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CASE REPORT

# Expression of alpha smooth muscle actin in living donor liver transplant recipients

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Telephone: +81-95-8197316 Fax: +81-95-8197319 Received: October 22, 2013 Revised: December 31, 2013

Accepted: February 26, 2014 Published online: June 14, 2014 increase over time in three adult transplanted patients with liver fibrosis, and the  $\alpha\textsc{-SMA-positive}$  area was increasing even during the pre-fibrotic stage in these adult cases, according to a retrospective review. Therefore,  $\alpha\textsc{-SMA}$  could be a useful marker for the detection of early stage fibrosis.

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**Key words:** Living donor liver transplantation; Liver fibrosis; Immunosuppressant therapy; Alpha smooth muscle actin; Hepatic stellate cells

Core tip: The primary finding presented in this case report is that there is that the cessation of immunosuppressant therapy may promote liver fibrosis in patients after liver transplantation, even though normal liver function is maintained. In addition, the alpha smooth muscle actin ( $\alpha$ -SMA)-positive area increased during the pre-fibrotic stage. Therefore,  $\alpha$ -SMA may serve as a useful marker to detect early stage fibrosis.

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#### **Abstract**

Recently, there have been reports from liver biopsies that showed the progression of liver fibrosis in liver transplant patients after the cessation of immunosuppression. Herein, we focused on activated hepatic stellate cells expressing alpha smooth muscle actin ( $\alpha$ -SMA) to understand the correlation between immunosuppressant medication and liver fibrosis. The study enrolled two pediatric patients who underwent living donor liver transplantation and ceased immunosuppressant therapy. The number of  $\alpha$ -SMA-positive cells in the specimens obtained by liver biopsy from these two patients showed a three-fold increase compared with the number from four transplanted pediatric patients who were continuing immunosuppressant therapy. In addition, the  $\alpha$ -SMA-positive area evaluated using the Win-RooF image processing software program continued to

#### INTRODUCTION

Liver transplantation is an established treatment for hepatic failure. Recent developments in surgical techniques, anesthesia and perioperative management have contributed to a decrease in early mortality after liver transplantation. However, the mortality in patients with chronic hepatic failure has remained unchanged<sup>[1]</sup>. Some of the causes of



the poor prognosis for these patients include renal disorders, vascular disorders, malignant tumors, and the use of immunosuppressant medication<sup>[2-4]</sup>. Therefore, a reduction in such medication may reduce the mortality rate<sup>[5]</sup>.

Despite many reports describing patients who have acquired immune tolerance [6,7], the characteristics of patients with immune tolerance are still unknown [8]. Clinical immune tolerance refers to the state of maintaining normal organ graft function even after the cessation of immunosuppressant medication [9,10]. In practice, the cessation of immunosuppressant medication varies depending on each patient's condition and must be individualized; although some patients have a favorable postoperative course and can successfully achieve a reduction of immunosuppressant medication, other patients have no choice but to stop the treatment, such as in the case of infection with the Epstein-Barr virus (EBV). The probability of adult patients acquiring immune tolerance has been reported to be 8%-33% [11-18], and this rate has been suggested to be much higher in pediatric patients

However, liver transplant recipients with no abnormalities in hepatic function after the cessation of immunosuppressant medication have recently been reported to developed hepatic fibrosis, with the hepatic fibrosis improving after resumption of the medication<sup>[21]</sup>. Therefore, there is a need to understand the mechanism(s) of hepatic fibrosis induced by withdrawal of immunosuppression. We have herein focused on hepatic stellate cells (HSCs), which may be involved in hepatic fibrosis. HSCs constitute a large portion of the hepatic interstitium, representing 5%-8% of the total number of liver cells<sup>[22]</sup>. In the healthy liver, HSCs are quiescent, but can be activated by factors, including TGFβ1 and IFNy, that are released by Kupffer cells (KC) and T cells after injury or stimulation [22,23]. The appearance of alpha smooth muscle actin (α-SMA) in the activated HSCs can be detected using  $\alpha$ -SMA immunostaining<sup>[24]</sup>. Activated HSCs undergo apoptosis at sites of acute inflammation but induce sinusoidal sclerosis, leading to the development of sinusoidal portal hypertension at sites of chronic inflammation. The activated HSCs have also been suggested to be responsible for the expression of type I collagen and the progression of fibrosis<sup>[25]</sup>. We therefore predict that an immune response may cause fibrosis in patients who have discontinued immunosuppressant medication, however the mechanism underlying this response remains to be determined.

