

Highly enantioselective introduction of bis(alkoxycarbonyl)methyl group into the 2-position of piperidine skeleton

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Abstract

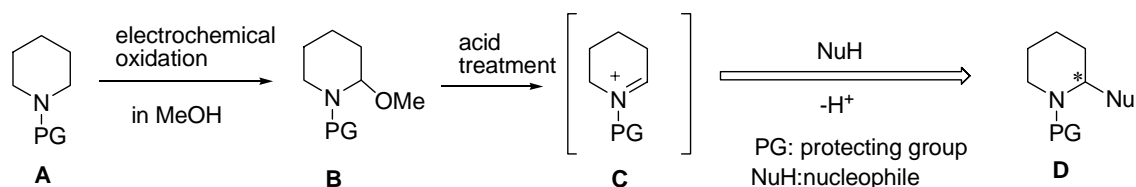
Copper ion catalyzed carbon-carbon bond forming reaction of *N*-acyliminium ions with diaryl malonates was achieved with high enantioselectivity. The key intermediates in the method were 2-methoxy-3,4-didehydropiperidines, which were easily prepared through electrochemical oxidation of 1-(*p*-methoxybenzoyl)piperidine in methanol followed by the conversion of the oxidation product to didehydropiperidine derivative, which was subjected to a chiral Cu(II) catalyzed coupling reaction with diaryl malonates affording diaryl 2-piperidylmalonates. The maximum %e.e. (e.e., enantiomeric excess) was 97% when di-*p*-chlorophenyl malonate was used as a nucleophile.

Keywords: Optically active 2-alkylpiperidines; 2-Methoxy-3,4-didehydropiperidines; Electrochemical oxidation; Catalytic asymmetric reaction; Copper ion-catalyzed

1. Introduction

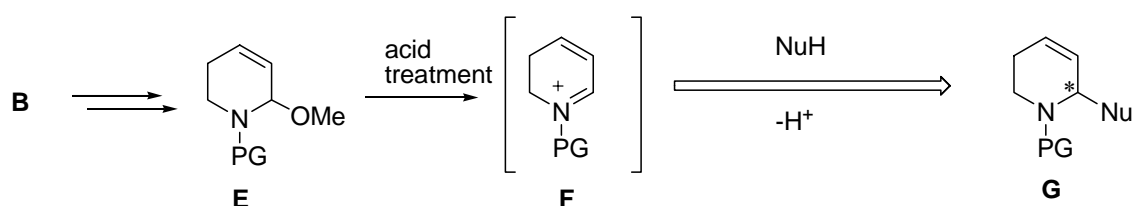
Asymmetric introduction of alkyl nucleophiles (NuH) to the 2-position of 1-protected piperidinium ions **C** (PG: protecting group) may be one of the most convenient and simple routes for optically active 2-alkylpiperidines **D**, key synthetic intermediates for a variety of chiral piperidine alkaloids since piperidinium ions **C** can be generated from easily available 1-protected piperidines **A** through electrochemical oxidation of **A** followed by acid treatment of the oxidation products **B** (Scheme 1) [1]. However, there

have been very few reports for such asymmetric introduction in such cases that piperidinium ions **C** have a chiral protecting group [2] or a chiral NuH is used [3].



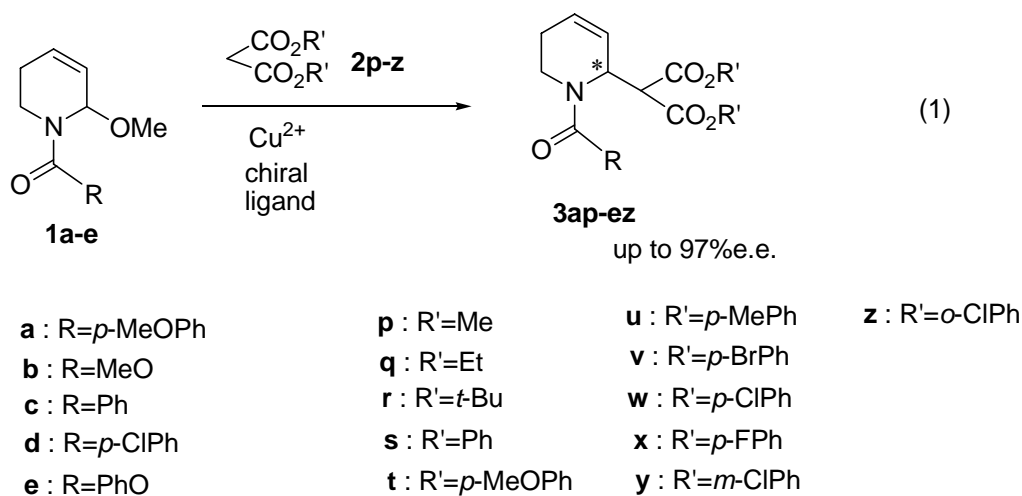
Scheme 1. Asymmetric introduction of alkyl nucleophile (NuH) onto the 2-position of 1-protected piperidinium ions **C**

We have already found an asymmetric introduction of NuH onto the 2-position of 1-protected 3,4-didehydropiperidinium ions **F**, which are also easily prepared from **B** through 1-protected 2-methoxy-3,4-didehydropiperidines **E** (Scheme 2) [4].



Scheme 2. Asymmetric introduction of alkyl nucleophile (NuH) onto the 2-position of 1-protected 3,4-didehydropiperidinium ions **F**

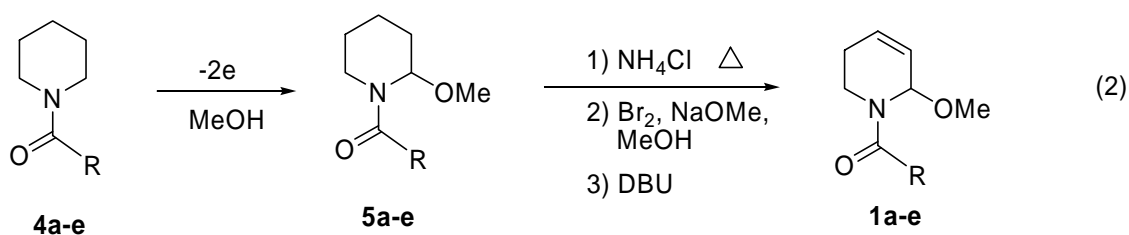
However, the highest enantioselectivity so far reported in our study was 71%e.e. in a case that dimethyl malonate (**2p**) as NuH was used toward **F**. Since then, we have surveyed both PG of **E** (R of **1a-e**) and NuH (R' of **1p-w**) to improve the %e.e. of **G** (**3ap-ez**) Eq. (1) and, as the result, succeeded in achieving 97%e.e. of **G**. This paper describes the detail of those results.



2. Results and discussion

2.1. Preparation of 1-protected 2-methoxy-3,4-didehydropiperidines **1a-e**

Substrates **1a-e** were prepared from 1-acylated piperidines **4a-e** according to the procedures indicated in Eq. (2) [5], the first step of which was electrochemical oxidation of **1a-e** in methanol to afford 2-methoxylated compounds **5a-e** [6]. The conversion of **5a-e** into **1a-e** was achieved by elimination of methanol, bromomethoxylation followed by dehydrobromination according to the reported method [5]. In a case of **1a**, the yields of **5a** and **1a** were 91% at 5F/mol and 70%, respectively.



2.2. Chiral ligands

Some known chiral bisoxazoline ligands **L1-L6** (Fig. 1) [7] were examined in the coupling reaction of **1a-e** with **2p-z**.

