Contents lists available at ScienceDirect

Atmospheric Environment

journal homepage: www.elsevier.com/locate/atmosenv

Validation of meteorological and ground-level ozone WRF-CHIMERE simulations in a mountainous grapevine growing area for phytotoxic risk assessment

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HIGHLIGHTS

GRAPHICAL ABSTRACT

- Meteorological and ground-level O3 patterns were successfully replicated in Mediterranean complex terrain by RCM.
- Grapevine O3 stomatal uptake simulations were closer to those present under less water limited conditions.
- Current ground-level O3 can already pose a potential threat for a wine producing area such as the Douro Region in Portugal.
- Ground-level O3 extremes should also be considered along heatwayes and drought as compromising factors for the grapevine.

ARTICLE INFO

Keywords: Ozone phytotoxicity **AOT40** POD Grapevine Mountainous terrains Modelling validation Mediterranean conditions



ABSTRACT

Ozone is the most damaging phytotoxic air pollutant to crop yield quantity and quality. This study presents the validation of a simulation with the WRF-CHIMERE modelling system in order to assess the risk of phytotoxicity by tropospheric ozone for an important and characteristic Mediterranean crop, i.e. the grapevine. The study region was the Douro wine region in Portugal, which is characterized by a rugged relief and a Mediterranean climate. The simulation covered a reference grapevine growing season in the Northern Hemisphere (from April to September 2017), during which a particular measuring campaign was also carried out. The validation of the meteorological simulations on a daily and hourly time resolution was performed based on data from three weather stations, namely on temperature, global solar radiation, relative humidity, wind speed and direction values. The ozone phytotoxicity was assessed with data from two measuring stations. A specific grapevine growth parameter based on monitored phenological observations was introduced for ozone stomatal uptake assessment. Concerning meteorology, validation statistics were acceptable and within the range of what has been found in other regional climate modelling simulations. Ground-level ozone-based values were calculated for a better assessment of the phytotoxic risk, in particular cumulative standards for vegetation protection. Stomatal flux

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https://doi.org/10.1016/j.atmosenv.2021.118507

Received 14 February 2021; Received in revised form 8 May 2021; Accepted 18 May 2021 Available online 2 June 2021 1352-2310/ $\ensuremath{\mathbb{C}}$ 2021 Elsevier Ltd. All rights reserved.







estimates were within the range of those measured for the local cultivars in the field campaign when there was not severe water stress limitation. Both field and statistically adjusted model values indicate that considerable areas in the Demarcated Douro Region of Portugal can exceed the critical exposure values for vegetation according to current European legislation standards. Moreover, measured and simulated results indicate an ozone impact on grapevine yield and quality in the target region because the exposure- and flux-based indices exceed the criteria based on current open-top-chamber experimental knowledge.

1. Introduction

Ozone (O_3) stands out as the tropospheric pollutant with greatest phytotoxic effect on crops, pastures and forests (Ainsworth et al., 2012; Booker et al., 2009; Li et al., 2017). Yield losses have been estimated to range between 5 and 20% for important crops, such as wheat, rice and legumes, and they can reach greater figures depending on the sensitivities of the different cultivars (Avnery et al., 2011; Feng et al., 2019; Van Dingenen et al., 2009). It is expected that background levels of tropospheric O₃ will continue to increase globally in relation to the increasing industrialization of developing countries and intercontinental transport. However, the effects of emission reduction policies, such as the reduction of maximum O3 peak levels or leveling off or even declines of long-term trends have also been observed in Europe, North America and Japan (Oltmans et al., 2013; Paoletti et al., 2014; Sicard et al., 2013; The Royal Society, 2008). Concerning O₃ metrics of relevance for vegetation, the highest 2010–2014 O₃ levels were found in the mid-latitudes of the Northern Hemisphere including southern United States of America, the Mediterranean basin, northern India, north, north-west and east China, the Republic of Korea, and Japan (Mills et al., 2018). Moreover, the Mediterranean area is susceptible to a greater exposure to environmental O₃ with a higher incidence of warm summers and heat waves (Jacob and Winner, 2009; Katragkou et al., 2011; Meleux et al., 2007). Considering projected surface O₃ concentrations simulated by six global atmospheric chemistry transport models on the basis of a representative concentration scenario (RCP8.5), Sicard et al. (2017) found that many areas of the Northern Hemisphere (e.g. North America, Greenland, Europe, southern Asia, northeastern China) would undergo an increase of O3 levels and risk of O3 injury for vegetation in association with an increase in methane emissions, global warming and weakened nitric oxide titration. These results are in line with those found under RCP8.5 by Fortems-Cheiney et al. (2017) for Europe.

The grapevine is the crop where the phytotoxic effects of O_3 were first noticed (Richards et al., 1959). Although it is not a food security crop, such as wheat, rice, soybean, maize or potato, it has immense cultural, economic and ecological importance within the Mediterranean basin, besides being the fruit crop with the largest acreage and highest economic value globally (Ponti et al., 2018). Since the early observations from the fifties, there is nowadays a greater knowledge and awareness of the effects of O_3 on grapevine and its defense mechanisms (Blanco-Ward et al., 2021; Pellegrini et al., 2015; Valletta et al., 2016), but there are wide gaps that prevent to relate the exposure or stomatal uptake of O_3 with grapevine yield and quality (CLRTAP, 2017a; Mills et al., 2006).

There is already a good number of studies in relation with climate change as a potential threat to wine production and typicity (e.g. Blanco-Ward et al., 2019Blanco-Ward et al., 2019; Fraga et al., 2016; Mira de Orduña, 2010; Van Leeuwen and Darriet, 2016), but there is still a lack of studies addressing the potential effects of changes in the meteorological fields, drought or carbon dioxide atmospheric enrichment in relation to surface O_3 for this crop. An example where the potential phytotoxic effect of O_3 in the context of climate change has been considered is the work of Miranda et al. (2020) in the Demarcated Douro Region (DDR) of Portugal suggesting that the tropospheric O_3 levels in the future would influence the quality and productivity of wine in the region. However, further refinement is needed in order to not rely solely on O_3 exposure, but also on the stomatal O_3 uptake by the grapevine in

the study area.

