

1 **Original article**

2 Fluoroquinolone resistance in extended-spectrum β -lactamase-producing *Klebsiella*
3 *pneumoniae* in a Japanese tertiary hospital: Silent shifting to CTX-M-15-producing *K.*
4 *pneumoniae*

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11 **Running title:** CTX-M-15-producing *K. pneumoniae* in Japan

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19

20 **ABSTRACT**

21

22 **Purpose** Fluoroquinolone resistance (FQ-r) in extended-spectrum β -lactamase
23 (ESBL) producers is an urgent health concern in countries where ESBL-producing *K.*
24 *pneumoniae* (ESBL-Kpn) is prevalent. We investigated FQ-r in Japan where ESBL-Kpn is
25 less prevalent

26 **Methodology** Clinical ESBL-Kpn isolates from 2011 to 2013 were collected in Nagasaki
27 University Hospital. The ESBL genotypes included CTX-M-15, and the mechanisms of FQ-r
28 through plasmid-mediated quinolone resistance (PMQR) and mutations in quinolone
29 resistance-determining regions (QRDRs) were examined. Clonality was analyzed by
30 enterobacterial repetitive intergenic consensus (ERIC)-PCR and multi-locus sequence
31 typing was performed on selected isolates.

32 **Results** Thirty ESBL-Kpn isolates, including 7 levofloxacin-resistant isolates, were
33 obtained from different patients. An increase in CTX-M-15-producing strains was observed
34 during the study period (0/11 in 2011, 3/8 in 2012, and 5/11 in 2013). PMQR was detected in
35 53.3% of the isolates and *aac-(6')-Ib-cr* was the most common (36.7%). ST15 was observed
36 in 60.0% of the isolates, and for the most predominant ERIC-PCR profiles, 62.5% of the
37 isolates possessed the CTX-M-15 genotype and 71.4% were levofloxacin-resistant.
38 Levofloxacin-resistance was significantly more common in CTX-M-15 isolates (62.5%)

39 compared to non-CTX-M-15 isolates (9.1%). Three QRDR mutations and *aac(6')-Ib-cr*, but
40 not *qnrB* and *qnrS*, were significantly enriched in the CTX-M-15 isolates (100.0%) compared
41 to the non-CTX-M-15 isolates (13.6%).

42 **Conclusion** Cumulatively, these results indicate that the epidemic strain, the
43 CTX-M-15-producing *K. pneumoniae* ST15, is covertly spreading even when
44 ESBL-producers are not prevalent. Monitoring these epidemic strains and ESBLs in general
45 is important for quickly identifying health crises and minimizing future risks from FQ-r
46 ESBL-Kpn.

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48

49 **KEY WORDS**

50

51 plasmid-mediated quinolone resistance; quinolone resistance-determining regions;

52 CTX-M-1; ST15; ST551

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54 INTRODUCTION

55

56 Extended-spectrum β -lactamases (ESBLs) are enzymes produced mainly by the
57 Enterobacteriaceae family. The genes encoding ESBL can be transmitted via plasmids, and
58 the expansion of ESBL-producing microbes is a global concern. *Klebsiella pneumoniae* is a
59 major ESBL-producing pathogen, as well as *Escherichia coli*. *K. pneumoniae* causes severe
60 infections, such as pneumonia, urinary tract infections, and sepsis. The worldwide
61 prevalence of ESBL-producing *K. pneumoniae* (ESBL-Kpn) has dramatically increased
62 since the description of this resistance in the early 1980s. However, there are some
63 variations in both the prevalence and predominant ESBL genes found in strains of *K.*
64 *pneumoniae* in different countries [1].

65 Specific ESBL-encoding genes and clones are involved in the global dissemination
66 of ESBL. Classically, SHV and TEM were major ESBL-encoding genes. However, CTX-M
67 genes, including the CTX-M-1, CTX-M-2, and CTX-M-9 groups, have become more
68 common ESBL genes associated with *E. coli* and *K. pneumoniae* [1]. In particular,
69 CTX-M-15, a CTX-M-1 group of ESBLs, has been recognized as an epidemic-related ESBL.
70 Of note, most CTX-M-15-producing *E. coli* belong to a clone called ST131, and these are
71 closely associated with fluoroquinolone resistance (FQ-r) [2]. CTX-M-15 has also been
72 found in *K. pneumoniae*, and may be sporadically associated with multi-drug resistance and

73 some specific sequence types of bacteria [3, 4]. Furthermore, the relationship between
74 CTX-M-15 and FQ-r is not well understood.

