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# Citation

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Version: Publisher's Version

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# PRIMARY RESEARCH ARTICLE



# Liana optical traits increase tropical forest albedo and reduce ecosystem productivity

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#### **Funding information**

H2020 European Research Council. Grant/Award Number: 637643; Fonds Wetenschappelijk Onderzoek, Grant/ Award Number: 1214720N and G002321N; NSF, Grant/Award Number: 1458021; NASA; USDA; University of Florida

# **Abstract**

Lianas are a key growth form in tropical forests. Their lack of self-supporting tissues and their vertical position on top of the canopy make them strong competitors of resources. A few pioneer studies have shown that liana optical traits differ on average from those of colocated trees. Those trait discrepancies were hypothesized to be responsible for the competitive advantage of lianas over trees. Yet, in the absence of reliable modelling tools, it is impossible to unravel their impact on the forest energy balance, light competition, and on the liana success in Neotropical forests. To bridge this gap, we performed a meta-analysis of the literature to gather all published liana leaf optical spectra, as well as all canopy spectra measured over different levels of liana infestation. We then used a Bayesian data assimilation framework applied to two radiative transfer models (RTMs) covering the leaf and canopy scales to derive tropical tree and liana trait distributions, which finally informed a full dynamic vegetation model. According to the RTMs inversion, lianas grew thinner, more horizontal leaves

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with lower pigment concentrations. Those traits made the lianas very efficient at light interception and significantly modified the forest energy balance and its carbon cycle. While forest albedo increased by 14% in the shortwave, light availability was reduced in the understorey (–30% of the PAR radiation) and soil temperature decreased by 0.5°C. Those liana-specific traits were also responsible for a significant reduction of tree (–19%) and ecosystem (–7%) gross primary productivity (GPP) while lianas benefited from them (their GPP increased by +27%). This study provides a novel mechanistic explanation to the increase in liana abundance, new evidence of the impact of lianas on forest functioning, and paves the way for the evaluation of the large-scale impacts of lianas on forest biogeochemical cycles.

### KEYWORDS

ecosystem demography model (ED2), forest albedo, forest energy balance, PROSPECT-5, radiative transfer models, structural parasitism, tropical lianas

# 1 | INTRODUCTION

Terrestrial ecosystems are a key component of the Earth's carbon cycle as they are responsible for a yearly uptake of about 60 GtC (Beer et al., 2010) and store about 860 GtC worldwide (Pan et al., 2011), about 50% of which is located in the tropics (Avitabile et al., 2016; Brinck et al., 2017). Forests in general, and tropical ecosystems in particular, also profoundly regulate the global energy budget by mediating the exchange of energy and moisture between the land and the atmosphere (Fischlin et al., 2007; Piao et al., 2020; Spracklen et al., 2018). Through succession, land use, management, and vegetation dynamics, the ratio of back-reflected solar radiation to the total received (i.e. albedo) may radically change (Bonan, 2008).

Forest albedo is controlled by the optical properties and geometric arrangement of leaf and wood tissues, and, in open canopies, also by the contribution of soil reflectivity. Altogether, these forest features determine what fraction of incident light penetrates in the canopy, to what depth, where light is absorbed, and how much is reflected back to the atmosphere (Asner, 2008). Light interception and light availability within the canopy not only regulate photosynthesis but also influence long-term processes like seedling recruitment, and hence forest species composition through competition (Bonan, 2019). In other words, radiative transfer is a key process for all plants in the ecosystem (Yuan et al., 2016) and its accurate representation in vegetation models is critical to represent ecosystem functioning (Fisher et al., 2018).

While radiative transfer in canopies has been studied for decades (Jacquemoud et al., 2000), as of today there has been little focus on its contribution to uncertainty in dynamic global vegetation models (Viskari et al., 2019). However, as light is often the limiting resource in dense tropical canopies, it plays a critical role for plant growth and development, often driving intraspecies and interspecies competition and succession (Bongers & Sterck, 1998; Poorter et al., 2003). Moreover, in the context of global warming, a change

of tropical ecosystem albedo might have important feedbacks on the regional climate (Piao et al., 2020).

Lianas are woody vines that are abundant in tropical ecosystems (Schnitzer, 2005) where they act as structural parasites of the forest (Stevens, 1987). They climb up the stems of other plants to reach the top of the canopy from which they compete for light and progressively displace a significant fraction of tree leaf biomass with their own (Kazda & Salzer, 2000; Schnitzer et al., 2005; Selaya & Anten, 2008). In tropical forests, lianas play a key role in vegetation dynamics as they represent on average 25% of the woody stems (Dewalt et al., 2014; Schnitzer & Bongers, 2002) and contribute to 9%–31% of the total leaf area (van der Heijden et al., 2013).

Previous studies have demonstrated that the leaf spectral signature can significantly differ between tropical trees and lianas (Castro-Esau et al., 2004; Guzmán et al., 2018; Kalacska et al., 2007; Sánchez-Azofeifa et al., 2009). These findings are consistent with multiple observations of growth form-level differences in leaf biochemical traits. In particular, in a pantropical leaf trait analysis, Asner and Martin (2012) found larger mass-based concentrations of light capturegrowth chemicals (chlorophyll, carotenoid) and smaller leaf mass per area in liana leaves which might be responsible for those spectral differences. Similarly, Wyka et al. (2013) showed in a large meta-analysis that lianas exhibit lower leaf mass per area and higher mass-based photosynthetic rates and nutrient (N and P) concentrations. These differences between lianas and trees (potentially in combination with differences in leaf angle distributions and canopy clumping) might also explain why a few seminal studies have observed an increase in albedo in canopies with high liana coverages (Kalacska et al., 2007; Marvin et al., 2016; Sánchez-Azofeifa & Castro-Esau, 2006). Liana leaves, because of their vertical position in the canopy and these biochemical properties contrasting with those of trees, could impact forest functioning by increasing forest albedo and reducing light availability in the understorey. Forest energy balance might therefore significantly differ in liana-free and liana-rich patches. We argue that a significant part of the changes of albedo observed by remote sensing in tropical forests

(Doughty et al., 2018; Piao et al., 2020) might be due to liana infestation variability. This hypothesis is especially relevant to test in the context of increasing liana abundance observed in the Neotropics (Phillips, 2002; Schnitzer & Bongers, 2011), which could aggravate the impact of lianas on radiative transfer of tropical forests in the near future.

Despite their potential impact on forest biogeochemical cycles, lianas have generally been ignored in vegetation models and remote sensing products (Moorthy & Sruthi, 2019; Verbeeck & Kearsley, 2016). However, the recent implementation of the lianescent growth form in the Ecosystem Demography model (di Porcia e Brugnera et al., 2019; Meunier et al., 2020) now supports evaluating the role of lianas on the energy budget of tropical ecosystems under changing environmental conditions. Because vegetation models combine the biophysics of land surface models with vegetation demography and biogeochemistry (Dietze et al., 2014; Fisher et al., 2014; Purves & Pacala, 2008), they are excellent candidates for studying and projecting the impacts of climate change and resulting forest composition evolution (such as liana proliferation) on the radiation profile and forest albedo (Fisher et al., 2018).

The objective of this study is to investigate how lianas influence the energy budget and the biogeochemical cycles of tropical forests by altering light interception. We hypothesize that liana-specific optical traits (such as lower leaf mass per area, larger mass-based concentrations of light capture–growth chemicals, horizontal leaves) are responsible for a disproportionate light interception by liana leaves, which results in (i) a decreased understorey light availability, (ii) an increased albedo, and (iii) a decline of tree productivity in heavily infested forest patches.