A modelling approach can be very useful when assessing the risks of climate change on crops, but it is necessary to validate simulations with data from meteorological and air quality station measuring networks, as well as data of the physiological behavior of the crops. The purpose of this paper is to evaluate high resolution (1 km \times 1 km) meteorological and ground-level O3 simulations aimed at performing a crop risk assessment for grapevine, based on current O3 standards for vegetation protection, including the phytotoxic O₃ dose (POD) i.e. the accumulated stomatal O₃ uptake over the growing season (CLRTAP, 2017b). To accomplish this objective, the following research questions are addressed. Can a chemical transport modelling (CTM) system successfully replicate the meteorological and ground level ozone patterns in a complex terrain under Mediterranean climate conditions such as those present in the Douro wine region in Portugal? To which extend the range of simulated stomatal fluxes adequately represents those of the grapevine cultivars present in the study area to estimate POD? Can current ground-level O3 be related to any potential risk for a renowned wine producing region such as the Douro river valley in Portugal?

2. Study area

The Portuguese Douro Demarcated Region (DDR) crosses the two banks of the Douro River from the border with Spain until halfway through its course. The Douro valley stretches along 90 km in the westeast direction and along 50 km in the north-south direction (Fig. 1). The westernmost region is located 70 km away from the Atlantic Ocean. The landscape is characterized by mountainous terrain, rising above the Douro river and its tributaries, with moderate to steep slopes and different expositions. The average elevation in the region is 443 m, but varies from about 40 m to a maximum of more than 1400 m. The Region covers about 250 thousand hectares with vineyard areas representing 43480 ha, 17.4% of the total area. It is divided into three sub-regions: Baixo Corgo with the smaller area (45000 ha), Cima Corgo, with intermediate extension (95000 ha), and Douro Superior with the greater extension (110000 ha). The vineyard area for these subregions is 13368, 20270 and 9842 ha, respectively (Instituto dos Vinhos do Douro e Porto, 2017

The DDR presents a warm temperate climate (Köppen Csb), with average annual temperatures, for the period 1980-2009, of 15.4 °C, mean minimum daily temperature (Tmin) in the coldest month of 2.7 °C and average of the maximum daily temperature (Tmax) in the hottest month of 32.1 °C. The mean rainfall of the driest month (July) is only 11.2 mm, but highly variable rainfall events are concentrated in the winter months. The region is protected from the humid and cold winds of the Atlantic Ocean by two mountain ranges, Marão and Montemuro, which are located on its western border. The temperature increases and precipitation decreases from west to east. The westernmost sub-region of the Douro Valley (Baixo Corgo) is closer to the Atlantic Ocean and therefore more affected by the moist sea winds. The easternmost subregions are further away from the Atlantic Ocean, thus having a more continental climate influence. Low precipitation values, together with high temperatures and high radiation exposure, give rise to intense water and thermal stresses, particularly in the Cima Corgo and Douro Superior subregions (Jones and Alves, 2012).

3. Data sources and calculations

Modelled and measured meteorological and O_3 values were compared and analyzed using selected statistical quality indicators for a field campaign period from April to September 2017. Moreover, O_3 standards for vegetation were calculated and assessed.

3.1. Field campaign data

The meteorological data were obtained from two meteorological stations (Pinhão and Vila Real) from the Portuguese Institute for the Sea and the Atmosphere (IPMA) and one meteorological station (Quinta da Leda) from a wine company in Portugal, Sogrape Vinhos. The Pinhão (130 m) and Quinta da Leda (228 m) are located in the Douro valley under Mediterranean climate conditions whereas Vila Real (561 m) represents plateau conditions where there is a stronger sub-Atlantic oceanic influence (Ribeiro, 2000). Two observational sites for ambient O_3 were also available: the Douro Norte station (1086 m) belonging to the Portuguese Environmental Agency and the Quinta da Leda station (228 m), which was made operative specifically in the scope of the DOUROZONE project, and from April to September 2017. The location

of the meteorological and O_3 monitoring stations can be observed in Fig. 1 (b, c, d, e). The April to September growing season length is commonly taken as a valid reference grapevine growing season in the Northern Hemisphere (Tonietto and Carbonneau, 2004) and is used concerning O_3 risk assessment for perennial crops and deciduous trees (Mills et al., 2018).

Along the same period, in Quinta da Leda, the phenological development of two grapevine cultivars characteristic of the DDR, 'Touriga Franca' and 'Touriga Nacional', was monitored once per week according to the Biologische Bundesanstalt, Bundessortenamt und CHemische Industrie (BBCH) phenological scale. Six representative plants per cultivar were chosen. For each of the two cultivars, it was considered that certain phenological stage had been reached when at least two of the six plants manifested it in a dominant way whereas the remaining ones had also started to display it. Table 1 shows the dates of the main phenological stages considered for those cultivars at Quinta da Leda in 2017. No major differences were found in the phenological development of the two cultivars although there was more variation in the first phenological stages.



Fig. 1. a) Nested modelling domains and location of the Douro Demarcated Region (DDR) in northern Portugal. b) Subregions "Baixo Corgo" (R1), "Cima Corgo" (R2) and "Douro Superior" (R3), along vineyard areas and observational sites. c, d, e) Detail of observational sites.

Dates of main phenological stages for two characteristic grapevine cultivars of the DDR as observed at Quinta da Leda through the 2017 field campaign.

BBCH Phenological stage	Date	cv. Touriga Nacional	cv. Touriga Franca
Bud burst	29/03/ 17	3 (9D), 3 (9I)	4 (9D), 2 (11D)
Beginning of flowering Beginning of ripening	03/05/ 17 05/07/	1 (61D), 3 (60D), 2 (60I) 6 (81D)	1 (61D), 1 (60D), 4 (60I) 6 (81D)
Berries ripe for harvest	17 23/08/ 17	6 (89D)	6 (89D)
Beginning of leaf-fall	27/09/ 17	6 (93D)	6 (93D)

Note: the phenological summary for each of the cultivars is expressed as the number of plants that have reached a given BBCH phenological stage. BBCH code 9 stands for bud burst, 11 for first leaf unfolded, 60 first flower hoods detached from the receptacle, 61 10% of flower hoods fallen, 81 beginning of ripening: berries begin to develop variety-specific colour, 89 berries ripe for harvest, 93 beginning of leaf-fall. For this specific monitoring, letters D and I are used to indicate a dominant or incipient stage manifestation. For instance, 3 (9D), 3(9I) corresponds with 3 grapevine plants reaching bud burst (BBCH code 9) as a dominant stage and other 3 grapevine plants which start to manifest that phenological stage.

