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# A composite indicator index as a proxy for measuring the quality of water supply as perceived by users for urban water services



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# ABSTRACT

The objective of this study is to develop a composite indicator (CI) to measure the quality of water supply based on the variables that are considered to affect users' perceptions of water supply quality. The proposed CI includes six relevant aspects that determine users' perceptions of water supply quality (network quality, water quality, water price, complaints, inconvenience caused by upgrading the network, and continuity of service) in a simple, economical, and objective way, using multi-criteria decision analysis (MCDA) with weights based on data envelopment analysis (DEA). The CI was applied to 32 municipalities in the metropolitan area of Valencia (Spain). The results show the high quality of the water supply service in this area. The use of this CI to measure the quality of the water supply service may prove useful for public institutions and managers of urban water supply, giving them an instrument to improve the management, efficiency, and quality of the water services they provide.

## **1. Introduction**

According to the United Nations, water is "a limited natural resource and a public good fundamental for life and health", while "the right to safe and clean drinking water and sanitation is a human right that is essential for the full enjoyment of the right to life and all human rights" ([United Nations General Assembly, 2010\)](#page-9-0) such as health, food, and hygiene. For this reason, in 2010, the United Nations General Assembly recognized the right to water and sanitation as a human right ([Salman,](#page-9-0)  [2014\)](#page-9-0) within the economic, social, and cultural rights category. Achieving this human right to water and sanitation means ensuring the availability, accessibility, quality, safety, and affordability of water to meet the requirements of acceptability, dignity, and privacy [\(Meier](#page-9-0)  [et al., 2014;](#page-9-0) [Heller, 2015\)](#page-8-0).

In addition to the idea that access to water is a basic human need, the influence of water quality on quality of life is also relevant. Quality of life is an important multi-dimensional concept that has gained ground in recent years. Quality of life can refer to both the specific attributes of people (such as health and education) and the conditions of the environment to which they relate, including the provision of public service infrastructures ([Reig, 2015\)](#page-9-0). In this sense, water quality affects people's quality of life ([Papageorgiou, 1976](#page-9-0); [Myers, 1987;](#page-9-0) [McMahon, 2002](#page-8-0);

## [Jerome and Pius, 2010\)](#page-8-0).

Drinking water quality is largely influenced by the quality of the source water ([Aziz, 2005](#page-7-0)) and is associated with the conditions of the water supply networks [\(Kaplan et al., 2011\)](#page-8-0). This study only addresses water supply, which is the phase of the urban water cycle from water catchment to users' taps, including drinking water treatment and water distribution. The phase prior to water abstraction and the phase of sanitation and wastewater treatment to return the water to the environment are not the subject of this study.

In Spain, drinking water supply is one of the most important local services provided by all municipalities, regardless of their population size. Water supply is listed in Article 26.1 of Law 7/1985 (Regulation of Competences of Local Authorities) as an essential public utility service ([Benito et al., 2019\)](#page-7-0). The primary responsibility of policy makers is to ensure sufficient water resources exist to supply urban populations, but the secondary aim of welfare enhancement should not be forgotten ([Byrnes et al., 2010\)](#page-7-0).

For all these reasons, indicators have been designed to assess the availability, quality, accessibility, and affordability of water supply (García-Valiñas et al., 2010a; [Hutton, 2012;](#page-8-0) [Kayser et al., 2013](#page-8-0)). The acceptability of the service has recently been used as an indicator ([Flores-Baquero et al., 2013\)](#page-8-0). To control the quality of the service and

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improve its management, water utilities use different indicators combining information about different managerial, environmental, financial, and, more recently, social aspects related to water operations. However, this set of indicators is difficult to interpret because it does not offer a holistic view, given that the indicators do not reflect a measure of general performance ([Cherchye et al., 2006\)](#page-7-0).

The need for managers and public officials to monitor user satisfaction has motivated attempts at measuring users' perceptions of water supply quality. Traditionally, surveying has been the most widely used method to analyze these perceptions. Personal, telephone, and selfreport questionnaires have been used for this purpose. The widespread use of surveys as a research procedure has several advantages. For example, surveys can be applied on a massive scale and can yield data on a wide range of questions [\(Martínez-Medino and Gal](#page-9-0)án-González, 2004; Arroyo-Menéndez and Sábada-Rodríguez, 2012). These data can then be processed quickly and efficiently. Surveys have been used in several articles to gather users' opinions about the water supply service. Specifically, they have been used in studies of water quality ([Burlingame](#page-7-0)  [et al., 2017;](#page-7-0) Ortiz-Gómez et al., 2019; [Delpla et al., 2020;](#page-7-0) Weisner et al., [2020\)](#page-9-0) and the consumption preferences of users that lead them to choose tap water or bottled water ([Etale et al., 2018;](#page-8-0) [Qian, 2018](#page-9-0); [Geerts](#page-8-0)  [et al., 2020\)](#page-8-0).

