

1 Dialysis as a new pre-treatment technique for online  
2 bacterial counting

3 Short Communication

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## 1 **Abstract**

2 Real-time bacteriological counting technology is capable of providing an online profile of  
3 bacterial removal during the wastewater treatment process, and can enhance the safety of  
4 recycled water for potable water reuse. However, autofluorescence emanating from dissolved  
5 organic compounds present in treated wastewater interferes with the analysis. In this study, a  
6 novel approach is adopted, *viz.*, dialysis treatment for the removal of dissolved interfering  
7 substances from treated wastewater, and the efficiency of this treatment protocol is evaluated  
8 as a pre-treatment technique for real-time bacteriological counting. Dialysis using membranes  
9 having a molecular weight cut-off (MWCO) of 1000 kDa and 6–8 kDa were found to  
10 successfully reduce the intensity of autofluorescence emitted from the interfering substances;  
11 whereas the courser dialysis membrane having a MWCO of 1000 kDa was found to be more  
12 effective in removing the interfering substances. Here we demonstrate for the first time that  
13 continuous online dialysis treatment aids in the direct determination of the bacterial counts in  
14 ultrafiltration- and membrane bioreactor-treated wastewaters. The results of the study indicate  
15 that the dialysis pre-treatment technique is effective for continuously reducing the  
16 concentration of interfering substances in treated wastewater, and thus allows for direct online  
17 counting of bacteria.

18 **Keywords:** dialysis; wastewater; fluorescence spectra, bacterial counting; ultrafiltration.

19

## 20 1 Introduction

21 Microbial risk management of processed water is important to ensure the protection of public  
22 health in drinking water and in potable water reuse (Bailey et al., 2018; Barker et al., 2013).  
23 Microbial safety in drinking water and recycled water can be assured through periodical  
24 analysis of readily measured indicators (e.g., total or fecal coliform or *Escherichia coli*) (WHO,  
25 2011; WHO, 2017). However, these conventional methods are time-consuming; thus, they are  
26 not capable of timely detecting the breakthrough of pathogenic microorganisms that can occur  
27 during the integrity breaches of water treatment processes. In contrast, online monitoring of  
28 bacterial concentrations in both feed and filtrate of a water treatment process can continuously  
29 provide a profile of bacterial removal, which enables to ensure its process integrity for bacterial  
30 removal (Asano and Cotruvo, 2004; CSWRCB, 2016). Speed, reliability, and frequency of  
31 analysis are the key for successful process integrity monitoring.

32 Online bacteriological counting techniques have attracted attention for process integrity  
33 monitoring purposes (Højris et al., 2016; Højris et al., 2018; Pepper and Snyder, 2016). Among  
34 the recent studies, flow cytometry, which determines bacterial counts through nucleic acid  
35 staining, has been increasingly assessed in water treatment. Flow cytometry is a technology  
36 which counts almost all the bacteria in water and can differentiate bacterial conditions (intact  
37 or damaged) by using multiple staining chemicals (Ou et al., 2017; Prest et al., 2014; Van Nevel  
38 et al., 2017; Whitton et al., 2018). Flow cytometry can also be mechanically integrated for  
39 online monitoring (Besmer et al., 2017). However, its requirement for continuous addition of  
40 expensive staining chemicals is a limitation for feasibility in full-scale operation. Another  
41 technology that has recently been applied to water treatment is real-time bacteriological  
42 counting. Briefly, it determines the bacterial counts without any chemical addition by detecting  
43 the intensity of (a) scattered light, which provides information about whether the particle size

44 is greater than bacteria, and (b) autofluorescent light emitted from riboflavin and  
45 nicotinamideadeninedinucleotide hydrogen (NADH) in response to the excitation light  
46 (Ammor, 2007). However, the analysis using the fluorescence spectrometer is susceptible due  
47 to the presence of dissolved organics (e.g., humic acids or humic acid-like substances) in the  
48 surface waters and wastewaters. The autofluorescence emission from these substances can  
49 exceed the maximum detection limit of the fluorescence detectors and this hinders the counting  
50 (Fujioka et al., 2018).