3.2. Model simulations

The Weather Research and Forecasting (WRF) model is a mesoscale numerical weather prediction system, which can be used in a wide range of applications across scales from tens to thousands of kilometres. A detailed description of the model is provided by Skamarock et al. (2008), and further information on parameterizations, specifically suited for the Iberian Peninsula, is in Marta-Almeida et al. (2016). The WRF model was used initially as a dynamical downscaling tool to obtain regional meteorological information from the ERA-Interim reanalysis dataset. The WRF high-resolution climate simulations were implemented for four nested domains with increasing horizontal resolution, namely 81 (D1), 27 (D2), 9 (D3), and 1 km (D4) (Fig. 1a). The WRF modelled period analyzed for meteorological validation purposes consisted of hourly results from April 1st to September 30th.

The chemical and atmospheric transport model CHIMERE (Menut et al., 2013) was used to estimate ground-level O₃ exposure while the dose of O₃ entering the plant was calculated based on the dry deposition of O₃ for stomatal exchange (DO3SE) model of the European Monitoring and Evaluation Programme for long-range transmission of air pollutants (EMEP) (Emberson et al., 2000b), updated according to the last parametrizations available for grapevine (CLRTAP, 2017c; Emberson et al., 2005). WRF simulations results were used as meteorological inputs for CHIMERE together with anthropogenic pollutants emission data from the emission database of EMEP, which were pre-processed for the four domain configurations. Biogenic emissions were computed using the global Model of Emissions of Gases and Aerosols from Nature (MEGAN). Land use types needed by CHIMERE to calculate biogenic emissions, as well as other processes such as deposition, were derived from the U.S. Geological Survey (USGS) 1 km resolution land cover database. The CHIMERE chemical mechanism is based on the MEL-CHIOR scheme (Mailler et al., 2016). Hourly O₃ simulated values were obtained for the field campaign period - April 1st to September 30th.

3.3. Selected variables and O_3 standards

High ambient O_3 concentrations do not always correspond to high stomatal O_3 fluxes as stomatal closure is induced by low solar radiation and high vapour pressure deficit. Wind also affects laminar resistance to ozone flux into plant leaves. Therefore, the following variables selected for the evaluation of the meteorological simulated values from April to September 2017, were considered: daily maximum temperature (*Tmax*), hourly mean temperature (*Tmean*), daily maximum global solar radiation (*Radmax*), hourly mean global solar radiation (*Radmax*), daily minimum relative humidity (*RHmin*), hourly mean relative humidity (*RH*), hourly mean wind speed (*Ws*) and daily mean wind direction (*Wdmean*).

Concerning ground-level O₃ risk assessment exposure-based standards for vegetation, AOT40 corresponds to the sum of the daylight (8:00–20:00 according to the European Union Directive, 2008/50/EC, and daytime hours with >50 Wm⁻² according to the Convention on Long-range Transboundary Air Pollution, CLRTAP) hourly O₃ concentrations greater than 40 ppb accumulated at the top of the canopy during the growing season and is calculated by equation (1):

$$AOT40 = \sum_{accumulation \ period} (0; C_c - 40)\Delta t \tag{1}$$

where C_c represents the O₃ concentration value.

According to the European Union (EU) legislation (Directive, 2008/ 50/EC), the May to July time window can be used to evaluate the negative effects of ozone (e.g. yield reduction, growth reduction, visible injury) on any kind of vegetation whereas, according to CLRTAP (CLRTAP, 2017b), the AOT40 index can also be used with longer time-windows, such as 6 months (e.g. April to September) corresponding to the growing season of perennial-dominated (semi-)natural vegetation or forest deciduous trees, so that it can be related with growth reduction effects for those vegetation types. The AOT40 indices are commonly associated with exposure-based critical levels (CLe_c) above which adverse effects on sensitive vegetation may occur according to present knowledge (CLRTAP, 2017b). The EU May-July AOT40 has a CLec value of 9 ppm-h as 5-year (or 3-yr) average and 3 ppm-h as long-target value, which also coincides with the CLRTAP Cle_c value for agricultural crops when applied during a 3-month growing season in a year. However, the CLRTAP Clec value associated to a 6 month time window and growth reduction effects for perennial-dominated (semi) natural vegetation and trees is 5 ppm-h in a year (Paoletti and Manning, 2007). For grapevine, the only AOT40 Clec published values so far are those related with the experimental work of Soja et al. (1997) which are based on a Welschriesling cultivar exposed to different levels of O3 in open-top-chambers under a continental climate in eastern Austria. This experimental work acknowledged the relevance of a memory effect for a perennial crop such as grapevine, and a Cle_c of 27 ppm-h for a 10% reduction of grape yield was established, without no variation in a second exposure year, whereas the values associated with a 10% reduction in grape monosaccharide yield were 21 ppm-h in the first exposure year and 11.5 ppm-h in the second experimental year.

The cumulative exposure (level-I) approach has been extensively criticized, because it does not reflect the actual dose or flux of O_3 entering the plant (Emberson et al., 2000a; Massman, 2004; Mauzerall and Wang, 2001; Musselman et al., 2006; Paoletti and Manning, 2007). To overcome these limitations, a flux-based or level-II approach was developed under the CLRTAP convention (Mills et al., 2011). This approach has a stronger biological basis since it considers a Phytotoxic Ozone Dose (PODY) by considering the O_3 stomatal uptake, which can be understood as the amount of O_3 molecules that penetrate the leaf tissue through the stomata as a function of ambient O_3 concentration and several other critical environmental parameters such as temperature, water vapour pressure, light, soil water potential and plant growth (phenological) stage (Emberson et al., 2000a). PODY is defined as (CLRTAP, 2017b):

$$PODY = \sum_{accumulation \ period} (0; Fst - Y)\Delta t$$
⁽²⁾

where Fst is the instantaneous flux of O_3 through the stomatal openings per unit projected leaf area (PLA). It refers specifically to the sunlit leaves at the top of the canopy. It is regarded here as the hourly mean flux of O_3 into the stomata. Y is the threshold stomatal flux per PLA. Both parameters have units of nmol $O_3m^{-2}s^{-1}$. Critical levels based on stomatal flux (Cle_f) are then cumulative stomatal fluxes above which adverse effects may occur according to present knowledge (CLRTAP, 2017b). In a later work, Soja et al. (2004) also published Cle_f values for grapevine associated with a POD6 index (stomatal O_3 flux above 6 nmol $O_3 m^{-2}s^{-1}$). A Cle_f of 3.5 mmol m⁻² for a 10% reduction of grape yield was established for the first experimental year and 2.2 mmol m⁻² for the second year whereas the values associated with a 10% reduction in grape monosaccharide yield were 2.3 mmol m⁻² in the first year of the experiment and 1.1 mmol m⁻² in the second year.

