

# A 10-year analysis of the oral squamous cell carcinoma profile in patients from public health centers in Uruguay

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**Declaration of Interests:** The authors certify that they have no commercial or associative interest that represents a conflict of interest in connection with the manuscript.

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DOI: 10.1590/1807-3107BOR-2015.vol29.0075

Submitted: Oct 03, 2014  
Accepted for publication: Feb 20, 2015  
Last revision: May 13, 2015

**Abstract:** The aim of this study was to evaluate the demographic, clinical, and therapeutic characteristics and predictive factors of poor prognosis in patients with primary oral squamous cell carcinoma (OSCC) in Uruguay. Medical records of patients with the diagnosis of primary OSCC treated between 2000 and 2010 in Uruguayan public hospitals were selected. Data on demographic characteristics, risk factors, clinical features, treatment, and outcome were collected. Associations of independent variables with outcomes were assessed using Pearson chi-squared and Fisher's tests. Of 200 patients with OSCC, 79.4% were men (3.8:1 male:female ratio), with a mean age of  $60.75 \pm 11.26$  years. Tobacco and alcohol consumption were reported by 85.3% and 63.5% of patients, respectively. The most commonly affected location was the tongue (42.5%), with lesions exhibiting ulcerous aspects in 87.9% of cases and pain at the time of diagnosis in 70.4% of cases. One hundred sixty-one (82.1%) patients had advanced-stage (III/IV) OSCC. Surgery was the most common treatment option, and the overall 5-year survival rate was 58.5%. Univariate analysis showed that the predictors of poor prognosis were clinical aspect, size, regional metastasis, clinical stage, and treatment. In Uruguay, OSCC is diagnosed late, which is associated with a low survival rate. Educational and preventive measures and investment to improve early diagnosis should be undertaken.

**Keywords:** Mouth Neoplasms; Epidemiology; Prognosis; Delayed Diagnosis.

## Introduction

Oral cancer (OC) represents a health problem worldwide due to its high morbidity and mortality.<sup>1</sup> Approximately 90% of OCs are oral squamous cell carcinoma (OSCC).<sup>2</sup> This disease usually has a poor prognosis, with a 5-year survival rate < 50%, as most cases are diagnosed at advanced stages.<sup>3</sup>

Each year, 274,000 new cases of OC are diagnosed worldwide.<sup>4</sup> Epidemiological studies have shown that the prevalence of OC differs significantly among continents and within the same country, and has been linked to the incidence of risk factors.<sup>5,6</sup> Knowledge of the epidemiological profile of OC in developing regions worldwide will help to determine the extent of the problem and guide health policy decisions. Several studies had described the incidence and patterns of OC in different parts of the world,<sup>3,7,8,9,10,11</sup> but studies of the Uruguayan population, especially those focusing on OC, are scarce.<sup>12,13,14</sup>

Between 2002 and 2006, 1,065 cases of oropharyngeal cancer were diagnosed in Uruguay, corresponding to rates of 10.29 cases per 100,000 men and 2.64 cases per 100,000 women.<sup>12</sup> Other studies performed in Uruguay have focused on risk factors related to pharyngeal cancer.<sup>13,14</sup> To our knowledge, no study describing the incidence of OC in Uruguay has been published. The aims of the present study were to evaluate the demographic and clinical features of patients diagnosed with primary OSCC at public health services in Uruguay, and to determine the predictive factors of poor prognosis in these patients. A comparative analysis with different populations was also conducted to identify discrepancies that may point to disparate etiological and predisposing factors and/or clinical behaviors of OSCC.

## Methodology

This transverse observational study was approved by the Ethics Committee on Human Research of the Faculty of Dentistry, UdelaR, and the COMPESQ-Odonto (no. 21874).

### Study population

A total of 368 cases of patients with clinical and histopathological diagnoses of primary OSCC (International Classification of Diseases for Oncology code 8070/3) evaluated between January 2000 and December 2010 at 37 public health services were identified using the database of the National Cancer Institute of Montevideo. Medical records of 291 patients were available and were evaluated manually, with the collection of information about sociodemographic characteristics (gender, age, skin color, occupation), risk factors (smoking habit, alcohol consumption), clinical features [lesion location, main clinical aspect (patch, plaque, papule, nodule, ulcer), presence of pain, clinical stage according to the tumor, nodes, and metastasis (TNM) classification<sup>15</sup>], treatment (surgery, radiotherapy, chemotherapy, associated treatments), evolution (life or death), and tumor relapse.

### Inclusion criteria

Patients for whom medical records contained at least 70% of the information required for the study were included.

