1	Geotechnical behaviour of low-permeability soils in surfactant enhanced
2	electrokinetic remediation
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26	Abstract

Electrokinetic processes provide the basis of a range of very interesting techniques for 28 29 the remediation of polluted soils. These techniques consist on the application of a 30 current field in the soil that develops different transport mechanisms capable of 31 mobilizing several types of pollutants. However, the use of these techniques could generate non desirable effects related to the geomechanical behavior of the soil, 32 reducing the effectiveness of the processes. In the case of the remediation of polluted 33 soils with plasticity index higher than 35, an excessive shrinkage can be observed in 34 35 remediation test. For this reason, the continued evaporation that takes place in sample top can lead to the development of cracks, distorting the electrokinetic transport regime, 36 and consequently, the development of the operation. On the other hand, when analyzing 37 silty soils, in the surroundings of injection surfactant wells, high seepages can be 38 generated that give rise to the development of piping processes. In this article methods 39 are described to allow a reduction, or to even eliminate, both problems. 40 41 42 Keywords: Low-permeability soils; electrokinetic remediation; cracked soil; piping

43 process

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45 Introduction

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The development of industrial and agricultural activities has supposed a source of many
different pollutants that have been leaked into soils and groundwater. Many of these
contaminants produce a serious environmental problem, and even could be hazardous
for humans or animals if ingested through polluted water extracted from wells or
springs.

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Some in-situ remediation techniques have been tried throughout the last decades to 53 54 eliminate the pollutants from both soils and groundwater. Among them, the use of aqueous solutions has been successfully used in numerous processes of in situ flushing 55 56 of contaminated soils. The efficiency of the remediation based on flushing depends on the hydraulic conductivity, being soils with high hydraulic conductivities (up to $1 \cdot 10^{-3}$ 57 m/s), those that provide better results ^[1,2]. In the other hand, low-permeability soils, as 58 59 argillaceous materials, presents a reduction of the effectiveness of these processes, due to the low flushing flow generated. For this reason, the use of these in-situ flushing 60 techniques is little attractive. However, the use of electrokinetic remediation can be 61 interesting^[3]. This technology consists on the application of a low electrical current 62 through inert electrodes, which are inserted in the soil or electrolyte wells ^[4]. The 63 electrical field generated in the soil develops different electrokinetic transport processes 64 such as electroosmosis, electromigration and electrophoresis, which are the responsible 65 for the mobilize and remove the pollution from soil ^[5]. 66

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68 Although many studies have been performed related to electrokinetic remediation for different polluted soils ^[6-8], little work has been done related to the hydro-mechanical 69 70 response of different soil types considered a priori suitable for these techniques due to 71 their low hydraulic permeability. This paper focuses on some relevant aspects of their hydro-mechanical response during electrokinetic remediation, specifically in the 72 description and the analysis of the problems related to cracking, and piping. For this 73 purpose, tests were performed under realistic conditions, similar to those expected 74 during field operations. The tests carried out consist of the decontamination of two 75 different soils polluted with phenanthrene (Hydrophobic Organic Compound, HOC), 76 used as a model of diesel spill, applying electrokinetic remediation enhanced with 77 78 injection of surfactant.

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80	Materials and methods
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82	Materials
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84	Two low-permeability soils have been used in this research work: a commercial
85	micronized kaolin, and a soil coming from a quarry in Toledo (Spain) (Sagra soil).
86	Table 1, shows the mineralogical analysis of the soils employed, which have been
87	provided by the commercial suppliers. The mineral composition has been obtained by x-
88	ray diffraction and thermal analysis (Differential Thermal Analysis, DTA; and Thermo-
89	Gravimetry, TG).
90	
91	These soils were homogeneously contaminated with phenanthrene (PHE), up to
92	pollution level of 500 mg PHE kg ⁻¹ dry soil. As enhanced fluid remediation, sodium
93	dodecyl sulphate solution, SDS ($\frac{10 \text{ kg m}^{-3}}{3}$) was selected. The addition of SDS is
94	necessary to increase the HOCs aqueous-phase concentration via micelle/microemulsion
95	formation, or mobilization of the HOC phase [10-14].
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97	Experimental Setup
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99	All the electrokinetic tests were conducted in an electrokinetic remediation mock-up
100	consisting of an electrokinetic reactor, power supply and tanks of electrolyte and
101	surfactant. The description of this setup is described in literature in previous works ^[3, 4] .
102	Experimental setup and a mock-up general scheme, with the location of the
103	instrumentation are shown in Figure 1A and Figure 1B, respectively. The potential

