# Increased serum miR-193a-5p during non-alcoholic fatty liver disease progression: diagnostic and mechanistic relevance

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QMA and AKD; project supervision: QMA and AKD; funding acquisition: QMA and AKD.

#### **Abstract**

**Background & Aims:** Serum microRNAs (miRNAs) levels are known to change in non-alcoholic fatty liver disease (NAFLD) and may serve as useful biomarkers. This study aimed to profile miRNAs comprehensively at all NAFLD stages.

**Methods:** We profiled 2,083 serum miRNAs in a discovery cohort (183 NAFLD cases representing the complete NAFLD spectrum and 10 population controls). MiRNA libraries generated by HTG EdgeSeq were sequenced by Illumina NextSeq. Selected serum miRNAs were profiled in 372 additional NAFLD cases and 15 population controls by quantitative reverse transcriptase-polymerase chain reaction.

Results: Levels of 275 miRNAs differed between cases and population controls. Fewer differences were seen within individual NAFLD stages but miR-193a-5p consistently the showed increased levels in all comparisons. Relative to NAFL/NASH with mild fibrosis (stage 0/1), three miRNAs (miR-193a-5p, miR-378d and miR378d) were increased in cases with NASH and clinically significant fibrosis (stage 2-4), seven (miR193a-5p, miR-378d, miR-378e, miR-320b, c, d & e) increased in cases with NAFLD Activity Score (NAS) 5-8 compared with lower NAS, and three (miR-193a-5p, miR-378d, miR-378e) increased but one (miR-19b-3p) decreased in steatosis, activity, and fibrosis "activity" (SAF-A) score 2-4 compared with lower SAF-A. The significant findings for miR-193a-5p were replicated in the additional NAFLD cohort. Studies in Hep G2 cells showed that following palmitic acid treatment, miR-193a-5p expression decreased significantly. Gene targets for miR-193a-5p were investigated in liver RNAseq data for a case subgroup (n=80); liver *GPX8* levels correlated positively with serum miR-193a-5p.

**Conclusions:** Serum miR-193a-5p levels correlate strongly with NAFLD activity grade and fibrosis stage. MiR-193a-5p may have a role in the hepatic response to oxidative stress and is a potential clinically tractable circulating biomarker for progressive NAFLD.

### Introduction

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- 2 NAFLD affects approximately one quarter of the adult general population and is the most
- 3 common cause of liver disease in the Western world.(1) The complex, multisystem disease is
- 4 characterised by intrahepatic triglyceride accumulation in the absence of excess alcohol
- 5 intake.(1, 2) In a significant minority of patients, NAFLD can progress from steatosis (non-
- 6 alcoholic fatty liver, NAFL) to its inflammatory form (NASH) with hepatocyte injury and
- 7 progressive fibrosis, and may lead to cirrhosis, hepatocellular carcinoma and end-stage liver
- 8 disease.(3)
- 9 NAFLD pathogenesis is multifactorial and so, in addition to metabolic comorbidities and
- 10 environmental influences, genetic and epigenetic factors confer an increased risk of NAFLD-
- associated end-stage liver disease.(4, 5) Inflammatory disease severity may be assessed
- 12 histologically using one of two widely adopted semi-quantitative scoring systems: the NASH
- 13 CRN NAS (NAFLD Activity Score), which conflates the degree of steatosis with
- steatohepatitic activity grade, and the FLIP SAF (Steatosis Activity Fibrosis) score, which
- preserves the distinction between steatosis and activity.(6, 7) Histological scoring of a liver
- biopsy remains the current reference standard for grading and staging NAFLD for clinical
- trials, even though sampling error as well as inter- and intra-observer discrepancies are well
- recognised to increase variability and result in misclassification. (8, 9) Consequently,
- 19 circulating biomarkers have been sought to circumvent the need for invasive biopsies.
- 20 Micro RNAs (miRNA) are small (~22 nucleotides in length) non-coding RNA molecules that
- 21 can post-transcriptionally regulate gene expression.(10) Mature miRNAs, complexed with
- facilitative proteins (i.e. the Argonaute protein family), exert their effects by binding mRNA
- 23 molecules and inducing mRNA degradation or inhibiting translation.(11) Currently over
- 24 2,000 known human miRNAs are recorded on the miRBase database,(12) some of which
- 25 have been characterised as diagnostic biomarkers for diseases such as cardiovascular
- 26 disease(13) and cancer.(14) Despite several studies postulating the association of various
- 27 miRNAs with NAFLD, very few have been unequivocally replicated and validated.(15) The
- 28 lack of consensus may in part be due to differences in study design, relatively small numbers
- 29 of cases being studied, technical approaches and variances within the chosen techniques. The
- most abundantly expressed miRNA known in adult liver, miR-122-5p, is possibly the
- 31 strongest candidate NAFLD biomarker, having been consistently corroborated in independent

- 32 studies.(16-18) More recently, levels of another miRNA, miR-34a-5p, have been shown to
- increase in serum as NAFLD progresses and miR-34a-5p has been incorporated in an *in vitro*
- diagnostic test to assist in the identification of patients with fibrosing-steatohepatitis.(19) Our
- 35 aim was to identify additional serum miRNAs biologically relevant to NAFLD
- pathophysiology and progression with the capacity to serve as biomarkers. We report a
- 37 comprehensive and unbiased profile of over 2,000 miRNAs in a large international cohort of
- 38 histologically-characterised NAFLD patients, with separate discovery and replication
- 39 performed using diverse techniques in independent patient cohorts.

