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Diagnostic accuracy of FeNO and asthma symptoms increased when evaluated with a superior reference standard

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Declarations of interest:

None.

Abstract

Objective

To determine the impact of changing reference standards (RS), namely spirometry versus whole body plethysmography (WBP), on estimation of the diagnostic accuracy of Fractionated exhaled Nitric Oxide (FeNO) and clinical signs and symptoms (CSS) as index tests regarding asthma diagnosis.

Study design and setting

Diagnostic study in 393 patients attending a private practice of pneumologists with complaints suspicious of asthma. Firstly, the index tests were compared to the diagnostic results of spirometry in terms of FEV₁ responsiveness. Secondly, the index tests were compared to the results of WBP in terms of specific airway resistance and FEV₁ responsiveness. Areas under the curve (AUC) were compared with a generalized estimating equation approach based on binary logistic regression.

Results

FeNO values and CSS 'wheezing' and 'allergic rhinitis' showed higher specificities ($p < 0.001$) and sensitivities (not significant) when evaluated with WBP; also Youden-indices increased in these CSS ($p < 0.05$). AUC of FeNO in combination with 'wheezing' and 'allergic rhinitis' when WBP was used as RS (AUC=0.724; 95%CI 0.672 to 0.776) was higher compared to spirometry as RS (AUC=0.654; 95%CI 0.585 to 0.722) ($p < 0.001$).

Conclusion

In case of asthma, superior RS led to more favorable assessment of index tests. FeNO measurement might have been underestimated in some previous studies.

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Key words: asthma, diagnostic study, sensitivity, specificity, area under the curve, fractionated exhaled nitric oxide

Highlights

What is new?

Key findings:

- The choice of the reference standard has an influence on the assessment of index tests and on the pre-test probability of a disease
- In the case of asthma, superior reference standard favored the assessment of FeNO, and changed the predictive values of index tests considerably

What this adds to what is known

- Imperfect reference standards will distort the specificities and pre-test probabilities of index tests
- The diagnostic accuracy of FeNO measurement might have been underestimated in some previous studies

What is the implication, what should change now?

- Index tests should be evaluated against an optimal reference standard

1. Introduction

The principle of a diagnostic study is to evaluate the diagnostic accuracy of an index test against a reference standard [1]. Often, the index test is a new diagnostic device. New devices could allow the replacement of some existing tests, may be used for triage or as an add-on test [2]. The diagnostic accuracy of clinical signs and symptoms (CSS) could also be evaluated within a diagnostic study, which might help to determine the diagnostic accuracy of clinical patterns for distinct diseases [3, 4]. It is often assumed that sensitivities and specificities are inherent diagnostic test properties. However, statistical modelling to investigate the effects of an imperfect reference standard on estimation of index tests found, that the difference of the specificities of an index test increases with increasing disease prevalence; whereas the differences of sensitivities were higher in the area of low disease prevalence [5]. Beyond that, statistical modeling studies found that inaccurate reference standards will lead to underestimation of index test accuracy [5-7]. These effects were mostly evaluated with a comparison of diagnostic studies which were performed in different settings [5, 8], but were rarely investigated within a coherent diagnostic study.

A perfect reference standard rarely exists [1, 9], and disagreements about the ideal reference standard are particularly apparent for diagnostic decision making in asthma. Guidelines suggest establishing the diagnosis based on medical history and verification of reversible airway obstruction [10]. Spirometry is considered to be a reference standard for diagnosing airway obstruction [11], and its accuracy in diagnosing severe asthma has been demonstrated [12]. Airway obstruction is often not persistent in mild asthma, thus leading to diagnostic uncertainty [13]. In the case of inconclusive spirometric results, bronchial provocation (BP) deserves as a reference standard for determining bronchial hyper-responsiveness [14]. Thus, asthma could be defined on the basis of a positive bronchodilation test (BD) or positive results during BP [10]. In Germany, the results of BP are interpreted using whole body plethysmography (WBP) as a reference standard, also in ambulatory care [15, 16]. Patient investigation with WBP allows the determination of spirometric indices like FEV₁ (Forced Expiratory Volume in the first second) and VC (Vital Capacity) and specific airway resistance (sRaw) within a single diagnostic procedure without additional burden for a patient. However, the added value of WBP over spirometry for ruling-in and ruling-out of asthma in the real world setting has been questioned [17] and is therefore not used regularly in other countries like the UK, where spirometry is used as a reference standard for interpreting BP results. On

the other hand, there is increasing evidence supporting the diagnostic added value of WBP [15, 16, 18]. Our earlier work has shown that the sensitivity for the detection of bronchial hyper-responsiveness increased from 44.6% (when solely the spirometric parameter FEV₁ is used) to 95.2%, when sRaw (which can only be determined with WBP) is included in interpretation of BP; accompanied by a slight decline of specificity from 91.3% (FEV₁) to 81.7% (sRaw) [16].

