Gamma and proton irradiation effects and thermal stability of electrical characteristics of metal-oxide-silicon capacitors with atomic layer deposited Al₂O₃ dielectric

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ABSTRACT

The radiation hardness and thermal stability of the electrical characteristics of atomic layer deposited Al₂O₃ layers to be used as passivation films for silicon radiation detectors with slim edges are investigated. To directly measure the interface charge and to evaluate its change with the ionizing dose, metal-oxide-silicon (MOS) capacitors implementing differently processed Al₂O₃ layers were fabricated on p-type silicon substrates. Qualitatively similar results are obtained for degradation of capacitance-voltage and current-voltage characteristics under gamma and proton irradiations up to equivalent doses of 30 Mrad and 21.07 Mrad, respectively. While similar negative charge densities are initially extracted for all non-irradiated capacitors, superior radiation hardness is obtained for MOS structures with alumina layers grown with H₂O instead of O₃ as oxidant precursor. Competing effects between radiation-induced positive charge trapping and hydrogen release from the H₂Ogrown Al₂O₃ layers may explain their higher radiation resistance. Finally, irradiated and nonirradiated MOS capacitors with differently processed Al₂O₃ layers have been subjected to thermal treatments in air at temperatures ranging between 100°C and 200°C and the thermal stability of their electrical characteristics has been evaluated. Partial recovery of the gamma-induced degradation has been noticed for O₃-grown MOS structures. This can be explained by a trapped holes emission process, for which an activation energy of 1.38 ± 0.15 eV has been extracted.

Keywords: Al₂O₃; ALD; gamma irradiation; proton irradiation; irradiation effects; thermal stability

1. INTRODUCTION

In recent years, a number of high permittivity (high-k) dielectrics have been investigated for a wide range of micro/nanotechnology applications, Al₂O₃ being amongst the most studied ones [1,2]. The requirements of large area uniformity, conformality, accurate thickness control of the dielectric layers and low-temperature budget can be achieved by means of atomic layer deposition (ALD) technique. Interestingly, as a difference to other conventional thermally grown or deposited dielectrics like SiO₂ and Si₃N₄, for which positive fixed charge densities are generally obtained, intrinsic negative charges are found for ALD Al₂O₃ films [3]. The ultimate origin of the negative charges in Al₂O₃ films is still under debate, however, it has been pointed that aluminum vacancies and oxygen interstitials produce levels in the lower half of Al₂O₃ bandgap, which are candidates to trap negative charges [4,5]. These defect states may be charged by electron tunneling from Si substrate into Al₂O₃. It has been demonstrated that the presence of a SiO₂ interlayer between Si and Al₂O₃ plays a key role in negative charge formation. An increase in SiO₂ interlayer thickness is known to decrease the effective negative charge densities, eventually leading to positive charge densities for SiO₂ interlayer thicknesses in the range of 5 nm to 10 nm [6]. This has been attributed to a reduction of tunnelling of electrons into SiO₂/Al₂O₃ negative traps, as well as to the introduction of fixed and bulk positive charges at the Si/SiO₂ interface and SiO₂, respectively [6].

In the last years, excellent surface passivation properties for Al_2O_3 layers on high-efficiency crystalline silicon solar cells have been proven by a considerable number of studies [7,8]. Passivation is partly attributed to the electric field induced by the negative charges, which

repels charge carriers from the silicon cell surface, thus avoiding their recombination [3]. Additionally, a chemical passivation mechanism is associated with the reduction of surface recombination by a low Si/Al₂O₃ interface defect density [5]. Optimal passivation results have been obtained for Al₂O₃ layers with thicknesses typically in the range of 20-30 nm [3,5,7], while lower properties have been appreciated for thinner layers (<10 nm), what has been attributed to a reduced passivation at the Si/Al₂O₃ interface [5].

More recently, in the field of silicon tracking detectors for high-energy physics experiments, interest has also arisen for ALD Al₂O₃ passivation layers. Silicon tracking detectors are key sub-systems of any modern particle physics experiment. They provide charge particle tracking with high spatial resolution, and are capable of operating in harsh radiation environments [9,10]. Building a full size system in a large experiment, such as ATLAS [11] and CMS at CERN, is a challenging endeavour, due to the practical aspects of instrumenting large area with hermetic coverage. Typically sensors contain inactive regions at their periphery, which requires either tiling them to achieve the hermeticity, or introduction of additional layers to compensate for the acceptance gaps. There are similar requirements in other fields (e.g. medical imaging) that would benefit from maximizing sensor's active area fraction. As a part of the ATLAS Upgrade R&D, we are developing technologies for minimizing the inactive area at the periphery of the sensors, so called "slim edge" approach, to enable hermetic tiling of sensors into large instrumented areas. The method of choice in our investigation is scribe-cleave-passivate (SCP) [12]. Scribing and cleaving steps result in high surface quality on the sidewall, with low defect density [13,14]. The passivation with proper interface charge repels the carriers from the sidewall effectively achieving a resistive surface [15]. This is analogous to the voltage drop along the top surface in the guard ring