# 2 | MATERIALS AND METHODS

To estimate the impact of liana-specific traits on the radiative transfers of tropical forests, we first assembled a database of liana leaf-level reflectance measurements from literature, along with stand-level reflectance spectra covering different levels of liana infestation. We assimilated the collected spectra to derive leaf biochemical and canopy structural properties using leaf-level (PROSPECT-5) and stand-level (ED-RTM) radiative transfer models and estimate how those traits diverge between tropical trees and lianas. We then ran simulations of a process-based vegetation model (ED2.2), in which lianas were in turn characterized by liana or tree parameter distributions. We validated the principal findings of this study with independent experimental datasets (WorldView-3 and GatorEye UAV-LiDAR). The overall workflow of this study is illustrated in Figure 1.

# 2.1 | Model description

# 2.1.1 | The PROSPECT-5 model

The PROSPECT-5 model simulates the spectral reflectance and transmittance of a leaf over a large wavelength range covering the visible

(400–700 nm), near infrared (700–1400 nm), and short-wave infrared (1500–2500 nm) at the nanometer resolution. PROSPECT-5 represents leaves as stacks of partially reflective/transparent layers and is based on five biochemical and structural properties, namely the total chlorophyll (Cab) and Carotenoid (Car) contents, the number of stacked elementary homogeneous layers ( $N_{\rm layers}$ ), the leaf equivalent water thickness ( $C_{\rm w}$ ) and its dry matter content (LMA; Feret et al., 2008). Wavelength-specific reflectivity and transmissivity coefficients are then calculated as a weighted linear combination of the empirically calibrated absorption spectra for leaf pigments, water, and dry matter (Feret et al., 2008). Table 1 summarizes the parameters discussed in this study, together with their units and their description.

# 2.1.2 | The Ecosystem Demography model, version 2

Overview of the vegetation model

The Ecosystem Demography model version 2.2 (ED2.2) is a vegetation model that simulates forest biophysical and physiological cycles, and accounts for horizontal and vertical heterogeneities of the ecosystem (Longo et al., 2019a). Carbon, water, and energy cycles are solved for individual forest patches, each containing zero, one, or several plant cohorts. Patches are defined as areas of the forest with similar age (i.e. disturbance history) while cohorts are groups of plants in a patch with similar size (DBH), belonging to the same plant functional type (PFT), and characterized by a dynamic plant density ( $n_{\rm k}$ ). In ED2, patches and cohorts are spatially implicit, which means that the position of patches relative to one another and the horizontal position of each plant are not simulated (Medvigy et al., 2009). Yet, plants grow in an environment (soil, climate) defined by the coordinates of the simulated site (Longo et al., 2019a) and the structure of the canopy is vertically resolved.

Previous studies have demonstrated the ability of the ED2.2 model to reproduce important aspects of carbon and water dynamics in tropical ecosystems (Longo et al., 2019b). In particular, it was shown that ED2 could correctly simulate reductions in aboveground biomass of Amazon forests subjected to drought experiments (Powell et al., 2013), capture mortality rates, and aboveground biomass stocks on Barro Colorado Island (BCI), Panama (Powell et al., 2017), and represent leaf and biomass spatial and temporal variability in tropical dry forests (Xu et al., 2016). Recently, a new plant functional type accounting for the lianescent growth form was implemented in the ED2 model by di Porcia e Brugnera et al. (2019). The liana PFT was extensively calibrated by Meunier et al. (2020), but that calibration did not include radiative transfer parameters.

#### The radiative transfer model of ED2

In ED2, the radiative transfer is modelled as a multi-layer version of the two-stream model (Liou, 2002; Sellers, 1985) applied to three broad spectral bands: visible (400–700 nm), solar (near and shortwave) infrared (700–3000 nm), and thermal infrared radiation (3–15  $\mu m$ ). The two-stream approach is the core canopy radiative transfer model of

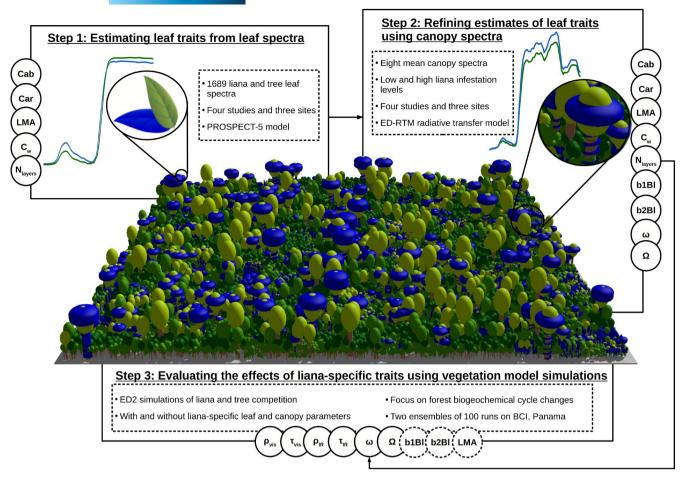


FIGURE 1 Workflow of the study as divided in three steps. Observed leaf spectra of both lianas and trees were assimilated to optimize leaf biochemical parameters of those PFTs through PROSPECT-5 simulations (Step 1). Leaf optical and biochemical traits were further calibrated in a radiative transfer model (ED-RTM) together with liana and tree canopy parameters through the assimilation of canopy reflectance spectral data under low and high liana infestation levels (Step 2). The resulting parameter posterior distributions then served to evaluate the impact of liana leaf parameters in a vegetation model (ED2.2) in simulations with and without liana-specific leaf and canopy parameters. In these runs, liana LAI-related parameters (b1BI, b2BI, and LMA) were systematically sampled from liana parameter distributions to conserve a similar ecosystem LAI. In steps 1 and 2, all parameters indicated on the side were optimized to fit observational data within a Bayesian framework. Throughout the manuscript, lianas (and liana-rich forest stands) are consistently represented in blue

multiple global vegetation models, including JULES (Best et al., 2011) and CLM (Lawrence et al., 2019; Oleson et al., 2013). In this study, we focused on the visible and solar infrared bands as we wanted to test the impact of liana traits on short-wave radiation.

In ED2, the radiation regime is based on single scattering and backscattering coefficients computed from prescribed PFT-specific leaf transmissivity ( $\tau_{\rm vis}$  and  $\tau_{\rm IR}$  for the visible and solar infrared bands, respectively) and reflectivity ( $\rho_{\rm vis}$  and  $\rho_{\rm IR}$ ) coefficients, as well as a leaf orientation parameter  $\omega$  and the vertical structure of the canopy. Leaf orientation  $\omega$  is a PFT-specific parameter that determines the average leaf surface area in the direction of the radiation beam. It varies between -1 (all leaves are vertically oriented) and +1 (all leaves are horizontally oriented), with 0 meaning randomly oriented leaves. The vertical structure of the canopy is determined by the size distribution of the plant cohorts within each patch. Each cohort k is represented by a flat-topped layer whose vertical position  $h_k$  is scaled with its diameter DBH $_k$  according to a Weibull function:

$$h_k = h_{ref} \cdot \left(1 - \exp\left(-b1Ht \cdot DBH_k^{b2Ht}\right)\right),$$
 (1)

where  $h_{\rm ref}$ , b1Ht and b2Ht are PFT-specific allometric parameters. As opposed to trees, liana vertical position is not only determined by their size but also by the height distribution of the trees in the vicinity: in the model, lianas can only overtop trees belonging to the same patch by a small threshold because they lack self-supporting tissues (Meunier et al., 2020). Liana initial height was determined by their size and the height distribution of the surrounding trees so that all lianas with a stem diameter >3 cm reached the top of the forest canopy, resulting in a liana vertical clumping (Meunier et al., 2020). This is consistent with the finding that lianas with a DBH above 3 cm have a 95% probability or higher of being in the canopy, as observed by Kurzel et al. (2006) in multiple tropical forests including some investigated in this study (see below).

