–0) and powder activated
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35 for 60 minutes (AM–60).
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41 The same phases were detected after 60 minutes of high-energy ball milling. However,
42 the intensities of the peaks decreased and the peaks were broadened, which is characteristic of
43 mechanically activated powders. Activation can result in amorphization and/or introduction of
44 defects into the particles. The changes in the XRD patterns are consistent with reduction of the
45 starting particle size and introduction of residual stresses or defects in the final particles.
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53 Scanning electron micrographs and EDS maps are presented in Figure 2. Non-activated
54 powder consisted of two different types of powder particles. The first type was smaller, around
55 300 nm in diameter. These particles were typically present as agglomerates that were
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4 approximately 1 μm in size. Energy dispersive spectroscopy indicated that these particles were
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6 rich in Mg and O and were likely the MgO starting particles. The second type of particles was
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8 larger, with polygonal shapes. These particles ranged in size from about 1.5 μm to 2.5 μm across.
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11 Analysis by EDS indicated that these particles were likely to be the starting $\alpha\text{-Al}_2\text{O}_3$.
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16 **Figure 2.** SEM and EDS pictures of powders: a) non-activated powder (AM-0) and
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18 b) powder activated for 60 minutes (AM-60).
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24 The powder activated 60 minutes appeared to be homogeneous with an obvious reduction
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26 in agglomerate size. The smaller particles were produced as the MgO agglomerates were
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28 comminuted. The larger alumina particles were also broken down into equiaxed particles that
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30 were 1 μm or smaller in diameter. EDS analysis indicated a uniform distribution of Al and Mg
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32 species in the activated powder.
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36 The results of the particle size analysis were consistent with the SEM analysis. The
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38 average particle size $d(0.5)$ was 2.1 μm for the non-activated powder AM-0. After mechanical
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40 activation for 60 minutes, the average particle size decreased to about 1.3 μm . Likewise $d(0.1)$
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42 decreased from 0.69 μm for AM-0 to 0.43 μm for AM-60. Interestingly, $d(0.9)$ increased from
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44 4.74 μm for AM-0 to 8.04 μm for AM-60. Milling also produced a bimodal particle size
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46 distribution in the AM-60 powder with peaks in the distribution at about 0.8 μm and 5 μm .
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48 While milling reduced the average particle size significantly, it also produced some agglomerates
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50 that were larger than in the starting powder.
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4 **Figure 3.** PSA of the non-activated powder (AM-0) and powder activated
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6 for 60 minutes (AM-60).
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9 The XRD patterns of heat treated powders are presented in Figure 4. All reflections were
10 identified using the corresponding JCPDS card 33-0853 for $MgAl_2O_4$. All peaks were sharp,
11 indicating that the MgO and Al_2O_3 powders reacted to form the spinel phase of $MgAl_2O_4$. No
12 peaks belonging to MgO and Al_2O_3 were apparent when the entire pattern was observed.
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21 **Figure 4.** XRD patterns of: a) non-activated powder (AM-0) and b) powder activated for 60
22 minutes (AM-60), after heating to various temperatures for 2 h in air.
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28 Also, no peaks were observed for ZrO_2 , which could have been introduced as contamination into
29 the powder during mechanical activation that used zirconia vial and milling balls. More detailed
30 analysis using Rietveld-like refinement revealed the existence of MgO phase after heating. For
31 AM-0, MgO contents ranging from 3.7 to 8.2 wt. % were detected. For the activated powders,
32 the MgO content decreased from 5.3 wt. % in AM-60-1200 to 1.1 wt. % MgO in AM-60-1300.
33 Heating to temperatures of 1400 °C or higher resulted in the formation of phase-pure spinel. In
34 addition, the lattice parameters of the crystalline spinel powders were analyzed to calculate the
35 theoretical density values based on the unit cell volumes. The calculated theoretical densities
36 ranged from 3.55 to 3.59 $g \cdot cm^{-3}$, which were in good agreement with accepted values for
37 stoichiometric $MgAl_2O_4$.
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55 **Table I** Dimensions and density parameters of sintered pellets.
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4 **Figure 5.** Bulk density as a function of sintering temperature for all sintered samples.
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9 Bulk density increased with increasing sintering temperature for all of the compositions
10 (Figure 5). The lowest values were obtained after sintering at 1200 and 1300 °C (around
11 51 % TD), where almost no densification had occurred. At 1400 °C and above, the bulk density
12 values increased and reached over 92 % TD after sintering at 1600 °C. Bulk densities were
13 higher for activated samples, probably due enhanced sintering that resulted from the finer
14 average particle size of the AM-60 powders after the mechanical treatment. Deviation from the
15 general trend was observed at 1600 °C.
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26 Scanning electron micrographs of fracture surfaces of the sintered specimens produced
27 from the non-activated powders (AM-0 series) are presented in Figure 6. The non-activated
28 specimen sintered at 1200 °C for 2 h consisted of two regions. The first consisted of particles that
29 did not react during sintering and particles with necks that formed during sintering (see the left
30 side of Figure 6a). The second region (on the right side of Figure 6a) possessed densified
31 particles that had started to react. Specimen AM-0-1300 appeared to have a higher degree of
32 sintering, but also some parts of the specimen that still appeared to consist of the initial powders.
33 In addition to the non-uniform grain size, cracks were present, which were presumed to form
34 around regions that corresponded to agglomerates in the initial powder compact.
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50 **Figure 6.** SEM micrographs of fracture surfaces of specimens: a) AM-0-1200, b) AM-0-1300,
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57 After sintering at 1400 °C, no regions that had the appearance of the unreacted powders
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4 were observed in AM-0-1400. Breakage between grains, irregular pores, and agglomerates were
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6 visible, which indicated that grain growth had not yet started and that this specimen remained in
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8 the intermediate stage of sintering. Spherical pores and breakage through grains observed for
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10 AM-0-1500 indicated that this specimen had entered the final sintering stage. Some breakage
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12 between smaller grains was observed, confirming that those grains were not fully developed.
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14 Non-uniform grain growth was observed in this sample, as well. When the sintering temperature
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16 was increased to 1600 °C, all of the grains were polygonal and all of the pores were spherical
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18 and closed. Based on appearance, AM-0-1600 had high relative density and appeared to be
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20 completely sintered, in agreement with measured densities (see Table I).
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26 Sintering of activated powders at temperatures of 1200 °C was sufficient to complete the
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28 reaction to form spinel and enter the initial sintering stage. Figure 7a shows that AM-60-1200
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30 consisted of sub-micron powder particles with fully open porosity. Contact necks had formed
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32 among all of the particles. While some agglomerated particles were observed, neck formation
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34 was also observed within agglomerates.
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41 **Figure 7.** SEM micrographs of fracture surfaces of sintered samples: a) AM-60-1200,
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43 b) AM-60-1300, c) AM-60-1400, d) AM-60-1500, and e) AM-60-1600.
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48 The AM-60-1300 specimen had regions that appeared to sinter to higher relative density than
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50 the surrounding regions. The majority of the specimen consisted of particles which had started to
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52 form necks. The presence of open porosity and non-uniform microstructural regions indicated
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54 that this specimen was still in the initial sintering stage. The main characteristics for AM-60-
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56 1400 were breakage through grains and uniform grain size with open porosity. By 1400 °C, the
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4 pores were becoming more spheroidal and the dominant fracture mode was through grain. At this
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6 temperature, microstructures were almost completely compact. Non-uniform grain growth was
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8 observed in AM-60-1600. Compared to lower sintering temperatures, the amount of porosity
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10 was lower and additional polygonal grains had formed. Pores appeared to be closer together and
11
12 were coalescing into closed pores, which is indicative of final stage sintering.
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16 The relative dielectric permittivity as a function of sintering temperature followed the
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18 same trend as bulk density as shown in Figure 8 [31]. The density increased with sintering
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20 temperature, which indicated that higher sintering temperatures led to lower porosity and more
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22 compact structures, which was also consistent with observed changes in the microstructures.
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24 Combined, these observations suggest that higher density and more homogeneous
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26 microstructures were responsible for the higher values of dielectric permittivity as sintering
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28 temperatures increased. The relative permittivity for approximately 50 % from values as low as
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30 ~ 3.2 for AM-0-1200 to almost 6 for AM-0-1600. For all specimens, the measured loss tangent
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32 was below the resolution of the measurement method, (< 0.002), indicating low dielectric losses.
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41 **Figure 8.** Relative permittivity as a function of sintering temperature.
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45 **Table II** Tensile strength, load at failure, and k (thickness to diameter) ratio
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49 The strength of the sintered specimens also increased as sintering temperature increased
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51 as summarized in Table II. The strength values were minimum for samples sintered at 1200 and
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53 1300 °C. Increased sintering temperature led to an increase in strength. Specimens sintered at
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55 1200 °C had strengths of ~ 10 MPa or lower, which increased to strengths of more than 65 MPa
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4 after sintering at 1600 °C. The maximum strength was obtained for AM-0-1600, which had the
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6 highest density, indicating that strength was strongly influenced by final density.
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10 11 **4. Conclusions** 12 13 14

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16 The influence of mechanical activation on synthesis and properties of spinel ceramics
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18 was investigated. MgAl₂O₄ ceramics were prepared by solid state reaction between MgO and α-
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20 Al₂O₃ powders during conventional sintering process. Prior to heating, part of powder mixture
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22 was subjected to high energy planetary ball milling for 60 minutes. The phase composition,
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24 was subjected to high energy planetary ball milling for 60 minutes. The phase composition,
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26 microstructure, electrical and mechanical properties of the bulk materials were characterized
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28 systematically. The most important conclusions are:
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33 (1) 60 minutes of high-energy ball milling lead to reduction of particle size and introduction of
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35 defects into the particles.
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40 (2) MgAl₂O₄ spinel was formed by heating to temperatures low as 1200 °C. For non-activated
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42 powders, spinel was the major phase, but traces of MgO were observed for reaction temperatures
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44 as high as 1600 °C. Single-phase spinel was obtained by heating mechanically activated powders
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46 to 1400 °C or higher, indicating that the activated powders had higher reactivity.
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52 (3) Analysis of microstructures showed that AM-0-1600 and AM-60-1600 had no open
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54 porosity, indicating that final stage sintering was reached. As porosity decreased strength
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56 increased with the highest strengths observed for specimens sintered at 1600 °C, which were ~84
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4 for AM-0-1600 and 69 MPa for AM-60-1600. As density increased, the pores became more
5
6 regular in shape. Finally, increasing density also lead to increases in dielectric permittivity from
7
8 lowest values of about 3 after sintering at 1200 °C to values of about 6 after sintering at 1600 °C.
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11 12 13 14 **Acknowledgments**

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Table I Dimensions and density parameters of sintered pellets.

