



THE UNIVERSITY *of* EDINBURGH

Edinburgh Research Explorer

The effect of horseshoes and surfaces on horse and jockey centre of mass displacements at gallop

Citation for published version:

Horan, K, Kourdache, K, Coburn, J, Day, P, Carnall, H, Harborne, D, Brinkley, L, Hammond, L, Millard, S, Lancaster, B & Pfau, T 2021, 'The effect of horseshoes and surfaces on horse and jockey centre of mass displacements at gallop', *PLoS ONE*, pp. 1-31. <https://doi.org/10.1371/journal.pone.0257820>

Digital Object Identifier (DOI):

[10.1371/journal.pone.0257820](https://doi.org/10.1371/journal.pone.0257820)

Link:

[Link to publication record in Edinburgh Research Explorer](#)

Document Version:

Publisher's PDF, also known as Version of record

Published In:

PLoS ONE

General rights

Copyright for the publications made accessible via the Edinburgh Research Explorer is retained by the author(s) and / or other copyright owners and it is a condition of accessing these publications that users recognise and abide by the legal requirements associated with these rights.

Take down policy

The University of Edinburgh has made every reasonable effort to ensure that Edinburgh Research Explorer content complies with UK legislation. If you believe that the public display of this file breaches copyright please contact openaccess@ed.ac.uk providing details, and we will remove access to the work immediately and investigate your claim.



RESEARCH ARTICLE

The effect of horseshoes and surfaces on horse and jockey centre of mass displacements at gallop

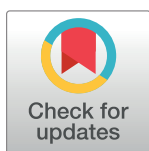
Kate Horan^{1,2‡*}, Kieran Kourdache³, James Coburn⁴, Peter Day¹, Henry Carnall^{4‡}, Dan Harborne^{4‡}, Liam Brinkley^{4‡}, Lucy Hammond³, Sean Millard¹, Bryony Lancaster², Thilo Pfau^{1‡}

1 The Royal Veterinary College, Hatfield, Hertfordshire, United Kingdom, **2** The Royal (Dick) School of Veterinary Studies, The University of Edinburgh, Easter Bush Campus, Midlothian, United Kingdom, **3** The British Racing School, Newmarket, United Kingdom, **4** James Coburn AWCF Farriers Ltd, Newmarket, United Kingdom

☉ These authors contributed equally to this work.

‡ HC, DH, and LB also contributed equally to this work. KH and TP are senior authors on this work.

* khoran@rvc.ac.uk



OPEN ACCESS

Citation: Horan K, Kourdache K, Coburn J, Day P, Carnall H, Harborne D, et al. (2021) The effect of horseshoes and surfaces on horse and jockey centre of mass displacements at gallop. PLoS ONE 16(11): e0257820. <https://doi.org/10.1371/journal.pone.0257820>

Editor: Chris Rogers, Massey University, NEW ZEALAND

Received: February 15, 2021

Accepted: September 12, 2021

Published: November 23, 2021

Peer Review History: PLOS recognizes the benefits of transparency in the peer review process; therefore, we enable the publication of all of the content of peer review and author responses alongside final, published articles. The editorial history of this article is available here: <https://doi.org/10.1371/journal.pone.0257820>

Copyright: © 2021 Horan et al. This is an open access article distributed under the terms of the [Creative Commons Attribution License](https://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Data Availability Statement: The data underlying the results presented in the study are submitted with this manuscript. Any questions pertaining to

Abstract

Horseshoes influence how horses' hooves interact with different ground surfaces, during the impact, loading and push-off phases of a stride cycle. Consequently, they impact on the biomechanics of horses' proximal limb segments and upper body. By implication, different shoe and surface combinations could drive changes in the magnitude and stability of movement patterns in horse-jockey dyads. This study aimed to quantify centre of mass (COM) displacements in horse-jockey dyads galloping on turf and artificial tracks in four shoeing conditions: 1) aluminium; 2) barefoot; 3) GluShu; and 4) steel. Thirteen retired racehorses and two jockeys at the British Racing School were recruited for this intervention study. Tri-axial acceleration data were collected close to the COM for the horse (girth) and jockey (kidney-belt), using iPhones (Apple Inc.) equipped with an iOS app (SensorLog, sample rate = 50 Hz). Shoe-surface combinations were tested in a randomized order and horse-jockey pairings remained constant. Tri-axial acceleration data from gallop runs were filtered using bandpass Butterworth filters with cut-off frequencies of 15 Hz and 1 Hz, then integrated for displacement using Matlab. Peak displacement was assessed in both directions (positive 'maxima', negative 'minima') along the cranio-caudal (CC, positive = forwards), medio-lateral (ML, positive = right) and dorso-ventral (DV, positive = up) axes for all strides with frequency ≥ 2 Hz (mean = 2.06 Hz). Linear mixed-models determined whether surfaces, shoes or shoe-surface interactions (fixed factors) significantly affected the displacement patterns observed, with day, run and horse-jockey pairs included as random factors; significance was set at $p < 0.05$. Data indicated that surface-type significantly affected peak COM displacements in all directions for the horse ($p < 0.0005$) and for all directions ($p \leq 0.008$) but forwards in the jockey. The largest differences were observed in the DV-axis, with an additional 5.7 mm and 2.5 mm of downwards displacement for the horse and jockey, respectively, on the artificial surface. Shoeing condition significantly affected all displacement parameters except ML-axis minima for the horse ($p \leq 0.007$), and all displacement

the data can be directed to the corresponding author (Kate Horan: khoran@rvc.ac.uk).

Funding: This study was achieved as a collaboration between the University of Edinburgh (KH MSc project) and a Royal Veterinary College project titled 'Shoe Assessment for Equine Racing' (KH postdoctoral research assistant), which is funded by the Horserace Betting Levy Board. The funder provided support in the form of salaries for authors [KH (full-time) and KK, JC, PD, HC, DH, LB and LH for data collection], but did not have any additional role in the study design, data collection and analysis, decision to publish, or preparation of the manuscript. The specific roles of these authors are articulated in the 'author contributions' section.

Competing interests: TP is the owner of EquiGait Ltd providing equine gait analysis products and services. JC is the owner of James Coburn AWCF Ltd, which employed JC, HC, DH and LB at the time of the study. JC, PD, HC and DH are currently registered farriers. This does not alter our adherence to PLOS ONE policies on sharing data and materials.

parameters for the jockey ($p < 0.0005$). Absolute differences were again largest vertically, with notable similarities amongst displacements from barefoot and aluminium trials compared to GluShu and steel. Shoe-surface interactions affected all but CC-axis minima for the jockey ($p \leq 0.002$), but only the ML-axis minima and maxima and DV-axis maxima for the horse ($p \leq 0.008$). The results support the idea that hoof-surface interface interventions can significantly affect horse and jockey upper-body displacements. Greater sink of hooves on impact, combined with increased push-off during the propulsive phase, could explain the higher vertical displacements on the artificial track. Variations in distal limb mass associated with shoe-type may drive compensatory COM displacements to minimize the energetic cost of movement. The artificial surface and steel shoes provoked the least CC-axis movement of the jockey, so may promote greatest stability. However, differences between horse and jockey mean displacements indicated DV-axis and CC-axis offsets with compensatory increases and decreases, suggesting the dyad might operate within displacement limits to maintain stability. Further work is needed to relate COM displacements to hoof kinematics and to determine whether there is an optimum configuration of COM displacement to optimise performance and minimise injury.

Introduction

Horseracing is a high-profile and high-risk sport, in which optimising the safety alongside performance of participating horses and jockeys is paramount. The risk of catastrophic musculoskeletal injuries in Thoroughbred flat races is 0.80 per 1000 race starts in the United Kingdom (UK), which compares to a global incidence of 1.17 per 1000 [1]. In addition, racehorse musculoskeletal injury accounts for up to 82% of lost training days lost in the UK [2], with 40% of two-year old horses in race training sustaining a musculoskeletal injury [3]. Extensive coverage of horseracing in the media means these injuries often draw the attention of the public globally, as well as the regulators; for example, the recent high injury rates at racecourses in California [4]. Failure to train and race due to injury also has a significant and detrimental economic impact. Consequently, there is increasing emphasis on proactive interventions to improve training and racing conditions, and prevent injury [5].

Maintaining a harmonious interaction between horse and jockey is one key aspect influencing safety, as biomechanical instabilities are the trigger behind most horse falls and jockey injuries [6]. In racing, a jockey positions themselves off the saddle in a two-point seat and their leg joints flex and extend in a rhythmical manner that aligns with the vertical oscillations of their horse's trunk [7]. Their body moves only a small amplitude with respect to a world inertial frame and is decoupled from the movements of the horse [8]. The horses' limbs act in sequence to redirect their centre of mass (COM) [9]. The first footfalls (hindlimbs) accelerate the horse, propelling the COM forwards, and the later ones (forelimbs) decelerate the horse and apply vertical impulse to the COM [10]. Energy is lost during the stance phase of the limbs and is a function of the change in the angle of the COM trajectory [9]. The leading forelimb is thought to be the most important for redirecting the COM, as a result of cranio-caudal (CC) deceleration, vertical acceleration and an increase in potential energy of the COM occurring during the stance phase of this limb [11]. On a stride per stride basis, jockey kinematics adjust to accommodate the changes in translational and rotational upper-body movements of the horse and thereby maintain stability [12]. For example, during stance of the leading hindlimb at gallop, the horse's trunk and jockey's pelvis both displace laterally and roll away from the side of this leg [12]. Force data from stirrups indicate that jockeys push away from the stirrup

on the non-lead side at this time to maintain the position of their COM close to the horse's midline and balance themselves [12]. A slight delay in the dorso-ventral (DV) displacement of a jockey's pelvis occurs relative to the horse, but medio-lateral (ML) movements are in phase [12]. Importantly, as the jockey's COM moves out of phase with the horse in the CC-axis [8, 12], this is when they are most unstable [12]. It is not known at which point in the stride cycle jockeys are most susceptible to injury, but it is plausible that it would be when displacements peak in the CC-axis.

Although the riding technique employed in racing is metabolically and mechanically costly for the jockey [13–15], increased inertia is detrimental to athletic performance [16] and this technique attenuates the acceleration and deceleration of the jockey in each stride cycle, with respect to a world reference frame. Consequently, it decreases energy expenditure for the horse and can optimize racing speed [8]. In trotting horses, it has been observed that a two-point seat also transfers the lowest and most constant load to a horse's back [17]. A potential drawback of the two-point seat position is the reduced points of horse-rider contact; a jockey only physically connects with their horse via the reins and their legs. This limits opportunity for tactile information exchange, which is known to play an important role in some equestrian disciplines [18, 19]. However, in racing, a jockey may signal desired alterations to their horse's biomechanical output by shifting their COM; "rider urging" has been linked to reduced stride length and increased stride frequency [20]. Adjustments to jockey position may additionally influence the patterns and symmetry of horses' movement, as has been documented in other disciplines [21–23].

To date, objective quantification of horse-rider interactions has tended to focus on equestrian disciplines in which the highest level of coupling between horse and rider movements is desired, for example in dressage [24–27]. Two studies have also explored horse-rider interactions during endurance riding [28, 29], and one study has investigated these in a racing context, using five horses [8]. Data indicate that both gait and ridden seating style are key influences on horse-rider movement patterns and their stability over time. However, there has been little research investigating how extrinsic factors, such as ground surface conditions or farriery interventions, might further influence these interactions, despite the potential implications for performance and injury risk. The interaction between hooves and the surfaces they are galloping over is at the heart of the risk of slippage, fractures and falls. If different properties of the hoof-surface interface alter traction on impact, limb loading rates and push-off forces, then the timing and magnitude—in 3D space—of horse and rider movements could change. Horse falls result from a temporary instability of the horse to support its COM or a failure of the musculoskeletal system due to injury. Jockey injury is, in most cases, due to horse falls [6].

Several epidemiological studies have identified ground surface type as a significant risk factor for injuries to racehorses [e.g. 30–33]. In the UK, most horse races are run on turf but training takes place on both turf and artificial surfaces. Ideally, on landing a surface will permit toe penetration to produce low resistance to shear forces, while also providing enough resistance to support the foot with some slip [34]. Some degree of hoof slip is advantageous for lowering the forces during deceleration [35, 36] and reducing bending moments on the cannon bone [37]. However, excessive hoof slide can predispose to injury, such as tears to the digital flexor muscles [38]. Depending on how hoof-ground interactions influence rider motion, it is possible that the rider may exacerbate the influence of a surface on the loading environment of the equine limb. Equine hoof kinematics have also been related to dynamic surface properties, including hardness, cushioning, responsiveness, grip and uniformity [39]. For example, hoof deceleration on impact in galloping horses shows an inverse relationship with track rebound rate; an effect that allows a smoother transition from stance to propulsion and increases stride

efficiency [40]. Lower hoof vibrations, accelerations and ground reaction forces have been found on synthetic surfaces compared to dirt surfaces [41, 42]. In addition, lameness and injury incidents have been linked to the magnitude of impact forces [43, 44], with surface implicated as a trigger factor for altering superficial digital flexor tendon loading and joint kinematics [45].

The influence of shoes on horse kinematics and injury mechanics has received less attention than surfaces. Within racing, the use of horseshoes is tightly regulated in most countries, including the UK. During flat racing, the British Horseracing Authority generally enforces that horses are shod with raceplates [46]: the majority have aluminium raceplates all-round and a smaller number have light steel or a mixture of aluminium (front) and steel (hind); this selection is intended to reduce added load and drag at gallop [47]. In contrast, in non-racing disciplines novel horseshoe materials, styles and innovative shoeing techniques are adopted more rapidly and are successfully used for many horses to influence biomechanical output. Perhaps in racing, horse and jockey safety needs to be considered more carefully before allowing new shoes due to the particularly high risk of injury [48], not only through falls but also through flying horseshoes at high speeds. Epidemiological data suggest certain shoe-types, such as those used in the US with high toe grabs, rims or pads, are associated with a higher risk of racehorse injury [49–52]. Hoof conformation also appears relevant, with long toes and low heels being linked to a greater risk of injury, particularly to the flexor tendons and suspensory ligament [52–54]. Nevertheless, a lack of study on novel versus existing shoe-types means jockeys, horse owners, farriers and veterinarians have been cautious to move away from traditional types, namely aluminium and light-steel. It appears short-sighted not to explore the potential benefits of new shoe and surface combinations.

Outside of racing, plastic shoes and pads made of synthetic rubber have been found to significantly reduce decelerative force and vibration frequency relative to steel shoes on concrete [55–57]. Steel shoes also impose higher maximal vertical forces compared to a barefoot condition [58]. Differences in vertical and breaking forces are likely to alter loading patterns, and thereby alter the duration over which push-up forces are transferred to a rider. Shoe shape is also relevant: for example, rolled toes might smooth breakover [59], eggbar shoes may shift the centre of foot pressure caudally [60] and solar protrusions, such as studs, may alter the balance between slip and grip [61]. Shoe mass is potentially important too, given the alterations to upper-body kinematics imposed on show-jumping horses with weighted boots [62]. Equine locomotor biomechanics, including foot-surface interaction are also influenced by conformation. This means data from horses used in other equestrian disciplines may not be directly transferable to the Thoroughbred racehorse, which is expected to perform on different surfaces at higher speeds and with a typically flat-foot, low heel conformation [63].

This study sought to assess how variations in hoof-ground interaction, imposed by different shoe and surface conditions, influence the COM displacements in galloping racehorse-jockey dyads. We hypothesised that horse movements in 3D space would differ between gallop trials in different shoe and surface conditions and that these differences would be mirrored in jockey movements. We expected movements in the DV and CC axes to show the greatest differences amongst shoe-surface conditions, owing to variability in hoof impact and push-off forces influencing the former and variations in slip duration influencing the latter.

Materials & methods

Ethics

Ethical approval for this intervention study was granted by The Royal (Dick) School of Veterinary Studies (R(D)SVS) Veterinary Ethical Review Committee (VERC, reference number

112:19) and the Human Ethical Review Committee (HERC, reference number 387–19) at the University of Edinburgh. The Royal Veterinary College (RVC) Clinical Research Ethical Review Board also approved the study (URN 2018 1841–2). Informed consent was given by the participating jockeys, farriers and horse owners. The individual in this manuscript has given written informed consent (as outlined in the PLOS consent form) to publish these case details.

Data collection

Horse-jockey dyads. Retired Thoroughbred ex-racehorses in regular work and utilised for jockey education at the British Racing School (BRS) in Newmarket, UK, provided a convenience sample of thirteen horses for this study. All horses were considered sound by the jockey, farrier and BRS management prior to data collection. They ranged in age from 6–20 years old and had body masses between 421 and 555 kg. Full details on body dimensions and hoof morphometrics are available in [64]. Two jockeys, both with >3 years of professional experience, were available for this study. All horses were ridden in a race exercise saddle. Jockey stirrup lengths varied between 47 and 50% of their leg lengths from the hip down.