51 Continuous pre-treatment of samples before real-time measurements remains a challenge. To  
52 date, only one technique, *viz.*, continuous dilution using pure water has been successfully  
53 demonstrated for effectively reducing the interfering substances (Fujioka et al., 2018; Fujioka  
54 et al., 2019b). However, the dilution method increases the limit of detection depending on the  
55 dilution rate. Here, we propose an alternative to overcome the aforesaid issues. We employed  
56 a dialysis pre-treatment technique, which is based on the passive diffusion of solutes from a  
57 high to a lower concentration through a dialysis membrane without a change in the solution  
58 volume. Constituents smaller than the membrane pore size, i.e. below the molecular weight  
59 cut-off (MWCO) of the membrane such as humic acid-like substances, are likely to pass  
60 through the membrane; whereas those larger than the pore size (e.g., bacteria) are retained in  
61 the sample stream, so that the treated sample may undergo bacterial counting without the  
62 influence of the background constituents. Though dialysis has been used to purify proteins and  
63 colloids, this approach has not been applied for the pre-treatment of real-time bacterial counting  
64 and its applicability remains unexplored.

65 This study is aimed to assess the efficiency of new pre-treatment technique, *viz.*, dialysis  
66 membrane treatment which is aimed at real-time counting of bacteria in treated wastewater.  
67 The assessment is conducted by (a) evaluating the reduction of interfering substances in treated

68 wastewater using two dialysis membranes; (b) demonstrating the viability of online dialysis  
69 pre-treatment for continuous analysis of the two treated wastewaters.

## 70 **2 Materials and Methods**

### 71 *2.1 Pre-treatment technique*

72 The efficiency of reducing the background interfering substances in ultrafiltration (UF)-treated  
73 wastewater by dialysis treatment was evaluated by batch-scale experiments. The UF-treated  
74 wastewater was collected from a pilot-scale wastewater treatment plant, which filtered  
75 secondary wastewater effluent using an UF membrane module (SFP-2860XP, Dow Chemical,  
76 Midland, MI, USA). The secondary wastewater effluent was obtained from a primary settling  
77 tank and activated sludge process at a wastewater treatment plant in Nagasaki, Japan. The  
78 dialysis treatment system comprised of a SpectraFlo™ dynamic dialysis lab tank system with  
79 a capacity of 2200 mL (Repligen, Waltham, MA, USA), peristaltic pump (Cole-Parmer,  
80 Vernon Hills, IL, USA), 20 L water reservoir for the dialysate, and a dialysis membrane with  
81 a flat width of 31 mm and length of 60 cm (**Fig. 1a**). The two dialysis membranes used here  
82 were SpectraPor cellulose ester membrane (MWCO = 1000 kDa) and regenerated cellulose  
83 membrane (MWCO = 6–8 kDa) (Repligen, Waltham, MA, USA). Prior to the experiment, each  
84 membrane was soaked for 30 min in water and rinsed with pure water before use. A sample of  
85 150 mL of UF-treated wastewater was filled in the dialysis membrane clamped with two  
86 dialysis tubing closures. The dialysis membrane was then submerged in the dialysis tank, and  
87 pure water was circulated at a flow rate of 0.5 L/min for 6 h. Thereafter, the treated sample in  
88 the membrane was collected for analysis.

89 **[Fig. 1]**

90 The effectiveness of dialysis treatment for continuous operation was evaluated online using a  
91 real-time bacteriological counter. The two batch of wastewater used here included the UF-  
92 treated wastewater and an effluent from a membrane bioreactor (MBR), which was collected  
93 at a wastewater treatment plant in Kitakyushu, Japan. A hollow fiber polyethersulphone  
94 dialysis membrane module (Diyalizerler Polynephron™ PES-25Dæco, Nipro, Osaka, Japan)  
95 was used for the online test. The membrane module, which has an effective membrane area of  
96 2.5 m<sup>2</sup>, is designed for use in the renal replacement therapy of patients with kidney failure;  
97 hence, the membrane is capable of online operation. The continuous dialysis treatment system  
98 comprised of a peristaltic pump (Cole-Parmer, Vernon Hills, IL, USA), smooth-flow pump  
99 (Q100, Tacmina, Osaka, Japan), 20 L water reservoir for dialysate, 200 mL glass bottle for  
100 treated wastewater samples (**Fig. 1b**). Pure water prepared by filtering the tap water by a  
101 purification system (Mega Unity, Organo, Tokyo, Japan) was continuously fed to the dialysis  
102 module (i.e., outside the hollow fiber dialysis membrane) and recirculated at a flow rate of 0.5  
103 L/min. Each test started by feeding the pure water to the feed side of the dialysis membrane  
104 module (i.e., inside the hollow fiber dialysis membrane), and the dialyzed-treated wastewater  
105 was transported to a real-time bacteriological counter. Thereafter, the pure water in the feed  
106 stream was replaced with the treated wastewater and the online counting continued for more  
107 than 10 min. Variance in bacterial counts through the dialysis pre-treatment was also evaluated  
108 by determining intact and damaged bacterial counts.