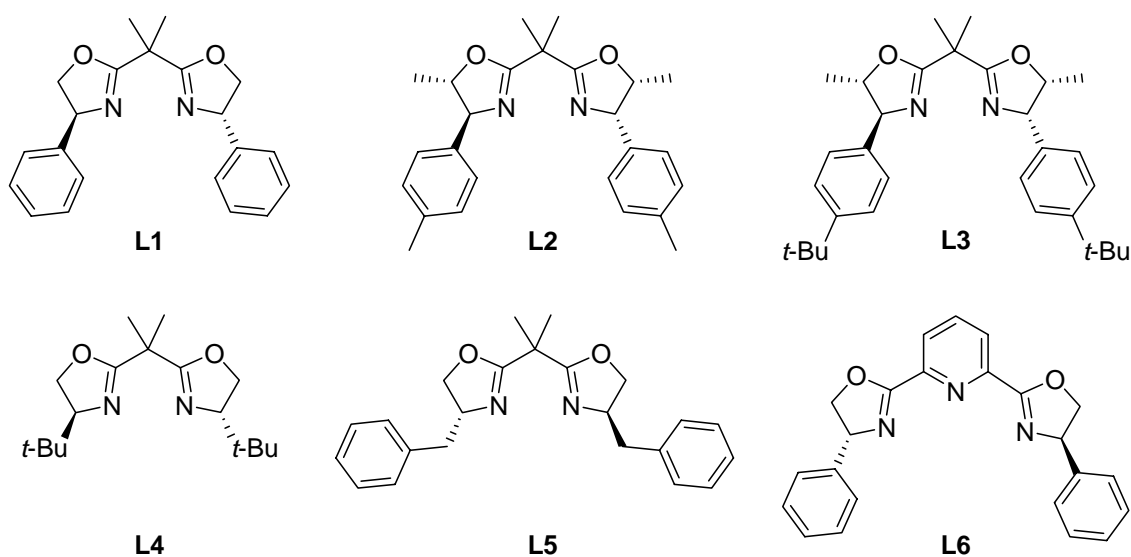
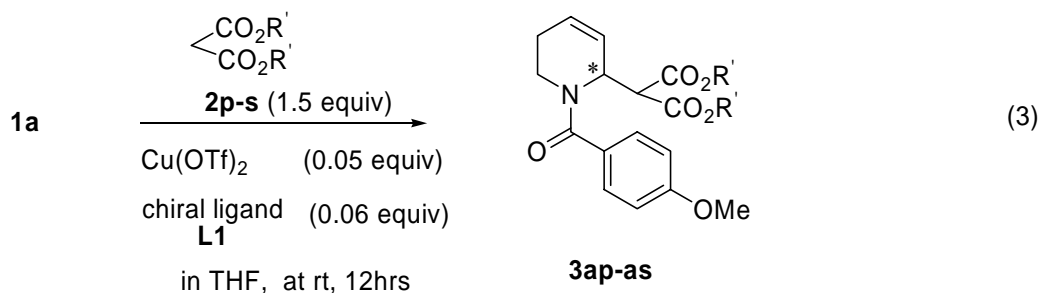


Figure 1. Bisoxazolines as chiral ligands

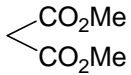
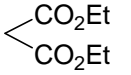
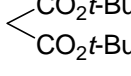
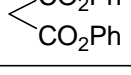
2.3. Coupling reaction of **1a** with dialkyl malonates **2p-s**

First, the coupling reaction between **1a** and dialkyl malonates **2p-s** as NuH was examined in the presence of a chiral bisoxazoline ligand **L1** Eq. (3).



The results are shown in Table 1. Although the reaction of **1a** with dimethyl malonate (**2p**) gave the coupling product **3ap** in good yield (Entry 1), using diethyl and di-*tert*-butyl malonates (**2q** and **2r**) in place of **2p** did not afford the corresponding coupling products **3aq,ar** (Entries 2 and 3). On the other hand, the coupling reaction of **1a** with diphenyl malonate (**2s**) proceeded to give the 2-substituted piperidine **3as** with higher enantioselectivity than that using **2p** (Entry 4).

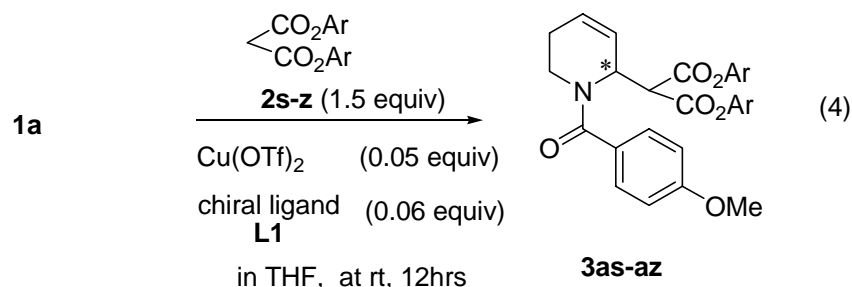
Table 1. Coupling reactions between **1a** and some malonates **2p-s**^a

| Entry | Malonic acid ester | Product | R' | Yield (%) | [%e.e.] ^b |
|-------|---|------------|--------------|-----------|----------------------|
| 1 |  2p | 3ap | Me | 78 [41] | |
| 2 |  2q | 3aq | Et | 0 [-] | |
| 3 |  2r | 3ar | <i>t</i> -Bu | 0 [-] | |
| 4 |  2s | 3as | Ph | 50 [89] | |

^a The reaction conditions: **1a** (0.5 mmol), **2p-s** (0.75 mmol), Cu(OTf)₂ (0.025 mmol), and **L1** (0.03 mmol) in THF (2.5 mL) at RT for 12hrs under nitrogen atmosphere. ^b Determined by chiral HPLC.

2.4. Coupling reaction of **1a** with diaryl malonates **2s-z**

On the basis of the results in Table 1, the coupling reaction of **1a** with bis(monosubstituted phenyl) malonates **2s-z** as NuH in the presence of a chiral bisoxazoline ligand **L1** was examined Eq. (4).



The results are shown in Table 2. Although using di-*p*-methoxyphenyl malonate (**2t**) did not afford the coupling product **3at** (Entry 2), di-*p*-methylphenyl or di-*p*-bromophenyl malonate (**2u**) or (**2v**) afforded the corresponding 2-substituted piperidines **3au** or **3av** with high enantioselectivity (Entries 3 and 4) similar to that of using **2s** (Entry 1). Di-*p*-chlorophenyl and di-*p*-fluorophenyl malonates (**2w**) and (**2x**), which were more acidic than **2s**, coupled with **1a** to give the carbon-carbon bond forming products **3aw** and **3ax** with higher enantioselectivity than **2s** (Entries 5 and 6). However, di(*m*- and *o*-chlorophenyl) malonates (**2y**) and (**2z**), which seemed to be a more bulky than **2s**, did not always work well (Entries 7 and 8).

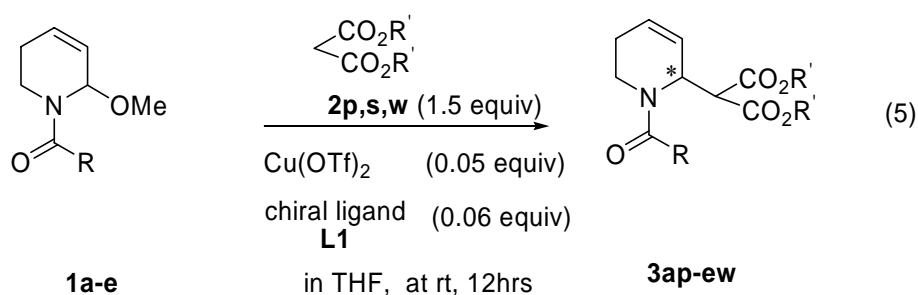
Table 2. Coupling reactions between **1a** and diaryl malonates **2s-z**^a

| Entry | Diaryl malonate Ar | | Product | Yield (%) | %e.e. ^b |
|-------|-----------------------|-----------|------------|-----------|--------------------|
| 1 | Ph | 2s | 3as | 50 | 89 |
| 2 | <i>p</i> -MeOPh | 2t | 3at | 0 | - |
| 3 | <i>p</i> -MePh | 2u | 3au | 57 | 88 |
| 4 | <i>p</i> -BrPh | 2v | 3av | 56 | 88 |
| 5 | <i>p</i> -ClPh | 2w | 3aw | 61 | 93 |
| 6 | <i>p</i> -FPh | 2x | 3ax | 59 | 92 |
| 7 | <i>m</i> -ClPh | 2y | 3ay | 30 | 90 |
| 8 | <i>o</i> -ClPh | 2z | 3az | 16 | 35 |

^a The reaction conditions: **1a** (0.5 mmol), **2s-z** (0.75 mmol), Cu(OTf)₂ (0.025 mmol), and **L1** (0.03 mmol) in THF (2.5 mL) at RT for 12hrs under nitrogen atmosphere. ^b Determined by chiral HPLC.