region of a single-sided sensor, where a certain distance is required to sustain a given bias voltage [16]. In the SCP method the sidewall takes the role of the top region allowing the voltage gradient on its surface. For p-type bulk devices we need to use alumina (Al₂O₃) to passivate the sidewall and to provide negative interface charge with silicon, so that minority charge carriers are repelled and surface recombination is reduced. Radiation tests on such devices indicated relatively low radiation resistance for low fluences [17] with protons, but not with neutrons, indicating possible surface damage.

In recent years, a lot of work has been devoted to physical and electrical characterization of high-k dielectrics, as well as to reliability issues [18]. However, much less is known about their behaviour in radiation environments. Different works have been published on radiation effects on a limited number of high-k dielectrics, with Al₂O₃ amongst them [19-22]. However, these have been mostly limited to irradiations with X-rays, electrons or heavy ions, and separated studies addressing either capacitance-voltage (C-V) or current-voltage (I-V) characterization have been generally considered. Moreover, little is known about annealing of radiation-induced damage in ALD Al₂O₃ dielectric films [23], the thermal stability of their electrical characteristics being of particular interest.

In this work, we are specifically addressing the radiation hardness of the alumina layer with ionizing radiation. To directly measure the interface charge and to evaluate its change with the ionizing dose, we manufactured Metal-Oxide-Silicon (MOS) capacitors. We varied some details of the Al₂O₃ layers processing sequence, including the use of H₂O or O₃ as oxidant precursors, film thickness and post-processing annealing. We then irradiated the different sample categories with gammas and protons and studied the effects on the electrical

characteristics, as well as their thermal stability. The results indicate that some of the processing variations can produce radiation-hard alumina layers.

2. EXPERIMENTAL DETAILS

2.1. Al₂O₃ MOS capacitors fabrication

MOS capacitors were fabricated in Centro Nacional de Microelectrónica (CNM) cleanroom on four 100 mm-diameter (100)-oriented Czochralski-grown p-type silicon wafers with 0.1- $1.4 \Omega \cdot cm$ resistivity. After cleaning in H₂O₂/H₂SO₄ and a dip in HF (5%), a wet thermal oxidation process at 1100 °C in a quartz tube furnace resulted in a 400 nm-thick SiO₂ layer, which was patterned by photolithography and wet etching. In order to investigate any possible process-related radiation hardness differences, blanket Al₂O₃ dielectric layers with nominal thickness of 20 nm and 40 nm were deposited by ALD in two different facilities, CNM and U.S. Naval Research Laboratory (NRL) (Table 1).

Deposition of CNM Al₂O₃ layers was carried out immediately after cleaning in H₂O₂/H₂SO₄ and a dip in HF (5%). The films were deposited at 250°C in a Cambridge NanoTech Savannah 200 system, by using trimethylaluminium (TMA) and O₃ as precursors, and N₂ as carrier and purge gas. For CNM layers O₃ was chosen as oxidant precursor, so as to reduce possible blistering phenomena. Al₂O₃ layer blistering is associated with a local delamination of the film and is thought to be caused by gaseous desorption upon thermal treatments of the ALD layers, where the dielectric films may act as a gas barrier, thus giving rise to bubble

formation [5,24]. Blistering may be encountered for relatively thick (>10 nm) Al_2O_3 ALD layers and it has been found to be reduced when using O₃ oxidant instead of H₂O [25].

For the case of NRL samples, an additional Silox Vapox III etch of about 20 seconds was carried out, so as to remove any possible native oxide formed during handling and shipping of the wafers from CNM to NRL. Subsequently, ALD was quickly performed (within 1 minute, load-lock and applying vacuum to minimize oxidation in air). NRL Al₂O₃ layers were deposited at 300°C in an Oxford Plasma ALD system, by using trimethylaluminium (TMA) and H₂O as precursors, and N₂ as carrier and purge gas. A post-deposition anneal (PDA) treatment of 10 minutes at 300°C in H₂ atmosphere was carried out for NRL wafers, just after ALD.