### Exclusion criteria

Cases of OSCC that involved non-intraoral regions (lips, pharynges), those in which the primary site was not identified, and those for which histopathological reports were not available were excluded.

Two hundred cases were included in the study; the evolution of 77 of these cases was unknown.

### Statistical analysis

The existence of associations between independent variables and outcomes (clinical stage and evolution) was assessed using the Pearson chi-squared test and Fisher's test, with a 5% significance level. SPSS software (version 15.0 for Windows; SPSS Inc., Chicago, USA) was used for all statistical analyses.

## Results

Sample characteristics are summarized in Table 1. The male:female ratio was 3.8:1 and the mean age at the time of diagnosis was  $60.75 \pm 11.26$  (range, 24–94) years. Regarding tobacco use, 85.3% of patients reported being smokers and 68.8% of them smoked > 50 cigarettes/day. Alcohol consumption was reported by 63.5% of patients. Table 2 shows associations between patient sex and tobacco and alcohol consumption. OSCC was associated with tobacco and alcohol consumption only among male patients ( $p < 0.000$ ).

Painful symptomatology at the time of diagnosis was reported by 70.4% of patients, and the most common anatomic site affected by OSCC was the tongue, accounting for 42.5% of all cases (Table 1). OSCC distribution was not associated with patient sex ( $p = 0.543$ ). The majority of patients had the main clinical aspect of ulcer (87.9%), high T (68.5%) and N (54.7%) scores, and no distant metastasis (87.5%). One hundred sixty-one (82.1%) patients had advanced-stage (TNM III/IV) OSCC (Table 1).

Surgery was the most common treatment for OSCC. A total of 94 (49.4%) patients underwent surgery; 23 (12.1%) were treated with surgery exclusively and 71 (37.4%) received adjuvant radiotherapy. Of the 123 cases for which evolution data were available, 58.5% were alive and 41.5% patients were dead. Ninety-one (79.8%) patients were tumor free.

**Table 1.** Descriptive analysis of individual variables and lesion characteristics of patients with oral squamous cell carcinoma. (N = 200)

Variable	Absolute frequency (n°)	Relative frequency (%)	Variable	Absolute frequency (n°)	Relative frequency (%)
Skin color			Anatomic site		
White	189	96.9	Tongue	85	42.5
Black	6	3.1	Palate	35	17.5
Missing	5		Floor of the mouth	27	13.5
Gender			Others	53	26.5
Male	158	79.4	Clinical aspects		
Female	41	20.6	Patch/plaque/papule/nodule	21	12.1
Missing	1		Ulcer	152	87.9
Occupation			Missing	27	
Non-manual workers	66	34.9	Size		
Domestics workers and retired	39	20.6	T1/T2	63	31.5
Manual workers and unemployed	84	44.4	T3/T4	137	68.5
Missing	11		Regional metastasis		
Residence			N0	87	45.3
Urban	179	91.8	N1, N2 and N3	105	54.7
Rural	16	8.2	Missing	8	
Missing	5		Distant metastasis		
Tobacco consumption			MX	20	10
Yes	168	85.3	M0	175	87.5
No	29	14.7	M1	5	2.5
Missing	3		Clinical stage		
Amount of tobacco			I/II	35	17.9
> 50 cigarettes/day	97	68.8	III/IV	161	82.1
≤ 50 cigarettes/day	44	31.3	Missing	4	
Missing	27		Treatment		
Alcohol consumption			Surgical	23	12.1
Yes	125	63.5	Radiotherapy	86	45.3
No	72	36.5	Adjuvant (surgery followed by radiotherapy)	71	37.4
Missing	3		Untreated	10	5.3
Pain			Missing	10	
Yes	100	70.4	Evolution		
No	42	29.6	Life	72	58.5
Missing	58		Dead	51	41.5
			Missing	77	

**Table 2.** Association between gender and use of tobacco and alcohol.

	Tobacco		p-value	Alcohol		p-value
	Yes	No		Yes	No	
Male	149 (89.2%)	8 (27.6%)	< 0.000 <sup>§</sup>	119 (96%)	38 (52.8%)	< 0.000 <sup>§</sup>
Female	18 (10.8%)	21 (72.4%)		5 (4%)	34 (47.2%)	
Total	167 (100%)	29 (100%)		124 (100%)	72 (100%)	

<sup>§</sup>Fisher's exact test.