The optical thickness of each layer is computed from the total plant area index which is the sum of the wood and the clumpingcorrected leaf area index. In this study, we chose to neglect the

TABLE 1 Leaf biochemical and canopy structural traits of the radiative transfer models used in this study, together with their prior distributions for lianas and trees as well as the posterior medians

Abbreviation	Units	Description	PFT	Prior	Prior parameters	Posterior median [95% CI] <sup>b</sup>
Cab	μg cm <sup>-2</sup>	Leaf chlorophyll area density	Liana Tree	Uniform Uniform	a = 0, b = 150 a = 0, b = 150	45.5 [45.3 45.6] 56.6 [56.4 56.8]
Car	μg cm <sup>-2</sup>	Leaf carotenoid area density	Liana Tree	Uniform Uniform	a = 0, b = 50 a = 0, b = 50	15.9 [15.8 6.0] 20.6 [20.5 20.8]
$C_{\rm w}$	cm	Equivalent water thickness	Liana Tree	Uniform Uniform	a = 0, b = 0.1 a = 0, b = 0.1	0.0152 [0.0151 0.152] 0.0199 [0.0197 0.0200]
LMA <sup>a</sup>	kg m <sup>-2</sup>	Leaf dry matter content per unit area	Liana Tree	Uniform Uniform	a = 0.01, b = 1 a = 0.01, b = 1	0.064 [0.064 0.064] 0.087 [0.086 0.088]
$N_{\text{layers}}$	_	Effective number of mesophyll layers	Liana Tree	Uniform Uniform	a = 1.01, b = 5 a = 1.01, b = 5	1.76 [1.76 1.77] 2.06 [2.05 2.06]
b1BI	kg plant <sup>-1</sup> cm <sup>-b2Bl</sup>	Leaf biomass allometry intercept	Liana Tree	Normal Normal	a = 0.049, b = 0.010 a = 0.020, b = 0.005	0.049 [0.049 0.049] 0.020 [0.020 0.020]
b2BI	_	Leaf biomass allometry slope	Liana Tree	Normal Normal	a = 1.89, b = 0.2 a = 1.85, b = 0.2	1.89 [1.89 1.90] 1.85 [1.85 1.85]
ω	-	Leaf orientation factor	Liana Tree	Uniform Uniform	a = -0.5, b = 0.5 a = -0.5, b = 0.5	0.33 [0.32 0.34] -0.42 [-0.42 -0.41]
Ω	_	Leaf clumping factor	Liana Tree	Uniform Uniform	a = 0.4, b = 1 a = 0.4, b = 1	0.72 [0.71 0.72] 0.48 [0.48 0.48]

Note: The prior parameters column provides the minimum (a) and maximum (b) values for the uniform distributions, or the mean (a) and the standard deviation (b) for the normal distributions.

wood area given the relatively low contribution of wood to the plant area index (around 10%, Olivas et al., 2013) and hence its limited impact on the radiative transfers (Viskari et al., 2019), the low number of observations of liana wood optical properties as well as to limit the number of parameters to calibrate. Therefore, the total plant area index of each cohort  $(\phi_k)$  was given solely by the leaf area index (LAI<sub>L</sub>). In ED2, each cohort crown occupies the full patch horizontal area (i.e. cohort leaves are distributed across the entire patch) but plant leaf area is corrected by a PFT-specific clumping factor ( $\Omega$ ).  $\Omega$ is a purely horizontal clumping factor reducing the cohort light interception. In ED2, it is a constant that does not depend on the direction of the incident beam but is intended to compensate for the fact that radiative transfer is solved in 2D rather than in 3D.  $\Omega$  can vary between 0 and 1 and these numbers, respectively, represent the two extreme situations of a perfectly clumped (infinite LAI over an infinitesimally small area and null effective light interception,  $\Omega = 0$ ) and an evenly spread canopy (which captures the maximum amount of light per unit of leaf area,  $\Omega = 1$ ). This parameter is particularly relevant for lianas whose spatial distribution was shown to be clumped (Ledo & Schnitzer, 2014; Schnitzer et al., 2012).

Cohort LAI (LAI<sub>k</sub>) is the product of the plant-level leaf biomass ( $B_{leaf,k}$  which scales with diameter through another allometric equation), the plant density ( $n_k$ ) and the PFT-specific dry matter content (LMA). Therefore, the cohort plant effective area index  $\varphi_k$  is given by:

$$\Phi_{k} = \Omega \cdot \mathsf{LAI}_{k} = \Omega \cdot \left( \frac{n_{k} \cdot B_{\mathsf{leaf},k}}{\mathsf{LMA}} \right) = \Omega \cdot \left( \frac{n_{k} \cdot \left( b1BI \cdot \mathsf{DBH}_{k}^{b2BI} \right)}{\mathsf{LMA}} \right), \quad (2)$$

with *b1Bl* and *b2Bl* the PFT-specific leaf biomass allometric intercept and slope coefficients, respectively. The relevant parameters are again further described in Table 1. The complete description of the radiative transfer model of ED2 can be found in Appendix A, in Longo et al. (2019a), or in Shiklomanov. Dietze, et al. (2020).

Recent work (Shiklomanov, Bond-Lamberty, et al., 2020; Shiklomanov, Dietze, et al., 2020) has refined the ED2 model's visible and solar infrared spectral resolution to nanometer-scale bands by coupling it with PROSPECT-5, which provides fine leaf spectral properties, so as to allow direct comparisons to multi- and hyper-spectral data. This model version (a.k.a. ED-RTM) is instantaneous (the model runs for a single time step at user prescribed time and date) and allows computing radiative transfers within the canopy and the forest albedo down to the nanometer spectral resolution.

# 2.2 | Experimental workflow

### 2.2.1 | Literature meta-analysis

We first collected published spectral data through an extensive literature search on science research engines (Web of Science and Google Scholar) with a combination of the following keywords: 'liana/woody vine', 'spectrum', 'reflectance', and 'canopy/leaf/leaves'. We compiled records presenting leaf spectral measurements of both tropical lianas and trees, or canopy spectral measurements covering different levels of liana infestation in tropical forests. In the latter case, we only analysed the measurements with the lowest

<sup>&</sup>lt;sup>a</sup>Equivalently referred to as  $C_m$  (the units of which are g cm<sup>-2</sup> in PROSPECT-5).

<sup>&</sup>lt;sup>b</sup>Posteriors from all studies/sites.

and highest liana coverages (hereafter referred to as liana-free and liana-infested stands) to capture the maximal differences in canopy spectra generated by liana infestation. We focused on data that overlapped the spectral region of interest: the visible and the solar infrared regions of the spectrum (400–2500 nm). Seven references emerged from our extensive search. These references could be categorized in three groups: studies that investigated leaf-level reflectance only (3), patch-level reflectance only (3), or both (1), see Table 2. In total, we compiled 1689 leaf spectra (1162 from lianas, 527 from trees) and eight patch-level average canopy spectra.

All five study sites were located in Latin America (Panama, Costa Rica, and Bolivia). The sites were tropical dry (SRNP, PNM, Gigante), wet (FTS) forests, or on the border of two climatic zones (SRNP), see Table 3. All leaf spectra were collected using field portable spectrometers on multiple leaf (3–15) samples from multiple liana (8–26) and tree (5–15) species. Stand-level spectra were obtained using different remote sensing techniques, including airborne spectrometers and satellite imaging (Table 3). Number of investigated tree crowns in crown/stand-level studies varied from a few (6 in Sánchez-Azofeifa & Castro-Esau, 2006) to a large number (288 in Kalacska et al., 2007 and 554 in Marvin et al., 2016) while Foster et al. (2008) investigated a 771 km² area with a  $30 \times 30$  m footprint.

Only two of the studies, Guzmán et al. (2018) and Sanchez-Azofeifa et al. (2009), made their raw data available. For those studies that did not provide their data, we digitized the spectra using the software Plot digitizer (v.2.6.8, http://plotdigitizer.sourceforge.net/) from the relevant figures. At the leaf level, two studies (out of the 4) reported spectral data only for parts of the visible and the near infrared (450–950 nm). All four studies that investigated patch-level reflectance differences with liana infestation included a large fraction of the visible and the near/short-wave infrared (Table 2). The significance of the liana impact was evaluated by testing whether the 95% confidence intervals of the difference of the spectra (liana vs. tree, liana-free vs. liana-infested) in a specific region included zero.