#### **Materials and Methods**

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## Patient recruitment and sample collection

- The study protocol conformed to the ethical guidelines of the 1975 Declaration of Helsinki.
- Cases were derived from the European NAFLD Registry (NCT04442334) with the collection
- and use of NAFLD patient data and samples approved by the relevant Ethical Committees in
- 45 the participating centres, and all patients having provided informed consent. (20) The
- 46 collection of blood samples from 'healthy' controls (no reported comorbidities nor evidence
- of NAFLD) was approved by the County Durham and Tees Valley Research Ethics
- 48 Committee (12/NE/012), and informed consent was given by all donors. Disease stage and
- 49 grade of NAFLD samples were histologically proven by liver biopsy, with the serum samples
- taken within six months of said biopsy. Liver biopsy specimens were scored centrally by two
- expert liver pathologists (DT, PB) to ensure consistency in the analysis and interpretation of
- 52 histology data. NAFLD was defined by the presence of steatosis in >5% of hepatocytes.
- 53 Cases were divided into those with Non-Alcoholic Fatty Liver (NAFL) if steatosis only or
- steatosis with lobular inflammation were present and those with NASH which is
- characterised by the presence of both lobular inflammation and hepatocellular ballooning in
- addition to steatosis. (21, 22) NAS was calculated as the unweighted sum of steatosis,
- 57 ballooning, and lobular inflammation while SAF activity was calculated as the unweighted
- sum of hepatocyte ballooning and lobular inflammation.(6, 7) NAFLD activity was graded as
- 59 low (NAS 0-4 or SAF activity 0-2) and high (NAS 5-8 or SAF activity 3-4), and fibrosis
- staged as minimal fibrosis stage (F0-F1) and clinically significant (F2-F4).

#### Serum sample RNA extraction, library preparation, sequencing and quality control

- 62 Serum samples (15 μl) were processed through the HTG EdgeSeq platform (HTG Molecular
- Diagnostics, Inc., Tucson, AZ, USA) using the EdgeSeq miRNA Whole Transcriptome
- Assay according to the manufacturer's instructions. Briefly, the samples were lysed with a
- 65 1:1 ratio of HTG biofluids lysis buffer to extract RNA and the lysates input into nuclease
- protection assays run on the HTG EdgeSeq processor. Sequence adapters were added to the
- samples in a 16-cycle PCR reaction. PCR products were purified using AMPure XP beads
- 68 (Beckman Coulter, High Wycombe, UK) and quantified using a KAPA Library
- 69 Quantification Kit (KAPA Biosystems, Wilmington, MA, USA). The barcoded libraries were
- pooled and 2,083 miRNAs (plus five negative controls, one positive control and 13
- housekeeping genes) sequenced at the Genomics Core Facility, Newcastle University, UK,
- using an Illumina NextSeq 500 System (Illumina Inc., Cambridge, UK) according to the
- manufacturer's instructions (single end run, 50 bp). FASTQ files were processed by the pre-
- installed HTG EdgeSeq parser software to align the probe sequences to the results, giving an
- output of raw count data. Quality control was conducted using the raw counts according to
- 76 HTG guidelines. Delta mean values within two standard deviations (calculated by the
- 77 manufacturer as 4.8 for serum samples) were considered acceptable.

### 78 MiRNA sequencing data analysis

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- 79 Data for the 2,083 sequenced miRNAs were analysed using RStudio version 1.0.143
- 80 (RStudio: Integrated Development for R. RStudio, Inc., Boston, MA,
- 81 http://www.rstudio.com/). Raw counts were first transformed into CPM (counts per million)
- 82 and the miRNAs with a CPM ≤100 were filtered out of the raw count dataset. DESeq2
- version 1.18.1(23) was used to generate a dataset corrected for batch, age and centre from
- 84 which principal component analysis (PCA) plots were constructed. Outliers were defined by
- visualisation of the PCA plots and removed from the dataset. Comparisons between each of
- 86 the histological groupings (control, NAFL, NASH-F0/F1, NASH-F2, NASH-F3 and NASH-
- 87 F4) and various subgroups were performed using limma version 3.34.9.(24) Statistical
- significance was determined using a Benjamini-Hochberg adjusted p value  $\leq 0.05$ ; no logFC
- 89 (log<sub>2</sub> fold-change) threshold was set. AUROC (area under the receiver operating
- 90 characteristic) curves were generated using IBM SPSS Statistics for Windows, version 24
- 91 (IBM Corp., Armonk, N.Y., USA) and significance between two AUROC curves was