## 109 **2.2 Analytical methods**

110 The reduction in the interfering substances by pre-treatment method was evaluated using the  
111 excitation emission matrix (EEM) fluorescence spectra using RF-6000 spectrophotometer  
112 (Shimadzu Co., Kyoto, Japan). Online counting of bacteria was evaluated using a real-time  
113 bacteriological counter (IMD-W™, Azbil Corporation, Tokyo, Japan). This instrument  
114 measures bacterial counts based on the intensity of scattered and fluorescent light for the

115 excitation (Ex) wavelength of 405 nm (**Fig. 1c**). The intensity of auto-fluorescence emission  
116 (Em) is measured by two fluorescence detectors with EM wavelengths of approximately 415–  
117 450 and 490–530 nm. The maximum and minimum detection limits of the bacteriological  
118 counter at a sampling flow rate of 5 mL/min are 1 and  $1.0 \times 10^6$  counts/mL, respectively. A  
119 previous study (Fujioka et al., 2019a) demonstrated a linear correlation of fluorescent particle  
120 counts in the range of  $7.7 \times 10^2$ – $6.3 \times 10^5$  counts/mL between online bacteriological counter and  
121 epi-fluorescence microscopy. Intact and damaged bacterial counts of each sample were  
122 determined using a fluorescence microscope (BZ-X800, Keyence Co., Osaka, Japan). At first,  
123 1 mL of the sample was stained with the LIVE/DEAD BacLight Bacterial Viability Kit  
124 (Thermo Fisher Scientific, Waltham, MA, USA), for 15 minutes in the dark at ambient  
125 temperature. The kit contains two dyes: SYTO<sup>®</sup>9—a green fluorescent nucleic acid that stains  
126 live and dead bacteria—and propidium iodide—a red fluorescent nucleic acid that stains only  
127 cells with damaged membrane. Thereafter, 200  $\mu$ L of stained sample was filtered using a track-  
128 etched polycarbonate MF filter with 0.2  $\mu$ m pore size (Merck, Tokyo, Japan). The filter was  
129 analyzed using a fluorescence microscope using a green filter (Ex wavelength =  $470 \pm 40$  nm,  
130 absorption wavelength =  $525 \pm 50$  nm) or a red filter (Ex wavelength =  $545 \pm 25$  nm, absorption  
131 wavelength =  $605 \pm 70$  nm). Intact bacterial counts were calculated by deducting the counts of  
132 damaged bacteria from total bacterial counts.

### 133 **3 Results and Discussion**

#### 134 **3.1 Removal of interfering substances**

135 The effect of dialysis treatment on the removal of interfering substances was evaluated with  
136 EEM fluorescence spectra. According to a previous study (Fujioka et al., 2018), the major  
137 interfering substances for the real-time bacteriological countering are humic acid-like  
138 substances, which are detected at the Ex/Em wavelengths of 350/425 nm (Chen et al., 2003;

