MANUSCRIPT CATEGORY – ORIGINAL ARTICLE

Title:

Acoustic Radiation Force Impulse accuracy and the impact of hepatic steatosis on liver fibrosis staging

Running title: ARFI accuracy in hepatic steatosis

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8 Abstract and Keywords:

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10 Introduction:

11 The accuracy of Acoustic Radiation Force Impulse (ARFI) imaging has been validated

12 in the setting of hepatitis C, however the accuracy in the setting of fatty liver disease

13 (FLD) has been less well established. The aim of this study was to assess the

14 accuracy of ARFI in the setting of hepatic steatosis.

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16 Methodology:

17 Patients with biopsy proven or sonographically diagnosed liver steatosis were

18 assessed for ARFI trends including: inter-operator concordance, interquartile range,

19 ARFI failure rate, relationship between ARFI velocity and steatosis severity, and

20 concordance between biopsy and ARFI fibrosis scores.

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22 Results:

- 23 Three hundred and forty-nine patients were assessed (53 "biopsy" cohort and 296
- ²⁴ "ultrasound" cohort), with 28 patients having biopsy on the same day as ARFI.

Low stages of fibrosis (F0/1) were over-estimated by ARFI in 62% of cases with biopsy

correlation (n=16, p<0.001), with ARFI offering increased accuracy in regard to higher-

stage fibrosis (14/15 cases, 93%). In both the biopsy and ultrasound cohorts the failure

- rate and median inter-quartile range increased with increasing steatosis, and the inter-
- 29 operator concordance remained good across all liver steatosis severities.
- 30

31 Conclusion:

In the setting of steatosis, ARFI is very sensitive in detecting, and accurate in

diagnosing, higher stages of fibrosis regardless of steatosis severity. It tends to

34 overestimate the fibrosis category in lower stages of fibrosis. The present study does

- 35 not show conclusively if the presence of steatosis or its severity independently alters
- 36 ARFI measurements.

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39 Keywords: Acoustic Radiation Force Impulse, ARFI, liver steatosis, accuracy, liver,

64 Introduction:

Non-alcoholic fatty liver disease (NAFLD) has become a major health problem and is
now the commonest cause of chronic liver disease in Australia, affecting approximately
5.5 million Australians¹. It is estimated that NAFLD progresses to non-alcoholic
steatohepatitis (NASH) in one third of cases, with a proportion of these progressing to
cirrhosis and its complications^{2,3}. Hepatic steatosis is also significant in alcohol-related
liver disease, in chronic hepatitis C, and some drug induced liver injury, as well as a
few rare metabolic disorders.

Liver fibrosis is the best prognostic indicator for all chronic liver diseases, and liver 73 74 biopsy remains the gold standard for staging fibrosis. However, it is invasive, 75 expensive, prone to sampling error and inter-observer variability, and carries a mortality risk^{4,5}. Given these drawbacks and the prevalence of chronic liver disease and 76 associated risk of fibrosis, non-invasive techniques to assess for fibrosis are becoming 77 increasingly important. Ultrasonic based elastography is the most widely utilised non-78 invasive technique, with Transient Elastography (Fibroscan®, Echosens™, Paris, 79 France) and Acoustic Radiation Force Impulse (ARFI) imaging (Siemens, Mountain 80 View, CA, USA) the most commonly used^{4,5}. 81

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83 ARFI imaging is the relative newer technique that measures tissue elasticity by 84 measuring the velocity of ultrasound generated shear waves. Increasing stages of liver fibrosis decreases tissue elasticity, and increases shear wave velocity through the liver 85 parenchyma⁴. Unlike Fibroscan®, it has the advantage of being integrated into a 86 conventional ultrasound-imaging unit, and has a lower reported failure rate in obtaining 87 adequate readings⁶. Research has established the accuracy of ARFI in hepatitis C 88 infection in particular; however, there have been concerns that results are affected by 89 90 concomitant steatosis and/or inflammation. Similarly, the accuracy of data regarding ARFI in assessing fibrosis in other liver diseases, especially NAFLD is very limited. 91 Truncal obesity is a major impediment to all ultrasound elastography techniques, with 92 reduced accuracy with increasing skin to liver capsule distance⁷. Furthermore, ARFI 93 has been shown to be less reliable in obese patients, a significant risk factor for 94 NAFLD^{8,9}, and may be less reliable in the presence of fatty liver disease of any cause. 95 96 Meta-analyses assessing the non-invasive ultrasound methods of investigating fibrosis 97 have identified a need to assess the accuracy and failure rate of ARFI in the setting of steatosis^{5,10}. 98