Farriery interventions. Each study horse had its hooves trimmed by a farrier according to a standardised trimming protocol to ensure consistent hoof geometry prior to data collection. This meant hoof geometry was always representative of the beginning of a trimming/shoeing cycle. The horses underwent data trials on artificial (Martin Collins Activ-Track) and turf surfaces in the following four shoeing conditions: 1) aluminium raceplates (Kerkhaert Aluminium Kings Super Sound horseshoes); 2) barefoot; 3) GluShus (aluminium-rubber composite horseshoes); and 4) steel shoes (Kerkhaert Steel Kings horseshoes) (S1 Table in [S1 File](#)). The horse-shoe selection was decided upon after consulting farriers for their recommendations on existing and novel shoeing conditions to trial. The selection includes relevant and accessible options for racehorses in both training and racing contexts. The three shoe types were applied with five copper-coated mild steel nails. Shoe mass varied between 104–158 g (mean = 134±3g, mean ±2 s.e. unless otherwise stated) for the aluminium shoes (n = 63), 145–249 g (mean = 191 ±7 g) for the GluShus (n = 56), and 235–573 g (mean = 343±16 g) for the steel shoes (n = 65). The different shoe-surface combinations ([Fig 1](#)) were tested in a randomized order in case of carry-over effects between trials, for example due to tiredness of the horse or jockey.

Measuring devices. The magnitude and variability of horse and jockey displacements were quantified non-invasively using two inertial measurement unit (IMU) devices. IMUs have been validated as a reliable and repeatable method for objectively quantifying equine locomotion [65–68] and human pelvic motion [69]. The IMU devices selected were iPhones equipped with the ‘SensorLog’ app (version 3.0, sample rate = 50 Hz). In alignment with previous studies on horse-rider interaction in dressage and endurance disciplines [25, 28, 29], we sought to fix these devices to the girth of the horse and the jockey’s pelvis. These attachment locations permit approximate COM movement to be characterised [70, 71], without inhibiting the natural movement of the horse or jockey. For the horse, the iPhone was secured in a pouch on a stud girth ([Fig 2a](#)). The second iPhone for the jockey was placed in a neoprene kidney-belt ([Fig 2b](#)). The orientation of the iPhone on the girth and at the jockey’s pelvis meant that at gallop the acceleration axes had the following orientations: in the CC direction positive was orientated forwards; in the ML direction positive was right; and in the DV direction positive was up ([Fig 2c](#)). For the CC-axis, ‘forwards’ versus ‘backwards’ movement was defined relative to the position that would be achieved at constant speed.

Racing conditions. Following an initial ridden warm-up, each horse galloped on level (0–2% incline) artificial and turf tracks in each shoeing condition. The tracks curved slightly anti-clockwise (S1 Fig in [S1 File](#)). The jockey was asked to gallop their horse on both left and right



Fig 1. Photographs of the four shoeing conditions and two surfaces used in this study. A) Aluminium raceplate. B) Barefoot hoof. C) GluShu. D) Steel shoe. E) Horse galloping on Martin Collins Activ-Track at the British Racing School. F) Horse galloping on turf track at the British Racing School.

<https://doi.org/10.1371/journal.pone.0257820.g001>

leads for each shoe-surface combination, in case of any laterality bias [72]. For some trials there were additional runs per condition, reflecting the fact that some additional equipment instrumented simultaneously required adjustments and repeat runs, as well as the need for multiple attempts for some horses to achieve the desired lead. Horses were not forced to exercise for a duration beyond what is typical of a short riding session (15–20 minutes), so trials were split across multiple days for each horse-jockey dyad. Data collection took place on the artificial track from summer 2019 through to early spring 2020. Data collection on the turf track was constrained to the mid-autumn of 2019 through to early spring of 2020, due to routine accessibility restrictions implemented by the BRS to avoid ‘hard’ going. Surface conditions for the turf track were documented by the jockeys, using terms used within the racing industry to describe “going” [73], and ranged from ‘soft’ to ‘good-firm’. Further details on weather conditions on data collection days are available in [64].

Data analysis

Identifying gallop data, filtering and integration. The approximate times of day for gallop episodes were noted during data collection and together with SensorLog speed data helped identify the relevant portions of accelerometry data. A custom-written Matlab script facilitated the extraction of 2–3 minute intervals of accelerometry data, each including a gallop episode, from the large original SensorLog ‘csv’ files. To reduce unwanted signal components and improve the overall precision of calculated parameters, data were filtered using a bandpass Butterworth filter with a passband of 1–15 Hz. Acceleration data were then integrated twice to quantify displacement following published methods [67, 68]. Through the highpass (bandpass) filter, the displacement had an average zero value.

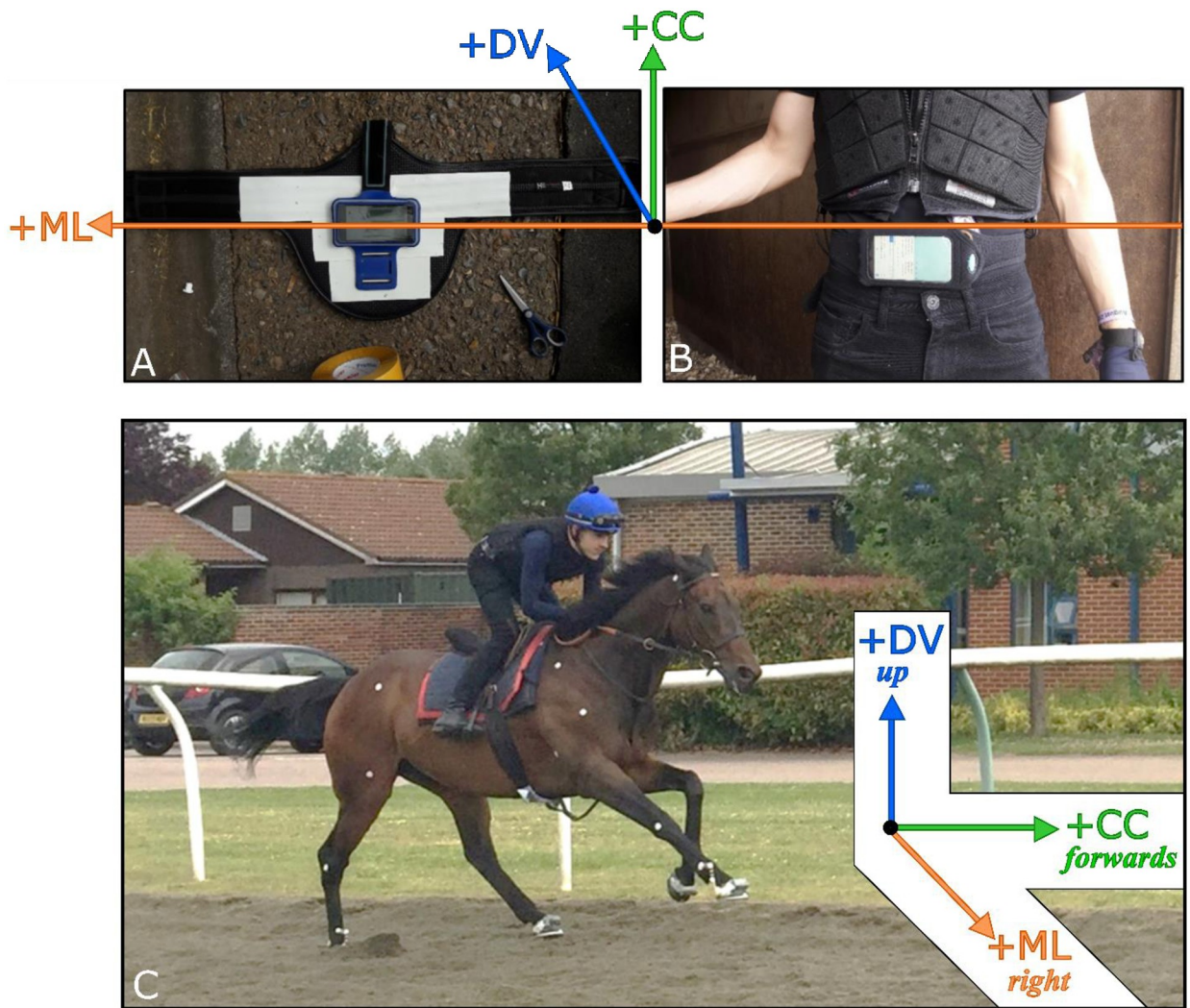


Fig 2. Orientation of the cranio-caudal (CC), medio-lateral (ML) and dorso-ventral (DV) acceleration axes in the SensorLog app. A) At the horse's girth. B) At the jockey's pelvis. C) At gallop, with the jockey in the two-point seat position.

<https://doi.org/10.1371/journal.pone.0257820.g002>

Quantifying horse-jockey interaction. Maximum displacements in positive ('maxima') and negative ('minima') directions were quantified along the CC, ML and DV axes and compared between horse and jockey in response to the different shoe and surface conditions. Due to restrictions of the SensorLog app and the phone operating system, horse and jockey sensor data could not be time-synchronized to the nearest millisecond. Hence, here data analysis was limited to movement amplitudes and the relative phase between horse and jockey displacement was not assessed. To account for the possible confounding effect of differences in speed on hoof kinematics [40], or limb coordination and inter-stride movement consistency [74], stride frequency was quantified during trials, as this provides an approximation of speed [75].

To quantify displacement minima and maxima, individual trial data were first segmented into strides in Microsoft Excel using a threshold based-method that identified corresponding points in the data sequences. A custom-written Matlab script was then used to further narrow down these data based on stride frequency. A frequency of ≥ 2 Hz was used as the cut-off, which is approximately equivalent to 9 m s^{-1} [75]. Data from the condensed files were plotted

so signal amplitude could be assessed visually to help identify the sequence of gallop strides. The magnitudes of displacement minima and maxima for gallop strides were quantified using Microsoft Excel. Wherever possible, consecutive strides were analysed but occasional skips of 2–3 strides reflected the horse galloping close to the 2 Hz cut-off frequency.

Statistical testing. Statistical analyses were performed in SPSS. Twelve linear mixed models were used to test for significant differences in the magnitude of horse and jockey displacement minima and maxima in the CC, ML and DV axes, under the different shoe and surface conditions. Shoe, surface and ‘shoe-surface interaction’ were defined as fixed factors and horse-jockey pair, day and run numbers as random factors. Bonferroni post-hoc comparison tests were used to determine pairwise significance between shoes and shoe-surface combinations. The significance threshold in all statistical tests was set at $p < 0.05$.

The full data analysis procedure is summarized in [Fig 3](#).

Results

Overview

From a total of 223 gallop runs, 185 horse and 187 jockey data files were viable for analysis. Runs were mostly discounted due to technical issues, but occasionally as a result of the horse not meeting trial criteria. Technical issues included the SensorLog app failing to record for a period of time, recording at a variable (and lower than expected) frequency or the phone unexpectedly losing power. If a horse bucked during a trial or ran with a stride frequency lower than the 2 Hz cut-off, then strides were not available for analysis. In addition, horse data from days 1 and 2 were discounted because the attachment of the phone to the girth was subsequently altered to improve security. No data from trials involving Horse-5 were viable. The total number of gallop runs analysed per shoe-surface condition is detailed in [Table 1](#). Mean stride frequency across horse and jockey trials was 2.10 ± 0.12 and 2.01 ± 0.12 Hz (± 1 s.d.), respectively, indicating stride frequency did not vary greatly beyond the 2 Hz threshold. Raw data are available in the [S1 Data](#). Examples of acceleration data in time-series, integrated once to velocity and again to generate the required displacement data are available in the (S2, S3 Figs in [S1 File](#)) for both horse and jockey stride cycles.

Displacement minima and maxima

Here, we first present the collective data of all shoe-surface conditions to assess the magnitude of the tri-axial displacements and look for general patterns in horse and jockey movements. We then explore the data in more detail to see whether shoe or surface had any additional impact on the results.

General trends. Overall raw means for displacement along each axis from stride data collated across data trials are summarized in [Table 2](#).

The position of the jockey relative to the horse throughout a gallop stride is illustrated in [Fig 4](#), alongside an extract of displacement data for multiple strides. Jockey displacements were reduced relative to the horse in all directions. This difference was proportionally greatest in the CC-axis, particularly in the forwards direction ([Table 2](#), [Fig 4](#)).

There was a relationship between the magnitude of displacement minima and maxima for both horse and jockey across individual trials ([Fig 5](#)). However, when displacement minima and maxima for the different displacement axes were compared, there appeared to be no clear relationship between them; [Fig 6](#) illustrates a comparison between the DV and CC axes.

Normalizing CC-axis to DV-axis displacements for minima (‘backwards/down’) and maxima (‘forwards/up’) assisted in teasing apart these general patterns further ([Fig 7](#)). Although vertical displacements were almost always larger than CC displacements for both horse and

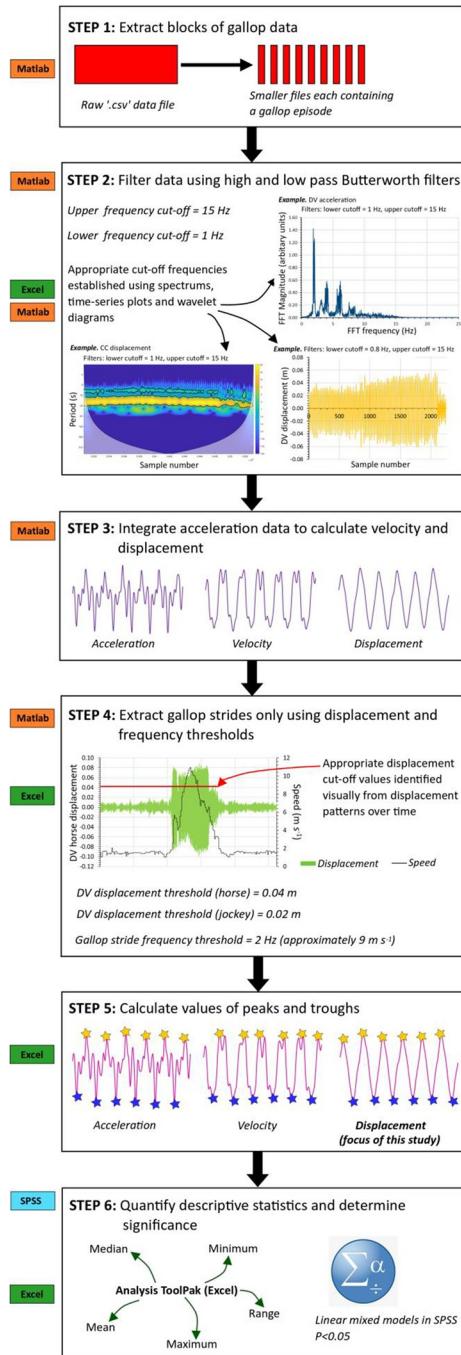


Fig 3. Data analysis flowchart showing the step-by-step method used to quantify centre of mass displacement patterns for horse and jockey. CC = cranio-caudal, DV = dorso-ventral.

<https://doi.org/10.1371/journal.pone.0257820.g003>

Table 1. Number of gallop runs analysed for each shoe-surface combination.

Shoe-surface combination	Number of trials	Number of viable horse data files	Number of viable jockey data files
Aluminium-Artificial	32	19	19
Aluminium-Turf	21	19	19
Barefoot-Artificial	32	21	24
Barefoot-Turf	27	26	24
GluShu-Artificial	25	25	25
GluShu-Turf	28	25	25
Steel-Artificial	30	23	24
Steel-Turf	28	27	27

<https://doi.org/10.1371/journal.pone.0257820.t001>

Table 2. Mean displacement minima and maxima based on collated stride data.

Displacement parameter	Horse raw mean \pm 2 SD (mm) (n = 7525)	Jockey raw mean \pm 2 s.d. (mm) (n = 7695)
CC-axis minima (backwards)	34 \pm 16	16 \pm 15
ML-axis minima (left)	13 \pm 10	10 \pm 11
DV-axis minima (downwards)	65 \pm 26	46 \pm 26
CC-axis maxima (forwards)	45 \pm 21	17 \pm 12
ML-axis maxima (right)	13 \pm 11	11 \pm 15
DV-axis maxima (upwards)	66 \pm 16	39 \pm 23

CC = cranio-caudal, ML = medio-lateral, DV = dorso-ventral.