104 gradient (E_z) applied in all the tests was 1 V cm⁻¹. The behavior of soils were

105 continuously monitored during the realization of the tests by quantification of liquid

106 flows, temperatures, and soil moisture.

107

- 108 To ensure test conditions similar to those expected in the field, the soils considered were
- 109 compacted to realistic "in situ" conditions, as the initial moisture (w_o) and dry density
- 110 (ρ_d) shown in Table 2. These values were obtained from standard Proctor compaction
- 111 tests ^[15]. The moisture (*w*) was analyzed by the Standard ASTM D2216 ^[16]. Grain
- 112 density (ρ_s) was analyzed by the Standard ASTM D854 ^[17]. ρ_d , porous index (e), and
- 113 porosity (n), degree of saturation (S_r) can be calculated by Equation 1-4, respectively.



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To ensure that the desired densities were reached a careful disposal/construction process was followed. Known amounts of mass of soil were prepared with the ratio of water and soil particles necessary to reach the optimal moisture content. Each known amount of soil was compacted in layers of 5 cm thickness until reaching a determined volume in the mock-up (Figure 2A). The volume that each known amount of soil had to occupy was previously known through an external graduation on the electrokinetic reactor. By this way, compacting with a normalized hammer mounted on a steel plate to provide a

130	uniform transmission of the energy to the totality of the layer, a flat soil surface was			
131	practically reached. By reaching the proposed volume through compaction, the desired			
132	density was obtained in the soil samples. Once the operation of compaction was			
133	finished with one layer, a new layer is disposed. In order to verify the effectiveness in			
134	the preparation of the soil a series of samples were taken from the wells logged for			
135	anodic, cathodic and surfactant wells (Figure 2B) a very good agreement with the			
136	desired density was obtained.			
137				
138	The soils tested were classified from a textural point of view according to ASTM			
139	D2487. Sagra soil presents a high Plasticity index (PI=40) and it is classified as a high			
140	plasticity clay (CH), and Kaolin is a low plasticity silt (ML), according to the Unified			
141	Soil Classification System (USCS) chart ^[18] .			
142				
143	Results and discussion			
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145	Desiccation Cracks			
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147	The appearance of cracks in clays during desiccation is a well-known phenomenon ^[18] .			
148	Its impact on the efficiency of clay barriers has been described by diverse authors, in			
149	special, in landfill liners ^[20-22] . The presence of desiccation cracks defines preferential			
150	flow paths, and faster movement of gas, water, solutes and particles than would be			

151 expected from the soil matrix properties ^[23]. As result of this fact, the hydraulic

152 conductivity of cracked soils is usually orders of magnitude higher than that of intact

- soils^[24,25]. The containment function of the clay can be jeopardized. Nevertheless, in
- 154 electrokinetic remediation processes, the effect can be the opposite. The electroosmotic
- transport takes place in the proximity of solid particles ^[26-28]. Therefore, the appearance

of cracks could suppose a loss of connectivity between the solid skeleton, reducing theelectroosmotic flow. Consequently, it is of interest that cracks do not appear.