Both AOT40 and POD based approaches were used to assess our model results and measurements. The ground-level O_3 variables and vegetation risk assessment standards selected for evaluation of the simulations were the following: daily $O_3 \max(O_3 max)$, hourly O_3 mean (O_3 mean), EU legislation-based May to July AOT40 (*May-Jul AOT40*), CLRTAP-based April to September AOT40 (*Apr-Sep AOT40*), June to September AOT40 as proposed by Soja et al. (1997, 2004) for the grapevine (*Jun-Sep AOT40*), CLRTAP-based April to September POD as proposed by Soja et al. (1997, 2004) for the grapevine (*Jun-Sep POD*), CLRTAP-based April to September POD (*Apr-Sep POD*), June to September POD as proposed by Soja et al. (1997, 2004) for the grapevine (*Jun-Sep POD*), and June to September POD6 as proposed by Soja et al. (1997, 2004) for the grapevine (*Jun-Sep POD*).

3.4. Assessment of modelling performance

Considering several studies (Borrego et al., 2008; Emery et al., 2017; Marta-Almeida et al., 2016; Politi et al., 2017; Soares et al., 2012; Willmott et al., 2012; Zittis et al., 2014) related to assess photochemical and meteorological model performance, the following methods and statistics were selected:

3.4.1. Daily scale

- 1. Time series plots of field data versus 1 km WRF-CHIMERE results.
- 2. Comparison of the probability distributions of the observed and modelled variables including a statistical test, the Kolmogorov-Smirnov (KS) test.
- 3. Evaluation metrics, such as the bias (BIAS), the ratio of the standard deviation between modelled and observed variables (SD Ratio), the mean absolute error (MAE), the mean absolute percent error (MAPE), the root mean square error (RMSE), the Pearson's correlation coefficient (CORR), and the modified index of agreement (MIA). For wind direction, MIA was replaced by the standard deviation of the errors (STDE), as it can be taken as an indicator of correct simulations physics if it is low, even when there is high BIAS or RMSE (Carvalho et al., 2012).

3.4.2. Hourly scale

1. Taylor diagrams, which also include correlations between observed and modelled variables, similarity in variable distributions (standard deviation comparisons) and root mean square error (RMSE).

Regional weather and chemical transport models can exhibit systematic simulation biases. When that is the case, the simulations could be post-processed in order to obtain more reliable estimates of the local weather or ambient air pollutants. Among the diversity of methods available, a non-parametric quantile-quantile (QQ) transformation was selected due to its relative simplicity and good skill to reduce bias in modelled fields, such as precipitation, as suggested by Gudmundsson et al. (2012). The QQ transformation was only applied to the WRF-CHIMERE final results related to O_3 phytotoxic risk assessment, ambient O_3 and O_3 stomatal flux.

For the comparison between modelled and measured values, a cell bilinear interpolation filter was applied to the WRF-CHIMERE neighbouring cells to the location of the field observational stations. Next, the results of comparing the meteorological and air quality simulated and measured values are presented.

4. Results and discussion

This section focuses first on results related with temperature, global solar radiation, relative humidity, and wind as principal meteorological drivers related to plant O_3 exposure and phytotoxic O_3 dose. Results related with daily O_3 max, hourly O_3 mean profiles, and seasonal standards for vegetation protection are described afterwards. The relevance of simulation bias, field campaign data for model parametrization and validation, and variability and significance of results also in relation to climate extremes is discussed.

4.1. Meteorological variables

Tables 2–5 present the obtained validation statistics for temperature, global solar radiation, relative humidity and wind speed and direction, respectively. These statistics are based on modelled WRF results versus observed values at Vila Real (R1), Pinhão (R2) and Quinta da Leda (R3), during the 2017 Apr–Sep grapevine growing season. Further information, namely maps, time series, density plots and Taylor diagrams can be assessed in the Supplementary Material.

The measured daily *Tmax* mean and standard deviation increased from the most northwestern location (Vila Real) to the most southeastern one (Quinta da Leda). The average bias of the WRF simulations increased from the northwest to the southeast too. The linear correlation was very strong (CORR > 0.90) in all cases. The SD ratios also indicated a similar spread of the observed and modelled data.

Table 2

Validation statistics for daily Tmax and hourly Tmean (April-September 2017 period).

Daily Tmax (°C)	Field value	BIAS	SD Ratio	MAE	MAPE (%)	RMSE	CORR	MIA
Vila Real	26.0 (µ)	-1.5	0.98	2.0	8.0	2.5	0.93	0.78
	5.4 (σ)							
Pinhao	30.7 (µ)	-2.7	0.99	2.9	9.7	3.4	0.92	0.68
	5.4 (σ)							
Quinta da Leda	31.5 (µ)	-3.1	0.97	3.3	10.5	3.7	0.93	0.66
	5.7 (σ)							
Hourly Tmean (°C)	Field value	BIAS	SD Ratio	MAE	MAPE (%)	RMSE	CORR	MIA
Vila Real	19.1 (µ)	-0.7	0.97	2.1	11.6	2.5	0.92	0.80
	6.3 (σ)							
Pinhão	22.4 (µ)	-1.6	0.95	3.0	14.3	3.2	0.89	0.73
	7.0 (σ)							
Quinta da Leda	23.1 (µ)	-1.8	0.93	2.2	10.1	2.0	0.96	0.81
	7.4 (σ)							

Validation statistics for daily Radmax and hourly Rad (April-September 2017 period).

Daily Radmax (kJ m $^{-2}$)	Field value	BIAS	SD Ratio	MAE	MAPE (%)	RMSE	CORR	MIA
Vila Real	3159(μ) 478(σ)	110	0.66	227	9.3	409	0.57	0.65
Pinhão	3227(μ) 440(σ)	29	0.71	217	8.2	365	0.57	0.63
Quinta da Leda	3410(μ) 452(σ)	-132	0.68	332	10.5	402	0.55	0.44
Hourly Rad (kJ m^{-2})	Field value	BIAS	SD Ratio	MAE	MAPE (%)	RMSE	CORR	MIA
Vila Real	993(μ) 1210(σ)	44	1.03	159	-	368	0.96	0.93
Pinhão	981(μ) 1225(σ)	59	1.02	277	-	470	0.93	0.87
Quinta da Leda	1081(μ)1301(σ)	-39	0.96	272	-	456	0.94	0.88

Table 4

Validation statistics for daily RHmin and hourly RHmean (April-September 2017 period).