<https://doi.org/10.1371/journal.pone.0257820.t002>

jockey, there appeared to be a divergence in horse and jockey data as CC displacement magnitudes increased. In addition, jockey data were concentrated at lower CC/DV displacement ratios than the horse data, indicating that the jockeys experienced relatively more DV displacement.

Influence of shoe and surface. The distribution in raw mean displacement minima and maxima data for individual runs, sub-divided by shoe-surface combination, is presented in Fig 8.

Once individual stride data had been compiled across all trials for statistical testing, a total of 7525 and 7695 strides were available to assess horse and jockey displacements, respectively. Estimated marginal means (EMMs) computed by the models are provided in Tables 3–5 for surface, shoe and shoe-surface effects, respectively. Significance values for the main effects (surface, shoe) and the shoe-surface interaction are reported in Table 6. Significant differences amongst surfaces, shoes and surface-shoe combinations are indicated on Figs 9–11. A Bonferroni correction was applied to post-hoc pairwise comparisons of shoes and shoe-surface combinations (S2, S3 Tables in S1 File), and histograms confirmed normality of model residuals.

Surface significantly affected all displacement parameters for the horse ($p < 0.0005$) and all except the CC-axis maxima for the jockey ($p \leq 0.008$) (Fig 9). The largest differences were observed in the DV-axis; an additional 5.7 and 2.5 mm of downwards displacement on the artificial surface for the horse and jockey, respectively. Small increases in backwards displacement were also apparent for both horses (2.0 mm) and jockeys (0.6 mm) on turf. ML-axis differences were all ≤ 1.9 mm, and the surface linked to the highest displacement was inconsistent between horses and jockeys.

Shoeing condition significantly affected all displacement parameters except the ML-axis minima for the horse ($p \leq 0.007$) and all displacement parameters for the jockey were

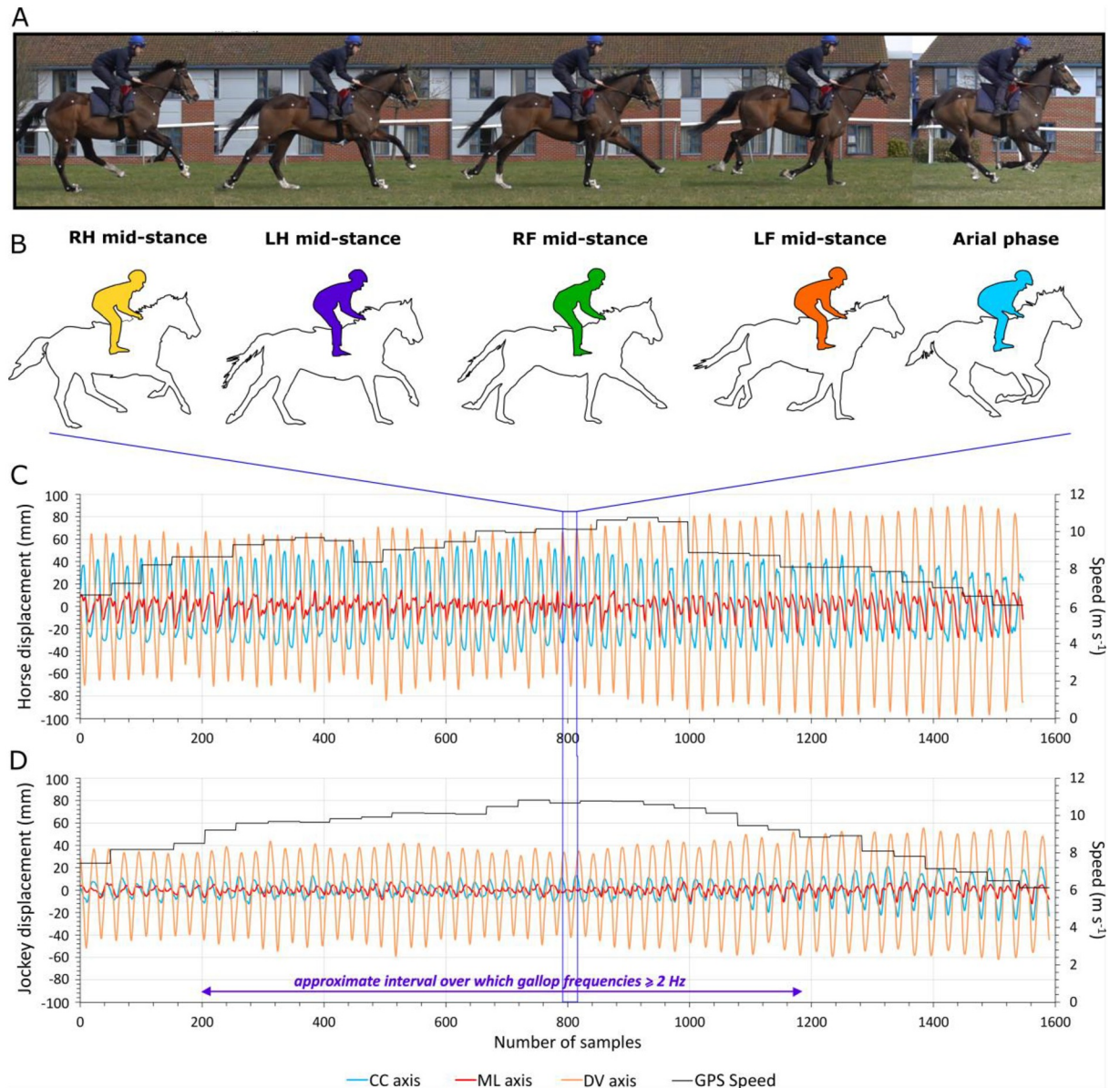


Fig 4. Overview of horse and jockey displacements. **A.** Photographs of horse and jockey over a gallop stride cycle illustrating changes in their body movements. **B.** Schematic diagrams highlighting the subtle variation in jockey position shown in **A.** **C.** Horse tri-axial displacements during a gallop interval. **D.** Jockey tri-axial displacements over the same gallop interval shown in **C.** Note that the alignment of speed data to acceleration data is only approximate.

<https://doi.org/10.1371/journal.pone.0257820.g004>

significantly affected ($p < 0.0005$) (Fig 10). Significance values for post-hoc pairwise comparisons between the four shoeing conditions are provided in the Supporting information for displacement parameters that were significantly affected (S2 Table in S1 File). The most notable difference for the horse occurred in DV-axis minima between the barefoot condition and steel shoes; there was an additional 2.9 mm of downwards displacement for barefoot. The ML-axis was least affected by shoeing condition. For the jockey, EMM displacement magnitude differences were largest for DV-axis minima (barefoot–steel = 3.1 mm) and maxima (aluminium–steel = 3.2 mm). For both horse and jockey, similarities in DV-axis data were apparent for barefoot and aluminium versus GluShu and steel.

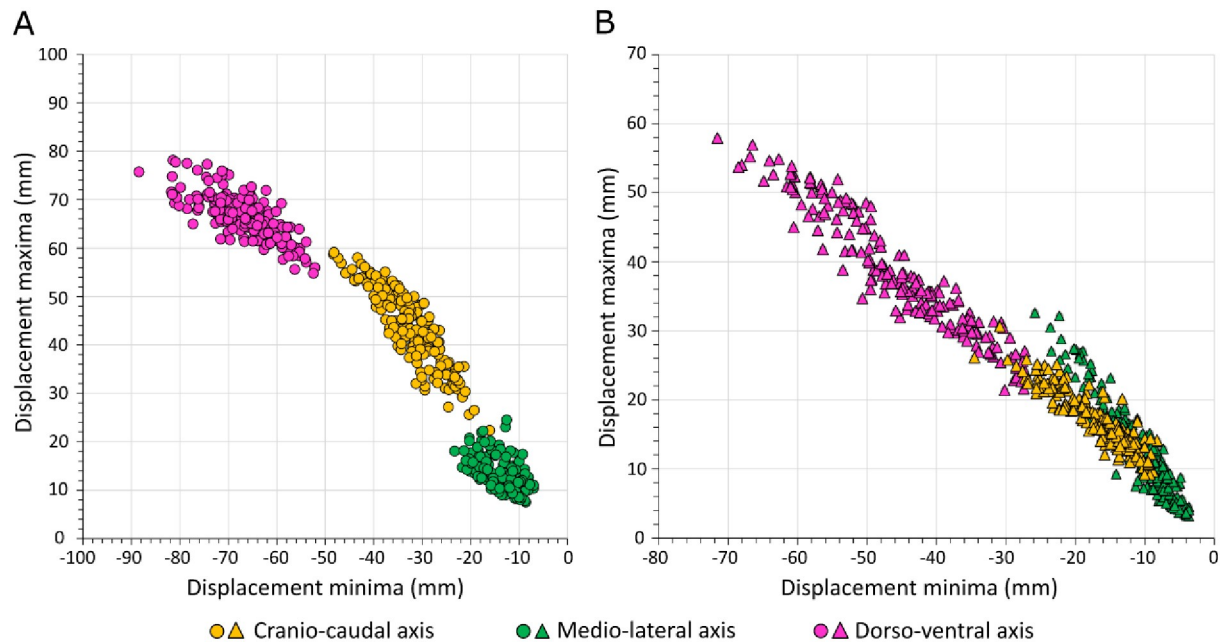


Fig 5. Positive relationship between the magnitude of displacement minima and maxima for individual trials. A. Horse data. B. Jockey data.

<https://doi.org/10.1371/journal.pone.0257820.g005>

The interaction between shoeing condition and surface type significantly affected ML-axis minima, ML-axis maxima and DV-axis maxima data for the horse ($p \leq 0.008$), and all displacement parameters except CC-axis minima for the jockey ($p \leq 0.002$) (Fig 11). Post-hoc tests were performed when the interaction was significant. As there were eight possible shoe-surface combinations arising from two surfaces and four shoeing conditions, sequentially comparing these against one another gave rise to 28 comparisons per axis direction. With six possible directions reflecting movement in both positive and negative directions for CC, ML and DV displacement, there was a maximum of 168 comparisons possible. However, for the jockey, for whom shoe-surface interactions only significantly affected displacement in five of the six directions, 140 comparisons were made. Of these comparisons, the EMMs were significantly different in 83 cases. For the horse, 84 comparisons were made for the three directions that were significantly affected and 55 shoe-surface EMMs were found to be significantly different (S3 Table in S1 File). The largest differences in EMMs occurred in the vertical axis between 'barefoot-artificial' versus 'steel-turf': a combined minima and maxima average increase of 7.7 mm and 6.2 mm for 'barefoot-artificial' for the horse and jockey, respectively.

Discussion

The aim of this study was to assess COM displacements in horse-jockey dyads galloping on turf and artificial surfaces in four shoeing conditions. Optimal stability in riding is often linked to synchronous horse-rider displacements [12], which may be best achieved when there is haptic communication between horse and rider via the saddle, such as in sitting trot and canter [25, 28, 29]. Therefore, the crouched position adopted by jockeys, with its isolated COM [8], is expected to be associated with reduced stability and an increased risk of falls [12]. It is important to establish how extrinsic factors modulate this risk. The findings of this study support the hypotheses that horseshoe and ground surface types can have significant effects on the upper body displacements of horses and their jockeys.

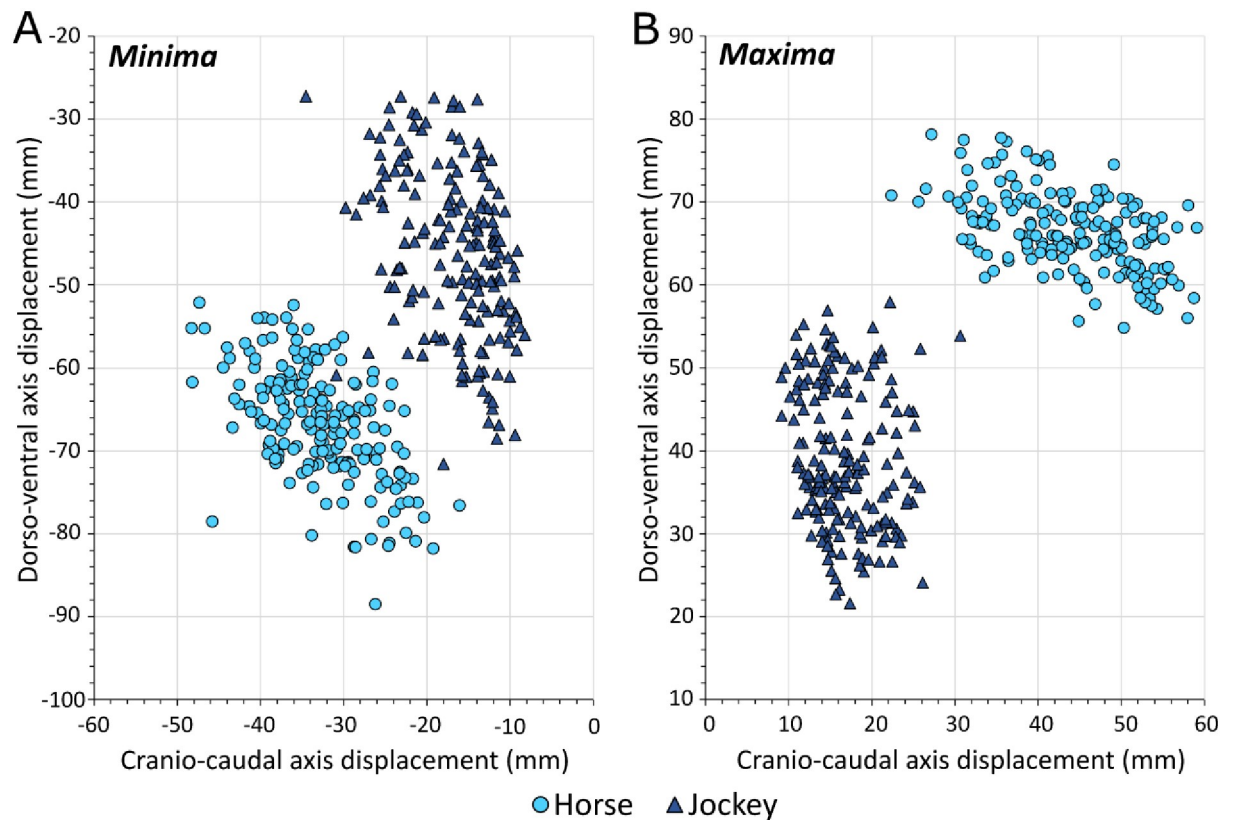


Fig 6. Relationship between the magnitude of dorso-ventral (DV) axis and cranio-caudal (CC) axis displacements for horse and jockey individual trial data. A. Minima. B. Maxima.