2.5. Coupling reaction of 1-protected 2-methoxy-3,4-dihydropiperidines **1a-e** with dimethyl or diaryl malonate (**2p** or **2s,w**)

The effect of 1-protecting group of 2-methoxy-3,4-dihydropiperidines **1a-e** on their asymmetric coupling reaction with malonates **2p,s,w** in the presence of chiral ligand **L1** was examined Eq. (5).



The results are summarized in Table 3. Enhanced enantioselectivity by using diaryl malonates **2s,w** in place of dimethyl malonate (**2p**) was observed in the reactions using 1-methoxycarbonylated, 1-benzoylated, and 1-*p*-chlorobenzoylated piperidines **1b-d**. Although an asymmetric coupling reaction of 3,4-dihydro-2-methoxy-1-methoxycarbonylpiperidine (**1b**) with **2p**, which was

prepared from 2-methoxy-1-methoxycarbonylpiperidine (**5b**) [8], proceeded with low efficiency (Entry 4), that of **1b** with **2w** afforded the coupling product **3bw** in good enantioselectivity (Entry 6). Also, the reaction of 1-benzoylated and 1-*p*-chlorobenzoylated piperidines **1c** and **1d** with **2w** as NuH gave the corresponding 2-substituted piperidines **3cw** and **3dw** in high enantioselectivities (Entries 8 and 10). The reaction of 1-phenoxy-carbonylated piperidine **1e** with **2w** afforded the coupling product **3ew** in a reasonable optical purity (Entry 11).

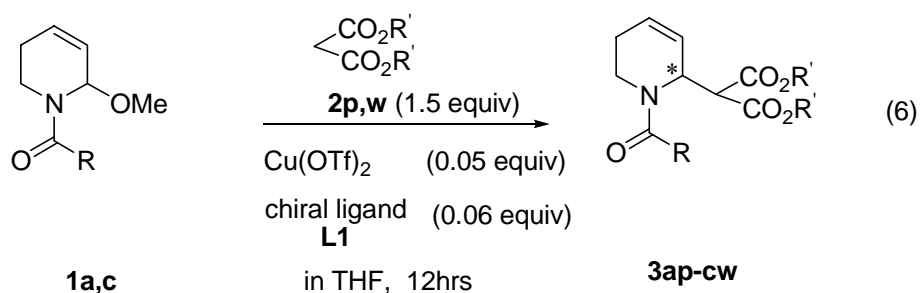
Table 3. Coupling reactions between **1a-e** and malonates **2p,s,w**^a

| Entry | Substrate R | | Malonate R ¹ | | Product | Yield (%) | %e.e. ^b |
|-------|-----------------|-----------|----------------------------|-----------|------------|-----------|--------------------|
| 1 | <i>p</i> -MeOPh | 1a | Me | 2p | 3ap | 78 | 41 |
| 2 | | 1a | Ph | 2s | 3as | 50 | 89 |
| 3 | | 1a | <i>p</i> -ClPh | 2w | 3aw | 61 | 93 |
| 4 | MeO | 1b | Me | 2p | 3bp | 36 | 21 |
| 5 | | 1b | Ph | 2s | 3bs | 48 | 49 |
| 6 | | 1b | <i>p</i> -ClPh | 2w | 3bw | 86 | 68 |
| 7 | Ph | 1c | Me | 2p | 3cp | 36 | 46 |
| 8 | | 1c | <i>p</i> -ClPh | 2w | 3cw | 51 | 94 |
| 9 | <i>p</i> -ClPh | 1d | Me | 2p | 3dp | 38 | 49 |
| 10 | | 1d | <i>p</i> -ClPh | 2w | 3dw | 71 | 91 |
| 11 | PhO | 1e | <i>p</i> -ClPh | 2w | 3ew | 73 | 77 |

^a The reaction conditions: **1a-e** (0.5 mmol), **2p,s,w** (0.75 mmol), Cu(OTf)₂ (0.025 mmol), and **L1** (0.03 mmol) in THF (2.5 mL) at RT for 12hrs under nitrogen atmosphere. ^b Determined by chiral HPLC.

2.6. Temperature effect on the coupling reaction of **1a,c** with **2p,w**

With having those data in hand, we then examined a temperature effect on an enantioselective carbon-carbon bond formation at the 2-position of **1a,c** with **2p,w** in the presence of chiral ligand **L1** Eq. (6).



The results are summarized in Table 4. Although in a case of using dimethyl malonate (**2p**) (0.75 mmol) the coupling reaction of **1a** (0.5 mmol) did not occur at all at 0°C in THF (2.5 mL) (Entry 2), the reaction between **1a** and di-*p*-chlorophenyl malonate (**2w**) proceeded well at 0°C to afford the coupling product **3aw** in 95%e.e. (Entry 4). The reaction of **1a** (5 mmol) with **2w** (7.5 mmol) in the larger scale than Entry 4 at 0°C also gave **3aw** in 97%e.e. (Entry 5), while the reactions of **1a** (0.5 mmol) with **2w** (0.75 mmol) at -20°C, and of **1c** (0.5 mmol) with **2w** (0.75 mmol) at 0°C proceeded slowly (Entries 6 and 8).

Table 4. Temperature effect on coupling reactions between **1a,c** and malonates **2p,w**^a

| Entry | Substrate R | Malonate R ¹ | Temperature | Product | Yield (%) | %e.e. ^b |
|----------------|-----------------|----------------------------|-------------|------------|-----------|--------------------|
| 1 | <i>p</i> -MeOPh | Me | RT | 3ap | 78 | 41 |
| 2 | | | 0°C | 3ap | 0 | - |
| 3 | | <i>p</i> -ClPh | RT | 3aw | 61 | 93 |
| 4 | | | 0°C | 3aw | 65 | 95 |
| 5 ^c | | | 0°C | 3aw | 57 | 97 |
| 6 | | | -20°C | 3aw | 23 | 93 |
| 7 | Ph | | RT | 3cw | 51 | 94 |
| 8 | | | 0°C | 3cw | 24 | 95 |

^a The reaction conditions: **1a,c** (0.5 mmol), **2p,w** (0.75 mmol), Cu(OTf)₂ (0.025 mmol), and **L1** (0.03 mmol) in THF (2.5 mL) for 12hrs under nitrogen atmosphere. ^b Determined by chiral HPLC.

^c The reaction conditions: **1a** (5 mmol), **2w** (7.5 mmol), Cu(OTf)₂ (0.25 mmol), and **L1** (0.3 mmol) in THF (25 mL) for 12hrs under nitrogen atmosphere.

2.7. Solvent effect on the coupling reaction of **1a** with **2w**

Solvent effect on the coupling reaction of **1a** with **2w** was examined in the presence of chiral ligand **L1**. The results are summarized in Table 5. THF afforded the best result (Entry 1), while dichloromethane, diethyl ether, toluene, ethyl acetate, and 1,2-dimethoxyethane were a little bit ineffective than THF (Entries 2–6).