Sample	m_s (g)	t (mm)	D_s (mm)	ρ ($\text{g}\cdot\text{cm}^{-3}$)	ρ_{rel} (%TD)	Π (%)
AM-0-1200	0.2053	1.92	8.58	1.85	51.4	48.6
AM-60-1200	0.2064	1.95	8.30	1.96	54.3	45.7
AM-0-1300	0.2005	1.89	8.57	1.84	51.1	48.9
AM-60-1300	0.1950	1.87	8.17	1.99	55.3	44.7
AM-0-1400	0.2068	1.91	8.17	2.05	57.1	42.9
AM-60-1400	0.1939	1.78	7.92	2.22	61.7	38.3
AM-0-1500	0.1988	1.70	7.26	2.83	78.5	21.5
AM-60-1500	0.1980	1.66	7.24	2.90	80.5	19.5
AM-0-1600	0.2047	1.58	7.03	3.34	92.7	7.3
AM-60-1600	0.1924	1.61	7.02	3.09	85.8	14.2

Table II Tensile strength, load at failure, and k (thickness to diameter) ratio for all sintered samples.

Sample	P (N)	k (mm)	σ_t (MPa)
AM-0-1200	258.24	0.2238	10.7
AM-60-1200	170.28	0.2349	7.1
AM-0-1300	144.17	0.2205	6.0
AM-60-1300	321.64	0.2289	14.2
AM-0-1400	240.04	0.2238	10.4
AM-60-1400	270.76	0.2247	12.9
AM-0-1500	946.73	0.2342	51.8
AM-60-1500	399.14	0.2293	22.4
AM-0-1600	1376.52	0.2248	83.5
AM-60-1600	1149.66	0.2293	68.6

Figure 1. XRD patterns of powders: non-activated powder (AM-0) and powder activated for 60 minutes (AM-60).

Figure 2. SEM and EDS pictures of powders: a) non-activated powder (AM-0) and b) powder activated for 60 minutes (AM-60).

Figure 3. PSA of the non-activated powder (AM-0) and powder activated for 60 minutes (AM-60).

Figure 4. XRD patterns of: a) non-activated powder (AM-0) and b) powder activated for 60 minutes (AM-60), after heating to various temperatures for 2 h in air.

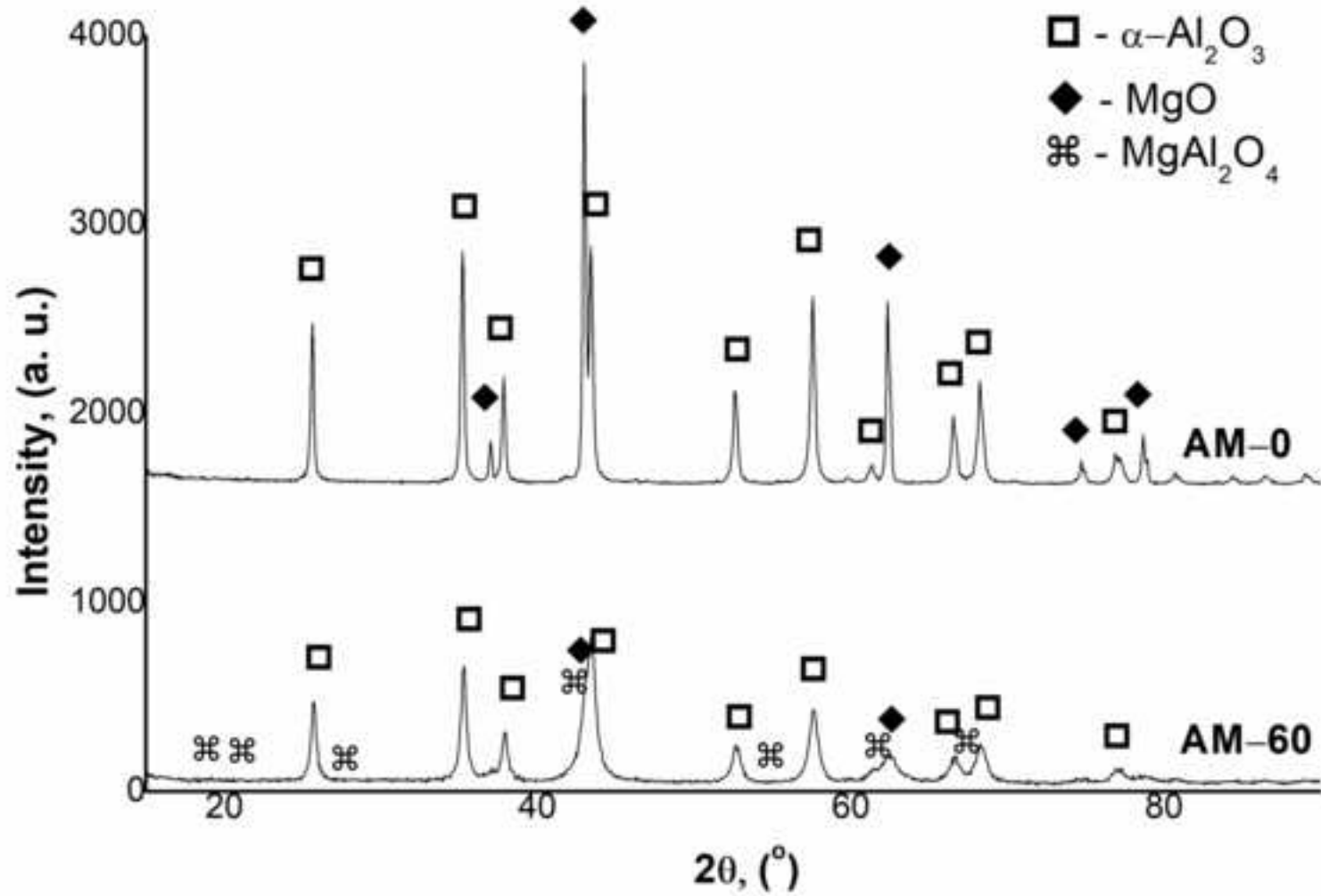
Figure 5. Bulk density as a function of sintering temperature for all sintered samples.

Figure 6. SEM micrographs of fracture surfaces of specimens: a) AM-0-1200, b) AM-0-1300, c) AM-0-1400, d) AM-0-1500, and e) AM-0-1600.

Figure 7. SEM micrographs of fracture surfaces of sintered samples: a) AM-60-1200, b) AM-60-1300, c) AM-60-1400, d) AM-60-1500, and e) AM-60-1600.

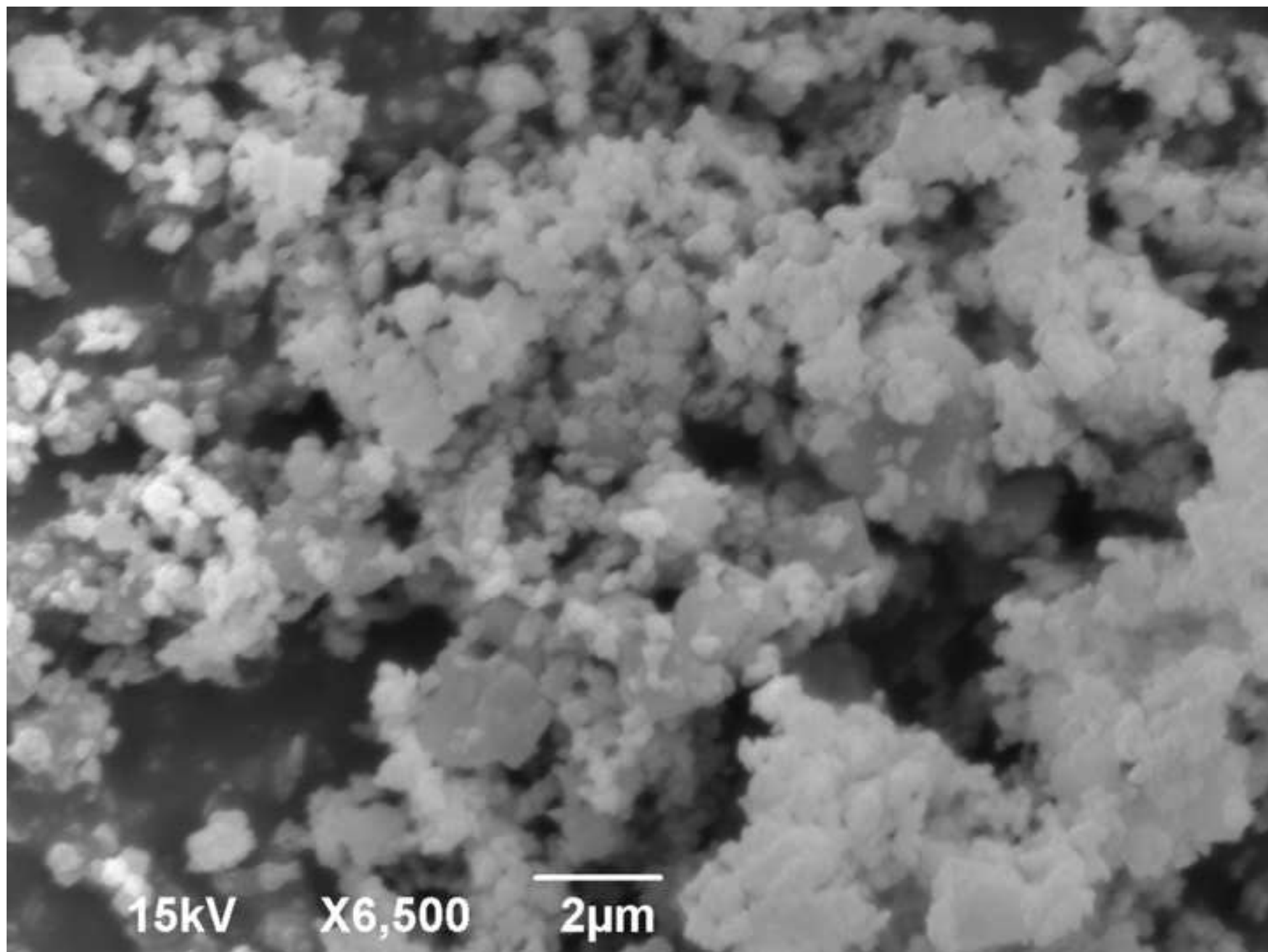
Figure 8. Relative permittivity as a function of sintering temperature.