<https://doi.org/10.1371/journal.pone.0257820.g006>

This study focused on quantifying minima and maxima of displacements over stride cycles, which is analogous to equine gait analysis systems that utilize vertical displacement minima and maxima to detect lameness [76]. However, shifts in the overall range of motion, rather than asymmetries, were of interest here. The stride frequency threshold, for data to be included in the analysis, is consistent with slow galloping speeds [77] but should exceed canter speeds of Thoroughbreds [78–80]. Broad-scale horse and jockey displacement magnitudes recorded are in alignment with previous work [8]. The general similarities in displacement minima and maxima magnitudes (Fig 5) reflect mostly symmetrical cyclical movements of the COM of each body. Greater consistency in this relationship for the jockey, may reflect fine motor control assisting balance; for example, jockeys may pull on the reins or lean on their horses' necks to improve CC balance, while lateral balance may be aided by sideways pushing of the legs, alterations to knee angles or changing the force distribution between stirrups [81]. This would lead to a 'smoother' more sinusoidal displacement curve with more similar values for minima and maxima. Interestingly, the horse CC displacement data showed higher maximum values for a given minimum value (Fig 5). These deviations from the general trend indicate that the CC displacement data do not perfectly follow a sine wave where the high-pass filtering would mean that the minima and maxima have very similar values. This may be linked to the fact that movement in the forwards direction was sharper, with high amplitude while movement in the backwards direction was smoother and prolonged, with a lower amplitude. In the ML-axis, it is possible that a 'curve effect' could explain why the jockey data showed ML maxima

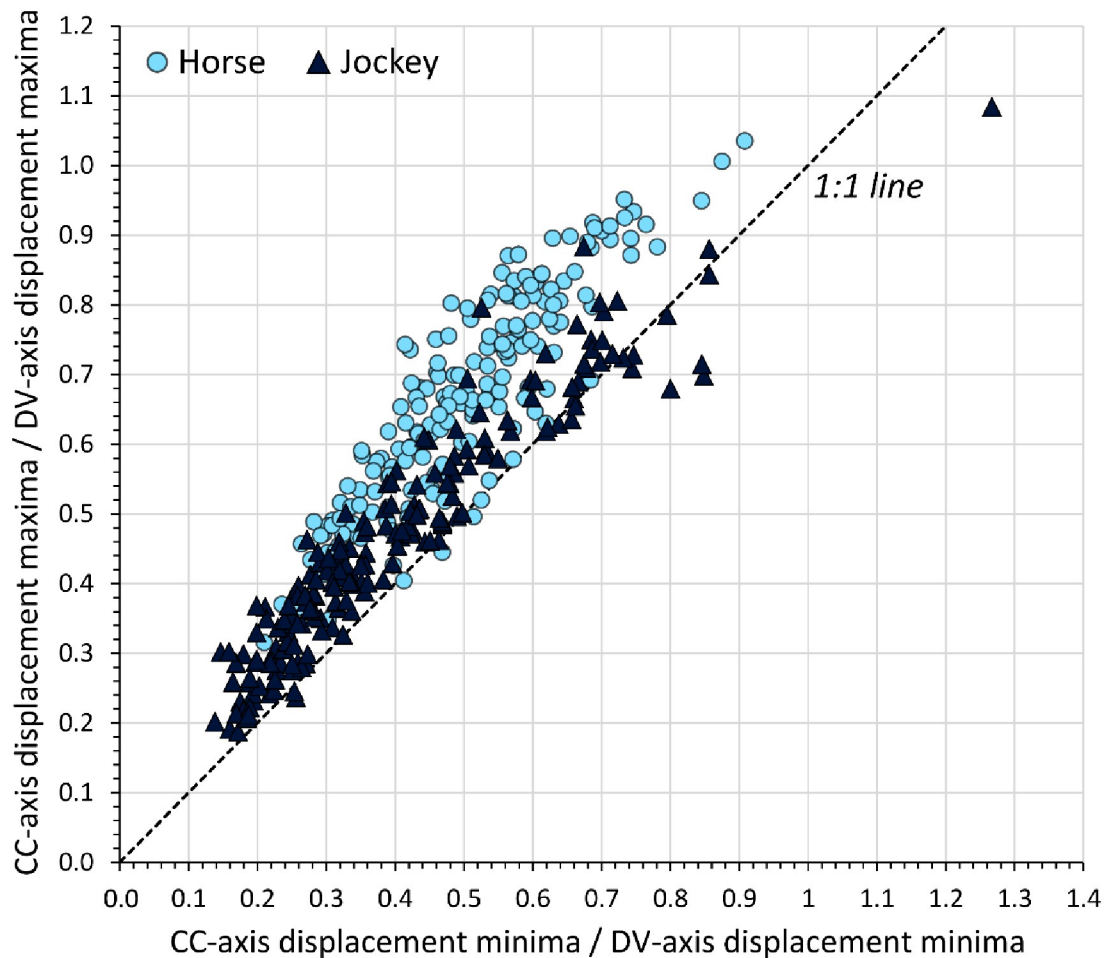


Fig 7. Relationship between the ratio of cranio-caudal (CC) axis / dorso-ventral (DV) axis displacements for minima and maxima in horses and jockeys. A 1:1 line is included for reference.

<https://doi.org/10.1371/journal.pone.0257820.g007>

magnitudes that increased proportionally more with increasing values of ML minima, as the horses were galloping around a slight anticlockwise bend (S1 Fig in [S1 File](#)). Importantly, changes to the three-dimensional movement of the COM of the horse (and jockey) determines the distribution and magnitude of loads on limbs [82], and is therefore of relevance to injury.

The accuracy, precision and repeatability with current IMU sensor generations are in the order of 3–7 mm [83] and this is comparable between smartphones and specialist inertial sensing technology [67, 68, 84]. Here, many strides (7525 and 7695 for horse and jockey, respectively) from twelve horses were used to interpret the effect of different conditions. Although the differences between EMMs were small, the EMM values themselves exceeded sensor uncertainties. The smallest displacements occurred in the ML-axis, while larger displacements and displacement offsets occurred in the CC and DV axes; hence, the latter are more likely to be meaningful in a practical context. As the same horse-jockey combinations were assessed on the different surfaces and with different shoes we created a ‘paired’ comparison, which minimized the risk of individual horse (and jockey) related characteristics confounding results, such as skill or age.

Here, significantly greater vertical displacements were apparent for horses galloping on the artificial compared to the turf surface ([Table 3](#), [Fig 9](#)). This may reflect the hooves sinking

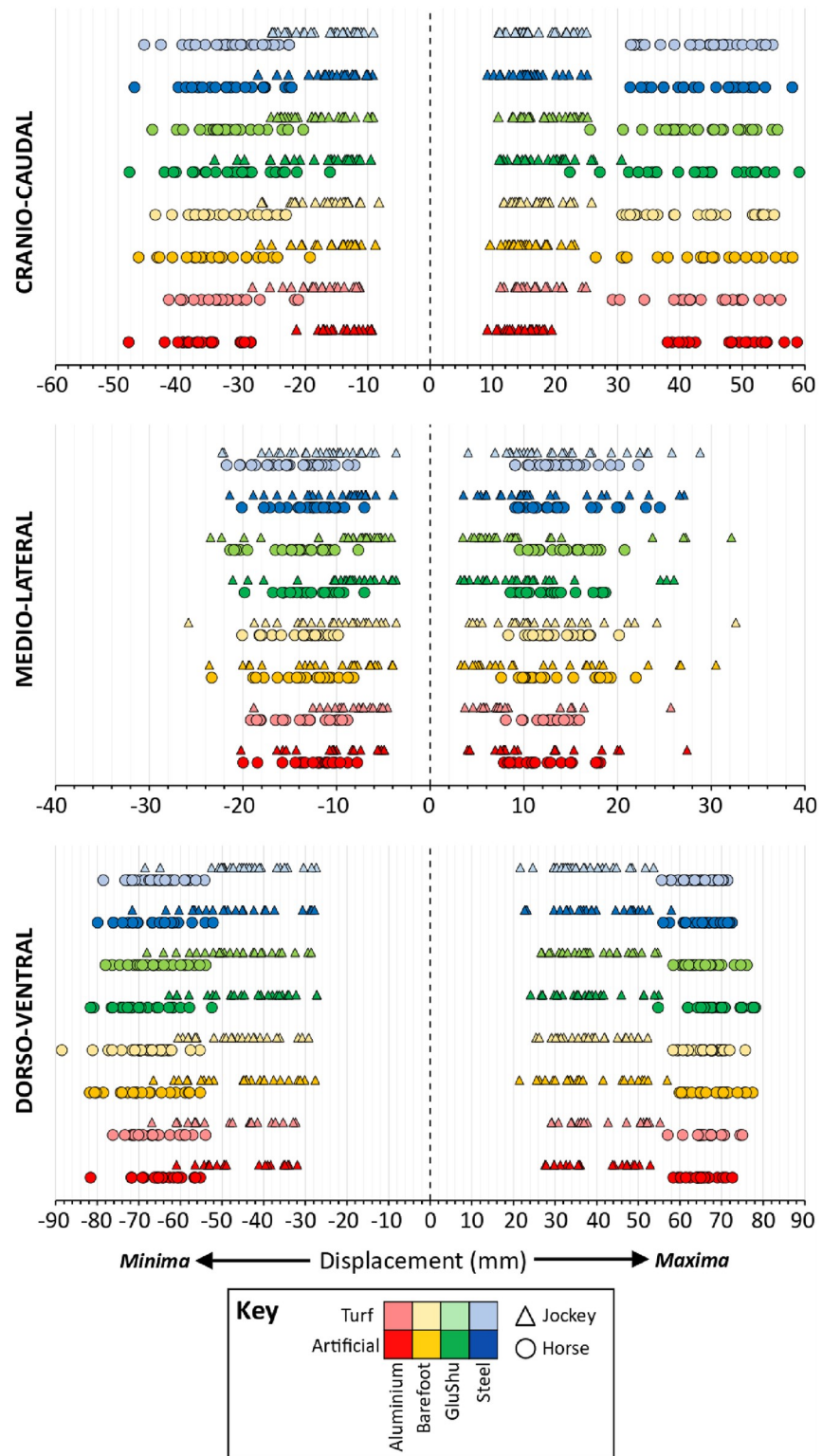


Fig 8. Distribution of displacement minima and maxima values for horse and jockey, sub-divided by shoe-surface combination. This is based on raw mean data of individual runs.

<https://doi.org/10.1371/journal.pone.0257820.g008>

Table 3. Estimated marginal means and confidence intervals for surface effects.

Displacement parameter	Surface	Horse Mean (mm)	Horse 95% Confidence Interval Lower Bound (mm)	Horse 95% Confidence Interval Upper Bound (mm)	Jockey Mean (mm)	Jockey 95% Confidence Interval Lower Bound (mm)	Jockey 95% Confidence Interval Upper Bound (mm)
CC-axis minima	Artificial	-32.39	-35.84	-28.95	-16.94	-19.90	-13.98
	Turf	-34.43	-37.87	-30.99	-17.51	-20.48	-14.55
ML-axis minima	Artificial	-12.97	-14.18	-11.76	-11.17	-15.84	-6.51
	Turf	-14.86	-16.07	-13.65	-10.29	-14.96	-5.63
DV-axis minima	Artificial	-69.07	-73.07	-65.08	-44.18	-49.93	-38.43
	Turf	-63.36	-67.35	-59.37	-41.67	-47.42	-35.92
CC-axis maxima	Artificial	43.24	39.06	47.42	16.67	14.37	18.97
	Turf	45.35	41.17	49.53	17.00	14.70	19.30
ML-axis maxima	Artificial	12.65	10.75	14.56	13.61	7.82	19.41
	Turf	13.72	11.82	15.62	12.12	6.33	17.91
DV-axis maxima	Artificial	68.14	64.28	72.01	37.26	32.11	42.41
	Turf	64.62	60.75	68.48	35.86	30.71	41.01

CC = cranio-caudal, ML = medio-lateral, DV = dorso-ventral.

<https://doi.org/10.1371/journal.pone.0257820.t003>

Table 4. Estimated marginal means and confidence intervals for shoe effects.

Displacement parameter	Shoe	Horse Mean (mm)	Horse 95% Confidence Interval Lower Bound (mm)	Horse 95% Confidence Interval Upper Bound (mm)	Jockey Mean (mm)	Jockey 95% Confidence Interval Lower Bound (mm)	Jockey 95% Confidence Interval Upper Bound (mm)
CC-axis minima	Aluminium	-34.54	-37.99	-31.09	-17.38	-20.35	-14.41
	Barefoot	-33.45	-36.90	-30.01	-17.51	-20.48	-14.55
	GluShu	-32.85	-36.30	-29.39	-17.61	-20.59	-14.64
	Steel	-32.81	-36.26	-29.36	-16.40	-19.37	-13.43
ML-axis minima	Aluminium	-13.78	-14.99	-12.57	-10.71	-15.38	-6.05
	Barefoot	-14.00	-15.21	-12.79	-10.63	-15.30	-5.97
	GluShu	-13.75	-14.97	-12.53	-10.37	-15.04	-5.70
	Steel	-14.14	-15.35	-12.92	-11.21	-15.88	-6.55
DV-axis minima	Aluminium	-66.77	-70.77	-62.76	-44.26	-50.02	-38.51
	Barefoot	-67.73	-71.73	-63.73	-44.51	-50.26	-38.76
	GluShu	-65.56	-69.58	-61.54	-41.47	-47.24	-35.70
	Steel	-64.81	-68.81	-60.81	-41.46	-47.22	-35.70
CC-axis maxima	Aluminium	45.55	41.36	49.73	16.80	14.49	19.10
	Barefoot	43.46	39.28	47.64	16.86	14.56	19.17
	GluShu	43.66	39.47	47.85	17.32	15.01	19.63
	Steel	44.52	40.34	48.71	16.36	14.06	18.67
ML-axis maxima	Aluminium	12.96	11.05	14.86	12.53	6.73	18.32
	Barefoot	13.14	11.24	15.04	12.25	6.45	18.04
	GluShu	13.10	11.19	15.01	13.09	7.29	18.89
	Steel	13.55	11.64	15.46	13.61	7.81	19.40
DV-axis maxima	Aluminium	67.25	63.38	71.12	38.29	33.13	43.44
	Barefoot	67.17	63.30	71.04	37.52	32.37	42.68
	GluShu	65.57	61.69	69.45	35.37	30.21	40.53
	Steel	65.54	61.67	69.41	35.07	29.91	40.22

CC = cranio-caudal, ML = medio-lateral, DV = dorso-ventral.

<https://doi.org/10.1371/journal.pone.0257820.t004>

Table 5. Estimated marginal means and confidence intervals for combined shoe-surface effects.

Displacement parameter	Surface	Shoe	Horse Mean (mm)	Horse 95% Confidence Interval Lower Bound (mm)	Horse 95% Confidence Interval Upper Bound (mm)	Jockey Mean (mm)	Jockey 95% Confidence Interval Lower Bound (mm)	Jockey 95% Confidence Interval Upper Bound (mm)
CC-axis minima	Artificial	Aluminium	-33.58	-37.04	-30.11	-17.13	-20.11	-14.15
	Artificial	Barefoot	-32.48	-35.94	-29.02	-17.16	-20.14	-14.18
	Artificial	GluShu	-31.71	-35.17	-28.24	-17.42	-20.41	-14.43
	Artificial	Steel	-31.81	-35.28	-28.35	-16.05	-19.04	-13.07
	Turf	Aluminium	-35.50	-38.97	-32.04	-17.63	-20.62	-14.64
	Turf	Barefoot	-34.43	-37.89	-30.97	-17.87	-20.85	-14.88
	Turf	GluShu	-33.99	-37.45	-30.52	-17.81	-20.79	-14.82
	Turf	Steel	-33.81	-37.27	-30.34	-16.75	-19.73	-13.76
ML-axis minima	Artificial	Aluminium	-12.73	-13.96	-11.50	-11.79	-16.46	-7.12
	Artificial	Barefoot	-13.38	-14.61	-12.15	-10.73	-15.40	-6.06
	Artificial	GluShu	-12.90	-14.14	-11.66	-10.39	-15.06	-5.71
	Artificial	Steel	-12.87	-14.11	-11.63	-11.78	-16.45	-7.11
	Turf	Aluminium	-14.83	-16.07	-13.58	-9.64	-14.31	-4.97
	Turf	Barefoot	-14.62	-15.86	-13.39	-10.53	-15.21	-5.86
	Turf	GluShu	-14.59	-15.83	-13.36	-10.36	-15.03	-5.68
	Turf	Steel	-15.40	-16.63	-14.17	-10.65	-15.32	-5.98
DV-axis minima	Artificial	Aluminium	-69.27	-73.32	-65.23	-44.71	-50.49	-38.93
	Artificial	Barefoot	-71.17	-75.22	-67.13	-46.72	-52.49	-40.95
	Artificial	GluShu	-68.19	-72.25	-64.13	-41.69	-47.48	-35.91
	Artificial	Steel	-67.66	-71.72	-63.60	-43.61	-49.39	-37.83
	Turf	Aluminium	-64.26	-68.32	-60.20	-43.82	-49.60	-38.03
	Turf	Barefoot	-64.28	-68.33	-60.24	-42.29	-48.07	-36.52
	Turf	GluShu	-62.93	-66.98	-58.88	-41.25	-47.03	-35.46
	Turf	Steel	-61.96	-66.01	-57.92	-39.31	-45.09	-33.54
CC-axis maxima	Artificial	Aluminium	44.85	40.65	49.06	17.02	14.70	19.34
	Artificial	Barefoot	42.46	38.26	46.67	16.53	14.21	18.84
	Artificial	GluShu	42.20	37.99	46.41	17.09	14.77	19.42
	Artificial	Steel	43.44	39.23	47.65	16.05	13.73	18.37
	Turf	Aluminium	46.24	42.03	50.45	16.58	14.25	18.90
	Turf	Barefoot	44.46	40.25	48.66	17.20	14.88	19.52
	Turf	GluShu	45.12	40.91	49.33	17.54	15.22	19.86
	Turf	Steel	45.60	41.39	49.80	16.67	14.36	18.99
ML-axis maxima	Artificial	Aluminium	12.20	10.28	14.12	13.98	8.18	19.78
	Artificial	Barefoot	12.72	10.80	14.64	12.77	6.97	18.57
	Artificial	GluShu	12.41	10.48	14.33	13.36	7.56	19.17
	Artificial	Steel	13.29	11.37	15.21	14.34	8.54	20.14
	Turf	Aluminium	13.71	11.79	15.64	11.08	5.28	16.88
	Turf	Barefoot	13.56	11.64	15.48	11.72	5.92	17.52
	Turf	GluShu	13.79	11.87	15.71	12.81	7.01	18.61
	Turf	Steel	13.81	11.89	15.73	12.87	7.07	18.67

(Continued)

Table 5. (Continued)

Displacement parameter	Surface	Shoe	Horse Mean (mm)	Horse 95% Confidence Interval Lower Bound (mm)	Horse 95% Confidence Interval Upper Bound (mm)	Jockey Mean (mm)	Jockey 95% Confidence Interval Lower Bound (mm)	Jockey 95% Confidence Interval Upper Bound (mm)
DV-axis maxima	Artificial	Aluminium	68.41	64.52	72.30	38.16	32.99	43.32
	Artificial	Barefoot	69.70	65.81	73.59	38.73	33.56	43.89
	Artificial	GluShu	66.90	63.01	70.79	35.75	30.58	40.93
	Artificial	Steel	67.56	63.67	71.46	36.42	31.25	41.59
	Turf	Aluminium	66.09	62.19	69.98	38.42	33.25	43.59
	Turf	Barefoot	64.63	60.74	68.52	36.32	31.15	41.49
	Turf	GluShu	64.24	60.35	68.13	34.98	29.81	40.15
	Turf	Steel	63.51	59.62	67.40	33.71	28.55	38.88

CC = cranio-caudal, ML = medio-lateral, DV = dorso-ventral.