Despite this widespread use of surveys as a data gathering procedure, they are costly and introduce a high degree of subjectivity due to difficulties associated with survey design, type of survey, application method, and statistical method [\(Casas-Anguita et al., 2003](#page-7-0); [Martí](#page-9-0)[nez-Medino and Gal](#page-9-0)án-Gonzalez, 2004; [Kvale, 2012](#page-8-0); [Arroyo-Men](#page-7-0)éndez and Sábada-Rodríguez, 2012). To overcome these difficulties, a common approach is to aggregate variables into a single indicator, called a composite indicator (CI) [also called Composite Index in the literature]. A CI is a method of aggregating variables that offers a valuable tool for measuring, monitoring, comparing, and evaluating user opinion, analyzing policies, communicating public information, and so forth. The use of CIs is internationally established ([Joint Research Centre, 2008](#page-8-0); [Hatefi and Torabi, 2010; 2018\)](#page-8-0). The main advantage of a CI over other methods is that it synthesizes the evaluation of a complex, multi-dimensional phenomenon, enabling its interpretation by service managers. Likewise, the overview it provides enables the comparison between the analyzed units and their organization into a hierarchy to observe their evolution ([Joint Research Centre, 2008\)](#page-8-0).

CIs have been applied to different areas to assess corporate social responsibility (Dočekalová and Kocmanová, 2016; Staessens et al., [2019;](#page-9-0) [Aparicio et al., 2020](#page-7-0)), measure the sustainability or competitiveness of tourism (Gómez-Vega [and Picazo-Tadeo, 2019](#page-8-0); [Loz](#page-8-0)[ano-Oyola et al., 2019](#page-8-0); Cabello et al., 2020), and evaluate the management of public administration services [\(Lo Storto, 2016](#page-8-0); D'[In](#page-8-0)[verno et al., 2018;](#page-8-0) D'[Inverno and De Witte, 2020](#page-8-0)), public health ([Mar](#page-9-0)[tín-Martínez et al., 2019;](#page-9-0) [Fu et al., 2020](#page-8-0); [Murtas et al., 2020\)](#page-9-0), and education ([Murias et al., 2008;](#page-9-0) [El Gibari et al., 2018](#page-8-0)).

In the water sector, there are specific water CIs such as the Water Poverty Index [\(Sullivan, 2002](#page-9-0); [Sullivan et al., 2006\)](#page-9-0), the Canadian Water Sustainability Index ([Policy Research Initiative, 2007\)](#page-9-0), the Watershed Sustainability Index ([Chaves and Alipaz, 2007\)](#page-7-0), and the West Java Water Sustainability Index ([Juwana et al., 2010](#page-8-0)). There are also CIs that evaluate the performance of water companies under each dimension (social, environmental, and economic) of sustainability (Pérez [et al., 2019\)](#page-9-0). CIs have also been used to evaluate the sustainability of activities related to wastewater treatment [\(Molinos-Senante et al., 2014](#page-9-0); [Sabia et al., 2016; Sun et al., 2020\)](#page-9-0). However, the article by [Kumasi and](#page-8-0)  [Agbemor \(2018\)](#page-8-0) seems to be the only one to have focused on user satisfaction with a water service.

Thus, CIs are common in research on services and the water sector, but they have never been applied specifically to analyze users' perceptions of water supply quality. This study covers this research gap by focusing on whether (and how) it is possible to construct a CI index to approximate domestic water users' perceptions of quality. To do so, this paper offers a new application of a CI, using MCDA with common weights from DEA, to calculate a proxy of water supply service quality based on the variables that are considered to affect quality as perceived by users. The motivation is to help managers in the water distribution sector improve the quality of the water supply service (at the municipal level) by incorporating user perception variables quickly, simply, and objectively. This approach is justified by the fact that understanding consumers' perceptions of tap water is an important issue for water authorities and utility managers [\(Proulx et al., 2010\)](#page-9-0). This method is also expected to identify the variables that exert the greatest impact on users to improve water supply management.

Furthermore, this study is also novel in that it combines economic, technical, and service quality variables, as perceived by users, into a single indicator for water managers. Technical and economic sustainability is thus combined with social aspects to provide highly useful information for managers and public officials. In addition, the CI is compatible with other methods (such as surveys) and offers an alternative to analyze users' opinions and take these opinions into account in water supply management. Such insight can help improve water supply so that the service provided is met with the greatest possible acceptance by users.

The CI was applied to a sample of 32 of the 45 municipalities in the metropolitan area of the city of Valencia (Spain). Six facets of water supply quality that are relevant to users (network quality, water quality, water price, complaints, inconvenience caused by upgrading the network, and continuity of service) were aggregated in a CI. All data were provided by Global Omnium, the water distribution company in these municipalities. Thus, this study is based on primary data sources, highlighting the approach that should be followed in future applications carried out by companies in the sector.

The results of the proposed model applied to these municipalities are expected to reflect the quality levels of the water supply service. The model aims to identify the main factors (inconvenience caused by upgrading the network, the efficiency of the network due to its effects on the taste of water, and the price of water) to help managers improve the service they provide. In the case study, the results show a high level of quality performance of the water supply service.

