

1 **Is astrocytic aquaporin subcellular translocation a better therapeutic target for**
2 **cytotoxic oedema than its inhibition in ischaemic stroke?**

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1 Brain oedema is a common feature of several brain diseases (e.g., stroke, traumatic brain
2 injury, hydrocephalus, brain cancer and brain infections). Brain oedema leads to increased
3 intracranial pressure (ICP) and worsens outcomes in ischaemic stroke patients. Conventional
4 treatments to control brain oedema, thus reducing ICP include different osmotherapeutics,
5 hyperventilation, tromethamine, hypothermia, and barbiturate coma. However, level 1
6 evidence of efficacy is lacking for these treatments, with some being harmful rather than
7 beneficial (Bardutzky and Schwab, 2007). It has been proposed aquaporin 4 (AQP4) can be a
8 novel drug target for treating brain oedema (Vandebroek and Yasui, 2020). AQP4 is a small
9 integral membrane protein, and is strongly expressed in the brain. It has a highly polarised
10 expression towards the abluminal side of astrocytic endfeet that surround the brain
11 vasculature, and is also expressed on the subpial and subependymal astrocyte processes, as
12 well as basolateral membranes of ependymal cells (Patabendige et al., 2021). AQP4 is
13 primarily involved in bidirectional water flux, but also has diverse roles such as Ca^{2+}
14 signalling, K^+ buffering, neuroinflammation and waste clearance (Verkman et al., 2017).
15 Astroglial water movements induced by AQP4 have been shown to be a driving force
16 contributing to the paravascular clearance of interstitial solutes like amyloid- β , thus
17 participating in the so-called “glymphatic system” (Iliff, *et al.* 2012).

18
19 The expression and polarisation of AQP4 on astrocytic endfeet are altered during cerebral
20 ischaemia, resulting in swelling of astrocytes due to water movement from microvessels to
21 the brain parenchyma across the blood-brain barrier (BBB) (Patabendige et al., 2021). AQP4
22 has been implicated in cytotoxic oedema formation and dissolution following neurological
23 injury when the BBB is intact. Evidence for a major role in cytotoxic oedema for AQP4 have
24 been shown in experimental studies using AQP4 knockout (KO) mice, where focal cerebral
25 ischaemia led to a 35% reduction in cerebral oedema in AQP4 deficient mice 24 h after
26 middle cerebral artery occlusion (MCAo) compared with controls (Manley et al., 2000).
27 Furthermore, glial-conditional AQP4 KO mice have been shown to have a 31% reduction in
28 BBB water uptake compared with controls after systemic hypo-osmotic stress (Haj-Yasein et
29 al., 2011).

30
31 **Astrocytes form the ‘tripartite synapse’ in the brain and plays an essential role in**
32 **neurotransmitter homeostasis and brain energy metabolism (Patabendige et al., 2021).** During
33 ischaemic stroke, ATP levels fall due to the blockage/reduction in blood flow to the brain,
34 leading to the inhibition of ATP-dependent transporters such as Na^+/K^+ ATPase. This results
35 in the influx of osmolytes such as Na^+ that generate an osmotic force, driving water into cells
36 of the central nervous system (CNS) leading to cellular swelling. As perivascular AQP4
37 allows bidirectional water flow, it is reasonable to assume that this is most likely the rate-
38 limiting step for both water influx and efflux after ischaemic stroke. Several studies have
39 shown that AQP4 expression is altered following ischaemic stroke, but with some capacity
40 for recovery after injury. Frydenlund et al (2006) have shown a biphasic change in
41 perivascular AQP4 expression in the ischaemic cortex, with an initial reduction at 24 h of
42 reperfusion that reduces water influx, then a partial recovery of AQP4 expression at 72 h
43 following transient MCAo in mice. The recovery of AQP4 expression at 72 h would support
44 reabsorption of excess fluid accumulated due to oedema formation. However, there was no
45 recovery of AQP4 expression in the ischaemic core, while the cortical border showed an
46 increase in AQP4 expression. These findings suggest that AQP4 expression is subjected to
47 varying regional changes, and therefore expression of AQP4 on astrocytic endfeet is crucial
48 for controlling cerebral oedema following neuronal injury. AQP4 deletion has different
49 impacts in oedema formation with mixed cytotoxic and vasogenic oedema mechanisms.
50 AQP4 deletion is beneficial in a mouse crush model of spinal cord injury (primarily cytotoxic

