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1 **Crying and Feeding Problems** in Infancy and Cognitive Outcome in Preschool
2 **Children Born at Risk: A Prospective Population Study**

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4 **Running head:** **Crying and Feeding Problems** in Infancy and Cognitive Outcome

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25 **ABSTRACT**

26

27 *Objective:* To investigate whether regulatory problems, i.e., crying and feeding problems in
28 infants > 3 months of age, predict cognitive outcome in preschool children born at risk even
29 when controlled for confounding factors.

30 *Methods:* A prospective longitudinal study of children born in a geographically defined area in
31 Germany. N = 4427 children of 6705 eligible survivors (66%) participated at all four
32 assessment points (neonatal, 5, 20, and 56 months of age). Excessive crying and feeding
33 problems were measured at 5 months. Mental development was assessed with the Griffiths
34 Scale at 20 months, and cognitive assessments were conducted at 56 months. Neonatal
35 complications, neurological, and psychosocial factors were controlled as confounders in
36 structural equation modeling and analyses of variance.

37 *Results:* One in five infants suffered from single crying or feeding problems, and 2% had
38 multiple regulatory problems, i.e., combined crying and feeding problems at 5 months. In
39 girls, regulatory problems were directly predictive of lower cognition at 56 months, even when
40 controlled for confounders, whereas in boys, the influence on cognition at 56 months was
41 mediated by low mental development at 20 months. Both in boys and girls, shortened
42 gestational age, neonatal neurological complications, and poor parent-infant relationship
43 were predictive of regulatory problems at 5 months and lower cognition at 56 months.

44 *Conclusion:* Regulatory problems in infancy have a small but significant adverse effect on
45 cognitive development.

46

47 **Index terms:** infant crying and feeding problems, preschool cognition, prospective
48 population study, predictors

49 There is increased recognition for the need of epidemiological studies of infant and toddler
50 behavioral problems and their consequences.¹ However, a lack of consistent or standardized
51 definition for disorders in the infancy and toddler years has hampered progress.^{2,3} Current
52 diagnostic classification schemes such as the ICD-10 and the DSM-IV only cover selected
53 symptoms or problems of infants and toddlers, e.g., ‘feeding disorder’ (F98.2) in the ICD-10
54 or ‘disorder of rumination’ (307.53) and ‘feeding disorder during infancy and toddlerhood’
55 (307.59) in the DSM-IV, whereas other difficulties leading to frequent consultations with
56 health professionals^{4,5} such as persistent crying are not included or specified at all.⁶⁻⁸

57 One area of behavioral problems in infancy that has received increased attention are
58 regulatory problems. These describe infants and toddlers with difficulties in regulating
59 behavior in diverse areas such as sleeping, feeding, state control, self-calming, and mood
60 regulation.⁹ The Zero to Three organization (DC 0-3R) suggests three subtypes of regulatory
61 problems, namely hypersensitive, hyposensitive, and sensory-stimulating/impulsive type.¹⁰
62 However, a recent evaluation found that children diagnosed with the DC 0-3 classification for
63 regulatory problems fell in a range of categories of other diagnostic schemes, indicating that
64 it may be too wide ranging.² The German Child and Adolescent Psychiatric Association
65 proposed in their diagnostic guidelines that excessive crying, feeding, and sleeping problems
66 are the core symptoms of regulatory problems in the first year of life.^{11,12}

67 The prevalence rates for regulatory problems during infancy and early childhood vary and
68 are partly dependent on the underlying definition.^{13,14} Persistent excessive crying (i.e.,
69 beyond the colic period or > 3 months of age) has been reported in 5-10% of infants,¹⁵⁻¹⁷
70 while the prevalence of sleeping problems in the first years of life varies between 10% and
71 46%.¹⁸⁻²⁶ Feeding and eating difficulties are found in 3-10% when strict clinical criteria are
72 applied and up to 41% in parent report studies.^{2,27-34} The prevalence of multiple regulatory
73 problems, i.e., two or three single regulatory problems occurring together, has been found in
74 the range of 2-7% in the general population of infants and toddlers.^{2,18,35,36}

75 While crying/fussing and/or sleeping problems are usually transient in early infancy,^{18,37}
76 there is increasing evidence that persistent excessive crying beyond the colic period (i.e., > 3