<https://doi.org/10.1371/journal.pone.0257820.t005>

more at impact on the artificial surface, combined with greater propulsive forces being generated during the latter part of stance. Artificial surfaces may undergo a high degree of elastic deformation [85] and therefore return more energy to the hoof. A more rapid hoof breakover on the artificial surface [86] would be consistent with the notion that push-off forces, which would impose vertical COM movement, were stronger on this track, relative to turf. However, significantly higher CC horse displacement occurred on turf (Table 3, Fig 9). This could be linked to increased grip at the hoof-surface interface, as this would increase CC forces [56], and may suggest that surface traction had the dominant control on CC movement rather than any horizontal propulsive forces generated during breakover. Nevertheless, further work is needed to establish which surface conferred the greatest grip, as reduced breakover times on

Table 6. Summary of output from linear mixed models: F and significance (p) values.

Displacement parameter	Source	F value (Horse)	Significance (Horse)	F value (Jockey)	Significance (Jockey)
CC-axis minima	Surface	78.007	<0.0005	7.111	0.008
	Shoe	21.849	<0.0005	12.672	<0.0005
	Surface * Shoe	0.266	0.850	0.278	0.842
ML-axis minima	Surface	125.766	<0.0005	42.774	<0.0005
	Shoe	2.054	0.104	12.159	<0.0005
	Surface * Shoe	6.071	<0.0005	28.665	<0.0005
DV-axis minima	Surface	167.959	<0.0005	53.192	<0.0005
	Shoe	16.321	<0.0005	35.530	<0.0005
	Surface * Shoe	2.097	0.098	19.712	<0.0005
CC-axis maxima	Surface	51.581	<0.0005	3.623	0.057
	Shoe	22.820	<0.0005	8.599	<0.0005
	Surface * Shoe	2.524	0.056	4.801	0.002
ML-axis maxima	Surface	38.549	<0.0005	74.127	<0.0005
	Shoe	4.072	0.007	21.577	<0.0005
	Surface * Shoe	3.989	0.008	17.793	<0.0005
DV-axis maxima	Surface	179.093	<0.0005	25.833	<0.0005
	Shoe	21.925	<0.0005	48.447	<0.0005
	Surface * Shoe	13.428	<0.0005	12.959	<0.0005

Significant effects are highlighted in bold. CC = cranio-caudal, ML = medio-lateral, DV = dorso-ventral.

<https://doi.org/10.1371/journal.pone.0257820.t006>

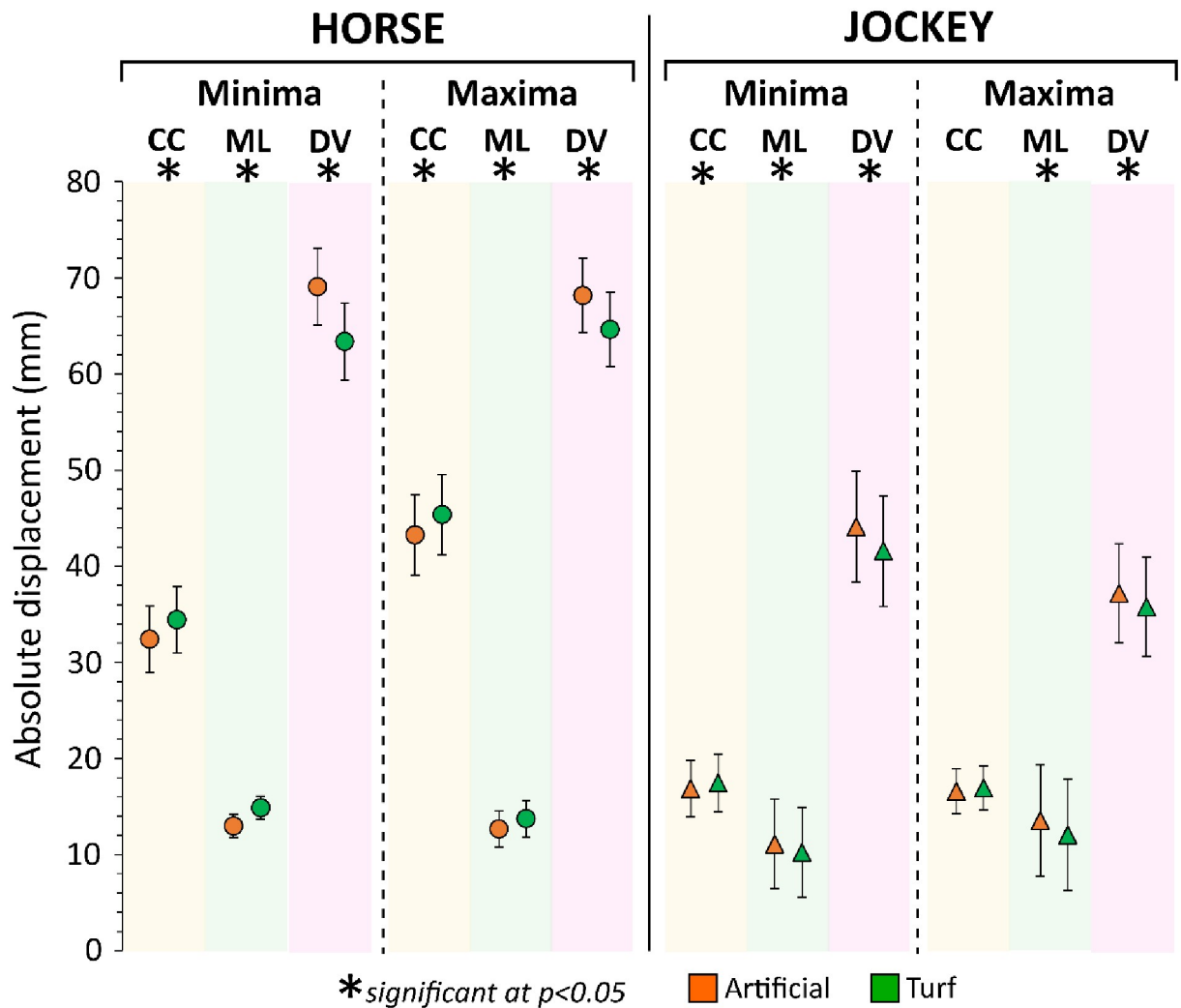
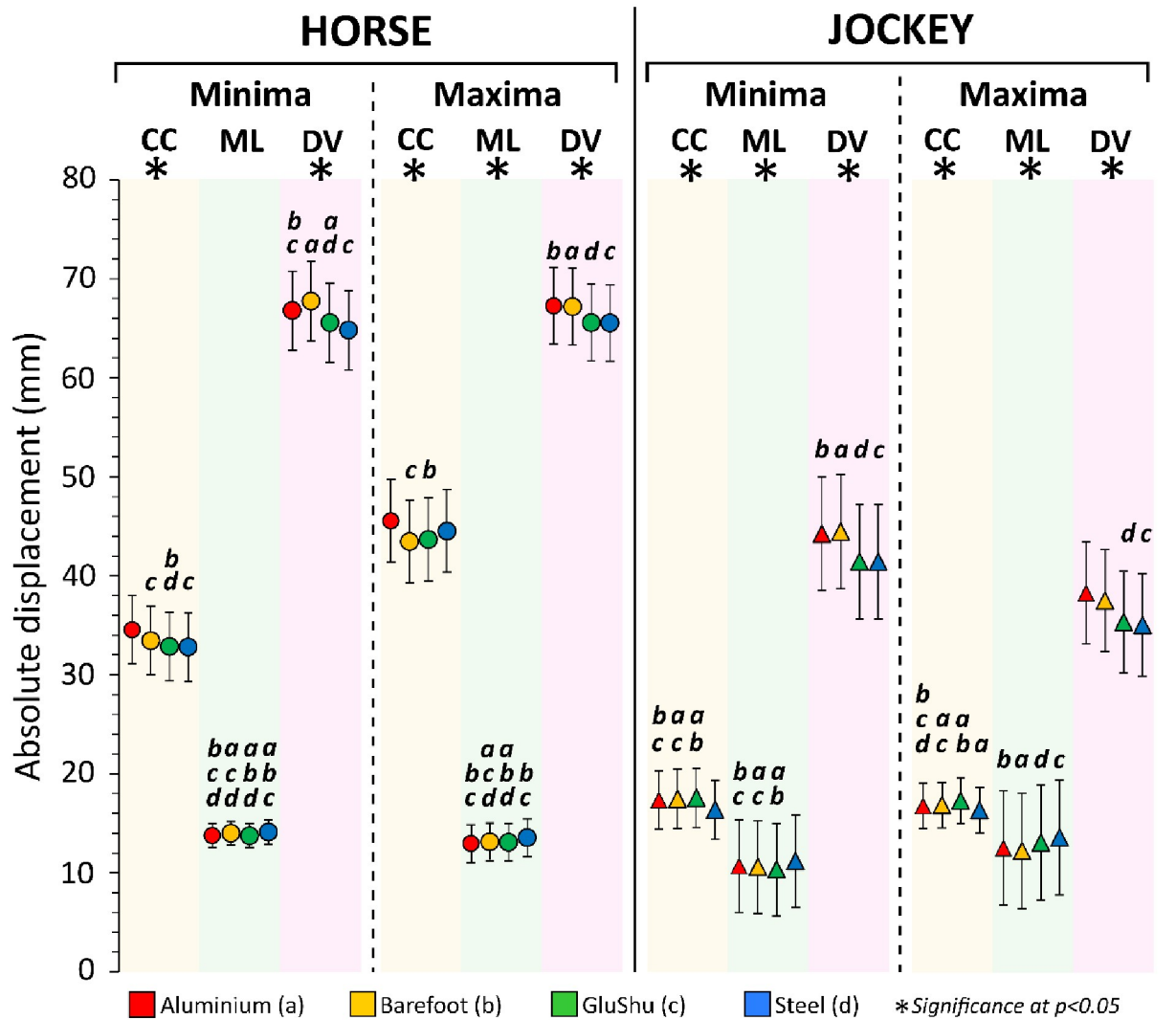


Fig 9. Output of linear mixed models for surface effects. Estimated marginal means with 95% confidence interval are presented per displacement parameter. CC = cranio-caudal, ML = medio-lateral, DV = dorso-ventral.

<https://doi.org/10.1371/journal.pone.0257820.g009>

the artificial track could suggest the hooves had greater purchase on this surface [86]. It is also plausible that the softer nature of the artificial track caused the toe to rotate into this surface more easily than on turf [86]; this effect may help explain both why hoof breakover took longer on the turf track and why the associated upper body movements were more pronounced in the CC direction on turf. The patterns in horse displacements were mirrored in jockey displacements. It is important to consider that greater CC movement of the jockey could compromise stability. This is because their COM moves out of phase with the horse in this axis, in contrast to the DV and ML axes where horse and jockey movements are almost in phase [8, 12]. As such, jockey stability could be considered to be greater on the artificial surface, where CC movements were lower compared to turf, under the ground conditions studied. Stability is likely to be associated with safety, and jockey perception of safety and grip are strongly correlated [64]. It is therefore interesting to note that jockeys perceived grip to be enhanced on the artificial surface [64], which may suggest that they attribute the experience of lowered CC movement to increased grip at the hoof-surface interface.



For each displacement parameter, shoe-types that do not share a subscript have significantly different estimated marginal means.

Fig 10. Output of linear mixed models for shoe effects. Estimated marginal means with 95% confidence interval are presented per displacement parameter. Significant differences between shoeing conditions detected in post-hoc tests (with Bonferroni correction) are indicated. CC = cranio-caudal, ML = medio-lateral, DV = dorso-ventral.

<https://doi.org/10.1371/journal.pone.0257820.g010>

Amongst the shoe effects (Fig 10), vertical displacements were notably similar for barefoot and aluminium compared to GluShu and steel conditions for horse and jockey. Perhaps the additional distal mass, associated with steel and GluShus, increased the energetic cost of locomotion for the horse [87] and led to an associated reduction in the vertical range of motion at the girth. Jockey displacements were most reduced in the CC-axis (0.4–1.2 mm) and increased in the ML-axis (0.5–1.4 mm) when riding horses in steel shoes, compared to all other shoe-types (Table 4). This may reflect a further adaptation of the jockey to counter energetic costs, since reduced CC displacement reflects reduced acceleration and deceleration of jockey COM per stride cycle [8]. This reduction in CC displacement may also indicate that jockey stability was improved under the steel shoeing condition. In general, the jockeys showed proportionally more vertical than horizontal displacement, but as overall horse-jockey CC displacements

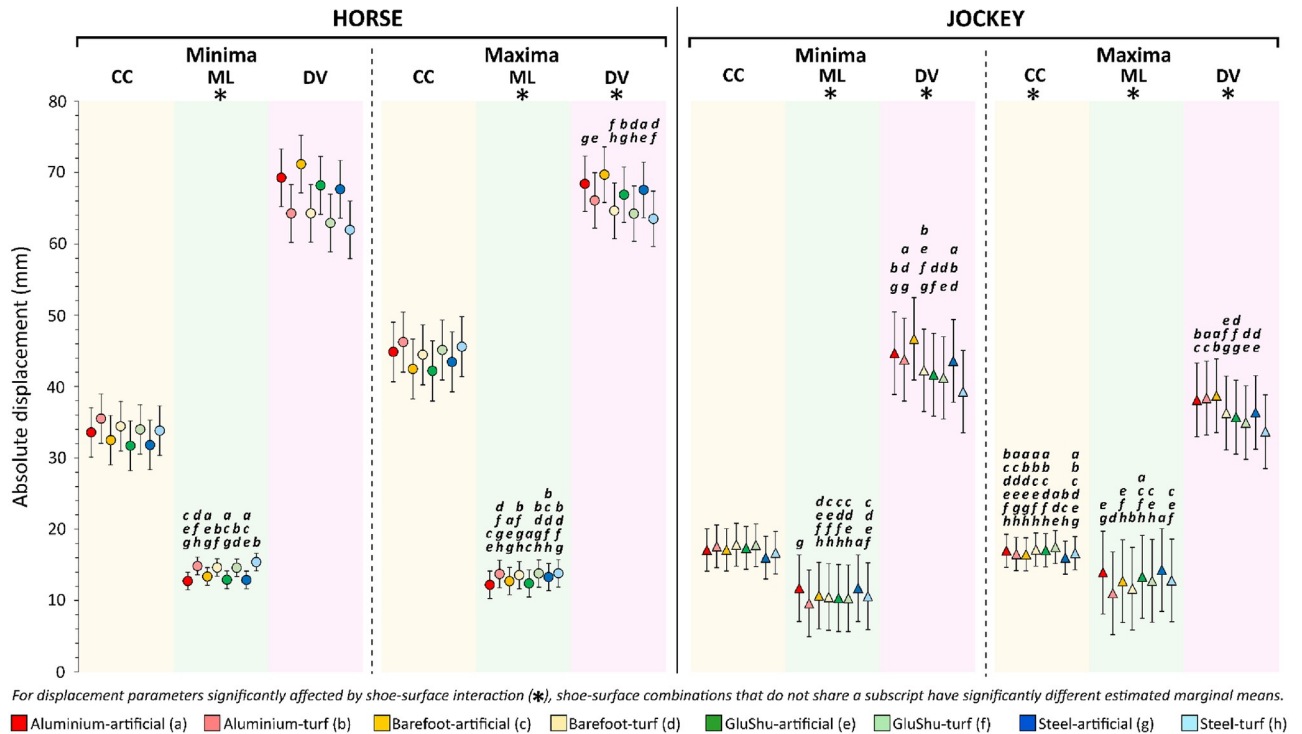


Fig 11. Output of linear mixed models for surface-shoe combinations. EMMs with 95% confidence intervals are presented per shoe-surface combination for each displacement parameter. Significant differences detected in post-hoc tests (with Bonferroni correction) are indicated. Note that pairwise comparisons between shoe-surface combinations in a particular axis direction were only made when there was found to be a significant interaction between shoeing condition and surface. CC = cranio-caudal, ML = medio-lateral, DV = dorso-ventral.