# 2.2.2 | Model calibration

To calibrate the leaf and the canopy spectra, we used the R package PEcAnRTM (https://github.com/PecanProject/pecan/tree/develop/modules/rtm). This approach uses a Bayesian framework that provides the joint probability posterior distribution of the parameter set, thus capturing both the uncertainties and correlations among parameters. The independent prior distributions used in this study are included in Table 1. All but the priors of the leaf biomass allometric coefficients are uninformative. The residual variance  $\sigma^2$  was assigned an uninformative inverse gamma prior as in Shiklomanov et al. (2016). We fit the respective models using the Differential Evolution with Snooker Update ('DEzs') Markov-Chain Monte Carlo (MCMC) sampling algorithm (ter Braak & Vrugt, 2008) as implemented in the R package BayesianTools (Hartig et al., 2019). For each inversion, we ran five independent chains until convergence with a burn-in of 50,000 and 100,000 iterations for leaf and canopy scales,

TABLE 2 Basic information related to the studies included in the meta-analysis

Reference	Study site(s) [Short name]	Leaf reflectance spectrum range (nm)	Canopy reflectance spectrum range (nm)	Data source	Date of collection	Number of spectra <sup>a</sup>
Castro-Esau et al. (2004)	FTS, Panama [Castro, FTS]	450-950	ı	Digitized	2013/03 (dry season)	1 (L), 1 (T)
	PNM, Panama [Castro, PNM]					
Guzmán et al. (2018)	SRNP, Costa Rica [Guzmán]	450-950	1	Original	2017/05-07 (wet season)	14 (L), 21 (T)
Sánchez-Azofeifa et al. (2009)	FTS, Panama [Sanchez, FTS]	400-2500	ı	Original	2004/08 (wet season)	1146 (L), 504 (T)
	PNM, Panama [Sanchez, PNM]					
Kalacska et al. (2007)	PNM, Panama [Kalacska]	400-2500	450-2250	Digitized	2004/12 (dry season)	1 (L), 1 (T) 1 (LF), 1 (LI)
Marvin et al. (2016)	Gigante, Panama [Marvin]	I	400-2500	Digitized	2012/02 (dry season)	1 (LF), 1 (LI)
Foster et al. (2008)	NKMNP, Bolivia [Foster]		400-2500	Digitized	2003/04 (dry season)	1 (LF), 1 (LI)
Sánchez-Azofeifa and Castro-Esau (2006)	PNM, Panama [Sanchez]	I	400-2400	Digitized	2012/07 (wet season)	3 (LF), 2 (LI)

Abbreviations: FTS, Fort Sherman; NKMNP, Noel Kempff Mercado National Park; PNM, Parque Natural Metropolitano; SRPN, Santa Rosa National Park <sup>a</sup>Leaf-level studies: L, liana; T, tree. Stand-level studies: LF, liana-free patch; Ll, liana-infested patch

TABLE 3 Basic information related to the sites included in the meta-analysis and the instruments used to obtain the leaf- and stand-level spectra

Study site	Location (Lat, Lon)	Forest type	MAP (mm)	MAT (°C)	Instrument (study short name)
SRNP, Costa Rica	10.8°N; 85.6°W	Tropical dry forest	1600	26.5	UniSpec Spectral Analysis System
PNM, Panama	8.99°N; 79.55°W	Tropical dry, secondary forest	1750	25	<ul> <li>- UniSpec Spectral Analysis System (Castro PNM, Sanchez PNM)</li> <li>- ASD Fieldspec spectrometer (Kalacska, Sanchez)</li> <li>- Hyperspectral Digital Imagery Collection Experiment (Kalacska)</li> </ul>
FTS, Panama	9.28°N; 79.98°W	Tropical old-growth wet forest	3300	26.5	UniSpec Spectral Analysis System
Gigante, Panama	9.1°N; 79.8°W	Seasonally dry, secondary tropical moist forest	2400	25.5	Airborne Taxonomic Mapping System (AToMS) visible-to-short-wave infrared imaging spectrometer
NKMNP, Bolivia	13.93°S; 61.11°W	Tropical wet-dry forest	1450	25.5	EO-1 Hyperion

respectively. We evaluated the quality of the model calibration at the leaf level and the stand level by comparing modelled and observed reflectance values and by computing several error statistical metrics (the root mean square error [RMSE], bias [BIAS], and bias-corrected RMSE (SEPC) averaged across the visible [400–700 nm], the near infrared [700–1400 nm], and the short-wave infrared [1500–2500 nm] regions of the spectrum), see Supplementary Appendix B for more details.

#### Estimating leaf optical traits from leaf spectra

For all studies for which we had leaf-level reflectance data, we estimated all five PROSPECT-5 leaf-level parameters (Figure 1, step 1), through the exact same spectral inversion procedure described by Shiklomanov et al. (2016). We repeated the PROSPECT-5 inversion for each site/study and each PFT. When raw data were available, we fitted PROSPECT-5 parameters individually to each plant species. After calibration, we aggregated all study/site posteriors to the PFT level (liana or tree) by attributing a similar weight to each of them.

### Refining leaf optical trait estimates from canopy spectra

For the studies for which patch-level reflectance data were available, we followed Shiklomanov, Dietze, et al. (2020) to carry out a similar calibration scheme using ED-RTM. The calibrated model parameters include the leaf parameters of PROSPECT-5 plus the leaf allometric coefficients, the leaf orientation, and the clumping factor (Figure 1, step 2). We used the aggregated posterior distributions from step 1 as informative prior distributions for the PROSPECT-5 parameters. The priors of leaf biomass allocation coefficients were informed by an independent literature review (Falster et al., 2015; Gehring et al., 2004; Gerwing & Farias, 2000; Putz, 1983; Schnitzer et al., 2006; Smith-Martin et al., 2020) through which we collected all leaf biomass allometric data comparing trees and lianas (Meunier et al., 2020). These data originate from multiple biomes (tropical wet and dry forests) in multiple countries (Brazil, Venezuela, Cambodia, French Guiana, Paraguay, Costa Rica). Informed priors of leaf biomass allometric coefficients were built based on the fit of the allometric equation of ED2 to these data using the PEcAn.allometry package (https://github.com/pecanproject/pecan/tree/develop/modules/allometry).

In ED-RTM, we simulated two competing PFTs, respectively, accounting for lianas and tropical trees. For the purpose of the canopy inversion, we assumed that all trees at a given site shared the same spectral property distributions, and all lianas likewise shared another set of spectral property distributions. As for the leaf-level studies, we performed a separate calibration for every single study and site (Table 2) and aggregated the posterior distributions giving the same weight to every calibration. This approach allowed a better fit for every leaf canopy spectra while accounting for the inter-site variability of liana and tree properties.

When both leaf- and patch-level reflectance data were available (Kalacska et al., 2007), we performed a similar two-step calibration. Yet, as compared to the other stand-level calibrations, we only used the site-specific PROSPECT-5 posterior distributions as priors in the second step to derive canopy structural traits. To improve the vertical resolution of the radiative transfer, we prevented cohort fusion during the calibration of ED-RTM. Therefore, every single plant cohort (liana or tree) consisted of a single individual (see Supplementary Figure F5).

### 2.2.3 | Evaluating the impacts of liana-specific traits

To determine the impact of lianas on forest radiative transfers and biogeochemical cycles, posterior distributions of leaf and canopy traits of both PFTs resulting from the two-step calibrations were used to parameterize the full version of the ED2.2 vegetation model (Figure 1, step 3). We generated two ensembles of 100 simulations each in which liana radiative traits were sampled either from the liana ('liana' runs) or the tree ('reference' runs) posterior distributions. Liana leaf biomass allometric parameters (b1Bl and b2Bl), dry matter (LMA), and all tree parameters were systematically sampled from their proper PFT distributions. This allowed us to evaluate the impact of the leaf radiative parameters (the visible and infrared transmissivity and reflectivity coefficients –  $\tau_{\rm vis}$ ,  $\rho_{\rm vis}$ ,  $\tau_{\rm lR}$ , and  $\rho_{\rm lR}$  – leaf

angle  $\omega$  and leaf clumping  $\Omega$ ) on forest functioning while conserving identical ecosystem LAI.