We performed immunohistological analysis to determine the mechanism underlying the fibrosis associated with immunosuppressant medication in two pediatric patients who were doing well with good graft function without immunosuppression for several years after receiving living donor liver transplantation (LDLT).

# **CASE REPORT**

#### **Patients**

A total of 163 patients underwent LDLT in our depart-

ment from August 1997 to May 2012. Among them, 12 were pediatric patients who were less than 18 years of age, and 2 of these pediatric patients had ceased immunosuppressant medication for a long period. One patient was an 18-year-old male who underwent LDLT for biliary atresia (BA) at 5-years of age. In this case, immunosuppression (IS) was stopped according to the weaning protocol because of his good condition 68 mo after the LDLT. Another patient was an 11-year-old female who underwent LDLT for BA at 11-mo of age. Her IS was stopped non-electively because of EBV infection 3 mo after the LDLT. A total of eight liver biopsies were performed in these two patients. As a control, this study also included four pediatric patients who did not have hepatic function abnormalities or fibrosis and continued their immunosuppressant medication (no-tolerance cases). To examine whether the findings in these pediatric cases were also relevant to adult patients with fibrosis, three randomly selected patients with liver fibrosis not due to hepatitis C were evaluated.

Specimens were collected by ultrasound-guided core needle biopsy. Each specimen was stained with hematoxylin eosin, and the severity of fibrosis was determined using Ishak's modified staging system<sup>[26]</sup>. The evaluation of each specimen was conducted blindly by two pathologists.

#### *Immunohistochemistry*

Four-micrometer-thick sections, cut from formalin-fixed, paraffin-embedded tissues, were immunohistochemically stained for SMA, CD68, and CD79 $\alpha$ . The following primary antibodies and a staining kit [MAX-PO (MULTI), Nichirei Corporation, Tokyo, Japan] containing peroxidase-labeled -secondary antibodies were used: anti-alpha-SMA (Nichirei; Code 412021), anti-CD68 (Dako, Tokyo, Japan; Code M0814), and anti-CD79 $\alpha$  (Dako; Code N162830). The immunostaining was performed according to the manufacturer's instructions.

# Histology score-based semiquantitative analysis

A semiquantitative analysis was performed by light microscopy at × 100 magnification, and the number of positively immunostained cells was calculated in five arbitrarily selected fields of view.

#### Computer-assisted semiquantitative analysis

The tissues in the  $\alpha$ -SMA-stained area were subjected to objective semiquantitative analysis using the WinROOF image processing software program (MITANI Corporation, Tokyo, Japan). The ratio of the positive area in the specimen to the total area was calculated.

# Immunohistochemistry in pediatric patients with immune tolerance

The number of cells in five randomly selected fields of view (Table 1) that were  $\alpha$ -SMA-positive was 250.5  $\pm$  102.8 (mean  $\pm$  SD) in the two pediatric patients with tolerance, whereas the count was 69.6  $\pm$  67.7 in the four pediatric cases without tolerance. The numbers of