However, bronchial provocation is time consuming, costly, not widely available, and carries a small risk of inducing severe bronchospasm [19]. Therefore, new technologies like the measurement of fractionated exhaled nitric oxide (FeNO), a non-invasive, easily available marker, are investigated with the aim of replacing BP; and increased FeNO level has been consistently demonstrated in asthma including in milder forms of the disease [20, 21]. FeNO has been evaluated against different reference standards, indicating a promising diagnostic value [22]. However, it remains unclear if the statistical assumptions prove true when index tests like FeNO measurement or CSS are evaluated against different reference standards, namely in situations where WBP might be superior to spirometry for interpreting BP, thus leading to different definitions of asthma. Therefore, we sought to determine the diagnostic accuracy of individual patient reported symptoms and FeNO in making an asthma diagnosis when compared to WBP or spirometry.

2. Methods

2.1. Study design and sample

We performed a secondary analysis of data from a diagnostic accuracy study conducted in a large private practice led by five pneumologists in Augsburg, Germany, between June 2010 and October 2011 [23]. 400 patients attending the practice for the first time with a clinical history suggestive of asthma and giving written consent were consecutively included. Inclusion criteria were the presence of symptoms including dyspnea, cough, or phlegm for more than two months, leading to a clinical suspicion of obstructive airway disease (“indicated population”). Patients were advised not to smoke on the day of assessment. If patients were already using inhaler medication (prescribed by a general practitioner before referral), they were advised not to use it for twelve hours prior to the assessment. Patients were excluded if there was any contraindication to bronchial provocation testing (pregnancy, heart disease) or

had experienced a chest infection within the preceding six weeks. Seven patients were excluded from the analysis as they did not complete all necessary diagnostic tests [23]. The study was approved by the Ethical Committee of the Technical University of Munich (TUM).

2.2. Index tests: Clinical signs and symptoms and FeNO measurement

CSS as index tests were drawn from the anamnestic data derived from the questionnaires (Table 1). Each patient underwent FeNO testing using a NioxMino® device (Aerocrine, Solna, Sweden) following a standard protocol at a flow rate of 50 ml/s [24] which was indicated by the machine display. Measurements were recorded on a continuous scale in parts per billion (ppb). The FeNO measurement was performed prior to WBP and bronchial provocation, as the breathing manoeuvres involved could distort FeNO results. The responsible pneumologist was blinded to the FeNO and questionnaire results and made the diagnostic decision based solely on medical history, physical examination, spirometry, WBP and bronchial provocation results.

2.3. Reference tests: Methacholine responsiveness was determined via whole body plethysmography (WBP) and via spirometry to diagnose asthma

Lung function tests including spirometry were performed according to standard protocols, and reference values were adjusted for sex, age, and height [25]. Patients with $FEV_1 < 80\%$ predicted underwent a bronchodilation test using salbutamol with an additional WBP investigation 20 min later. Obstructive airway disease was diagnosed in patients with a pathological Tiffeneau index ($FEV_1/VC \leq 0.70$). An asthma diagnosis was made if clinical symptoms and history fitted, the change during BD test in FEV_1 was $\geq 12\%$ compared to baseline and ≥ 200 ml, and lung function returned to the predicted normal range after salbutamol inhalation. An incomplete bronchodilator response was recorded if FEV_1 was $<12\%$ compared to baseline and <200 mL, and lung volumes remained below predicted. A COPD diagnosis was given, if clinical symptoms and history fitted, and the FEV_1 bronchodilator response after salbutamol was $<12\%$ compared to baseline and <200 ml. If there was no bronchial obstruction, bronchial provocation according to the 1-concentration-4-step dosimeter protocol [26] was performed to determine bronchial hyperresponsiveness (BHR) to methacholine. This yields similar results to the ATS multi concentration protocol [27] but offers advantages in clinical practice as it can be conducted more rapidly and simply. An

“asthma” diagnosis required a 20% fall in FEV₁ from baseline after inhaling methacholine stepwise until the maximum concentration (16 mg/mL), alternatively a simultaneous increase in specific airway resistance (sRaw) by at least 100% and to at least 2.0 kPa*s [15]. Spirometric and WBP test indices are determined at the same time within the diagnostic procedure.