1 oedema), but deleterious in a mouse contusion model (primarily vasogenic oedema)
2 (Verkman et al., 2017). Complex kinetics of region-specific changes in brain water are seen
3 in a mouse model of traumatic brain injury (Verkman et al., 2017). Thus, the complex spatial
4 and kinetic aspects of oedema fluid accumulation and clearance must be considered before
5 using AQP4 inhibitors to treat oedema. **Furthermore, there is a high level of structural**
6 **conservation of amino acid sequences in the pore region, and the water selective narrow pore**
7 **structure in AQP4 (pore diameter is reduced to 1.5 Å due to Arg216 and His201) excludes**
8 **the passage of other solutes such as glycerol. All of which leads to difficulties in identifying**
9 **selective AQP4 inhibitors to prevent water flux (Verkman et al., 2017).**

10
11 AQP4 can be modulated by targeting endogenous pathways and using pharmacological
12 means. Four main pathways of AQP4 regulation have been described; (1) translational
13 regulation via microRNAs that targets AQP4; (2) phosphorylation of AQP4 to target AQP4
14 trafficking and subcellular localisation, as well as channel gating; (3) metal ions, which bind
15 directly to AQP4 to inhibit its function, but can also increase AQP4 expression on astrocytes
16 via indirect means when present at high levels in the cellular environment; and (4) small
17 molecule inhibitors (Vandebroek and Yasui, 2020). These small molecule inhibitors include
18 tetraethylammonium (TEA⁺), acetazolamide and related carbonic anhydrase inhibitors,
19 bumetanide (sodium-potassium-chloride cotransporter 1 (NKCC1) inhibitor) and its analogue
20 AqB013, as well as anti-epileptic drugs (e.g. lamotrigine, phenytoin and topiramate) and
21 TGN-020 (Verkman et al., 2017) (Box 1). A single dose of TGN-020 has been shown to
22 reduce brain oedema in a rat MCAo model, when administered after the onset of ischaemia
23 (Pirici et al., 2018). **Nevertheless, as off target actions of TGN-020 are currently unknown,**
24 **further investigations are warranted.** Despite compelling evidence from experimental studies
25 suggesting the potential of AQP4 modulators as a treatment strategy for reducing cerebral
26 oedema after brain ischaemia, finding suitable drugs have been challenging. So far, none of
27 the potential AQP4 modulators have been approved for human use. **Furthermore, questions**
28 **regarding whether some of these small molecule inhibitors can effectively inhibit AQP4 have**
29 **been raised. Several issues including artefacts in oocyte swelling assays, inability to reliably**
30 **reproduce these inhibitory effects in cell-based assays and potential AQP4 independent**
31 **actions on water transport by these molecules leading to confounding interpretations of**
32 **animal studies are some of the concerns (Verkman et al., 2017).** Given that AQP4 is
33 responsible for driving cytotoxic oedema formation in the acute phase of ischaemic injury,
34 while helping to clear vasogenic oedema at later stages, complete inhibition of AQP4 is not a
35 viable strategy for resolving cerebral oedema.