92 assigned based on the DeLong, DeLong and Clarke-Pearson method(25) in RStudio version 93 1.0.143 (RStudio: Integrated Development for R. RStudio, Inc., Boston, MA, 94 http://www.rstudio.com/). 95 MiRNA analysis in the replication cohort 96 Total RNA extraction for analysis by quantitative reverse transcriptase PCR 97 Total RNA was extracted from serum using the MACHEREY-NAGEL NucleoSpin® miRNA 98 Plasma Kit (MACHEREY-NAGEL GmbH & Co. KG, Düren, Germany; distributed by 99 Fisher Scientific UK, Loughborough, UK) according to the manufacturer's instructions with 100 only slight modifications to the protocol. Serum (130 ul) was vortexed with 90 µl Buffer 101 MLP and incubated for 3 min at room temperature. As processing controls, 100 fmol of each 102 of a 5' phosphorylated and a non-phosphorylated exogenous synthetic cel-miR-39-3p were 103 spiked-in (5'-UCACCGGGUGUAAAUCAGCUUG-3'; Integrated DNA Technologies, Inc., 104 Coralville, IA, USA). Total RNA was eluted in 30 µl nuclease-free water and stored at -80°C. 105 Complementary DNA synthesis and quantitative reverse transcriptase PCR 106 Using the TaqMan<sup>™</sup> Advanced miRNA cDNA Synthesis Kit (Thermo Fisher Scientific, 107 Paisley, UK), complementary DNA (cDNA) was synthesised from 3 µl total RNA by reverse 108 transcriptase according to the manufacturer's instructions. The miR-Amp reaction product 109 was diluted 1:10 in nuclease-free water and stored at -20°C. The expressions of three 110 miRNAs, including the phosphorylated spike-in, were quantified using pre-formulated 111 TagMan<sup>™</sup> Advanced miRNA Assays (Thermo Fisher Scientific, Paisley, UK): 478293 mir 112 (for the spiked-in cel-miR-39-3p), 477954 mir (hsa-miR-193a-5p) and 477855 mir (hsa-113 miR-122-5p). Each quantitative polymerase chain reaction (qPCR) mixture contained 5 ul 114 diluted cDNA, 1 × TaqMan<sup>TM</sup> Fast Advanced Master Mix (Thermo Fisher Scientific, Paisley, UK) and 1 × TaqMan<sup>TM</sup> Advanced miRNA Assay (Thermo Fisher Scientific, Paisley, UK), 115 116 and was made up to 15 µl with nuclease-free water. 117 TaqMan<sup>™</sup> MicroRNA Reverse Transcription Kits (Thermo Fisher Scientific, Paisley, UK) 118 were used to synthesise cDNA from 5 µl total RNA according to the manufacturer's 119 instructions for miRNAs where analysis with material generated via the Advanced miRNA 120 kit was unsuccessful due to low levels. The reverse transcription reaction products were

121	diluted 1:10 in nuclease-free water and stored at -20°C. The expressions of three miRNAs,
122	including the non-phosphorylated spike-in, were quantified using pre-formulated standard
123	TaqMan™ MicroRNA Assays (Thermo Fisher Scientific, Paisley, UK): 000200 (cel-miR-39-
124	3p), 464645_mat (hsa-miR-3687) and 000426 (hsa-miR-34a-5p). Each qPCR reaction
125	mixture contained 2.5 $\mu l$ diluted cDNA, $1 \times TaqMan^{TM}$ Fast Advanced Master Mix (Thermo
126	Fisher Scientific, Paisley, UK) and $1 \times TaqMan^{TM}$ Small RNA Assay (Thermo Fisher
127	Scientific, Paisley, UK), and was made up to 20 µl with nuclease-free water.
128	Quantitative PCR procedure and analysis of replication cohort data
129	All qPCR reactions were run in triplicate in MicroAmp™ Fast Optical 96-Well Reaction
130	Plates (Thermo Fisher Scientific, Paisley, UK) on a QuantStudio™ 5 Real-time PCR machine
131	(Thermo Fisher Scientific, Paisley, UK). PCR cycling conditions were 50°C for 2 min and
132	95°C for 20 sec, followed by 40 cycles of 95°C for 1 sec and 60°C for 20 sec. A reference
133	control, made of pooled cDNA, for each assay was run in triplicate on every plate, meaning
134	the raw cycle threshold $(C_t)$ values could be corrected for batch effects. The batch corrected
135	data were analysed per miRNA by the $2^{-\Delta\Delta Ct}$ method (26). Data were plotted using GraphPad
136	Prism version 8.3.1 (GraphPad Software, San Diego, California USA; <a href="www.graphpad.com">www.graphpad.com</a> ).
137	Statistical analyses were performed using Mann-Whitney $\mathcal{U}$ and Kruskal-Wallis one-way
138	analysis of variance tests.
139	Target gene predictions and bioinformatic searches
140	Two online databases were searched for predicted gene targets of miR-193a-5p –
141	TargetScan(27) and miRDB(28) and the overlapping genes between the two were identified.
142	Common genes were identified between the resulting predicted genes and the differentially
143	expressed genes in NAFLD liver as described by Govaere et al. (29) Normalised and
144	transformed counts of miR-193a-5p, GPX8 and COL1A1 were correlated for the 80
145	overlapping samples for which miRNA-seq and RNA-seq data were available; a linear model
146	was performed to obtain the slopes and $p$ values of the correlations. Single cell RNA-seq data
147	for GPX8 and COL1A1 were extracted from the Liver Cell Atlas gene browser on 13 August
148	2020.(30) Data on gene expression were obtained from the Gene Page of the GTEx
149	(Genotype-Tissue Expression) Portal (GTEx Analysis Release V8; dbGaP Accession
150	phs000424.v8.p2).

## **Hep G2 cell culture and treatment**

- The human hepatoblastoma Hep G2 cell line (ATCC® HB-8065<sup>TM</sup>, Middlesex, UK), was
- cultured as described previously.(29) To assess effects on miRNA expression, cells were
- treated for 24 hours with: (i) 1% bovine serum albumin-conjugated palmitic acid (250 µM),
- (ii) 1% bovine serum albumin-conjugated oleic acid (500 µM), (iii) 1% bovine serum
- albumin-conjugated palmitic and oleic acid (250/500 µM) combined solution, and (iv) 1%
- bovine serum albumin as a lipid-loading control. All treatments were performed in triplicate.
- 158 Total RNA was isolated from the cells as described previously,(29) and cDNA was
- synthesised and qPCR performed as described above using the TaqMan<sup>TM</sup> Advanced miRNA
- 160 cDNA Synthesis Kit and TaqMan<sup>TM</sup> Advanced miRNA assay for miR-193a-5p.