Table 1 Characteristics of patients in tolerance cases and no tolerance cases

Tolerance cases										
Pediatric Cas	e Age at LDLT	Sex	Original disease	Duration of biopsy after	Fibrosis	Positive area	Number of positive cells/5			
				cessation of IS	(F Stage)	of α-SMA (%)	α-SMA	CD68	CD79α	
1	5 yr	M	BA	2 yr	0	2.10	377	395	19	
(Period of IS: 5 yr 8 mo)				5 yr 4 mo	0	2.03	250	194	3	
				6 yr 4 mo	0	2.26	147	422	3	
				7 yr 4 mo	0	2.95	243	500	10	
2	11 mo	F	BA	4 yr 9 mo	0	1.53	91	264	20	
(Period of IS: 3 mo)				7 yr 9 mo	0	2.49	257	552	16	
				8 yr 9 mo	1	2.69	398	490	27	
				9 yr 9 mo	1	2.76	241	369	21	
						$2.3 \pm 0.46$	250.5 ± 102.8	3 398.2 ± 121.6	$14.8 \pm 8.7$	
No tolerance	cases									
Pediatric Cas	e Age at LDLT	Sex	Original disease	Duration of biopsy after	Fibrosis	Positive area	Number	per of positive cells/5 fields		
	Ü		Ü	LDLT	(F Stage)	of α-SMA (%)	α-SMA	CD68	CD79α	
3	11 yr	F	BA	1 yr	0	0.02	9	508	12	
	•			2 yr	0	1.86	134	446	14	
4	10 mo	M	BA	1 yr	0	0.47	1	332	8	
				1 yr 6 mo	0	0.32	2	424	10	
				2 yr	0	0.83	107	525	9	
5	7 yr	M	BA	1 yr	0	1.08	178	537	0	
	•			1 yr 6 mo	0	0.63	153	637	7	
6	6 yr	F	BA	2 yr	0	0.38	15	92	12	
	•			8 yr	0	0.70	37	202	16	
				13 yr	0	1.26	60	432	15	
				,		$0.75 \pm 0.53$	69.6 ± 67.7	413.5 ± 164.2	10.3 ± 4.6	
								(mean ± SD)		
								, ,		

LDLT: Living donor liver transplantation; IS: Immunosuppression; α-SMA: Alpha smooth muscle actin; BA: Biliary atresia; M: Male; F: Female.

CD68/CD79 $\alpha$ -positive cells in the cases with and without tolerance were 398.2  $\pm$  121.6/14.8  $\pm$  8.7 and 413.5  $\pm$  164.2/10.3  $\pm$  4.6, respectively.

In addition, the number of  $\alpha$ -SMA-positive cells was 227.5  $\pm$  99.0 in the tolerant patients with F0 stage fibrosis, which was higher than that in the patients without tolerance. The  $\alpha$ -SMA-positive area ratio was also calculated using the WinROOF software program. The  $\alpha$ -SMA-positive area ratio in the patients without tolerance with any fibrotic stage was 2.3%  $\pm$  0.46%; it was 2.2%  $\pm$  0.47% in cases with fibrotic stage F0 and 0.75%  $\pm$  0.53% in the no-tolerance patients (all patients with fibrotic stage F0). Accordingly, even among patients with no findings of fibrosis, the  $\alpha$ -SMA area ratio was higher in patients with tolerance than in those without tolerance.

#### Degree of $\alpha$ -SMA staining in LDLT cases with fibrosis

The  $\alpha$ -SMA-positive area ratio was calculated for adult patients with fibrosis using the WinROOF software program. Liver specimens obtained from a total of 10 liver biopsies in fibrosis Cases 1 to 3 were subjected to the analysis (Figure 1). Figure 1A shows the timing of the biopsies, fibrosis grade, and  $\alpha$ -SMA-positive area ratio. The  $\alpha$ -SMA-positive area continued to increase over time in all patients, and the  $\alpha$ -SMA-positive area also increased in all patients even when they were in the pre-fibrotic stage (arrowhead).

The  $\alpha$ -SMA-positive area ratio in adult patients with fibrosis was also evaluated based on the fibrosis stage. The area ratio was 1.1%  $\pm$  0.5% in the F0-1 stage and

 $4.6\% \pm 1.2\%$  in the F4 stage. The  $\alpha$ -SMA area ratio was higher in the F0-1 stages than in the F4 stage (Figure 1B).