The Al₂O₃ film thickness was measured prior to gate formation by means of a Rudolph Research Auto EL Ellipsometer, using an index of refraction of 1.64, as well as by a Nanometrics Nanospec 6100 interferometer. The obtained physical thickness values are given in Table 1. A 500 nm-thick Al (99.5%)/Cu (0.5%) layer was deposited as the metal gate of the MOS capacitors. After patterning the metal layer by photolithography and wet etching, the back of the wafers was fully metalized with a 500 nm-thick Al layer for electrically contacting the silicon substrate. Finally, the wafers were cut into halves and one half underwent a forming gas (N₂/(10%) H₂) post-metallization annealing (PMA) step at 350 $^{\circ}$ C for 30 minutes. The fabricated MOS capacitors are square-shaped with five different surface areas (A) ranging from 9.6x10⁻³ cm² to 6.4x10⁻⁵ cm². No blistering phenomena were observed on neither CNM nor NRL Al₂O₃ MOS capacitors subjected or not to the PMA

treatment. If the contrary is not indicated, MOS structures with 2.3×10^{-3} cm² and 6.4×10^{-5} cm² areas were used for C-V and I-V measurements, respectively.

2.2. Irradiation and electrical characterization

MOS capacitors were subjected to unbiased gamma and proton irradiations (the gates of capacitors were left floating) at room temperature. While gamma irradiations were carried out at Brookhaven National Laboratory for six different doses (0.1 Mrad, 0.3 Mrad, 1 Mrad, 3 Mrad, 10 Mrad and 30 Mrad), 800 MeV proton irradiations were performed in Los Alamos National Laboratory for six different fluences (1.39x10¹² p/cm², 6.94x10¹² p/cm², 1.92x10¹³ p/cm², 7.93x10¹³ p/cm², 1.47x10¹⁴ p/cm² and 6.84x10¹⁴ p/cm²), with corresponding equivalent doses in the range of the gamma irradiations (0.043 Mrad, 0.214 Mrad, 0.591 Mrad, 2.44 Mrad, 4.53 Mrad and 21.07 Mrad). The equivalent total ionization dose (TID) has been computed according to tables of proton stopping power in silicon maintained by NIST [26].

Capacitance-voltage (C-V) and current-voltage (I-V) characteristics were measured using an HP-4192 A LF impedance analyzer and an HP 4155B semiconductor parameter analyser, respectively. C-V measurements were performed at a signal frequency (f) of 100 kHz for both, inversion to accumulation and accumulation to inversion voltage sweeps. The capacitance of the MOS structures in accumulation regime was assumed as the oxide capacitance (C_{ox}) and it was used to estimate the equivalent oxide thickness (EOT) of the dielectric layers. The hysteresis was defined as the difference between the extracted flat-

band voltages (V_{fb}) corresponding to the two voltage sweeps (V_{hys} = V_{fb_inv_to_acc}-V_{fb_acc_to_inv}). An estimation of the effective trapped charge density (N_{eff}), defined as a charge located at the silicon/insulator interface, was obtained from the comparison between the extracted V_{fb} values and the ones expected for an ideal MOS structure with 4.25 eV metal work function, corresponding to the aluminium gate electrode. The conductance versus voltage (G-V) characteristics were also recorded. An estimation of the interface states density (D_{it}) was obtained from the peak of the parallel conductance (G_p) derived from the G-V measurements [27,28]:

$$D_{it} \approx \frac{2.5}{q \cdot A} \cdot \frac{G_p}{2\pi f} \tag{1}$$

where q is the electron charge.

3. RESULTS AND DISCUSSION

3.1. Non-irradiated devices

Capacitance-voltage characteristics

Figure 1 shows an example of typical C-V characteristics measured from inversion to accumulation and accumulation to inversion for different Al₂O₃ MOS capacitors corresponding to the four fabricated wafers described in Table 1. As it can be observed, significant flat band voltage differences can be appreciated between samples that received

or did not receive a PMA treatment. Moreover, hysteresis between the curves measured with the two voltage sweeps directions can be easily appreciated for all the samples that have not been subjected to a PMA treatment. This observed counter clockwise hysteresis on p-type Si capacitors is attributed to silicon/dielectric interface and near interface border traps [29], which may get charged and exchange electrons with the silicon substrate depending on the voltage sweep direction [27,30].