2.4. Data analysis

Baseline data is presented descriptively. Hypothesis testing on differences between the patients with and without asthma, either diagnosed by spirometry (FEV₁) or WBP (sRaw) were assessed via the Chi-squared test or by the Mann-Whitney-U-test. Each CSS was considered as an ‘index test’. FeNO was measured as a scalar quantity and initially assessed as a continuous variable. To create several binary variables, FeNO measurements were dichotomised at six cut-off points according to the literature (12ppb [28], 16ppb [28], 20ppb [29], 35ppb [30], 46ppb [28], 50ppb [31], 70ppb [23]).

Firstly, the index tests were compared to the diagnostic results of spirometry in terms of FEV₁ responsiveness (determined with BD or BP). Secondly, the index tests were compared to the results of WBP in terms of sRAW and FEV₁ responsiveness (determined with BD or BP). Two-by-two contingency tables related to spirometric asthma diagnosis versus bodyplethysmographic asthma diagnosis were prepared, which allowed the calculation of sensitivities, specificities, positive predictive values (PPVs) and negative predictive values (NPVs) for each table. 95% confidence intervals (95%CI) were calculated using Wilson’s method [32].

Receiver operating characteristic curves (ROC) of FeNO for the diagnosis of asthma assessed by two different reference standards, WBP and spirometry, were constructed and quantified by the area under the curve (AUC) and corresponding 95%CI. Additionally, ROC analysis was performed with a multiple logistic regression model used to combine FeNO, wheezing, and allergic rhinitis to obtain probabilities of asthma, again using the alternative reference standards WBP and spirometry. These clinical symptoms turned out to be significant predictors of asthma in a previously performed multiple logistic regression analysis [33].

For statistical hypothesis testing, a dependence structure has to be taken into account, because each of the study participants has a WBP as well as spirometric examination. In this way, comparisons of positive and negative predictive values of each of clinical symptoms

between WBP and spirometry as reference standard are due to McNemar's test. For comparisons of sensitivities as well as specificities between WBP and spirometry a generalized estimating equation (GEE) approach was used based on binary logistic regression with respective clinical symptom as dependent and diagnostic measurement (either WBP or spirometry) as factor variable. Comparison of AUCs is due to a GEE-approach based on linear regression analyses with either quantitative FeNO measurements or asthma probabilities as dependent and way of diagnostic measurement as well as diagnosis as factor variables. Hypothesis testing on differences in the Youden Index was performed by the nonparametric bootstrap using 5000 bootstrap replicates [34]. All analyses were performed using the software package SPSS (Version 25, IBM, Armonk, NY, USA) and R 3.6.1. (The R Foundation for Statistical Computing, Vienna, Austria), and the two-sided level of statistical significance was prespecified at $\alpha=0.05$.

3. Results

3.1. Study population

A total of 393 patients were included in the analysis (Figure 1), of whom 235 (59.8%) were female, with a mean age of 43.3 (SD 16.4) years. 154 patients received an asthma diagnosis which corresponded to a prevalence of 39.2%. Nine (2.3%) patients showed positive results during bronchodilation testing. 145 (36.9%) patients showed positive bronchial provocation test results. Of these, 71 (18.1%) had a pathological sRAW reaction, but no pathological FEV₁ reaction, so could only be diagnosed with WBP. 74 (18.8%) had a pathological FEV₁ reaction, which could be diagnosed based on spirometry (these patients also show increased sRaw). Thus, the prevalence of asthma diagnosable with spirometry was 21.1%. 5 (1.3%) patients received the diagnosis of COPD, and 234 (59.5%) had no obstructive airway disease [23]. Patients' characteristics including CSS and FeNO results are presented in Table 1.

3.2. CSS and FeNO results

'Wheezing', 'shortness of breath when wheezing', 'wheezing even when not suffering from a cold' and 'allergic rhinitis' showed significantly higher specificities when WBP was used as the reference test, compared to spirometry (Table 2). All further CSS, with the exception of 'frequent cough', showed higher specificities when evaluated with WBP, however the differences were not significant (Table 2). The sensitivities of 'wheezing' and 'allergic rhinitis'

also increased when evaluated with WBP; however, these differences were not significant. There were remarkable differences in the Youden indices, in particular for wheezing ($p=0.011$) and allergic rhinitis ($p=0.029$). The PPVs of CSS for an asthma diagnosis based on WBP as the reference standard were higher ($p<0.001$) and the NPVs lower ($p<0.001$) compared to those for an asthma diagnosis based on spirometry (Table 3). The differences of the PPVs were highest in wheezing and allergic rhinitis. The differences of the NPVs were generally less pronounced.

