

1 **Transport of small and neutral solutes through reverse osmosis**  
2 **membranes: Role of skin layer conformation of**  
3 **the polyamide film**

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21 **Abstract**

22 The polyamide skin layer of reverse osmosis (RO) membranes was characterised using  
23 advanced and complementary analytical techniques to investigate the mechanisms underlying  
24 the permeation of contaminants of emerging concern in potable water reuse – *N*-  
25 nitrosodimethylamine (NDMA) and *N*-nitrosomethylethylamine (NMEA). This study used  
26 five RO membrane samples with similar membrane properties. The five RO membrane  
27 samples spanned over a large range of water permeance (0.9–5.8 L/m<sup>2</sup>hbar) as well as  
28 permeation of NDMA (9–66%) and NMEA (3–29%). Despite these differences among the  
29 five RO membranes, characterisations of the skin layer using positron annihilation lifetime  
30 spectroscopy, atomic force microscopy and field emission scanning electron microscopy  
31 revealed almost no variation in their free-volume hole-radius (0.270–0.275 nm), effective  
32 surface area (198–212%) and thickness (30–35 nm) of the skin layer. The results suggest that  
33 there could be other RO skin layer properties, such as the interconnectivity of the  
34 protuberances within the polyamide skin layer additional to the free-volume hole-size and  
35 thickness of the skin layer, which can also govern water and solute permeation.

36 **Keywords:** free-volume hole; *N*-nitrosodimethylamine; positron annihilation lifetime  
37 spectroscopy; potable reuse; reverse osmosis.

38

## 39 **1. Introduction**

40 *N*-nitrosodimethylamine (NDMA; C<sub>2</sub>H<sub>6</sub>N<sub>2</sub>O) and *N*-nitrosomethylethylamine (NMEA;  
41 C<sub>3</sub>H<sub>8</sub>N<sub>2</sub>O) are micropollutants of significant concern in potable water reuse since they are  
42 probable carcinogenic chemicals [1]. With a molecular weight of 74 g/mol, NDMA is the  
43 smallest in the *N*-nitrosamine group. NDMA and NMEA are neutral and hydrophilic  
44 compounds at environmental pH (i.e. pH 6–8). Although reverse osmosis (RO) membrane  
45 separation can achieve excellent rejection of a range of impurities in reclaimed water  
46 including salts, macro-organics, and many micropollutants, the rejection of NDMA, NMEA  
47 and several other *N*-nitrosamines is low and highly variable because of its small molecular  
48 size and lack of charge [2-5]. Thus, they are often detectable in RO permeate at  
49 concentrations higher than guideline or target values set by water authorities around the  
50 world. For example, California has established a notification level of 10 ng/L for NDMA and  
51 a public health goal of 3 ng/L [6]. Similarly, in Australia, the guideline value of NDMA in  
52 water intended for potable reuse has been also set at 10 ng/L [7]. The low and highly variable  
53 separation performance of RO with respect to NDMA rejection necessitates post treatment by  
54 advanced oxidation (UV irradiation and H<sub>2</sub>O<sub>2</sub>) [8]. Recent research [9] suggests that NDMA  
55 rejection by RO membranes varies significantly amongst the many RO membranes available  
56 on the market. Thus, further insights which lead to better membrane selection and  
57 improvement of the separation performance of RO for *N*-nitrosamine removal can directly  
58 contribute to the economic viability and public safety of potable water reuse.

59 Given the significant importance of low molecular weight micropollutants in potable reuse,  
60 numerous previous studies have been conducted to reveal the permeation mechanisms of  
61 micropollutants through RO membranes [10-13]. The significance of steric (size) interaction  
62 between solutes and the free-volume holes within the RO membrane active skin layer has

63 been clearly demonstrated from the viewpoint of solute properties. A strong correlation  
64 between molecular size (e.g. minimum molecular width or projected area) of uncharged  
65 solutes and their removals by RO has been established [14, 15]. Nevertheless, mechanisms  
66 underlying the difference in NDMA permeation among RO membranes are still poorly  
67 understood from the perspective of membrane properties. This is mainly due to analytical  
68 limitations in characterising the membrane skin layer at sub-nanometre scale resolution.

69 The free-volume holes – holes in the membrane skin layer in polymeric matrix – are thought  
70 to play an important role in water and solute transport through the RO membrane.  
71 Nevertheless, findings to date remain inconclusive. The free-volume hole size can be  
72 determined by positron annihilation lifetime spectroscopy (PALS) [16]. Previous  
73 measurements of the free-volume hole-radius of commercial RO membranes [16-18] varied  
74 considerably from 0.20 and 0.29 nm. Several previous studies [18-20] have demonstrated a  
75 strong correlation between solute permeation and free-volume hole-size. In contrast, no clear  
76 correlation between solute permeation and free-volume hole-size was reported by several  
77 other studies [17, 21, 22]. To date, there have been very few PALS studies on the  
78 characterisation of RO membranes due to the limited availability of slow positron beam  
79 based instruments.

80 The thickness of the skin layer has also been considered as an important property of an RO  
81 membrane governing water and solute transport. According to the solution-diffusion model  
82 [23-25], permeation of solutes and solution through RO membranes occurs via their  
83 penetration into the membrane material and diffusion through the RO membrane. The key  
84 role of the polymeric membrane thickness on solute permeation can also be supported by the  
85 finding that the thickness is inversely proportional to water permeance and there is a trade-off  
86 between water permeance and solute selectivity [26, 27]. This mechanism is plausible,

87 however, it is difficult to validate for commercial RO membranes. Recent RO membranes are  
88 designed with a rougher membrane surface that holds a higher surface area and a higher  
89 permeance [28-32]. As a result, they commonly have a so-called “ridge-and-valley” structure  
90 and hollow interior of crumpled nodules throughout the surface of the skin layer [29]. The  
91 entire thickness of the skin layer of commercial RO membranes is about 200-300 nm as  
92 reported by previous studies using transmission electron microscopy (TEM) [33-36]. The  
93 crumpled film forming the crumpled structure of the skin layer and the flat film comprising  
94 the interface between polyamide and polysulfone layers both have a thickness as low as 20  
95 nm [31, 37, 38]. Characterisation using a field emission - scanning electron microscope (FE-  
96 SEM) [37] allows for a quantification of each polyamide film. Nevertheless, due to the  
97 complex inner structure and rough surface morphology of the RO membrane skin layer and  
98 its variation among RO membranes, it is still difficult to identify the location of the most  
99 important polyamide films and quantify their thickness for comparison among different RO  
100 membranes.