In agreement with previous results on thinner layers, the effect of a PMA treatment is found to decrease the density of negative effective trapped charges [31], as well as to significantly reduce C-V hysteresis [32,33] and interface states density [22,34]. This can be appreciated in Fig. 1 inset, where the observed peaks in the conductance characteristics are significantly reduced after the PMA treatment. Annealing out of dangling bonds at silicon/dielectric interface in such PMA hydrogen-rich ambient is believed to be responsible for the observed beneficial effects [32,34].

In the present study, a PMA has also been found to significantly reduce any wafer level variability of C-V and I-V characteristics. This can be appreciated in Table 2 results, where the most relevant electrical parameters extracted from the C-V characterization on a set of 25 MOS capacitors are given. As expected, negative N_{eff} values are obtained for all Al₂O₃ layers. Interestingly, although higher densities of negative effective trapped charges are noticed for NRL dielectric layers compared to CNM ones, the results become quite similar after a PMA treatment. These results are in agreement with previous studies where higher negative N_{eff} values have been obtained for ALD Al₂O₃ layers grown with O₃ compared to H₂O as oxidant source [31,35,36], which has been attributed to a higher O/Al ratio at the

semiconductor/dielectric interface for O₃-grown films, together with the fact that aluminum vacancies and oxygen interstitials are believed to introduce negative fixed charge levels within Al₂O₃ bandgap [4,5]. As shown in Table 2 results, and in further agreement with previous studies [36,37], the N_{eff} differences between ALD Al₂O₃ layers grown with O₃ and H₂O as oxidant source diminish after the PMA thermal treatment, which may be explained in terms of an interfacial restructure [37].

From Table 2, higher interface states density values (D_{it}) are obtained before PMA for CNM dielectric layers compared to NRL ones. The higher density of dangling bonds in CNM Al₂O₃ layers can be explained by a lower hydrogen contents in the layers grown with O₃ compared to the ones using H₂O as oxidant source [35,37,38]. From Table 2 results, D_{it} differences between O₃ and H₂O-grown layers diminish after the samples have been subjected to the hydrogen-rich atmosphere during the PMA treatment. This is also in agreement with some previous studies [36,37].

The extracted values for the equivalent oxide thickness (EOT) are in the range of 19.4 nm – 22.9 nm and 10.6 nm - 12.3 nm for the 40 nm and 20 nm-thick Al₂O₃ layers, respectively. By taking into account the measured physical thickness results of Table 1, a relative permittivity in the range of 1.9 to 2 times and 1.75 to 1.85 times the value corresponding to SiO₂ is obtained for CNM and NRL layers, respectively. This is in agreement with previous values obtained on comparable MOS capacitors with thinner (10 nm) ALD Al₂O₃ dielectric layers [21].

Current-voltage characteristics

Figure 2 shows typical current density versus applied voltage characteristics measured for Al₂O₃ MOS capacitors corresponding to the four fabricated wafers described in Table 1. As can be seen, higher conduction levels are generally observed at high voltages (with absolute values higher than about 10 V and 20 V for 20 nm and 40 nm Al₂O₃ layers, respectively) for CNM samples compared to NRL ones. This can be partly explained by the higher thickness values obtained for NRL Al₂O₃ layers compared their CNM counterparts, as it has been observed in Table 1 physical and Table 2 electrical results. In this high electric field region, which would correspond to values in the range of 5 MV/cm and above, no significant electrical conduction differences have been observed between samples subjected or no to the PMA treatment, until dielectric breakdown occurs, what is registered as a sudden increase in current density, reaching a pre-established current compliance level. The current-voltage characteristics in this region have been found to scale with capacitor area, thus indicating conduction through the full high-k dielectric active area.

With regard to electrical conduction mechanisms, Fowler-Nordheim (FN) tunnelling is thought to be responsible for the fixed slope region of conduction just before the dielectric breakdown events. In this sense, a good agreement is observed in Figure 2 between the measured curves and the round symbols plots corresponding to FN conduction law fitting. From these fits, reasonable FN barrier values between 2.5 eV and 4.3 eV have been extracted for the MOS capacitors with the different Al₂O₃ layers, by considering the effective mass of electrons in alumina as 0.2 times the free electron mass [39,40]. These results are in agreement with previous studies published in the literature for electrical conduction of ALD

Al₂O₃ layers at high electric fields [21,40-42]. In the low accumulation voltages region of the I-V characteristics, reduced conduction and higher uniformity for the I-V curves have been observed for the samples subjected to the PMA treatment, compared to their No PMA counterparts. A study of the conduction mechanisms through thinnest (11.6 nm) Al₂O₃ layers with PMA pointed to Poole-Frenkel as the dominant conduction mechanism for this lower electric field region [21].