The FeNO cut-off values showed significantly higher specificities ($p<0.01$) in the WBP group, whereas the sensitivities were only slightly increased in case of FeNO < 50 ppb (Table 4). The Youden indices were insignificantly higher when WBP instead of spirometry was used as a reference standard in case of FeNO < 71 ppb. The PPVs of the different FeNO cut-offs assessed by WBP were higher ($p<0.001$), and the NPVs significantly lower ($p<0.001$), compared to those assessed by spirometry (Table 5).

3.3. ROC-analysis

The AUC for FeNO based on WBP as a reference standard (0.66; 95%CI 0.60 to 0.71) was slightly but not significantly ($p=0.608$) greater than the AUC when spirometry was used as the reference standard (0.62; 95%CI 0.55 to 0.69) (Figure 2). The diagnostic accuracy increased for both reference standards when FeNO was combined with wheezing and allergic rhinitis (Figure 3). The AUC when WBP was used as a reference standard (0.724; 95%CI 0.672 to 0.776) was significantly ($p<0.001$) higher compared to the AUC when spirometry was used (0.654; 95%CI 0.585 to 0.722).

4. Discussion

The diagnostic accuracy of FeNO measurement and several CSS increased when they were evaluated with WBP compared to spirometry indices. Beyond this, the prevalence of asthma increased when diagnostic decision making was based on WBP, which is accompanied by increased PPVs and decreased NPVs of FeNO and CSS.

The impact of different reference standards on the estimation of the diagnostic accuracy of FeNO measurement as an index test might partly explain the variation of optimal cut-off points described in different studies [22]. The AUC of FeNO evaluated against WBP was higher compared to spirometry, but this difference was not significant. However, we found a

significant difference in the combined score of FeNO, wheezing and allergic rhinitis. Beyond this, the specificities of all FeNO cut-off values were significantly higher. The impact of patient selection and clinical setting on diagnostic test results is well known [8, 35], but up to now the impact of different reference standards on test evaluation is rather vague. Statistic modelling pointed towards increased differences of specificities within increasing disease prevalence and increased index test accuracy when the reference standard is optimized [5-7], but this has not yet been investigated within a coherent study design. Our results fit with these theoretical considerations, and therefore demonstrate that in the case of asthma, index tests perform better when they are evaluated against a superior reference standard. This aspect is important as evaluation with spirometry might underestimate the diagnostic accuracy of new diagnostic devices like FeNO measurement.

Another important point is, that the positive predictive values of FENO and CSS increased remarkably. This increase can be derived by the Bayes' Theorem, as the pre-test probability of asthma is much higher in the WBP group, which in turn is explained by the higher sensitivity of the WBP compared to spirometry [16]. This effect became apparent by the specific design as a coherent diagnostic study where different reference standards are used in the same population. The salient aspect is that the clinical patterns of patients suspected to suffer from asthma vary considerably depending on the clinical setting. For example, a pneumologist in the German primary care setting has to be convinced of the positive predictive value of classical CSS like 'wheezing' and 'allergic rhinitis' and high FeNO values when the diagnosis of asthma is established by BP in WBP. In contrast, a GP with a special interest in pneumology in the UK might be less trusting of the diagnostic accuracy of CSS and FeNO when the diagnosis of asthma is established by BP in spirometry. On the other hand, ruling out asthma when CSS are not present (or FeNO values are low) seems to be more reliable when the diagnostic decision is made by spirometry as compared to WBP. Further studies are needed to evaluate the impact of pattern recognition and clinical decision making under varying diagnostic circumstances.

The extent that different diagnostic techniques generate different definitions of asthma remains a subject for debate. A significant airway obstruction with positive bronchial dilation testing points clearly towards asthma. Positive BP response indicates bronchial hyper-responsiveness which is a core symptom of asthma, but the PPV for asthma diagnosis is only 70% [36]. Previous studies have shown that FeNO has a high diagnostic accuracy for detecting

airway hyper-reactivity [37]. Beyond that, FeNO might be superior to BP for detecting allergic inflammatory alterations of respiratory tract and responsiveness to inhaled corticosteroids (ICS) [38]. Therefore, a delayed-type of diagnostic study [39] would be necessary to compare the different diagnostic strategies which are related to the various definitions of asthma, ideally including the responsiveness to therapy with ICS. For such an evaluation, newly developed reference equations using factors like age, height and gender should be included as they might improve the diagnostic accuracy of FeNO measurement [40].