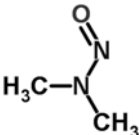
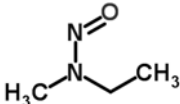
101 A systematic evaluation of several RO membranes with similar chemical ingredients can  
102 potentially yield new insights into the role of the membrane skin layer on solute permeation.  
103 This study aims to identify key structure parameters that govern NDMA transport in the RO  
104 process by characterising the skin layer properties of five RO membranes that have similar  
105 chemical composition and skin layer structure. State-of-the-art analytical techniques  
106 including PALS, FE-SEM and atomic force microscopy (AFM) were used for the  
107 characterisation of the skin layer including free-volume hole-size, thickness and surface area,  
108 respectively.

## 109 2. Materials and methods

### 110 2.1. Chemicals

111 Analytical grade NDMA and *N*-nitrosomethylethylamine (NMEA) (**Table 1**) were purchased  
112 from Ultra Scientific (Kingstown, RI, USA). All stock solutions were prepared in methanol to  
113 obtain 1 µg/mL of each chemical and were stored at 4 °C in the dark. Both chemicals can be  
114 classified as hydrophilic and neutral (uncharged) at environmental pH (pH 6 to 8) [39].

115 **Table 1** – Structure and properties of the selected *N*-nitrosamines.

Compound	NDMA	NMEA
Structure		
Molecular formula	C <sub>2</sub> H <sub>6</sub> N <sub>2</sub> O	C <sub>3</sub> H <sub>8</sub> N <sub>2</sub> O
Molecular weight [g/mol]	74.05	88.06
Log <i>D</i> at pH8 <sup>a</sup> [-]	0.04	0.4
pKa at pH8 <sup>a</sup> [-]	3.5	3.4
Minimum projection area <sup>a, b</sup> [nm <sup>2</sup> ]	0.20	0.22

116 <sup>a</sup> Chemicalize (<http://www.chemicalize.org>).

117 <sup>b</sup> Minimum projection area is the area of the compound projected with the minimum plane of  
118 its circular disk, based on the van der Waals radius.

### 119 2.2. Membranes and membrane treatment system

120 Two commercially available RO membranes – namely ESPA2 and ESPAB – and a prototype  
121 RO membrane were obtained as flat sheet samples from Hydranautics/Nitto (Osaka, Japan).  
122 The active skin layers of these membranes have similar chemical ingredients although the  
123 detailed information is proprietary. The ESPA2 membrane has been employed in many  
124 potable water reuse schemes [14], while the ESPAB membrane is designed for boron removal  
125 and has been widely used in the second pass of RO seawater desalination plants. In addition,  
126 samples of the ESPAB and Prototype membranes were also subjected to heat treatment to

127 alter the physical properties. These heat-treated samples are designated as heated ESPAB and  
128 heated Prototype, respectively. Thus, in total, five different membrane samples were used in  
129 this investigation.

### 130 **2.3. Experimental protocols**

#### 131 2.3.1. Heat treatment

132 Heat treatment was conducted by heating the RO membrane coupons in 80 °C ultrapure water  
133 solution. The RO membrane coupons were first rinsed with ultrapure water (18.0 MΩcm).  
134 Thereafter, each coupon was stored in a 200 mL beaker filled with ultrapure water, and the  
135 beakers were placed in a temperature-controlled water bath (SWB-11A, AS ONE, Osaka,  
136 Japan) that maintained the water temperature at 80 °C. After 4 h of heat treatment, the  
137 membrane coupons were rinsed with ultrapure water and stored at 4 °C in the dark.

#### 138 2.3.2. RO filtration experiments

139 The separation of NDMA and NMEA by each RO membrane was evaluated in ultrapure  
140 water using the bench-scale cross-flow RO system (**Fig. S1**). Filtration experiments were  
141 started with permeance evaluation in which RO membrane filtration experiments were  
142 conducted with ultrapure water at 2000 kPa to measure the pure water permeance. Thereafter,  
143 NDMA and NMEA stock solution was added to obtain 200 ng/L of each compound in the  
144 feed solution. The membrane system was operated at a 20 L/m<sup>2</sup>h permeate flux and 20 °C  
145 feed temperature. Concentrations of NDMA and NMEA were determined by high-  
146 performance liquid chromatography-photochemical reaction-chemiluminescence as described  
147 in our previous studies [40, 41]. The passage is defined as  $R = 100 \times C_p/C_f$ , where  $C_p$  and  $C_f$   
148 are solute concentration in the permeate and feed, respectively.

## 149 **2.4. Membrane characterisations**

### 150 2.4.1. Surface chemistry

151 Major functional groups of RO membranes was analysed using Fourier transform infrared  
152 spectroscopy (FTIR) spectrophotometer (Nicolet iS5, Thermo Fisher Scientific, Waltham,  
153 MA, USA) in attenuated total reflection (ATR) method. The RO membrane samples were  
154 freeze-dried for 24 hours using a freeze drier (FD-1000, Tokyo Rikakikai, Tokyo, Japan).  
155 The spectrum was obtained in the range of 400-4000  $\text{cm}^{-1}$  at 1  $\text{cm}^{-1}$  resolution.

### 156 2.4.2. Positron annihilation lifetime spectroscopy (PALS)

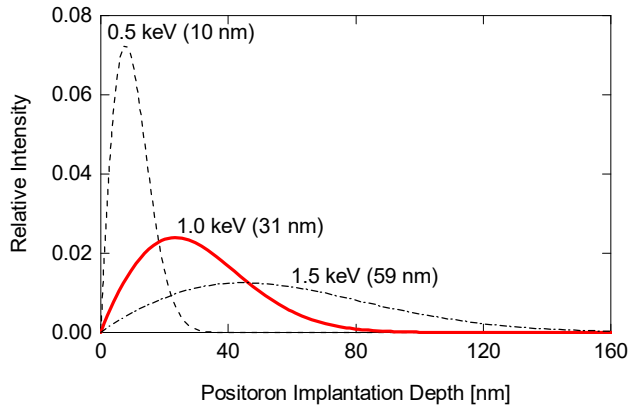
157 The free-volume hole-radius of each RO membrane was measured using PALS with a slow  
158 positron beam that is housed at the National Institute of Advanced Industrial Science and  
159 Technology (AIST) in Tsukuba, Japan. Details of PALS for the analysis of RO membranes  
160 are provided elsewhere [9]. The radius of free-volume hole ( $r$ ) of the skin layer in RO  
161 membranes was determined from the pick-off annihilation lifetime of *ortho*-positronium ( $\tau_{o-Ps}$ )  
162 using the Tao-Eldrup model [42, 43]:

$$163 \quad \tau_{o-Ps} = 0.5 \left[ 1 - \frac{r}{r+0.166} + \frac{1}{2\pi} \sin\left(\frac{2\pi r}{r+0.166}\right) \right]^{-1} \quad (1)$$

164 where  $r$  ( $< 1\text{nm}$ ) is approximated as a spherical shape. Positron irradiation was carried out  
165 under vacuum ( $\sim 10^{-5}$  Pa) and about  $2 \times 10^6$  positron annihilation events were collected for  
166 the positron lifetime spectrum of each sample. Spectra were analysed using a non-linear least-  
167 squares fitting program. Unless otherwise stated, the incident energy ( $E_{in}$ ) was set at 1.0 keV,  
168 which corresponds to a mean implantation depth of 31 nm from the top (implantation depth  
169 range = 0–90 nm) with a material density of 1.3  $\text{g}/\text{cm}^3$  (**Fig. 1**). This incident energy was  
170 selected according to previous studies [18, 19] that revealed the lowest free-volume hole-



171 radius of polyamide RO at 1.0 keV. The dry material density of RO membranes ( $1.3 \text{ g/cm}^3$ )  
172 was determined based on the data reported by Kolev and Freger [44].