75 Fluoroquinolones are alternative antibiotics for patients with ESBL-Kpn infections.
76 Fluoroquinolones target DNA gyrase and topoisomerase IV, which are encoded by *gyrA* and
77 *parC*, respectively. Two mechanisms for quinolone resistance are the acquisition of
78 plasmid-mediated quinolone resistance (PMQR) genes (such as *aac(6')-Ib-cr* and *qnr*) and
79 spontaneous mutations in the quinolone resistance-determining regions (QRDRs) of the
80 *gyrA* and *parC* genes. Acquisition of *qnr* or *aac(6')-Ib-cr* genes can reduce susceptibility to
81 fluoroquinolone by protecting DNA gyrase from the drug's effects or by triggering
82 N-acetylation of piperazinyl amine residues [5], respectively. *K. pneumoniae* is normally
83 highly sensitive to quinolone antibiotics; however, quinolone-resistant ESBL producers are
84 emerging through these aforementioned mechanisms [6-8].

85 In the United States and many European and Asian countries (except Japan), more
86 than 20% of *K. pneumoniae* strains were found to be ESBL-positive [9]. In contrast, Japan
87 had a low prevalence [10, 11], and our previous study conducted in a Japanese tertiary
88 hospital revealed that while ESBL-Kpn constituted only 2.8% of clinical isolates, they are on
89 the rise [12]. Similarly, 4-5% of *K. pneumoniae* identified in Canada and Australia were ESBL
90 producers [9]. For countries with a low prevalence of ESBL-Kpn, monitoring these strains
91 and subsequent FQ-r is critical for controlling current and future infections. Therefore, we

92 studied phenotypically-identified ESBL-Kpn in microbiology laboratory of our hospital,
93 focusing on CTX-M-15 isolates and their resistance to fluoroquinolone.

94

95 **MATERIALS AND METHODS**

96

97 Collection of clinical isolates

98 This study was conducted at Nagasaki University Hospital, which is a tertiary
99 hospital with 861 beds. Hospital microbiology laboratory databases from 2011 to 2013 were
100 reviewed, and clinical isolates of *K. pneumoniae* were analyzed for bacteriological and
101 molecular epidemiology. The identification, antimicrobial susceptibilities and ESBL
102 production were examined using BD Phoenix™ Automated Microbiology System (BD
103 Diagnostic Systems, Sparks, MD), as described previously [12]. The MIC values of
104 levofloxacin, cefotaxime and gentamicin were determined according to the Clinical and
105 Laboratory Standards Institute M100-S23 [13]. FQ-r was determined using the MIC values of
106 levofloxacin because the MIC of levofloxacin was routinely measured throughout the study
107 period. The number of phenotypically-identified ESBL-Kpn in 2011, 2012, and 2013 was 11,
108 8, and 11, respectively.

109

110

111 Extraction of plasmids and DNA

112 All isolates from patients had been preserved through a freeze-drying process. We
113 re-cultured all ESBL-Kpn isolates obtained from 2011 to 2013. Plasmids were extracted
114 using a boiling method [12]. Briefly, a few colonies were suspended in 1000 µL of Tris-EDTA
115 buffer (pH 8.0), boiled for 10 min, and subsequently centrifuged for 5 min at 12000 rpm. The
116 supernatant containing each plasmid was transferred to a new tube. For total DNA extraction,
117 the DNeasy Blood & Tissue Kit (QIAGEN, Hilden, Germany) was used according to the
118 manufacturer's instructions.

119

120 Genotyping of ESBL

121 PCR genotyping of ESBL plasmids was performed using 6 sets of previously
122 published primers to amplify type-specific ESBL genes, including those of the CTX-M-1,
123 CTX-M-2, CTX-M-9, TEM, SHV groups, and CTX-M-15 [14, 15]. PCR thermal cycling
124 conditions were as follows: 1 cycle of 95°C for 10 min; 30 cycles of 95°C for 40 sec, 60°C for
125 40 sec, and 72°C for 1 min; and 1 cycle of 72°C for 7 min. The PCR products were run on a
126 2% agarose gel and visualized by staining with ethidium bromide.