A limitation is that the results were derived by a secondary analysis. Therefore, the findings should be validated within other diagnostic studies. Secondly, the data were gathered in 2011. However, this would not distort the results as the diagnostic rules regarding interpreting bronchial provocation did not change. Beyond that, it might be speculated that diagnostic decision making in a clinical setting using WBP leads to more people being considered ill due to higher sensitivity. On the other hand, this might lead to earlier optimization of therapy. However, false classification by WBP investigation seems unlikely because the overarching test indices like AUC and Youden-Index of the index tests increased with WBP as a reference standard.

5. Conclusion

The reference standard, WBP or spirometry, had a meaningful influence on the estimation of the diagnostic accuracy of the index tests 'FeNO measurement' and CSS. A superior reference standard leads to more favorable assessment of index tests when patients suspected to suffer from asthma were evaluated. Therefore the diagnostic accuracy of FeNO measurement might have been underestimated in some previous studies. Consequently, where possible index tests should be evaluated against an optimal reference standard.

Abbreviations

95%CI	95% confidence interval
AUC	area under the curve

BP	bronchial provocation
CSS	clinical signs and symptoms
FEV ₁	forced expiratory volume in one second
FeNO	fractionated exhaled nitric oxide
GEE	generalized estimating equation
NPV	negative predictive value
PPV	positive predictive value
ROC	receiver operating characteristic
RS	reference standard
sRaw	specific airway resistance
VC	vital capacity
WBP	whole body plethysmography

Author contributions

AS had the study idea and conceptualized the study. CK and AS wrote the first draft of the manuscript. CK, SW and AH performed the statistical analysis. LD and RJ helped with interpretation of data and writing.

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Conflicts of interest

All authors declare that there are no conflicts of interest.

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1 Tables

Diagnosis	Diagnosis of asthma based on WBP (FEV ₁ and sRAW)			Diagnosis of asthma based on spirometry (FEV ₁)		
	Asthma n=154	No asthma n=239	p-value	Asthma n=83	No asthma n=310	p-value
Age (mean in years [sd])	40.5 [15.4]	45.1 [16.1]	0.009	39.2 [14.5]	44.4 [16.8]	0.017
Female n (%)	91 (59.0)	144 (60.3)	0.819	57 (68.7)	178 (57.4)	0.063
Symptoms	N (%)	N (%)		N (%)	N (%)	
1. Wheeze in the past 12 months? (Yes)	97 (63.0)	84 (35.1)	<0.001	48 (57.8)	133 (42.9)	0.020
1.1. Short of breath when wheezing? (Yes)	70 (45.0)	39 (16.3)	<0.001	38 (45.8)	71 (22.9)	<0.001
1.2. Wheeze even when no cold* (Yes)	57 (37.0)	43 (18.0)	<0.001	27 (32.5)	73 (23.5)	<0.001
2. Suffer from shortness of breath? (Yes, any)	98 (63.0)	128 (53.6)	0.071	57 (68.7)	169 (54.5)	0.042
3. Woken up with shortness of breath at night (Yes)	35 (22.7)	29 (12.1)	0.004	21 (25.3)	43 (13.9)	0.012
4. Woken up at night with chest tightness? (Yes)	54 (35.1)	60 (25.1)	0.032	30 (36.1)	84 (27.1)	0.107
5. Woken up at night with coughing? (Yes)	92 (59.7)	136 (56.9)	0.520	52 (62.7)	176 (56.8)	0.435
6. Suffer from frequent cough? (Yes)	65 (42.2)	115 (48.1)	0.309	33 (39.8)	147 (47.4)	0.170
7. Do you often suffer from expectoration? (Yes)	44 (28.6)	61 (25.5)	0.575	19 (22.9)	86 (27.7)	0.346
8. Allergic rhinitis (yes)	76 (49.4)	47 (19.7)	<0.001	39 (47.0)	84 (27.1)	<0.001
9. Do you smoke? (Yes)	19 (12.3)	20 (8.4)	0.198	11 (13.3)	28 (9.0)	0.274
10. Have you smoked in the past? (Yes)	56 (36.0)	83 (34.7)	0.425	32 (38.6)	107 (34.5)	0.296
FeNO						
>12 ppb	131 (85.1)	171 (71.5)	0.002	70 (84.3)	232 (74.8)	0.068
> 16 ppb	107 (69.5)	126 (52.7)	0.001	55 (66.3)	178 (57.4)	0.145
>20 ppb	92 (59.7)	88 (36.8)	<0.001	48 (57.8)	132 (42.6)	0.013
>35 ppb	50 (32.5)	29 (12.1)	<0.001	27 (32.5)	52 (16.8)	0.001
>46 ppb	36 (23.4)	19 (7.9)	<0.001	19 (22.9)	36 (11.6)	0.009
>50 ppb	35 (22.7)	15 (6.3)	<0.001	19 (22.9)	31 (10.0)	0.002
>71 ppb	27 (17.5)	7 (2.9)	<0.001	17 (20.5)	17 (5.5)	<0.001