## Univariate Analysis

Analysis of clinical stage as the outcome (n = 200) showed that the majority of patients with advanced-stage (TNM III/IV) OSCC were smokers (p = 0.04), especially heavy smokers (p = 0.18), and presented with the clinical aspect of oral ulcer (p = 0.009; Table 3). Treatment choice was not

associated significantly with clinical stage, but all patients who received palliative or no treatment had stage III/IV OSCC. In the analysis of evolution as the outcome (n = 123), surgical treatment (associated or not with radio/chemotherapy) was shown to be the most effective treatment; 66.6% of patients who received such treatment lived (Table 4).

**Table 3.** Associations between clinical stage and variables. (N = 200)

Variable/Category	Clinical Stage		p-value*
	I/II	III/IV	
Gender			
Male	25 (71.4%)	129 (80.6%)	0.227 <sup>§</sup>
Female	10 (28.6%)	31 (19.4%)	
Missing	0	1	
Occupation			
Non-manual workers	16 (47.1%)	49 (32.5%)	0.231 <sup>#</sup>
Domestics workers/retired	7 (20.6%)	32 (21.2%)	
Manual workers/unemployed	11 (32.4%)	70 (46.4%)	
Missing	1	10	
Residence			
Urban	31 (93.9%)	144 (91.1%)	0.597 <sup>§</sup>
Rural	2 (6.1%)	14 (8.9%)	
Missing	2	3	
Tobacco consumption			
Yes	25 (73.5%)	139 (87.4%)	0.040 <sup>§</sup>
No	9 (26.5%)	20 (12.6%)	
Missing	1	2	
Amount of tobacco			
> 50 cigarettes/day	9 (45.0%)	83 (71.8%)	0.018 <sup>§</sup>
≤ 50 cigarettes/day	11 (55.0%)	33 (28.2%)	
Missing	5	23	
Alcohol consumption			
Yes	19 (55.9%)	103 (64.8%)	0.329 <sup>§</sup>
No	15 (44.1%)	56 (35.2%)	
Pain			
Yes	11 (55.0%)	86 (72.9%)	0.106 <sup>§</sup>
No	9 (45.0%)	32 (27.1%)	
Missing	15	43	
Anatomic site			
Tongue	15 (42.9%)	67 (41.6%)	0.604 <sup>#</sup>
Palate	5 (14.3%)	30 (18.6%)	
Floor of the mouth	3 (8.6%)	23 (14.3%)	
Other	12 (34.3%)	41 (25.5%)	
Clinicals aspects			
Patch/plaque/papule/nodule	7 (26.9%)	13 (9.0%)	0.009 <sup>§</sup>
Ulcer	19 (73.1%)	131 (91.0%)	
Missing	8	17	
Size			
T1/T2	35 (100%)	24 (14.9%)	< 0.000 <sup>§</sup>
T3/T4	0	137 (85,1%)	
Regional metastasis			
N0	35 (100%)	52 (33.1%)	< 0.000 <sup>§</sup>
N1, N2 or N3	0	105 (66.9%)	
Missing	0	4	
Treatment			
Surgical	7 (20.6%)	16 (10.5%)	0.170 <sup>#</sup>
Radiotherapy	13 (38.2%)	70 (46.1%)	
Adjuvant (surgery followed by radiotherapy)	14 (41.2%)	56 (36.8%)	
Untreated	0	10 (6.6%)	
Missing	1	9	

<sup>§</sup>Fisher's exact test.

<sup>#</sup>Pearson Chi-square test.