139 Liu et al., 2011; Nam and Amy, 2008). The Em light of humic acid-like substances can mask  
140 that of bacteria, which is detected using two fluorescent detectors at the Em wavelengths of  
141 approximately 415–450 and 490–530 nm for the Ex wavelength of 405 nm. In this study, the  
142 UF-treated wastewater effluent (referred to as no pre-treatment) showed noticeable  
143 fluorescence intensity at the Ex/Em wavelength of the fluorescent detectors (**Fig. 2a**).  
144 Significant reduction in fluorescence intensity at the regions of the fluorescent detectors was  
145 observed for a 50-fold dilution (**Fig. 2b**). Dialysis pre-treatment with a courser membrane  
146 (MWCO = 1000 kDa) led to a considerable reduction in fluorescence intensity at the detector's  
147 regions (**Fig. 2c**). The dialysis membrane is expected to retain bacteria in the sample but allows  
148 the discharging of the interfering substances, because the pore size of the membrane with a  
149 MWCO of 1000 kDa is expected to be  $< 0.2 \mu\text{m}$  (Sarbolouki, 1982), which can reject small  
150 bacteria that can have a diameter of down to  $0.2 \mu\text{m}$  (Gao et al., 2018; Heulin et al., 2003;  
151 Sahin et al., 2011). Another membrane with a smaller MWCO of 6–8 kDa led to a less reduction  
152 in fluorescence intensity than the courser membrane (**Fig. 2d**), indicating that only a few  
153 interfering substances were removed through the tighter dialysis membrane due to its smaller  
154 pore size (i.e., more restricted passage) of the dialysis membrane. It is noted that the MWCO  
155 of these dialysis membranes is the original value unaffected by the treated wastewater matrix.  
156 The MWCO can vary according to the formation of a cake layer on the dialysis membrane  
157 surface or the clogging of the membrane pore, which typically occur due to impurities in a  
158 given water type, including treated wastewater during a long-term pre-treatment. As the  
159 reduced MWCO is likely to inhibit the transport of interfering substances, future studies should  
160 attempt to understand the changes in MWCO during a long-term pre-treatment.

161 **[Fig. 2]**



162 The reductions in fluorescence intensity by dialysis and dilution methods spanned over the Em  
163 wavelengths of 415–600 nm for a specific Ex wavelength of 400 nm (**Fig. 3**). The results here  
164 indicate that commercial dialysis membranes can reduce the concentrations of the interfering  
165 substances (i.e., humic acids or humic acid-like substances) in a similar way to the dilution  
166 method. The reductions with the broad Em wavelengths also indicate that the pre-treatment  
167 method is likely to function with real-time bacteriological counters provided by other  
168 manufacturers, because riboflavin in bacteria, which is a key substance that allows for real-  
169 time bacteriological counting without stain addition, emits fluorescence at the Em wavelengths  
170 of approximately 475–575 nm (Naramura et al., 2013).

171 **[Fig. 3]**

### 172 **3.2 Online analysis**

173 A successful pre-treatment technique is expected to achieve a sufficient reduction in the  
174 concentration of interfering substances in wastewater by attaining a level that allows for online  
175 bacterial counting using the real-time bacteriological counter. Therefore, the effectiveness of  
176 the dialysis pre-treatment on mitigating the inhibition for online monitoring bacterial counts  
177 was evaluated using UF- and MBR-treated wastewaters. It is noted that the analysis of non-  
178 pretreated samples triggered an alarm of analytical failure because the intensity of the sample's  
179 autofluorescence exceeded the maximum capacity of the fluorescence detectors and  
180 immediately halted the analysis (the display image is not shown). Therefore, no analytical  
181 results were obtained for the analysis of the non-pretreated samples. UF-treated and MBR-  
182 treated wastewater after the dialysis pre-treatment showed  $6.5\text{--}6.6 \times 10^4$  and  $0.9\text{--}1.0 \times 10^4$   
183 counts/mL, respectively (**Fig. 4a**). The results indicate that the dialysis pre-treatment allows  
184 monitoring the bacterial counts of actual treated wastewaters online. Further, the variation in  
185 bacterial counts before and after the dialysis treatment was also assessed by examining changes

186 in, intact and damaged bacterial counts using fluorescence microscopy. As a result, intact  
187 bacterial counts in the UF-treated wastewater before and after dialysis treatment were almost  
188 constant at  $24\text{--}27 \times 10^4$  counts/mL, whereas those in the MBR-treated wastewater before and  
189 after dialysis treatment varied slightly in the range of  $10\text{--}11 \times 10^4$  counts/mL (**Fig. 4b**). The  
190 results indicate that the dialysis pre-treatment technique is capable of removing the dissolved  
191 interfering substance without major changes in bacterial counts, showing its viability as a pre-  
192 treatment of real-time bacteriological counter.