36
37 A new strategy is to target AQP4 subcellular translocation rather than its
38 inhibition/expression, given the recent evidence demonstrating the implications of AQP4
39 polarisation to the abluminal membrane of perivascular astrocytic endfeet during cerebral
40 oedema. Steiner et al (2012) have shown that following transient MCAo in mice, polarised
41 expression of AQP4 on astrocytic endfeet was lost, and AQP4 was redistributed over the
42 entire astrocytic cell surface. A recent study by Kitchen et al (2020) have demonstrated that
43 calmodulin-dependent phosphorylation of AQP4 led to an increased expression of AQP4 at
44 the plasma membrane of astrocytes in hypoxia-induced oedema. The mechanism involves
45 transient receptor potential vanilloid type 4 (TRPV4)-facilitated Ca²⁺ influx that activates
46 calmodulin, leading to cAMP-dependent protein kinase A (PKA) activation. The
47 phosphorylation of AQP4 at Ser276 causes AQP4 to relocate to the plasma membrane.
48 Calmodulin also directly interacts with AQP4 and drives the AQP4 subcellular relocalisation.
49 This translocation of AQP4 from the astrocytic endfeet to the cell surface leads to an increase
50 in water flux. However, inhibition of calmodulin with trifluoperazine (TFP, a calmodulin

1 antagonist) significantly reduced AQP4 translocation, CNS oedema, and accelerated
2 functional recovery compared with untreated animals. TFP is approved by the UK National
3 Institute for Health and Care Excellence (NICE), and the US Food and Drug Administration
4 (FDA) as an antipsychotic. The study used a dose in rats that was equivalent to its licenced
5 use for humans. Therefore, these findings demonstrate the potential of TFP as a therapeutic
6 strategy for reducing cerebral oedema by preventing the subcellular relocation of AQP4 to
7 the plasma membrane of astrocytes, a strategy that is preferable than a complete inhibition of
8 AQP4 (Figure 1). Further evidence for using TFP for reducing cerebral oedema has been
9 provided by a recent study by Sylvain et al (2021) using a photothrombotic stroke model in
10 mice. They demonstrated that treating mice with TFP 1 h after stroke leads to a reduction in
11 brain water content at 24 h post-stroke, accompanied by AQP4 inhibition at the mRNA and
12 protein levels. However, treatment with TFP 30 min before stroke did not lead to a significant
13 reduction in brain water content. Furthermore, TFP treatment led to an increase in glycogen
14 levels in the ischaemic penumbra, and the time of TFP administration was irrelevant. This
15 increase in glycogen levels could provide a beneficial effect on brain energy metabolism in
16 the penumbra during the acute phase of stroke and may support neuroprotective ischaemic
17 pre-conditioning. A recent study on cultured astrocytes exposed to oxygen-glucose
18 deprivation has demonstrated the potential of KN-62, a selective inhibitor of the
19 Ca²⁺/calmodulin-dependent protein kinase II (CaMKII) in reducing astrocytic swelling and
20 decreasing AQP4 upregulation associated with ischaemia compared with untreated astrocytes
21 (Li et al., 2021). However, the researchers did not investigate whether KN-62 treatment
22 inhibited translocation of AQP4 from astrocytic endfeet to cell surface as demonstrated by
23 TFP treatment.

24
25 Developing effective drugs for treating cerebral oedema following ischaemic stroke has been
26 a major challenge. Despite evidence from experimental studies suggesting the potential of
27 AQP4 inhibition as a potential treatment strategy for reducing cytotoxic oedema, none of the
28 candidate drugs have succeeded in being approved for human use. Major hurdles include the
29 apparent poor druggability – the likelihood of being able to modulate AQP4 with a small-
30 molecule drug, the ability to cross the BBB, broad tissue distribution (expression within and
31 outside of CNS) and diverse functions of AQP4, and the potential for undesired actions.
32 For example, using AQP4 inhibitors during the early phase of ischaemic stroke may lead to
33 seizures because of AQP4-dependent neuroexcitation, as this involves K⁺/water coupling in
34 brain extracellular fluid, and therefore, limits the use of AQP4 modulators in epileptic
35 patients. Another concern is the inhibition of placental AQP4 in pregnancy and the
36 implications for AQP4-mediated maternal-foetal fluid exchange. Furthermore, AQP4
37 modulators that inhibit or enhance astrocytic responses to injury needs careful consideration,
38 as increased gliosis can be beneficial in forming the glial scar to surround the lesion site, but
39 can have detrimental effects during the chronic phase, preventing axonal regeneration and
40 CNS recovery (Patabendige et al., 2021). **In addition, as discussed earlier, the issues**
41 **surrounding the use of oocyte swelling assays can be a hinderance to AQP4 drug discovery.**
42 **To overcome this methodological issue, Kitchen et al (2020) have described a novel method**
43 **to quantify AQP-mediated water transport across cells using Calcein – a dye that is quenched**
44 **in a concentration-dependent manner. This concentration-dependent fluorescence quenching**
45 **can be used as a probe of cell volume on short timescales, and therefore, allowing the**
46 **measurement of plasma membrane water flux.**