2 Table 1: Characteristics of patients. BP=bronchial provocation, FeNO=fractional exhaled nitric oxide, ppb= parts per billion, WBP=whole bodyplethysmography.

	Asthma assessed by WBP	Asthma assessed by spirometry	p-value	Asthma assessed by WBP	Asthma assessed by spirometry	p-value	Youden index	Youden index	p-value
Symptoms	Sensitivity (95%CI)	Sensitivity (95%CI)		Specificity (95%CI)	Specificity (95%CI)		WBP as reference standard	spirometry as reference standard	
Wheezing in the past 12 months	63.8% (55.6%, 71.4%)	58.5% (47.1%, 69.3%)	0.171	63.8% (57.3%, 70.0%)	56.0% (50.2%, 61.6%)	< 0.001	0,28	0,15	0.011
Shortness of breath when wheezing	48.6% (40.2%, 57.1%)	47.5% (36.2%, 59.0%)	0.781	81.2% (75.2%, 86.3%)	73.8% (68.1%, 78.9%)	< 0.001	0,30	0,21	0.120
Wheezing even when no cold	40.1% (32.0%, 48.7%)	33.8% (23.6%, 45.2%)	0.105	78.8% (72.6%, 84.2%)	72.5% (66.7%, 77.7%)	0.001	0,19	0,06	0.016
Ever suffer from shortness of breath	66.2% (58.0%, 73.8%)	70.4% (59.2%, 80.0%)	0.293	43.1% (36.6%, 49.9%)	42.1% (36.4%, 48.0%)	0.555	0,09	0,12	0.544
Woken up with shortness of breath at night	24.0% (17.30%, 31.73%)	26.3% (17.0%, 37.3%)	0.491	87.6% (82.6%, 91.5%)	85.6% (81.1%, 89.4%)	0.158	0,12	0,12	0.933
Woken up at night with chest tightness	36.0% (28.3%, 44.2%)	37.0% (26.6%, 48.5%)	0.788	74.3% (68.1%, 79.7%)	72.2% (66.8%, 77.2%)	0.205	0,10	0,09	0.837
Woken up at night with coughing	60.9% (52.7%, 68.8%)	62.7% (51.3%, 73.0%)	0.649	42.4% (36.0%, 49.0%)	42.1% (36.5%, 47.9%)	0.867	0,03	0,05	0.766
Suffer from frequent cough	44.2% (36.0%, 52.6%)	40.7% (30.0%, 52.2%)	0.390	50.4% (43.8%, 57.0%)	50.7% (44.8%, 56.5%)	0.885	-0,05	-0,09	0.549
Expectoration	31.4% (23.9%, 39.8%)	25.3% (16.0%, 36.7%)	0.127	71.4% (64.8%, 77.3%)	69.0% (63.3%, 74.5%)	0.170	0,03	-0,06	0.100
Allergic rhinitis	53.5% (45.0%, 61.9%)	50.0% (38.5%, 61.5%)	0.382	79.8% (74.1%, 84.8%)	71.7% (66.2%, 76.8%)	< 0.001	0,33	0,22	0.029
Smoker	12.5% (7.7%, 18.8%)	13.3% (6.8%, 22.5%)	0.753	91.5% (87.2%, 94.8%)	90.8% (87.0%, 93.8%)	0.481	0,04	0,04	0.989
Ex-smoker	40.6% (32.3%, 49.3%)	43.2% (31.8%, 55.3%)	0.515	63.6% (57.0%, 69.9%)	63.4% (57.5%, 68.9%)	0.880	0,04	0,07	0.669

3 Table 2: Sensitivity and specificity of each clinical symptom based on WBP as reference standard compared with the respective sensitivity and specificity based
4 on spirometry as reference standard. WBP=whole bodyplethysmography.