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100 The aim of this study was to assess the accuracy of ARFI in the setting of steatosis by 101 reviewing patients with biopsy proven and sonographically identified hepatic steatosis.

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117 Methodology:

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This was a retrospective study of consecutive patients with chronic liver disease 118 (regardless of aetiology) referred for ARFI examination at our tertiary level institution 119 120 over a nine-month period. During this period, two operators obtained ARFI 121 measurements independently of each other and blinded to the others' results 122 ("ultrasound" cohort). Additionally the ultrasound imaging and liver biopsy histopathology results were reviewed of any patient who underwent a liver biopsy 123 within 6 months of having ARFI imaging performed since the commencement of ARFI 124 125 imaging at our institution in August 2012 ("biopsy" cohort).

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127 Cases were identified using a prospectively collected database of all ARFI cases,
128 which included patient demographics, clinical findings, biochemistry, histopathology,
129 and all ARFI readings. The Institutional Human Ethics committee approved this study.
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131 Sonographic liver steatosis analysis:

132 The 2D ultrasound images were assessed retrospectively by a senior radiology trainee (NH) and a senior radiologist with 30 years experience (RG). Discrepancies were 133 resolved by consensus. The presence and degree of steatosis was categorised into 134 two groups: (i) absent or mild steatosis, and (ii) moderate or severe steatosis. The 135 absent or mild steatosis category included cases with normal appearances of liver 136 echogenicity (absent steatosis), or a slight but definite increase in liver echogenicity 137 (mild steatosis) without any of the changes described below in the moderate to severe 138 category. Cases in the moderate to severe steatosis category had a moderate or 139 140 marked diffuse increase in liver echogenicity with impaired or no visualisation of the 141 portal tracts, plus or minus focal fatty sparing (moderate) or these changes plus beam attenuation (severe)¹¹. 142

143 **ARFI analysis:**

ARFI was performed using a Siemens Acuson S2000® ultrasound machine (Siemens, 144 145 Mountain View, CA, USA) and the Virtual Touch Tissue Quantification (VTTQ) imaging application. Studies were performed after a minimum six-hour fast, with the patient 146 supine. Measurements were acquired with the 4C1 convex transducer via a right 147 intercostal approach. Measurements were obtained, during suspended respiration, 148 149 from the right lobe of the liver in parenchyma away from biliary or vascular structures (as identified on B-mode imaging). Measurements were acquired until ten valid 150 151 readings had been obtained. No attempt was made to target specific segments of the right lobe. 152

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ARFI was performed by sonographers and one radiologist working within our radiology
department, all of who had undertaken basic ARFI training. Patients were routinely
examined by two independent operators who were blinded to each other's results.

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158 The median velocity of the ARFI set was converted into corresponding F-scores using cut-offs based on the meta-analysis of Friedrich-Rust *et al*¹², with the threshold for 159 diagnosis of significant fibrosis 1.35 m/s, severe fibrosis 1.55m/s and cirrhosis 1.80 160 161 m/s. In our analyses, inter-operator concordance was defined as the two operators having median ARFI velocities that were in the same or adjacent F score range. ARFI 162 163 failure was defined as a study that failed to obtain, with either operator, the currently 164 accepted quality parameters of an inter-quartile range (IQR):median velocity of >0.3 and/or a success rate (SR) of <60%, with the SR being the number of valid readings 165 divided by the total number of readings⁹. 166

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Accuracy was assessed by ARFI median interquartile range, inter-operator
 concordance, and ARFI failure rate in both groups; and concordance between biopsy
 fibrosis scores and ARFI fibrosis scores in those with histological results.