47
48 **Recent advances which include *in silico* approaches to design novel drugs for target**
49 **validation and optimisation could provide new avenues for AQP4 modulation as a treatment**
50 **strategy for reducing brain oedema (Verkman et al., 2017). Another approach, which has**

1 shown potential is to target AQP4 subcellular translocation to the cell surface for reducing
2 cerebral oedema. Further studies on these aspects will provide an improved understanding of
3 the underlying molecular mechanisms of brain water flux regulation by AQP4 that can be
4 pharmacologically targeted to develop an effective treatment strategy for reducing cytotoxic
5 oedema. If successful, this could lead to a reduction in neurological damage associated with
6 ischaemic stroke by potentially creating an environment conducive for neuroprotection and
7 neuroregeneration.

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1 **Box 1: Selected aquaporin 4 (AQP4) modulators.**

2 Several AQP4 modulators have been described in the literature. However, none have been
3 approved for human use despite promising experimental data that demonstrate a reduction in
4 water permeability or brain oedema. The main experimental models used in these studies
5 include the *Xenopus* oocyte model, rodent middle cerebral artery occlusion (MCAo) or
6 photothrombotic (PT) stroke model or rodent crush injury model.
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10 **Figure 1 Targeting astrocytic aquaporin 4 (AQP4) expression and translocation in**
11 **cytotoxic oedema**