We ran the model on the 50 ha plot of BCI, Panama, which is an old-growth seasonally moist lowland tropical forest. Previous ED2 simulations demonstrated the model's capacity to reproduce land surface fluxes (di Porcia e Brugnera et al., 2019) and several features of the liana-tree competition there (Meunier et al., 2020). BCI is characterized by an average (years 2003-2016) annual rainfall of about 2640 mm (Detto et al., 2018) and a well-marked 4-month dry season (total rainfall between late-December and mid-April is 175 mm on average). We used the 2007 liana inventory and the tree inventory that was carried out immediately afterwards (2010) to prescribe the vegetation initial conditions. These censuses included all individuals whose DBH is larger than 1 cm in the  $500 \text{ m} \times 1000 \text{ m}$ plot (Condit et al., 2019; Schnitzer et al., 2012). The 50 ha site was divided into an initial number of 1250 patches in a regular grid of  $20 \times 20$  m. Initial liana density averaged 1429 individuals per hectare and varied significantly from liana-free to liana-infested patches (liana density standard deviation was 1013 individuals per hectare). Model simulations were run for 5 years starting in 2007 using the meteorological drivers locally available (Powell et al., 2017, 2018). We also used the observed carbon and energy land fluxes obtained with the eddy-covariance method to benchmark the modelled productivity, evapotranspiration, and albedo (Pau et al., 2018). The other model parameters for the liana and the tree PFTs are exhaustively described in Meunier et al. (2020) and in Longo et al. (2019a).

We analysed the differences between model outputs with a special focus on the energy budget and the carbon cycle. These differences were evaluated as both absolute ('liana' runs minus 'reference' runs) and relative (absolute differences normalized by the 'reference' runs) changes.

In addition, we also simulated the instantaneous radiative transfers at the same site using ED-RTM and finer patch and cohort resolutions (the regular grid of  $20 \times 20$  m kept unfused and every single plant as a 'cohort') and the posterior median of every single parameter. Again, liana optical traits were either assigned liana- or tree-specific values. We then related the changes of forest albedo and understorey light (defined as the downward radiation below the shortest plant or the radiation reaching the ground) to the degree of liana infestation by fitting the coefficients  $y_{max}$  and b of the following equation using the 'nlsLM' function of the minpack.Im R package (Elzhov et al., 2016):

$$y = y_{max} \left[ 1 - \exp\left( -b \cdot x \right) \right] \tag{3}$$

where y is the response (forest albedo in the visible and in the infrared, or the understorey light) and x is the contribution of lianas to the ecosystem LAI.

Finally, we ran uncertainty analyses of every single model used in this study (PROSPECT-5, ED-RTM, and ED2.2) with PEcAn (LeBauer et al., 2013). In short, PEcAn combines the uncertainty of model parameters after calibration with a univariate sensitivity analysis to estimate the contribution of each parameter to the overall predictive

uncertainty, see Supplementary Appendix C for details. All intervals presented in this study are 95% confidence intervals (CI).

# 2.3 | Independent evaluation of model outputs

We validated the main model outputs using independent datasets. In particular, we evaluated the impacts of lianas on forest albedo using WorldView-3 images and on light penetration through the canopy using GatorEye UAV-LiDAR.

### 2.3.1 | WorldView-3

To validate the changes in albedo due to liana infestation, we obtained a WorldView-3 image of central Panama acquired on 24 March 2016. The image has a 2 m spatial resolution, 2.6 degree mean off-nadir view, 67.9 degree mean sun elevation, with 11.5% cloud cover. The image was corrected to surface reflectance using Fast Line-of-sight Atmospheric Analysis (Cooley et al., 2002; http:// www.harrisgeospatial.com). The scene included a cloud-free area of georeferenced tree crowns on Gigante Peninsula (south of BCI) where liana infestation of 544 trees was tallied from the ground with binoculars using the liana crown occupancy index (COI, see Marvin et al., 2016). This resulted in over 8000 pixels with ground referenced infestation scores. To investigate the effect of liana infestation on forest albedo, we compared the reflectance of crowns with extreme levels of liana occupancy (0.05 < COI < 0.25 vs. COI > 0.75) across six spectral bands (from blue to NIR-2), using independent nonparametric Mann-Whitney U tests for each band and the COI category as treatment. The two liana-infestation levels resulted in large numbers of samples in both groups (5866 and 387 for low and high COI, respectively, totalling 2.35 and 0.15 ha).

### 2.3.2 | UAV LIDAR

To evaluate whether liana-rich stands differed in light extinction, GatorEye UAV-borne LiDAR data obtained on BCI in February 2019 were combined with a local dataset of COI. Tree crowns were mapped for a total of 2133 individuals. Each crown was manually delineated from UAV-derived orthomosaic of the 50 ha plot of BCI, as described in Park et al. (2019). Each delineated tree crown was then visited in the field and a liana crown occupancy index was recorded from the ground. UAV-borne LiDAR data were collected at 905 nm, using the GatorEye Unmanned Flying Laboratory (see www.Gator Eye.org for detailed description and data access) at the 50 ha plot on Barro Colorado Island. GatorEye Gen 1 uses a DJI Matrice 600 Pro hexacopter, equipped with a Velodyne VLP-16 puck lite sensor with manufacturer calibrated intensity, as well as hyperspectral and visual sensors not used in this study. Flights were conducted at 55 m aboveground level (AGL), with an 80% sidelap, and at an average speed of 40 km/h. The LiDAR spatial footprint has a diameter of

3-9 cm at 10-30 m distance, resulting in an average canopy height (30 m AGL) footprint of 7.5 cm diameter.

We compared the manufacturer calibrated intensity, as measured by the LiDAR, between liana-free and highly infested trees, as reflected by the individual tree COI. LiDAR intensity varies with surface reflectivity, leaf density in the canopy, and distance. Yet, as the distance from sensor to tree canopies was approximately the same across the entire plot and we average thousands of returns per quadrant, intensity is expected to provide a good estimation of relative differences in reflectivity and leaf density among the sample areas. In other words, LiDAR intensity should differ between liana-free and liana-infested tree crowns only if the projected leaf area and/or leaf traits within the beam footprint differs. Higher return rates (as indicated by a larger intensity) and hence larger light extinction coefficient could therefore be caused by more uniform leaf distribution (smaller clumping), more horizontal leaves, larger leaf reflectivity, or a combination of these three factors.

We compared the relative (scaled between 0 and 1) LiDAR intensity of crowns with extreme levels of liana occupancy (COI < 0.25 vs. COI > 0.75), using a nonparametric Mann–Whitney U test with the liana infestation level as treatment. These two categories resulted in a large number of individual crowns in both groups (1486 and 417 for low and high COI, respectively).

# 3 | RESULTS

# 3.1 | Leaf biochemical and canopy structural trait differences between growth forms

Most collected leaf-level studies agreed on the direction of the discrepancies between liana and tree leaf spectra (Supplementary Appendix D). Five out of the six leaf-level collected references indicated that liana leaves were significantly more reflective than tree leaves in the visible (especially in the green peak) until the red edge (680-700 nm). The sixth study, Sanchez (FTS), showed no differences, on average, between liana and tree leaves in the visible region of the spectrum. In the near infrared (700-1400 nm), all studies agreed on a significantly lower reflectance of liana leaves at least until 950 nm (the upper limit of spectral measurements in Castro (FTS), Castro (PNM) and Guzmán, see Table 2). In the short-wave infrared (1500-2500 nm), liana leaves were variably characterized as having on average higher (Kalacska), lower (Sanchez, PNM), or the same (Sanchez, FTS) reflectance as tree leaves. At the canopy level, all four studies found higher reflectances for liana-infested patches in the visible and short-wave infrared, and all but Kalacska also found higher reflectance in the near infrared (Supplementary Figure C2 and Table C1).