Table 5. Solvent effect on the coupling reaction of **1a** with **2w**^a

| Entry | Solvent | Yield (%) of 3aw | %e.e. ^b of 3aw |
|-------|---------------------------------|-------------------------|----------------------------------|
| 1 | THF | 61 | 93 |
| 2 | CH ₂ Cl ₂ | 43 | 81 |
| 3 | Et ₂ O | 37 | 83 |
| 4 | Toluene | 63 | 88 |
| 5 | AcOEt | 51 | 82 |
| 6 | DME | 45 | 75 |

^a The reaction conditions: **1a** (0.5 mmol), **2w** (0.75 mmol), Cu(OTf)₂ (0.025 mmol), and **L1** (0.03 mmol) in solvent (2.5 mL) at RT for 12hrs under nitrogen atmosphere. ^b Determined by chiral HPLC.

2.8. Effect of chiral ligand on the coupling reaction of **1a** with **2w**

The coupling reaction of **1a** with **2w** in THF was carried out in the presence of chiral bisoxazoline ligands **L1-L6**. The results are summarized in Table 6. Among the examined chiral ligands **L1-L6** (Entries 1–4), **L1** gave the best result for **1a** to give **3aw** with 93%e.e. (Entry 1). Ligand **L2** showed almost similar effect to **L1** (Entry 2), while ligands **L3-L5** were a little ineffective than **L1** (Entries 3-5). PyBOX **L6** did not work at all (Entry 6).

Table 6. Effect of ligand on the coupling reaction of **1a** with **2w**^a

| Entry | Ligand | Yield (%) of 3aw | %e.e. ^b of 3aw |
|-------|-----------|-------------------------|----------------------------------|
| 1 | L1 | 61 | 93 |
| 2 | L2 | 72 | 92 |
| 3 | L3 | 54 | 86 |
| 4 | L4 | 52 | 71 |
| 5 | L5 | 52 | -65 ^c |
| 6 | L6 | 0 | - |

^a The reaction conditions: **1a** (0.5 mmol), **2w** (0.75 mmol), Cu(OTf)₂ (0.025 mmol), and **L1-L6** (0.03 mmol) in THF (2.5 mL) at RT for 12hrs under nitrogen atmosphere. ^b Determined by chiral HPLC.

^c Antipode of **3aw** was obtained.

2.9. Effect of Lewis acid on the coupling reaction of **1a** with **2w**

Next, we examined a variety of Lewis acid catalysts in the reaction of **1a** with di-*p*-chlorophenyl malonate (**2w**) to disclose the counter ion effect. The results are shown in Table 7.

Table 7. Effect of Lewis acid catalysts on the reaction of **1a** with **2w**^a

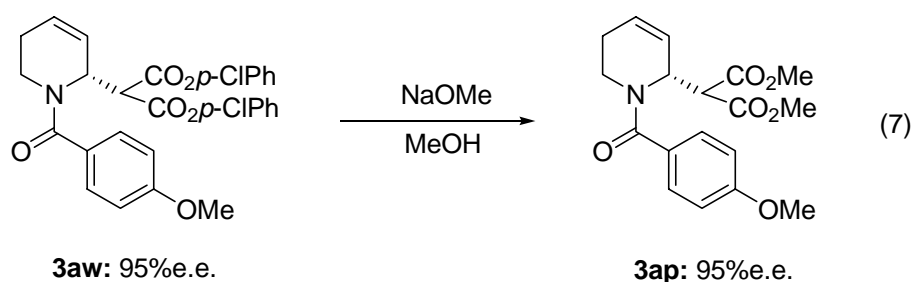
| Entry | Lewis acid | Yield (%) of 3aw | %e.e. of 3aw ^b |
|-------|------------------------------------|-------------------------|----------------------------------|
| 1 | Cu(OTf) ₂ | 61 | 93 |
| 2 | Zn(OTf) ₂ | 68 | 24 |
| 3 | Mg(OTf) ₂ | 42 | 0 |
| 4 | Sc(OTf) ₃ | trace | 8 |
| 5 | Hf(OTf) ₂ | 0 | - |
| 6 | La(OTf) ₂ | 78 | -8 ^c |
| 7 | CuCl ₂ | 0 | - |
| 8 | Cu(ClO ₄) ₂ | 58 | 87 |
| 9 | Cu(BF ₄) ₂ | 54 | 84 |
| 10 | Cu(SbF ₆) ₂ | 36 | 67 |
| 11 | Cu(PF ₆) ₂ | 0 | - |

^a The reaction conditions: **1a** (0.5 mmol), **2w** (0.75 mmol), Lewis acid (0.025 mmol), and **L1** (0.03 mmol) in THF (2.5 mL) at RT for 12hrs under nitrogen atmosphere. ^b Determined by chiral HPLC. ^c The reverse stereochemistry was observed.

Among metal trifluoromethanesulfonates, Cu(OTf)₂ gave the best result (Entry 1), while Zn(OTf)₂, Mg(OTf)₂, and La(OTf)₃ were ineffective than Cu(OTf)₂ (Entries 1-3,6). Sc(OTf)₃ and Hf(OTf)₄ did not work as the catalyst (Entries 4 and 5). Also, examined copper salts did not give better result than Cu(OTf)₂. Namely, Cu(ClO₄)₂, Cu(BF₄)₂, and Cu(SbF₆)₂ were 6~26%ee less effective than Cu(OTf)₂ (Entries 8-10), while CuCl₂ and Cu(PF₆)₂ did not work at all (Entries 7 and 11).

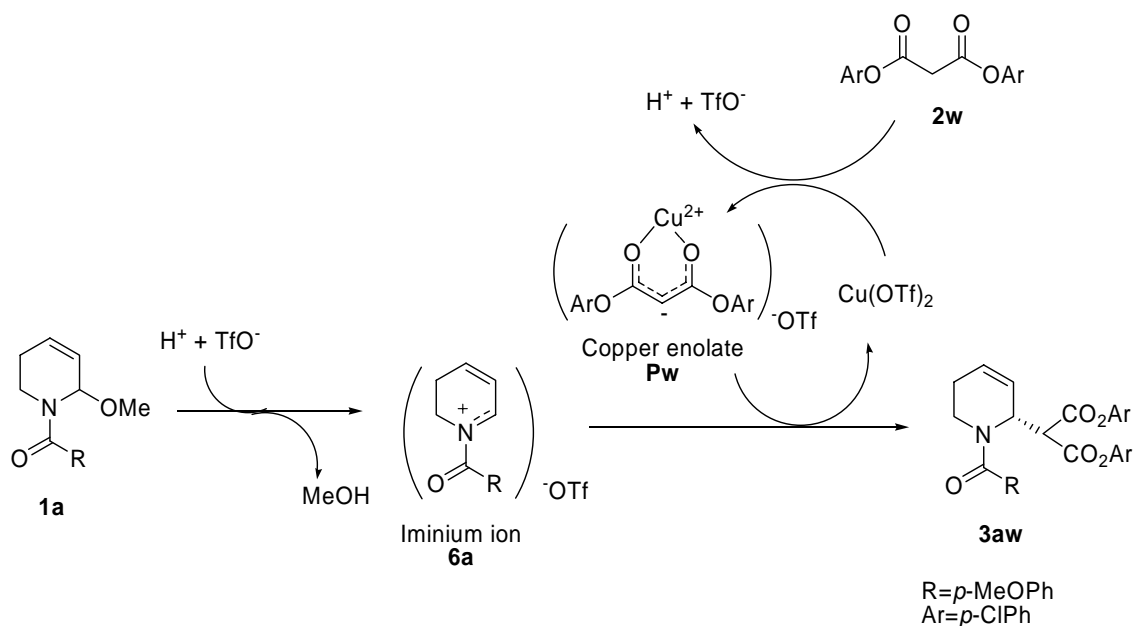
2.10. Identification of absolute stereochemistry of the coupling products

In order to propose a reaction mechanism, the absolute configuration of the coupling products was identified as shown in Eq. (7). Thus, **3aw** (95%e.e.) were easily converted by the reaction with NaOMe to **3ap** (95%e.e.) in 85% yield. The comparison of the optical rotation of **3ap** with authentic sample indicated that enantiomerically enriched isomer of **3aw** had a *R*-configuration.