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159 Desiccation cracks would occur if soil shrinkage is constrained, generating a tensile stress which exceeds the bonding strength of the soil particles ^[29]. As soil is a highly 160 complex material, this process is controlled by a large number of factors. Although in 161 162 the last years diverse models have been considered to describe and to quantify the phenomenon ^[30], the description of cracking and crack propagation is still not 163 completely understood. However, from to qualitative point of view, the schematic 164 165 description shown in Figure 3 provides a good approximation of the crack initiation process. As water evaporation proceeds, the soil water content is reduced. It is 166 accompanied by an increase in matric suction and intergranular stress. Each particle on 167 the layer surface suffers a tensile force. If this rising microscopic tensile stress exceeds 168 the bonding strength, a crack occurs on the surface ^[23]. Therefore, the soil evaporation 169 170 behaviour plays a main role in the soil cracking process. In electrokinetic tests the three 171 conditions pointed out to ensure the persistence of evaporation from soils occur, 172 namely: (i) a constant supply of heat to meet the latent head requirement (provided by 173 the electrodes), (ii) the laboratory conditions usually ensures that the vapour pressure of 174 the air in the laboratory atmosphere is lower than that of the soil surface, and (iii) a continuing supply of water (from the anode) to the evaporation surface (the top part of 175 the soil sample, as well as the cracks themselves if they remain in unsaturated 176 conditions). 177

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In agreement with Daniel ^[22], a high shrinkage can be expected for soils with a plasticity
index (PI) greater than 35, which could be taken as a reference value. In the tested
mock-ups, this estimation was accomplished. No cracks appeared in "kaolin soil",

PI=13. Cracks appeared in "Sagra soil", PI=40 (see Table 2). As it can be appreciated in 182 Figure 4, cracks were located mainly between the anodic wells and the wells were 183 surfactant was applied. During the first days of the test, this region was affected by an 184 185 increment of the temperatures (see Figure 5). This fact favor water loss by evaporation. 186 For this reason the initiation of cracks was focused on this region. Once cracks appeared, as indicated by Casagrande ^[26], the infiltration/gravitational and 187 188 electroosmotic flows presents strong variation related with this phenomenon. Figure 6 shows the evolution of these flows. 189

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191 It is noted that during the first 13 days, the gravitational flow (GF) is non-existent and the electroosmotic flow (EF) achieves the highest value $(4.2 \times 10^{-4} \text{ m}^3 \text{ day}^{-1})$. After this 192 moment, GF increases up to reach 3.9×10^{-3} m³ day⁻¹, one order of magnitude higher 193 than the maximum EF. In the other hand, the EF presents a continuous descend during 194 the test up to stabilize in 2.4×10^{-5} m³ day⁻¹. In comparison with results obtained in other 195 studies ^[3-4], the EF obtained is very low, which means a reduction of efficiency of the 196 electrokinetic treatment. These behaviors are related with the formation of cracks that 197 198 favors an increment of the GF towards the granular layer in the bottom of the mock-up 199 and reduces the water transported to the cathodic region by electroosmosis process. 200 In order to minimize this problem, the following procedure was applied (Figure 7). First, the initial moisture of the superficial layer of soil was reestablished (Figure 7A). 201 Second, the superficial cracks were filled up with a slurry prepared with the same soil of 202 the mock-up (Figure 7B). Finally, a reduction of the evaporation rate was attempted. 203 204 For this purpose a granular cover was arranged, as can be observed in Figure 7C, in 205 order to work as capillary barrier to evaporation. The granular material placed was a coarse sand with an air entry pressure lower than 1 kPa. By this way, the sand remains 206 207 unsaturated. Therefore, its hydraulic conductivity is significantly reduced, and the

evaporation is highly complicated ^[4]. The use of this type of covers on landfill liners 208 was analyzed with detail by Yanful et al. [31]. These authors analyzed evaporation in a 209 clayey till sample (115 mm diameter, 125 mm height) after placing a coarse sand on the 210 211 top (47 mm height). They verified that after placing the coarse sand the cumulative 212 evaporation after twelve days of test was reduced from 31.2 mm to 18.9 mm (40% reduction). The volumetric water content did not decrease in the clayey soil, which 213 214 practically remained saturated. For this reason, the matric suction was barely modified, 215 and the risk of development of desiccation cracks disappeared.

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When dismantling the mock-up, the sand cover was removed, and it was verified that cracks that had been refilled before remained closed. There were not new cracks either, therefore, the disposal of a granular cover was effective. Nevertheless, although after the soil improvement described in the previous paragraph gravity flow was reduced considerably, this continued being high throughout the test (see Figure 6). Probably, internal cracks were generated, and it was not possible to seal them completely.