## Results

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#### **Study cohort description**

- The current study comprised histologically characterised cases representing the full spectrum
- of NAFLD severity from NAFL to NASH F4 (cirrhosis). The samples were divided between
- discovery (n=183) and replication (n=372) cohorts. Discovery cases to cover the range of
- NAFLD phenotypes were selected from those already recruited within the European NAFLD
- Registry(20) by July 2018 with replication cases selected from the larger cohort available by
- June 2019. Demographic and clinical data are reported in Table 1. There were some
- significant differences between the cohorts. In particular, the discovery cohort included a
- 170 relatively large number of NAFL cases without detectable fibrosis or inflammation to allow
- detailed comparisons between early and late disease. The replication cohort was significantly
- older with more severe disease and included a wider spectrum of phenotypes. To obtain
- baseline measurements for comparison with NAFLD cases, 10 individuals from a healthy
- 174 control group (3 male, 7 female, mean age 31 years) were included in the analysis with the
- discovery cohort. A further 15 control individuals (9 male, 6 female, mean age 42 years)
- were included in the replication cohort analyses.

#### Comparison of NAFLD serum miRNA profiles with controls

- 178 The serum miRNA profiles of 183 patients across the NAFLD spectrum and 10 population
- 179 controls were generated using the HTG EdgeSeq and Illumina NextSeq technologies. Initial
- 180 PCA confirmed that there was no clustering by centre, plate/batch or age, indicating that

181 batch correction was effective (Supplementary Figure 1). PCA showed that the control 182 samples appeared to cluster together, suggesting they had a different miRNA profile to the 183 NAFLD samples, although some overlap was observed (Figure 1). Relative to controls, levels 184 of 275 serum miRNAs were different in NAFLD patients, 165 increasing and 110 decreasing 185 (Supplementary Table 1). The most significantly different miRNA was miR-3687 for which decreased levels in NAFLD were seen (fold change (FC) = 0.10,  $p = 4.57 \times 10^{-28}$ ); 186 187 (Supplementary Table 2) When cases with NASH and different stages of fibrosis were 188 compared with the population controls, in excess of 200 significant differences in miRNA 189 levels were detected for each fibrosis stage, with the top 200 for each listed in Supplementary 190 Table 2. The most significant alteration was again the decrease seen for miR-3687 but for 191 NASH F0/F1, F2 and F3 only. Comparison of NAFLD miRNA profiles with NAFL as baseline 192 193 We next conducted an analysis amongst NAFLD cases only, excluding population controls. 194 Using NAFL as the baseline, we initially looked for serum miRNA changes in NASH cases 195 generally; four miRNAs showed increased levels with no miRNAs decreased (Table 2), The miRNA showing the largest increase was miR-193a-5p (FC 1.34,  $p = 3.70 \times 10^{-03}$ ). We 196 197 subsequently characterised the miRNA profiles of NASH cases with particular stages of 198 fibrosis (F0-F4) against NAFL (Table 3). The miRNA profile for NASH-F0/F1 was similar to 199 that for NAFL; thereafter, progressive changes in miRNA levels were seen for cases with 200 NASH-F2, NASH-F3 and NASH-F4 (cirrhosis) suggesting that it was the stage of fibrosis 201 rather than grade of steatohepatitic activity that was driving the association. All changes seen 202 in F3 and F4 were increases in miRNA levels but for F2, both increases and decreases in 203 levels was detected. MiR-193a-5p showed the most significant change with a progressive 204 increase in levels from F2 (FC 1.32) via F3 (FC 1.65) to F4 (FC 1.84) (Table 3). These 205 increases in miR-193a-5p levels with increasing fibrosis score were also in line with those 206 seen for the NAFLD cases compared with population controls (Supplementary Table 2). 207 To assess the potential for distinguishing between mild and more clinically significant

To assess the potential for distinguishing between mild and more clinically significant disease, we undertook subgroup comparisons of miRNA profile in relation to disease activity and fibrosis stage. Three miRNAs showed increased levels in clinically significant fibrosis compared with minimal disease (Table 2); the most statistically significant of these was miR-193a-5p (FC 1.35,  $p = 1.79 \times 10^{-03}$ ). When the grade of steatohepatitis was considered using

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212 NAS and SAF activity, seven and four miRNAs respectively showed altered levels, with all 213 but one of these changes an increase with increasing disease severity (Table 2). MiR-193a-5p again showed the most significant increase (NAS FC = 1.36,  $p = 2.61 \times 10^{-04}$ ; SAF activity FC 214  $= 1.35, p = 2.70 \times 10^{-02}$ ). 215 216 In light of its association with disease severity, we evaluated miR-193a-5p as a potential 217 circulating biomarker of disease stage and activity by assessing its diagnostic performance in 218 the sequencing dataset using AUROC statistics. AUROCs were also calculated and plotted 219 for miR-122-5p as well as simple composite scores (the aspartate aminotransferase/alanine 220 aminotransferase (AST/ALT) ratio (25, 26) and the FIB-4 score (27)). Relevant curves and 221 values are detailed in Supplementary Figure 2. The AUROC for miR-193a-5p was 0.92 for 222 discriminating NAFLD from population controls, while the values for differentiating mild 223 disease from significant fibrosis (F0-1 vs. F2-4), high NAS or high SAF-Activity were 0.68, 224 0.73 and 0.71 respectively. MiR-193a-5p significantly outperformed AST/ALT ratio when discriminating between mild vs. significant fibrosis (p = 0.04), high NAS ( $p = 6.00 \times 10^{-05}$ ) 225 226 and high SAF-Activity (p = 0.03). It was also more discriminating than FIB-4 as an indicator of high NAS ( $p = 3.40 \times 10^{-03}$ ) and exhibited comparable performance to FIB4 for fibrosis. 227 228 The discriminatory ability of miR-193a-5p was better than miR-122-5p for fibrosis (p =0.019), NAS (p = 0.012) and SAF activity ( $p = 1.53 \times 10^{-03}$ ). 229 230 Replication of miR193a-5, together with previously reported miRNAs, as predictors of 231 NAFLD progression using quantitative PCR in an independent cohort of samples 232 We sought to replicate selected findings from our sequencing studies relating to miR-193-5p 233 and miR-3687 and additionally quantify serum levels of two miRNAs (miR-122-5p and miR-234 34a-5p) that have previously been reported as relevant to NAFLD, (16-19) using the 235 independent replication cohort of 372 NAFLD cases, Healthy control samples (n=15) were 236 also included. Limited volumes of serum and inability to analyse using the Advanced miRNA 237 kit prevented replication of other interesting miRNA signals. Consistent with the results of 238 our sequencing analysis, miR-193a-5p showed significantly higher levels in NAFLD cases with greater disease severity (i.e. more significant fibrosis and high NAS/SAF activity) 239 240 (Figure 2). However, the differences observed in miR-3687 levels did not vary significantly with grade or stage of disease in the replication cohort (Figure 2). Levels of miR-34a-5p were 241