77 months of age) is predictive of increased attention-hyperactivity problems, lower fine motor
78 function, and poorer educational, language, or cognitive outcome.^{35,38} Up to 80% of children
79 with persistent crying problems referred for treatment had also either sleeping or feeding
80 problems or both.^{39,40} Thus, the presence of multiple regulatory problems rather than the
81 individual regulation difficulties may increase the risk for delays in motor, language, and
82 cognitive development.⁴¹

83 Previous studies of the long-term outcome of regulatory problems have limitations. They
84 were either based on referred samples,³⁸ were small in sample size,^{35,42} or included only a
85 limited range of possible confounder variables.⁴¹ Furthermore, there is a continuous debate
86 whether regulatory problems in infancy are causal precursors of adverse outcomes, an
87 indicator of delayed maturation, or the result of neurodevelopmental problems,³⁵ an indicator
88 of general family adversity or of poor parenting,^{2,42} or due to the accumulation of risk.

89 A conceptual model concerning regulatory problems and cognitive development would
90 have to consider a range of factors. In terms of regulatory problems and subsequent adverse
91 cognitive outcome, there are mostly preliminary results as already mentioned above. In
92 addition, neonatal neurological problems, shortened gestational age, and a poor parent-
93 infant relationship may be predictors of infant regulatory problems.^{38,43,44} Furthermore, gender
94 differences have been found with respect to self-regulatory competencies in newborns,^{45,46}
95 and infant regulatory problems.^{9,26,30,47,48} One study could show, that male newborns had
96 significantly lower levels of self-regulation compared to female, and low levels of infant self-
97 regulation were correlated with lower mental development at 2 years of age.⁴⁵ In contrast,
98 concerning the cognitive development, some factors have repeatedly shown as predictors.
99 The socioeconomic status is the most frequently reported major influence.^{49,50-53} Additionally,
100 there is evidence that breastfeeding, gestational age, neonatal neurological problems, growth
101 of head circumference, and the parent-infant relationship are associated with the cognitive
102 development in childhood.^{49,54-61}

103 The present prospective study investigated a whole geographically defined population
104 sample of neonatal at risk infants. We addressed the question whether infant regulatory

105 problems, i.e., persistent excessive crying and/or feeding problems are predictive of cognitive
106 outcome in preschool children³⁵ even when controlled for a range of neurological,
107 psychosocial, and parenting factors. And in addition, we focused especially on gender
108 differences.

109

110 **METHODS**

111 **Sample**

112 This epidemiological cohort sample is part of the Bavarian Longitudinal Study (BLS)^{62,63}
113 and consists of all infants born at risk in a geographically defined area in Southern Bavaria
114 (Germany) during a 15-month period in 1985-1986 who were admitted to one of 16 children's
115 hospitals within 10 days after birth (n = 7505 out of N = 70 600 life births, 10.6% of all life
116 births, see Figure 1). No outpatients were included in the study. The overall aim of the BLS
117 was to make a contribution to the prevention of developmental disorders, e.g., cerebral palsy,
118 epilepsy, visual and hearing defects, mental retardation, and behavior problems. At that time
119 all newborns who experienced birth complications, caesarean section, low APGAR scores,
120 neonatal complications (e.g., neonatal jaundice), or were born preterm were admitted to a
121 children's hospital neonatal unit. The treatments ranged from observation of the neonates to
122 intensive neonatal care. The average stay was 13.1 ± 21.0 days compared to a 5 to 7 day
123 stay in the obstetric unit for normal postnatal care. Parents were approached within 48 hours
124 of the infant's hospital admission, the study aims were explained, and the parents were
125 asked to give written informed consent to participate. Ethical approval was obtained from the
126 University of Munich Children's Hospital. Figure A1 (Appendix) shows further details of the
127 study protocol.

128 This report includes all children who had participated at all four measurement points, i.e.,
129 neonatal, 5, 20, and 56 months of age (n = 4427, 66.0% of n = 6705 eligible survivors).
130 Figure 1 shows the frequencies of participants, dropouts (=children with missing data at one
131 or more assessment points), and of those who had died within the course of the study or
132 failed to provide informed consent.

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Insert Figure 1 about here.