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172 Liver biopsy:

The liver biopsies were all percutaneous ultrasound guided core biopsies of the rightlobe of the liver using an intercostal approach. The biopsies were performed using a 14

- 175 g needle biopsy apparatus (Bard® Magnum reusable core biopsy device, Bard
- technologies Tempe Arizona, USA; or Achieve programmable automatic biopsy
- 177 system, CareFusion, San Diego, California, USA). Biopsies included in the study

178 fulfilled our quality parameters of >15 mm in length and >5 portal tracts visualised. A

- 179 single pathologist with expertise in hepatology reviewed all of the biopsies. Liver
- 180 fibrosis was scored using the METAVIR system: F0 = no fibrosis, F1 = portal fibrosis
- 181 without septa, F2 = portal fibrosis with few septa, F3 = portal fibrosis with numerous
- 182 septa without cirrhosis, $F4 = cirrhosis^{13}$. The steatosis component of the NAFLD activity
- score system was used for categorising steatosis, with the proportion of total
- hepatocytes affected by steatosis graded as: minimal <5%, mild 5-33%, moderate 33-66%, and severe > $66\%^{14,15}$.
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187 Statistical analysis:

Statistical analyses were performed using Stata 13 (StataCorp, College Station, TX,
USA). Initial descriptive analysis was undertaken to describe biopsy and ultrasound
cohorts.

Associations between ARFI velocity and fibrosis or steatosis grade were assessed
using Kruskall-Wallis test. Logistic regression and sensitivity analysis were used to
determine optimum cut-off points for ARFI velocity and fibrosis stage. Chi-square or
Fisher's exact tests were used to determine agreement between fibrosis stage defined
by ARFI and biopsy.

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- 219 Results:
- 220 Patient characteristics:
- There were 55 patients in the biopsy cohort and 296 patients in the ultrasound cohort.
- 222 On review of the biopsy cohort, the ARFI results for two patients were noted to be
- 223 outliers from the remainder of the cohort and were excluded from the analysis leaving a
- biopsy study cohort of 53.
- The characteristics of the patients are demonstrated in Table 1.
- 226

227 I: Biopsy Cohort

228 Timeline between liver biopsy and ARFI performance:

- The number of days between biopsy and ultrasound ranged from 182 days before the biopsy to 159 days after the biopsy, with the majority (28 patients, 52.8%), having their
- biopsy performed on the same day as the ARFI examination.
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233 Correlation between ARFI median velocity and histology:

- Table 2 demonstrates the relationship between median ARFI velocity and
- histopathology for the entire biopsy cohort. A significant correlation was demonstrated
- between the median ARFI velocity and the biopsy fibrosis stage with increasing median
- 237 velocity associated with an increasing fibrosis stage. No significant association was
- 238 demonstrated between ARFI and degree of steatosis. The difference in median ARFI
- value was small and was slightly higher in the moderate and severe steatosis patients.
- 241 Table 3 demonstrates the relationship between median ARFI velocity and
- histopathology for those in whom liver biopsy was on the same day as ARFI. As with
- 243 the total biopsy cohort a significant increase in median ARFI velocity was
- 244 demonstrated with increasing fibrosis stage. The median ARFI velocity was slightly, but
- not significantly, lower in cases with moderate and severe steatosis.
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247 Diagnostic performance of ARFI:

Receiver operating curves were used to determine the cut off median ARFI values for each stage of fibrosis (Table 4, Figure 1). ARFI demonstrated poor accuracy in regards to significant fibrosis ($F \ge 2$, AUROC of 0.65, 95% CI 0.50-0.80), however improved at detecting more severe fibrosis compared to lower stages ($F \ge 3$, AUROC of 0.76, 95% CI 0.61-0.90).