**Table 4.** Association between evolution and variables. (N = 123)

Variable/Category	Evolution		p-value*	Variable/Category	Evolution		p-value*
	Life	Dead			Life	Dead	
Gender				Anatomic site			
Male	53 (73.6%)	43 (86.0%)	0.100 <sup>§</sup>	Tongue	25 (34.7%)	28 (54.9%)	0.055 <sup>‡</sup>
Female	19 (26.4%)	7 (14.0%)		Palate	13 (18.1%)	8 (15.7%)	
Missing	0	1		Floor of the mouth	8 (11.1%)	7 (13.7%)	
Occupation				Other	26 (36.1%)	8 (15.7%)	
Non manual workers	22 (31.9%)	20 (42.6%)	0.421 <sup>‡</sup>	Clinicals aspects			
Domestics workers/retired	19 (27.5%)	9 (19.1%)		Patch/plaque/papule/nodule	13 (21.7%)	3 (6.1%)	0.020 <sup>§</sup>
Manual workers/unemployed	28 (40.6%)	18 (38.3%)		Ulcer	47 (78.3%)	46 (93.9%)	
Missing	3	4		Missing	12	2	
Residence				Size			
Urban	64 (90.1%)	45 (93.8%)	0.486 <sup>§</sup>	T1/T2	32 (44.4%)	5 (9.8%)	< 0.000 <sup>‡</sup>
Rural	7 (9.9%)	3 (6.3%)		T3/T4	40 (55.6%)	46 (90.2%)	
Missing	1	3		Regional metastasis			
Tobacco consumption				N0	44 (61.1%)	17 (34.0%)	0.016 <sup>§</sup>
Yes	56 (78.9%)	45 (90.0%)	0.105 <sup>§</sup>	N1, N2 or N3	28 (38.9%)	33 (66.0%)	
No	15 (21.1%)	5 (10.0%)		Missing	0	1	
Missing	1	1		Clinical stage			
Amount of tobacco				I/II	20 (27.8%)	3 (5.9%)	0.002 <sup>§</sup>
> 50 cigarettes/day	30 (65.2%)	28 (65.1%)	0.992 <sup>§</sup>	III/IV	52 (72.2%)	48 (94.1%)	
≤ 50 cigarettes/day	16 (34.8%)	15 (34.9%)		Treatment			
Missing	10	2		Surgical	15 (20.8%)	3 (5.9%)	< 0.000 <sup>‡</sup>
Alcohol consumption				Radiotherapy	23 (31.9%)	28 (54.9%)	
Yes	41 (57.7%)	35 (70.0%)	0.170 <sup>§</sup>	Adjuvant (surgery followed by radiotherapy)	33 (45.8%)	13 (25.5%)	
No	30 (42.3%)	15 (30.0%)		Untreatment	1 (1.4%)	7 (13.7%)	
Pain				Recidive			
Yes	28 (60.9%)	36 (78.3%)	0.070 <sup>§</sup>	Yes	15 (21.1%)	8 (19.0%)	0.791 <sup>§</sup>
No	18 (39.1%)	10 (21.7%)		No	56 (78.9%)	34 (81.0%)	
Missing	26	5					

<sup>§</sup>Fisher's exact test.<sup>‡</sup>Pearson Chi-square test.

## Discussion

The study of OSCC is extremely important, due to its high morbidity and mortality.<sup>2</sup> One of the most difficult aspects of this disease is its control, and little improvement in the survival rate has been achieved over the last 50 years.<sup>3</sup> Epidemiological studies are important to gain an understanding of changes in the cancer profile in a specific geographic location.<sup>7,8,9,10</sup> However, studies involving sizeable cohorts of Uruguayan patients with OSCC are lacking. The majority of previous studies investigated carcinomas of the oral cavity and pharynx without discriminating data according to region.<sup>11,12,13,14</sup> The present study was the first to assess the demographic aspects, clinical presentation, treatment modalities, and prognostic factors of OSCC in a representative sample of patients who visited public health services in Uruguay.

Most general characteristics observed in our study were consistent with previous reports that the majority of OSCC occurs in males, with a higher incidence in individuals in the sixth and seventh decades of life.<sup>3,8</sup> Previous studies have revealed that OSCC occurs at an earlier age in men than in women,<sup>16</sup> whereas mean ages at the time of diagnosis were similar in men (60.57 ± 9.94 years) and women (61.07 ± 15.43 years) in our study. The male:female ratio in our study is higher than those reported from other countries.<sup>9</sup> Smoking and alcoholism have been implicated widely as risk factors for OSCC,<sup>3</sup> and synergistic use is known to have a multiplicative effect. In our study, 89.2% of smokers and 96% of patients who consumed alcohol were male, similar to previously reported results.<sup>3</sup> We can thus infer that male patients are more involved with tobacco and alcohol use than women in Uruguay. De Stefani *et al.*<sup>13</sup> observed that smoking and drinking

were associated more frequently with OSCC than with pharyngeal cancer in Uruguay. In contrast, previous studies revealed that these common risk factors were not associated with tumor development in young and/or female patients.<sup>9</sup> The cause of OSCC development in young and/or female patients without typical risk factors remains unclear; family cancer history (hereditary aspect), genetic predisposition to environmental carcinogenesis, drug abuse, viral infection, immunodeficiency, and diet have been suggested as possible risk factors, but no strong evidence supports these hypotheses.<sup>17</sup>