From Figure 2, dielectric breakdown events occurring for absolute voltages in the range of 30 V to 40 V and 15 V to 20 V could be already anticipated for MOS capacitors with 40 nm and 20 nm-thick Al₂O₃ layers, respectively. In order to better evaluate the Al₂O₃ layers reliability, dielectric breakdown has been studied. For this purpose, ramped I-V characteristics of a set of 25 capacitors for each dielectric layer condition were measured and the breakdown voltages (V_{BD}) were recorded. The cumulative breakdown distribution, F, is defined as [43]:

$$F(V_{BD}) = 1 - e^{-\left(\frac{|V_{BD}|}{\alpha}\right)^{\beta}}$$
(2)

where α is the voltage at which 63% of the capacitors have broken down and β is the Weibull slope, indicating the width of the distribution.

The extracted α and β parameters from the Weibull dielectric breakdown distributions are shown in Table 2. In view of the obtained dielectric breakdown distributions, good yield and uniformity have been observed for all the studied Al₂O₃ layers. The somewhat higher V_{BD} values for NRL layers compared to CNM ones can be explained by their higher thickness values. On the other hand, apart from slightly higher V_{BD} values registered for 40 nm CNM layers with PMA compared to their no PMA counterparts, no clear impact of PMA treatment on dielectric breakdown has been observed.

3.2. Irradiated devices

Capacitance-voltage characteristics

Figure 3(a) shows an example of typical C-V characteristics measured from inversion to accumulation and accumulation to inversion for gamma-irradiated 40 nm CNM Al₂O₃ MOS capacitors. A progressive negative shift of the curves is observed with increasing gamma irradiation dose, indicating positive charge build-up in the Al₂O₃ dielectric layer. The ionizing radiation creates electron-hole pairs in the dielectric layer and part of the low mobility holes get trapped in the oxide [19]. An estimation of the effective trapped charge density (N_{eff}) is plotted as a function of irradiation dose in Fig. 3(b). Interestingly, a different behaviour with irradiation is observed for the samples with the Al₂O₃ layer deposited at CNM and NRL facilities. In this way, while similar negative charge densities, in the range of -1.25×10^{12} cm⁻² to -1.45×10^{12} cm⁻², are initially encountered for all non-irradiated capacitors, a clear radiation-induced positive charge trapping is observed for the samples with CNM Al₂O₃ layers. Charge trapping rates around 0.5×10^{12} cm⁻² to 1×10^{12} cm⁻² per decade of irradiation dose can be estimated for CMM samples, what appears to be about 1.5 or 2 times slower than previous results corresponding to 2 MeV electron irradiation on comparable 10nm-

thick AI_2O_3 CNM layers [21]. It is important to note for the intended applications that positive N_{eff} values are finally obtained for the most irradiated capacitors with CNM AI_2O_3 layers (Fig. 3(b)).

As it can be also seen in Fig. 3(b), qualitatively similar results are obtained for C-V curves degradation under equivalent doses of gamma and proton irradiations, although the obtained N_{eff} values are always slightly more negatives for proton irradiation.

Interestingly, no significant N_{eff} changes are observed for NRL dielectric layers up to doses of about 1 Mrad, thus resulting to be quite radiation-harder than their CNM counterparts. Moreover, even slightly more negative N_{eff} values are extracted for these samples under the highest irradiation doses. From these results, the existence of competing mechanisms in the radiation-induced degradation of NRL MOS capacitors can be envisaged. In fact, similar radiation-hardness differences were observed in the past for the case of ALD HfO₂ dielectric layers with some process annealing differences and exposed to similar doses with 10 KeV X rays [19]. Moreover, a radiation-induced passivation of interface traps was observed on similar ALD Al₂O₃ layers grown with H₂O oxidant, which was attributed to a beneficial effect of radiation-induced hydrogen release from the dielectric layers [44]. Our results could be explained by the competing effects between radiation-induced positive charge trapping and hydrogen release from NRL H₂O-grown Al₂O₃ layers.