Both PROSPECT-5 and ED-RTM were able to accurately reproduce observed leaf and canopy spectra from individual studies across the whole spectrum (Supplementary Appendix E). At the leaf level,  $r^2$  of observed vs modelled leaf reflectance values grouped by wavelength reached on average 0.91, with no values lower than

0.75 (Supplementary Figure E3). Overall simulated leaf spectra reproduced discrepancies between liana and tree leaf reflectance values both in the visible and in the infrared (Supplementary Table D1). Similarly, at the canopy level, model performance after model calibration was good with a mean  $r^2$  of .93 for observed vs. simulated reflectance values across all wavelengths, and none lower than 0.71 (Supplementary Figure E3). Observations of reflectance discrepancies between forest stands with different infestation levels were also well reproduced by the best set of parameters, both in the visible and in the infrared (Supplementary Table E1).

Liana and tree parameter distributions had large variances and therefore largely overlapped across and within studies. However, we did observe significant differences in central tendencies. All calibrations predicted lower chlorophyll contents in liana leaves as compared to tropical tree leaves (Supplementary Figure F1). The difference between chlorophyll content in liana and tree leaves reached -11.1 μg cm<sup>-2</sup> when averaging all studies (Table 1). Similarly, all studies/sites parameter calibrations predicted lower carotenoid contents in tropical liana leaves (on average  $-4.7 \,\mu g \, cm^{-2}$ ) and a smaller number of stacked layers (-0.3) corresponding to thinner leaves in liana species. The calibrations predicted either no differences or smaller dry matter content in liana leaves (LMA decreased on average by -0.022 kg m<sup>-2</sup> for liana leaves). In addition, significant differences of water layer thickness  $C_{\rm w}$  emerged in all studies/sites but one (Castro, PNM), leading to a mean water content increase of +3.7% in liana leaves (once combined with LMA). Altogether, those traits resulted in significant increases in liana leaf transmittance (+0.02 in the visible and +0.01 in the solar infrared) and reflectance in the visible (+0.004), as well as a significant decline in liana leaf reflectance in the near infrared (-0.01), see Figure 2a (as well as Supplementary Figure F2).

Leaf allometric intercepts already differed between growth forms according to the literature meta-analysis (Table 1) and were further discriminated by model calibration (Supplementary Figure F1). The slope of the leaf biomass allometric equation was slightly larger for lianas, before and after calibration (Table 1 and Supplementary Figure E1). Together, these leaf biomass allometric coefficients drew the picture of a larger carbon allocation to leaf biomass by lianas than by trees of similar DBH across all sizes (Figure 2b). All four studies that measured the impact of liana coverage on canopy reflectance revealed, after calibration, more horizontal leaves for lianas than for trees (Supplementary Figure F1), resulting in flatter leaf angles for lianas (Figure 2c). In addition, lianas were systematically predicted to have larger clumping factors (i.e. larger light interception per unit of leaf area) as compared to trees (Figure 2c; Table 2).

# 3.2 | Impact of liana traits on forest biogeochemical cycles

The leaf biochemical and canopy structural traits of lianas deeply impacted the energy balance and the carbon cycle of the forest, as simulated by ED2 (Figure 3). Liana leaf traits increased in forest albedo:

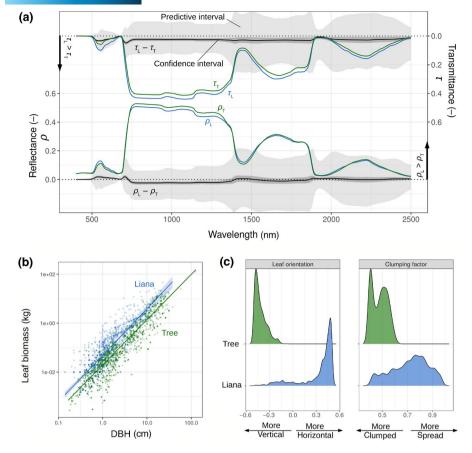


FIGURE 2 Liana (blue) and tree (green) optical and canopy structural parameters, resulting from the leaf and canopy spectral calibrations. In (a), liana and tree mean reflectance ( $\rho_L$  and  $\rho_T$ , respectively) and transmittance ( $\tau_L$  and  $\tau_T$  respectively) are plotted together with their differences ( $\rho_L - \rho_T$  and  $\tau_L - \tau_T$ ) at the nanometer resolution. The light and dark grey envelopes, respectively, represent the 95% predictive and confidence intervals of the differences (liana – tree) resulting from 500 liana and tree PROSPECT-5 simulations sampled from the growth-form-specific posterior distributions. In (b), liana and tree mean (solid lines) allometric allocations to leaf biomass together with their confidence intervals (shaded envelopes) are superimposed on the data that served to constrain the prior distributions (collected through an independent meta-analysis). In (c), we compare the posterior distribution densities of liana and tree leaf orientation (left) and clumping factor (right), resulting from the calibration of the canopy spectra

over the 5 years of simulation, the energy reflected back to the atmosphere increased on average by 17.1% in the PAR mainly because of the higher reflectivity of liana leaves in the visible region and by 11.7% in the infrared driven by more horizontal angle distribution of liana leaves and larger clumping factors (Supplementary Appendix C). This corresponded to an additional 3.6 W m $^{-2}$  of energy that was sent back to the atmosphere (Supplementary Figure F3). A larger fraction of the penetrating forest solar radiation was absorbed by the canopy in the runs where liana-specific traits were attributed to lianas (i.e. 'liana' runs), with an average increase by 7.4% and 7.8%, respectively, in the visible and the solar infrared (or +8.2 W m $^{-2}$  in total). Hence, fewer photons reached the ground resulting in darker understories (–11.8 W m $^{-2}$  as summed up over the visible and the infrared, corresponding to a 22% decrease) and slightly cooler soils (on average –0.5°C in the topsoil over the 5 years of simulations).

The ecosystem-level increase in albedo and decrease in understorey light were mainly driven by the liana-rich patches on BCI (Figure 4). In liana-infested patches, the increase in albedo reached up to 0.05 in the infrared and 0.002 in the visible, while light reaching the ground

could be reduced by more than 50%. On BCI, the liana optical traits increased the short-wave albedo by +0.015 on average, which improved the fitness of the simulated outgoing short-wave radiation when compared to the fluxtower data: RMSE of the modelled versus observed reflected short-wave radiation decreased from 2.2 to 1.7 W m $^{-2}$  once liana traits were accounted for (Supplementary Figure F4).