<https://doi.org/10.1371/journal.pone.0257820.g011>

increased they appeared to move increasingly more backwards and/or upwards relative to the horses (Fig 7).

The largest absolute increase in EMMs amongst the shoe-surface conditions was observed in DV-axis minima for ‘barefoot-artificial’ compared to ‘steel-turf’, with 9.2 and 7.4 mm of additional upwards movement for horse and jockey, respectively. This may reflect the most extreme differences in distal-limb mass and material properties between shoes and surfaces. Specifically, the rigidity and relative hardness of steel [88], combined with potentially less responsive turf, may have driven rapid energy loss through limb vibrations and inelastic surface compaction; thus energy available for return to the horse would be reduced [39], when compared to ‘barefoot-artificial’. Interestingly, horse-jockey displacements under the ‘barefoot-turf’ condition were not the most extreme. This might suggest that the ‘barefoot-turf’ condition did not present any greater movement challenge to the dyad from the perspective of relative COM displacements. However, current racing guidelines advocate to avoid this condition [46] and further work is needed to relate the COM data to injury risk. For example, it is possible that barefoot horses could be more susceptible to excessive slip and injury on heavy ground, which was not studied. It is a limitation of this study that ground conditions were not well-characterised on data collection days, as surface properties can be readily altered by water content and temperature [89–93].

Some inconsistencies in the significantly affected displacement parameters for horse and jockey could reflect non-harmonious interactions between the two bodies, or controlled interventions of the jockey aimed at altering gait or improving their own stability. Horses may also alter their gait in response to changes in grip characteristics of shoes to maintain a constant

slip time and distance [56]. Adjustments to joint angles, joint angular velocities and foot velocity at impact have been reported in response to altered shoeing conditions [94]. Riding experience and skill may influence rider ability to follow such subtleties in the movement patterns of their horse [95, 96], or to affect changes in gait by controlling their mass distribution, as has been reported in lameness examinations [97]. Jockeys with more experience are reported to have a lower risk of falling, and their horses have a lower risk of fatal limb fractures compared to jockeys with less experience [98–100]. This may be because advanced riders anticipate horse movement at a neuromuscular level with more defined and relaxed contralateral muscular activation patterns than novice riders [101, 102]. The jockeys in this study only had a limited number of professional years of experience, so their movement patterns may not be directly transferable to those of a more experienced jockey. Pain or stiffness can also limit rider ability to follow horse movements [18, 103] and the natural biomechanical asymmetries of the jockeys involved here was not assessed. However, since the horse-jockey pairs remained fixed it is not expected that these factors will have skewed our interpretation of horse and jockey response to the different shoe-surface combinations, although it is possible that the nuances of the response may differ amongst jockeys. It was impossible to blind the jockeys to the specific shoe-surface conditions being trialed; hence, they may also have introduced, consciously or unconsciously, alterations to their ridden style to accommodate anticipated differences. It is possible that directed jockey interventions explain why shoe-surface interactions more commonly affected jockey displacements (S3 Table in [S1 File](#)).

Thoroughbred racehorses show a variety of head, withers and pelvic movement asymmetries that vary in magnitude and direction [104, 105]. Some of these may result in changes to hoof landing angle, centre of pressure, and the distribution and timings of associated forces [106], with implication for the forces transferred to the jockey. Individual horse training experience may also bias horse-jockey interactions and horse adaptability to ridden exercise. For example, increases in stride frequency and protraction time over a six month training interval have been documented in racing Thoroughbreds [107]. Horse joint kinematics also vary with age: for example, maturity and increasing stiffness of the suspensory apparatus tissues in older Thoroughbreds lessens dorsi-flexion of forelimb fetlock joints [108, 109]. Previous research thus alludes to the importance of race and training interventions, workload and musculoskeletal health for altering horse-jockey movement dynamics.

The difference between horse and jockey EMMs for each shoe-surface combination for displacement minima and maxima were correlated ([Fig 12](#)), which reflects a link between the magnitudes of their cyclical movements. Overall differences in the CC-axis and DV-axis were 21.8 ± 3.0 mm and 26.6 ± 1.9 mm (mean ± 2 s.e), respectively, and these have more tangible relevance than those in the ML-axis, which were considerably smaller (1.8 ± 1.1 mm). Interestingly, however, the most extreme EMM difference in the DV-axis corresponded to the lowest EMM difference in the CC-axis (GluShu-artificial) and vice versa (aluminium-turf). There was also a general separation of artificial and turf data, with the former associated with relatively larger CC-axis and smaller DV-axis displacement offsets. Potentially, these trends signify a feedback loop was at play, whereby if a shoe-surface combination triggered horse-jockey displacement differences beyond a certain threshold in the CC-axis, then compensatory changes were required in the DV-axis for the dyad to maintain stability over a stride cycle. The correlation between horse-jockey EMM difference in the DV-axis versus the CC-axis was significant for minima displacements ([Fig 13](#)), suggesting it may have been easier to make adjustments in the backwards and downwards directions. Therefore, although there were no clear relationships between CC-axis and DV-axis data for the individual horse and jockey bodies ([Fig 6](#)), there may be value to interpreting their displacements collectively. Unfortunately, it was not possible to identify whether the horses or jockeys made the proposed adaptations instantaneously or over time.

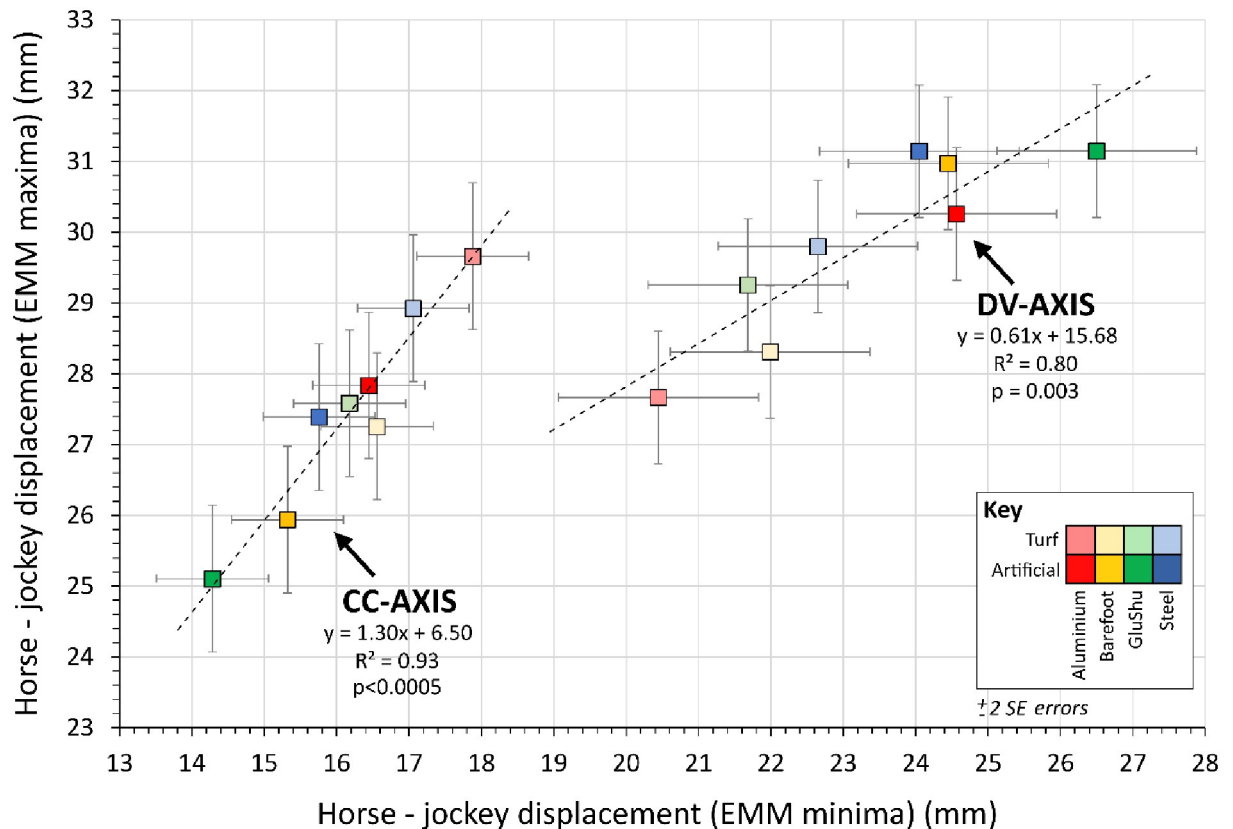


Fig 12. Relationship between minima and maxima of horse-jockey estimated marginal mean (EMM) differences per shoe-surface combination, sub-divided by displacement axis. Properties of the linear regression lines are indicated. Errors are ± 2 SE. Note: horse-jockey EMM differences in the medio-lateral axis were considerably smaller (Table 5), so are not shown.

<https://doi.org/10.1371/journal.pone.0257820.g012>

In this study, IMUs were constrained to two anatomical locations: horse girth and jockey pelvis. Although girth attachments may be well-suited to picking up broad-scale equine gait differences [25, 28, 29], it is possible that subtle changes imposed by shoes and surfaces may be masked at this location, or subject to inconsistencies if the jockey tightens the girth asymmetrically. In future, a multi-sensor system may enable displacement variability to be identified at certain anatomical locations that is not present elsewhere [110–112], and offer finer insights into horse-rider movements. It may also help pinpoint which locations provide the most useful information. Certainly at lower speeds, more comprehensive full-body IMU systems may be safe and achievable, and offer more detailed insights into horse-rider movement dynamics [111]. Determining the optimum balance of horse and jockey displacement parameters is challenging. However, it may be aided by linking the objectively measurable characteristics of COM displacements recorded here to: 1) measures of hoof kinematics, such as slip, impact, breakover velocity and push-off forces; and 2) the subjective assessment of jockeys, regarding how safe they felt and any ridden strategies they may have tried to implement under different shoe-surface conditions. Equipped with this knowledge, race-training programmes may be able to offer jockeys feedback on how they should adjust their body movements to optimise potential trade-offs between locomotion efficiency and safety. In addition, policy decisions regarding permitted shoe-surface combinations may be objectively supported or refuted. In future, it will also be helpful to consider displacement patterns throughout the entire stride cycle to assess in detail how COM displacements relate to each hoof-surface contact. This is

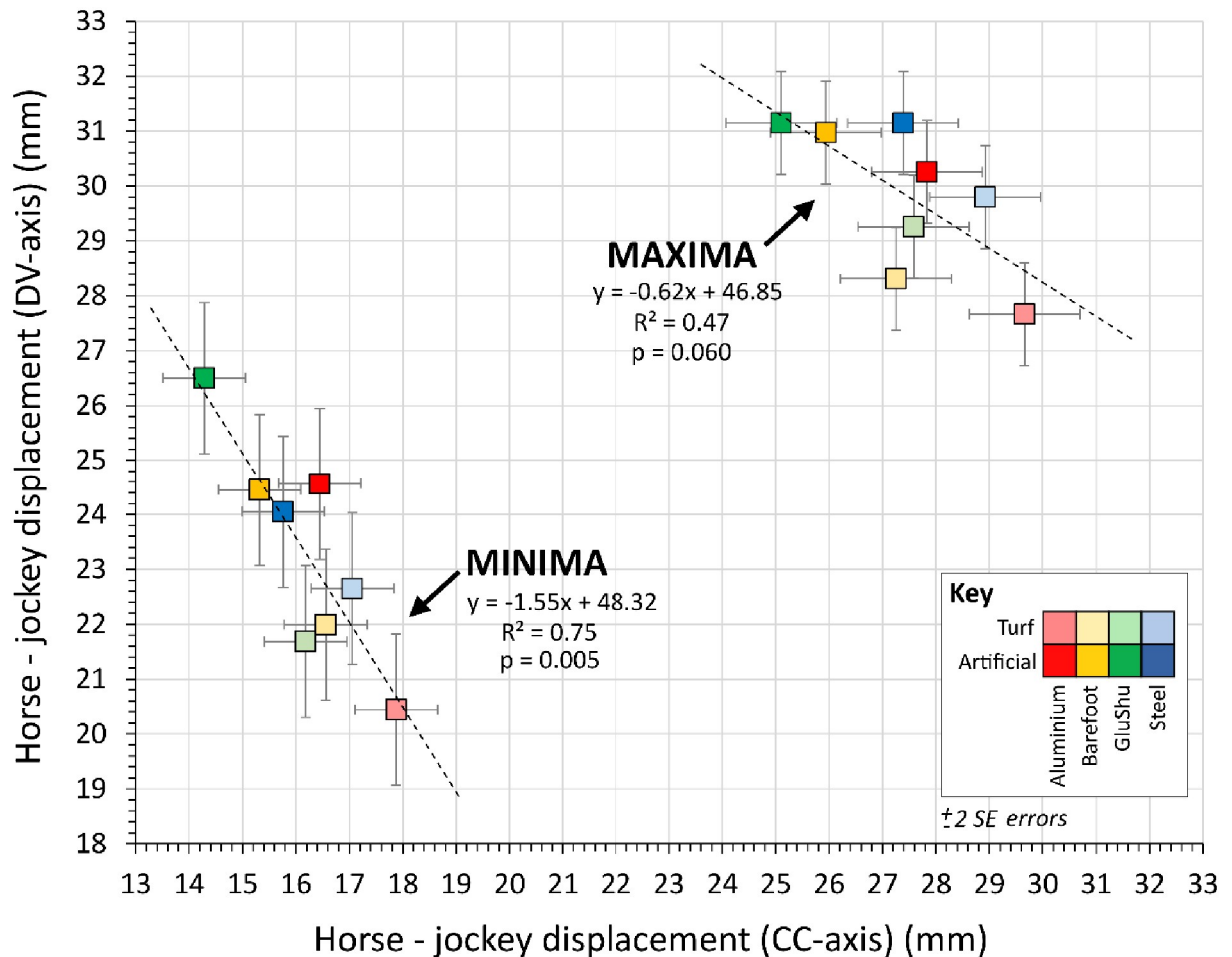


Fig 13. Relationship between crano-caudal (CC) axis and dorso-ventral (DV) axis data for horse-jockey estimated marginal mean (EMM) differences per shoe-surface combination, sub-divided into minima and maxima. Properties of the linear regression lines are indicated. Errors are ± 2 SE.

<https://doi.org/10.1371/journal.pone.0257820.g013>

likely to be particularly insightful if time-synchronised horse-jockey displacement data become available, enabling horse-jockey relative phase offsets to be quantified also.

Nevertheless, it will be important to take a holistic approach to optimizing safety, performance and well-being, combining knowledge of intrinsic and extrinsic factors. Collection of physiological data from horse-jockey dyads, such as heart rate, lactate concentrations and cortisol concentrations, could be incorporated in future studies of this nature, to compare physiological responses to biomechanical output. In addition, understanding how different shoe-surface combinations damp impact vibrations is relevant for musculoskeletal health [91, 113–115]. It would also be interesting to explore horse-jockey responses in uphill or downhill runs when stride timing variables and peak vertical forces differ [116], as well as on turns or jumps. The current project could also be adapted to horses and riders in other equestrian disciplines, such as dressage, with horses working in different shoes or over different surfaces.

Conclusion

This study demonstrated that surfaces and horseshoes can have significant effects on COM displacements of horses and jockeys at gallop. These effects were detected using IMU devices

fitted to the girth of horses and pelvis of jockeys, which recorded tri-axial acceleration. Displacement was calculated by integrating acceleration data. An increase in DV displacements on the artificial surface relative to turf may reflect greater hoof sink on impact followed by increased push-off. Higher cranio-caudal movements on turf could indicate that this surface afforded more grip under the ground conditions studied. More similar changes in DV displacement under aluminum and barefoot shoeing conditions when compared to GluShu and steel may reflect differences in shoe mass. The magnitude of horse minus jockey displacement in the CC and DV axes appeared to show compensatory increases and decreases, which may signify collective biomechanical adaptations of the dyad to maintain stability. Future work seeks to use multi-sensor IMU systems to determine which anatomical locations are most sensitive to variability at the hoof-surface interface and to relate COM movement dynamics to hoof kinematic variables, such as impact accelerations and slip distance. Ultimately, gaining a better understanding of the effect of novel and existing horseshoe-surface combinations on horse-jockey interactions at gallop is relevant for optimising performance, welfare and safety during both training and racing. It may offer opportunities to become prophylactic with regards to reducing the risk of falls, improving horse comfort and preventing catastrophic injuries in equine athletes and their jockeys.