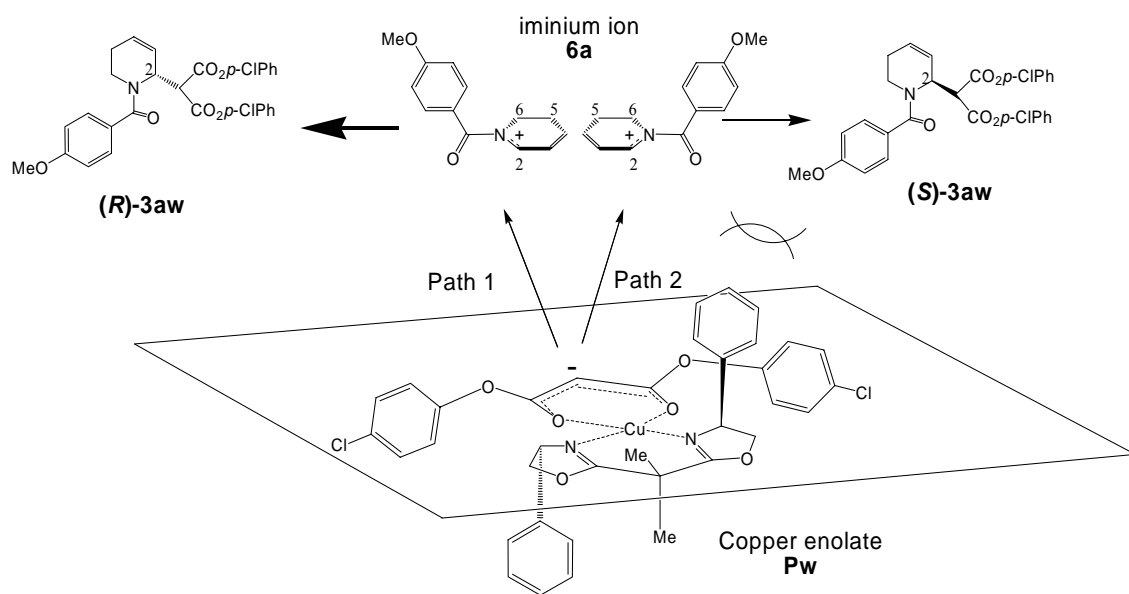
2.11. Reaction mechanism

The reaction mechanism for the coupling reaction of **1** with dialkyl malonates **2** is not clear, but it may be tentatively supposed as shown in Schemes 3-5 which are exemplified by the reaction of **1a** with **2w**. At the initiation step, a copper enolate **Pw** may be generated from **2w** and Cu(OTf)₂ with a loss of a proton which attacks on **1a** to generate an iminium ion **6a**. The iminium ion is trapped with **Pw** to afford a coupling product **3aw** with a regeneration of Cu(II). Thus, a catalytic cycle of Cu(II) for a formation of **3aw** from **1a** is achieved.

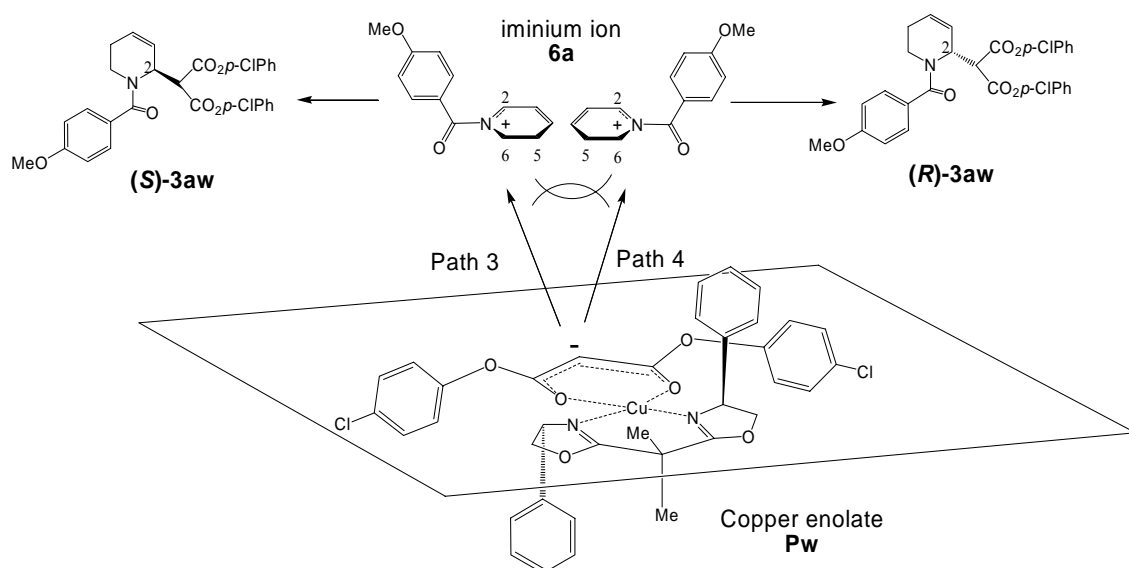


Scheme 3. A plausible reaction mechanism

The stereochemical outcome is hypothetically explainable using a mechanism described in Schemes 4 and 5, in which iminium ion **6a** approaches on a copper enolate **Pw** through four paths 1–4. Paths 1 and 2 represent approaches with minimizing an overlap between the C_{5,6} methylene groups of **6a** and **Pw** (Scheme 4), while paths 3 and 4 represent approaches in which the C_{5,6} methylene groups of **6a** overlap **Pw** (Scheme 5).



Scheme 4. Stereochemical outcome 1



Scheme 5. Stereochemical outcome 2

Among those paths, path 1 seems more likely than the other paths because of a steric repulsion between Ph group of **Pw** and an aryloyl group of **6a** in path 2 and between the C_{5,6} methylene groups of **6a** and **Pw** in paths 3 and 4.

The steric factor may be primarily important for the stereoselectivity, but the result is not always explained only by the steric factor since diaryl malonates **2s,u-x** afforded the different %e.e. of the coupling products (Entries 1,3-6 in Table 2) and more bulky **L3** gave a less stereoselective result than less bulky **L1, L2** did (Entries 1-3 in Table 6). A strength of the coordination (a tightness) between copper ion and the carbonyl oxygen in **Pw** may depend on Ar group of diaryl malonates, and it may be responsible to some extent for the stereoselectivity. Also, a substituent on the 4-phenyl group of the oxazolidine ring may affect to the tightness by its electronic or steric reason.

3. Conclusion

We have presented a facile method for asymmetric introduction of bis(alkoxycarbonyl)methyl group into the 2-position of a piperidine skeleton. The key intermediates were 2-methoxy-3,4-didehydropiperidines **1a-e**, which were prepared through electrochemical oxidation of easily available 1-protected piperidines **4a-e** in methanol. The highest enantioselectivity (97%e.e.) was observed in a coupling reaction between 1-(*p*-methoxybenzoyl)-3,4-didehydro-2-methoxypiperidine (**1a**) and di-*p*-chlorophenyl malonate (**2w**) with a catalytic amount of Cu(OTf)₂ and a chiral ligand **L1** in THF at 0°C. Further study to improve the stereoselectivity is under investigation.