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Figure

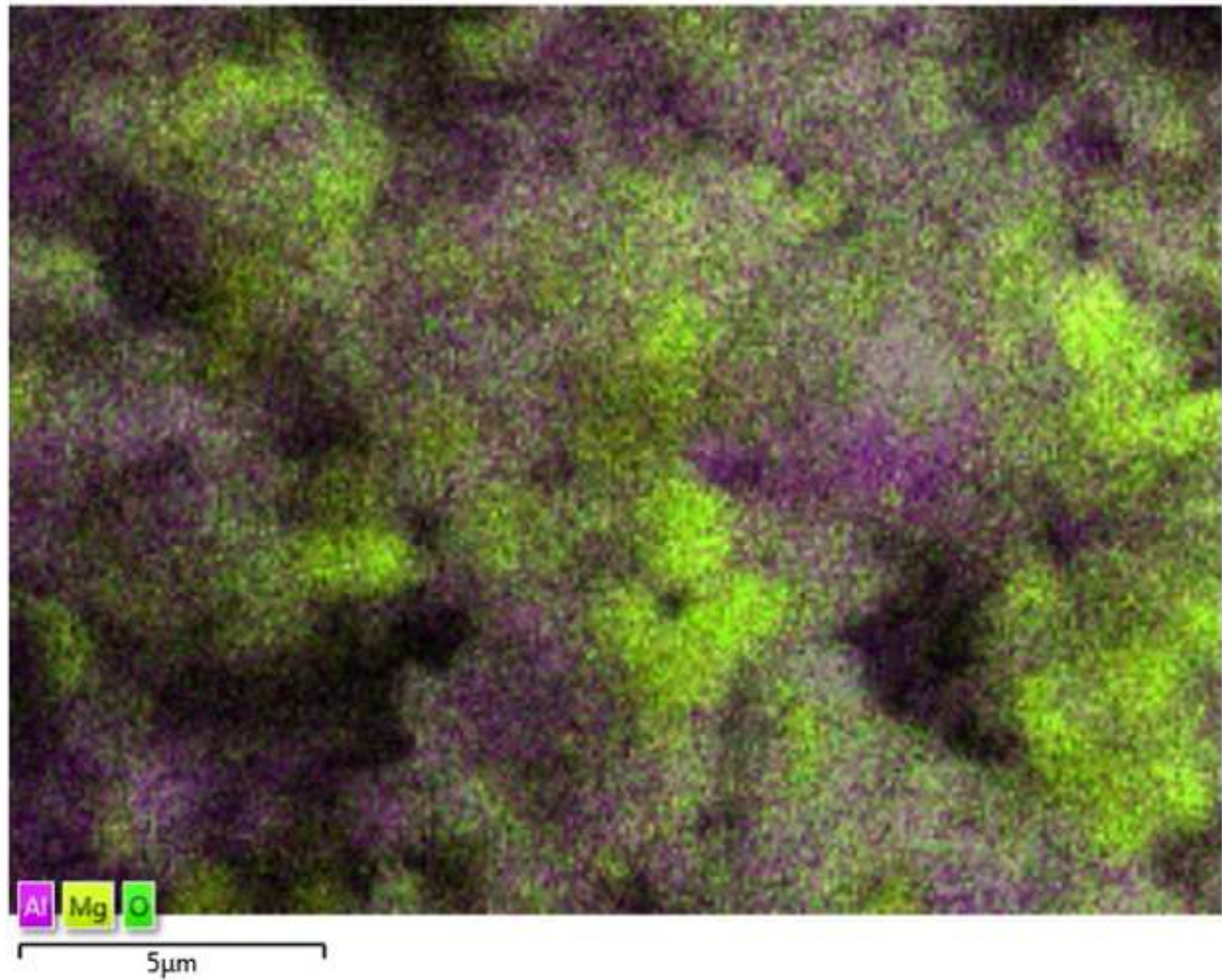
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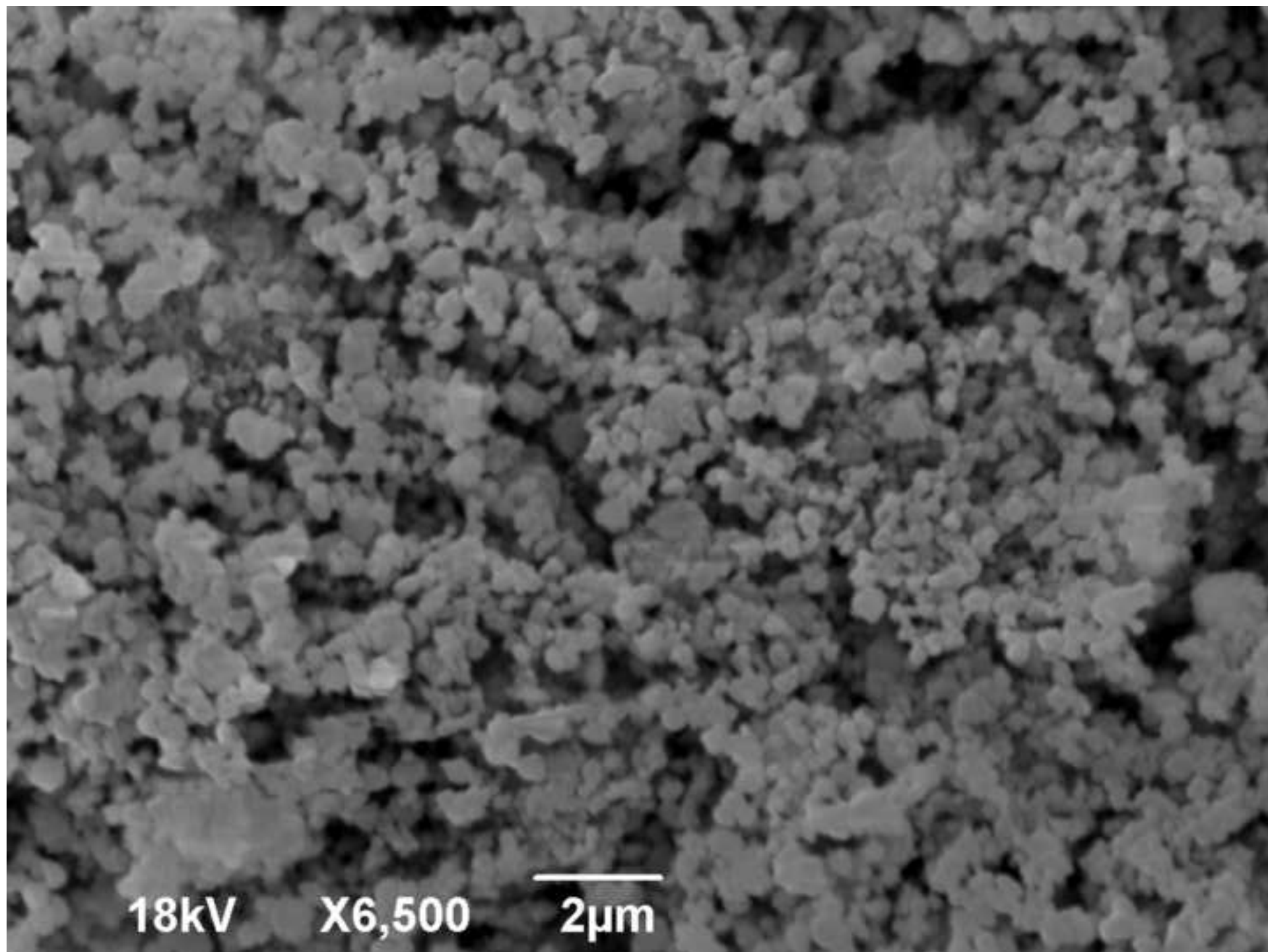
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EDS Layered Image 1