also significantly increased with a higher grade of NAFLD activity (by both NAS and SAF

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243	activity) and with more significant fibrosis (Supplementary Figure 3). In contrast, miR-122-
244	5p levels did not show significance by fibrosis stage but levels increased significantly in
245	higher grades of NAFLD activity by both NAS and SAF activity (Supplementary Figure 3).
246	Correlation of miR-193a-5p target gene predictions with differential hepatic gene
247	expression measured by RNA-seq in NAFLD
248	In view of the significant increase in serum miR-193a-5p levels seen as disease activity
249	increased and its strong discriminatory ability, we sought to investigate the functional
250	significance of miR-193a-5p in disease progression. Bioinformatic analysis using
251	TargetScan(27) and miRDB(28) predicted that 204 and 327 genes, respectively, were targets
252	of miR-193a-5p, with an overlap of 78 genes between both prediction sets. To better examine
253	the transcriptional correlates of miR-193a-5p, we reanalysed our recently reported hepatic
254	transcriptomics dataset from 206 histologically-characterised NAFLD cases to identify genes
255	differentially expressed between mild and severe steatohepatitis and/or fibrosis.(29) We then
256	projected the list of 78 a priori predicted miR-193a-5p targets onto these data. The resulting
257	differentially expressed genes for each comparison are detailed in Figure 3. Nine predicted
258	gene targets of miR-193a-5p were significantly differentially expressed in the liver of patients
259	with greater steatohepatitic activity by NAS (COL1A1, CRYBG3, GPX8, OLA1, RAP2A,
260	SLC7A1, XK, ANKS1A, IGF2), three by SAF activity (COL1A1, GPX8, IFFO2), and 11 gene
261	targets were differentially expressed in the liver of patients with significant fibrosis
262	(COL1A1, GPX8, IFFO2, NETO2, PCDHA12, SLC7A1, ZNF827, GOLGA6A, ITSN1,
263	KCNH1, KMT2A). Additionally, the correlation between serum miR-193a-5p and levels in
264	the liver of the two genes that were differentially expressed in all three comparisons,
265	COL1A1 and GPX8, was investigated in a subgroup of 80 samples for which both serum
266	miRNA-seq and liver RNA-seq data were available (Figure 3). A significant positive
267	correlation between miR-193a-5p and $GPX8$ was observed (slope = 0.35, $p = 0.021$ ) and
268	there was a similar trend with $COL1A1$ (slope = 0.29, $p = 0.074$ ). Moreover, single cell RNA-
269	seq data extracted from the Liver Cell Atlas gene browser,(30) showed that both GPX8 and
270	COL1A1 followed a similar pattern of increased expression in cirrhotic compared to
271	uninjured tissue in the mesenchyme (Supplementary Figure 4)

## Quantification of miR-193a-5p expression in a hepatoma cell line

273 We utilised data from the GTEx Portal to visualise the tissue-specific expression of miR-274 193a-5p, confirming that – in addition to the renal medulla, omentum and subcutaneous 275 adipose tissue, mammary tissue and cultured fibroblasts – miR-193a-5p was expressed in the 276 liver (Supplementary Figure 5). Considering this evidence, we characterised the expression of 277 miR-193a-5p in vitro in a model system of human hepatocytes in the context of lipid 278 accumulation as seen in NAFLD (Figure 4). Hep G2 cells were loaded with fatty acids and 279 miR-193a-5p expression quantified by qPCR. After a 24-hour treatment with lipotoxic 280 palmitic acid, miR-193a-5p expression was decreased significantly relative to the control 281 condition (p = 0.009). A less lipotoxic but more steatogenic lipid, oleic acid,(31) had a 282 negligible effect relative to the control condition, and, also in line with a previous report, (31) there was no effect when the two lipids were combined. 283

#### **Discussion**

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An unbiased profile of circulating miRNAs in NAFLD patients and controls has been generated using high-throughput sequencing techniques. While other studies have identified some changes in serum miRNA levels in NAFLD, (17, 32, 33) our study is the largest of its kind – in terms of sample number and number of miRNAs analysed – spanning the full spectrum of the disease including cirrhosis. Although targeting only known miRNAs and unable to detect novel miRNAs, within these limitations we conducted a comprehensive and unbiased profile of miRNAs in NAFLD. Our sequencing approach revealed hundreds of miRNAs showing different levels at every NAFLD fibrosis stage relative to the controls, suggesting that these levels might correlate with, and therefore potentially predict, disease progression. We also saw numerous significant differences in miRNA levels within the entire NAFLD group compared with controls. Altered serum miRNA levels could be a useful diagnostic tool for NAFLD generally but identifying such changes was not an aim of this study. By combining the NAFLD sequencing data with an independent qPCR replication, we have shown consistently that serum levels of miR-193a-5p can distinguish between mild and advanced disease activity and fibrosis. Our finding for miR-193a-5p is in line with a recent report that performed miRNA sequencing of plasma samples from NAFLD cases but

focussed on predominantly mild steatosis and fibrosis.(33) We also saw increased levels of