This article is structured as follows. Section 2 reviews the literature on CI, DEA, and MCDA methods and the areas where they have been used. [Section 3](#page-3-0) describes the model applied in this study to achieve the research objectives. [Section 4](#page-3-0) presents the variables and the data. [Sec](#page-5-0)[tion 5](#page-5-0) provides the results of the empirical analysis. Finally, [Section 6](#page-6-0)  presents the conclusions and future research lines.

# **2. Literature review**

# *2.1. Composite indicators, DEA, and MCDA in water management*

Composite indicators are built by aggregating a group of variables into a single parameter to measure concepts that cannot be defined any other way. A CI is based on a theoretical framework, which is used to select, combine, and weight variables that reflect the dimensions or structure of the phenomenon or phenomena being measured ([Joint](#page-8-0)  [Research Centre, 2008](#page-8-0)).

Numerous methods can be used to create a CI, provided that the OECD standards ([Joint Research Centre, 2008\)](#page-8-0) are met. These methods include the analytic hierarchy process [\(Molinos-Senante et al., 2014](#page-9-0); [Sun et al., 2020](#page-9-0)), TOPSIS ([Fu et al., 2020](#page-8-0)), multi-criteria analysis ([El](#page-8-0)  [Gibari et al., 2018](#page-8-0); [Mao et al., 2019;](#page-8-0) Pérez et al., 2019; Cabello et al., [2020\)](#page-7-0), and methods derived from any of these approaches, such as MRP-WSCI, which is based on multi-criteria analysis ([Ruiz et al., 2020\)](#page-9-0) and data envelopment analysis (DEA). More specifically, DEA has been used to create CIs for evaluation in different sectors: services in airports ([Baltazar et al., 2014\)](#page-7-0), suppliers' green performance [\(Dobos and](#page-8-0)  Vörösmarty, 2014), tourism (Gómez-Vega [and Picazo-Tadeo, 2019\)](#page-8-0), and corporate social responsibility ([Staessens et al., 2019](#page-9-0); [Aparicio et al.,](#page-7-0) 

#### [2020\)](#page-7-0).

The proposed CI in this study was designed using MCDA, with weights based on DEA. Both MCDA and DEA are used in water economics and water resources management. DEA is a linear programming tool for evaluating the performance of a set of peer entities that use one or more inputs to produce one or more outputs. It has been widely used in water resources management, specifically in urban water supply. This methodology has been used in studies of efficiency/inefficiency (Al-Assa'[d and Sauer, 2010;](#page-7-0) [Romano and Guerrini, 2011](#page-9-0); [Kulshrestha](#page-8-0)  [and Vishwakarma, 2013](#page-8-0); [Ananda, 2014](#page-7-0); [Molinos-Senante et al., 2018](#page-9-0); [Ablanedo-Rosas et al., 2020](#page-7-0)), eco-efficiency/sustainable efficiency ([Molinos-Senante et al., 2016a](#page-9-0); Gémar et al., 2018; Lombardi et al., [2019;](#page-8-0) [Delgado-Antequera et al., 2021\)](#page-7-0), sector regulation efficiency ([Cabrera Jr et al., 2018\)](#page-7-0), service ownership (García-Sánchez, 2006; [Munisamy, 2009](#page-9-0); [Lo Storto, 2013](#page-8-0); Suárez-Varela et al., 2017), the effects of (structural) sector reforms on efficiency and productivity ([Abbott](#page-7-0)  [et al., 2012](#page-7-0); [Ferreira da Cruz et al., 2012\)](#page-8-0), growth of the efficiency/productivity of utilities in water supply [\(Nyathikala and Kulshrestha,](#page-9-0)  [2017\)](#page-9-0), and the quality of the service provided to customers in terms of efficiency [\(Woodbury and Dollery, 2004;](#page-9-0) [Kumar and Managi, 2010](#page-8-0); [Molinos-Senante et al., 2016c](#page-9-0); [Maziotis et al., 2017](#page-9-0)). MCDA has been used in water resources management ([Calizaya et al., 2010](#page-7-0); [Amor](#page-7-0)[ocho-Daza et al., 2019; Bera and Banik, 2019](#page-7-0); [Psomas et al., 2021](#page-9-0)) and in the management of urban water supply ([Lai et al., 2008](#page-8-0); [Carriço et al.,](#page-7-0)  [2012;](#page-7-0) Cordão [et al., 2020](#page-7-0); [Cunha et al., 2020\)](#page-7-0). For details on the use of MCDA in sustainability, see [Goyal et al. \(2020\)](#page-8-0).

DEA and MCDA have similarities, principally in terms of the mathematical structure and methods for solution ([Belton and Stewart, 1999](#page-7-0)). There is scant literature comparing these two methodologies for measuring efficiency. In the MCDA versus DEA literature, [Baltazar et al.](#page-7-0)  [\(2014\)](#page-7-0) reported that the MCDA approach seems to be very promising when compared with traditional DEA-based approaches. Among the advantages of using DEA to construct CIs, the following should be noted: it provides a measure of performance based on real data; DEA models do not require the normalization of the initial data; DEA respects the individual characteristics of the units and their own particular value systems ([Hatefi and Torabi, 2010](#page-8-0); [2018\)](#page-8-0); and the index constructed using DEA techniques has major advantages in terms of weighting and aggregating the partial indicators ([Reig, 2015](#page-9-0)).