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Within the biopsy cohort (n=53) ARFI overestimated fibrosis in the lower stages of 254 fibrosis and was accurate in staging higher-stage fibrosis (p<0.001). ARFI 255 256 overestimated the fibrosis stage in 62% (16/26) of cases that had a biopsy proven 257 fibrosis score of F0 or F1. ARFI accurately assigned fibrosis scores of F3 or F4 in 93% 258 (14/15) of cases that were biopsy proven F3 or F4. ARFI showed poor reliability in 259 biopsy proven F2, overestimating the degree of fibrosis in 42% cases (5/12) and underestimating in 25% cases (3/12). The performance of ARFI on the same day 260 261 biopsy cohort was essentially the same as those done within 182 days.

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263 ARFI accuracy also did not improve when adjusted for degree of steatosis. Review of the biopsy cohort (n = 53) demonstrated that in the cases with minimal or mild steatosis 264 (n = 43) ARFI overestimated fibrosis scores in 60% (12/20) with a biopsy proven 265 fibrosis score of F0 or F1, and accurately assigned an F3 or F4 score in 92.3% (12/13). 266 267 In the cases with moderate or severe steatosis (n = 10) ARFI overestimated fibrosis in 67% (4/6) cases with a biopsy F0 or F1, and was accurate in both patients with F3 or 268 F4. Similar findings were demonstrated on analysis of the biopsy on the same day as 269 ARFI cohort (n = 28). Therefore, steatosis made no difference with accurate readings 270 271 in F3 and F4, and there was a tendency to over estimate fibrosis in F0-2, regardless of steatosis grade. 272

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274 II Biopsy and Ultrasound Cohort (combined):

275 Interquartile range, failure rate and inter-operator concordance:

The interquartile range of the ARFI velocities obtained on an individual patient is used as a surrogate for reliability of readings. Increased steatosis was associated with increased IQR, suggesting lower reliability. The median IQR of 0.23 was seen in cases of no or mild steatosis compared with 0.37 for cases with moderate or severe steatosis (p= 0.001). This trend was the same in the biopsy cohort although the relationship was not statistically significant (p 0.143).

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283 Table 5 and 6 show the IQR, inter-operator concordance and failure rate in the biopsy cohort (Table 5) and the ultrasound cohort (Table 6). Failure rate was defined as 284 IQR:median velocity >0.3 or success rate <60%.. In both the biopsy and ultrasound 285 286 cohorts, the operator failure rate increased with increasing steatosis. In the biopsy cohort 287 when assessing the moderate to severe steatosis cases, the failure rate increased by 14% for 288 the first operator and by 19% for the second operator. In the ultrasound cohort when assessing 289 the moderate to severe steatosis cases, the failure rate increased by 16% for the first operator 290 and by 17% for the second operator.

- There was good concordance at ≥ 80% between the first and second operators
 regardless of the degree of steatosis in both the ultrasound only and biopsy cohorts.
- 298 **Discussion**:

This is the first study to assess the accuracy of ARFI in the setting of hepatic steatosis in an Australian population. Our study has shown a step-wise increase in ARFI median shear wave velocity with increasing fibrosis stage, consistent with results demonstrated by Yoneda et al.¹⁶ and Fierbintenau-Braticevici et al.¹⁷. The receiver operating curve analyses did not demonstrate ARFI to have the same degree of accuracy in diagnosing/staging fibrosis as some other reports, which may be due to the small numbers^{16, 17,18}.

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291

ARFI was shown to offer improved accuracy and sensitivity with higher degrees of 307 fibrosis ($F \ge 3$) consistent with other studies^{16,17,18}. One of the important findings on liver 308 309 histology or ultrasound elastography is to establish if there is high stage fibrosis or 310 cirrhosis present as these patients are those with the poorest prognosis and are at risk 311 for complications. Whilst a high degree of accuracy in the lower stages of fibrosis would be ideal, in general the lower degrees of fibrosis have less importance in terms 312 of management decisions. ARFI was 93% sensitive in detecting advanced fibrosis 313 (F>3). 314

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The ARFI failure rate (i.e. IQR:median velocity >0.3 or success rate <60%.) and median IQR increased with increasing steatosis. These variables have not been analysed in prior studies dedicated to ARFI analysis in the setting of hepatic steatosis. They have

been investigated in studies analysing the impact of obesity on ARFI, but obesity doesnot necessarily equate with steatosis.