Those with missing data (dropouts) came more often from single parent families, were of lower socioeconomic status (SES), or were not born to German parents compared to participants. In addition, dropouts lived more often in cities, and mothers and fathers were slightly younger than participants' parents. Participants were more likely to be born by means of Caesarean section, and their gestational age was slightly lower. Moreover, they had experienced more neonatal problems (INTI score), and their head circumference (HC) was smaller compared to dropouts. There were no differences between participants and dropouts in the prevalence of regulatory problems at 5 months (see Table 1).

Insert Table 1 about here.

Measures

Regulatory Problems (5 Months)

As part of a neurodevelopmental assessment, parents received a standard interview by study pediatricians. Crying and feeding behaviors and problems with these behaviors at 5 months of age were recorded in a standard format. The definitions of crying and feeding problems are shown in Table 2. Crying problems were diagnosed when at least one of the four criteria was fulfilled. For feeding problems at least one of the three symptoms had to be present.

Sleeping problems at 5 months of age were assessed³⁶ but not considered for the regulatory problem score as sleeping problems should not be diagnosed in infants younger than 6 months of age.¹¹

Insert Table 2 about here.

161 Outcome Measures (20 and 56 Months)

162 At 20 months of age the mental development was evaluated using the *Griffiths Scale*^{64,65}
163 which assesses the following five dimensions: locomotor development, personal-social
164 development, hearing and speech, hand and eye coordination, and performance. A total
165 developmental quotient (DQ) across the five domains was computed according to the
166 German norms:⁶⁴ $DQ = (\text{developmental age score} / \text{age at assessment}) \times 100$. The Griffiths
167 Scale is widely used in Europe,⁶⁶ and both reliability and validity have been demonstrated.⁶⁷⁻
168 ⁶⁹

169 At 56 months, the cognitive development was assessed using the following instruments:
170 the *Columbia Mental Maturity Scale (CMMS)*, the *Active Vocabulary Test (AWST)*, and the
171 Beery-Buktenica Developmental Test of *Visual-Motor Integration (VMI)*. All cognitive
172 assessments were carried out by trained research pediatricians.

173 The *Columbia Mental Maturity Scale (CMMS)* assesses the general reasoning ability of
174 children between the ages of 3 and 10 years.^{70,71} The CMMS consists of eight age-specific
175 levels, each contains between 51 and 65 pictorial and figural classification items. The child
176 has to select from a series of drawings the one drawing that is out of place. The CMMS is
177 computed as a deviation score (Mean = 100, standard deviation = 15). The reliability for the
178 CMMS is high,⁶² and it has been shown to be a valid assessment of non-verbal IQ.⁷²⁻⁷⁴

179 The *Active Vocabulary Test (AWST)* evaluates the expressive vocabulary of preschool
180 children.⁷⁵ It was developed for German-speaking countries and is similar to the widely used
181 and valid Peabody Picture Vocabulary Test.^{73,76-78} The AWST consists of 82 drawings, and
182 the child has to name the presented item. Again, a deviation score is computed (Mean = 100,
183 standard deviation = 15). Both high reliability and good concurrent and prognostic validity of
184 the AWST have been reported.⁷⁹

185 The Beery-Buktenica Developmental Test of *Visual-Motor Integration (VMI)* measures the
186 integration of visual and motor abilities. In the VMI short version 15 drawings of geometric
187 forms are arranged in order of increasing difficulty that the child is asked to copy.^{80,81} Each
188 drawing is evaluated using predefined scoring criteria, i.e., task solved versus not solved,

189 and a sum score is computed, ranging from 0 to 15. A higher score indicates better
190 performance. The VMI has been shown to be reliable and a valid measure of visual-motor
191 integration.⁸¹⁻⁸⁵

192 The assessments at 5 and 20 months were carried out corrected for prematurity and the
193 56 months assessment at chronological age.