DEA has been considered appropriate for monitoring and control because it seeks to extract as much as possible from objective, historical data, without resorting to subjectivity, whereas MCDA is most appropriate for evaluation and choice because it seeks to elicit, understand, and manage value judgments. However, there are many applications in the field of DEA, with increasing attention on the desirability of incorporating value judgments in some analyses. In this sense, the two approaches are complementary ([Belton and Stewart, 1999](#page-7-0)). In fact, in the literature, the DEA-MCDA model is a commonly used method that has been applied for a variety of purposes, such as to construct the Human Development Index [\(Hatefi and Torabi, 2010](#page-8-0)) and the Sustainable Energy Index ([Hatefi and Torabi, 2010](#page-8-0); [Wang, 2015\)](#page-9-0), to minimize total costs in assembly lines [\(Zahiri et al., 2016](#page-10-0)), to evaluate the efficiency of airports ([Jardim et al., 2015](#page-8-0)), and to manage hazardous waste ([Ali et al.,](#page-7-0)  [2015\)](#page-7-0). In the water sector, the MCDA-DEA approach has been applied to evaluate the sustainability of water companies (Pérez et al., 2019). Finally, a notable study using MCDA and DEA together is the study by [Reig \(2015\),](#page-9-0) who built a CI of quality of life to compare the quality of urban life in 43 cities belonging to the Metropolitan Area of Valencia (the same geographical area as in this study). The findings provide a complete ranking of all the cities in the sample.

This use of the DEA-MCDA model enables the construction of CIs from among all DMUs (decision-making units) via a set of common weights. The model is capable of discriminating DMUs that receive a CI score of 1 (i.e., the efficient entities leading to the determination of a single optimal DMU). The common weights structure of the proposed model has more discriminatory power than those obtained by previous

DEA-like models ([Hatefi and Torabi, 2010\)](#page-8-0). In order to overcome the limitations of the model, new proposals have been developed ([Sanei](#page-9-0)  [et al., 2011](#page-9-0); [Darehmiraki and Behdani, 2013;](#page-7-0) [Hatefi and Torabi, 2018](#page-8-0)).

## *2.2. Determinants of water supply service quality*

In order to create a CI, the objective selection of the variables that directly affect the quality of the service and users' perceptions of the quality of the service is essential. With DEA, it is not possible to test the significance of the variables. Therefore, the variables that have previously been used in the literature must be identified to ensure appropriate variable selection.

Network quality is related to network efficiency, defined as the difference between the water input and the water output in the water distribution network. Water leaks not only result in expensive maintenance but can also cause considerable environmental impact and social discontent when the water demand is not properly satisfied ([Zhang](#page-10-0)  [et al., 2019\)](#page-10-0). In the case of users, the inefficiency of the network is perceived as the entry of foreign substances into the drinking water, their effect on organoleptic properties (smell and taste), and their impact on public health ([Molinos-Senante et al., 2016c](#page-9-0); [Cabrera et al., 2017](#page-7-0); [Wijesiri et al., 2018](#page-9-0)).

In addition to the year of installation and proportion of water leakage, the type of pipe materials is an important determinant of water quality. Even if water treatment plants produce high-quality water, the pipe network quality provides additional assurance of drinking water quality. In this sense, the effect of distribution network materials on water quality at the consumer's end [\(Sadiq et al., 1997](#page-9-0)) should be considered. However, the fact that some poisoning and water-borne diseases result from the low quality of the water pipe network should not be disregarded ([Solgi et al., 2016\)](#page-9-0). The distribution infrastructure is typically buried underground, and it is often difficult to assess the condition of the system. In case of breakages in the underground pipe network, external agents such as microorganisms may enter the distribution system and react with the residual disinfectant (chlorine), which results in faster decay ([Mortula et al., 2019\)](#page-9-0). Maintaining a hygienic water distribution infrastructure is crucial to provide high-quality services to consumers.

Water quality is observable by the municipality and the operator. It is an important parameter when assessing the quality of the water supply. Together with network quality, it is one of the most widely studied parameters ([Khadse et al., 2011](#page-8-0), [2016](#page-8-0); García-Ávila et al., 2018; [Asghari et al., 2019](#page-7-0)). There are studies of the relationship between the presence of microorganisms and turbidity [\(LeChevallier et al., 1981](#page-8-0); [Mccoy and Olson, 1986;](#page-9-0) [Gauthier et al., 2003](#page-8-0); [Huey and Meyer, 2010](#page-8-0)). Other studies refer to the subproducts derived from water disinfection and their impact on health [\(Matia-Ribot, 1997;](#page-9-0) [Bertelli et al., 2018](#page-7-0); [Wang et al., 2019\)](#page-9-0). Finally, numerous articles link water quality to the implementation of water safety plans, a new management methodology of the World Health Organization based on risk analysis ([Davison et al.,](#page-7-0)  [2005;](#page-7-0) [Gunnarsdottir et al., 2012a](#page-8-0), [2012b](#page-8-0); [Li et al., 2020\)](#page-8-0).