193 **[Fig. 4]**

194 It was observed that the bacterial counts determined by the real-time bacteriological counter  
195 differed from the intact bacterial counts determined by epifluorescence microscopy because  
196 their detection mechanisms are different. Intact bacterial counts determined by nucleic acid  
197 staining and epifluorescence microscopy fundamentally cover all of the intact bacteria  
198 regardless of their dimension. The real-time bacteriological counter is designed to count  
199 bacteria with a size larger than  $0.3 \mu\text{m}$  and a certain intensity of autofluorescence emitted from  
200 riboflavin and NADH. Therefore, the real-time bacteriological counter is unlikely to count  
201 small (i.e.,  $< 0.3 \mu\text{m}$ ) or less active bacterial cells with low autofluorescence. As a result, the  
202 exclusion of these small or low-autofluorescence-intensity bacteria can cause the  
203 underestimation of online bacterial counts, as demonstrated in **Fig. 4**. Despite the difference,  
204 the data obtained here demonstrated that the dialysis treatment does not change bacterial counts  
205 but can remove the interfering substances in treated wastewater, allowing for the real-time  
206 counting of bacteria.

### 207 **3.3 Technology implications**

208 The results attained in this study showed that MWCO of dialysis membrane can be an important  
209 factor for the viability of the dialysis pre-treatment prior to online bacteriological counters.

210 Since, the molecular weight of organic substances in treated wastewater can be up to 400 kDa  
211 (Shon et al., 2004; Worms et al., 2010), the course dialysis membrane with a MWCO of 1000  
212 kDa can theoretically remove almost all organics including humic acid-like substances in water.  
213 Dialysis membranes designed for batch-scale tests typically have a wide range of MWCOs in  
214 a manufacturer's line up; thus, the selection and optimization of a membrane's MWCO that is  
215 sufficiently large for the dialysis pre-treatment can be readily conducted. However, these batch-  
216 type membranes are not designed for online treatment. Almost all commercial dialysis  
217 membrane modules that can be operated online have been designated for the medical field (e.g.,  
218 dialysis treatment for patients with kidney disease), and their details (e.g., MWCO) are not  
219 provided by the manufacturers. Understanding their detailed properties has the potential to  
220 facilitate the selection of commercial dialysis membranes suitable for water treatment  
221 applications. In addition, to verify the applicability of membrane's MWCO for the pre-  
222 treatment, long-term validations using different wastewater sources and dialysis membranes  
223 are to be carried out.

#### 224 **4 Conclusions**

225 This study utilized the principle of dialysis to remove organic substances from wastewater that  
226 hinders the analysis during bacterial counting. Dialysis using membranes having a molecular  
227 weight cut-off (MWCO) of 1000 kDa and 6–8 kDa successfully reduced the intensity of  
228 autofluorescence emitted from the interfering substances in ultrafiltration-treated wastewater.  
229 It was demonstrated for the first time that continuous online dialysis treatment aids in the direct  
230 determination of bacterial counts in ultrafiltration- and membrane bioreactor-treated  
231 wastewaters without any dilution. Therefore, this study suggests that the dialysis pre-treatment  
232 technique is a viable option as a pre-treatment of real-time bacteriological counter.