127

128 Screening for *qnr* and *aac(6')-Ib-cr* genes

129 PMQRs including *qnrA*, *qnrB*, *qnrS*, and *aac(6')-Ib-cr* were detected, as previously

130 reported [16]. Briefly, *qnr* genes were amplified using multiplex PCR, and *aac(6')-Ib-cr* was
131 distinguished from *aac(6')-Ib* using pyrosequencing to discern two single-nucleotide
132 polymorphisms [17]. PCR products of all PMQR genes were kindly obtained from Dr. K.
133 Tateda and used as positive controls.

134

135 Pyrosequencing of QRDRs

136 Mutations in the QRDRs of *gyrA* and *parC* were analyzed by pyrosequencing.
137 Primers were designed using the PyroMark Assay Design software 2.0 (Qiagen, Hilden,
138 Germany) on the basis of sequence information available (GenBank accession number
139 AF052258 and AF303641). The predicted amplicons contained major mutation sites in the
140 QRDRs of *gyrA* (Ser83 and Asp87) and *parC* (Ser80 and Glu84) [18] (Table 1). The target
141 genes were amplified by PCR using Amplitaq Gold 360 Master Mix (Applied Biosystems)
142 with the following PCR thermal cycling conditions: 1 cycle of 95°C for 5 min; 50 cycles of
143 95°C for 15 sec, 67°C for 30 sec, 72°C for 15 sec; and 1 cycle of 72°C for 5 min. The PCR
144 products were sequenced using PyroMark Q96 ID (Qiagen) and PyroMark Gold Q96
145 Reagents (Qiagen).

146

147 Analyses of clonality

148 Clonal relationships were assessed by enterobacterial repetitive intergenic

149 consensus-PCR (ERIC-PCR) [19]. We used previously published primers to amplify ERIC
150 sequences. PCR thermal cycling conditions were as follows: 1 cycle of 95°C for 5 min; 40
151 cycles of 94°C for 1 min, 52°C for 1 min, 72°C for 2 min; and 1 cycle of 72°C for 10 min. The
152 PCR products were analyzed using the Microchip Electrophoresis System for DNA/RNA
153 analysis MCE[®]-202 MultiNA (Shimadzu, Kyoto, Japan). Fingerprints on electropherogram
154 were compared visually and isolates having at least one different peak were classified as
155 different ERIC profile.

156 Multi-locus sequence typing (MLST) was performed using seven conserved
157 housekeeping genes (*rpoB*, *gapA*, *mdh*, *pgi*, *phoE*, *infB*, and *tonB*) [20] for selected isolates
158 which have specific characteristics such as CTX-M-15-positive, levofloxacin-resistant or
159 belonging to the most dominant ERIC profile. PCR amplification and sequencing were
160 performed by following Pasteur Institute protocol.
161 (http://bigsd.b.pasteur.fr/klebsiella/primers_used.html). The sequence type was assigned
162 based upon the MLST database (<http://bigsd.b.web.pasteur.fr/index.html>).

163

164 Statistical analysis

165 Statistical analyses were performed using GraphPad Prism (GraphPad Prism
166 Software Inc., CA). A χ^2 test was used to assess statistical differences among the
167 frequencies of events, and differences were considered statistically significance at $p < 0.05$.

168

169 **RESULTS**

170

171 Molecular profiles of β -lactamases in phenotypically identified ESBL-Kpn

172 There were 30 phenotypically identified ESBL-Kpn isolates (Table 2). Of the 30
173 isolates, 29 (96.7%) were positive for one or more ESBL genes. The number of isolates
174 possessing one, two, or three ESBL genes was 11 (36.7%), 14 (46.6%), and 4 (13.3%),
175 respectively. The most prevalent ESBL gene was SHV (n=24, 80.0%), followed by CTX-M-1
176 (n=11, 36.7%), TEM (n=8, 26.7%), CTX-M-2 (n=5, 16.7%), and CTX-M-9 (n=3, 10.0%). Of
177 the CTX-M-1-positive isolates (n=11), CTX-M-15-positive isolates (n=8) accounted for
178 72.7%. The frequency of CTX-M-15-positive isolates gradually increased from 2011 to 2012
179 and 2013 (0.0%, 37.5%, and 45.5%, respectively).