303 miR-122-5p, which was generally in line with previous reports, (16, 17, 34) apart from a 304 failure to detect significant differences with increasing fibrosis severity. This suggests that 305 miR-193a-5p is a more sensitive biomarker of NAFLD progression than miR-122-5p. In 306 addition to miR-193a-5p and miR-122-5p, we detected several other miRNAs that show 307 differences in levels as NAFLD progresses, though the effect was not as significant as for 308 miR-193a-5p and overall levels of these miRNAs were lower. These additional significant 309 miRNAs included miR-378d and miR-378e which both increased in NASH and advanced 310 fibrosis. This finding is in line with the recent sequencing study by others (33) who, while 311 reporting that these two miRNAs were present at higher levels in plasma from NAFLD cases 312 compared with controls and that they showed a significant relationship with extent of fibrosis, 313 also found lower levels in plasma compared with miR-193a-5p, both in NAFLD cases and 314 controls. We also saw significantly raised levels of miR-4484 in NASH compared with 315 NAFL. The previous sequencing study reported levels of this miRNA increased in severe 316 steatosis but did not include data on inflammation.(33) Our sequencing analysis did not detect 317 changes in levels of miR-34a, another previously reported miRNA increased in NAFLD,(19) 318 but we were able to confirm previous data for this relatively low abundance miRNA as a 319 significant marker for NAFLD progression by use of a highly sensitive qPCR assay. 320 Indicating its potential usefulness as a biomarker, serum miR-193a-5p achieved high 321 AUROC values in our study. There is increasing evidence that at least a proportion of 322 circulating miRNAs is encapsulated within extracellular vesicles in NAFLD.(35) The 323 sequencing approach we used detects all miRNAs within serum without distinguishing 324 between those present in vesicles and those circulating outside vesicles which are possibly 325 protein-bound. 326 There is evidence from other studies that levels of miR-193a-5p are decreased in liver tissue 327 in fibrosis (36) and in hepatocellular carcinoma, (37) and that hepatic miR-193a-5p levels 328 exhibit an inverse correlation with serum levels (36) similar to that reported for other 329 miRNAs including miR-122-5p.(17, 38) This would also be broadly consistent with our 330 observations in Hep G2 cells where proapoptotic palmitic acid (31) decreases miR-193a 331 levels but steatogenic oleic acid, either alone or in combination with palmitic acid, has no 332 significant effect. The miR-193a target genes found to show altered expression during 333 NAFLD in our transcriptomic analysis all increased in expression which is typical of genes 334 regulated by miRNA with a decreased miRNA level facilitating increased mRNA levels. In a 335 previous study, miR-193a-5p has been shown to act within a network of miRNAs to modify

336 the TGF-β-dependent regulation of extracellular matrix-related genes in hepatic fibrosis.(36) 337 It is likely, therefore, that miR-193a-5p in NAFLD will exert its effects on target genes as 338 part of a large interaction network over a longer time course rather than, for example, as an 339 immediate response to an acute stress. One of the other miRNAs that showed increased 340 serum levels in severe fibrosis, miR-378d, has also been demonstrated to show decreased 341 expression during fibrosis in an animal model.(39) 342 Significantly differentially expressed predicted gene targets of miR-193a-5p in our 343 transcriptomics data include RAP2A, IGF2 and SLC7A1, which have all been associated with 344 hepatic function, liver disease and/or steatosis.(40-42) Most notably, we found COL1A1 and 345 GPX8 showed increased expression by all three comparisons. A separate comparison using 346 single cell RNA-seq data also showing increased expression in cirrhotic cells compared to 347 uninjured mesenchyme cells.(30) COL1A1 encodes an extracellular matrix component that is 348 extensively deposited in the development of scarring and fibrosis. (43) Accordingly, it is a 349 highly biologically plausible and relevant target of miR193a-5p and has previously been 350 identified as part of a transcriptomic gene signature associated with advanced NAFLD.(29) 351 MiR-193a-5p has also been shown to interact with *COL1A1* in colorectal cancer cells and is 352 known to be involved in extracellular matrix deposition. (36, 44) It is therefore plausible that 353 secretion of miR-193a-5p by hepatocytes permits an increase in *COLIA1* expression in 354 stellate cells and thus contributes to hepatic fibrosis as proposed by others. (45) 355 GPX8 is an endoplasmic reticulum(ER)-resident member of the glutathione peroxidase 356 family that functions to protect cells from oxidative damage; (46, 47) oxidative damage may 357 in turn lead to ER stress and is associated with metabolically-driven NAFLD pathologies.(48) 358 Roy et al. have previously described the expression of miR-193a-5p in the liver and its role 359 as a member of a network of miRNAs modifying the TGF-beta-dependent regulation of 360 extracellular matrix-related genes expression in hepatic stellate cells to modify the balance 361 between deposition and resorption of liver fibrosis. (36) Recently, it has been demonstrated 362 that GPX8 expression increases during epithelial to mesenchymal transition in several cell 363 lines with evidence that increased GPX8 affects IL-6/JAK-STAT3 signalling via the soluble 364 IL6 receptor.(49) Given that hepatic miR-193a-5p expression is known to exhibit an inverse correlation with serum levels (36), we therefore postulate that the increase in serum miR-365 366 193a-5p we observed in patients with advanced NAFLD is indicative of increased hepatocyte 367 export. This could induce increased GPX8 expression in other hepatic cells as they respond to