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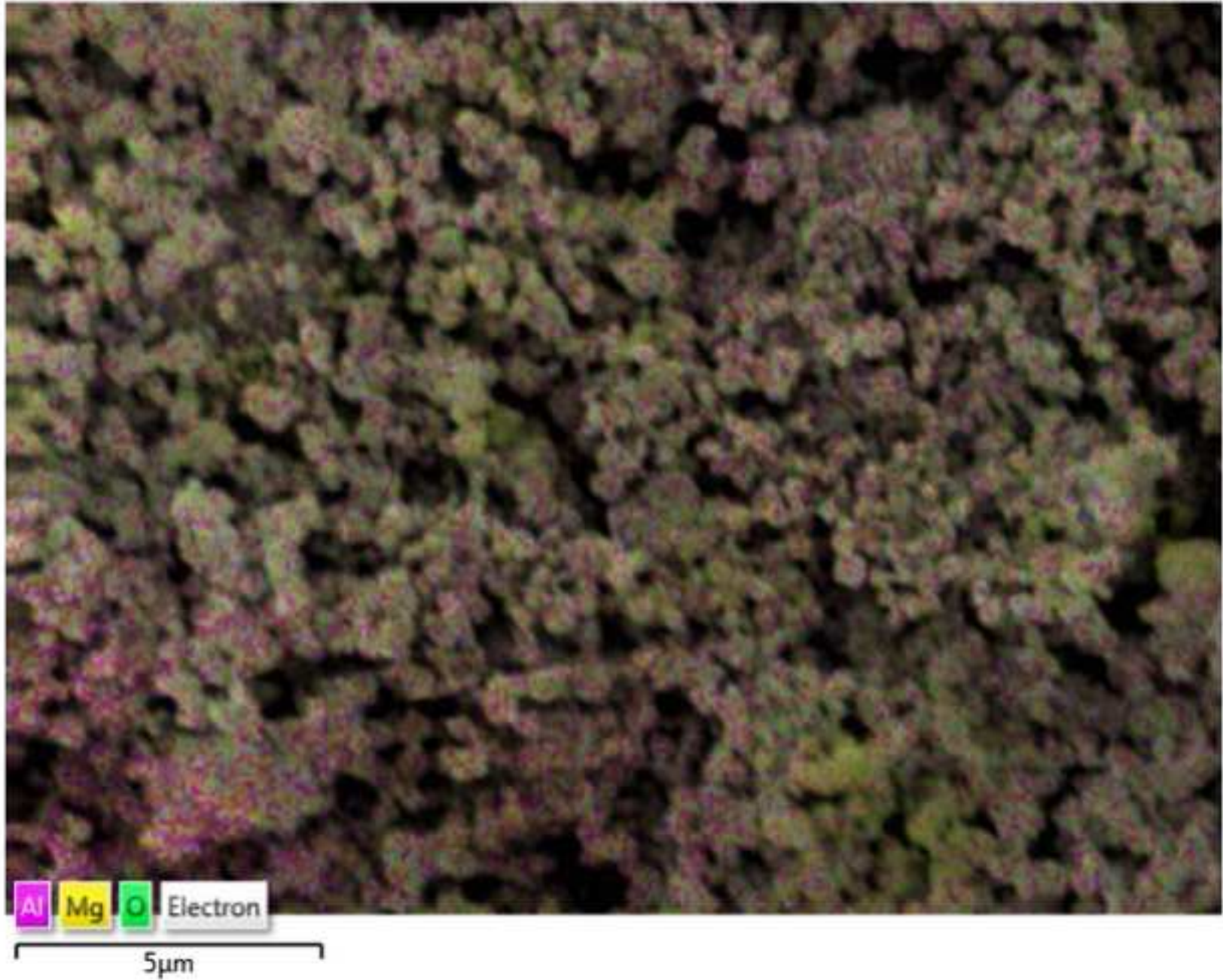
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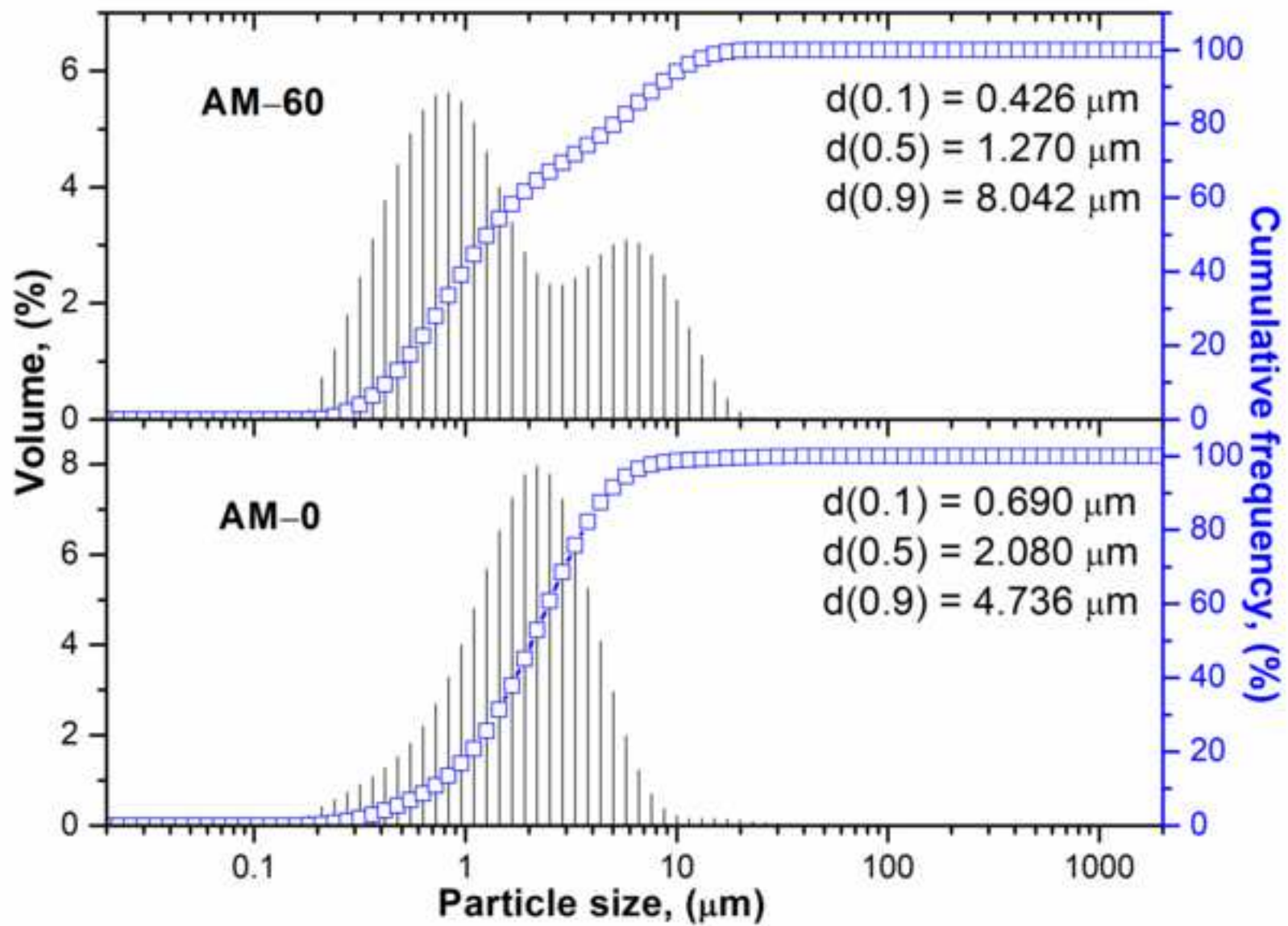
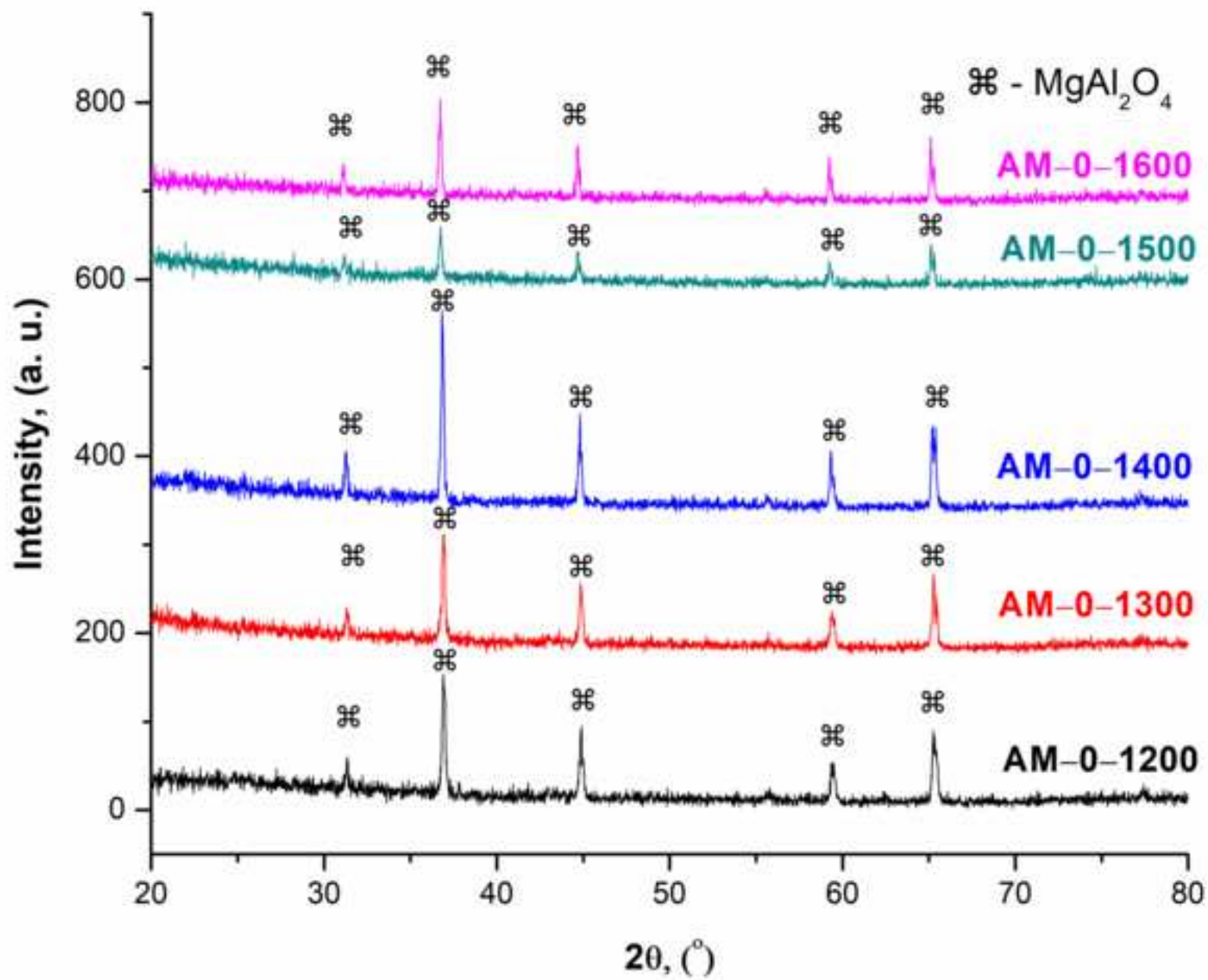