## 233 **5 Acknowledgement**

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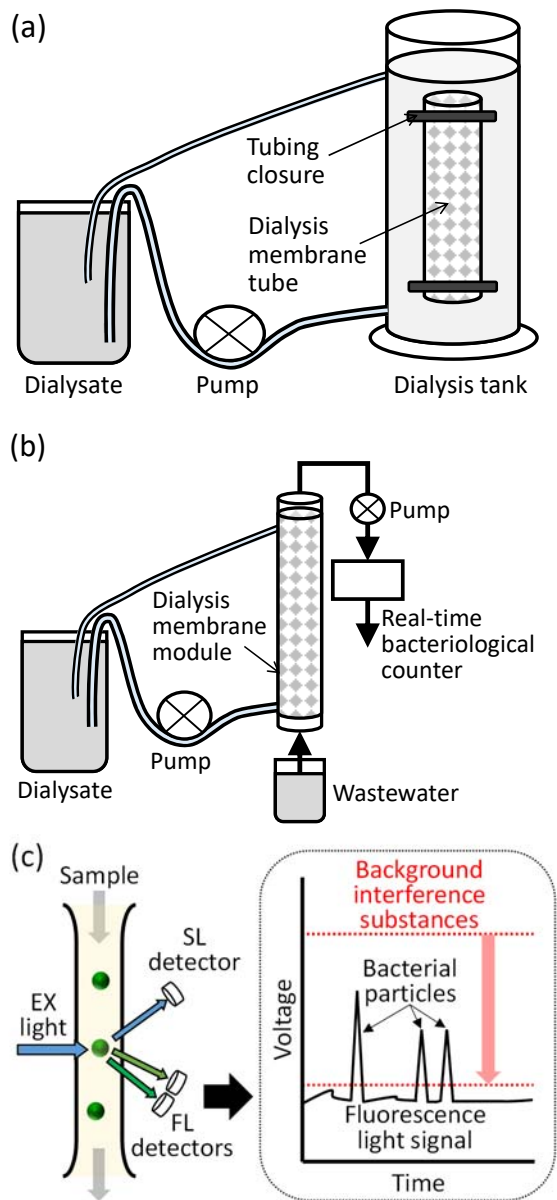
## FIGURES

**Fig. 1** – Schematic diagram of (a) batch-scale and (b) online dialysis treatment, and (c) the illustration of bacterial detection with scattered light (SL) and fluorescent light (FL) and the reduction of background interference substances.

**Fig. 2** – Excitation emission matrix (EEM) fluorescence spectra of the ultrafiltration (UF)-treated wastewater: (a) no pre-treatment, (b) after 50-fold dilution, (c) after dialysis with 1000 kDa membrane, and (d) after dialysis with 6–8 kDa membrane.

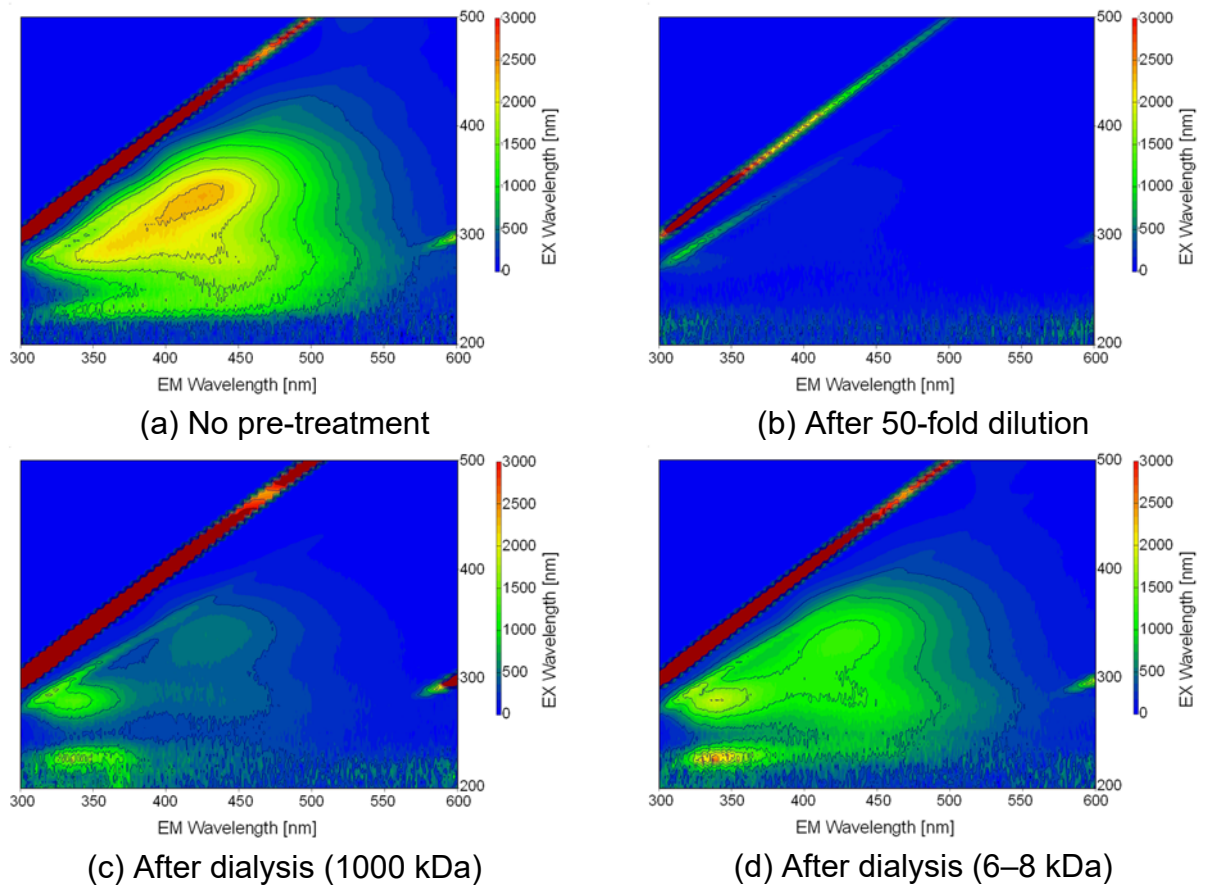
**Fig. 3** – Emission (Em) fluorescence spectrum at the excitation (Ex) wavelength of 400 nm.