The  $\alpha$ -SMA-positive area continued to increase over time in the pediatric patients with tolerance. Pediatric Case 1 showed F0 fibrosis in the liver at all time points, whereas pediatric Case 2 showed a slight progression of fibrosis (F1) eight years after the cessation of the immunosuppressant treatment (Figure 2). However, there were no significant increases in the  $\alpha$ -SMA-positive area in the pediatric cases without tolerance (Figure 3).

## DISCUSSION

Immune tolerance is the ultimate goal of transplantation, and many transplant patients have been reported to have ceased immunosuppressant medication for a long period while maintaining a favorable clinical course in clinical practice<sup>[6,7]</sup>. However, hepatic fibrosis has been reported to have developed in transplant patients who have ceased immunosuppressant medication<sup>[21]</sup>, and there are some concerns over whether the cessation of immunosuppressive treatment leads to fibrosis. We performed a histological analysis in patients who had ceased immunosuppressant medication and examined the impact of the cessation on the liver graft.

As shown by the findings of this and previous studies<sup>[23]</sup>, the expression of  $\alpha$ -SMA increases with the progression of hepatic fibrosis because liver injury that is caused by hepatic viruses or medication allows T cells and Kupffer cells to release PDGF, IGF-I, TGF- $\beta$ , activated



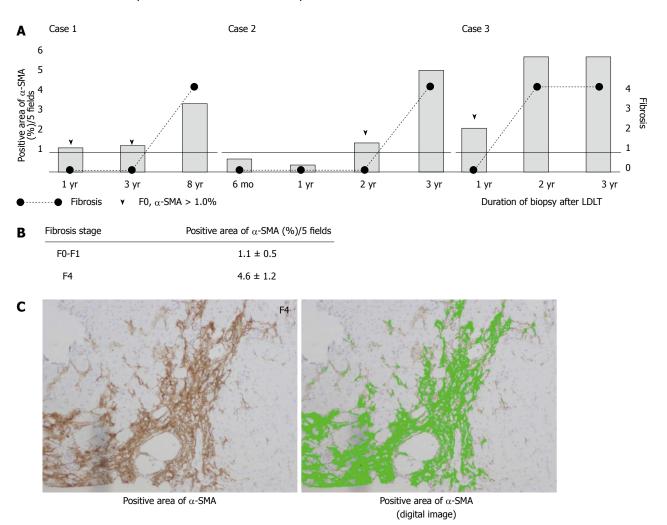


Figure 1 Changes in alpha smooth muscle actin expression in adult patients with fibrosis. A: The  $\alpha$ -smooth muscle actin (SMA)-positive area continued to increase over time in all the patients, even when they were in the pre-fibrotic stage (arrow head); B: The  $\alpha$ -SMA positive area ratio in the patients with fibrosis was calculated based on the fibrosis stage. The  $\alpha$ -SMA area ratio was higher in the patients with F0-1 fibrosis than in those with F4 fibrosis; C: The photograph on the left shows the  $\alpha$ -SMA staining in a representative case with F4 fibrosis. The photograph on the right shows a WinRoof digital image, with green corresponding to the area of  $\alpha$ -SMA-positive staining.  $\alpha$ -SMA: Alpha smooth muscle actin; LDLT: Living donor liver transplantation.

oxygen, lipid peroxide, and  $\alpha$ -SMA into the cytoplasm of HSCs. Subsequently, type I collagen is produced and fibrosis develops<sup>[24]</sup>. Therefore, it is supposed that the  $\alpha$ -SMA level is increase in the pre-fibrotic stage, although there have been no reports on the correlation between the  $\alpha$ -SMA expression level and fibrotic changes.