368 oxidative stress and undergo further transitions during NAFLD progression. Indeed, we 369 observed a significant positive correlation between serum miR-193a-5p and hepatic GPX8. 370 Nonetheless, further investigations are required to identify the specific cells in which GPX8 371 acts, as low hepatocyte expression in the single cell RNA-seq dataset suggests that it may 372 exert its effects elsewhere in the liver.(30) 373 Published reports on miRNAs in NAFLD are seldom in complete agreement, (50) and as 374 such, one should be mindful of pertinent limitations. Discordance between studies could be 375 due to sampling and interpretation errors, and so the histological classification of patients' 376 disease might be inconsistent from the outset. Despite being a component of an in vitro 377 diagnostic test for NASH,(19) our sequencing analysis filtered out miR-34a-5p due to low 378 levels, yet subsequent qPCR was in fact able to detect it. Conversely, the strongest signal in 379 NAFLD relative to controls, miR-3687, was not confirmed by qPCR. These examples 380 highlight that sequencing should always be replicated independently using a different 381 methodology to test the strength of the original dataset. This approach combined with use of a 382 replication cohort enabled us to confirm the novel miR-193a-5p signal. However, the qPCR 383 approach also has limitations and we were unable to replicate all the associations found by 384 sequencing due to limited serum availability. A higher throughput approach for replication, 385 possibly using Nanostring or a custom microarray would be helpful if a further replication 386 cohort was available. Further studies on the functional significance of miR-193a-5p, 387 especially direct confirmation of effects on specific target genes, and the identification of 388 cells and possible extrahepatic tissues in which these interactions and downstream effects 389 occur are also still needed. 390 Overall, we have obtained an unbiased global profile of circulating miRNAs in NAFLD and 391 our study has identified a number of miRNAs that display changes in levels during disease 392 progression. In particular, the increase in circulating miR-193a-5p was replicated 393 independently, and we showed that several functionally relevant gene targets of this miRNA 394 were differentially expressed in liver tissue. We have also further investigated the reported 395 association of miR-122-5p and miR-34a-5p with NAFLD finding that miR-122-5p was not a 396 significant predictor of fibrosis progression but confirming that miR-34a-5p is a sensitive 397 though difficult to detect marker. MiR-193a-5p had a superior ability to discriminate between 398 mild and advanced NASH compared with AST/ALT and FIB-4 and could have value as an 399 additional biomarker for progression, possibly in combination with other biologically

- 400 relevant indices. The current study demonstrates how global miRNA profiling may provide
- both new insights into disease pathophysiology and identify promising new biomarkers of
- liver disease.

403	List of abbreviations
404	NAFL: non-alcoholic fatty liver
405	NASH: non-alcoholic steatohepatitis
406	NAS: NAFLD activity score
407	SAF activity: activity component of the "steatosis, activity and fibrosis" score
408	miRNA: micro RNA
409	LogFC: log <sub>2</sub> fold-change
410	AUROC: area under the receiver operating characteristic
411	cDNA: complementary DNA
412	qPCR: quantitative polymerase chain reaction
413	AST/ALT: aspartate aminotransferase/alanine aminotransferase

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Table 1. Clinical data for the NAFLD serum samples used in sequencing and quantitative PCR replication

	Sequencing cohort	Replication cohort	p value
	(n=183)	(n=372)	
Patient demographic and clinica	al characteristics		
Sex (% female)	40%	41%	0.79
Age in years (Median (IQR))	54 (44-60)	56 (47-64)	0.007
BMI (Median (IQR))	31.6 (27.7-36.1)	31.5 (28.4-36.5)	0.46
AST in IU/L (Median (IQR))	37 (27-52)	42 (30-60)	0.014
ALT in IU/L (Median (IQR))	53 (36-79)	57 (38-84)	ns
Histological characteristics			
Fibrosis grade			< 0.0001
F0	59 (32%)	43 (12%)	
F1	21 (11%)	127 (34%)	
F2	47 (26%)	66 (18%)	
F3	38 (21%)	92 (25%)	
F4	18 (10%)	44 (12%)	
NASH			
Yes	128 (70%)	315 (85%)	< 0.0001
No	55 (30%)	57 (15%)	
NAS score >4			0.93
Yes	83 (45%)	171 (46%)	
No	100 (55%)	201 (54%)	
SAF activity score >2			0.52
Yes	67 (37%)	147 (40%)	
No	116 (63%)	225 (60%)	

p-values for continuous or categorical datasets were estimated by Mann–Whitney and Chi Square/Fisher's tests respectively

Table 2. Differences in miRNA levels detected in the NAFLD-only sequencing analysis

NASH grouped relative to NAFL

miRNA	LogFC	LogFC SE	Adj.p.val.†
miR-193a-5p	0.49	0.11	3.70E-03
•			
miR-378d	0.37	0.09	4.97E-03
miR-378e	0.35	0.09	1.01E-02
miR-4484	0.35	0.09	2.05E-02

NASH with significant fibrosis (F2-F4) relative to NAFL/NASH with minimal fibrosis (F0/F1)

miRNA	LogFC	LogFC SE	Adj.p.val. <sup>†</sup>
miR-193a-5p	0.43	0.10	1.79E-03
miR-378e	0.34	0.07	1.79E-03
miR-378d	0.33	0.07	1.85E-03