180

181 Antimicrobial susceptibility test

182 In these phenotypically-identified ESBL-Kpn isolates, 7 (23.3%) were
183 levofloxacin-resistant (MIC \geq 8 μ g/mL) (Table3). The number of cefotaxime- and gentamicin-
184 resistant isolates was 22 (73.3%), and 7 (23.3%), respectively (Table3). Only one isolate
185 showed resistance in both gentamicin and levofloxacin.

186

187 Analysis of PMQRs and QRDRs

188 Fluoroquinolone-resistant genes were analyzed in all of the isolates (Table 3).

189 PMQRs were detected in 16 isolates (53.3%). The most common PMQR was
190 *aac(6')-Ib-cr* (n=11), followed by *qnrS* (n=5) and *qnrB* (n=4). Strains containing *qnrA* were
191 not obtained. Levofloxacin-resistant isolates frequently possessed *aac(6')-Ib-cr*. This was
192 also observed for levofloxacin-non-resistant isolates, but the prevalence was significantly
193 higher in the levofloxacin-resistant isolates (85.7% versus 21.7%; $p < 0.01$). All nine isolates
194 carrying *qnr* (*qnrS*, n=5; *qnrB*, n=4) were levofloxacin-non-resistant.

195 Chromosomal mutations in QRDRs were observed in 12 isolates (40.0%). All seven
196 levofloxacin-resistant isolates had three mutations each in QRDRs. The most prevalent
197 amino acid substitutions were Ser83Phe and Asp87Ala in *gyrA*, in addition to Ser80Ile in
198 *parC* (n=6). The rest of the fluoroquinolone-resistant isolates displayed Ser83Phe and
199 Asp87Asn substitutions in *gyrA*, in addition to Glu84Lys in *parC*. The isolate for which the
200 levofloxacin MIC was 4.0 $\mu\text{g/mL}$ displayed a Ser83Tyr change in *gyrA*. However, all five
201 isolates possessing single QRDR mutations were not phenotypically resistant to
202 levofloxacin.

203

204 Clonality analysis of the ESBL-Kpn

205 All ESBL-Kpn isolates were screened using ERIC-PCR for clonality analysis (Table

206 3 and Figure 1). ERIC-PCR categorized 30 strains into 18 groups. The most dominant
207 ERIC-PCR profile was named E1, and 10 isolates (33.3%) belonged to this profile. In the E1
208 profile, five isolates (50%) were positive for CTX-M-15 while three isolates (13.6%)
209 possessed a non-E1 profile. Of the 30 strains, a total of seven levofloxacin-resistant isolates
210 belonged to the profile E1, and no isolates with levofloxacin resistance were detected in the
211 other ERIC-PCR profiles.

212 To screen sequence types, MLST was performed on isolates that had been
213 classified as CTX-M-15-positive or levofloxacin-resistant. MLST was also performed on
214 isolates that displayed a profile E1 based upon ERIC-PCR. Among the selected isolates,
215 ST15 was most commonly observed (n=6), followed by ST551 (n=2), ST252 (n=1), and
216 ST1035 (n=1). ST15 was observed primarily in isolates that displayed an ERIC-PCR profile
217 E1 (6/10, 60%), CTX-M-15-positive genotype (5/8, 62.5%), and levofloxacin resistance (5/7,
218 71.4%).

219
220 Relationship between CTX-M-15 and quinolone-resistant genes

221 Quinolone-resistant genes were compared between isolates possessing CTX-M-15
222 versus those lacking CTX-M-15 (Table 4). Levofloxacin resistance was observed in 62.5% of
223 the isolates positive for CTX-M-15, whereas only 9.1% of the non-CTX-M-15 isolates
224 displayed levofloxacin resistance ($p < 0.01$). The presence of three QRDR mutations was

225 also more frequent in CTX-M-15-positive isolates compared to the non-CTX-M-15 isolates
226 (62.5% vs. 9.1%, $p < 0.01$). For PMQRs, the percentage of isolates possessing *aac(6')-Ib-cr*
227 was 100.0% in the CTX-M-15-positive isolates and 13.6% in the non-CTX-M-15 isolates
228 ($p < 0.01$). In contrast, the prevalence of *qnrB* and *qnrS* was not significantly different
229 between the two groups.