194

195 Other predictor variables (confounders)

196 *Gestational age* was determined from maternal dates of the last menstrual period and
197 serial ultrasounds during pregnancy. When the estimates from these two methods differed by
198 more than two weeks, Dubowitz examination result was used.⁸⁶

199 *Neonatal neurological problems* were assessed by the method of Casaer and
200 Eggermont.⁸⁷⁻⁸⁹ Daily assessments of (1) care level, (2) respiratory support, (3) feeding
201 dependency, and neurological status, i.e., (4) mobility, (5) muscle tone, and (6) neurological
202 excitability, were carried out from day one after birth. Each of the six variables was scored
203 daily on a 4-point rating scale (0 = normal/good state to 3 = worst state). The intensity of
204 neonatal treatment index (*INTI score*) was computed as the mean of daily ratings during the
205 first 10 days of life or until a stable clinical state was reached sooner. The INTI score could
206 range from 0 to 18 (higher scores indicate more problems).

207 The *socioeconomic status (SES)* was obtained by a standard interview with the infant's
208 parents in the first 10 days of life and computed as a weighted composite score of maternal
209 highest educational qualification, paternal highest educational qualification, and occupation
210 of the head of the family according to Bauer.⁹⁰ The SES scores were recoded into the
211 following three categories:⁹¹ 1 = lower class, 2 = middle class, and 3 = upper class.

212 The *Parent-Infant Relationship Index (PIRI)* was evaluated both by a standard interview
213 with the parents and by study nurses' observations. It consisted of eight items, covering
214 attachment-related parental concerns and feelings, and current or anticipated relationship
215 problems (see Appendix, Table A1).⁶² Seven of the eight items were assessed neonatally,
216 and one item at 5 months of age (Table A1). Items were rated on 3- to 5-point rating scales

217 and dichotomised as 0 (no concern or problem) or 1 (problem as defined by item). The sum
218 score ranged from 0 (good parent-infant relationship) to 8 (poor parent-infant relationship).
219 The reliability and validity for study nurses' observations were assured via standardized
220 training sessions.

221 *Breastfeeding* was assessed at the age of 5 months. The mother was asked about current
222 and/or past breastfeeding. A score was constructed ranging from 0 to 3, i.e., 0 'infant has
223 never been breastfed', 1 'was breastfed in the past', 2 'still partly breastfed', and 3 'still fully
224 breastfed'.

225 *Head circumference (HC)* at 5 months of age was measured by research nurses during
226 follow-up visits using a predefined protocol and standard tapes for head circumference
227 measurement.⁹² HC was measured twice, and the mean score was recorded (in cm).

228

229 **Statistical Analyses**

230 Statistical analyses were conducted with SPSS 11.0⁹³ and AMOS 5.0.⁹⁴ The criteria of
231 normal distribution⁹⁵ were violated in the Parent-Infant Relationship Index and in the Griffiths
232 Scale. The former was logarithmically transformed (as both skewness and kurtosis were
233 positive), and the latter was reflected and then logarithmically transformed (as skewness was
234 negative and kurtosis positive).⁹⁶ High values in the transformed PIRI indicate poor parent-
235 infant relationship, and high values in the transformed Griffiths Scale indicate low mental
236 development.

237 Nonparametric chi-square tests (χ^2) and parametric *t* tests for independent samples were
238 conducted to check for differences between participants and dropouts (see Table 1). In
239 addition, nonparametric chi-square tests (χ^2) were conducted to evaluate gender differences
240 concerning the prevalence of regulatory problems. Frequencies, degrees of freedom (*df*),
241 and significance levels (*p*) are reported.

242 Bivariate correlation analyses (Pearson's) were conducted to evaluate associations
243 between number of regulatory problems and outcome measures at 20 and 56 months of age,
244 respectively. Correlation coefficients (*r*), significance levels (*p*) (two-tailed), and effect sizes

245 according to Cohen⁹⁷ (small effect if $|r| \geq 0.10$; medium effect if $|r| \geq 0.30$; large effect if $|r| \geq$
246 0.50) are reported for the whole sample and separately for boys and girls (see Appendix,
247 Table A2).

248 According to the findings in literature (see introduction) a structural equation model (SEM)
249 was constructed and tested using the maximum likelihood estimation method (see Figure 2).
250 Two latent variables were specified, namely *neonatal problems* (i.e., INTI score and
251 gestational age), and *cognition* (i.e., AWST, CMMS, and VMI) at 56 months. The adequacy
252 of the model was assumed if the Bentler Comparative Fit Index (CFI) and the Bentler-Bonett
253 Normed Fit Index (NFI) were $\geq .90$, and the Root-Mean-Square Error of Approximation
254 (RMSEA) $\leq .08$. In addition, unstandardized path coefficients (B), standard errors (SE),
255 critical ratios (CR), standardized path coefficients (β), and significance levels (p) are reported
256 (Figure 2, Table 3).^{98,99} The effect sizes of the standardized path coefficients can be
257 classified as follows:^{97,100} small effect if $|\beta| \geq 0.10$, medium if $|\beta| \geq 0.30$, and large if $|\beta| \geq 0.50$.