As regards water quality, perceptions of tap water are subject to a wide range of factors and interactions including organoleptic perceptions, microbiological and chemical quality, prior experiences, information sources, trust in water companies and other groups, and perceived control and contextual factors [\(Delpla et al., 2020](#page-7-0)). Variables commonly used to determine users' perceptions of water quality are the taste and smell of water. The parameters taste and smell are used in surveys to study perceptions of the water service (Burlingame et al., [2017;](#page-7-0) [Dietrich and Burlingame, 2020\)](#page-8-0). There are also studies on the factors that affect perceptions of water, which are closely linked to water quality and the efficiency of the distribution network [\(Platikanov et al.,](#page-9-0)  [2017;](#page-9-0) [Delpla et al., 2020](#page-7-0); [Romano and Masserini, 2020](#page-9-0)) or consumption preferences for tap water versus bottled water based on water quality and other preferences ([Etale et al., 2018](#page-8-0); [Qian, 2018](#page-9-0); [Geerts et al.,](#page-8-0)  [2020\)](#page-8-0). socioeconomic parameters influence user satisfaction and risk <span id="page-3-0"></span>perception ([Ellawala and Priyankara, 2016\)](#page-8-0). Specifically, price has a major influence on users and offers an economic instrument to modify the demand for water, and therefore its consumption. In general, users are very sensitive to this variable because they demand greater or lesser consideration depending on what they pay (Hernández-Sancho et al., [2012;](#page-8-0) González-Gómez [and García-Rubio, 2018;](#page-8-0) [Marzano et al., 2018](#page-9-0)). An increasing amount of literature focuses on the effect of prices on users (García-Valiñas et al., 2010b; García-Valiñas and Picazo-Tadeo, [2015;](#page-8-0) González-Gómez [and García-Rubio, 2018\)](#page-8-0), as well as water tariffs ([García-Rubio et al., 2015](#page-8-0); Suárez-Varela et al., 2015; Lopez-Nicolas [et al., 2018](#page-8-0); Suárez-Varela and Martínez-Espiñeira, 2018; [Fuente, 2019](#page-8-0)).

In the case of the city of Valencia, the elasticity of the domestic water demand at the average price is estimated at − 0.88. That is, consumers in Valencia decrease their water consumption by 0.88% when the average price per  $m<sup>3</sup>$  increases by 1% in their bill from the previous period [\(Maldonado-Devis and Almenar-Llongo, 2021\)](#page-8-0). This demand elasticity is higher than the estimated elasticity in studies of other Spanish cities (Martínez-Espiñeira, 2003; [Arbúes et al., 2004;](#page-7-0) Martínez-Espiñeira and [Nauges, 2004](#page-9-0); [Arbues and Villanua, 2006](#page-7-0); García-Valiñas et al., 2010b).

Finally, the International Water Association (IWA) includes several variables with a major influence on water supply quality for users in the quality-of-service category, such as number of complaints and continuity of service ([Alegre et al., 2016; Cabrera et al., 2017\)](#page-7-0). For example, the inconvenience caused by network upgrades negatively affects users of the water supply service. Specifically, inconvenience to users caused by upgrades include traffic problems such as finding parking spaces and driving home [\(Molinos-Senante et al., 2016d;](#page-9-0) [Cabrera et al., 2017](#page-7-0)). Therefore, complaints, continuity of service, and the inconvenience of upgrading the network are used in efficiency analysis to evaluate companies' service management [\(Molinos-Senante et al., 2016b;](#page-9-0) [Moli](#page-9-0)[nos-Senante et al., 2016c](#page-9-0); [Maziotis et al., 2017](#page-9-0)).

#### **3. Method**

An MCDA model with common weights was used to calculate the CI. This model is based on DEA, as proposed by [Hatefi and Torabi \(2010\)](#page-8-0). There are four main advantages to this model. First, it evaluates all decision-making units (DMUs) using the same set of weights, thus enabling fair comparison among them. Second, it provides a complete ranking of the analysis units to give a single unit with a CI of 1 [\(Hatefi](#page-8-0)  [and Torabi, 2010](#page-8-0)). Third, it requires only one step to calculate the results, which makes obtaining a solution with this model easier than with other models [\(Zhou et al., 2007\)](#page-10-0). Fourth, it eliminates the requirement for any value judgments (subjectivity) to obtain the solution because the decision maker does not need to define the value of any parameters ([Hatefi and Torabi, 2010\)](#page-8-0).

With this model, it is assumed that there are *m* DMUs that use the same inputs to generate the same outputs. The goal is to obtain an aggregate measure that indicates their level of performance ([Hatefi and](#page-8-0)  [Torabi, 2010\)](#page-8-0). This aggregate measure is based on the values of the *n*  subindicators, which may be given in different units of measurement. Therefore, they must be normalized with respect to their means. A better performance level of the DMU is associated with higher values of the subindicators.