321

This is the first study to use inter-operator concordance as a measure of the accuracy of ARFI in the setting of hepatic steatosis. We showed good concordance between the two ARFI examiners regardless of the severity of steatosis.

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The small number of patients with moderate to severe steatosis was a limitation of this study as has been the case with other studies. Consequently we may not have adequately represented the full range of variability in this population in our study. Additionally we did not assess the impact of active inflammation on the ARFI and as such we are unable to comment on the potential influence of inflammation in this study.

331

332 In conclusion our study has shown that in the setting of steatosis, ARFI is very 333 sensitive in detecting, and most accurate in diagnosing severe fibrosis and cirrhosis in 334 regardless of the presence or degree of steatosis. The tendency of ARFI to upstage the fibrosis category in lower stages of fibrosis (F0/F1) needs to be considered when 335 interpreting results. The present study does not show conclusively if the presence or 336 severity of steatosis independently alters ARFI measurements. Previous reports have 337 suggested that steatosis decreases ARFI readings^{16,17} but this study shows that the 338 effect, if real, is small. As might have been expected given previous studies examining 339 the effect of obesity on ARFI, the failure rate and median inter-guartile range increased 340 341 with increasing steatosis. However the inter-operator concordance remained good 342 across all steatosis severities.

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This may help clarify the accuracy and reliability of ARFI in the presence of hepatic steatosis, with the potential to aid those performing and interpreting results to facilitate a more meaningful test result for patient management.

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424 Figure 1: Receiver operating characteristic curves of the ability of ARFI to accurately 425 predict different stages of fibrosis. i: Fibrosis \ge F2, ii: fibrosis F \ge F3.

	Characteristic Biopsy co
453	Table 1: Patients characteristics
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Characteristic	Biopsy cohort	Ultrasound cohort
Mean Age (years)	48.2 (SD 15.1)	50.5 (SD 14.3)
Gender	Females: 26 (49.1%)	Females: 153 (51.7%)
	Males: 27 (50.9%)	Males: 143 (48.3%)
Steatosis grade	Biopsy	Ultrasound analysis

	Minimal: 24 (45.3%)	Absent/mild: 188 (63.9%)
	Mild: 19 (35.9%)	Moderate/severe: 108
	Moderate: 4 (7.5%)	(36.5%)
Ţ	Severe: 6 (11.3%)	
Biopsy F stage	F0: 14 (26.4%)	
	F1: 12 (22.6%)	
	F2: 12 (22.6%)	
	F3: 8 (15.1%)	
0	F4: 7 (13.2%)	
ARFI fibrosis	F0/1: 14 (26.4%)	F0/1: 164 (55.4%)
category	F2: 9 (17.0%)	F2: 35 (11.8%)
	F3: 12 (22.6%)	F3: 19 (6.4%)
	F4: 18 (34.0%)	F4: 78 (26.4%)
σ		

456 Table 2: Relationship between histopathologic findings and ARFI velocity in patients

457 with hepatic steatosis.

			ARFI velocity	
	Histopatho	logic Findings	Overall median	P value
			value (IQR)	
	Fibrosis stage: n =53	Steatosis grade		0.038
	F0 & F1 (n = 26):	Minimal and mild: 10	1.41 (1.27 – 1.84)	
		Moderate and severe: 16		
	F2 (n = 12):	Minimal and mild: 6	1.44 (1.31 – 1.71)	
		Moderate and severe: 6		
	F3 (n = 8):	Minimal and mild: 5	2.26 (1.70 – 2.44)	
		Moderate and severe: 3		
	F4 (n = 7):	Minimal and mild: 3	2.28 (1.59 – 2.53)	
		Moderate and severe: 4		

458 Note – the p values describe the significance of the relationship between the median ARFI

459 velocity and the fibrosis stage on biopsy, Kruskall-Wallis test.