Here, we investigated the association between  $\alpha$ -SMA expression and the fibrotic stage in patients with hepatic fibrosis. An increase in  $\alpha$ -SMA over time was observed in all patients with fibrosis, suggesting that there is a correlation between the progression of fibrosis and the increase in  $\alpha$ -SMA. Furthermore, all patients with fibrosis had increased  $\alpha$ -SMA expression at the pre-fibrotic stage. In the current study, in two cases of tolerance, the progression of fibrosis remained low, although the ratio of  $\alpha$ -SMA-positive areas remained high in both cases. However, Yoshitomi *et al*<sup>21</sup> reported that liver fibrosis progression in tolerant patients was higher than that in nontolerant patients. Therefore, we propose that  $\alpha$ -SMA may be a potential marker of the progression of liver fibrosis. Thus, we should continue to follow these two cases care-

fully to ensure that the progression of fibrosis is not missed. Because this study was a retrospective analysis and the time of biopsy varied for the individual patients, periodic follow-up examination will be required to evaluate and support this hypothesis. These findings suggest that a routine protocol biopsy could be an important tool to understand the dynamic state of  $\alpha$ -SMA in detail.

These assumptions suggest that the possibility of developing fibrosis is higher in tolerant patients than in patients who continue immunosuppression, because  $\alpha$ -SMA expression was consistently high in the tolerant patients in this study. In addition, the expression of  $\alpha$ -SMA gradually increased in the tolerant patients, indicating that care should be taken in future follow-ups for these patients to ensure that their liver function does not deteriorate.

The liver is known to be the organ most susceptible to immune tolerance compared with other organs, and phenomena in different animal species have been observed that demonstrate that the major histocompatibility complex was successfully engrafted without immunosuppressant medication after an allogeneic liver

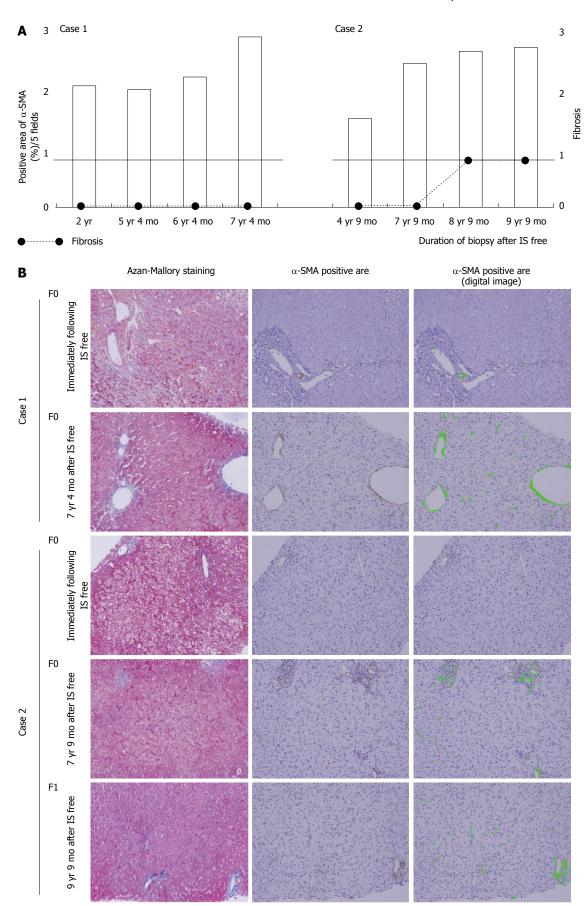


Figure 2 Change in alpha smooth muscle actin expression in the two pediatric cases with immune tolerance. A: The  $\alpha$ -SMA-positive area continued to increase over time in both cases. Case 1 showed F0 fibrosis in the liver at all time points, whereas Case 2 showed a slight progression of fibrosis (F1) eight years after the cessation of immunosuppressive treatment; B: The findings of Azan-Mallory staining and the  $\alpha$ -SMA-positive area determined by immunohistochemical analysis are shown.  $\alpha$ -SMA: Alpha smooth muscle actin; IS: Immunosuppression.