The model is specified in Eq. (1), where  $i = 1, ..., m$  represents each of the DMUs,  $j = 1, \ldots, n$  represents each of the subindicators,  $I_{ij}$  represents each of the subindices,  $d_i$  is the deviation of the efficiency of the  $DMU_i$ ,  $w_i$ is the weight given by the model for subindicators  $j$ ,  $\varepsilon$  is an infinitesimal  $constant$  (generally  $10^{-5}$ ), and  $M = max$   $\{d_i\}.$ 

*Min M*

s.t.  
\n
$$
M - d_i \ge 0, \ i : 1, ..., m
$$
\n
$$
\sum_{j=1}^{n} w_j I_{ij} + d_i = 1, \ i : 1, ..., m
$$
\n
$$
w_j \ge \varepsilon, \ d_i \ge 0, \ i : 1, ..., m, \ j : 1, ..., n
$$
\n(1)

With the resulting  $d_i^*$  of the optimization of the model in Eq. (1), the CIs would be calculated as  $CI_i = 1 - d_i^*$ .

However, the model considered in Eq.  $(1)$  may lead to the existence of several DMUs with  $CI = 1$ . To eliminate this multiplicity, obtain a single DMU with  $CI = 1$ , and provide a ranking, the model specified in Eq. (2) is applied.

Min 
$$
M - K \sum_{e \in EF} d_e
$$
  
\ns.t.  
\n $M - d_i \ge 0, \ i : 1, ..., m$   
\n $\sum_{j=1}^{n} w_j I_{ij} + d_i = 1, \ i : 1, ..., m$   
\n $w_j \ge \varepsilon, \ d_i \ge 0, \ i : 1, ..., m, \ j : 1, ..., n$  (2)

In this model, *EF* represents the set of units with  $CI = 1$ , and *K* is a parameter ranging from 0 to 1. This model is solved starting with  $K =$ 0.001 and obtaining a solution by increasing the value of *K* by 0.001 until the number of DMUs with  $CI = 1$  is 1. In some cases, the initial value of *K* must be reduced to achieve convergence [\(Hatefi and Torabi,](#page-8-0)  [2010\)](#page-8-0).

In addition, MCDA-DEA also provides the level at which each of the subindices of each DMU with *CI < 1* could improve. The model proposed by [Hatefi and Torabi \(2018\)](#page-8-0) is used, as shown in Eq. (3).

$$
Max \sum_{k=1}^{m} \mu_k - \sum_{k=1}^{m} \nu_k + \varepsilon \sum_{j=1}^{n} \tau_j
$$
  
s.t.  

$$
\sum_{k=1}^{m} \mu_k \le 1, \ k: 1, ..., m
$$
  

$$
\sum_{j=1}^{m} (\mu_k + \nu_k) I_{kj} - \tau_j = I_{ij}, \ j: 1, ..., n
$$
  

$$
\mu_k \ge 0, \ \nu_k \ge 0, \ \tau_j \ge 0, \ k: 1, ..., m, j: 1, ..., n
$$
 (3)

Here,  $\mu_k$ ,  $\nu_k$ ,  $\tau_j$  are now the variables in the model in Eq. (3), and the resulting *τj,* as a solution, reflects the possible improvements of each of the subindices.

The phases of CI development are illustrated in a flowchart in [Fig. 1](#page-4-0).

### **4. Data and variables**

The method to obtain CI, described in the previous section, was applied to the metropolitan area of the city of Valencia (Spain), with data from the year 2018. A sample of 32 of the 45 municipalities in the metropolitan area was used. The water services of these municipalities are managed by Global Omnium, a water company focused on the management of the entire water cycle. Each municipality in the study area was taken as a DMU. The selection of municipalities as DMUs is justified because the water supply system in each municipality is an independent unit with specific characteristics and a specific population in a defined territory.

[Table 1](#page-4-0) presents the key data. The municipalities in the study accounted for 73% of the total surface of the metropolitan area and 83% of the population. The sample included the municipality with the largest population in the metropolitan area (i.e., the city of Valencia).

The choice was constrained by the data provided by Global Omnium because at this stage of the research, data or indicators for other variables affecting water quality were unavailable. It is therefore a convenience sample. Nevertheless, the variables used in this study (see [Table 2](#page-4-0) 

<span id="page-4-0"></span>

**Fig. 1.** Phases of CI development Source: authors.

## **Table 1**

Key data on the municipalities of the Valencia metropolitan area where the water supply was managed by Global Omnium in 2018.



Source: Compiled by authors based on data from INE 2018.

## **Table 2**

Variables used as subindicators.



and [Table 3](#page-5-0)) are representative of the standards of a good water supply service (according to the criteria of the International Water Association), are consistent with the reviewed literature, and represent the quality of the water supply service as perceived by users. It should be emphasized that the objective is to obtain a CI that replicates users' perceptions of the quality of the water supply service.