The limits of the oral cavity are defined variably; some authors include the lips,<sup>2</sup> whereas others do not.<sup>18</sup> In the present study, OSCC in the lips was excluded because this site presents different carcinogenesis (sun exposure) and better evolution than intraoral carcinomas. Our data revealed that the tongue was the most frequently affected intraoral site, as described in previous studies.<sup>3,13</sup> The diagnosis of tongue cancer is usually delayed, allowing for local extension and metastatic spread, and thereby resulting in a poorer outcome.<sup>9</sup> The predilection for this region may be associated with the pooling of carcinogens in saliva, creating risk zones.<sup>16</sup> However, geographic and cultural differences may be linked to the intraoral distribution of OSCC. In India and nearby areas, the most frequent site of OC is the buccal mucosa, as a repercussion of the habit of smokeless tobacco use.<sup>19</sup>

The TNM classification is very useful for the definition of treatment modality, and it has been associated with prognosis.<sup>8</sup> In keeping with the literature, 82.1% of our patients had advanced (stage III/IV) OSCC, which indicates late diagnosis. Several studies have addressed this issue.<sup>3,8,16</sup> One explanation for late diagnosis is the absence of pain in the early stages of OSCC. In agreement, we observed that pain was more frequent in advanced (72.9%) than in early (55%) stages. Groome *et al.*<sup>20</sup> found that anterior tongue location, poorly differentiated cancer, presence of co-morbidities, socially marginalized patient status, current smoking, and smoking with heavy drinking were associated with late-stage OC diagnosis. Other factors related to late OSCC diagnosis are lack of regular dental care, male sex, and single status.<sup>20,21</sup> These factors are very useful for the identification of

at-risk groups who would benefit most from targeted education and screening.

Another significant finding of our study was the association of late-stage OSCC with excessive smoking habit and the presence of ulcer. Previous studies have also associated the amount of tobacco consumed with more advanced clinical stages.<sup>20</sup> The ulcerative aspect is the main clinical manifestation of all stages of OSCC. However, especially in advanced tumors, ulceration is an important finding and represents the focus of tissue necrosis due to the tumor's rapid growth.

The most important findings of our study were related to the impacts of several variables on patient survival. Univariate analysis showed that the predictors of poor prognosis were clinical aspect, size, regional metastasis, clinical stage, and treatment modality. The majority of patients who died presented an ulcerous aspect, T3/T4 tumor size, regional metastasis, and TNM stage III/IV OSCC. These results emphasize the utility of the classical TNM staging system for determining OSCC prognosis. All of these factors have been associated previously with poor prognosis.<sup>8,22</sup> The predictive value of ulcerative form for the survival of patients with OSCC is controversial, although ulcerative lesions are accepted to involve poorer prognosis.<sup>23</sup> According to the literature, the most important clinical predictor of survival remains TNM stage at the time of diagnosis. Cervical lymph-node metastasis is widely accepted to be the strongest independent prognostic factor in patients with OSCC.<sup>8,24</sup> The cure rate declines by approximately 50% when lymph node metastasis occurs.<sup>24</sup>

Important advances have been made in OSCC research in all related fields; however, surgery combined with neoadjuvant therapies remains the best therapeutic choice. New concepts, such as induction chemotherapy before radiotherapy or chemoradiotherapy, and multiagent treatment, are emerging. In a randomized controlled trial, Mishra *et al.*<sup>25</sup> demonstrated that the addition of adjuvant radiotherapy to surgery significantly improved disease-free survival in patients with stage III/IV OSCC. In confirmation of this finding, our results showed that more patients who received surgery alone

or with neoadjuvant radio/chemotherapy lived than did those who received only radio/chemotherapy. Other studies have yielded similar results.<sup>9</sup> The importance of early diagnosis is also related to treatment options, as patients with advanced-stage inoperable tumors can receive only radio/chemotherapy as palliative treatment, worsening their prognoses.

Studies of OSCC in the Uruguayan population, especially those focusing on prognosis, are scarce. Although the methodology and results of our study do not differ considerably from those of studies conducted in other countries and the lack of information in the patients' medical records is an important limitation, we believe that the retrospective analysis of data obtained from patients in specific geographic areas is of great importance, especially when the demographic profile of the population is unknown. Considering the importance of OC and the late diagnosis observed

in this study, the Uruguayan government and health professionals should consider the development of plans for prevention and early detection of these lesions. OSCC could be detected early with a simple oral examination; however, compared with other types of cancer that are not detectable by visual examination and involve more elaborate screening (*e.g.*, prostate, breast, chest, colon), the rate of early OSCC diagnosis has not improved over time. Education about OSCC risk factors and the clinical aspects of OC is important to promote self-examination.

## Conclusion

In Uruguay, OSCC is frequently diagnosed late, which is associated with a low 5-year survival rate. Educational and preventive measures and investment in strategies to improve early diagnosis should be a goal in that country.

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