173

174 **Fig. 1** – Distribution of positron implantation depth in a polyamide membrane sample with a  
175 material density of  $1.3 \text{ g/cm}^3$  at a positron incident energy of 0.5, 1.0 or 1.5 keV. The mean  
176 implantation depth at each positron incident energy is shown in brackets.

#### 177 2.4.3. Atomic force microscopic analysis

178 Membrane surface area was analysed using an atomic force microscope (AFM) (MFP-3D-SA,  
179 Asylum Research – Oxford Instruments Company, CA, USA). Membranes underwent sample  
180 pre-treatment steps involving the replacement of water in the membranes with tert-Butyl  
181 alcohol followed by freeze drying. Images were obtained in air using tapping mode with a  
182 silicate cantilever. The scanning area was  $5 \mu\text{m} \times 5 \mu\text{m}$ . The effective surface area of each  
183 membrane was calculated based on the data of three samples. Effective surface area here was  
184 defined as a ratio between the actual (measured) area and the sample area as described in the  
185 following formula:

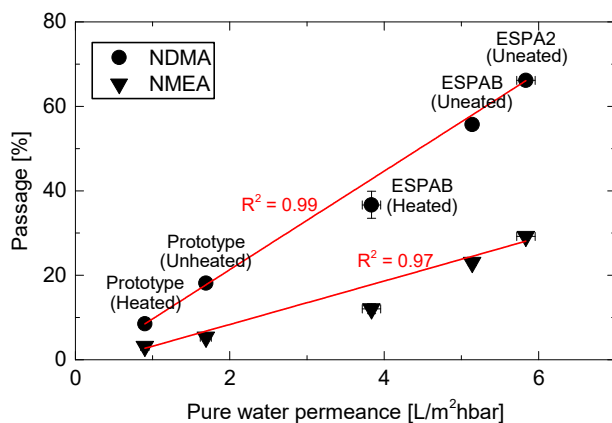
$$186 \text{ Effective surface area } [\%] = \frac{\text{Actual area } [\mu\text{m}^2]}{\text{Sample area } [\mu\text{m}^2]} \times 100 \quad (2)$$

187 2.4.4. Field emission scanning electron microscopic analysis  
188 Cross-sectional images of the RO membrane skin layer were attained using a field emission -  
189 scanning electron microscope (FE-SEM) instrument (S-4800, Hitachi, Japan) at 3 kV  
190 accelerating voltage. Cross-sections of each membrane sample were prepared by freeze-  
191 fracturing wet membranes in liquid nitrogen followed by air drying. Thereafter, the target  
192 cross-sectional area was coated with conductive material prior to the analysis. The thickness  
193 of the skin layer of each RO membrane was calculated by determining the average of 5  
194 different locations.

### 195 **3. Results and discussion**

#### 196 ***3.1. Solute permeation***

197 The five RO membrane samples examined in this study spanned over a large range of water  
198 permeance as well as solute passage with respect to both NDMA and NEMA (**Fig. 2**). Heat  
199 treatment was effective to reduce solute passage and water permeance. After heat treatment,  
200 NDMA passage through the ESPAB and the Prototype membranes decreased from 56 to 37%  
201 and from 18 to 9%, respectively. The pure water permeance of these membrane also  
202 proportionally decreased as can be seen from **Fig. 2**. A strong linear correlation between  
203 solute passage with respect to both NDMA and NMEA and water permeance can be  
204 confirmed in **Fig. 2**. As noted in section 2.2, all five RO membrane samples were from the  
205 same manufacturer with similar chemical ingredients of the active skin layer. Thus, data from  
206 **Fig. 2** allow for a systematic investigation of the role of the active skin layer in transport of  
207 small and neutral solutes as well as water across the membrane.



208

209 **Fig. 2** – Correlation between the passage of NDMA and NMEA in pure water, and pure water  
 210 permeance (feed temperature = 20.0 ± 0.1 °C and permeate flux = 20 L/m²h). Error bars show  
 211 the range of two replicate experiments.

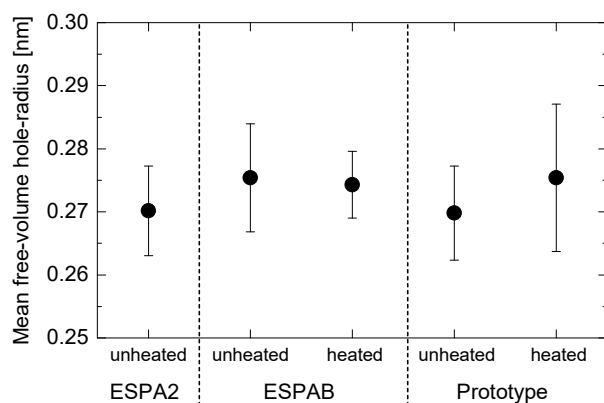
### 212 3.2. Characterisations of the RO skin layer

#### 213 3.2.1. Membrane chemistry

214 Variation in the top layer chemistry of RO membranes can be qualitatively evaluated by  
 215 examining the FTIR spectra [45, 46]. Notable peaks for fully aromatic polyamide were  
 216 observed at 1668, 1608 and 1539 cm<sup>-1</sup> that correspond to C=O and C-N stretching and C-C-  
 217 N deformation vibration (amide I), N-H deformation vibration and C=C ring stretching  
 218 vibration of aromatic amide, and N-H in-plane bending and N-C stretching vibration of a -  
 219 CO-NH- group (amide II), respectively [47] (**Fig. S2**). Peaks at 1586, 1505, 1488 and 1245  
 220 cm<sup>-1</sup> can be assignable to polysulfone. The ratio in peak intensity between 1668 cm<sup>-1</sup> (C=O  
 221 stretching of the amide group formed by the reaction between diamine and acid chloride) and  
 222 1245 cm<sup>-1</sup> (C-O stretching of the polysulfone support) was 0.21, 0.21 and 0.17 for ESPA2,  
 223 ESPAB and Prototype, respectively. This indicates that these RO membranes have similar  
 224 chemical composition. It is noted that heat treatment increased the peak intensity ratio from  
 225 0.21 to 0.30 and from 0.17 to 0.18 for ESPAB and Prototype membrane, respectively. The  
 226 cause of the changes in the peak intensity for ESPAB after heat treatment remains unclear,  
 227 but it will be in the scope of our future study.