Daily RHmin (%)	Field value	BIAS	SD Ratio	MAE	MAPE (%)	RMSE	CORR	MIA
Vila Real	37.3 (μ) 12.6 (σ)	-2.5	1.01	6.7	18.4	8.8	0.78	0.69
Pinhão	22.3 (μ) 9.5 (σ)	6.9	1.10	8.1	44.6	9.8	0.76	0.53
Quinta da Leda	22.7 (μ) 8.9 (σ)	3.2	1.01	5.6	28.7	7.1	0.75	0.61
Hourly RHmean (%)	Field value	BIAS	SD Ratio	MAE	MAPE (%)	RMSE	CORR	MIA
Vila Real	59.9 (μ) 20.3(σ)	-3.3	0.95	9.5	16.5	12.4	0.82	0.72
Pinhão	46.3 (μ) 19.7 (σ)	6.0	0.97	10.2	28.1	12.4	0.84	0.70
Quinta da Leda	45.7 (μ) 19.3 (σ)	3.1	0.99	7.8	19.8	9.9	0.88	0.76

The hourly *Tmean* evaluation metrics were similar to those of the daily *Tmax* data, although there was a lower bias and a better model agreement reflected by higher MIA in all cases and the worst WRF performance was at the Pinhão location as revealed by its higher MAE and RMSE error (Table 2).

The higher *Tmean* error statistics estimated for the Pinhão were most likely associated with the greater difficulty to model the Mediterranean conditions present at the lower altitudes and deep and narrow valley locations (Fig. 1d), in contrast with those present in the sub-plateau Vila Real location (Fig. 1c) or more open Mediterranean locations towards the east such as Quinta da Leda (Fig. 1e). Those conditions were more pronounced for daily *Tmax* with the model indicating worse accuracy and precision for both Pinhão and Quinta da Leda than for the sub-plateau Vila Real location under a more sub-Atlantic climate influence. This was also in connection with the greater difference between the station elevation and the value used by the model as derived from the digital elevation model (DEM), respectively 130 and 225 m for Pinhão, 228 and 278 m for Quinta da Leda, and 561 and 568 m for Vila Real.

Overall, the results indicate a good agreement concerning patterns at the seasonal, daily and hourly scale for temperature, with probability distributions and error statistics within the range of those reported by other studies about the validation of WRF simulations in the Iberian Peninsula (Marta-Almeida et al., 2016; Soares et al., 2012) and other Mediterranean areas such as Greece (Politi et al., 2017). For instance, according to Soares et al. (2012) the validation statistics for a 5 km horizontal resolution WRF simulation for northeastern Portugal presented the following range for daily *Tmax*: CORR \geq 0.90, BIAS -3.3 – 0.5 °C, RMSE 2.5–4.6 °C. This range of values is very similar to those found in Table 2 for daily *Tmax*.

The final text should be as follows here:

Table 3, with validation statistics for solar radiation, shows that there was a better match between measured and modelled daily *Radmax* for Vila Real and Pinhão than for Quinta de Leda where the WRF simulations had greater MAE and RMSE and lower MIA. Quinta da Leda was also distinctive for displaying a clear negative bias. The simulations did not capture well some minima values resulting in moderate correlations and fairly low MIA values, specifically for the most southeastern location, Quinta da Leda. For all cases, the spread of the *Radmax* modelled data was lower than that of the observations resulting in low SD ratios.

In Table 3 it can also be observed that the performance of the WRF model improved in relation with hourly mean *Rad* with much greater MIA and CORR values in comparison with the daily *Radmax* case. Quinta da Leda showed again the higher hourly mean value but the differences among stations concerning average *Rad* values were smaller than for *Radmax*. All in all, the model performed slightly better for the higher sub-plateau location, with correlation and precision improving considerably at the hourly scale at all locations. The magnitude of the errors and correlation statistics shown for hourly *Rad* in Table 3 were very close or within the range of other validation studies performed with WRF solar radiation simulations in the Iberian peninsula (López et al., 2020; Perdigão et al., 2017) and France (Boulard et al., 2016). For instance, according to López et al. (2020), the validation statistics for a 1 km horizontal resolution WRF simulation for central continental

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Validation statistics for hourly	y Ws and daily Wdmean	(April-September 2017 period).
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Hourly Ws (m/s)	Field value	BIAS	SD Ratio	MAE	MAPE (%)	RMSE	CORR	MIA
Vila Real	1.8 (µ)	1.1	1.36	1.3	-	1.7	0.65	0.50
	1.3 (σ)							
Pinhão	1.9 (µ)	0.8	1.78	1.3	-	1.8	0.63	0.48
	1.1 (σ)							
Quinta da Leda	1.4 (μ)	1.4	1.50	1.6	-	2.1	0.59	0.41
	1.3 (σ)							
Daily Wdmean (°)	Field value	BIAS	SD Ratio	MAE	MAPE (%)	RMSE	CORR	STDE
Vila Real	284 (µ)	24.7	1.17	34.9	12.3	50.9	0.72	44.5
	46 (σ)							
Pinhão	336 (µ)	-9.6	1.73	94.4	28.1	103.4	-0.39	102.9
	37 (σ)							
Quinta da Leda	279 (μ)	10.2	0.88	38.9	13.9	54.0	0.24	53.1
	58 (σ)							

Portugal presented the following ranges for daily *Radmax* radiation and hourly *Rad*: BIAS -72 - 324 kJ m⁻², MAE 108–378 kJ m⁻², RMSE 180–558 kJ m⁻² (*Radmax*) and BIAS 3.6–144 kJ m-2, MAE 144–216 kJ m⁻², RMSE 216–396 kJ m⁻² (*Rad*).

The relative humidity validation statistics included in Table 4 show that linear correlation was strong for daily RHmin in all three locations, but BIAS, MAPE, RMSE and MIA indicated a better performance of the simulations for the Vila Real location. The hourly mean RH values also followed and inverse relationship with hourly Tmean with decreasing values from the NW to the SE (Table 4). There was also better performance of the WRF model in all cases if compared with daily RHmin with slightly higher correlation and MIA statistics. However, the WRF model performance was now better at Quinta da Leda as observed by its higher MIA and lower MAE and RMSE errors. The validation statistics were within or close to the range of other WRF mesoescale simulation studies (Boulard et al., 2016; Kryza et al., 2017; López et al., 2020; Pan and Li, 2011). For instance, the error range for a one year 5 km horizontal resolution WRF simulation after comparison with data from 7 meteorological stations in the Heihe river basin (continental China) reported by Pan and Li (2011) for hourly RH was the following: CORR 0.55–0.75, BIAS -7.3-13.3%, RMSE 16.5-23.6%).