Supporting information

S1 File. Supplementary methods and supplementary results.
(DOCX)

S1 Data. Raw data.
(XLSX)

Acknowledgments

We would like to thank the British Racing School for facilitating access to horses, riders and facilities. Jessica Josephson, Alice Morrell and Morgan Ruble from the Royal Veterinary College are thanked for assisting with data collection. Charlotte Woolley from the University of Edinburgh is also thanked for her support.

Author Contributions

Conceptualization: Kate Horan, Thilo Pfau.

Formal analysis: Kate Horan.

Funding acquisition: Thilo Pfau.

Investigation: Kate Horan, Kieran Kourdache, James Coburn, Peter Day, Henry Carnall, Dan Harborne, Liam Brinkley, Lucy Hammond, Sean Millard.

Methodology: Kate Horan, Thilo Pfau.

Project administration: Kate Horan.

Resources: Kate Horan, Thilo Pfau.

Supervision: Bryony Lancaster, Thilo Pfau.

Validation: Kate Horan.

Visualization: Kate Horan.

Writing – original draft: Kate Horan.

Writing – review & editing: Kate Horan, Thilo Pfau.

References

1. Hitchens PL, Morrice-West A V., Stevenson MA, Whitton RC. Meta-analysis of risk factors for race-horse catastrophic musculoskeletal injury in flat racing. *Vet J.* 2019; 245: 29–40. <https://doi.org/10.1016/j.tvjl.2018.11.014> PMID: 30819423
2. Dyson PK, Jackson BF, Pfeiffer DU, Price JS. Days lost from training by two- and three-year-old Thoroughbred horses: A survey of seven UK training yards. *Equine Vet J.* 2008; 40: 650–657. <https://doi.org/10.2746/042516408x363242> PMID: 19165934
3. Cogger N, Perkins N, Hodgson DR, Reid SWJ, Evans DL. Risk factors for musculoskeletal injuries in 2-year-old Thoroughbred racehorses. *Prev Vet Med.* 2006; 74: 36–43. <https://doi.org/10.1016/j.prevetmed.2006.01.005> PMID: 16481055
4. Lesté-Lasserre C. Wave of horse deaths on famed racetrack poses puzzle. *Science (80-).* 2019; 363: 1372–1373. <https://doi.org/10.1126/science.363.6434.1372> PMID: 30923200
5. Carpenter R. Occupational-Related Lameness Conditions. 7th ed. In: Baxter GM, editor. *Adams and Stashak's Lameness in Horses.* 7th ed. John Wiley & Sons, Inc.; 2020. pp. 949–1031.
6. Hitchens PL, Hill AE, Stover SM. Jockey Falls, Injuries, and fatalities associated with thoroughbred and Quarter Horse racing in California, 2007–2011. *Orthop J Sport Med.* 2013; 1: 1–7.
7. Clayton HM, Hobbs SJ. The role of biomechanical analysis of horse and rider in equitation science. *Appl Anim Behav Sci.* 2017; 190: 123–132. <https://doi.org/10.1016/j.applanim.2017.02.011>
8. Pfau T, Spence A, Starke S, Ferrari M, Wilson A. Modern riding style improves horse racing times. *Science (80-).* 2009; 325: 289. <https://doi.org/10.1126/science.1174605> PMID: 19608909
9. Ruina A, Bertram JEA, Srinivasan M. A collisional model of the energetic cost of support work qualitatively explains leg sequencing in walking and galloping, pseudo-elastic leg behavior in running and the walk-to-run transition. *J Theor Biol.* 2005; 237: 170–192. <https://doi.org/10.1016/j.jtbi.2005.04.004> PMID: 15961114
10. Bertram JEA, Gutmann A. Motions of the running horse and cheetah revisited: fundamental mechanics of the transverse and rotary gallop. *J R Soc Interface.* 2009; 6: 549–559. <https://doi.org/10.1098/rsif.2008.0328> PMID: 18854295
11. Pfau T, Witte TH, Wilson AM. Centre of mass movement and mechanical energy fluctuation during gallop locomotion in the Thoroughbred racehorse. *J Exp Biol.* 2006; 209: 3742–3757. <https://doi.org/10.1242/jeb.02439> PMID: 16985191
12. Walker AM, Applegate C, Pfau T, Sparkes EL, Wilson AM, Witte TH. The kinematics and kinetics of riding a racehorse: A quantitative comparison of a training simulator and real horses. *J Biomech.* 2016; 49: 3368–3374. <https://doi.org/10.1016/j.jbiomech.2016.08.031> PMID: 27622974
13. Trowbridge EA, Cotterill JV, Crofts CE. The physical demands of riding in National Hunt races. *Eur J Appl Physiol.* 1995; 70: 66–69. Available: <https://doi.org/10.1007/BF00601810> PMID: 7729441
14. Wilson G, Sparks SA, Drust B, Morton JP, Close GL. Assessment of energy expenditure in elite jockeys during simulated race riding and a working day: implications for making weight. *Appl Physiol Nutr Metab.* 2012; 38: 415–420. <https://doi.org/10.1139/apnm-2012-0269> PMID: 23713535
15. Cullen S, O'Loughlin G, McGoldrick A, Smyth B, May G, Warrington GD. Physiological demands of flat horse racing jockeys. *J Strength Cond Res.* 2015; 29: 3060–3066. <https://doi.org/10.1519/JSC.0000000000000977> PMID: 25932980
16. Taylor BYCR, Heglund NC, McMahonf TA, Looney TR. Energetic Cost of Generating Muscular Force During Running: A Comparison of Large and Small Animals. *J Exp Biol.* 1980; 86: 9–18.
17. Peham C, Kotschwar AB, Borkenhagen B, Kuhnke S, Molsner J, Baltacis A. A comparison of forces acting on the horse's back and the stability of the rider's seat in different positions at the trot. *Vet J.* 2010; 184: 56–59. <https://doi.org/10.1016/j.tvjl.2009.04.007> PMID: 19428275
18. Lagarde J, Peham C, Licka T, Kelso JAS. Coordination dynamics of the horse-rider system. *J Mot Behav.* 2005; 37: 418–424. <https://doi.org/10.3200/JMBR.37.6.418-424> PMID: 16280312
19. Blokhuis MZ, Aronsson A, Hartmann E, Van Reenan CG, Keeling L. Assessing the rider's seat and horse's behavior: Difficulties and perspectives. *J Appl Anim Welf Sci.* 2008; 11: 191–203. <https://doi.org/10.1080/10888700802100876> PMID: 18569215
20. Deuel NR, Lawrence LM. Effects of Urging by the Rider on Gallop Stride Characteristics of Quarter Horses. *J Equine Vet Sci.* 1988; 8: 240–243.

21. Donaldson MC, Holter AM, Neuhoﬀ S, Arnosky JA, Simpson BW, Vernon K, et al. The Translation of Movement From the Equine to Rider With Relevance for Hippotherapy. *J Equine Vet Sci.* 2019; 77: 125–131. <https://doi.org/10.1016/j.jevs.2019.02.017> PMID: 31133306
22. Nemecek P, Cabell L, Janura M. Horse and Rider Interaction During Simulated Horse Jumping. *J Equine Vet Sci.* 2018; 70: 26–31. <https://doi.org/10.1016/j.jevs.2018.07.001>
23. Persson-Sjodin E, Hernlund E, Pfau T, Andersen PH, Rhodin M. Influence of seating styles on head and pelvic vertical movement symmetry in horses ridden at trot. *PLoS One.* 2018; 13: 1–15. <https://doi.org/10.1371/journal.pone.0195341> PMID: 29621299
24. Peham C, Licka T, Kapaun M, Scheidl M. A new method to quantify harmony of the horse-rider system in dressage. *Sport Eng.* 2001; 4: 95–101. <https://doi.org/10.1046/j.1460-2687.2001.00077.x>
25. Wolfram IA, Bosga J, Meulenbroek RGJ. Coordination dynamics in horse-rider dyads. *Hum Mov Sci.* 2013; 32: 157–170. <https://doi.org/10.1016/j.humov.2012.11.002> PMID: 23290116
26. Münz A, Eckardt F, Witte K. Horse-rider interaction in dressage riding. *Hum Mov Sci.* 2014; 33: 227–237. <https://doi.org/10.1016/j.humov.2013.09.003> PMID: 24290612
27. Eckardt F, Witte K. Horse–Rider Interaction: A New Method Based on Inertial Measurement Units. *J Equine Vet Sci.* 2017; 55: 1–8. <https://doi.org/10.1016/j.jevs.2017.02.016>
28. Viry S, Sleimen-Malkoun R, Temprado JJ, Frances JP, Berton E, Laurent M, et al. Patterns of Horse-Rider Coordination during Endurance Race: A Dynamical System Approach. *PLoS One.* 2013; 8: 1–12. <https://doi.org/10.1371/journal.pone.0071804> PMID: 23940788
29. Viry S, De Graaf JB, Frances JP, Berton E, Laurent M, Nicol C. Combined influence of expertise and fatigue on riding strategy and horse-rider coupling during the time course of endurance races. *Equine Vet J.* 2015; 47: 78–82. <https://doi.org/10.1111/evj.12236> PMID: 25679022
30. Williams RB, Harkins LS, Hammond CJ, Wood JLN. Racehorse injuries, clinical problems and fatalities recorded on British racecourses from flat racing and National Hunt racing during 1996, 1997 and 1998. *Equine Vet J.* 2001; 33: 478–486. <https://doi.org/10.2746/042516401776254808> PMID: 11558743
31. Parkin TDH, Clegg PD, French NP, Proudman CJ, Riggs CM, Singer ER, et al. Risk of fatal distal limb fractures among Thoroughbreds involved in the five types of racing in the United Kingdom. *Vet Rec.* 2004; 154: 493–497. <https://doi.org/10.1136/vr.154.16.493> PMID: 15130054
32. Henley WE, Rogers K, Harkins L, Wood JLN. A comparison of survival models for assessing risk of racehorse fatality. *Prev Vet Med.* 2006; 74: 3–20. <https://doi.org/10.1016/j.prevetmed.2006.01.003> PMID: 16546277
33. Arthur RM. Comparison of Racing Fatality Rates on Dirt, Synthetic, and Turf at Four California Race-tracks. *AAEP Proc.* 2010; 56: 405–408.
34. Dyson S. Equine performance and equitation science: Clinical issues. *Appl Anim Behav Sci.* 2017; 190: 5–17. <https://doi.org/10.1016/j.applanim.2017.03.001>
35. Orlande O, Hobbs SJ, Martin JH, Owen AG, Northrop AJ. Measuring hoof slip of the leading limb on jump landing over two different equine arena surfaces. *Comp Exerc Physiol.* 2012; 8: 33–39. <https://doi.org/10.3920/cep11011>
36. Gustås P, Johnston C, Drevemo S. Ground reaction force and hoof deceleration patterns on two different surfaces at the trot. *Equine Comp Exerc Physiol.* 2006; 3: 209–216. <https://doi.org/10.1017/s147806150667607x>
37. Pratt GW. Model for injury to the foreleg of the Thoroughbred racehorse. *Equine Vet J.* 1997; 30–32. <https://doi.org/10.1111/j.2042-3306.1997.tb05048.x> PMID: 9354284
38. Peterson M, Roepstorff L, Thomason JJ, Mahaffey CA, McIlwraith CW. Racing surfaces: current progress and future challenges to optimize consistency and performance of track surfaces for fewer horse injuries. *Racing Surfaces Test Lab White Pap.* 2012. Available: https://www.grayson-jockeyclub.org/resources/White_Paper_04272012.pdf
39. Hobbs SJ, Northrop AJ, Mahaffey C, Martin JH, Murray R, Roepstorff L, et al. *Equine Surfaces White Paper.* 2014. <http://inside.fei.org/fei/about-fei/publications/fei-books>
40. Ratzlaff MH, Wilson PD, Hutton D V., Slinker BK. Relationships between hoof-acceleration patterns of galloping horses and dynamic properties of the track. *Am J Vet Res.* 2005; 66: 589–595. <https://doi.org/10.2460/ajvr.2005.66.589> PMID: 15900937
41. Symons JE, Garcia TC, Stover SM. Distal hindlimb kinematics of galloping Thoroughbred racehorses on dirt and synthetic racetrack surfaces. *Equine Vet J.* 2014; 46: 227–232. <https://doi.org/10.1111/evj.12113> PMID: 23742040
42. Setterbo JJ, Garcia TC, Campbell IP, Reese JL, Morgan JM, Kim SY, et al. Hoof accelerations and ground reaction forces of Thoroughbred racehorses measured on dirt, synthetic, and turf track surfaces. *Am J Vet Res.* 2009; 70: 1220–1229. <https://doi.org/10.2460/ajvr.70.10.1220> PMID: 19795936

43. Cheney JA, Liou SY, Wheat JD. Cannon-bone fracture in the thoroughbred racehorse. *Med Biol Eng*. 1973; 11: 613–620. <https://doi.org/10.1007/BF02477408> PMID: 4788889
44. Drevemo S, Hjerten G, Johnston C. Drop hammer tests of Scandinavian harness racetracks. *Equine Vet J*. 1994; 26: 35–38. <https://doi.org/10.1111/j.2042-3306.1994.tb04870.x>
45. Crevier-Denoix N, Pourcelot P, Ravary B, Robin D, Falala S, Uzel S, et al. Influence of track surface on the equine superficial digital flexor tendon loading in two horses at high speed trot. *Equine Vet J*. 2009. <https://doi.org/10.2746/042516409x394445> PMID: 19469232
46. Authority BH. British Horseracing Authority Rules of Racing. 2020.
47. Gross DK, Stover SM, Hill AE, Gardner IA. Evaluation of forelimb horseshoe characteristics of Thoroughbreds racing on dirt surfaces. *Am J Vet Res*. 2004; 65: 1021–1030. <https://doi.org/10.2460/ajvr.2004.65.1021> PMID: 15281665
48. Turner M, McCrory P, Halley W. Injuries in professional horse racing in Great Britain and the Republic of Ireland during 1992–2000. *Br J Sports Med*. 2002; 36: 403–409. <https://doi.org/10.1136/bjism.36.6.403> PMID: 12453834
49. Dallap Schaer BL, Ryan CT, Boston RC, Nunamaker DM. The horse-racetrack interface: A preliminary study on the effect of shoeing on impact trauma using a novel wireless data acquisition system. *Equine Vet J*. 2006; 38: 664–670. <https://doi.org/10.2746/042516406x156389> PMID: 17228583
50. Hernandez JA, Scollay MC, Hawkins DL, Corda JA, Krueger TM. Evaluation of horseshoe characteristics and high-speed exercise history as possible risk factors for catastrophic musculoskeletal injury in Thoroughbred racehorses. *Am J Vet Res*. 2005; 66: 1314–1320. <https://doi.org/10.2460/ajvr.2005.66.1314> PMID: 16173471
51. Hill AE, Gardner IA, Carpenter TE, Stover SM. Effects of injury to the suspensory apparatus, exercise, and horseshoe characteristics on the risk of lateral condylar fracture and suspensory apparatus failure in forelimbs of Thoroughbred racehorses. *Am J Vet Res*. 2004; 65: 1508–1517. <https://doi.org/10.2460/ajvr.2004.65.1508> PMID: 15566089
52. Kane AJ, Stover SM, Gardner IA, Case JT, Johnson BJ, Read DH, et al. Horseshoe characteristics as possible risk factors for fatal musculoskeletal injury of Thoroughbred racehorses. *Am J Vet Res*. 1996; 57: 1147–1152. PMID: 8836365
53. Balch O, Helman R, Collier M. Underrun Heels and Toe-Grab Length as Possible Risk Factors for Catastrophic Musculoskeletal Injuries in Oklahoma Racehorses. *AAEP Proc*. 2001; 47: 334–338.
54. Pinchbeck GL, Clegg PD, Proudman CJ, Stirk A, Morgan KL, French NP. Horse injuries and racing practices in National Hunt racehorses in the UK: the results of a prospective cohort study. *Vet J*. 2004; 167: 45–52. [https://doi.org/10.1016/s1090-0233\(03\)00141-2](https://doi.org/10.1016/s1090-0233(03)00141-2) PMID: 14623150
55. Benoit P, Barrey E, Regnault JC, Brochet JL. Comparison of the damping effect of different shoeing by the measurement of hoof acceleration. *Acta Anat (Basel)*. 1993; 146: 109–113. <https://doi.org/10.1159/000147430> PMID: 8470451
56. Pardoe CH, Mcguigan MP, Rogerst KM, Rowet LL, Wilson AM, Basic V, et al. The effect of shoe material on the kinetics and kinematics of foot slip at impact on concrete. *Equine Vet J*. 2001; 70–73. <https://doi.org/10.2746/042516401776767421> PMID: 11191614
57. Back W, van Schie MH, Pol JN. Synthetic shoes attenuate hoof impact in the trotting warmblood horse. *Equine Comp Exerc Physiol*. 2006; 3: 143–151. <https://doi.org/10.1017/ecp200691>
58. Roepstorff L, Johnston C, Drevemo S. The effect of shoeing on kinetics and kinematics during the stance phase. *Equine Vet J*. 1999; 279–285. <https://doi.org/10.1111/j.2042-3306.1999.tb05235.x> PMID: 10659269
59. Van Heel MC V, Van Weeren PR, Back W. Shoeing sound Warmblood horses with a rolled toe optimises hoof-unrollment and lowers peak loading during breakover. *Equine Vet J*. 2006; 38: 258–262. <https://doi.org/10.2746/042516406776866471> PMID: 16706282
60. Rogers CW, Back W. Wedge and eggbar shoes change the pressure distribution under the hoof of the forelimb in the square standing horse. *J Equine Vet Sci*. 2003; 23: 306–309. <https://doi.org/10.1053/jevs.2003.89>
61. Harvey AM, Williams SB, Singer ER. The effect of lateral heel studs on the kinematics of the equine digit while cantering on grass. *Vet J*. 2012; 192: 217–221. <https://doi.org/10.1016/j.tvjl.2011.06.003> PMID: 21752677
62. Murphy J. Weighted boots influence performance in show-jumping horses. *Vet J*. 2009; 181: 74–76. <https://doi.org/10.1016/j.tvjl.2009.03.015> PMID: 19375964
63. Peel JA, Peel MB, Davies HMS. The effect of gallop training on hoof angle in Thoroughbred racehorses. *Equine Vet J*. 2006; 38: 431–434. <https://doi.org/10.1111/j.2042-3306.2006.tb05582.x> PMID: 17402461