**Fig. 4** – (a) Online analysis and (b) intact bacterial counts before and after the dialysis pre-treatment of the ultra-filtration (UF)-treated, and membrane bioreactor (MBR)-treated wastewaters. Error bars represent the range of duplicate samples.

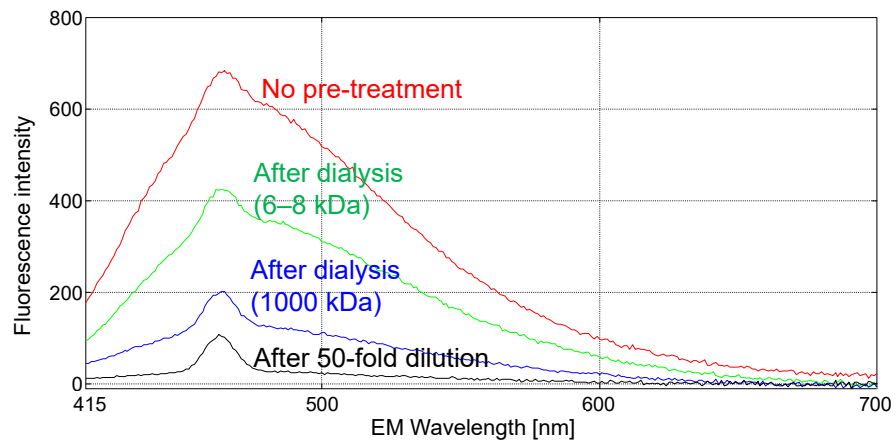


**Fig. 1**





**Fig. 2**



**Fig. 3**

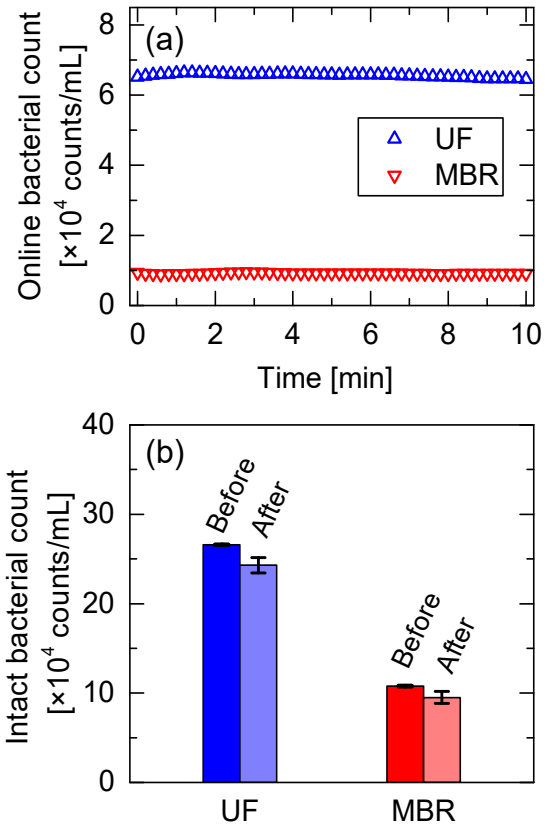


Fig. 4