### 228 3.2.2. Free-volume hole-radius

229 The mean free-volume hole-radius of the selected RO membranes was determined at a mean  
230 implantation depth of 31 nm using  $\tau_{o\text{-Ps}}$  values (pick-off annihilation lifetime of *o*-Ps) from  
231 PALS analysis (**Table S3**). The free-volume hole-radius of the three unheated RO  
232 membranes (i.e. ESPA2, ESPAB and Prototype) was almost identical, ranging from 0.270 to  
233 0.275 nm (**Fig. 3**). Heat treatment did not show any discernible impact on the free-volume  
234 hole-radius. It is noteworthy that PALS analysis at other implantation depths (i.e. 10 and 59  
235 nm) of the ESPAB membrane did not show any significant variation in the free-volume hole-  
236 radius due to heat treatment (**Fig. S4**). It is noted that current PALS technique cannot confirm  
237 a small difference in free-volume hole-radius of RO membranes less than 0.01 nm due to the  
238 inherent error in PALS and the inhomogeneity of the membrane samples. Thus, the free-  
239 volume hole-radius of all five membrane samples in **Fig. 3** are considered to be similar.



240

241 **Fig. 3** – Free-volume hole-radius of the five RO membranes. The data here is the average and  
242 range of two replicates.

243 The cross-sectional areas of spherical free-volume holes with radii of 0.270 and 0.275 nm are  
244 0.23 and 0.24 nm<sup>2</sup>, respectively. These values are comparable to the minimum projection area  
245 of NDMA (0.20 nm<sup>2</sup>) and NMEA (0.22 nm<sup>2</sup>) (**Table 1**). Because the passage of NDMA and  
246 NMEA varied with a difference of only 0.02 nm<sup>2</sup> in the minimum projection area of the two

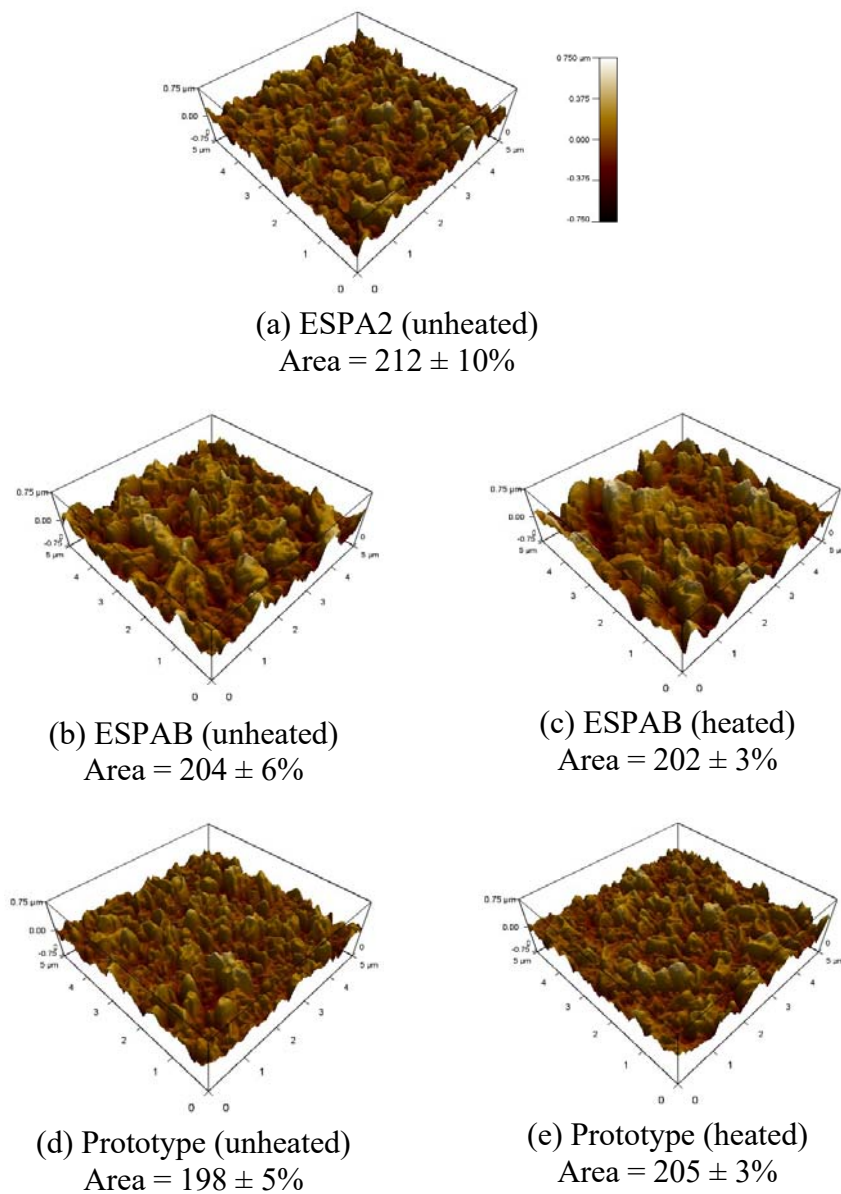
247 molecules, a variation of 0.01 nm<sup>2</sup> in free-volume hole-area among the five RO membranes  
248 may still be an important factor. However, there was no observable correlation between the  
249 measured free-volume hole-radius and the passage of NDMA and NMEA (**Fig. S5**). Given  
250 the similar free-volume hole-size of the five membrane samples, these results suggest that a  
251 factor other than the free-volume hole-size can also govern the permeation of NDMA and  
252 NMEA by these RO membranes.

### 253 3.2.3. Effective surface area

254 The effective membrane surface area was determined by taking into account the topography  
255 of the RO skin layer at the microscopic level (i.e. surface roughness) using AFM. Indeed, at  
256 the microscopic level, the effective membrane surface area can differ considerably from the  
257 surface area normally used to calculate the permeate flux [9]. It is noted that permeate flux  
258 considerably influences NDMA permeation [13]. Since the skin layer can play an important  
259 role in solute permeation through the RO membrane as proposed in literature [30, 38], it is  
260 important to take into account the effective membrane surface area when assessing solute  
261 permeation.