230

231 **DISCUSSION**

232

233 In European countries, the predominant β -lactamase in ESBL-Kpn has dramatically
234 shifted from SHV and TEM to the CTX-M-type. The CTX-M-1 group has been rapidly
235 expanding, and it is now the predominant ESBL-Kpn group found in European countries [21].
236 In particular, CTX-M-15, a CTX-M-1 group ESBL, has been recognized as a pandemic ESBL
237 gene in the Enterobacteriaceae family [2]. However, the number of ESBL isolates and the
238 composition of ESBL genes can vary geographically. The CTX-M-1 group has been
239 historically less prevalent in Japan, where the CTX-M-2 group dominated as the CTX-M-type
240 ESBL before 2000 [21]. We previously reported on the epidemiology of clinical ESBL-Kpn
241 isolates in our hospital from 2006 to 2010, and discovered an increase in ESBL-producers in
242 clinical isolates of both *K. pneumoniae* and *E. coli* [12]. Thus, the present study was
243 performed as a follow-up focusing on *K. pneumoniae*.

244 Compared to our previous study [12], the proportions of SHV isolates in the
245 ESBL-Kpn population did not change (the percentages of ESBL-type SHV were 80.0% in
246 both studies). However, the percentages of CTX-M-1-positive isolates dramatically
247 increased from 5.0% to 36.7%. This was mainly due to the increase in CTX-M-15 isolates
248 overall. CTX-M-15 was not previously prevalent in Japan [12, 22]. However, in other Asian
249 countries, it reportedly constituted 59.8% of the ESBL-Kpn isolates that caused
250 hospital-acquired pneumonia [23]. In the present study, the shift from non-CTX-M-15 to
251 CTX-M-15 isolates was observed even though the total number of isolates was similar for
252 each year studied. Most of the isolates possessing CTX-M-15 also had one or more other
253 β -lactamases, implying that CTX-M-15 has the potential to coexist with other β -lactamases
254 and it might also be acquired by ESBL strains because SHV and TEM typically dominate the
255 population. Conversely, the expansion of CTX-M-15 in *K. pneumoniae* could be restrictive
256 among conventional ESBL producers in Japan.

257 In the present study, PMQRs were observed in 53.3% of the ESBL-Kpn isolates,
258 and *aac(6')-Ib-cr*, *qnrB*, and *qnrS* genes were detected in 36.7%, 13.3%, and 16.7% of these
259 strains, respectively. Compared to a previous report of cephalosporin-resistant *K.*
260 *pneumoniae* isolates in Japan [24], the prevalence of PMQRs was similar (66.7%), but the
261 percentages for each PMQR gene in the total population were different (*aac(6')-Ib-cr*, 4.2%;
262 *qnrB*, 50.0%; *qnrS*, 16.7%). These findings suggest that local factors, such as study region

263 and study population, can affect PMQR composition. PMQRs might be more commonly
264 observed in *K. pneumoniae* than in *E. coli* [6, 24]. It is reported that *aac(6')-Ib-cr* has
265 epidemiologically strong associations with CTX-M-15 [8]. *Qnr* genes are also relevant to
266 SHV or CTX-M-9, but *qnr* genes do not lead to significant increases in fluoroquinolone MICs
267 [6]. Thus, the present data are compatible with these earlier findings. As shown in our study,
268 PMQRs can elevate the fluoroquinolone MIC, but their effects are mild. Importantly, these
269 strains could still be recognized as sensitive in clinical settings. However, considering that *K.*
270 *pneumoniae* is highly sensitive to fluoroquinolones (0.25 µg/mL in MIC₉₀) [25], the isolates
271 that have a levofloxacin MIC of 1.0 µg/mL are not clinically negligible. Therefore, we should
272 continue to monitor PMQRs in *K. pneumoniae*, and further studies are needed to assess the
273 clinical impact of these PMQR-possessing strains.

274 Compared to PMQRs, QRDR mutations can dramatically elevate MIC values for
275 fluoroquinolone. Generally, more than one double mutation in QRDRs causes high FQ-r [26].
276 Consistent with this report, all the isolates with three QRDR mutations were
277 fluoroquinolone-resistant. The triplet QRDR mutations (Ser83Phe, Asp87Ala, and Ser80Ile;
278 Ser83Phe, Asp87Asn, and Glu84Lys) in the present study have been commonly observed
279 among fluoroquinolone-resistant *K. pneumoniae* [27, 28]. The effect of the Ser83Tyr
280 substitution in GyrA on fluoroquinolone susceptibility is controversial. One study
281 demonstrated that the Ser83Tyr change mildly elevated the ciprofloxacin MIC value [4],

282 while another report found that it did not affect fluoroquinolone susceptibility [29].