The larger values of the leaf orientation parameter for lianas (i.e. more horizontal leaf distribution) and clumping parameters (i.e. more evenly distributed leaves) made lianas very efficient at light interception (intercepted PAR by lianas increased by 48% on average when liana optical traits were accounted for). This translated into a large increase in both gross and net productivity of the liana PFT (+27.2% and +30.5%, respectively) while trees were negatively impacted by those liana-specific traits (tree GPP and NPP decreased by 19.1% and 23.8%, respectively). Tree productivity decline was not compensated by liana gains: compared to the reference runs, ecosystem gross and net productivity decreased in the 'liana' runs by 6.8% and 8.2%, respectively. Combined with a slight increase in heterotrophic respiration, the net ecosystem productivity was reduced by

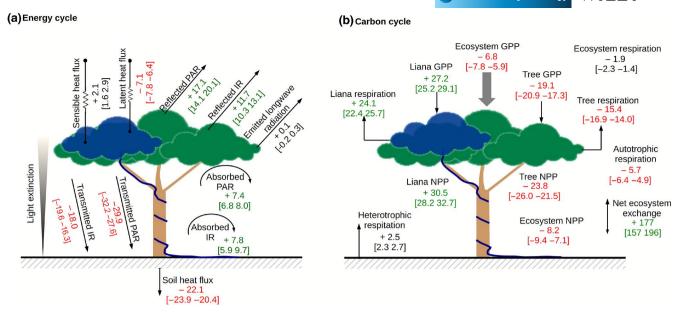


FIGURE 3 Mean relative changes (%) together with their confident intervals of the energy (a) and carbon (b) cycle fluxes resulting from the implementation of the liana radiative model parameters. These changes are relative to the fluxes simulated when lianas were assumed to have the same radiative and structural parameters as trees. Fluxes are coloured in red (respectively green) when the mean relative changes of the corresponding fluxes are lower than –5% (respectively higher than +5%)

1.6  $T_C$  ha<sup>-1</sup> year<sup>-1</sup> when liana-specific optical traits were accounted for. After 5 years of simulation, ecosystem AGB increased more slowly in the 'liana' runs as compared to the 'reference' ones (reduction of 4  $T_C$  ha<sup>-1</sup>): tree AGB increase was reduced by 4.5  $T_C$  ha<sup>-1</sup> while liana AGB was enhanced by 0.5  $T_C$  ha<sup>-1</sup> (+11%). The simulated seasonal cycle of latent heat and gross primary productivity were both slightly improved when liana-specific optical traits were taken into account (Supplementary Figure F4).

# 3.3 | Independent evaluation of model outputs

WorldView-3 images confirmed that liana-infested canopies were characterized by significantly higher albedos in the near infrared (+0.051 on average, p < 2e-16) and in the green peak (+0.002, p = .004), see Figure 5a. These numbers matched the asymptotes predicted by the radiative transfer model under extreme levels of liana infestation (Figure 4).

We could not validate individually the differences in canopy clumping and leaf angle of liana species but the GatorEye UAV-LiDAR confirmed the more important canopy closure of liana-rich crowns resulting from the combination of such features (Figure 5b). Larger COI were associated with greater LiDAR intensities (p < 2e-16), which indicates that there is a greater and more reflective leaf area within the footprint of the laser. As liana leaves tend to have lower reflectance around 900 nm (Figure 2a), the greater return intensity of infested crowns is likely caused by a higher LAI of more horizontal leaves, more evenly spread canopies, or both, which is consistent with the posterior distribution discrepancies between growth forms (Figure 2c; Table 1).

# 4 | DISCUSSION

# 4.1 | Ecosystem-level impacts of lianas

This study is the first to comprehensively investigate the role of lianas on the energy budget and radiative transfer of tropical forests. According to our model simulations, lianas significantly alter the forest energy cycle (Figure 3a). As hypothesized, liana optical traits are responsible for an increase in albedo and a decrease in light penetration through the canopy, especially in the heavily infested forest patches (Figure 4). By increasing the light competition, liana traits also reduce tree carbon assimilation and the positive shift in liana productivity does not compensate for the tree drop, resulting in an overall decline of the ecosystem productivity (Figure 3b). Since liana abundance seems to be increasing in the Neotropics (Phillips, 2002; Schnitzer & Bongers, 2011), these findings might translate into important changes in the functioning of those tropical forests. If the liana impacts detected in this study (forest understorey darkening and reduced ecosystem productivity) were confirmed in a larger number of sites, liana proliferation could translate into a global weakening of forest carbon sink strength.

Yet, we note that these negative impacts could be mediated or even counterbalanced by other liana-induced effects. By reducing the solar radiation reaching the ecosystem (especially in the infrared), overtopping lianas could act as a protective mantle isolating tree leaves from rising temperatures and the resulting exacerbated evaporative demand expected in the tropics (Konapala et al., 2020). Lianas could also help maintain more appropriate soil conditions (temperatures and water content) and hence protect soil carbon reservoirs as well as the critical biota living therein, which are currently

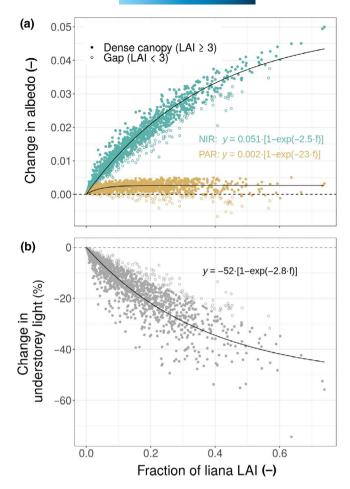


FIGURE 4 Changes in albedo (a) and understorey light availability (b) as a function of the liana infestation, expressed here as the contribution of lianas to the ecosystem LAI. In (a), the impact was split into changes of PAR (yellow) and infrared (green) albedo, and in both subplots we distinguished dense vegetation patches (LAI  $\geq$  3, closed symbols) and gaps (LAI < 3, open symbols). Fits were applied to all data points (dense canopies and gaps together)

threatened by increasing soil temperatures (Mitchard, 2018). Finally, as degraded forests with more open canopy (and hence warmer understorey temperatures) are more flammable during mild/moderate droughts (Longo et al., 2020), the susceptibility to fires could also be reduced in liana-infested patches. Those potential positive effects of lianas need to be confirmed in future field and modelling studies.

Finally, our results suggest that the increase in liana abundance observed in the Neotropics (Phillips, 2002; Schnitzer & Bongers, 2011) could be driven by the higher efficiency of lianas to intercept light combined with an overall decrease in cloudiness in the area (Arias et al., 2011; Fu et al., 2013). The liana-specific leaf and canopy traits identified in this study coupled to earlier observations of efficient hydraulic architectures (Chen et al., 2015, 2017; van der Sande et al., 2013) could indeed allow lianas to thrive through light-rich extended dry seasons and more frequent drought stress episodes, during which lianas have been shown to grow more than co-occurring trees (Schnitzer, 2005; Schnitzer & van der Heijden, 2019).

# 4.2 | Study limitations

The most important limitation of this study is the representativity of the data that we collected through our meta-analysis. Although we were able to compile a large number of liana leaf spectra (>1000), they all originated from Latin America. Most of them were from a single country (Panama) and from a very limited number of sites (3, see Table 2). The stand-level spectra that we collected also all came from a limited number of sites in the Neotropics (3, see Table 2) and their number was more limited as we could not obtain any raw data. Yet, the meta-analysis performed in this study was comprehensive and therefore the limited spatial distribution of the sites reflects the absence of data elsewhere. The upscaling/extrapolation of our findings to all tropical forests globally should therefore be performed with caution until additional liana spectra with more diverse origins have been collected and assimilated into the model. However, the potential important changes induced by lianas highlighted in this study should motivate further study on the short term in other tropical sites. In particular, leaf sampling in regions where lianas do not seem to be increasing (Bongers et al., 2020) could lead to a breakthrough for unravelling the mechanisms driving liana proliferation. In addition, a publication bias could not be ruled out in our meta-analysis as the number of collected studies was too small to calculate publication bias diagnostics such as funnel plots (Koricheva et al., 2013). Yet, the inversion of the leaf spectra led to a pattern (lianas grow cheaper, thinner leaves, with lower pigment concentration and lower leaf mass per area), which is consistent with multiple local observations (Castro-Esau et al., 2004; Sánchez-Azofeifa et al., 2009), the pan-tropical analysis of Asner and Martin (2012) who found smaller LMA and no differences or lower area-based light capture-growth chemical concentrations in liana leaves, and higher leaf turnover rates in liana-rich plots (van der Heijden et al., 2015). The main findings on liana structural and leaf properties (differences in leaf angle, clumping, and distributions) were also confirmed by ground truth validation based on canopy and leaf direct observations (Visser et al., 2021).