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224 In order to check this assumption, some verifications were carried out. First, it must be considered that the average value of gravimetric flow, 8.5×10^{-4} m³ day⁻¹ (Figure 6), is 225 associated to an average hydraulic conductivity of 3×10^{-8} m s⁻¹. This value is two orders 226 of magnitude higher than the initial hydraulic conductivity of 2.29×10^{-10} m s⁻¹ (see 227 Table 2) obtained for the same soil in an oedometer test. In addition, as shown in Figure 228 8, the distribution of dry densities indicates very low values in the surroundings of the 229 anode. This fact could be related with a partial dissolution of some constituents of the 230 soil matrix (calcite, for example), due to the transport of an acidic front around the 231 anodic zone. This acidic front is produced by the combination of two electrochemical 232 processes: the generation of protons in the reaction of water anodic oxidation, and the 233

- movement of these protons through the soil by the electromigration process. The values
- showed in Figure 8 define an average ρ_d of 820 kg m⁻³ from an initial value of 1210 Kg
- m^{-3} . This suggest that the porosity of the soil was incremented by cracks. Moreover, it
- should be stressed that *Sr* of the Sagra soil was greatly reduced (Table 3). A reduction in
- 238 Sr may indicate two events: a decrease of w, or an increment of n. In this case the w
- increased from 28.7 to 33.8%, thus reducing Sr must be related to an increase of n
- within the soil that is consistent with the increase in the e observed and the decrement of
- the ρ_d previously commented. Also, the fact that Sr has dropped by 22% is consistent
- with the high vertical flows obtained (Figure 6). All these aspects justify the existence
- of a macroporosity (cracks) inside the sample by the formation of internal cracks.
- 244

Therefore, in order to avoid this situation, a granular layer should be arranged to cover
the soil surface before beginning the test. Otherwise, cracks will develop in plastic soils,
and the representativeness of the tests could be conditioned.

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249 Piping Processes

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251 When water flows through a soil, the viscous forces associated to the flow produces a microscopic shear stress in the surface of the solid particles. If shear stress exceeds a 252 certain threshold, the solid particles are eroded ^[32]. Conduits or preferential flow paths 253 can be produced, working like "pipes". For that reason, this phenomenon is known in a 254 generic way as "piping". The greater the velocity of flow, the greater the shear forces 255 exerted. For that reason, microscopic shear is proportional to macroscopic seepage 256 257 gradient. In the test configuration outlined in Figure 1A reduced water head gradients were induced. Therefore, erosion problems were not expected. However, after the tests 258 259 the start of piping process was observed, as shown in Figure 9.

Piping only take place in the Kaolin mock-up (silt soil). This is consistent with the smaller resistance to the erosion and lower critical seepage gradient of this soil with respect to clay (see Table 4 ^[33]). Piping was located close to a well of surfactant. It must be considered that SDS, an ionic surfactant, caused an important gradient of osmotic pressure in the surroundings of surfactant wells. An osmotically driven water flow was activated from outside (soil) to inside (well), which according to Mitchell^[28], it can be estimated by means of the Equation 5:

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269 $i_h = \omega \frac{R \cdot T}{\gamma_W} \frac{\Delta c}{\Delta r}$ (5)

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where ω is the osmotic efficiency, R is the universal gas constant, T is the absolute 271 temperature, γ_w is the unit weight of water, and Δc is the difference between the 272 concentration inside the well and that in the soil pores at distance Δr from the well 273 274 boundary. The osmotic efficiency is a measure of the degree to which the soil behaves as a perfect semipermeable membrane. If soil does so, ω is equal to one. However, if the 275 'membrane' is 'leaky', and water flows carrying with it some dissolved salts, ω is 276 reduced, tending to zero ^[34]. In agreement with the values considered by Mitchell ^[29], 277 278 for a moisture of 39.5% (final average value of the moisture in kaolin), and a Liquid Limit of 41.0 (Table 2), osmotic efficiency will be probably inferior to 10⁻⁴. Even with 279 this small value, the ratio $\Delta c / \Delta r$ was very elevated since the variation of Δc took place 280 after adding the surfactant at a reduced distance Δr . This gave rise to a value of $i_{\rm h}$ that, 281 according to the estimative values in Table 4, resulted in the piping processes reflected 282 in Figure 9. 283