The validation statistics for wind speed and wind direction can be found in Table 5. Hourly Ws correlation values varied from 0.59 (Quinta da Leda) to 0.65 (Vila Real), and the accuracy values provided by MIA were low. The daily Wdmean clockwise bias for Vila Real was 24.7° and 10.2° for Quinta da Leda with respective STDE 44.5 and 53.1 (Table 5). The greatest divergence was found for the Pinhão location where the simulated wind direction was 269° compared to the 336° observed one. The results for the Pinhão location probably were related to the more sheltered location of the monitoring station along the Douro's river canyon which is not fully captured by the WRF model. In fact, we have already pointed out that the Pinhão station had the greatest difference between measured ground altitude and the altitude used by the WRF-CHIMERE modeling system (130 m and 225 m, respectively). The worse model performances concerning precision and accuracy were also found for the Pinhão location for hourly Tmean, hourly Rad, daily RHmin and hourly RH, although the differences in these cases were rather slight when compared with the other locations.

Overall, the WRF wind simulation for the 2017 Apr-Sep grapevine growing season in the DDR can be associated to the predominance of westerly-north-westerly flows, which result from the deflection of the northerly winds of the Atlantic coast, by the orientation of the valley and by the Iberian thermal low favoured by most of the synoptic weather situations in areas such as the Douro river basin (Lorente-Plazas et al., 2015). Except for daily Wdmean at the Pinhão location, the error statistics estimated for wind velocity and wind direction at the daily and hourly scale were very close or within the range found by other WRF mesoscale validation studies where the greater inaccuracies were also associated with mismatches between the model and the actual terrain elevation and the inability to reproduce the lower atmosphere conditions of complex terrains (Jiménez et al., 2013; Pan et al., 2018; Pan and Li, 2011; Zhang et al., 2013). For instance, the work by Pan and Li (2011) concerning one year 5 km horizontal resolution WRF simulation in the Heihe river basin (continental China) presented the following error statistics for hourly Ws: BIAS from -0.6 to 1.8 m/s, RMSE from 1.3 to 3.6 m/s. As for daily Wdmean, Carvalho et al. (2012) reported the following statistics at hourly level from a 3.6 km horizontal resolution WRF simulation performed during January in a mountainous area of central Portugal: BIAS from -3.8° to -9.7°, RMSE 37.3° to 52.0°, and STDE from 37.2° to 51.1°.

In summary, excepting for the meteorological variables associated to wind, it has been observed that model performance tended to be more precise and accurate when hourly values are analyzed than when considering daily maxima data. Differences among locations were slight, apart from *Radmax* and *RHmin* where there was a drop in model performance (MIA < 0.6) for one of the sites (Quinta da Leda for *Radmax*)

and Pinhão for *RHmin*). Validation statistics were acceptable and within the range of what has been found in other RCM simulations with the lower values associated to the moderate correlations estimated for *Radmax* (between 0.55 and 0.57). Model accuracy performance was lower (MIA < 0.6) for all observational sites for wind speed and there was only a strong correlation value for wind direction in one of the locations (Vila Real).

4.2. Ground-level O₃

Table 6 and Fig. 2 show the validation statistics and graphs, respectively, associated with ground-level O3 values at Douro Norte and Quinta da Leda air quality stations. The measured values for daily O₃ maxima and hourly O₃ means were similar in the two sites. The correlation values were moderate for daily O₃ maxima and strong for hourly O3 at Quinta da Leda, whereas they were strong for daily O3 maxima and hourly values at Douro Norte. The BIAS, RMSE, MAE and MAPE errors were lower for the Quinta da Leda site and the SD ratios indicated a lower spread of the simulated values for both locations. The lower spread of the simulated values was also observable in the time series plots (Fig. 2a), where the simulated values failed to capture the maxima and minima values, and in the density plots (Fig. 2b), where the KS test indicated significant differences between the distribution of observed and simulated data (p < 0.01). The Taylor diagrams (Fig. 2c) for the hourly O₃ mean values indicated a similar WRF-CHIMERE model performance for the two sites at the hourly scale. Monteiro et al. (2012) in a previous simulation of a high O₃ episode in the Douro Norte site presented higher error statistics and similar correlation values. However, as the MIA values were low for all cases, a QQ statistical transformation of the WRF-CHIMERE O₃ simulated values was applied in order to be able to use them for vegetation risk assessment.

Table 7 presents the validation statistics after the QQ transformation and Fig. 3 illustrates the results of the QQ transformation, in terms of monthly and hourly boxplots, where the spread of the simulated values is closer to that of the observed ones.

The QQ transformation corrected the BIAS and lowered the spread of the hourly O_3 mean simulated data yielding a better model performance as also observed in the lower error MAE and RMSE statistics and higher MIA. Daily O_3 max simulated values also approximated better the range of the observed values with a higher MIA although the effects on error statistics such as BIAS, MAE and RMSE were negligible. CORR was not affected by the QQ transformation.

Previous studies for an elevated site such as the Douro Norte (Carvalho et al., 2010; Sousa et al., 2011) also highlighted a drop in values from July to September, which was captured by the simulations (before and after the QQ transformation). As it happened with the Douro Norte site, the seasonal and hourly time patterns were also well captured by the simulations for Quinta da Leda. Although there were no previous measurements for the Quinta da Leda site, which displayed a more marked hourly variation, Pires et al. (2012) mentioned this type of hourly behavior as typical of lower elevation rural stations in northern Portugal.

Concerning AOT40 indices estimated at Quinta da Leda (Table 8), the measured EU *May-Jul AOT40* value (8 ppm h) exceeded the longtarget value of 3 ppm h and was close to what has been set as the general standard to protect vegetation (9 ppm h) by Directives (2002)/03/ EC and 2008/50/EC. Higher mean values (11 ppm h) were frequently observed at the Douro Norte monitoring station in the past (Agência Portuguesa do Ambiente, 2009, 2011) between 2005 and 2010. Thus, probably the standard set to protect vegetation would also be exceeded at Quinta da Leda for longer exposure years. The measured day light-based *Apr-Sep AOT40* (13.8 ppm h) exceeded the Cle_c (5 ppm h) for a 5% biomass reduction in perennial-dominated (semi)natural vegetation and trees. The field *Jun-Sep AOT40* reached 9.5 ppm h but is below the specific yearly Cle_c values for a 10% reduction in yield and quality (27 ppm h and 21 ppm h, respectively) as set by Soja et al. (1997) for the

Validation statistics for daily O3 max and hourly O3 mean (April-September 2017 period).