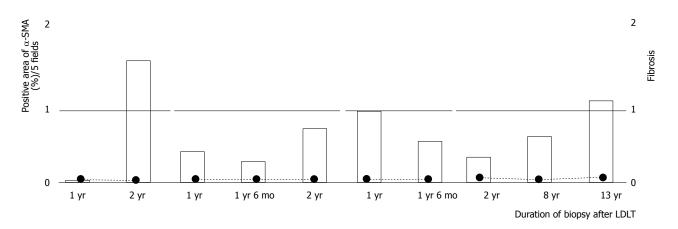


Figure 3 Changes in alpha smooth muscle actin expression in the four pediatric cases that continued immunosuppression. There was no clear increase in the  $\alpha$ -SMA-positive area.  $\alpha$ -SMA: Alpha smooth muscle actin; LDLT: Living donor liver transplantation.

transplant<sup>[27,28]</sup>. The effects of immunosuppressive factors produced in the liver and the correlations among antigen-presenting cells in the transplanted liver, including Kupffer cells, sinusoidal endothelial cells, and recipient-derived T cells, are believed to be involved in this immune tolerance. In addition, there have been some studies in mice showing that T cells became unresponsive to the antigen presented from sinusoidal endothelial cells of the specific donor type<sup>[29,30]</sup>. A treatment strategy leading to the acquisition of immune tolerance is considered to be important for human liver transplantation to prevent damage to hepatic sinusoidal endothelial cells.

CD68 (KCs) and CD79 $\alpha$  (T cells) were immunostained to search for factors related to fibrosis in patients with and without immune tolerance, but there were no significant differences in either KCs or T cells. The major factor determining the progression of fibrosis in patients with immune tolerance still remains unknown, and predictors for the development of tolerance are also unknown. Therefore, we confirm that liver fibrosis staging assessed by biopsy is the main parameter influencing the treatment course.

A previous study indicated that calcineurin inhibitors (CNIs) may inhibit the activity of HSCs and the progression of fibrosis [21], but convincing evidence has not yet been provided. However, there is a good possibility that the cessation of immunosuppressant medication may cause a certain degree of rejection without abnormal hepatic function or histological rejection. There were also no differences in  $\alpha$ -SMA expression between hepatitis C virus-infected patients with and without liver transplants [23]. In addition, CNIs administration may not always inhibit  $\alpha$ -SMA expression if there is an infectious background. In addition, the infiltration of inflammatory cells stimulates the expression of pre-fibrotic growth factors [31], and inflammatory cells and activated HSCs are

actually mixed in patients with chronic hepatic dysfunction<sup>[32]</sup>. Therefore, controlling inflammation is considered to inhibit the progression of fibrosis regardless of the use of immunosuppressant medication.

When deciding whether to resume immunosuppressant medication, it is important to determining whether the progression of fibrosis is due to an antigen response is important [33]. However, the factors associated with an increase in  $\alpha$ -SMA were not determined in the present study in the two pediatric patients, who had most likely acquired immune tolerance. Immunosuppressant medication has not been resumed in these two pediatric patients because they have not shown clear abnormalities in liver function. However, we are performing a strict follow-up regime for both patients to determine whether they will continue to have a good long-term prognosis.

#### **COMMENTS**

#### Case characteristics

An 18-year-old male with a history of living donor liver transplantation (LDLT) for biliary atresia with no symptoms and an 11-year-old female with a history of LDLT for BA with no symptoms.

#### Clinical diagnosis

Immune tolerant state for a long period of time post-LDLT.

## Differential diagnosis

Progression of liver fibrosis

# Laboratory diagnosis

The result of the liver function and all other tests were within normal limits.

#### Imaging diagnosis

In the imaging examinations, morbid findings were not detected.

#### Pathological diagnosis

In one patient, slight liver fibrosis was revealed by liver biopsy 9 years after the cessation of immunosuppressive therapy.

#### Experiences and lesson

This case report suggested that alpha smooth muscle actin may be a predictor of liver fibrosis; however, this assumption needs further validation from additional cases.



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#### Peer review

This article provides possibility that the cessation of immunosuppressive therapy causes liver fibrosis.

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