Furthermore, the ED2 (and ED-RTM) representation of infinitely thin crowns covering the entire patch and overtopping lianas probably contributes substantially to the large impact of lianas on forest albedo, and energy balance. These model structural uncertainties, even if they have been shown to be less critical than model parameter uncertainties for ED2 (Shiklomanov, Bond-Lamberty, et al., 2020) and were minimized in the model and in our analyses (clumping factor, cohort size limit), need to be accounted for in the future, by investigating the impact of plant crown size (Dietze et al., 2008) and crown depth representation (Fisher et al., 2010, 2018) in the canopy radiation model. Yet, the effects of liana abundance on albedo predicted by the model are consistent with those observed in the original stand-level spectral data, as well as the independent Worldview-3 multispectral and GatorEye UAV-LiDAR data, suggesting that the impacts of any structural biases are either minor or related to compensating errors that are not apparent in any of our diagnostics. Additional independent field data on the leaf angle

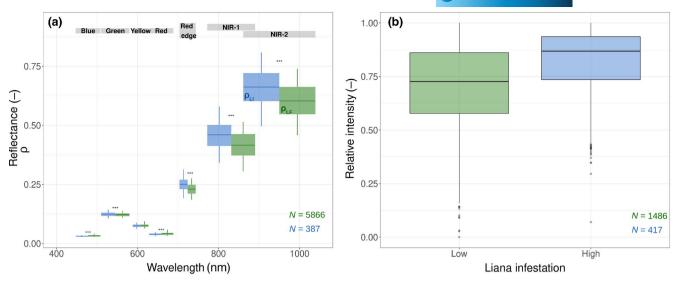


FIGURE 5 Independent evaluation of the liana impacts on forest albedo (a) and light penetration (b). In (a), we compare the mean reflectance of liana-free (green,  $\rho_{LF}$ ) and liana-infested (blue,  $\rho_{LI}$ ) canopies. Boxplot widths correspond to half the size of the bands in the Worldview-3 images (indicated above). In (b), the relative intensity detected by UAV-borne LiDAR measurements is compared between low and high liana infestation. Higher values indicate higher return rates (and hence smaller light penetration into the canopy)

distribution and leaf clumping would help rule out such compensating errors, but it is worth noting that the current parameter estimates are, at least qualitatively, consistent with our understanding of liana canopy morphology and liana ecology, more broadly. Our results are indeed in agreement with experimental observations of a steep increase in forest net productivity once lianas are removed (van der Heijden et al., 2015) and further suggest that light competition is the critical driver of competition, as expected in dense tropical ecosystems (Bongers & Sterck, 1998; Poorter et al., 2003). The strong reduction in light penetration induced by liana infestation was also evidenced in several liana removal experiments (Estrada-Villegas & Schnitzer, 2018). Finally, in the absence of better information on tree optical traits variability and to avoid overfitting issues, we chose to calibrate the ED-RTM model assuming that all trees shared the same distribution of spectral properties in each site/study. This is currently what is assumed in the ED2 model (Longo et al., 2019a). Yet, trees exhibit large variability of optical spectra (Visser et al., 2021), and therefore this assumption is an important limitation of our study and should be taken into account in future sensitivity and field analyses.

### 4.3 | Perspectives

Our study opens new avenues to estimate the contribution of lianas on seasonal and interannual changes of forest albedo observed at large scales (Ahlström et al., 2017; Asner & Alencar, 2010; Brienen et al., 2015). Since liana leaf biomass, relative to trees, can increase during the dry season (Avalos & Mulkey, 1999; Schnitzer, 2005) and is expected to soar on the longer term (Phillips, 2002; Schnitzer & Bongers, 2011), liana-induced shifts in albedo could be visible both at short and long timescales. Vegetation model simulations could

help estimate the future impact of lianas on tropical forest albedo and functioning, more broadly under contrasting global scenarios.

Our results (Figure 4 in particular) also suggest that multispectral sensors (alone or in combination with LiDAR) should be able to detect forest stands characterized by high liana coverage. In a related study, Visser et al. (2021) demonstrate that lianas can be detected from remote sensing data. They also show how radiative transfer models can assist in the estimation of liana traits from hyperspectral images of liana-infested canopies just as it is currently achieved for trees (Gong et al., 2003; Meroni et al., 2004; Serbin et al., 2015). This is because liana-rich patches are similarly sensitive to liana parameters, just like liana-free forest stands are to tropical tree parameters (Supplementary Appendix C). Together this study and the one of Visser et al. (2021) who compiled a larger and better distributed library of liana spectra suggest that liana infestation changes could be detected at large scale with remote sensing and that our findings could be valid in a larger number of sites than those investigated here.

This study is a first step towards a comprehensive understanding and quantification of the impact of lianas on tropical forests. The current belief is that the increase in liana abundance driven by climate change and exacerbated by anthropogenic pressures could accelerate global warming by decreasing the carbon sequestration capacity of ecosystems (Reis et al., 2020; Schnitzer & Bongers, 2011; van der Heijden et al., 2015). Yet, to properly validate such a dramatic climate change-carbon feedback loop and to unravel the role lianas play therein, one needs to consider the interplay between the energy, the water, and the carbon cycles. Most studies that investigated the role of lianas have neglected those interactions, primarily focusing on a single biogeochemical cycle. As illustrated here, calibrated vegetation models are key to reveal the relative magnitude of

all the interacting and contradictory impacts lianas have on forests, as well as the feedbacks they create.

While lianas remain largely ignored by vegetation models, we demonstrate here that they alter the energy balance and the carbon cycle of tropical forests, which is per se a strong argument to take them into account when predicting the future land carbon sink.

#### **ACKNOWLEDGEMENTS**

This research was funded by the European Research Council Starting Grant 637643 (TREECLIMBERS) and the Research Foundation -Flanders (FWO), senior research project G002321N. The computational resources and services used in this work were provided by the VSC (Flemish Supercomputer Center), funded by the Research Foundation - Flanders (FWO) and the Flemish Government - department EWI. During the preparation of this manuscript, FM was first funded by the BAEF and the WBI as a research fellow and then by the FWO as a junior postdoc (fellowship 1214720N) and is thankful to these organizations for their financial support. HPTDD was also a BAEF research fellow during the preparation of this manuscript and is as grateful to this organization for its support. MCD was supported by NSF ABI grant 1458021. We are grateful to the whole PEcAn group and the ED2 team for helpful discussions and support related to the functioning of BETY, PEcAn, and ED-RTM. The research carried out at the Jet Propulsion Laboratory, California Institute of Technology, was under a contract with the National Aeronautics and Space Administration. ML was supported by the NASA Postdoctoral Program, administered by Universities Space Research Association under contract with NASA. GatorEye data collection and processing by AMAZ and ENB was supported by the McIntire-Stennis program of the USDA and the University of Florida.

#### CONFLICT OF INTEREST

None declared.

# **AUTHORS' CONTRIBUTIONS**

FM, MV, MD, and HV designed the study. FM implemented the workflow, ran the simulations, and processed the results with inputs and support from MD and AS for PEcAn and ED-RTM technical aspects. Some of the co-authors contributed critical data to calibrate or validate the model outputs, including MV and HML (WorldView-3), JAG and ASA (raw spectral data), and ENB and AMAZ (GatorEye LiDAR). All authors contributed to the manuscript and critically revised it.

#### DATA AVAILABILITY STATEMENT

The data that support the findings of this study are openly available in Zenodo at https://doi.org/10.5281/zenodo.5560918, reference number 5560918.

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How to cite this article: Meunier, F., Visser, M. D., Shiklomanov, A., Dietze, M. C., Guzmán Q., J. A., Sanchez-Azofeifa, G. A., De Deurwaerder, H. P. T., Krishna Moorthy, S. M., Schnitzer, S. A., Marvin, D. C., Longo, M., Liu, C., Broadbent, E. N., Almeyda Zambrano, A. M., Muller-Landau, H. C., Detto, M., & Verbeeck, H. (2022). Liana optical traits increase tropical forest albedo and reduce ecosystem productivity. *Global Change Biology*, 28, 227–244. https://doi.org/10.1111/gcb.15928