Although all data were sourced from Global Omnium, some variables were derived from the unprocessed data series, and other variables were constructed from Global Omnium's own data series. In particular, the data provided by Global Omnium included the number of users, network efficiency (percentage), network length (kilometers), total cubic meters billed, total number of water analyses, number of analyses not complying with the law, total amount billed in euros, number of claims per 1000 users, number of actions developed to improve the water distribution network, and number of network closures due to network improvement actions. Network efficiency (network quality) and number of complaints per 1000 users (complaints) were used without transformation.

#### <span id="page-5-0"></span>**Table 3**

Mean and standard deviation of the indicators.



Source: Authors based on data from Global Omnium Group.

The rest of the variables were obtained from the original series provided by Global Omnium: the water price variable was obtained by dividing the total amount invoiced in euros by the total cubic meters invoiced; the water quality variable was calculated as the ratio between the number of analyses that did not comply with the law and the total number of water analyses carried out; the variables inconvenience caused by upgrading the network and continuity of the service were obtained by dividing, respectively, the number of actions carried out to improve the distribution network and the number of network closures due to network improvement actions by the network length. The effect of the size of each municipality was thus eliminated to enable unitary comparison.

These variables can be classified into two categories: direct and indirect variables, both of which influence the dependent variable. Direct variables are measured in the same terms as the indicator, whereas indirect variables are measured in units other than those used for the indicator ([Bustamante et al., 2021](#page-7-0)). Direct variables are defined as the closest variable in the chain of processes that link the variable to its impact [\(Mouton et al., 2013](#page-9-0)). Indirect variables indicate a quality gradient not well covered by the measured variables (i.e., they are probably proxies). In our case, the direct variables were most likely to affect users' service quality perceptions of network quality and water quality. The indirect variables were water price, complaints, inconvenience caused by network upgrades, and continuity of service.

The direct and indirect variables had a direct and inverse relationship with the quality of the water supply service. Regarding network quality and water quality, the higher the value of the selected indicator is, the better the quality of the water supply service (and therefore the perceptions of users) will be. Conversely, regarding water price, complaints, inconvenience caused by upgrading the network, and continuity of service, there is an inverse relationship with the variable quality of the water supply service (and therefore with users' perceived quality).

Finally, the existence of a relationship between the variables should be considered. For instance, network quality drives prices. Leakages are costly and can thus increase the water bill, but leakages are less costly than the investment needed for repairs to increase network quality (high levels of leakages can result in a cost trade-off between bearing the cost of losses and investing in the network to cut losses). In this case, incumbents can signal their quality by lowering prices ([Porcher, 2011](#page-9-0)). In the sample used for this study, the Spearman correlation coefficient confirmed the non-existence of a correlation between the variables in each analyzed period.

## **5. Results**

Table 4 shows the results of the water supply quality perceived by users in each of the 32 municipalities in 2018. The results are CIs obtained by applying the MCDA-DEA model to the six variables across the 32 municipalities in the sample. A value of 1 indicates the maximum quality that would be perceived by users. The assessment worsens as the CI moves away from the value 1. As the table shows, the mean value in the study area was 0.914 (with a standard deviation of 0.057). In total, 53% of the municipalities in the study area had an assessment that was above the average. The conclusion is that the water supply quality in the



**Table 4** 



area of study is high.

The model used to calculate the CI also indicates how much each variable must increase to improve the quality of the water supply management. The capacity to improve each variable to enhance the quality of the water supply service in each municipality was calculated for the 32 municipalities and the six variables in the sample. [Table 5](#page-6-0)  shows the capacity for improvement of each variable.

To interpret the results in [Table 5,](#page-6-0) it is necessary to differentiate between the direct and indirect variables. For the direct variables (network quality and water quality), the results indicate how much the value of these variables must increase to improve the water supply quality. By contrast, for the indirect variables (water price, complaints, inconvenience caused by upgrading the network, and continuity of service), the results indicate how much the value of the variable must decrease to improve the water supply quality. A value of 0 indicates that the variable is managed efficiently.

To illustrate this idea, DMU\_01 can be taken as an example. Regarding the direct variables, to improve water supply quality, the values of two variables must increase: network quality by 9.51 and water quality by 1.69. In contrast, the values of the indirect variables should decrease: the value of complaints should decrease by 44.18, the inconvenience caused by upgrading the network should decrease by 7.24, and the continuity of the service should decrease by 0.39. In this case, the water price variable is already applied optimally and does not affect the service quality.

Another example is the DMU\_31, which, due to the number of inhabitants, is easily identifiable as the city of Valencia. The most important variable with a direct relationship for users is water quality, which should increase by 6.99 to improve the perception of overall efficiency. The most important variable with an inverse relationship for users is the price of water, which should decrease by 35.43 to improve overall efficiency. Finally, the number of complaints is already applied optimally and does not have any effect on quality.