4. Experimental

4.1. General

HPLC analyses were achieved by using a LC-10AT *VP* and a SPD-10A *VP* of Shimadzu Seisakusho Inc. Specific rotations were measured with Jasco DIP-1000. ¹H NMR spectra were measured on a Varian Gemini 300 spectrometer with TMS as an internal standard. IR spectra were obtained on a Shimadzu FTIR-8100A. Mass spectra were obtained on a JEOL JMS-700N instrument. Melting points are uncorrected.

All solvents were dried by standard techniques. The preparation of 2-methoxy-3,4-didehydropiperidines **1a,c,d** [4b], **1b** [3c] and chiral ligands **L2,L3** [4c] were already reported by us. Malonate **2s** [9], **2u,w** [10], **2v** [11], and **2x** [12] are known compounds. Malonates **2p-r**, chiral ligands **L1,L4-L6**, and Cu(OTf)₂, Mg(OTf)₂, Sc(OTf)₃, La(OTf)₂, Hf(OTf)₄, Zn(OTf)₂ were commercially available. Cu(PF₆)₂ and Cu(SbF₆)₂ were prepared according to the reported method [13].

4.2. Preparation of 1-phenoxy-carbonyl-2-methoxy-3,4-didehydropiperidine (**1e**)

1-Phenoxy-carbonyl-2-methoxy-3,4-didehydropiperidine (**1e**) was easily prepared by our reported procedure [3c,4b,5]. Namely, electrochemical oxidation of 1-phenoxy-carbonylpiperidine (**4e**) in methanol afforded 2-methoxylated compound **5e** [14], which was successively transformed into the corresponding enecarbamate [15] by acid-catalyzed elimination of methanol. Bromomethoxylation of the enecarbamate afforded 3-bromo-2-methoxylated compound [15], which was transformed into **1e** by a base-catalyzed elimination of hydrobromic acid.

4.2.1. 1-phenoxy-carbonyl-2-methoxy-3,4-didehydropiperidine (**1e**)

Colorless oil; IR (neat) 3044, 2936, 1736, 1651, 1593, 1424, 1368, 1235, 754 cm⁻¹; ¹H NMR (CDCl₃) δ 2.00-2.12 (m, 1H), 2.25-2.40 (m, 1H), 3.15-3.50 (m, 1H), 3.45 and 3.49 (2s, 3H), 4.18-4.28 (m, 1H), 5.50 and 5.60 (2br s, 1H), 5.80-5.88 (m, 1H), 6.00-6.15 (m, 1H), 7.12 (d, *J* = 8.1 Hz, 2H), 7.22 (t, *J* = 8.1 Hz, 1H), 7.38 (t, *J* = 8.1 Hz, 2H); HRMS (M, EI) calcd for C₁₃H₁₅NO₃ 233.1052 found 233.1042.

4.4. Preparation of diaryl malonates **2t-z**

Diaryl malonates **2t-z** were prepared from malonic acid and the corresponding phenols in the presence of POCl₃ according to a reported method [9].

4.4.1. Di-*p*-methoxyphenyl malonate (**2t**)

Pale brown solid; mp 77-80°C; IR (neat) 2950, 2840, 1767, 1752, 1514, 1472, 1300, 1186, 1102, 1034 cm⁻¹; ¹H NMR (CDCl₃) δ 3.80 (s, 6H), 3.82 (s, 2H), 6.90 (d, *J* = 9.0 Hz, 4H), 7.07 (d, *J* = 8.7 Hz, 1H); HRMS (M, EI) calcd for C₁₇H₁₆O₆ 316.0947. found 316.0929.

4.4.2. Di-*m*-chlorophenyl malonate (**2y**)

Pale brown solid; mp 67-69°C; IR (neat) 3073, 2940, 1773, 1752, 1590, 1474, 1431,

1197, 1134, 1070 cm^{-1} ; ^1H NMR (CDCl_3) δ 3.86 (s, 2H), 7.07 (d, $J=8.0\text{Hz}$, 2H), 7.20 (s, 2H), 7.26 (d, $J=8.0\text{Hz}$, 2H), 7.35 (t, $J=8.1\text{Hz}$, 2H); HRMS (M, EI) calcd for $\text{C}_{15}\text{H}_{10}\text{Cl}_2\text{O}_6$ 323.9956 found 323.9937.

4.4.3. Di-*o*-chlorophenyl malonate (**2z**)

Colorless oil; IR (neat) 3073, 2950, 1782, 1763, 1584, 1478, 1217, 1063, 752 cm^{-1} ; ^1H NMR (CDCl_3) δ 3.98 (s, 2H), 7.20-7.35 (m, 6H), 7.448 (d, $J=8.1\text{Hz}$, 2H); HRMS (M, EI) calcd for $\text{C}_{15}\text{H}_{10}\text{Cl}_2\text{O}_4$ 323.9956 found 323.9932.

4.5. Asymmetric coupling reaction of **1** with **2**: a typical experimental procedure

A solution of di-*p*-chlorophenyl malonate (**2w**) (0.75 mmol), $\text{Cu}(\text{OTf})_2$ (0.025 mmol) and **L1** (0.03 mmol) in THF (1 mL) was stirred for 5 min at room temperature under a nitrogen atmosphere. Into the solution was added a solution of **1a** (0.5 mmol) in THF. After stirring for 12 hrs, the resulting mixture was poured into aqueous NaHCO_3 (5 mL). The organic portion was extracted with AcOEt (10 mL \times 3) and dried over MgSO_4 . The resulting solution was concentrated *in vacuo*. The residue was chromatographed on silica gel (hexane/AcOEt = 5/1) to afford **3aw** (61% yield, 93%e.e.). The spectroscopic data of products **3ap, bp, cp, dp** were also described in the report [4b].

4.5.1. Di-*p*-chlorophenyl [1-(*p*-methoxybenzoyl)-3,4-didehydro-2-piperidyl]malonate (**3aw**) (93%e.e.)

Colorless oil; $[\alpha]_{\text{D}}^{25} +53.7^\circ$ ($c=0.5$, CHCl_3); IR (neat) 2934, 2840, 1752, 1624, 1608, 1487, 1429, 1304, 1250, 1192, 1134, 1090, 1015 cm^{-1} ; ^1H NMR (CDCl_3) δ 2.00-2.17 (m, 1H), 2.20-2.40 (m, 1H), 3.25-3.45 (m, 1H), 3.75-3.95 (m, 1H), 3.83 (s, 3H), 4.24 (d, $J=8.4\text{Hz}$, 1H), 5.75-5.90 (m, 1H), 6.00-6.20 (m, 2H), 6.90 (d, $J=8.7\text{Hz}$, 2H), 7.05-7.20 (m, 4H), 7.27-7.40 (m, 6H); HRMS (M, EI) calcd for $\text{C}_{28}\text{H}_{23}\text{Cl}_2\text{NO}_6$ 539.0902 found 539.0921.

The e.e. was obtained by DAICEL Chiralcel OD ($\phi 4.6\text{mm}$, 250mm) [hexane/isopropanol (5/1) (v/v), 1.0mL/min, detection at 210nm, 9min for minor enantiomer and 24min for major enantiomer.

4.5.2. Diphenyl [1-(*p*-methoxybenzoyl)-3,4-didehydro-2-piperidyl]malonate (**3as**) (89%e.e.)

Colorless oil; $[\alpha]_{\text{D}}^{22} +86.2^\circ$ ($c=0.5$, CHCl_3); IR (neat) 3044, 2936, 2840, 1752, 1628, 1512, 1493, 1427, 1304, 1250, 1186, 1136, 1026 cm^{-1} ; ^1H NMR (CDCl_3) δ 1.90-2.15 (m, 1H), 2.15-2.40 (m, 1H), 3.25-3.50 (m, 1H), 3.75-3.95 (m, 1H), 3.83 (s, 3H), 4.30 (d,

$J=7.8\text{Hz}$, 1H), 5.80-5.95 (m, 1H), 6.00-6.20 (m, 2H), 6.90 (d, $J=9.0\text{Hz}$, 2H), 7.10-7.45 (m, 12H); HRMS (M, EI) calcd for $\text{C}_{28}\text{H}_{25}\text{NO}_6$ 471.1682 found 471.1664.