258 In a multigroup analysis we checked whether there were significant differences between the
259 models for boys and girls. The unconstrained model, i.e., the model in which the coefficients
260 are allowed to differ between boys and girls, was compared to more restricted models, i.e.,
261 models with constant parameters for boys and girls (see Appendix, Table A3).^{101,102}

262 Using analyses of variance (ANOVAs), the main effect of regulatory problems (RP: 0 = no
263 regulatory problems at 5 months; 1 = single crying or feeding problem, 2 = multiple, i.e.,
264 crying and feeding problems), the main effect of infant's gender, and the interaction effect of
265 RP \times infant's gender on mental (Griffiths Scale at 20 months) and cognitive development
266 (CMMS, AWST, and VMI at 56 months) were evaluated. All ANOVAs were adjusted for
267 confounders (gestational age, INTI score, PIRI, SES, HC, and breastfeeding). For the main
268 and interaction effects F values, degrees of freedom (df), and significance levels (p) are
269 reported (see Table 4). If the main effect of regulatory problems was significant, post hoc
270 tests (Bonferroni, adjusted for confounders) were conducted, and if the interaction term (RP
271 \times infant's gender) was significant, the post hoc tests were conducted separately for boys and
272 girls. Means (\pm standard deviations), significance levels (p), and effect sizes (Cohen's d) are

273 reported. According to Cohen, the effect is small, if $|d|$ is ≥ 0.2 and < 0.5 , the effect is
274 medium for $|d| \geq 0.5$ and < 0.8 , and large if $|d| \geq 0.8$.⁹⁷

275

276 RESULTS

277 Prevalence of Regulatory Problems at 5 Months of Age

278 About one-fifth of the sample (20.8%) suffered from single or multiple regulatory problems
279 at 5 months of age, namely 6.5% from single crying problems, 12.3% from single feeding
280 problems, and 2.0% from multiple regulatory problems, i.e., both crying and feeding
281 problems.

282 Boys had more often single crying problems compared to girls (boys: 7.2%; girls: 5.6%; χ^2
283 = 12.14; $df = 1$; $p < .001$). There were no gender differences concerning single feeding (boys:
284 11.9%; girls: 12.7%; $\chi^2 = 1.30$; $df = 1$; $p = .26$) or multiple regulatory problems (boys: 2.1%;
285 girls: 1.8%; $\chi^2 = 1.51$; $df = 1$; $p = .22$).

286

287 Correlation Analyses

288 Table A2 shows that the number of regulatory problems at 5 months was significantly
289 correlated with low mental (20 months) and cognitive development (56 months) – both for the
290 whole sample and for the subgroups of boys and girls (see Appendix, Table A2).

291

292 Structural Equation Model (SEM)

293 The fit indices of the conceptual model were acceptable both for the whole sample ($n =$
294 4427) (RMSEA: 0.061; CFI: 0.94; NFI: 0.93) and for the subgroups of boys ($n = 2397$) and
295 girls ($n = 2030$) (RMSEA: boys: 0.063 / girls: 0.060; CFI: 0.93 / 0.94; NFI: 0.93 / 0.93),
296 respectively. For the whole sample 46% of the variance in cognition at 56 months were
297 explained by the model, for the boys 47%, and 45% for the girls. In the multigroup analysis
298 the χ^2 difference test concerning the unconstrained model and more restricted models
299 showed that there are statistically significant differences between boys and girls, except for
300 the measurement weights (factor loadings) (see Appendix, Table A3). Additionally, for the

301 unconstrained model and for model 1 (= model with constant measurement weights across
302 subgroups of boys and girls) the fit indices were good, i.e., both CFI and NFI > 0.90 and
303 RMSEA < 0.08 (Table A3). Thus, model 1 (constant measurement weights) was adopted.