Daily O_3 max (ppb)	Field value	BIAS	SD Ratio	MAE	MAPE (%)	RMSE	CORR	MIA
Douro Norte	50 (μ) 15 (σ)	5	0.57	10	21.3	12	0.68	0.46
Quinta da Leda	55 (μ) 11 (σ)	1	0.55	7	12.7	9	0.55	0.46
Hourly O_3 mean (ppb)	Field value	BIAS	SD Ratio	MAE	MAPE (%)	RMSE	CORR	MIA
Douro Norte	38 (μ) 12 (σ)	12	0.59	13	42.0	14	0.69	0.39
Quinta da Leda	41 (μ) 12 (σ)	7	0.70	9	27.3	11	0.70	0.51

10

80

4

O₃ (ppb) 60



a)







40

60

O₃ (ppb)

80

100

Quinta da Leda daily O₃ max (Apr-Sep)



0.00

0

20

Fig. 2. a) Time series, b) density plots, and c) Taylor diagrams for ground-level O3.

Welschriesling grapevine cultivar. WRF-CHIMERE simulated values were higher (16.2 ppm h) than measurements and they could indicate risk of exceeding the Cle_c for a 10% reduction in monosaccharide yield

c)

over two consecutive years (11.5 ppm h), if there was not ground control, and no fit to observational data, as the QQ transformation was not be applied.

Validation statistics for QQ transformed daily O₃ maxima (O₃ max) and hourly O₃ means (O₃ mean).

Daily O_3 max (ppb)	Field value	BIAS	SD Ratio	MAE	MAPE (%)	RMSE	CORR	MIA
Douro Norte	50 (μ)	-5	1.03	9	17.2	13	0.66	0.61
Quinta da Leda	15 (σ) 55 (μ)	-1	1.01	8	14.0	10	0.54	0.54
	11 (σ)							
Hourly O ₃ mean (ppb)	Field value	BIAS	SD Ratio	MAE	MAPE (%)	RMSE	CORR	MIA
Douro Norte	38 (µ)	0	0.98	7	20.5	9	0.68	0.61
	12 (σ)							
Quinta da Leda	41 (μ)	0	1.01	7	18.7	9	0.70	0.63
	12 (σ)							







Fig. 3. a) Monthly, and b) hourly boxplot O3 profiles at Quinta da Leda and Douro Norte.

Table 8

EU May-Jul AOT40 (ppm.h)			Jun-Sep AC	Jun-Sep AOT40 (ppm.h)			CLRTAP Apr-Sep AOT40 (ppm.h)		
Field	WRF-CHIMERE	QQ Trans	Field	WRF-CHIMERE	QQ Trans	Field	WRF-CHIMERE	QQ Trans	
8.1	12.3	8.5	9.5	16.2	10.6	13.8	24.5	16.6	

Fig. 4 presents maps of QQ transformed AOT exposure simulated values. The daily mean maxima Apr–Sep O_3 values show that larger values were associated to higher elevations in the Marão and Montemuro mountain ranges towards the northwest of the DDR and spread

through the Douro valley across the DDR (Fig. 4b). Daily mean Apr–Sep O₃, EU's *May-Jul AOT40* and CLRTAP *Apr-Sep AOT40* (Fig. 4a, c and d) had similar spatial patterns with higher O₃ concentrations associated mostly with higher elevations (Fig. 4f) inside and outside the DDR and



Fig. 4. Maps of a) mean hourly O₃, b) mean daily O₃ max, c) EU's directive May–Jul AOT40, d) CLRTAP Apr–Sep AOT40, e) Soja's Jun–Sep AOT40, and f) elevation, as obtained from the WRF-CHIMERE transformed simulations and associated elevation database.

particularly affecting a transitional area between the Cima Corgo and the Douro Superior subregions within the DDR. The AOT40 estimated according the procedure of Soja's (Fig. 4e) indicates that besides the previously commented areas, most of the Douro Superior subregion, with a warmer and drier climate, can be affected by high O_3 levels between June and September (Jun–Sep).

4.3. O_3 uptake by stomata

Table 9 and Fig. 5 present the O_3 dose values expressed as POD and POD6, based on measurements and simulations.

The observational based stomatal flux and the WRF-CHIMERE based stomatal flux presented a similar temporal pattern at Quinta da Leda



Fig. 5. a,b) QQ transformed Apr-Sep (AS) POD and POD6 maps for the DDR, c,d) Density and Taylor plots for Apr-Sep first POD estimates and for e,f) QQ transformed POD at Quinta de Leda.

with a 17% and 50% positive difference for Apr–Sep POD and Apr–Sep POD6, respectively, when these are estimated from WRF-CHIMERE without any statistical transformation (Table 9). A field-observational exceedance of the grapevine Cl_{ef} for 10% reduction in monosaccharide concentrations over consecutive years (1.1 mmol m⁻²), as derived from Soja et al. (2004), was observed at Quinta da Leda with a POD6 of 1.2

Table 9

Observational and WRF-CHIMERE-based POD and POD6.

April to	September POD (m	mol m^{-2})	April to	o September POD6 (1	nmol m ⁻²)
Field	WRF-CHIMERE	QQ Trans	Field	WFR-CHIMERE	QQ Trans
28.3	34.1	28.2	1.2	2.4	1.2
June to	September POD (m	mol m ^{-2})	June to	September POD6 (r	nmol m^{-2})
Field	WRF-CHIMERE	QQ Trans	Field	WFR-CHIMERE	QQ Trans
17.8	23.3	19.6	0.4	1.8	1.0

mmol m⁻². If we were to rely on the POD6 derived from the WRF-CHIMERE simulations (2.4 mmol m⁻²) as calculated here without any other adjustment, the grapevine Cl_{ef} value for 10% reduction in monosaccharide concentrations would also be reached for the non-consecutive case (2.3 mmol m⁻²) besides that for yield over consecutive years (2.2 mmol m⁻²). The modelled values of grapevine stomatal flux were in the range 100–250 mmol H₂O m⁻² s⁻¹ (Barreales et al., 2018; Ribeiro et al., 2018) also corresponding to those of autochthonous grapevine cultivars present in the study area (e.g. 'Touriga Franca' and 'Touriga Nacional) when there is not severe water stress condition.