283 In a study conducted in nine Asian countries excluding Japan, CTX-M-15 isolates
284 belonged to 25 different STs. However, ST11, ST15, and ST340 were the predominant STs
285 identified [23]. In the present study, ST15 was most commonly observed in the CTX-M-15
286 isolates (62.5%). It is reported that the incidence of ST15 among CTX-M-15-positive *K.*
287 *pneumoniae* was 57.1% in Portugal [30] and 27.3% in the U.S. [31], while ST15 was not
288 reported in Spain [4]. In the present study, 5/7 (71.4%) fluoroquinolone-resistant isolates
289 from different patients displayed the same molecular signature, including ST15, *aac(6')-Ib-cr*,
290 three QRDR mutations, and CTX-M-15. The same profile has been identified in an epidemic
291 clone in Hungary [28]. This suggests that the ST15 ESBL-Kpn clone is expanding, and might
292 gradually spread in Japan. Although MLST was not performed for all the isolates, the ST15
293 strain could have some discrete characteristics that make it especially virulent, such as a
294 tendency to obtain mutations in QRDRs and synergize with CTX-M-15 strains. It seems
295 difficult to conclude all ST15 isolates resulted from a local outbreak because these isolates,
296 except the isolate no.2 and no.3, have various backgrounds in isolated date and location.

297 There are some limitations to our study. First, our study does not include Kpn
298 strains which have ESBL genes but are negative for phenotype-based ESBL-detection.
299 Some SHV/TEM variants or ESBL genes co-harboring with plasmid-mediated AmpC
300 β -lactamase can be missed by phenotype-based ESBL-detection {Canton, 2008 #1094}.

301 Therefore, we could not know about the relationship between these undiagnosed ESBL
302 genes and fluoroquinolone-resistance. Second, because it focused on a single hospital, the
303 number of specimens was small and we could not evaluate the differences among facilities.
304 Furthermore, the clinical backgrounds of patients who had contracted the epidemic clone
305 could not be collected. Therefore, the risk factors and the suspected routes of transmission
306 of this strain are still unknown. Lastly, ST15 was commonly observed in
307 fluoroquinolone-resistant isolates, but MLST was performed only in the selected isolates.

308 In conclusion, our study suggests that CTX-M-15-producing *K. pneumoniae* ST15 is
309 a global pandemic clone currently emerging in Japan. This clone might be spreading even if
310 ESBL-producers are not prevalent. Thus, it is important to monitor epidemic clones and
311 ESBLs in countries where ESBL-producers are not prevalent, as opposed to focusing only
312 on countries currently experiencing epidemics. To mitigate the spread of these
313 fluoroquinolone-resistant strains, antimicrobial stewardship should be strongly encouraged
314 in clinical settings.

315

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317

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323

324 **CONFLICT OF INTEREST**

325

326 The authors declare no conflicts of interest.

327

328 **ABBREVIATIONS**

329 FQ-r, fluoroquinolone resistance; ESBL, extended-spectrum β -lactamase; Kpn, *Klebsiella*
330 *pneumoniae*; PMQR, plasmid-mediated quinolone resistance; QRDR, quinolone

331 resistance-determining region; ERIC, enterobacterial repetitive intergenic consensus; MLST,

332 multi-locus sequence typing;

333

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427

428

429 Figure 1. ERIC profile of ESBL-producing *K. pneumoniae*.

430 Electrophoresis patterns were categorized into 18 groups after analysis of PCR

431 products by capillary electrophoresis. Each lane numbers corresponds to the numbers in

432 Table 3. The image was edited to be in order of indicated number using original data. M,

433 marker. UM, upper marker. LM, lower marker.