304 The estimated model including standardized path coefficients (β) is shown in Figure 2. In
305 Table 3, unstandardized path coefficients (B), standard errors (SE), critical ratios (CR), and
306 significance levels (p) are reported. In girls, the number of regulatory problems at 5 months
307 was directly predictive of cognition at 56 months ($\beta = -0.05$; $p = .03$; very small effect), but in
308 boys the direct path was not significant ($\beta = -0.01$; $p = .57$). Nevertheless, both in boys and in
309 girls, regulatory problems were predictive of low mental development at 20 months (boys: $\beta =$
310 0.10 ; $p < .001$; small effect; girls: $\beta = 0.05$; $p = .02$; very small effect), and in turn, mental
311 development at 20 months was predictive of cognition at 56 months (for boys and girls: $\beta = -$
312 0.50 ; $p < .001$; large effect). Thus, in boys, the indirect effect of regulatory problems on
313 cognition via mental development at 20 months ($0.10 \times (-0.50) = -0.05$) was similar compared
314 to the direct effect of regulatory problems on cognition in girls (-0.05). The indirect effect in
315 girls was -0.03 ($0.05 \times (-0.50)$).¹⁰³

316 Both in boys and girls, neonatal problems (i.e., neurological problems and short
317 gestational age) and a poor parent-infant relationship were predictive of regulatory problems
318 (see Figure 2 and Table 3).

319

320 ***Insert Figure 2 about here.***

321 ***Insert Table 3 about here.***

322

323 **Effects of Regulatory Problems (RP) on Mental and Cognitive Development (ANOVA)**

324 Table 4 shows that there were significant main effects and an interaction effect (RP \times
325 gender) on the Griffiths Scale (20 months). Thus, the post hoc tests for the Griffiths Scale
326 were conducted separately for boys and girls. In girls, the three groups of regulatory
327 problems did not differ significantly (Griffiths Scale: Group 0: 1.36 ± 0.15 ; Group 1: $1.38 \pm$
328 0.16 ; Group 2: 1.36 ± 0.17), whereas in boys, those with no regulatory problems at 5 months

329 had lower scores on the Griffiths Scale (i.e., higher mental development at 20 months; $1.39 \pm$
330 0.16) compared to boys with single (1.41 ± 0.16 ; $p = .013$; $|d| = 0.16$, very small effect) or
331 multiple (1.50 ± 0.16 ; $p < .001$; $|d| = 0.68$, medium effect) regulatory problems at 5 months,
332 and male infants with multiple regulatory problems had higher scores on the Griffiths Scale
333 (i.e., lower mental development) than those with single regulatory problems at 5 months ($p =$
334 $.004$; $|d| = 0.52$, medium effect).

335 Furthermore, there was an interaction effect (RP \times gender) on the nonverbal IQ score
336 (CMMS, 56 months). Again, the post hoc tests were conducted separately for boys and girls.
337 In girls, there were no significant differences between the three groups of regulatory
338 problems (Group 0: 98.15 ± 18.25 ; Group 1: 95.88 ± 18.35 ; Group 2: 98.54 ± 18.17). In boys,
339 infants with multiple regulatory problems had significantly lower CMMS scores ($84.85 \pm$
340 19.84) compared to those with no regulatory problems (93.47 ± 19.82 ; $p = .012$; $|d| = 0.44$,
341 small effect) or with single regulatory problems (94.65 ± 19.88 ; $p = .005$; $|d| = 0.49$, small
342 effect), respectively.

343 Finally, concerning the AWST and the VMI, there were main effects of regulatory
344 problems and of gender. The post hoc tests for the three groups of regulatory problems
345 showed that infants with no regulatory problems had higher AWST and VMI scores
346 compared to those suffering from single (AWST: $p = .022$; $|d| = 0.11$, very small effect / VMI:
347 $p = .008$; $|d| = 0.13$, very small effect) or multiple (AWST: $p = .017$; $|d| = 0.32$, small effect /
348 VMI: $p = .087$ (only significant trend); $|d| = 0.25$, small effect) regulatory problems at 5
349 months (means \pm SD are reported in Table 4). Infants with single regulatory problems did not
350 differ significantly from those with multiple regulatory problems (AWST: $p = .25$; $|d| = 0.20$,
351 small effect / VMI: $p = .87$; $|d| = 0.13$, very small effect).