High NAS (NAS 5-8) relative to low NAS (NAS 1-4)

miRNA	LogFC	LogFC SE	Adj.p.val.†
miR-193a-5p	0.44	0.09	2.61E-04
miR-378d	0.29	0.07	7.14E-03
miR-320d	0.32	0.09	2.39E-02
miR-378e	0.26	0.07	2.39E-02
miR-320b	0.32	0.09	2.89E-02
miR-320c	0.32	0.09	2.89E-02
miR-320e	0.29	0.08	2.89E-02

High SAF-Activity (SAF Activity 3-4) relative to low SAF-Activity (SAF Activity 0-2)

miRNA	RNA LogFC LogFC SE		Adj.p.val.†	
miR-193a-5p	0.43	0.11	2.70E-02	
miR-378d	0.34	0.09	2.70E-02	

miR-378e	0.34	0.09	2.70E-02
miR-19b-3p	-0.74	0.20	2.73E-02

 $LogFC, log_2 \ fold\text{-change}; logFC \ SE, log_2 \ fold\text{-change} \ standard \ error, \ adj.p.val., \ adjusted \ p \ value.$ 

 $<sup>^{\</sup>dagger}$  Adjusted p value significance threshold  $\leq\!0.05.$ 

Table 3. miRNAs showing significantly different levels in NASH grouped by fibrosis stage

	F2			F3			F4	
miRNA	logFC	adj p val	miRNA	logFC	adj p val	miRNA	logFC	adj p val
miR-193a-5p	0.41	4.77E-02	miR-193a-5p	0.72	2.00E-04	miR-193a-5p	0.88	2.32E-03
miR-19b-3p	-0.79	4.77E-02	miR-378d	0.48	4.97E-03	miR-4484	0.63	1.44E-02
miR-3135a	-0.46	4.77E-02	miR-378e	0.43	1.32E-02	miR-378g	0.51	1.79E-02
miR-378d	0.35	4.77E-02	miR-4484	0.45	1.32E-02	miR-6873-3p	-1.24	3.08E-02
miR-378e	0.35	4.77E-02	miR-378a-3p	0.38	1.50E-02			
miR-4534	-0.46	4.77E-02	miR-6780a-5p	0.82	2.59E-02			
miR-649	-0.59	4.77E-02						
miR-670-3p	0.37	4.77E-02						
miR-6769a-5p	0.22	4.77E-02						
miR-6852-5p	0.27	4.77E-02						
miR-25-3p	-0.61	4.83E-02						
miR-330-3p	0.25	4.83E-02						
miR-548ay-5p	-0.51	4.83E-02						
miR-548d-5p	-0.63	4.83E-02						

MiRNA levels were assessed by sequencing and are shown relative to NAFL. Adjusted p value threshold  $\leq$ 0.05. F0/F1 showed no significant differences to NAFL

## Figure legends

**Figure 1. Principal component analysis plot for the sequencing data.** Quality controlled, filtered and batch corrected miRNA sequencing data within the plot were coloured according to histological group to aid the identification of clustering by either the first or second principal component.

**Figure 2. Replication by quantitative PCR (qPCR) of miR-193a-5p and miR-3687 associations.** Levels of miR-193a-5p are shown for (**A**) significant fibrosis (NASH F2-F4) relative to minimal fibrosis (NAFL-NASH F0/F1) (n = 359), (**B**) advanced NAS (NAS 5-8) relative to mild NAS (NAS 0-4) (n = 359), and (**C**) advanced SAF activity (SAF activity 3-4) relative to mild SAF activity (SAF activity 0-2) (n = 359). Levels of miR-3687 are shown for (**D**) significant fibrosis (NASH F2-F4) relative to minimal fibrosis (NAFL-NASH F0/F1) (n = 371), (**E**) advanced NAS (NAS 5-8) relative to mild NAS (NAS 0-4) (n = 371), and (**F**) advanced SAF activity (SAF activity 3-4) relative to mild SAF activity (SAF activity 0-2) (n = 371).  $2^{-\Delta\Delta Ct}$  was calculated relative to controls for all samples. Median values are shown with 95% confidence intervals. Mann-Whitney U tests were performed for all comparisons.

**Figure 3. Differentially expressed predicted target genes of miR-193a-5p in liver RNA-seq.** (**A**) RNA-seq data from liver tissue were analysed for three comparisons: advanced NAS (NAS 5-8) relative to mild NAS (NAS 1-4), advanced SAF activity (SAF activity 3-4) relative to mild SAF activity (SAF activity 0-2), and significant fibrosis (NASH F2-F4) relative to minimal fibrosis (NAFL-NASH F0/F1). The genes that overlapped with those predicted by *in silico* tools to be targets of miR-193a-5p are shown in the heatmap. Hierarchical clustering is based on levels of fold change in gene expression in the liver tissue. Statistical significance in the RNA-seq data was determined using a Benjamini-Hochberg adjusted p value  $\leq 0.05$ : \*  $p \leq 0.05$ , \*\*  $p \leq 0.01$ , \*\*\*  $p \leq 0.001$ . (B) and (C) Linear models of 80 overlapping NAFLD samples between the miRNA-seq and RNA-seq datasets for miR-193a-5p and (**B**) GPX8, and (**C**) COL1A1.

Figure 4. *In vitro* functional assessment of miR-193a-5p expression in Hep G2 cells. Hep G2 cells were treated with fatty acids (oleic acid (500 uM), palmitic acid (250 uM) or a combination of oleic (500 uM) and palmitic acid (250 uM)) for 24 hours. All treatments

were performed in triplicate and qPCR reactions of each were performed in triplicate. Data were normalised to the control condition (untreated) using the  $2^{-\Delta Ct}$  method. An unpaired Student's *t*-test was performed for all conditions relative to the control conditions (\*\* p  $\leq 0.01$ ). Data are presented as the mean with error bars representing the standard error of the mean.