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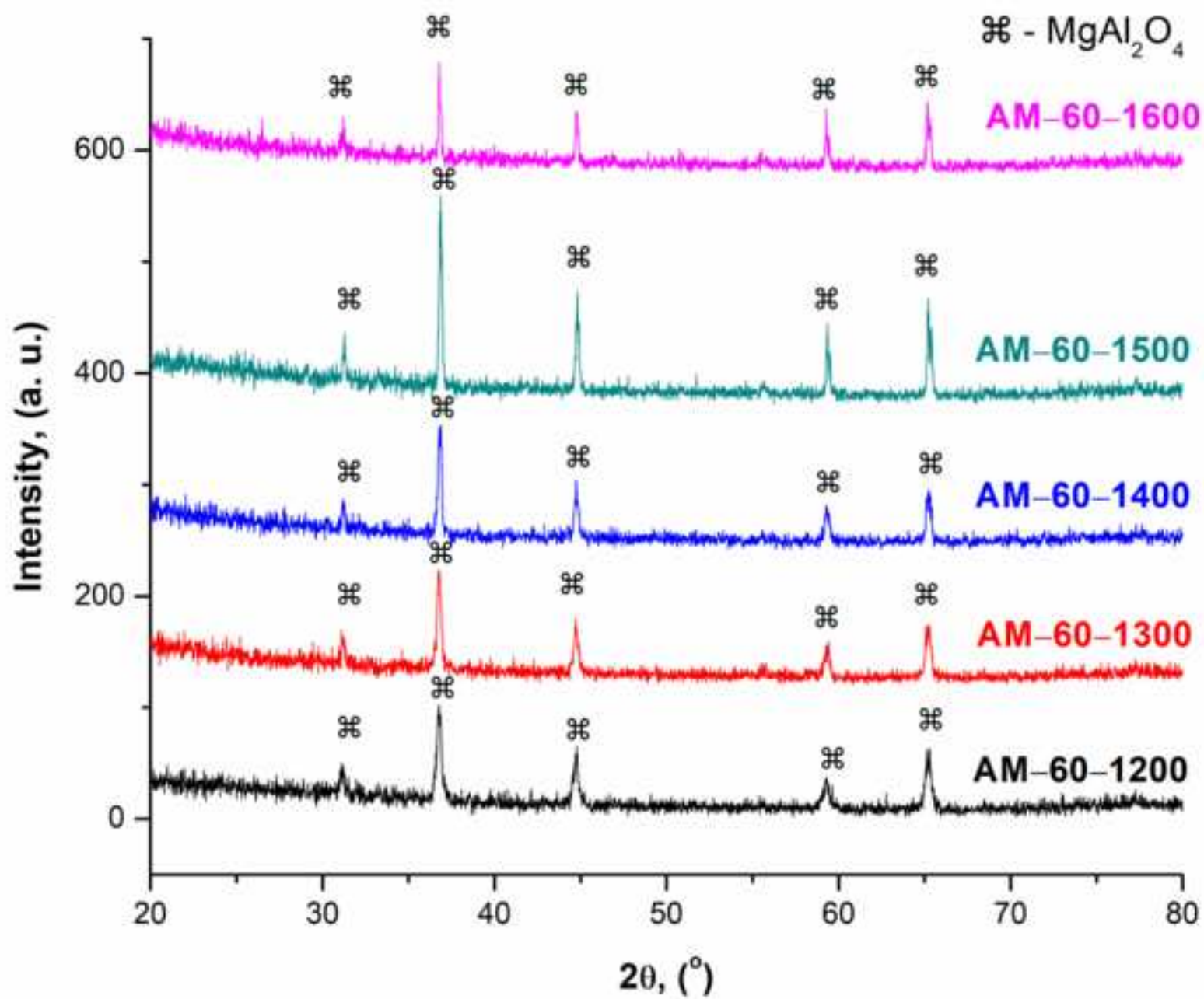
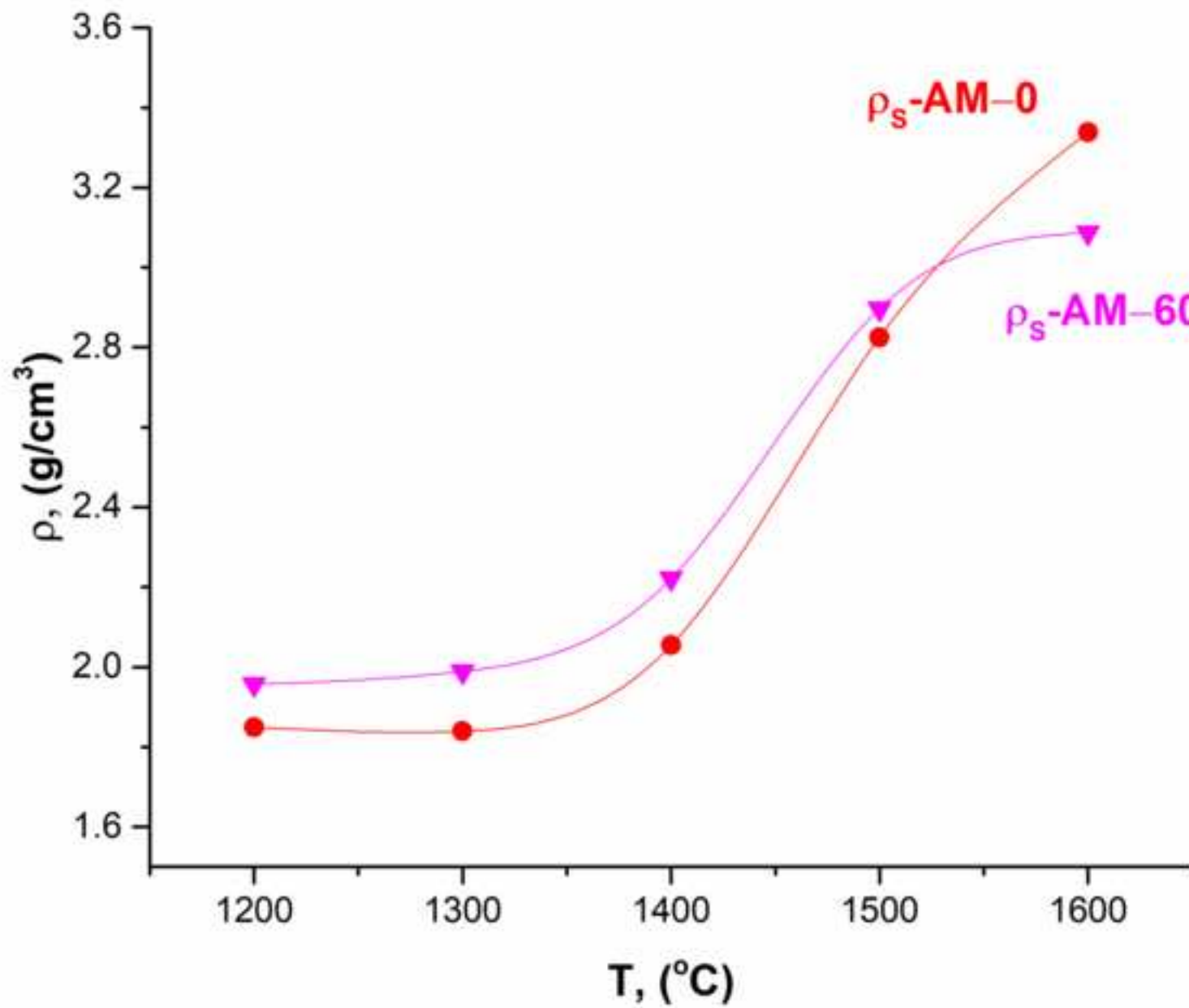
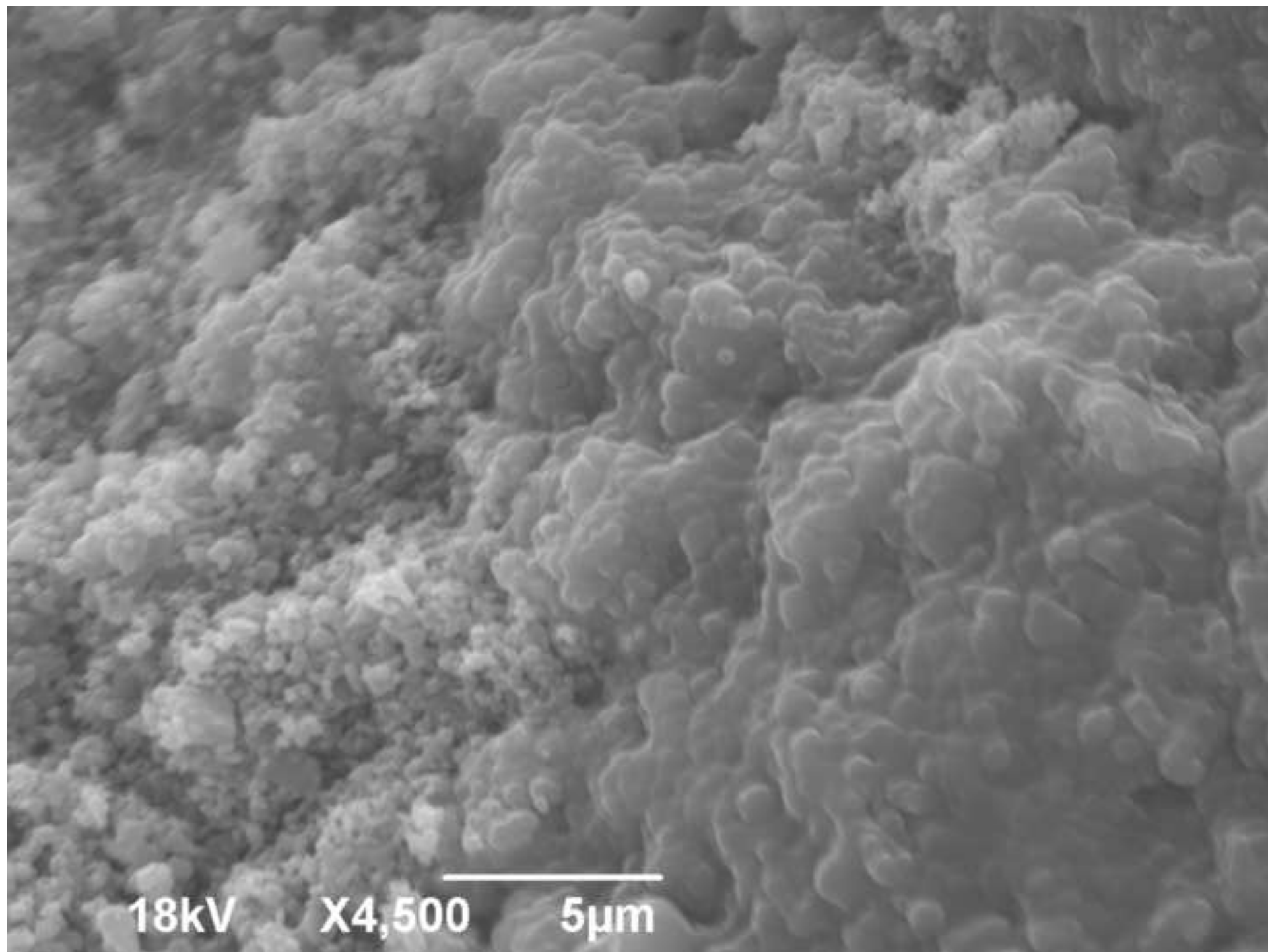