434

435

436 Table 1. Primers for pyrosequencing

437

Primer name	Primer sequence (5'→3')
<i>gyrA</i> QRDR for pyrosequencing	
KPgyrAfoward	AATCAGCCCGTGTCGTTGG
KPfyra-5biotinR	GAGAACGGCTGCGCCATA
KPgyrAseq	CCACCCGCACGGCGA
<i>parC</i> QRDR for pyrosequencing	
KPparC-5biotinF	TCGGCGACGTGTTGGGTA
KPparCreverse	CCAGCGGATAGCGGTAAGAGA
KPparCseq	CCATCAGCACCATCG

438

439

440 Table 2. ESBL genotypes of the 30 ESBL-producing *K. pneumoniae* isolates

441

Genotype(s)	Year			Total, n
	2011	2012	2013	
Isolates with CTX-M-15				
CTX-M-1 (CTX-M-15)	0	0	1	1
CTX-M-1 (CTX-M-15), SHV	0	0	3	3
CTX-M-1 (CTX-M-15), TEM	0	1	0	1
CTX-M-1 (CTX-M-15), TEM, SHV	0	2	1	3
Subtotal, n (% in the year(s))	0 (0.0)	3 (37.5)	5 (45.5)	8 (26.7)
Isolates without CTX-M-15				
CTX-M-1 (non-CTX-M-15), SHV	0	1	2	3
CTX-M-2, SHV	4	0	0	4
CTX-M-2, TEM, SHV	1	0	0	1
CTX-M-9	1	0	0	1
CTX-M-9, TEM	1	0	1	2
SHV	4	2	3	9
TEM, SHV	0	1	0	1
Subtotal, n (% in the year(s))	11 (100.0)	4 (50.0)	6 (54.5)	21 (70.0)
Not detected, n (% in the year(s))	0 (0.0)	1 (12.5)	0 (0.0)	1 (3.3)

442

443

Table 3. Clonal profiles and drug sensitivity-associated profiles for β -lactams and fluoroquinolones

No.	Strain	Date (MM/YY)	Department	Specimens	Sequence type*	ERIC profile	<i>aac(6')</i>	<i>qnr</i>	<i>gyrA</i>		<i>parC</i>		β lactamases	MICs (μ g/mL)		
									Ser83	Asp87	Ser80	Glu84		CTX	GEN	LVX
1	EK1302	04/13	General ICU	Sputum	ST15	E1	<i>lb-cr</i>	-	Phe	Ala	Ile	WT	CTX-M-15	≥ 64	≤ 2	≥ 8
2	EK1304	08/13	Cardiology	Sputum	ST15	E1	<i>lb-cr</i>	-	Phe	Ala	Ile	WT	SHV, CTX-M-15	≥ 64	≤ 2	≥ 8
3	EK1305	08/13	General ICU	Tracheal aspirate	ST15	E1	<i>lb-cr</i>	-	Phe	Ala	Ile	WT	SHV, CTX-M-15	≥ 64	≤ 2	≥ 8
4	EK1307	09/13	Hematology	Throat swab	ST15	E1	<i>lb-cr</i>	-	Phe	Ala	Ile	WT	SHV, TEM, CTX-M-15	≥ 64	≥ 16	≥ 8
5	EK1311	11/13	Thoracic surgery	Surgical wound	ST15	E1	<i>lb-cr</i>	-	Phe	Ala	Ile	WT	SHV, CTX-M-15	≥ 64	≤ 2	≥ 8
6	EK1105	04/11	Hematology	Feces	ST15	E1	-	-	WT	WT	WT	WT	CTX-M-9	≥ 64	≥ 16	≤ 0.5
7	EK1207	11/12	Pulmonology	Urine	ST252	E1	-	-	Phe	Asn	WT	Lys	SHV, CTX-M-1 (non-CTX-M-15)	≥ 64	≤ 2	≥ 8
8	EK1201	01/12	Otorhinolaryngology	Sputum	ST551	E2	<i>lb-cr</i>	-	WT	WT	WT	WT	TEM, SHV, CTX-M-15	≥ 64	≥ 16	≤ 0.5
9	EK1110	10/11	Pediatrics	Urine	ST551	E1	<i>lb-cr</i>	-	Phe	Ala	Ile	WT	SHV	≤ 1	≤ 2	≥ 8
10	EK1204	08/12	Digestive surgery	Surgical wound	ST1035	E1	-	-	WT	WT	WT	WT	TEM, SHV	≤ 1	≤ 2	≤ 0.5
11	EK1108	09/11	OB/GYN	Vaginal secretion	ST-U	E1	-	-	WT	WT	WT	WT	SHV	≤ 1	≤ 2	≤ 0.5
12	EK1206	11/12	Pulmonology	Feces	ST-U	E3	<i>lb-cr</i>	<i>B</i>	WT	WT	WT	Lys	TEM,	≥ 64	≤ 2	1