262 Despite the large variation in the visualized “ridge-and-valley” structure among the three  
263 different types of RO membranes (i.e. ESPA2, ESPAB and Prototype), their effective surface  
264 area was almost identical, ranging from 198 and 212% (**Fig. 4**). In other words, the effective  
265 membrane surface area at the microscopic level is approximately two times the plain area.  
266 Likewise, heat treatment did not cause any discernible changes in the effective surface area.  
267 Results from **Fig. 4** confirm that separation experiments in this study were also at the same  
268 permeate flux for a systematic comparison of solute permeation among all selected RO  
269 membranes. More importantly, the observation of the large variation in permeance (**Fig. 2**)  
270 and almost identical effective surface area (**Fig. 4**) among the RO membranes suggests that in

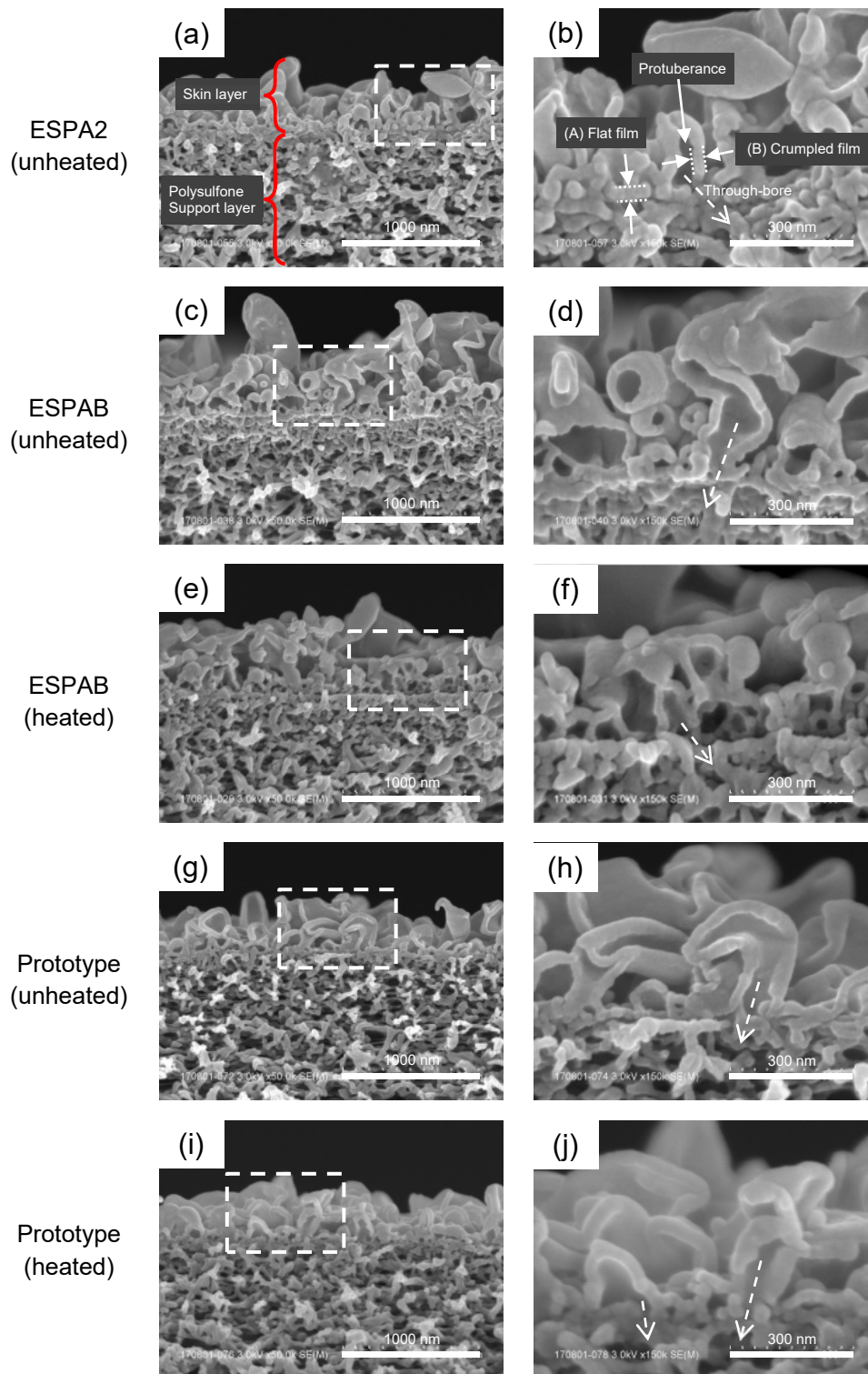
271 this study, water permeance is not influenced by the effective surface area of the RO skin  
 272 layer. It is noteworthy that the surface area determined by AFM does not necessarily  
 273 represent the entire surface area. Surface areas through which feed solution can penetrate  
 274 include the backside of bent protuberance and confined ruffled films with packed  
 275 protuberances that cannot be measured by AFM [32]. Therefore, actual surface area taking  
 276 account of all morphology is necessary to conclusively determine the role of surface area for  
 277 water permeance.



278 **Fig. 4** – AFM images of the five RO membranes. The surface area (and error) is determined  
 279 from the average (and measurement variation) of three membrane coupons.

#### 280 3.2.4. Thickness

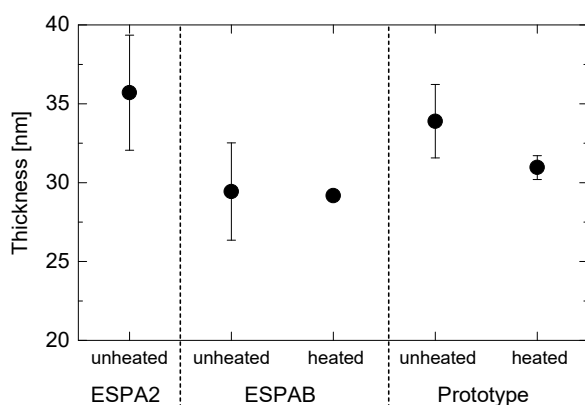
281 The thickness of the skin layer of the selected RO membranes was evaluated using a cross-  
282 sectional view obtained by FE-SEM. The FE-SEM analysis (**Fig. 5**) revealed a distinctive  
283 “ridge-and-valley” structure and a hollow interior of crumpled nodules throughout the skin  
284 layer of all RO membranes investigated in this study. These complex structures are similar to  
285 other commercial RO membranes recently reported in literature [36-38]. The estimated  
286 thickness of the skin layer was 300-400 nm for ESPA2 and ESPAB RO membranes and 200-  
287 300 nm for Prototype RO membranes. There was no apparent change in the skin layer  
288 thickness after heat treatment. The skin layer of these membranes comprised of two major  
289 polyamide films (A) a flat polyamide film that forms a film base at the interface with the  
290 polysulfone support layer and (B) a crumpled polyamide film that forms crumpled nodules  
291 with internal hollow structure (also called as protuberance) (**Fig. 5**). Ultrahigh resolution FE-  
292 SEM images in **Fig. 5** show a similar thickness between the flat polyamide film and crumpled  
293 polyamide film. In addition, it appears that the crumpled polyamide film is almost always on  
294 top of the flat polyamide film. Using SEM, Yan et al. [37] also reported that the ESPA2 RO  
295 membrane has a through-bore that interconnects the cavity of the protuberance and open  
296 structure of the polysulfone support layer. The interconnections can also be found at the other  
297 four RO membranes used in this study. The majority of the protuberances did not appear to  
298 have interconnections. Although high water permeance of RO membranes (e.g. ESPA2) can  
299 be associated with the number of the interconnections, it was not possible to quantify the  
300 connectivity through the FE-SEM cross-sectional images.