64. Horan K, Kourdache K, Coburn J, Day P, Brinkley L, Carnall H, et al. Jockey Perception of Shoe and Surface Effects on Hoof-Ground Interactions and Implications for Safety in the Galloping Thoroughbred Racehorse. *J Equine Vet Sci.* 2021; 97: 103327. <https://doi.org/10.1016/j.jevs.2020.103327> PMID: 33478759
65. Keegan KG. Evidence-based lameness detection and quantification. *Vet Clin North Am—Equine Pract.* 2007; 23: 403–423. <https://doi.org/10.1016/j.cveq.2007.04.008> PMID: 17616320
66. Keegan KG, Kramer J, Yonezawa Y, Maki H, Pai PF, Dent E V, et al. Assessment of repeatability of a wireless, inertial sensor-based lameness evaluation system for horses. *Am J Vet Res.* 2011; 72: 1156–1163. <https://doi.org/10.2460/ajvr.72.9.1156> PMID: 21879972
67. Pfau T, Witte TH, Wilson AM. A method for deriving displacement data during cyclical movement using an inertial sensor. *J Exp Biol.* 2005; 208: 2503–2514. <https://doi.org/10.1242/jeb.01658> PMID: 15961737
68. Warner SM, Koch TO, Pfau T. Inertial sensors for assessment of back movement in horses during locomotion over ground. *Equine Vet J.* 2010; 42: 417–424. <https://doi.org/10.1111/j.2042-3306.2010.00200.x> PMID: 21059039
69. Münz A, Eckardt F, Heipertz-Hengst C, Peham C, Witte K. A Preliminary Study of an Inertial Sensor-based Method for the Assessment of Human Pelvis Kinematics in Dressage Riding. *J Equine Vet Sci.* 2013; 33: 950–955. <https://doi.org/10.1016/j.jevs.2013.02.002>
70. Buchner HHF, Obermuller S, Scheidl M. Body Centre of Mass Movement in the Sound Horse. *Vet J.* 2000. <https://doi.org/10.1053/tvjl.2000.0507> PMID: 11061959
71. Hamilton NP, Weimar W, Luttgens K. *Kinesiology: Scientific basis of human motion.* 12th ed. Dubuque, IA: Brown & Benchmark; 2011.
72. Williams DE, Norris BJ. Laterality in stride pattern preferences in racehorses. *Anim Behav.* 2007. <https://doi.org/10.1016/j.anbehav.2007.01.014>
73. Dufour MJD, Mumford C. GoingStick® technology and electromagnetic induction scanning for naturally-turfed sports surfaces.pdf. *Sport Technol.* 2008; 1: 125–131. <https://doi.org/10.1002/jst.27>
74. Weishaupt MA, Waldern NM, Amport C, Ramseier LC, Wiestner T. Effects of shoeing on intra- and inter-limb coordination and movement consistency in Icelandic horses at walk, tölt and trot. *Vet J.* 2013; 198: e109–e113. <https://doi.org/10.1016/j.tvjl.2013.09.043> PMID: 24345777
75. Witte TH, Hirst C V., Wilson AM. Effect of speed on stride parameters in racehorses at gallop in field conditions. *J Exp Biol.* 2006; 209: 4389–4397. <https://doi.org/10.1242/jeb.02518> PMID: 17050854
76. Pfau T. Sensor-based equine gait analysis: more than meets the eye? *UK-Vet Equine.* 2019; 3: 102–112. <https://doi.org/10.12968/ukve.2019.3.3.102>
77. Barrey E, Evans SE, Evans DL, Curtis RA, Quinton R, Rose RJ. Locomotion evaluation for racing in thoroughbreds. *Equine Vet J.* 2001; 99–103.
78. Yoshihara E, Takahashi T, Otsuka N, Isayama T, Tomiyama T, Hiraga A, et al. Heel movement in horses: Comparison between glued and nailed horse shoes at different speeds. *Equine Vet J.* 2010; 42: 431–435.
79. Robilliard JJ, Pfau T, Wilson AM. Gait characterisation and classification in horses. *J Exp Biol.* 2007; 210: 187–197. <https://doi.org/10.1242/jeb.02611> PMID: 17210956
80. Johnson JL, Moore-Colyer M. The relationship between range of motion of lumbosacral flexion-extension and canter velocity of horses on a treadmill. *Equine Vet J.* 2009; 41: 301–303. <https://doi.org/10.2746/042516409x397271> PMID: 19469240
81. Walker AM, Martin A, Pfau T, Sparkes EL, Wilson AM, Witte TH. How realistic is a racehorse simulator? *J Biomech.* 2016; 49: 3570–3575. <https://doi.org/10.1016/j.jbiomech.2016.08.026> PMID: 27594678
82. Buchner HHF, Obermuller S, Scheidl M. Body centre of mass movement in the lame horse. *Equine Vet J.* 2001; 33: 122–127. <https://doi.org/10.1111/j.2042-3306.2001.tb05374.x> PMID: 11721552
83. Pfau T, Fiske-Jackson A, Rhodin M. Quantitative assessment of gait parameters in horses: Useful for aiding clinical decision making? *Equine Vet Educ.* 2016; 28: 209–215. <https://doi.org/10.1111/eve.12372>
84. Pfau T, Weller R. Comparison of a standalone consumer grade smartphone with a specialist inertial measurement unit for quantification of movement symmetry in the trotting horse. *Equine Vet J.* 2017; 49: 124–129. <https://doi.org/10.1111/evj.12529> PMID: 26518143
85. Setterbo JJ, Fyhrie PB, Hubbard M, Upadhyaya SK, Stover SM. Dynamic properties of a dirt and a synthetic equine racetrack surface measured by a track-testing device. *Equine Vet J.* 2013; 45: 25–30. <https://doi.org/10.1111/j.2042-3306.2012.00582.x> PMID: 22587378

86. Horan K, Coburn J, Kourdache K, Day P, Harborne D, Brinkley L, et al. Influence of speed, ground surface and shoeing Condition on hoof breakover duration in galloping Thoroughbred racehorses. *Animals*. 2021; 11: 2588. Available: <https://doi.org/10.3390/ani11092588> PMID: 34573553
87. Wickler SJ, Hoyt DF, Clayton HM, Mullineaux DR, Cogger EA, Sandoval E, et al. Energetic and kinematic consequences of weighting the distal limb. *Equine Vet J*. 2010; 36: 772–777. <https://doi.org/10.2746/0425164044848046> PMID: 15656514
88. Tabor D. The physical meaning of indentation and scratch hardness. *Br J Appl Phys*. 1956; 7: 159–166. <https://doi.org/10.1088/0508-3443/7/5/301>
89. Chateau H, Holden L, Robin D, Falala S, Pourcelot P, Estoup P, et al. Biomechanical analysis of hoof landing and stride parameters in harness trotter horses running on different tracks of a sand beach (from wet to dry) and on an asphalt road. *Equine Vet J*. 2010; 42: 488–495. <https://doi.org/10.1111/j.2042-3306.2010.00277.x> PMID: 21059050
90. Mahaffey CA, Peterson ML, Thomason JJ, McIlwraith CW. Dynamic testing of horseshoe designs at impact on synthetic and dirt Thoroughbred racetrack materials. *Equine Vet J*. 2016; 48: 97–102. <https://doi.org/10.1111/evj.12360> PMID: 25251227
91. Barrey E, Landjerit , Wolter R. Shock and vibration during the hoof impact on different track surfaces. *Equine Exerc Physiol*. 1991; 1: 97–106.
92. Peterson ML, Reiser RF, Kuo PH, Radford DW, McIlwraith CW. Effect of temperature on race times on a synthetic surface. *Equine Vet J*. 2010; 42: 351–357. <https://doi.org/10.1111/j.2042-3306.2010.00072.x> PMID: 20525055
93. Northrop AJ, Martin JH, Holt D, Hobbs SJ. Operational temperatures of all-weather thoroughbred racetracks influence surface functional properties. *Biosyst Eng*. 2020; 193: 37–45. <https://doi.org/10.1016/j.biosystemseng.2020.02.003>
94. Yxklinten U, Johnston C, Roepstorff L, Drevemo S. Öllöv Original and the biomechanics in horses. Uppsala; 1998.
95. Terada K, Clayton H, Kato K. Stabilization of wrist position during horseback riding at trot. *Equine Comp Exerc Physiol*. 2006; 3: 179–184. <https://doi.org/10.1017/s1478061506337255>
96. Schöllhorn WI, Peham C, Licka T, Scheidl M. A pattern recognition approach for the quantification of horse and rider interactions. *Equine Vet J*. 2006; 38: 400–405. <https://doi.org/10.1111/j.2042-3306.2006.tb05576.x> PMID: 17402455
97. Licka T, Kapaun M, Peham C. Influence of rider on lameness in trotting horses. *Equine Vet J*. 2007; 36: 734–736. <https://doi.org/10.2746/0425164044848028> PMID: 15656506
98. Hitchens PL, Leigh Blizzard C, Jones G, Day LM, Fell J. The association between jockey experience and race-day falls in flat racing in Australia. *Inj Prev*. 2012; 18: 385–391. <https://doi.org/10.1136/injuryprev-2011-040255> PMID: 22493181
99. Legg KA, Cochrane DJ, Bolwell CF, Gee EK, Rogers CW. Incidence and risk factors for race-day jockey falls over fourteen years. *J Sci Med Sport*. 2020; 23: 1154–1160. <https://doi.org/10.1016/j.jsams.2020.05.015> PMID: 32499152
100. Parkin TDH, Clegg PD, French NP, Proudman CJ, Riggs CM, Singer ER, et al. Race- and course-level risk factors for fatal distal limb fracture in racing Thoroughbreds. *Equine Vet J*. 2004; 36: 521–526. <https://doi.org/10.2746/0425164044877332> PMID: 15460077
101. Elmeua González M, Šarabon N. Muscle modes of the equestrian rider at walk, rising trot and canter. *PLoS One*. 2020; 15: e0237727. <https://doi.org/10.1371/journal.pone.0237727> PMID: 32810165
102. Terada K. Comparison of head movement and EMG activity of muscles between advanced and novice horseback riders at different gaits. *J Equine Sci*. 2000; 11: 83–90. <https://doi.org/10.1294/JES.11.83>
103. Symes D, Ellis R. A preliminary study into rider asymmetry within equitation. *Vet J*. 2009; 181: 34–37. <https://doi.org/10.1016/j.tvjl.2009.03.016> PMID: 19375366
104. Pfau T, Noordwijk K, Sepulveda Caviedes MF, Persson-Sjodin E, Barstow A, Forbes B, et al. Head, withers and pelvic movement asymmetry and their relative timing in trot in racing Thoroughbreds in training. *Equine Vet J*. 2018; 50: 117–124. <https://doi.org/10.1111/evj.12705> PMID: 28548349
105. Caviedes MFS, Forbes BS, Pfau T. Repeatability of gait analysis measurements in Thoroughbreds in training. *Equine Vet J*. 2018; 50: 513–518. <https://doi.org/10.1111/evj.12802> PMID: 29284186
106. Seder JA, Vickery CE. The relationship of subsequent racing performance to foreleg flight patterns during racing speed workouts of unraced 2-year-old thoroughbred racehorses at auctions. *J Equine Vet Sci*. 2005; 25: 505–522. <https://doi.org/10.1016/j.jevs.2005.10.009>
107. Ferrari M, Pfau T, Wilson AM, Weller R. The effect of training on stride parameters in a cohort of National Hunt racing Thoroughbreds: A preliminary study. *Equine Vet J*. 2009; 41: 493–497. <https://doi.org/10.2746/042516409x374591> PMID: 19642411

108. Butcher MT, Ashley-Ross MA. Fetlock joint kinematics differ with age in thoroughbred racehorses. *J Biomech.* 2002; 35: 563–571. [https://doi.org/10.1016/s0021-9290\(01\)00223-8](https://doi.org/10.1016/s0021-9290(01)00223-8) PMID: 11955495
109. Addis PR, Lawson SEM. The role of tendon stiffness in development of equine locomotion with age. *Equine Vet J.* 2010; 42: 556–560. <https://doi.org/10.1111/j.2042-3306.2010.00296.x> PMID: 21059060
110. Day P, Collins L, Horan K, Weller R, Pfau T. The Effect of Tungsten Road Nails on Upper Body Movement Asymmetry in Horses Trotting on Tarmac. *J Equine Vet Sci.* 2020; 90: 1–5. <https://doi.org/10.1016/j.jevs.2020.103000> PMID: 32534777
111. Eckardt F, Münz A, Witte K. Application of a Full Body Inertial Measurement System in Dressage Riding. *J Equine Vet Sci.* 2014; 34: 1294–1299. <https://doi.org/10.1016/j.jevs.2014.09.009>
112. Vertz J, Deblanc D, Rhodin M, Pfau T. Effect of a unilateral hind limb orthotic lift on upper body movement symmetry in the trotting horse. *PLoS One.* 2018; 13: 1–14. <https://doi.org/10.1371/journal.pone.0199447> PMID: 29928020
113. Willemen MA, Savelberg HHCM, Barneveld A. The effect of orthopaedic shoeing on the force exerted by the deep digital flexor tendon on the navicular bone in horses. *Equine Vet J.* 1999; 31: 25–30. <https://doi.org/10.1111/j.2042-3306.1999.tb03787.x> PMID: 9952326
114. Johnston C, Back W. Hoof ground interaction: When biomechanical stimuli challenge the tissues of the distal limb. *Equine Vet J.* 2006; 38: 634–641. <https://doi.org/10.2746/042516406x158341> PMID: 17228578
115. Barstow A, Bailey J, Campbell J, Harris C, Weller R, Pfau T. Does ‘hacking’ surface type affect equine forelimb foot placement, movement symmetry or hoof impact deceleration during ridden walk and trot exercise? *Equine Vet J.* 2019; 51: 108–114. <https://doi.org/10.1111/evj.12952> PMID: 29665054
116. Parsons KJ, Pfau T, Wilson AM. High-speed gallop locomotion in the Thoroughbred racehorse. I. The effect of incline on stride parameters. *J Exp Biol.* 2008; 211. <https://doi.org/10.1242/jeb.006650> PMID: 18310119