The e.e. was obtained by DAICEL Chiralcel OD ($\phi 4.6\text{mm}$, 250mm) [hexane/isopropanol (5/1) (v/v), 1.0ml/min, detection at 210nm, 25min for minor enantiomer and 39min for major enantiomer.

4.5.3. *Di-p-methylphenyl [1-(p-methoxybenzoyl)-3,4-didehydro-2-piperidyl]malonate (3au)* (88%e.e.)

Colorless oil; $[\alpha]_{\text{D}}^{21} +70.9^\circ$ ($c=0.5$, CHCl_3); IR (neat) 2932, 2840, 1750, 1628, 1609, 1507, 1426, 1304, 1252, 1136, 843 cm^{-1} ; ^1H NMR (CDCl_3) δ 1.95-2.15 (m, 1H), 2.15-2.40 (m, 1H), 2.33 (s, 3H), 2.35 (s, 3H), 3.30-3.45 (m, 1H), 3.75-3.95 (m, 1H), 3.82 (s, 3H), 4.27 (d, $J=8.1\text{Hz}$, 1H), 5.80-5.90 (m, 1H), 6.00-6.20 (m, 2H), 6.89 (d, $J=8.7\text{Hz}$, 2H), 7.03 and 7.06 (2d, $J=9.0\text{Hz}$, 4H), 7.16 and 7.19 (2d, $J=9.0\text{Hz}$, 4H), 7.36 (d, $J=8.7\text{Hz}$, 2H); HRMS (M, EI) calcd for $\text{C}_{30}\text{H}_{29}\text{NO}_6$ 499.1995 found 499.1986.

The e.e. was obtained by DAICEL Chiralcel OD ($\phi 4.6\text{mm}$, 250mm) [hexane/isopropanol (5/1) (v/v), 1.0mL/min, detection at 210nm, 10min for minor enantiomer and 20min for major enantiomer.

4.5.4. *Di-p-bromophenyl [1-(p-methoxybenzoyl)-3,4-didehydro-2-piperidyl]malonate (3av)* (88%e.e.)

Colorless oil; $[\alpha]_{\text{D}}^{22} +38.6^\circ$ ($c=0.5$, CHCl_3), IR (neat) 2936, 2838, 2249, 1752, 1640, 1508, 1458, 1304, 1254, 1134, 1068, 1012 cm^{-1} ; ^1H NMR (CDCl_3) δ 2.00-2.15 (m, 1H), 2.20-2.40 (m, 1H), 3.25-3.45 (m, 1H), 3.75-3.95 (m, 1H), 3.83 (s, 3H), 4.23 (d, $J=8.3\text{Hz}$, 1H), 5.75-5.90 (m, 1H), 6.00-6.15 (m, 2H), 6.90 (d, $J=8.5\text{Hz}$, 2H), 7.00-7.15 (m, 4H), 7.32 (d, $J=8.5\text{Hz}$, 2H), 7.45-7.55 (m, 4H); HRMS (M+H, FAB) calcd for $\text{C}_{28}\text{H}_{24}\text{Br}_2\text{NO}_6$ 627.9971 found 627.9985.

The e.e. was obtained by DAICEL Chiralcel OD ($\phi 4.6\text{mm}$, 250mm) [hexane/isopropanol (5/1) (v/v), 1.0mL/min, detection at 210nm, 10min for minor enantiomer and 26min for major enantiomer.

4.5.5. *Di-p-fluorophenyl [1-(p-methoxybenzoyl)-3,4-didehydro-2-piperidyl]malonate (3ax)* (92%e.e.)

Colorless oil; $[\alpha]_{\text{D}}^{22} +110.1^\circ$ ($c=0.5$, CHCl_3); IR (neat) 3078, 2936, 2840, 1754, 1628, 1611, 1507, 1429, 1306, 1254, 1136, 1030, 843 cm^{-1} ; ^1H NMR (CDCl_3) δ 2.00-2.15 (m, 1H), 2.20-2.40 (m, 1H), 3.25-3.45 (m, 1H), 3.75-3.95 (m, 1H), 3.83 (s, 3H), 4.24 (d, $J=8.1\text{Hz}$, 1H), 5.80-5.95 (m, 1H), 6.00-6.20 (m, 2H), 6.90 (d, $J=8.7\text{Hz}$, 2H), 7.00-7.20

(m, 8H), 7.33 (d, $J=8.7\text{Hz}$, 2H); HRMS (M, EI) calcd for $\text{C}_{28}\text{H}_{23}\text{F}_2\text{NO}_6$ 507.1493 found 507.1490.

The e.e. was obtained by DAICEL Chiralcel OD ($\phi 4.6\text{mm}$, 250mm) [hexane/isopropanol (5/1) (v/v), 1.0mL/min, detection at 210nm, 9min for minor enantiomer and 22min for major enantiomer.

4.5.6. Di-m-chlorophenyl [1-(p-Methoxybenzoyl)-3,4-didehydro-2-piperidyl]malonate (3ay) (90%e.e.)

Colorless oil; $[\alpha]_{\text{D}}^{22} +61.6^\circ$ ($c=0.25$, CHCl_3); IR (neat) 3069, 2934, 2838, 1754, 1624, 1591, 1512, 1471, 1427, 1304, 1248, 1192, 1129 cm^{-1} ; $^1\text{H NMR}$ (CDCl_3) δ 2.05-2.15 (m, 1H), 2.25-2.45 (m, 1H), 3.25-3.45 (m, 1H), 3.75-3.95 (m, 1H), 3.83 (s, 3H), 4.27 (d, $J=8.0\text{Hz}$, 1H), 5.75-5.90 (m, 1H), 6.00-6.20 (m, 2H), 6.91 (d, $J=8.5\text{Hz}$, 2H), 7.05-7.40 (m, 10H); HRMS (M, EI) calcd for $\text{C}_{28}\text{H}_{23}\text{Cl}_2\text{NO}_6$ 539.0902 found 539.0912.

The e.e. was obtained by DAICEL Chiralcel OD ($\phi 4.6\text{mm}$, 250mm) [hexane/isopropanol (5/1) (v/v), 1.0mL/min, detection at 210nm, 8min for minor enantiomer and 15min for major enantiomer.

4.5.7. Di-o-chlorophenyl [1-(p-methoxybenzoyl)-3,4-didehydro-2-piperidyl]malonate (3az) (35%e.e.)

White solid; mp.143-144 $^\circ\text{C}$; $[\alpha]_{\text{D}}^{20} +38.8^\circ$ ($c=0.5$, CHCl_3); IR (neat) 2936, 2840, 1759, 1628, 1609, 1512, 1478, 1428, 1304, 1254, 1136, 1061 cm^{-1} ; $^1\text{H NMR}$ (CDCl_3) δ 2.00-2.15 (m, 1H), 2.20-2.40 (m, 1H), 3.30-3.50 (m, 1H), 3.80-3.95 (m, 1H), 3.82 (s, 3H), 4.42 (d, $J=8.7\text{Hz}$, 1H), 5.85-6.00 (m, 1H), 6.00-6.25 (m, 2H), 6.90 (d, $J=8.7\text{Hz}$, 2H), 7.20-7.50 (m, 10H); HRMS (M, EI) calcd for $\text{C}_{28}\text{H}_{23}\text{Cl}_2\text{NO}_6$ 539.0902 found 539.0920.