The associated QQ transformed POD and POD6 maps (Fig. 5a and b) were also related with elevation showing a higher biological control where warmer and drier areas have lower stomatal fluxes. The Apr–Sep POD6 adjusted map (Fig. 5b) showed that considerable extensions of the

DDR can exceed critical values for grapevine yield and quality, especially in the central and eastern part of the DDR. These results clearly manifested the relevance of performing suitable modelling validation and parametrization in order to increase the accuracy of ambient O_3 risk assessments for agriculture, especially for the grapevine case where there is still much uncertainty and lack of experimental work. A significant difference was found between the field-based and the WRF-CHIMERE based POD data distribution (KS test p < 0.05) (Fig. 5c) along uneven SDs ratios (Fig. 5d) which were corrected after QQ transformation (Fig. 5e and f).

4.4. O₃ phytotoxic risk per phenological stage

Phenological observations are also important to appropriately assess risk. Fig. 6 displays O_3 exposure per grapevine phenological stage (a), the age parameter that was specifically introduced into the used DO3SE deposition model (b), and variation of vegetation protection standards according to length of selected growing season (c,d).

It can be observed that in Quinta da Leda the O_3 40-ppb threshold was exceeded for all growth stages (Fig. 6a) reaching high values in rather sensitive stages, such as flowering, which in a perennial crop such as the grapevine, influences the development of the next growing season also affecting yield and quality. In fact, climate extremes in relation to early heatwaves in spring and accumulated hydric stress through the rest of the growing season in 2017 were reported to have had an effect in the DDR on grapevine vegetative growth and yield (i.e. reduction in berry weight), although the sugar and phenolic compound levels were considered good (Associação para o Desenvolvemento da Viticultura Duriense, 2017). The availability of phenological observations for the 2017 campaign also allowed to define a specific growth stage parameter (Fig. 6b) to modify stomatal conductance better representing when grapevine leaves are fully developed besides timing of sensitive stages (i. e. flowering) in relation to O_3 exposure in the DDR.

The estimation of both exposure (Fig. 6c) and stomatal uptake standards (Fig. 6d) for assessment of O_3 phytotoxic risk on grapevine yield and quality was still subjected to a great range of variation depending on the length of the cumulative period selected to estimate those standards. It can be observed that even when the QQ transformed WRF-CHIMERE simulation-based AOT40 values were closer to measurement-based values, there was still a tendency to overestimate them, especially through the longer Apr–Sep observational period. However, adjusted modelling results were very good for both Apr–Sep and June–Sep POD and for Apr–Sep POD6, although there is still a great amount of overestimation for June–Sep POD6.

5. Discussion

Even though the magnitude and the different signs of the errors in RCM can at times compensate and be within an acceptable range for purposes such as water balance computation (e.g. Boulard et al., 2016),



Fig. 6. a) Possible critical periods according to phenological phase, b) plant growth parameter derived from phenological observations, and variation of c) exposure and d) dose-based vegetation protection standards according to length of selected growing season.

it has been found in this work that these errors can have an effect on ambient O_3 simulations inducing a relevant bias, which, in turn, can yield to an overestimation of cumulative standards for vegetation protection if no statistical transformation is used to match them with observational values. Another but not less relevant issue that relates with simulation bias has to do with the representativeness of emission inventories and their disaggregation methods. In this context, statistical transformations are a suitable postprocessing tool to reproduce more reliable estimates at local level.

Concerning POD estimation, it is also advisable to check that stomatal conductance values are in range with values measured in the field. This was possible for this study as they were also measured across the DDR during the field campaign, in particular along the véraison and maturity stages. Further work still needs to be done to better accommodate the model to the more severe water stress conditions that can be associated to non or controlled irrigated conditions, especially in the drier Cima Corgo and Douro Superior subregions. This could be done by effectively introducing a soil water balance parameter into the DO3SE model. There has not been a specific parametrization concerning the local varieties grown in the area either, excepting the plant growth (phenological) stage parameter. Despite those limitations, simulated values of stomatal conductance were representative of the less water stressed conditions in the DDR. Therefore, probably the Cima Corgo and Douro Superior subregions present larger areas that could exceed POD standards, as stomatal closure could exert a greater control to O₃ entering the grapevine under the more severe water stress conditions of these regions. However, it must also be kept in mind that there is little knowledge on possible interaction of O3 with other concurrent environmental factors such as severe summer water deficit, heat waves, or strong light conditions which could also affect plant defense mechanisms.

6. Conclusions

The results of this work stress the relevance of performing modelling validation in order to increase the accuracy of high-resolution ambient O3 risk assessments for agriculture, especially for a case such as grapevine, where there is still much uncertainty due to the lack of experimental work. This is particularly important due to the grapevine production immense value for social, economic and environmental sustainability in Mediterranean areas and beyond. Both field and statistically adjusted model values indicate that considerable areas in the Demarcated Douro Region of Portugal exceed the critical exposure values for vegetation according to current European legislation standards, and for grapevine yield and quality criteria based on current OTC experimental knowledge. The simulations already included a plant growth stage parameter taking into account grapevine phenological observations in the study area, but further work is still required to better represent the more water stressed conditions in the study area, as a soil water limiting factor has not yet been effectively included into the DO3SE model. These results also indicate the need for rethinking airquality standards under the potential impacts of climate change and extreme climate events when assessing the ozone risk for strategic crops in Mediterranean areas, such as the grapevine.

CRediT authorship contribution statement

D. Blanco-Ward: Conceptualization, Methodology, WRF-CHIMERE simulations and postprocessing, field campaign data postprocessing, Writing – original draft, Writing – review & editingWriting- Reviewing and Editing. **A. Rocha:** WRF simulations, manuscript reviewing. **C. Viceto:** WRF simulations, manuscript reviewing. **A.C. Ribeiro:** Field campaign data collection and manuscript reviewing. **M. Feliciano:** Field campaign data collection and manuscript reviewing. **E. Paoletti:** Conceptualization, Supervision. **A.I. Miranda:** Conceptualization, Supervision.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Acknowledgements

The authors acknowledge the national funds from FCT-Science and Technology Portuguese Foundation for the doctoral grant of D. Blanco-Ward (SFRH/BD/139193/2018). Thanks are also due for the financial support to CESAM (UIDB/50017/2020+UIDP/50017/2020), to FCT/ MEC through national funds, and the co-funding by FEDER within the PT2020 Partnership Agreement and Compete 2020. The authors also wish to thank the DOUROZONE project (PTDC/AAG-MAA/3335/2014; POCI-01-0145-FEDER-016778) for financial support through Project 3599 – Promoting the Scientific Production and the Technological Development, and Thematic Networks (3599-PPCDT) – and through FEDER. Thanks are also given to SOGRAPE VINHOS S.A. for facilitating the collection of surface O₃ data and sharing meteorological data at one of their vineyard fields.

Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.atmosenv.2021.118507.

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