12
13 During acute ischaemia, reduced adenosine triphosphate (ATP) levels lead to the failure of
14 ATP-dependent transporters such as Na⁺/K⁺ ATPase, driving water into cells due to the
15 influx of osmolytes, and causing cellular swelling. Astrocytes responds to ischaemic insult by
16 increasing the expression of AQP4, the main water channel in the brain that is highly
17 expressed on the abluminal surface of the astrocytic endfeet. This leads to an increase in
18 AQP4-mediated influx of water into astrocytes, in a calmodulin (CaM)-dependent manner,
19 causing astrocyte swelling (cytotoxic oedema). CaM also activates adenosine monophosphate
20 (cAMP)-dependent protein kinase A (PKA) that phosphorylates AQP4, leading to the
21 relocalisation of AQP4 to the plasma membrane (A). The Emerging evidence from
22 experimental studies demonstrate that targeting this CaM-mediated AQP4 subcellular
23 relocalisation using the CaM inhibitor, trifluoperazine (TFP), leads to a reduction in cytotoxic
24 oedema following ischaemia (B) (8,9). This is a promising strategy to reduce cytotoxic
25 oedema without the need for inhibition of AQP4 (C), which also reduces cytotoxic oedema
26 by reducing AQP4 expression, but may have important implications due to its broad
27 distribution and functions within and outside of the central nervous system.
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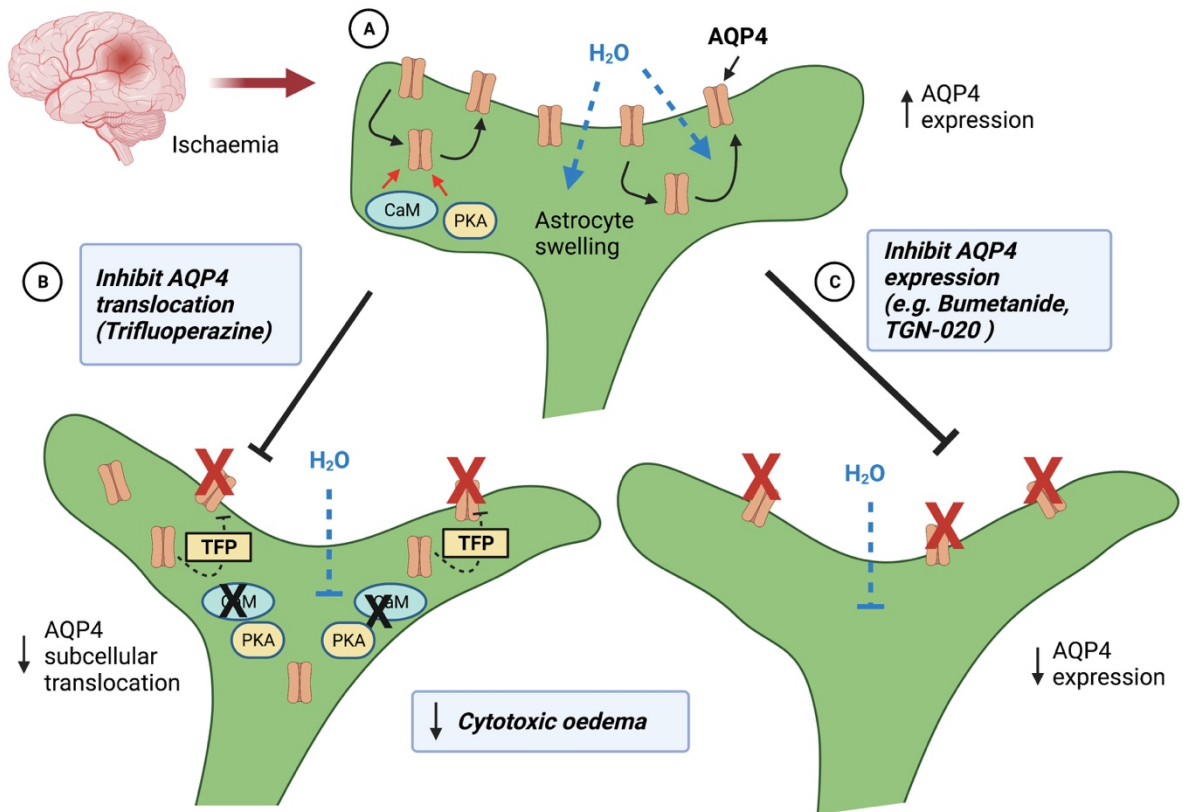
1 **Box 1**

2

AQP4 Modulator	Model	Effect on brain oedema/ water permeability	Reference
Tetraethylammonium (TEA+)	Oocyte	Reduced water permeability	Detmers FJM et al. (2006) J Biol Chem. 281:14207–14214.
Acetazolamide	Oocyte	Reduced water permeability	Huber VJ et al. (2007) Bioorg Med Chem Lett. 17:1270–1273.
Bumetanide	Oocyte Mouse MCAo	Reduced water permeability Reduced brain oedema	Migliati E et al. (2009) Mol Pharmacol. 76:105–112. Migliati ER et al. (2010) Neurocrit Care. 13:123–31.
AqB013	Oocyte	Reduced water permeability	Migliati E et al. (2009) Mol Pharmacol. 76:105–112.
Anti-epileptic drugs	Oocyte	Reduced water permeability	Huber VJ et al. (2009) Bioorg Med Chem. 17:418–424.
TGN-020	Mouse MCAo Rat MCAo	Reduced brain oedema	Igarashi H et al. (2011) Neurol Sci. 32:113–116. Pirici I et al. (2017) Int J Mol Sci. 19:46
Trifluoperazine (TFP)	Rat crush injury Mouse PT	Reduced brain oedema	Kitchen P et al. (2020) Cell. 181:784–799.e19. Sylvain NJ et al. (2021) Biochim Biophys Acta Biomembr. 1863:183573.

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1 **Figure 1**
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