285	In order to avoid piping process is advisable to dispose a granular material acting as a
286	filter. For a given soil, a material fulfills the condition of filter if placed 'downstream'
287	with respect the flow direction prevents the drag of its particles. As it is known, to meet
288	this condition the granulometry of the filter-material must satisfy a series of
289	requirements with regard to the granulometry of the soil to be protected. According to
290	the indications given by the United States Bureau of Reclamation ^[35] , since 100% of
291	kaolinite particles are finer than 0.074 mm (see Figure 10), is due to use a filter that
292	fulfills <mark>(Equation 6)</mark> :
293	
294	$D_{15F} < Maximum(9 \cdot D_{85B}, 0.2 mm)$ (6)
295	
296	where D_{85B} defines a size of particle so that the 85% of particles of the kaolinite are
297	inferior, whereas D_{15F} defines a size of particle so that only the 15% of particles of the
298	filter are smaller. Since D_{85B} is approximately equal to 20 μm (see Figure 10), D_{15F}
299	equal to 0.2 mm should be adopted.
300	
301	To verify the validity of this value a surfactant well was reproduced. Using the same
302	kaolin soil, two samples were prepared using the molds of a Normal Proctor test (see
303	Figure 11A and 11B). The same surfactant, as used in the tests previously described,
304	(SDS 10 kg m ⁻³) was added to the wells. But, whereas in the one of them the surfactant
305	was added without placing a filter, a crown of 0.3 cm of external radio was prepared in
306	the second with fine sand in which it was fulfilled that $D_{15F}=0.2$ mm. In the first case,
307	the piping processes were again observed (Figure 11A). In the second case, the filter
308	protected the soil, and it was not eroded by the osmotically driven water flow (Figure
309	11B).

311 Conclusions

313	Two geotechnical problems were identified during electrokinetic tests performed with
314	different kinds of low-permeability soils polluted with phenanthrene. These problems
315	were mainly related to the thermo-hydro-mechanical behavior of each particular type of
316	soil, and the undesirable secondary effects that take place during electrokinetic of
317	contaminated soils. Avoiding these problems is necessary for the efficiency of the
318	process. The disposition of a sand layer, acting as a barrier to evaporation on the top of
319	high plasticity soils susceptible to the development of cracks, and of a sand filter around
320	the surfactant addition well in the case of soils susceptible to develop piping processes,
321	reduces or even eliminates these problems.
322	
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426	CAPTIONS

Figure 1. (A) Experimental setup, and (B), mock-up general scheme, and location ofinstrumentation.

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Figure 2. Soil preparation process. (A) Soil compaction procedure through an adapted
U.S. Army Corps of Engineers compaction hammer and (B) sampling of soil to
corroborate the initial properties.

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Figure 3. (A) Water air-water interface meniscus generated between soil particles and
tensile stress developed in the upper layer and (B) surface crack initiated. Shown in the
figure are: (C), clay particle, (W) pore water and (M) Water-air interface/meniscus.
Adapted from Tang et al. ^[23].

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Figure 4. Crack development on the "Sagra soil" mock-up. (A) Surfactant wells and (B), the crack concentration area. Experimental conditions. E_z : 1 V cm⁻¹; Anolyte: Water, Catholyte: Water; Enhancement Fluid: SDS solution (10 kg m⁻³). Level of soil pollution: 500 mg PHE kg⁻¹ dry soil.

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Figure 5. Monitorization of Temperature in the "Sagra soil" mock-up. Experimental conditions. E_z : 1 V cm⁻¹; Anolyte: Water, Catholyte: Water; Enhance Fluid: SDS solution (10 kg m⁻³). Level of soil pollution: 500 mg PHE kg⁻¹ dry soil.