With regard to hysteresis in C-V characteristics, and as a difference with previous results from 2 MeV electron irradiation on thinner (≤ 10 nm) Al₂O₃ CNM layers [22], no significant changes in the magnitude of C-V curves hysteresis are appreciated here under the studied

gamma and proton irradiations, which is in agreement with the nearly unchanged values extracted for interface states density (D_{it}). Finally, it has to be commented that no appreciable gamma or proton radiation-induced variations have been detected for semiconductor doping concentration. The extracted values from inversion region of C-V characteristics are all in the range between 2×10¹⁶ cm⁻³ and 4×10¹⁶ cm⁻³, and within the nominal substrate specifications range ($3.4x10^{15}$ cm⁻³ to $7.8\cdot10^{16}$ cm⁻³).

Current-voltage characteristics

Figure 4(a) shows the measured current density versus applied voltage characteristics for non-irradiated and gamma irradiated 40 nm CNM Al₂O₃ MOS capacitors. A progressive increase of the current density through the dielectric layer is observed, thus indicating radiation-induced degradation of the Al₂O₃ film for increasing gamma irradiation dose. The degradation is relatively more important in the low electric field region of the I-V characteristics and smaller changes are appreciated in the high field slope of conduction (Fowler-Nordheim tunnelling) just before the dielectric breakdown events. These results are consistent with previous studies of 2 MeV electron irradiation on 10nm-thick Al₂O₃ CNM layers [21], as well as with the observed positive charge trapping from C-V characteristics. While C-V technique mainly assesses charges located near the semiconductor/dielectric interface, I-V characterization is especially sensitive to potential barrier changes near the injecting electrode [45]. As the I-V curves were measured in accumulation regime, injected electrons from the gate are expected to encounter a decreased dielectric potential barrier due to trapped positive charges near the injecting metal/dielectric interface [45].

Alternatively, some increase in the leakage current could be also partly explained in terms of a trap assisted tunnelling phenomenon, where the generation of traps is responsible for a radiation-induced leakage current (RILC) [46,47].

Qualitatively similar results have been obtained for I-V curves degradation under equivalent doses of gamma and proton irradiations. This can be appreciated in Fig. 4(b), where the radiation-induced voltage shift of I-V characteristics at a fixed current density level of 5×10^{-7} A/cm² has been plotted as a function of gamma and proton irradiation dose. Similarly to C-V curves, the radiation-induced degradation of I-V characteristics is found to be much smaller for the case of NRL dielectric layers. Note also that the I-V curves shift difference between 40 nm CNM and 20 nm CNM Al₂O₃ layers in Fig. 4(b) could be explained by a slightly less than quadratic dependence on dielectric thickness, which has been usually found for the case of threshold voltage shift of irradiated SiO₂ of similar thicknesses [19,48].

3.3. Thermal stability of electrical characteristics

The electrical stability of silicon passivation layers is of special interest for applications. Recently, beneficial effects of light soaking have been reported for Al₂O₃ passivation layers [49,50]. It is known from SiO₂ gate dielectrics that radiation-induced defects can be detrapped or annealed by tunnel or thermal emission processes [48,51]. In order to gain better insight into the radiation-induced damage affecting the Al₂O₃ MOS capacitors, a few pieces of wafers with gamma- and proton-irradiated MOS capacitors were subjected to thermal annealing treatments in air and at temperatures ranging from 100°C to 200°C. C-V characteristics were measured at different stages of the thermal annealing experiments. During the thermal anneals, the device terminals were left floating and care was taken in order to get enough cooling of the samples down to room T before the measurements were performed.

Interestingly, a significant recovery of the radiation-induced damage affecting the electrical characteristics was observed. This can be appreciated in Figures 5(a) and 5(b), where the extracted N_{eff} values from C-V are plotted as a function of thermal annealing time for some gamma and proton irradiated 40 nm CNM Al₂O₃ MOS capacitors, respectively. The observed logarithmic dependence with annealing time is in agreement with thermal emission of radiation-induced trapped holes dominated by a spatial tunnelling process, where the probability of a hole tunnelling out of the oxide depends exponentially on the distance from the trap to the silicon/dielectric interface [51]. Although only small differences in annealing slope are appreciated at first sight in Fig. 5(a), the analysis of the time required to anneal a fixed percentage of degradation evidences an Arrhenius-law dependence on annealing temperature (inset in Fig. 5(a)) [52]. A value of 1.38 ± 0.15 eV for the corresponding activation energy has been extracted. This result is in the range of previous values reported in the literature for the case of thermal annealing of radiation-induced leakage currents (RILC) in conventional SiO₂ gate dielectrics [53]. With regard to proton irradiated samples (Fig. 5(b)), under the studied experimental conditions, less dependence on annealing time was found, what could be attributed to a somewhat different nature or position of the damage generated with such particle irradiation compared to gamma rays. On the other hand, some small N_{eff} dispersion at zero annealing time between different temperature

annealed samples is attributed to irradiation non-uniformities between the various parts of irradiated pieces used for such thermal treatments.