352 Besides, the main effects of gender on the Griffiths Scale, the CMMS, the AWST, and the
353 VMI indicated that boys had significantly lower mental and cognitive development scores
354 than girls (more detailed results available on request).

355

356 ***Insert Table 4 about here.***

357

358 **DISCUSSION**

359 In this prospective whole population study with a sample born at risk, we found nearly one
360 in five infants to suffer from a single regulatory problem, and 2% to suffer from combined
361 crying and feeding problems at 5 months. These rates are consistent with those of other
362 studies (e.g.,^{15,33,35}). **Most notably, our results indicate that regulatory problems maintained**
363 **weak but significant effects on mental and cognitive development at 20 and 56 months,** even
364 when controlled for gestational age, neurological problems, parent-infant relationship,
365 socioeconomic status, head circumference, and breastfeeding. This large prospective study
366 supports findings of previous smaller studies: **Regulatory problems make a small but**
367 **significant contribution to the prediction of cognitive development.**^{35,38}

368 Cognitive development from early infancy into childhood is unstable, thus individuals have
369 been found to change unpredictably in their abilities with traditional developmental tests in
370 the first 6 months of life showing little or no prediction to later intelligence quotient (IQ).¹⁰⁴
371 **The unadjusted correlations of regulatory problems with the mental and cognitive measures**
372 **at 20 or 56 months of age (.08 to .14, see Table A2) were small but similar to those found**
373 **between early infancy developmental tests and childhood IQ. A recent evaluation of a new**
374 **generation infant cognitive measure assessing efficiency of habituation also found no direct**
375 **prediction of four-year IQ, but indirect effects on cognitive status.**⁵⁶ Viewed in this context, it
376 **is notable that regulatory problems assessed at 5 months of age were found to relate to**
377 **mental (20 months) and cognitive development (56 months), even after controlled for a range**
378 **of potential confounders.** We found that neonatal problems as measured by gestational age
379 and the intensity of neurological complications were predictive both of regulatory problems
380 and of mental and cognitive development suggesting that early neurological difficulties
381 influence infant behavior regulation and cognition. As shown in previous studies shortened
382 gestational age and neonatal complications can have adverse impact on brain
383 development.^{105,106} Furthermore, shortened gestational age is often associated with maternal
384 stress or anxiety during pregnancy.^{107,108} Stress leads to a dysregulation of the HPA axis, and

385 stress hormones adversely affect the development of the fetal brain and its plasticity,¹⁰⁹
386 inhibit neural genesis in the hippocampus,¹¹⁰ and thus impact cognition. In addition, stress
387 might change the distribution of dopamine levels in the prefrontal cortex,¹¹¹ and both
388 dopamine and the prefrontal cortex are involved in cognitive and self-regulation
389 processes.^{112,113} It has been shown that women who experienced stress and emotional
390 problems during pregnancy were at increased risk for having an excessively crying infant at 3
391 to 6 months of age.^{114,115} However, we did not assess maternal stress during pregnancy, and
392 thus could not replicate these findings. Additionally, the quality of the parent-infant
393 relationship may moderate the adverse effects of prenatal stress on subsequent adverse
394 outcome.¹¹⁶

395 In our study, poor parent-infant relationship was also predictive both of lower cognition^{49,}
396 ⁵⁴⁻⁵⁷ and of infant regulatory problems.⁵⁴ Papousek and Papousek¹¹⁷ proposed that parents
397 use intuitive parenting skills to support the infant's regulation of affective arousal and
398 attention, quality of alert waking states, self-soothing, and transition to sleep. If these intuitive
399 skills are inhibited (i.e., by poor parent-infant relationship),¹¹⁸ parents are less able to
400 compensate for the infant's limited initial self-regulatory competencies. For example, feeding
401 problems reflect relational problems in the social-engagement process.^{118,119} Problems
402 occurring in the feeding interaction may affect social processing, and poor parent-infant
403 relationship may have an adverse impact on cognitive development.^{49, 54-57} However, the
404 effect of the parent-infant relationship on cognition was smaller compared to the effects of
405 mental development, socioeconomic status, head circumference, and neonatal problems,
406 which might indicate that the PIRI is less important than the other variables.