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	ABEL velocity
472	with hepatic steatosis who had liver biopsy on the same day as ARFI
471	Table 3: Relationship between histopathologic findings and ARFI velocity in patients
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		AIIIIVeloci	LY
Histopatho	logic Findings	Overall median	P value
		value (IQR)	
Fibrosis stage: n = 28	Steatosis grade		0.041
F0 & F1 (n = 12):	Minimal and mild: 5	1.35 (1.25 – 2.00)	
σ	Moderate and severe: 7		
F2 (n = 6):	Minimal and mild: 3	1.39 (1.15 – 1.69)	
	Moderate and severe: 3		
F3 (n = 4):	Minimal and mild: 3	2.44 (2.28 – 2.76)	
	Moderate and severe: 1		
F4 (n = 6):	Minimal and mild: 2	2.39 (1.75 – 2.53)	
	Moderate and severe: 4		

- 473 Note the p values describe the significance of the relationship between the median ARFI
- 474 velocity and the fibrosis stage on biopsy, Kruskall-Wallis test.

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486 Table 4: Diagnostic performance of Acoustic Radiation Force Impulse imaging in

487 determining biopsy stage of fibrosis using receiver operating curve analysis

				ARFI Medi	ian Velocity
	-		Stage	e ≥ 2 vs. stage 0-1	Stage ≥ 3 vs. stage 0-
	C				2
	Optimum cut	-off (m/s)		1.48	1.58
	Sensitivity (%	6)		74	93
	Specificity (%	6)		58	58
	Positive pred	lictive valu	ıe (%)	65	47
	Negative pre	dictive val	ue (%)	68	96
	Area under c	urve (Cl 9	5%) 0	.65 (0.50-0.80)	0.76 (0.61-0.90)
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496					
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500					
501	Table 5: Relat	tionship be	tween degree of	steatosis, the Acou	ustic Radiation Force
502	Impulse (ARF	I) Imaging	parameters and	concordance with I	biopsy stage, in the biopsy
503	cohort.				
	Steatosis	Median	Inter-	Failure rate	ARFI fibrosis score
	on biopsy	IQR	operator		correlation with fibrosis
			concordance		stage on biopsy
	Minimal and	0.41	80%	First operator:	Agreement [†] : 23/43 (54%)

mild		50%	Underestimated: 3/43
(n = 43)		Second operator:	(7%)
		45%	Overestimated: 17/43
			(40%)
Moderate 0.6	68 80%	First operator:	Agreement [†] : 5/10 (50%)
and severe		64%	Underestimated: 1/10
(n =10)		Second operator:	(10%)
		64%	Overestimated: 4/10
			(40%)
+ Agreement indic	ates within the same	e or adjacent histologic	al F stage.
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Table 6: Relations	ship between degree	of steatosis and the A	coustic Radiation Force
Table 6: Relations Impulse (ARFI) im	ship between degree haging parameters in	of steatosis and the A the ultrasound cohort	coustic Radiation Force
Table 6: Relations Impulse (ARFI) im Steatosis on	ship between degree haging parameters in Median IQR	of steatosis and the A the ultrasound cohort Inter-operator	coustic Radiation Force Failure rate
Table 6: Relations Impulse (ARFI) im Steatosis on ultrasound	ship between degree haging parameters in Median IQR	of steatosis and the A the ultrasound cohort Inter-operator concordance	coustic Radiation Force Failure rate
Table 6: Relations Impulse (ARFI) im Steatosis on ultrasound Absent and mild	ship between degree haging parameters in Median IQR 0.23	of steatosis and the A the ultrasound cohort Inter-operator concordance 88%	coustic Radiation Force Failure rate First operator: 34%
Table 6: Relations Impulse (ARFI) im Steatosis on ultrasound Absent and mild (n = 188)	ship between degree aging parameters in Median IQR 0.23	e of steatosis and the A a the ultrasound cohort Inter-operator concordance 88%	Failure rate First operator: 34% Second operator: 34%
Table 6: Relations Impulse (ARFI) im Steatosis on ultrasound Absent and mild (n = 188)	ship between degree aging parameters in Median IQR 0.23	e of steatosis and the A a the ultrasound cohort Inter-operator concordance 88%	First operator: 34% Second operator: 33%
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