[Table 5](#page-6-0) also shows the mean values of the capacity for improvement of each variable. These values indicate which variables could improve

## <span id="page-6-0"></span>**Table 5**  Capacity for improvement of each variable.



the quality of the water supply service in the study area. Once the value of the capacity for improvement of each variable is known, the aspects that users perceive as most important can be ranked to help improve the management of the water supply.

The average values obtained in the previous table indicate the variables that users perceive as most important to improve the quality of the water supply. The capacity for improvement of the direct variables shows that the network quality variable has the greatest capacity for improvement and could therefore improve the overall quality of the water supply service the most. On the other hand, regarding the capacity enhancement of the indirect variables, the inconvenience caused by upgrading the network has plenty of room for improvement (following the established criteria of users' quality perceptions). The other variable with major capacity for improvement is water price.

# **6. Conclusions and discussion**

The objective and main contribution of this article is the design of a CI to measure the quality of the water supply based on the variables that are considered to affect users' perceptions of the quality of water supply services in a simple, objective, and economical way. The MCDA model with common weights based on DEA was used for this purpose.

The proposed model meets all the methodological requirements established by the Joint Research centre-European Commission. Its main advantages are that it provides an overall indicator of users' perceptions and quantifies how much these indicators must change for these perceptions to improve. In addition, although approximating users' perceptions of the quality of water supply services is important, knowing which aspects have the most influence on this assessment can lead to a better, more efficient, and more socially accepted service. Accordingly, this methodology provides a new indicator to improve water supply management from a technical, economic, and social point of view,

consistent with the notion of the circular economy.

The variables selected for this index are network quality (related to public health risk), water quality, water price, complaints, inconvenience caused by upgrading the network, and service continuity. These variables provide comprehensive information on the relevant aspects of users' quality of water supply services, combining technical, economic, and social variables.

Specifically, the proposed model was applied to a sample of 32 municipalities in the metropolitan area of Valencia (Spain). The results reflect an approximation of the quality-of-service provision, in an attempt to assess how users perceive the water service in the municipalities of the sample (however, the CI is not strictly speaking an indicator of users' perceptions). The average score was high, indicating a high degree of water supply quality. The model also shows how much each variable must be improved to enhance the quality of water supply management. In the case of these 32 municipalities, the factors for improvement are, in order, the inconvenience caused by upgrading the network, the efficiency of the network (linked to water taste), and price.

Nevertheless, the model has some limitations. These results offer theoretical quality perceptions of the service. Therefore, they should be compared with surveys to obtain a more realistic idea. From a methodological point of view, the weights of all variables are similar, even though their importance may differ. Despite the findings by [Hatefi and](#page-8-0)  [Torabi \(2010\)](#page-8-0), the order of DMUs obtained in the second model [\(Eq. \(2\)\)](#page-3-0) does not necessarily follow the hierarchy initially established in the first model (Eq.  $(1)$ ) for the DMUs with  $CI < 1$ . In this respect, obtaining a single DMU with  $CI = 1$  could establish a different hierarchy of the DMUs. Lastly, being a DEA approach, it also suffers from the limitations of that method. In particular, the model is deterministic, which implies that any inefficiency is due to the management procedure, with no room for randomness. Also, the DMUs involved in the analysis must use the same kind of inputs to generate the same kind of outputs.

<span id="page-7-0"></span>However, none of these limitations critically affects the analysis presented in this study. The second one (i.e., the possibility of change in the hierarchy of the DMUs) is not necessarily a limitation, but a change due to a new imposed restriction that only one DMU can have  $CI = 1$ . The other limitations do not affect the procedure either because all the DMUs use the same type of inputs and produce the same kind of outputs, and the analysis aims to measure the quality of the water supply based on the variables that are considered to affect users' perceptions of quality (i.e., random effects are not included).

Regarding applicability, including users in decision making through the variables that affect their perceptions of the quality of the water supply service and having a quality index of water supply services can be extremely useful for management companies and local councils. Because the MCDA-DEA model shows the capacity for improvement of the factors included in the CI, this method indicates how to improve the quality considering the most common variables of perceived service quality. In this sense, the results obtained with this methodology can help managers improve their understanding of users' perceived quality of the water supply service in an objective, fast, simple, and economical way.

Finally[,Finally,](https://www.linguee.es/ingles-espanol/traduccion/finally.html) this study opens two possible future lines of research. The first one relates to comparing the results obtained by the CI with those of a survey of users to test the reliability of the model. The second one consists of determining the factors that influence users' evaluations of the variables used in this study. These suggestions will be addressed in future research to improve the proposed CI and thus provide more information on how to improve water supply services.

## **Authors statement**

Palomero-González, José Antonio: Term, Conceptualization, Investigation, Resources, Data Curation, Writing - Original Draft, Writing - Review & Editing.

Almenar-Llongo, Vicent: Term, Conceptualization, Writing - Review & Editing, Validation, Supervision.

Fuentes-Pascual, Ramón: Methodology

### **Supplementary materials**

Supplementary material associated with this article can be found, in the online version, at [doi:10.1016/j.techfore.2021.121300](https://doi.org/10.1016/j.techfore.2021.121300).

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