302 **Fig. 5** – FE-SEM cross-sectional images of the five RO membranes: (a, c, e, g, i) skin &  
 303 polysulfone layers (scale = 1000 nm) and (b, d, f, h, j) areas enclosed with dash lines in the  
 304 skin & polysulfone layers (scale = 300 nm).



305 Given the importance of the crumpled polyamide films on water and solute permeation [30,  
306 37], the crumpled film thickness was measured for all the RO membranes. It is noted that the  
307 values were attained through two representative FE-SEM images; thus, there could be a  
308 variation in thickness throughout the RO membranes. The results revealed that unheated  
309 ESPA2, ESPAB and Prototype RO membranes had similar crumpled film thickness of 36, 29,  
310 and 34 nm, respectively (**Fig. 6**). Results in **Fig. 6** indicate that there was no discernible  
311 variation in thickness among the three RO membranes in this study. In addition, heat  
312 treatment did not appear to alter the crumpled film thickness. Indeed, differences in the  
313 crumpled film thickness between heated and unheated samples were within the measurement  
314 error margin (i.e. standard deviation of two samples of the same membrane). As a result, in  
315 this study, variation in water flux and the passage of NDMA and NMEA cannot be attributed  
316 to the measured crumpled film thickness (**Fig. S7**), suggesting that other skin layer properties  
317 such as conformation of the crumpled polyamide films could also govern their permeation.



318

319 **Fig. 6** – Thickness of the crumpled film of the five RO membranes. The thickness was  
320 determined based on two RO membrane coupons, each of which was measured at 5 locations  
321 (**Table S6**).

### 322 **3.3. Discussions**

323 Comprehensive analysis of the skin layer of five RO membrane samples using PALS, FE-  
324 SEM and AFM revealed that there could be other RO skin layer properties besides the free-  
325 volume hole-radius and thickness of the crumpled film that can govern water and solute  
326 permeation. This is a significant finding in membrane transport, because the free-volume  
327 hole-radius and thickness of the crumpled film have often been considered the only  
328 membrane properties governing the membrane transport.

329 FE-SEM images obtained here identified that the free-volume hole-radius analysed by PALS  
330 was likely to result from the crumpled polyamide film. According to the distribution of  
331 positron implantation depth (**Fig. 1**), most positrons of PALS at a positron incident energy of  
332 1 keV were expected to have annihilated within the crumpled polyamide films that have the  
333 thickness of about 30 nm. The flat polyamide film is mostly covered by several layers of the  
334 crumpled film and it is 300 to 400 nm away from the top ridge (**Fig. 5**). Thus, the flat  
335 polyamide film located at the interface with the polysulfone supporting layer is not accessible  
336 by positrons with 1 keV. Although the flat polyamide film beneath the crumpled films can be  
337 reached by increasing the positron incident energy (**Fig. 1**), increasing the incident energy  
338 also broadens out the positron implantation depth distribution, meaning that signals can be  
339 obtained from both the flat and crumpled films. As a result, thickness of the flat film that has  
340 far less surface area than the crumpled film cannot be accurately determined. If the flat  
341 polyamide film plays an important role in solute permeation, a sample preparation method  
342 that enables us to preferentially analyse the flat polyamide films should be developed.

343 In regard to the flat polyamide film, perhaps water permeance and separation performance of  
344 the selected RO membranes is related to the degree of the interconnections between the  
345 cavity of the protuberance and the open structure of the polysulfone support layer. In other

346 words, RO membranes with less interconnections have less water permeance but high  
347 separation capability. The importance of hollow protuberance on the water permeance of RO  
348 membranes has been confirmed by Pacheco et al. [48] who evaluated 3D images of two  
349 commercial RO membranes using TEM tomography technique. The FE-SEM cross-sectional  
350 images in **Fig. 5** revealed that not all of the protuberances have interconnections toward the  
351 polysulfone support layer. Protuberances without interconnections allow the solute and  
352 solvent (water) to permeate through two barriers – crumpled film and flat film, which could  
353 reduce water permeance but improve the separation performance. The projected area TEM  
354 technique previously reported by Pacheco et al. [36] and Yan et al. [37] has the potential to  
355 visualize the structure inside the ridges including the interconnections from its top view, but  
356 the correlation between the interconnections and separation performance has not yet been  
357 quantified.

358 There are some other limitations and challenges for the characterization of the RO skin layer  
359 with respect to PALS analysis. The analysis here was conducted under dry conditions, while  
360 wet RO membranes may have swelling effects [49], which can expand the polymer network  
361 and alter the water permeance and selectivity [50, 51]. In addition, the size distribution of  
362 free-volume holes may be more important than mean free-volume hole-radius, because the  
363 narrower passages connecting the major free-volume holes could actually determine solute  
364 permeations as suggested by Dražević et al. [52]. More accurate analysis with wet membrane  
365 samples and the determination of size distribution of free-volume holes requires a significant  
366 improvement in PALS method, thus, it is a scope of our future study.

367 In addition to the swelling effects, chemical properties of the internal skin layer could be a  
368 major contributor to a variation in diffusion coefficient and sorption coefficient of water and  
369 solutes, which ultimately leads to a variation in their water permeance and separation

370 performance. Typically, increases in the degree of polyamide cross-linking can cause less  
371 water and solutes to sorb onto the polymer due to restriction in swelling effects [53]. This  
372 could cause a decrease in both effective water and salt diffusion coefficients [54], leading to a  
373 decrease in water permeance but an increase in selectivity ( $D_w/D_s$ ) (trade-off theory) [26]. If  
374 that is the case, chemical properties of the skin layer such as cross-linking levels should be  
375 more important than the physical properties analysed in this study (i.e. free-volume hole-  
376 radius and thickness) to determine the major skin layer properties.