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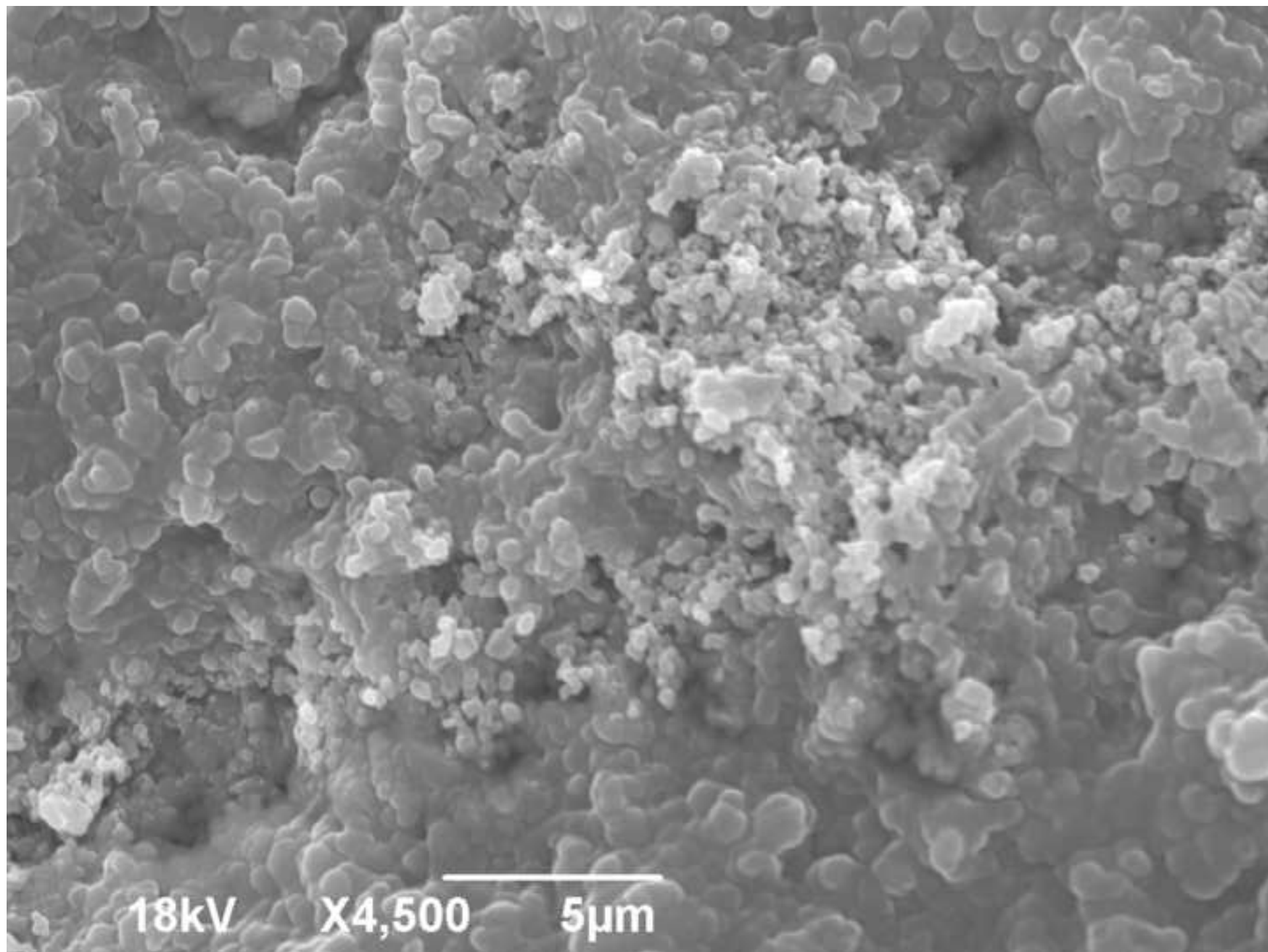
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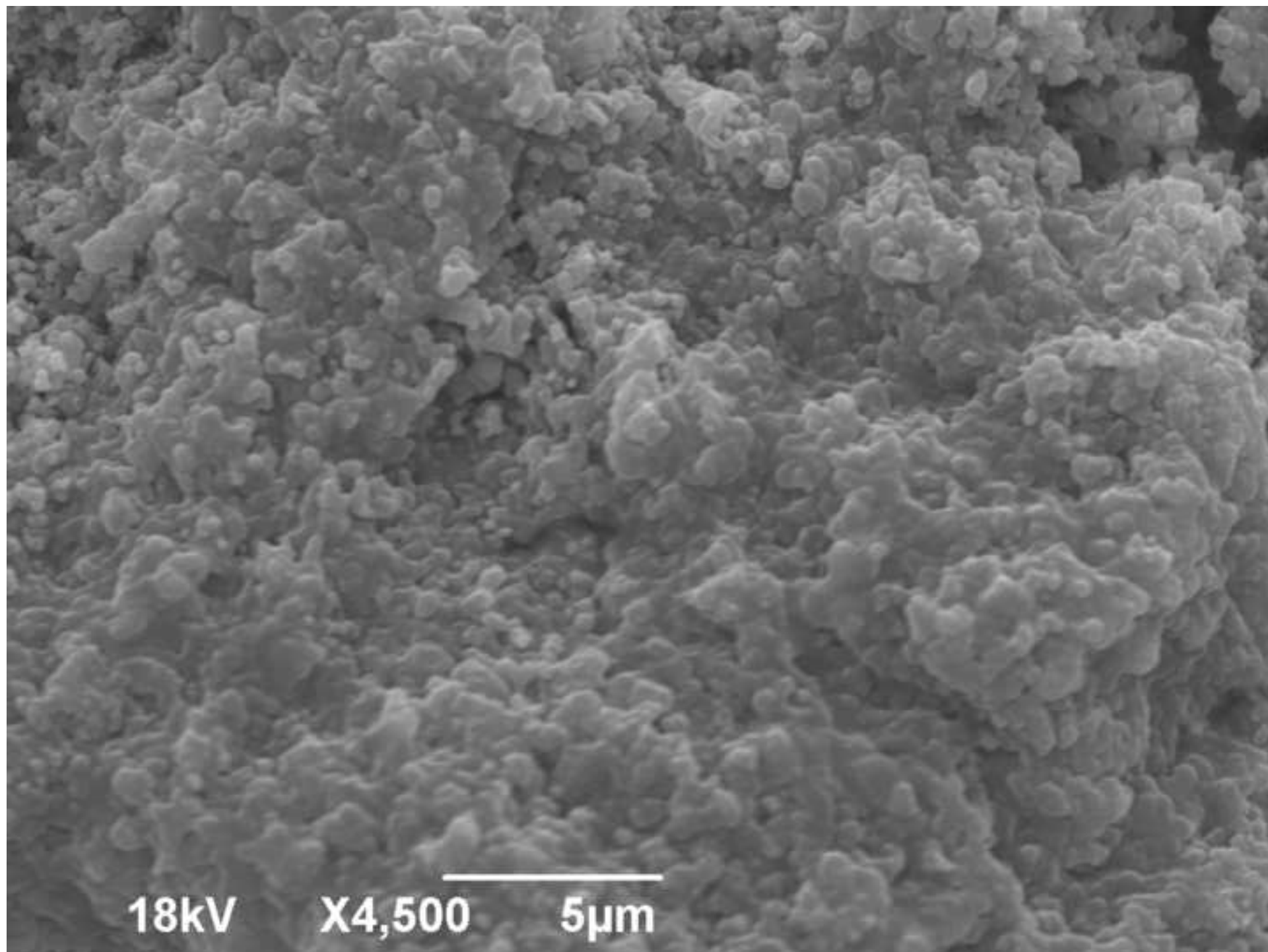
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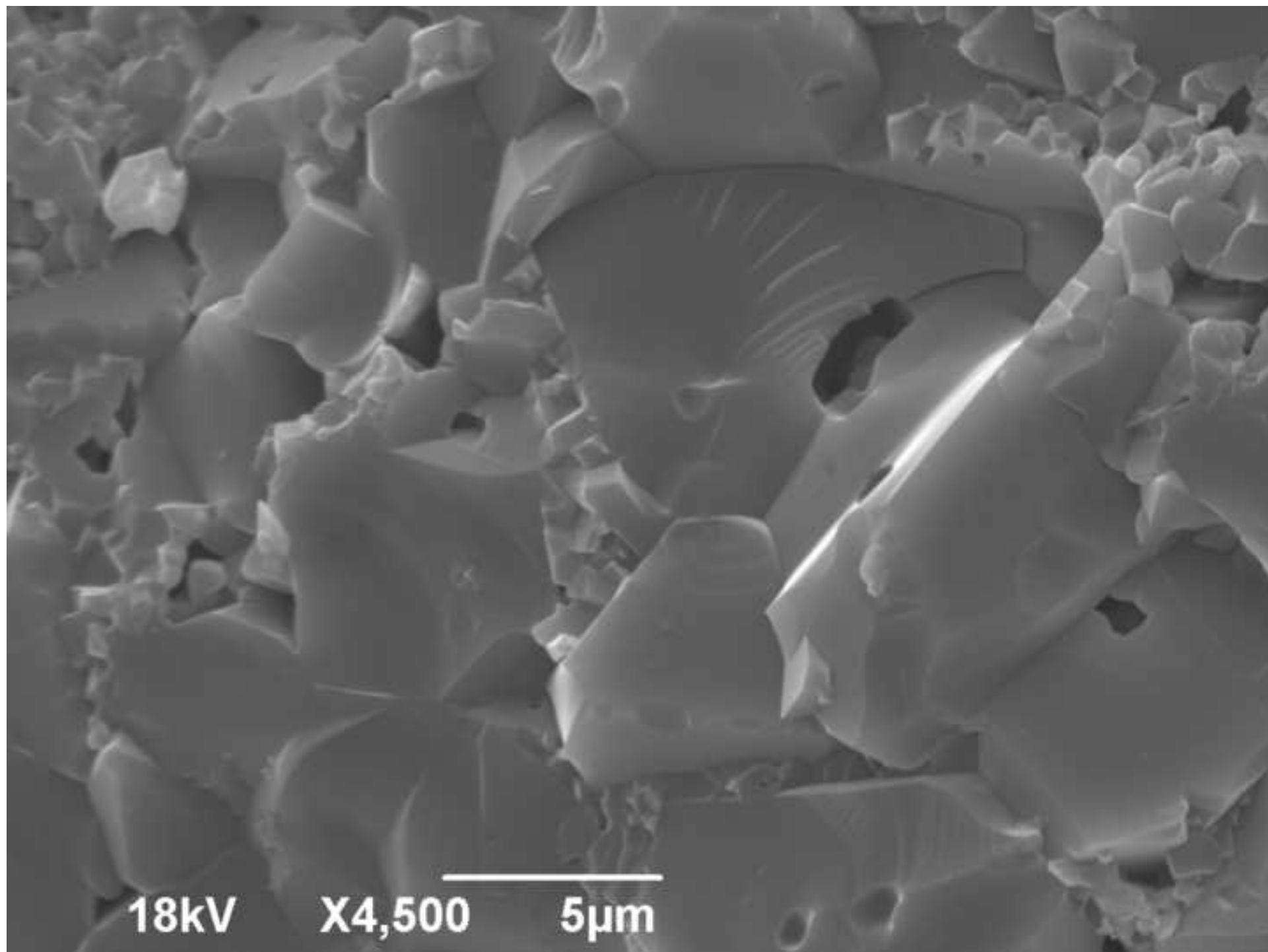