448	Figure 6. Electroosmotic and Gravitational flow evolution in the "Sagra soil" mock-up.
449	Experimental conditions. E_z : 1 V cm ⁻¹ ; Anolyte: Water, Catholyte: Water; Enhance Fluid:
450	SDS solution (10 kg m ⁻³). Level of soil pollution: 500 mg PHE kg ⁻¹ dry soil.
451	
452	Figure 7. (A) Moisture restabilization (B) Filling and reparation of the cracks and (C)
453	granular cover arranged on the compacted "Sagra soil" mock-up.

Figure 8. 2-D map (plan view) of the average final dry density distribution in the "Sagra
soil" mock-up.

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Figure 9. Piping around the surfactant well in the Kaolin mock-up. E_z : 1 V cm⁻¹; Anolyte: Water, Catholyte: Water; Enhance Fluid: SDS solution (10 kg m⁻³). Level of soil pollution: 500 mg PHE kg⁻¹ dry soil.

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Figure 10. Cumulative particle size distribution of Kaolin, and "Sagra soil". See table 1
for the soil identification. Distributions were obtained using a laser diffraction particle
size analyzer.

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Figure 11. (A) Piping generation (highlighted region) around the surfactant addition well
in the modified proctor mold test without sand filter and (B) intact soil with the sand filter
around the surfactant addition well.





- P1, P2 y and P3 Cathodic wells P4, P5 y P6 Anodic wells C1, C2 y C3 Cathodic sewers PS1 y PS2 Surfactant wells
- TT1-TT5 Thermocouples
- O T1-T8 Tensiometers
- **TC1 y TC2 Control Tensiometers**
- 470 Fig. 1

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482	Fig. 2
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(A) Tensile stress developed in upper layer







527 Fig. 5







(C)





Fig. 7







	(A)	(B)
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597	Fig. 11	
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Table 1. Mineralogical composition of the soils.

		Kaolin ¹	Sagra ²
	Mineral	%	%
	Quartz	-	7
	Feldspar	-	15
	Calcite	-	4
	Kaolinite	100	26
	Glauconite	-	-
	Muscovite	< 0.1	-
	Montmorillonite	-	-
	Smectite	-	28
	Illite	<0.1	20
610	¹ Provided by Productos qu	uímicos Manuel	Riego S.A.
611	² Provided by Cerámicas M	/lazarrón S.A.	0
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622	Table 2. Initial properties	of the soil empl	loyed in the mock-ups

025									
	Initial soil properties								
	Soil	Kaolin	Sagra						
	<i>M</i> (kg)	122.4	118.3						
	w ₀ (%)	24	29						
	<mark>ρ_s (kg/m³)</mark>	<mark>2638</mark>	<mark>2712</mark>						
	<mark>ρ_d (kg/m³)</mark>	<mark>1220</mark>	<mark>1210</mark>						
	Sr (%)	82.9	92.8						
	e	0.76	0.83						
	п	0.43	0.45						
	K_{θ} (m/s) x 10 ⁻¹⁰	1.81	2.29						
	Classification parameters								
	Liquid Limit (LL)	41	68						
	Plastic Limit (PL)	28	28						
	Plasticity index (PI)	13	40						
	USCS (ASTM, 2006)	ML/OL	СН						
624 625 626	<i>M</i> , mass of soil K_0 , initial hydraulic conduct	ivity							
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634	Table 3. Initial and f	inal properties	of the Sagra soil.						

			_	Parameters	Initia	ıl	Final	
			-	<mark>ρ_d (Kg m⁻³)</mark>	<mark>1210</mark>)	<mark>820</mark>	
				w (%)	29		34	
				e	1.19		2.19	
				n	0.54		0.68	
				S_r (%)	63.91	L	40.85	
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649	Table	4.	Allowable	Global-seepage	Gradients	for	concrete	Dams

650 Foundations (from Meyer et al. ^[33]).

Soil type	Allowable Global Gradient				
Very fine sand or silt	0.12				
Fine sand	0.14				
Medium sand	0.17				
Coarse sand	0.20				
Fine gravel	0.25				
Medium gravel	0.29				
Coarse gravel, including cobbles	0.33				
Boulders with some cobbles and gravel	0.40				
Compact (hard) clay	0.56				