The e.e. was obtained by DAICEL Chiralcel OD ($\phi 4.6\text{mm}$, 250mm) [hexane/isopropanol (5/1) (v/v), 1.0mL/min, detection at 210nm, 12min for minor enantiomer and 19min for major enantiomer.

4.5.8. Diphenyl (1-methoxycarbonyl-3,4-didehydro-2-piperidyl)malonate (3bs) (49%e.e.)

Colorless oil; $[\alpha]_{\text{D}}^{21} +88.1^\circ$ ($c=0.5$, CHCl_3); IR (neat) 3044, 2955, 2840, 1752, 1701, 1591, 1491, 1447, 1410, 1300, 1188 cm^{-1} ; $^1\text{H NMR}$ (CDCl_3) δ 2.00-2.42 (m, 2H), 3.05-3.20 (m, 1H), 3.71 and 3.75 (2s, 3H), 4.10-4.42 (m, 2H), 5.25-5.42 (m, 1H), 5.98-6.10 (m, 2H), 7.14 (d, $J=7.8\text{Hz}$, 4H), 7.20-7.32 (m, 2H), 7.35-7.45 (m, 4H); HRMS (M, EI) calcd for $\text{C}_{22}\text{H}_{21}\text{NO}_6$ 395.1369 found 395.1357.

The e.e. was obtained by DAICEL Chiralcel OD (ϕ 4.6mm, 250mm) [hexane/isopropanol (10/1) (v/v), 1.0mL/min, detection at 210nm, 9min for minor enantiomer and 10min for major enantiomer.

4.5.9. *Di-p-chlorophenyl (1-methoxycarbonyl-3,4-didehydro-2-piperidyl)malonate (3bw)* (68%e.e.)

Colorless oil; $[\alpha]_D^{21} +82.2^\circ$ (c=0.5, CHCl₃); IR (neat) 2955, 1754, 1701, 1487, 1300, 1200, 1196, 1092, 1015 cm⁻¹; ¹H NMR (CDCl₃) δ 2.00-2.12 (m, 1H), 2.20-2.38 (m, 1H), 3.00-3.15 (m, 1H), 3.68 and 3.72 (2s, 3H), 4.10-4.42 (m, 2H), 5.20-5.40 (m, 1H), 5.90-6.10 (m, 2H), 7.07 (d, $J=8.8$ Hz, 4H), 7.30-7.40 (m, 4H); HRMS (M, EI) calcd for C₂₂H₁₉Cl₂NO₆ 463.0589 found 463.0570.

The e.e. was obtained by DAICEL Chiralcel OD (ϕ 4.6mm, 250mm) [hexane/isopropanol (50/1) (v/v), 1.0mL/min, detection at 210nm, 12min for minor enantiomer and 16min for major enantiomer.

4.5.10. *Di-p-chlorophenyl (1-benzoyl-3,4-didehydro-2-piperidyl)malonate (3cw)* (94%e.e.)

White solid; mp.111-113°C; $[\alpha]_D^{22} +60.0^\circ$ (c=0.25, CHCl₃); IR (neat) 2932, 1753, 1632, 1487, 1429, 1306, 1192, 1090, 1015 cm⁻¹; ¹H NMR (CDCl₃) δ 2.00-2.12 (m, 1H), 2.2.0-2.38 (m, 1H), 3.25-3.40 (m, 1H), 3.70-3.85 (m, 1H), 4.23 (d, $J=8.4$ Hz, 1H), 5.88 (br d, $J=6.9$ Hz, 1H), 6.05-6.15 (m, 2H), 7.09 (d, $J=8.9$ Hz, 2H), 7.15 (d, $J=8.9$ Hz, 2H), 7.30-7.45 (m, 9H); HRMS (M, EI) calcd for C₂₇H₂₁Cl₂NO₅ 509.0797 found 509.0786.

The e.e. was obtained by DAICEL Chiralcel OD (ϕ 4.6mm, 250mm) [hexane/isopropanol (5/1) (v/v), 1.0mL/min, detection at 210nm, 8min for minor enantiomer and 15min for major enantiomer.

4.5.11. *Di-p-chlorophenyl [1-(p-chlorobenzoyl)-3,4-didehydro-2-piperidyl]malonate (3dw)* (91%e.e.)

White solid; mp.31-33°C; $[\alpha]_D^{19} +40.3^\circ$ (c=0.25, CHCl₃); IR (neat) 2930, 1752, 1632, 1487, 1431, 1306, 1194, 1090, 1015 cm⁻¹; ¹H NMR (CDCl₃) δ 2.00-2.40 (m, 2H), 3.15-3.42 (m, 1H), 3.71 and 3.76 (2d, $J=5.4$ and 5.4Hz, 1H), 4.20 (d, $J=8.4$ Hz, 1H), 5.85 (d, $J=8.4$ Hz, 1H), 6.09 (br s, 2H), 7.10 (d, $J=9.0$ Hz, 2H), 7.14 (d, $J=9.0$ Hz, 2H), 7.20-7.52 (m, 8H); HRMS (M, EI) calcd for C₂₇H₂₀³⁵Cl₂³⁷ClNO₅ 545.0378 found 545.0394.

The e.e. was obtained by DAICEL Chiralcel OD (ϕ 4.6mm, 250mm) [hexane/isopropanol (5/1) (v/v), 1.0mL/min, detection at 210nm, 7min for minor

enantiomer and 12min for major enantiomer.

4.5.12. *Di-p-chlorophenyl (1-phenoxy carbonyl-3,4-didehydro-2-piperidyl)malonate (3ew)* (77%*e.e.*)

Colorless oil; $[\alpha]_D^{24} +89.6^\circ$ (c=0.7, CHCl₃); IR (neat) 3046, 2936, 1755, 1719, 1489, 1424, 1209, 1092, 1015 cm⁻¹; ¹H NMR (CDCl₃) δ 21.0-2.25 (m, 1H), 2.35-2.50 (m, 1H), 3.12-3.35 (m, 1H), 4.11 and 4.21 (2d, *J*=7.8 and 7.8Hz, 1H), 4.35-4.45 (m, 1H), 5.38-5.56 (m, 1H), 6.00-6.18 (m, 2H), 6.98-7.42 (m, 13H); HRMS (M, EI) calcd for C₂₇H₂₁Cl₂NO₆ 525.0746 found 525.0741.

The *e.e.* was obtained by DAICEL Chiralcel OD (ϕ 4.6mm, 250mm) [hexane/isopropanol (5/1) (v/v), 1.0mL/min, detection at 210nm, 7min for minor enantiomer and 9min for major enantiomer.

4.6. *Transformation of 3aw into (R)-3ap*

A solution of NaOMe (95 mg, 1.77 mmol) in MeOH (7 mL) was added into a solution of **3aw** (95%*ee*, 318 mg, 0.59 mmol) in MeOH (3 mL), and the resulting solution was allowed to be stirred at 0°C to room temperature. After 12 hrs, solvent of the reaction mixture was removed *in vacuo*. Into the residue was added water. The organic portion was extracted with AcOEt (10 mL \times 3) and dried over MgSO₄. The resulting solution was concentrated *in vacuo* to afford a crude (**R**)-**3ap** [1], which was purified by silica gel chromatography (hexane/AcOEt = 5/1) to afford (**R**)-**3ap** (85% yield, 95%*e.e.*). $[\alpha]_D^{25} +172.4^\circ$ (c=0.25, CHCl₃).

The *e.e.* was obtained by DAICEL Chiralcel OD (ϕ 4.6mm, 250mm) [hexane/isopropanol (9/1) (v/v), 1.0mL/min, detection at 210nm, 41min for (*S*)-**3ap** and 53min for (*R*)-**3ap**.

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