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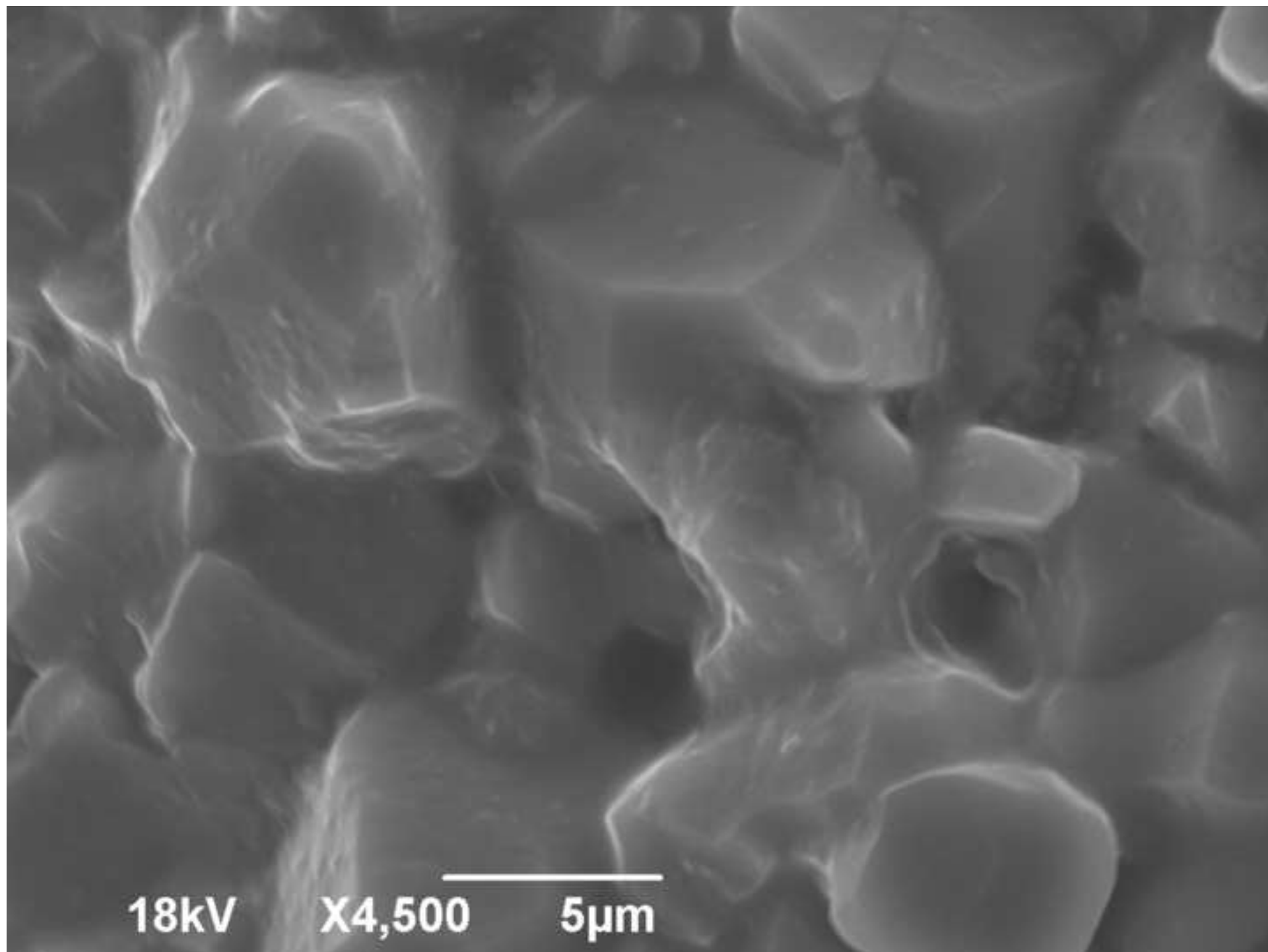
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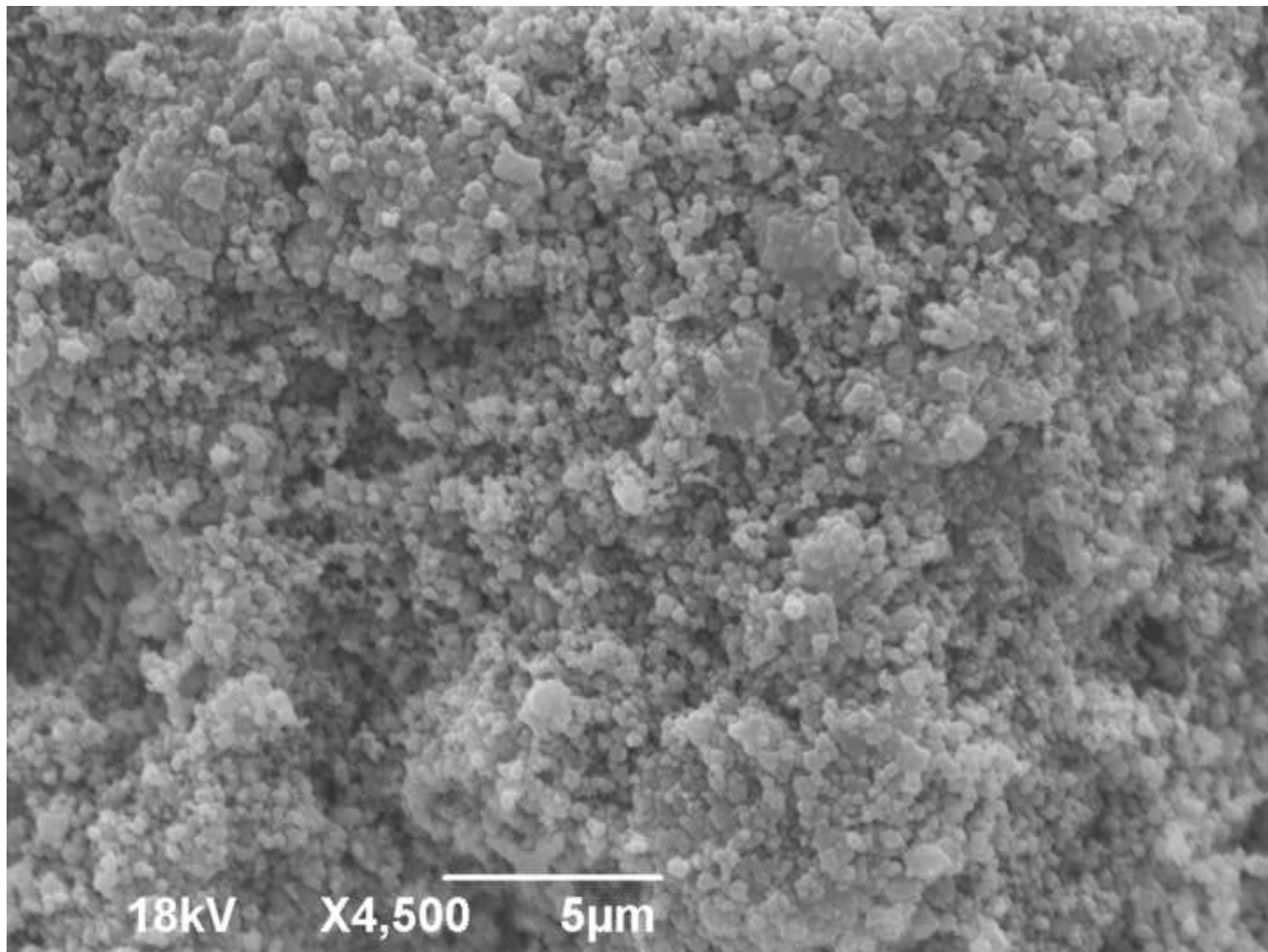
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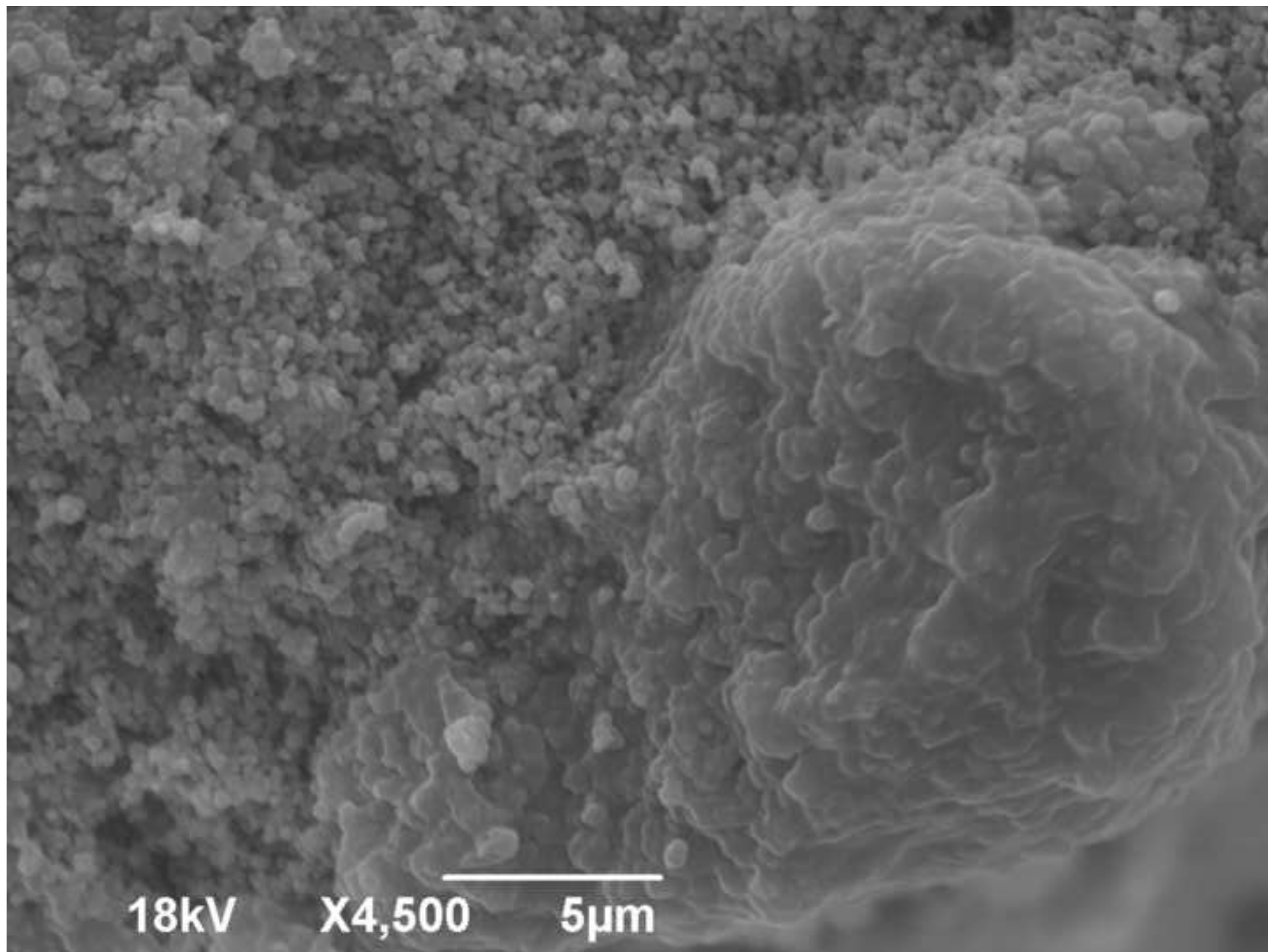