	Asthma assessed by WBP	Asthma assessed by spirometry	p-value	Asthma assessed by WBP	Asthma assessed by spirometry	p-value
Symptoms	PPV (95%CI)	PPV (95%CI)		NPV (95%CI)	NPV (95%CI)	
Wheezing in the past 12 months	53.6% (48.4%, 58.7%)	26.5% (22.4%, 31.1%)	< 0.001	72.9% (68.1%, 77.2%)	83.3% (79.0%, 86.8%)	< 0.001
Shortness of breath when wheezing	64.2% (56.4%, 71.4%)	34.9% (28.3%, 42.1%)	< 0.001	69.4% (65.7%, 72.9%)	82.6% (79.3%, 85.6%)	< 0.001
Wheezing even when no cold	57.0% (48.7%, 64.9%)	27.0% (20.5%, 34.7%)	< 0.001	65.3% (61.8%, 68.7%)	78.4% (75.3%, 81.2%)	< 0.001
Ever suffer from shortness of breath	43.4% (39.4%, 47.4%)	25.2% (22.1%, 28.6%)	< 0.001	66.0% (59.7%, 71.8%)	83.7% (78.1%, 88.0%)	< 0.001
Woken up with shortness of breath at night	54.7% (43.6%, 65.4%)	32.8% (23.6%, 43.6%)	0.001	64.8% (62.4%, 67.1%)	81.3% (79.1%, 83.3%)	< 0.001
Woken up at night with chest tightness	47.4% (39.9%, 55.0%)	26.3% (20.3%, 33.4%)	< 0.001	64.3% (61.0%, 67.5%)	81.0% (78.1%, 83.7%)	< 0.001
Woken up at night with coughing	40.4% (36.4%, 44.5%)	22.8% (19.6%, 26.4%)	< 0.001	62.9% (56.9%, 68.5%)	80.5% (75.2%, 84.9%)	< 0.001
Suffer from frequent cough	36.1% (31.1%, 41.4%)	18.3% (14.4%, 23.0%)	< 0.001	58.8% (54.1%, 63.4%)	75.9% (71.8%, 79.6%)	< 0.001
Cough up sputum regularly	41.9% (34.3%, 49.9%)	18.1% (12.6%, 25.3%)	< 0.001	61.3% (57.9%, 64.6%)	77.4% (74.6%, 80.0%)	< 0.001
Allergic rhinitis	61.8% (54.6%, 68.5%)	31.7% (25.9%, 38.2%)	< 0.001	73.8% (70.0%, 77.3%)	84.5% (81.2%, 87.3%)	< 0.001
Smoker	48.7% (34.4%, 63.2%)	28.2% (17.0%, 43.0%)	0.008	61.9% (60.2%, 63.6%)	79.4% (77.8%, 80.8%)	< 0.001
Ex-smoker	40.3% (34.1%, 46.8%)	23.0% (18.1%, 28.8%)	< 0.001	40.3% (34.1%, 46.8%)	81.5% (78.0%, 84.6%)	< 0.001

5 Table 3: Positive and negative predicitive values (PPV and NPV) of clinical signs and symptoms for the diagnosis of asthma when using whole-
6 bodyplethysmography (WBP) compared with spirometry as reference standard WBP=whole bodyplethysmography.

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	Asthma assessed by WBP	Asthma assessed by spirometry	p-value	Asthma assessed by WBP	Asthma assessed by spirometry	p-value	Youden index	Youden index	p-value
FeNO (ppb)	Sensitivity (95%CI)	Sensitivity (95%CI)		Specificity (95%CI)	Specificity (95%CI)		WBP as reference standard	spirometry as reference standard	
>12 ppb	85.1% (78.4%, 90.3%)	84.3% (74.7%, 91.4%)	0.797	28.5% (22.8%, 34.6%)	25.2% (20.4%, 30.4%)	0.008	0,14	0,10	0.297
>16 ppb	69.5% (61.6%, 76.6%)	66.3% (55.1%, 76.3%)	0.372	47.3% (40.8%, 53.8%)	42.6% (37.0%, 48.3%)	0.003	0,17	0,09	0.108
>20 ppb	59.7% (51.5%, 67.6%)	57.8% (46.5%, 68.6%)	0.626	63.2% (56.7%, 69.3%)	57.4% (51.7%, 63.0%)	0.001	0,23	0,15	0.140
>35 ppb	32.5% (25.2%, 40.5%)	32.5% (22.7%, 43.7%)	0.986	87.9% (83.0%, 91.7%)	83.2% (78.6%, 87.2%)	0.003	0,20	0,15	0.318
>46 ppb	23.4% (16.9%, 30.9%)	22.9% (14.4%, 33.4%)	0.881	92.1% (87.9%, 95.2%)	88.4% (84.3%, 91.7%)	0.009	0,15	0,11	0.328
>50 ppb	22.7% (16.4%, 30.2%)	22.9% (14.4%, 33.4%)	0.959	93.7% (89.9%, 96.5%)	90.0% (86.1%, 93.1%)	0.007	0,16	0,13	0.389
>71 ppb	17.5% (11.9%, 24.5%)	20.5% (12.4%, 30.8%)	0.270	97.1% (94.1%, 98.8%)	94.5% (91.4%, 96.8%)	0.025	0,15	0,15	0.911