In light of the obtained results regarding to thermal annealing of radiation-induced damage on 40 nm Al₂O₃ CNM samples (Fig. 5), it is interesting to consider possible impact of thermal treatments on non-irradiated as well as irradiated NRL Al₂O₃ layers. In this regard, Fig. 6(a) shows the obtained N_{eff} evolution as a function of thermal annealing time for some nonirradiated 40 nm CNM Al₂O₃ MOS capacitors exposed to temperatures between 100°C and 200°C. From Fig. 6(a), a good thermal stability of the original Al₂O₃ negative charges is observed under a 100°C thermal treatment. However, a significant loss of effective negative charges is appreciated for higher temperatures (175°C and 200°C). From Fig. 6(a), a N_{eff} annealing rate of around 2x10¹¹/cm² per decade of time at 200°C can be estimated. Hydrogen release from the layers could lead to reduction of negative charges at the silicon/dielectric interface for these O₃-grown Al₂O₃ layers. A similar logarithmic dependence has been encountered for example in bias temperature instabilities (BTI) [54,55] or when studying detrapping characteristics of negatively charged Si₃N₄ layers for silicon solar cells passivation, exposed to similar temperatures in the range of 100°C to 200°C [56].

Figure 6(b) shows the effective trapped charge densities obtained for some non-irradiated and gamma irradiated 40 nm NRL Al₂O₃ MOS capacitors exposed to different temperatures between 100°C and 200°C. From the figure, a good thermal stability of the original Al₂O₃ negative charges is also observed under a 100°C thermal treatment. However, a comparable removal of effective negative charges is also appreciated for these NRL layers subjected to

higher temperatures (175°C and 200°C). In this case, however, the trend is better fitted by a second order logarithmic dependence on annealing time. The existence of possible competing mechanisms, like hydrogen release from the interface and detrapping of negative charges in these H₂O-grown Al₂O₃ layers, might be responsible for the N_{eff} versus annealing time curves obtained for 30 Mrad gamma-irradiated 40nm NRL PMA MOS capacitors in Fig. 6(b).

4. CONCLUSIONS

In order to investigate the electrical properties and radiation hardness of ALD Al₂O₃ layers to be used as passivation films for silicon radiation detectors with slim edges, MOS capacitors implementing differently processed Al₂O₃ layers have been fabricated on p-type silicon substrates. An extensive capacitance-voltage and current-voltage characterization has been carried out. The effects of gamma and proton irradiations, up to equivalent doses of 30 Mrad and 21.07 Mrad, respectively, have been studied. Qualitatively similar results are obtained for device degradation under equivalent doses of gamma and proton irradiations. While similar negative charge densities are initially encountered for all non-irradiated MOS structures, higher radiation resistance is observed for capacitors with Al₂O₃ layers grown with H₂O instead of O₃ as oxidant precursor. Competing effects between radiation-induced positive charge trapping and hydrogen release from the H₂O-grown Al₂O₃ layers may explain their superior radiation hardness. Importantly for envisaged applications, negatively charged dielectric layers are still obtained for some of the most irradiated samples. Finally, the thermal stability of the electrical characteristics of irradiated and non-irradiated MOS

capacitors with differently processed Al₂O₃ layers has been evaluated by means of annealing treatments in air at temperatures ranging from 100°C to 200°C. A significant recovery of the gamma-radiation-induced damage affecting the electrical characteristics of O₃-grown Al₂O₃ layers has been observed. This can be explained by a trapped holes thermal emission process, for which an activation energy of 1.38 \pm 0.15 eV has been extracted.

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TABLE CAPTIONS

- Table 1: Al2O3 deposition conditions and measured thickness (ellipsometrically andinterferometrically) for the four fabricated silicon wafers with MOScapacitors.
- Table 2:Electrical parameters extracted from the C-V characterization and from the
dielectric breakdown statistics for different sets of 25 MOS capacitors
corresponding to the four fabricated wafers with and without post-
metallization annealing. Mean values and corresponding standard deviations
are given for the main C-V parameters.

FIGURE CAPTIONS

Figure 1: Capacitance-voltage characteristics measured from inversion to accumulation and accumulation to inversion voltage sweeps for Al₂O₃ MOS capacitors corresponding to the four fabricated wafers with and without postmetallization annealing. Some peaks in the corresponding conductance characteristics measured from inversion to accumulation can be appreciated in the inset.