407 As shown in previous studies,^{49,50-53} the socioeconomic status had a major influence on
408 the cognitive development. The mental development at 20 months had the largest effect on
409 cognition at 56 months compared to all other variables in the model implicating that mental
410 development as measured by the Griffiths Scale is a good predictor of preschool cognition.

411 Comparison of path models indicated significant gender differences. In girls, regulatory
412 problems were directly predictive of lower cognition at 56 months, whereas in boys, the

413 influence on cognition at 56 months was mediated by low mental development at 20 months.
414 Our results suggest that sensor-motor experiences are more important for boys than girls.
415 This finding might be explained by differences in androgens which influence the rate of
416 maturation of specific brain regions¹²⁰ or different brain activation and cognitive strategies.¹²¹
417 Moreover, in boys, multiple regulatory problems had a larger adverse impact on the mental
418 development and on the nonverbal IQ than single regulatory problems (small to medium
419 effect sizes), whereas in girls, there were no significant differences between the impact of
420 single and multiple regulatory problems. This effect might be due to the presence of a certain
421 allele of the dopamine receptor gene (DRD4-7r⁺): Becker et al. found that only in boys, the
422 presence of this allele was associated with the occurrence of multiple regulatory problems.¹²²
423 Additionally, this allele seems to be correlated with the prevalence of attention-
424 deficit/hyperactivity disorder in boys during childhood.¹²³ However, genes can be influenced
425 by experiences, e.g., the DRD4-7r⁺ interacts with the quality of parenting concerning the
426 child's impulsivity.¹¹³ As we did not assess the genetic profiles these associations could not
427 be replicated in this study.

428 Finally, we found that girls had higher scores of mental and cognitive development than
429 boys. This is in line with previous results.^{124,45, 125, 126} Preschool boys might be less mature in
430 social interactions, whereas girls might be more readily and willing to do a test.¹²⁷

431 Overall, there are a number of strengths to this study. The dropout rate in our sample was
432 low; 66% of the eligible survivors participated at all four measurement points in time. The
433 study included both social and neurological measures. This allowed testing whether
434 parenting or neurodevelopmental factors rather than regulatory problems are related to later
435 cognitive development. Both were related to regulatory problems, but there were also unique
436 effects of regulatory problems on cognition. By contrast, all infants were admitted to a
437 children's hospital after birth and were thus at increased risk for potential developmental
438 problems. The results might not be generalizable to all infants requiring normal postnatal
439 care. Furthermore, regulatory problems were mainly assessed by maternal responses to a
440 structured interview. The completion of structured diaries¹²⁸ would have been preferred but

441 not realistic in a general population due to the often observed high subject loss in diary
442 studies.¹²⁹

443 This study could show associations between infant regulatory problems, preschool
444 cognition, and possible underlying mechanisms, but could not investigate etiological factors
445 which might lead to regulatory problems and subsequent adverse outcome. The etiology of
446 regulatory problems such as the role of genetic factors or maternal stress during pregnancy
447 (e.g., measured by cortisol level or stressful life events) should be focused in future
448 prospective studies.^{114,122} In addition, the role of moderating and mediating factors, e.g.,
449 family adversity,⁴² concerning adverse outcome should be investigated.

450 Previous and the current findings indicate that there is an association between regulatory
451 disorders and adverse cognitive development. The effects shown here are generally small.⁹⁷
452 Nevertheless, pediatricians should be aware of the stress caused by early regulatory
453 problems and the longer term implications for the parent-child relationship and cognitive
454 development.^{5,35} Furthermore, infants born at risk, i.e., shortened gestational age with
455 neonatal neurological problems or in socially deprived circumstances, may benefit from early
456 interventions.^{130,131}

457

458 **Conclusion**

459 Regulatory problems may contribute to later problems in cognitive development, i.e., they
460 may make it more difficult for infants to accommodate cognitive information, possibly
461 because similar brain regions are involved in self-regulation and cognitive processes.
462 Pediatricians should be aware that regulatory problems may have small adverse effects on
463 cognitive development.

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Figure Legends

FIGURE 1. Flow-chart – Participants and Dropouts.

FIGURE 2. Estimated Model for Boys (n = 2397) / Girls (n = 2030) with Standardized Path Coefficients (Boys / Girls); Measurement Weights Assumed as Constant Across Groups.

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