8 Table 4: Sensitivity and specificity of different FeNO cut-offs for the diagnosis of asthma using whole-bodyplethysmography (WBP) compared with spirometry
9 as reference standard. ppb=parts per billion, WBP=whole bodyplethysmography.

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	Asthma assessed by WBP	Asthma assessed by spirometry	p-value	Asthma assessed by WBP	Asthma assessed by spirometry	p-value
FeNO (ppb)	PPV (95%CI)	PPV (95%CI)		NPV (95%CI)	NPV (95%CI)	
>12 ppb	43.4% (40.9%, 45.9%)	23.2% (21.2%, 25.3%)	< 0.001	74.7% (65.9%, 81.9%)	85.7% (77.9%, 91.1%)	0.006
>16 ppb	45.9% (42.0%, 49.9%)	23.6% (20.5%, 27.0%)	< 0.001	70.6% (64.7%, 76.0%)	82.5% (77.3%, 86.8%)	< 0.001
>20 ppb	51.1% (45.9%, 56.3%)	26.7% (22.5%, 31.3%)	< 0.001	70.9% (66.3%, 75.1%)	83.6% (79.5%, 86.9%)	< 0.001
>35 ppb	63.3% (53.4%, 72.2%)	34.2% (25.9%, 43.6%)	< 0.001	66.9% (64.2%, 69.5%)	82.2% (79.7%, 84.4%)	< 0.001
>46 ppb	65.5% (53.0%, 76.1%)	34.6% (24.2%, 46.5%)	< 0.001	65.1% (62.9%, 67.2%)	81.1% (79.1%, 82.9%)	< 0.001
>50 ppb	70.0% (56.9%, 80.5%)	38.0% (26.8%, 50.7%)	< 0.001	65.3% (63.2%, 67.4%)	81.3% (79.4%, 83.1%)	< 0.001
>71 ppb	79.4% (63.3%, 89.6%)	50.0% (34.8%, 65.2%)	0.002	64.6% (62.9%, 66.3%)	81.6% (79.9%, 83.2%)	< 0.001

12 Table 5: Positive and negative predictive values (PPV and NPV) of different FeNO cut-offs for the diagnosis of asthma using whole-bodyplethysmography (WBP)
13 compared with spirometry as reference standard are shown. ppb=parts per billion, WBP=whole bodyplethysmography.

14 **Figure legends**

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16 **Figure 1:** Flow-chart of diagnostic investigation. BP=bronchial provocation, BD=
17 bronchodilation, FeNO=fractional exhaled nitric oxide, WBP= whole-bodyplethysmography,
18 FEV1=forced expiratory volume in 1 s, sRAW=specific airway resistance, VC=vital capacity.

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20 **Figure 2:** ROC curves for FeNO for the diagnosis of asthma compared against alternative
21 reference standards. The area under the curve (AUC) was 0.66 (95%CI 0.60 to 0.71) when
22 whole body plethysmography (WBP) was used as reference standard. When using spirometry
23 as reference standard the AUC was 0.62 (95%CI 0.55 to 0.69). There was no statistical
24 difference when comparing both AUCs ($p=0.608$).

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26 **Figure 3:** ROC curve of a combined score comprising FeNO, wheezing, and allergic rhinitis for
27 the diagnosis of asthma when using alternative reference standards. The area under the curve
28 (AUC) was significantly higher ($p<0.00.1$) when whole body plethysmography (WBP) was used
29 as reference standard (AUC= 0.724 (95%CI 0.672 to 0.776)) compared to the AUC when
30 spirometry was used as reference standard (AUC=0.654 (95%CI 0.585 to 0.722)).

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34 **Supplementary Information**

35 STARD Checklist