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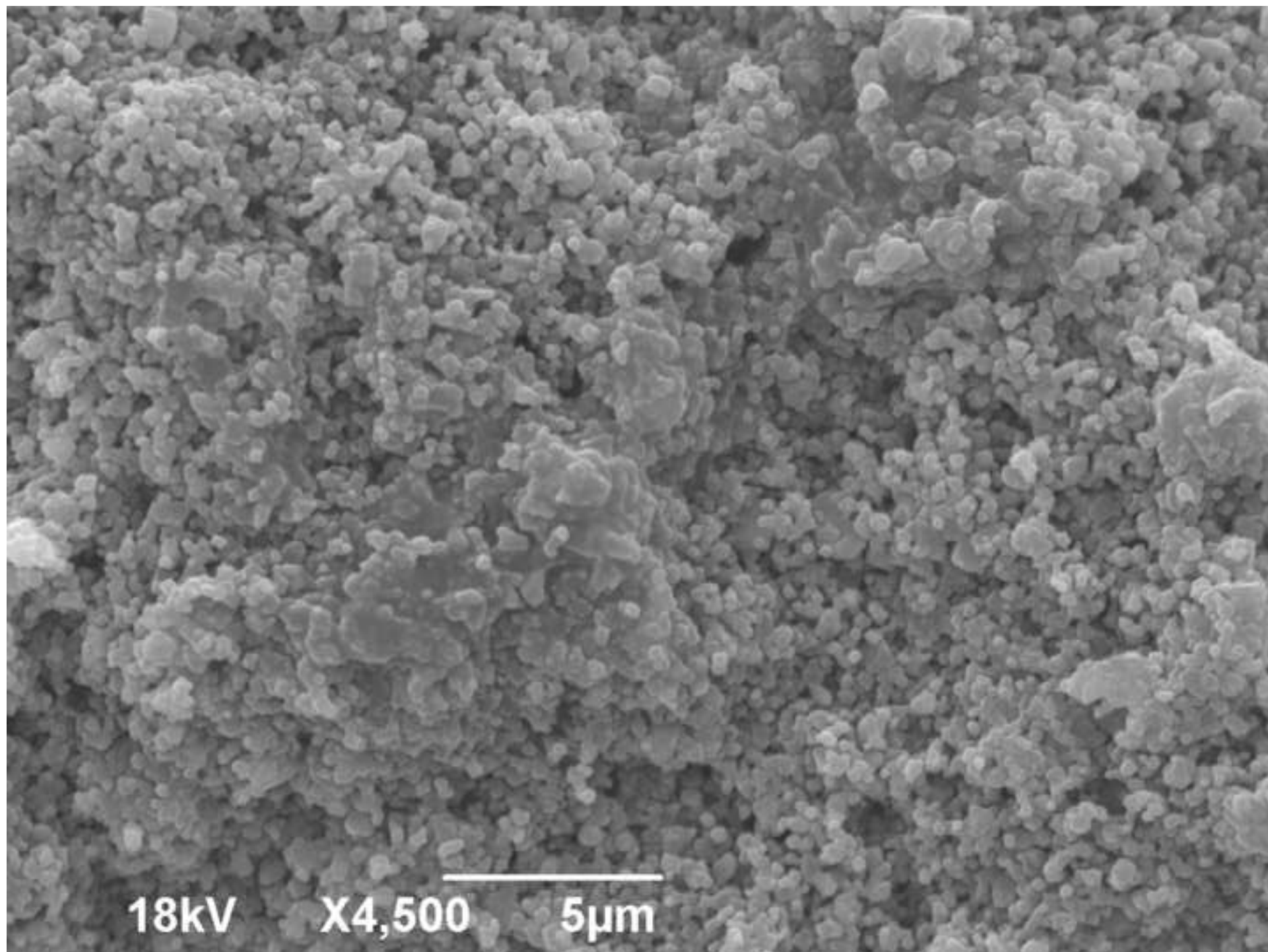
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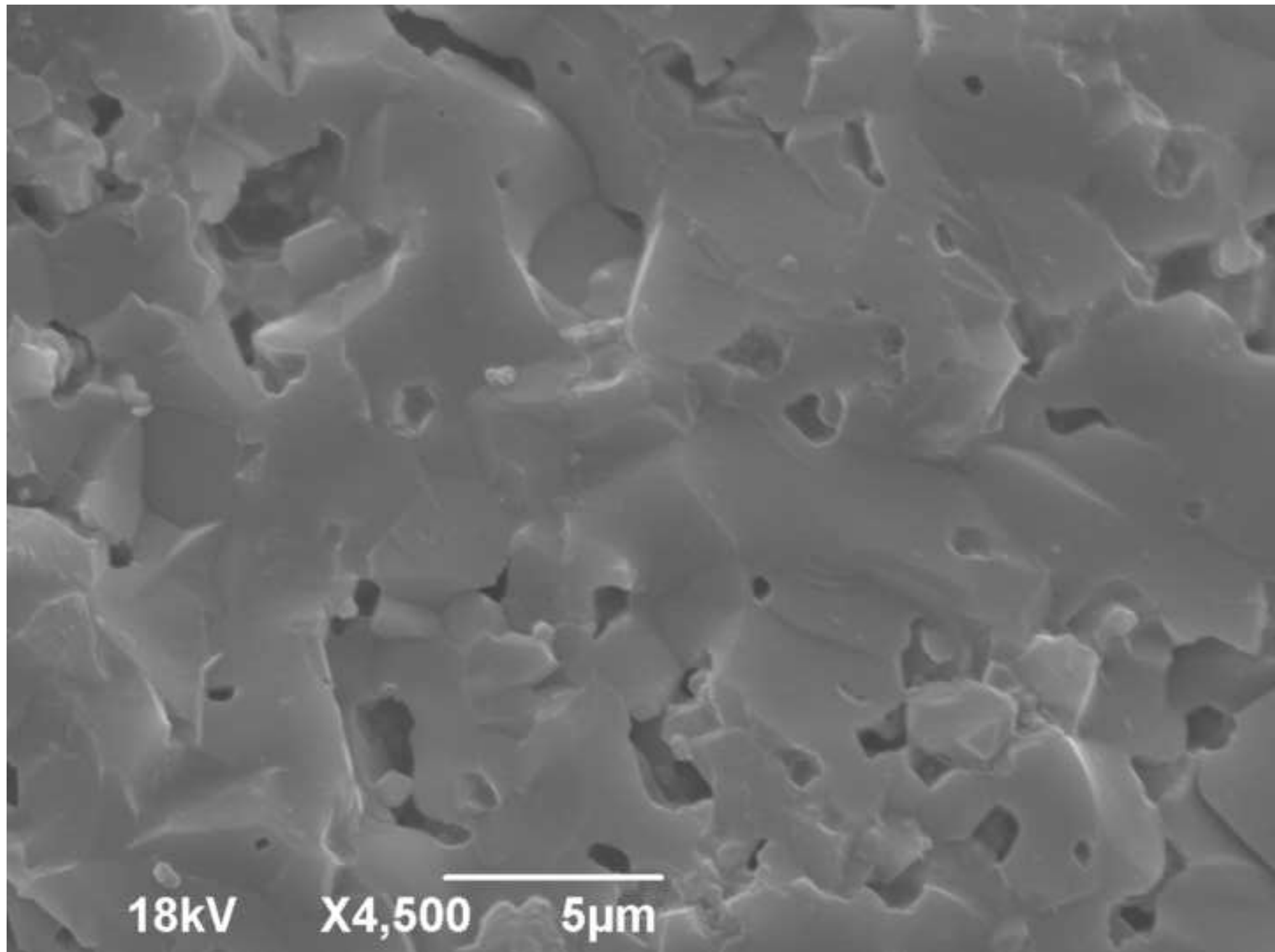
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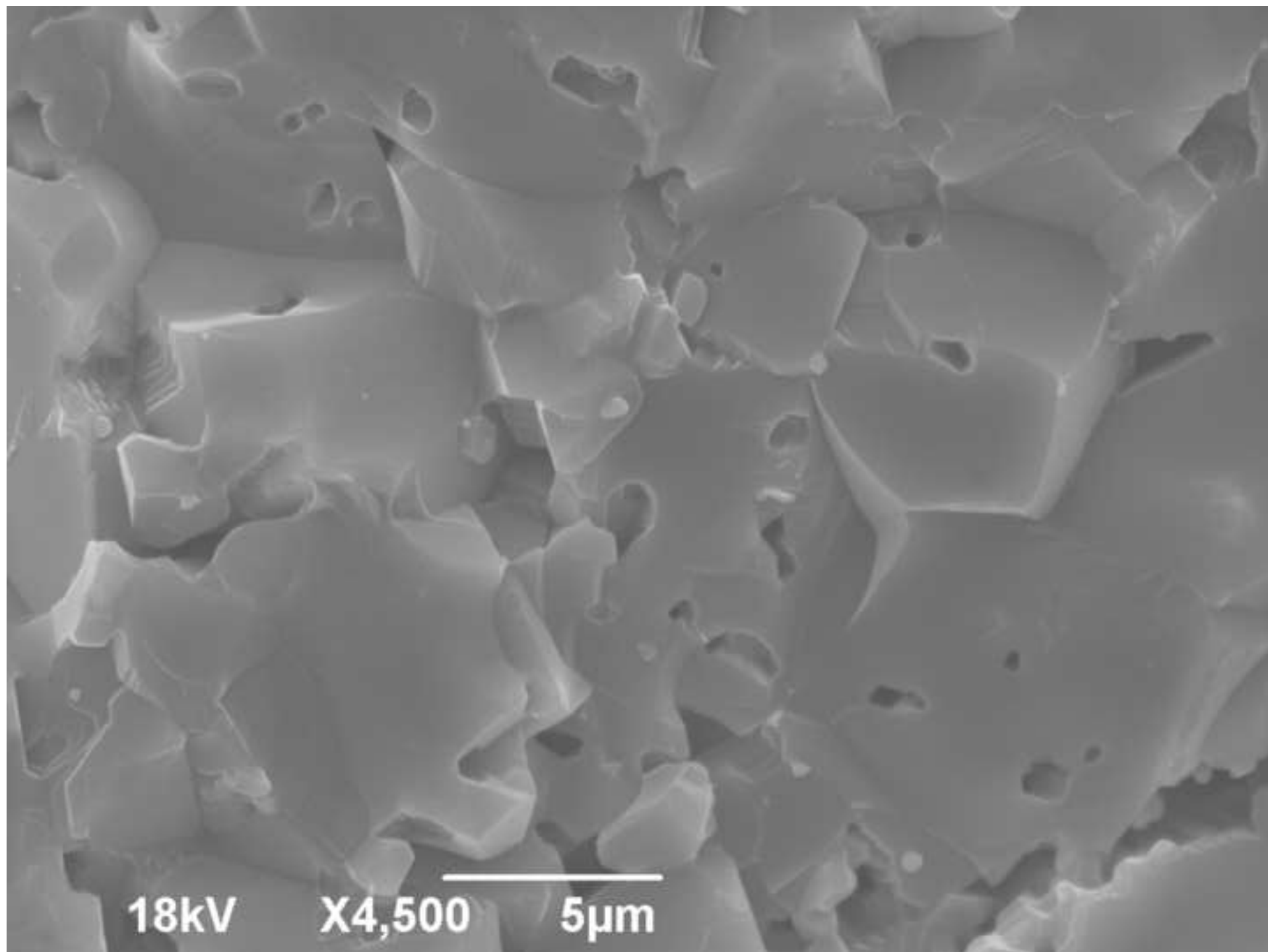


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