#### 377 **4. Conclusions**

378 This study shows that RO membranes with distinct separation performance and water  
379 permeance can have similar crumpled film properties including free-volume hole-size and  
380 thickness. PALS, AFM and FE-SEM revealed that major crumpled polyamide film properties  
381 such as free-volume hole-size, effective surface area and thickness are almost identical  
382 among three different types of unheated RO (ESPA2, ESPAB and Prototype) and two heated  
383 RO (ESPAB and Prototype). The results suggest that there exist at least another RO skin  
384 layer property other than the free-volume hole-size and thickness that can also govern the  
385 transport of water and small and neutral solutes such as NDMA and NMEA that are of  
386 significant concern in potable water reuse. Such property is likely to be the protuberance  
387 conformation or interconnectivity of the protuberance within the membrane polyamide skin  
388 layer. In addition, FE-SEM data also reveal that current PALS technique may not be suitable  
389 for determining free-volume hole-radius of the flat polyamide film located at the interface  
390 between the polyamide skin and the polysulfone supporting layer beneath the crumpled  
391 polyamide films. Further advances in analytical technique that allows for the quantification of  
392 interconnections between the protuberances and the polysulfone support layer, the  
393 comprehensive characterisation of RO membranes by PALS (size distribution and wet

394 conditions), and the evaluation of chemical properties of the polyamide films is necessary to  
395 fully decode the permeation mechanism of NDMA.

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- 568

1     **Transport of small and neutral solutes through reverse osmosis**  
2             **membranes: Role of skin layer conformation of**  
3                     **the polyamide film**

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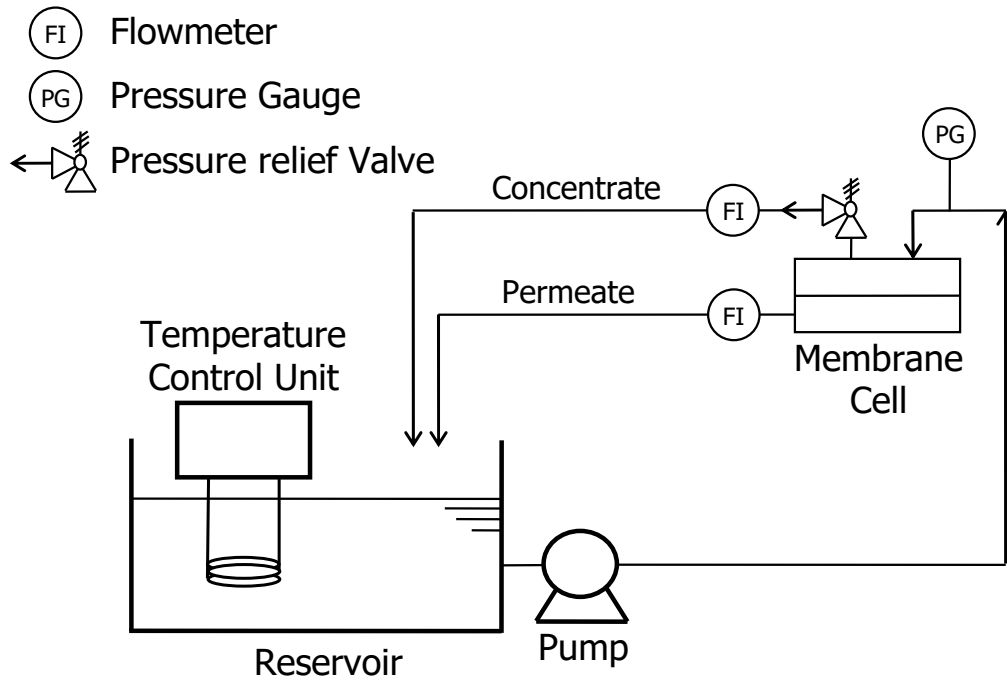
14             <sup>5</sup>*Strategic Water Infrastructure Laboratory, School of Civil Mining and Environmental*  
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16  
17                     **SUPPLEMENTARY MATERIAL**

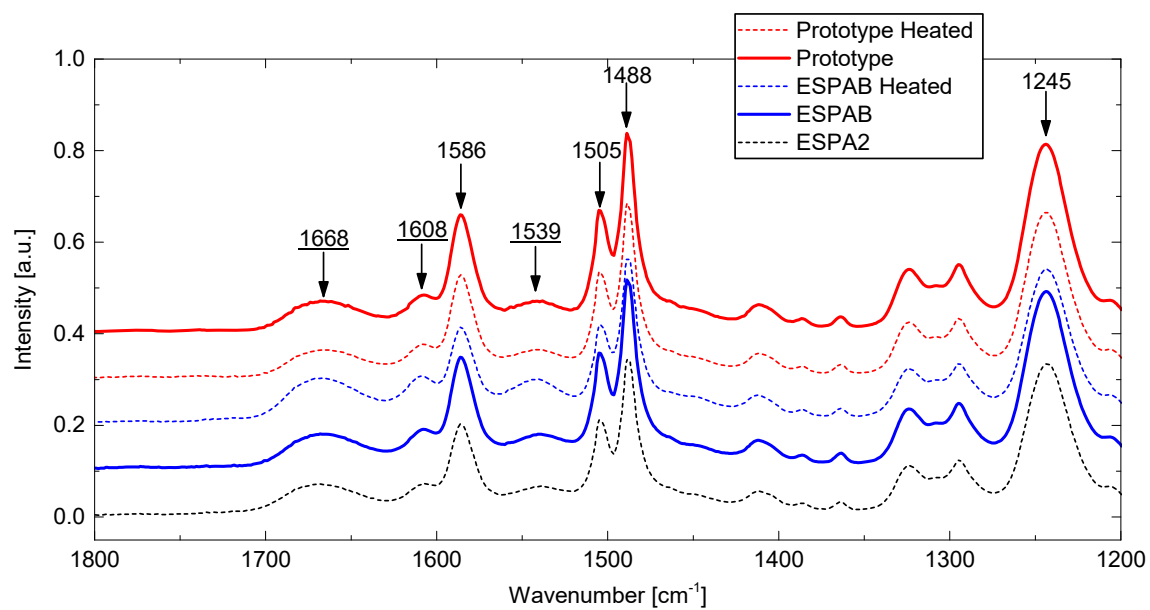
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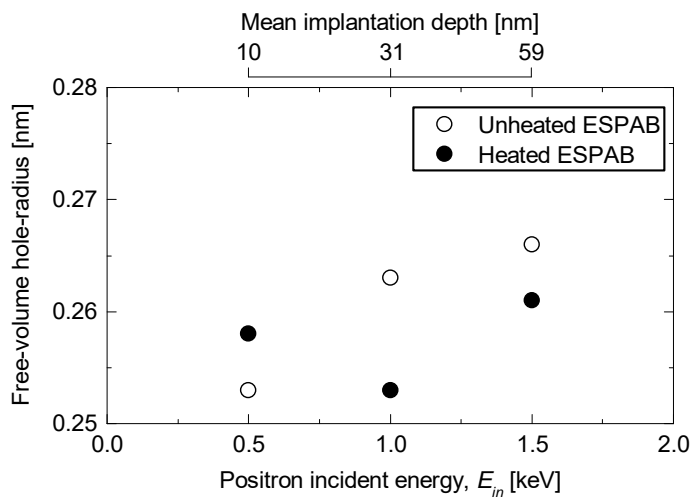
**Fig. S1** – Schematic diagram of the cross-flow RO filtration system that comprised of the membrane cell, high-pressure pump (KP-12, FLOM, Tokyo, Japan), 2-L glass reservoir with a stainless steel heat exchanging coil connected to a temperature control unit (NCB-500, Tokyo Rikakikai, Tokyo, Japan). Each membrane was cut out to be fit in a circular membrane cell (Iwai Pharma Tech, Tokyo, Japan) that has an effective surface area of 36.3 cm<sup>2</sup>.