- **Figure 2:** Current density versus applied voltage measured for Al₂O₃ MOS capacitors corresponding to the four fabricated wafers with and without post-metallization annealing. The round symbols correspond to Fowler-Nordheim conduction fits.
- Figure 3: (a) Capacitance-voltage characteristics measured from inversion to accumulation and accumulation to inversion for 40 nm CNM Al₂O₃ MOS capacitors. The different curves correspond to non-irradiated and gamma irradiated devices at different doses. (b) Effective trapped charges densities extracted from C-V characteristics of MOS capacitors as a function of gamma and proton irradiation dose.
- Figure 4: (a) Current density versus applied voltage measured on non-irradiated and gamma irradiated 40 nm CNM Al_2O_3 MOS capacitors. (b) Radiation-induced voltage shift of the current voltage characteristics at a fixed current density level of $5x10^{-7}$ A/cm² as a function of gamma and proton irradiation dose.

- Figure 5: Effective trapped charge densities as a function of thermal annealing time for some (a) gamma irradiated and (b) proton irradiated 40 nm CNM Al_2O_3 MOS capacitors exposed to different temperatures. The lines correspond to logarithmic fits. The inset in (a) shows the required time to anneal a fixed percentage (30%) of N_{eff} degradation as a function of the inverse of annealing temperature, evidencing an Arrhenius-law dependency with extracted activation energy of 1.38 ± 0.15 eV.
- **Figure 6:** Effective trapped charge densities as a function of thermal annealing time for some (a) non-irradiated 40 nm CNM Al₂O₃ MOS capacitors and (b) non-irradiated and gamma irradiated 40 nm NRL Al₂O₃ MOS capacitors exposed to different temperatures between 100°C and 200°C. The lines in (a) and (b) correspond to first and second order logarithmic fits, respectively, and they are provided as a guide to the eye.

Wafer	ALD facility	ALD TºC	ALD precursors	PDA TºC	Al₂O₃ thickness ellipsometry [nm]	Al₂O₃ thickness interferometry [nm]
40 nm CNM	CNM	250	TMA+O ₃	No	39.4 ± 0.2	39.2 ± 0.2
20 nm CNM	CNM	250	TMA+O ₃	No	20.8 ± 0.1	20.3 ± 0.3
40 nm NRL	NRL	300	TMA+H ₂ O	300	Not measured	41.1 ± 0.5
20 nm NRL	NRL	300	TMA+H₂O	300	Not measured	21.9 ± 0.5

Table 1

Wafar	EOT	V _{fb}	N _{eff}	V _{hys}	D _{it}	α	0
water	[nm]	[V]	[x10 ¹² cm ⁻²]	[mV]	[cm ⁻² ·eV ⁻¹]	[V]	р
40 nm CNM PMA	19.48 ± 0.14	0.42 ± 0.03	-1.41 ± 0.03	95 ± 12	0.06x10 ¹²	34.27	7.24
40 nm CNM NoPMA	19.76 ± 0.10	1.45 ± 0.35	-2.52 ± 0.39	150 ± 32	0.96x10 ¹²	33.38	12.30
20 nm CNM PMA	10.65 ± 0.03	-0.15 ± 0.02	-1.44 ± 0.05	21 ± 9	0.06x10 ¹²	17.37	22.00
20 nm CNM NoPMA	10.67 ± 0.11	1.13 ± 0.30	-4.02 ± 0.65	137 ± 10	2.04x10 ¹²	17.31	8.94
40 nm NRL PMA	22.23 ± 0.15	0.43 ± 0.07	-1.25 ± 0.07	29 ± 7	0.20x10 ¹²	38.06	11.52
40 nm NRL NoPMA	22.88 ± 0.11	3.45 ± 0.18	-4.06 ± 0.18	79 ± 24	0.72x10 ¹²	39.20	10.18
20 nm NRL PMA	12.26 ± 0.13	-0.15 ± 0.05	-1.26 ± 0.09	34 ± 15	0.24x10 ¹²	18.23	4.60
20 nm NRL NoPMA	12.30 ± 0.14	2.24 ± 0.39	-5.44 ± 0.73	145 ± 60	1.71x10 ¹²	18.18	5.91

Table 2



Figure 1



Figure 2



Figure 3(a)



Figure 3(b)



Figure 4(a)



Figure 4(b)



Figure 5(a)



Figure 5(b)



Figure 6(a)



Figure 6(b)