13	EK1208	10/12	Emergency	Blood	ST-U	E4	<i>lb-cr</i>	<i>B</i>	WT	WT	WT	WT	CTX-M-15 TEM, SHV, CTX-M-15	≥64	≤2	2	
14	EK1310	11/13	Cardiac surgery	Urine		E4	-	-	WT	WT	WT	WT	SHV	2	≤2	≤0.5	
15	EK1103	05/11	Digestive surgery	Feces		E4	<i>lb-cr</i>	<i>B</i>	WT	WT	WT	WT	SHV, CTX-M-2	≥64	≤2	≤0.5	
16	EK1104	04/11	Hematology	Feces		E5	<i>lb-cr</i>	<i>B</i>	WT	WT	WT	WT	SHV	≥64	≤2	1	
17	EK1107	09/11	Rheumatology	Urine		E5	-	-	WT	WT	WT	WT	SHV, CTX-M-2	≤1	≤2	≤0.5	
18	EK1301	05/13	Neurology	Urine		E6	-	-	WT	WT	WT	WT	SHV	≤1	≤2	1	
19	EK1303	08/13	Digestive surgery	Feces		E7	-	<i>S</i>	WT	WT	WT	WT	SHV, CTX-M-1 (non-CTX-M-15)	≥64	≤2	1	
20	EK1306	09/13	OB/GYN	Vaginal secretion		E8	-	-	WT	WT	WT	WT	SHV	≤1	≤2	≤0.5	
21	EK1308	10/13	Nephrology	Sputum		E9	-	<i>S</i>	WT	WT	Ile	WT	TEM, CTX-M-9	≥64	≥16	2	
22	EK1309	10/13	Digestive surgery	Urine		E10	-	<i>S</i>	WT	WT	Ile	WT	SHV, CTX-M-1 (non-CTX-M-15)	≥64	≤2	1	
23	EK1202	07/12	Digestive surgery	Feces		E11	<i>lb</i>	-	WT	WT	WT	WT	SHV	32	≥16	≤0.5	
24	EK1203	08/12	Anesthesiology	Feces		E12	<i>lb</i>	-	Tyr	WT	WT	WT	SHV	≥64	8	4	
25	EK1205	09/12	Pulmonology	Tracheal aspirate		E13	-	-	WT	WT	WT	WT	Not detected	≥64	≤2	≤0.5	
26	EK1101	02/11	Urology	Urine		E14	-	<i>S</i>	WT	WT	Ile	WT	TEM, CTX-M-9	≥64	≥16	1	
27	EK1102	03/11	Pulmonology	Urine		E15	-	<i>S</i>	WT	WT	WT	WT	TEM, SHV,	≥64	≥16	1	

												CTX-M-2			
28	EK1106	09/11	Digestive surgery	Sputum	E16	-	-	WT	WT	WT	WT	SHV	≤1	≤2	≤0.5
29	EK1109	10/11	Rheumatology	Urine	E17	-	-	WT	WT	WT	WT	SHV, CTX-M-2	≥64	≤2	≤0.5
30	EK1111	12/11	Anesthesiology	Tracheal aspirate	E18	-	-	WT	WT	WT	WT	SHV, CTX-M-2	≥64	≤2	≤0.5

*: Sequence typing was performed only in selected isolates.

ST-U, undetermined ST. WT, wild type. OB/GYN, obstetrics and gynecology. CTX, cefotaxime. GEN, gentamicin. LVX, levofloxacin.

Table 4. Fluoroquinolone susceptibility-related factors in CTX-M-15 or non-CTX-M-15 isolates

	CTX-M-15 (n=8)	Non-CTX-M-15 (n=22)	P-value
Levofloxacin resistance	5 (62.5)	2 (9.1)	<0.01
Number of QRDR mutations			
Three	5 (62.5)	2 (9.1)	<0.01
Less than three	3 (37.5)	20 (90.9)	
PMQR carriers			
<i>aac(6')-Ib-cr</i>	8 (100.0)	3 (13.6)	<0.01
<i>qnrB</i>	2 (25.0)	2 (9.1)	ns
<i>qnrS</i>	0 (0.0)	5 (22.7)	ns

ns, not significant

Figure 1.