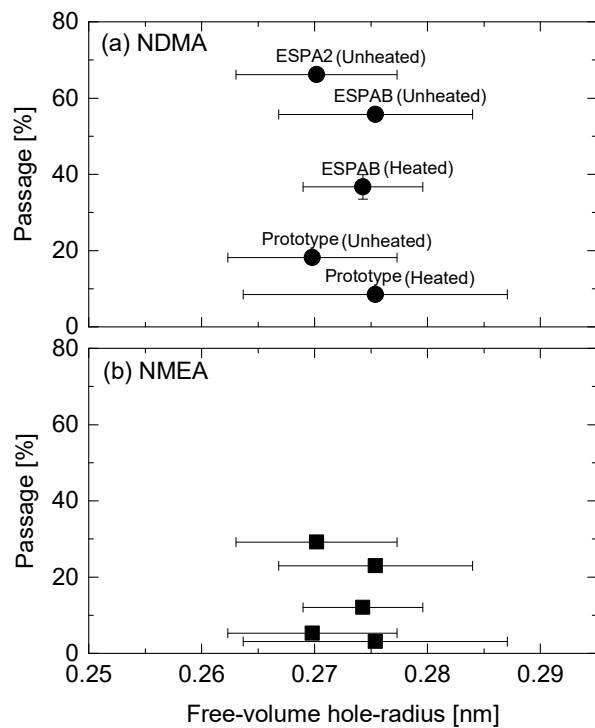
**Fig. S2** – ATR-FTIR spectra for the five RO membranes. Peaks for fully aromatic polyamide are presented with underline (1668, 1608 and 1539  $\text{cm}^{-1}$ ).

**Table S3** – Mean free-volume hole-radius of the selected RO membranes. The average was calculated from the data of Samples A and B.

Membrane		Average	Sample A		Sample B	
		$r$ [nm]	$r$ [nm]	$\tau_{o-Ps}$ [ns]	$r$ [nm]	$\tau_{o-Ps}$ [ns]
ESPA2	untreated	$0.270 \pm$	$0.265 \pm$	$1.787 \pm$	$0.275 \pm$	$1.890 \pm$
		0.007	0.007	0.067	0.006	0.059
ESPAB	untreated	$0.275 \pm$	$0.269 \pm$	$1.829 \pm$	$0.281 \pm$	$1.956 \pm$
		0.009	0.006	0.059	0.008	0.082
	heated	$0.274 \pm$	$0.271 \pm$	$1.842 \pm$	$0.278 \pm$	$1.920 \pm$
		0.005	0.005	0.050	0.005	0.050
Prototype	untreated	$0.270 \pm$	$0.265 \pm$	$1.781 \pm$	$0.275 \pm$	$1.889 \pm$
		0.007	0.004	0.041	0.005	0.048
	heated	$0.275 \pm$	$0.284 \pm$	$1.980 \pm$	$0.267 \pm$	$1.807 \pm$
		0.012	0.003	0.037	0.002	0.024



**Fig. S4** – Mean free-volume hole-radius of unheated and heated ESPAB RO membranes. The ESPAB RO membrane coupons were obtained from a different sheet of those used in Table S2 and Fig. 3 and analysis was conducted in a different occasion, thus, the data presented here should be treated as reference only.

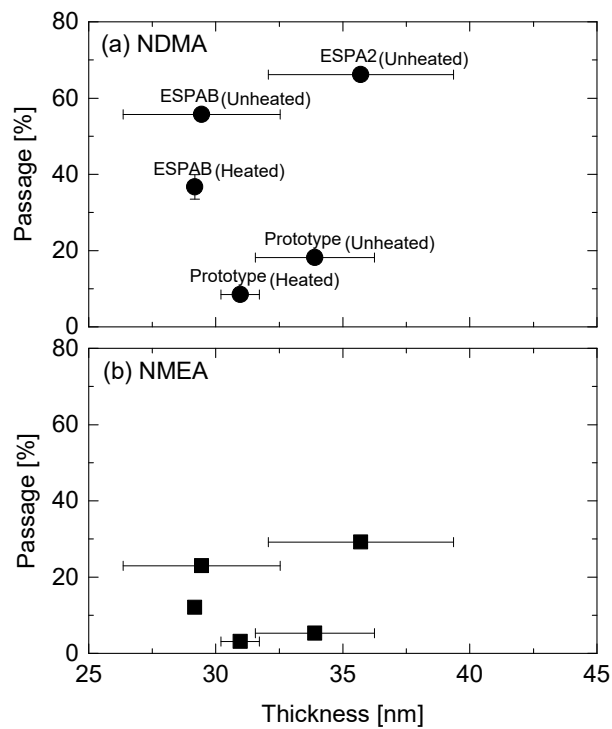


**Fig. S5** – The passage of NDMA and NMEA as a function of free-volume hole-radius for the five RO membranes.

**Table S6** – Average and deviation of skin layer thickness at 5 locations for each sample.

Membrane		Average [nm]	Sample A [nm]		Sample B [nm]	
			Average	Deviation	Average	Deviation
ESPA2	untreated	36 ± 4 nm	38.3	5.8	33.1	4.9
ESPAB	untreated	29 ± 3 nm	31.6	2.3	27.3	2.7
	heated	29 ± 0.2 nm	29.0	1.4	29.3	3.7
Prototype	untreated	34 ± 2 nm	35.6	6.0	32.2	5.1
	heated	31 ± 1 nm	31.5	6.1	30.4	4.9





**Fig. S7** – The passage of NDMA and NMEA as a function of the crumpled polyamide film thickness for the five RO membranes.